

AFRL-AFOSR-VA-TR-2018-0377

Electronic Structure Theory for Photo-Induced Spin-Forbidden Dynamics

Toru Shiozaki NORTHWESTERN UNIVERSITY

09/04/2018 Final Report

DISTRIBUTION A: Distribution approved for public release.

Air Force Research Laboratory AF Office Of Scientific Research (AFOSR)/ RTB2 Arlington, Virginia 22203 Air Force Materiel Command

REPORT DOCUMENTATION PAGE					Form Approved OMB No. 0704-0188	
The public reporting burden for this of sources, gathering and maintaining t aspect of this collection of information Operations and Reports (0704-0188 provision of law, no person shall be si PLEASE DO NOT RETURN YOUR F	collection of informatic he data needed, and n, including suggestior ), 1215 Jefferson Day ubject to any penalty fr CORM TO THE ABOV	n is estimated to average 1 completing and reviewing th s for reducing the burden, t ris Highway, Suite 1204, A or failing to comply with a co E ADDRESS.	hour per respons he collection of inf o Department of E rlington, VA 2220 illection of informa	se, including th formation. Send Defense, Washi 2-4302. Respo tion if it does no	e time for reviewing instructions, searching existing data d comments regarding this burden estimate or any other ington Headquarters Services, Directorate for Information ndents should be aware that notwithstanding any other ot display a currently valid OMB control number.	
1. REPORT DATE (DD-MM-YY	(YY) <b>2. REPOR</b>	ТТҮРЕ			3. DATES COVERED (From - To)	
4. TITLE AND SUBTITLE				5a. CONTRACT NUMBER		
				5b. G	RANT NUMBER	
				5c. P	ROGRAM ELEMENT NUMBER	
6. AUTHOR(S)				5d. PROJECT NUMBER		
				5e. T	ASK NUMBER	
				5f. W	5f. WORK UNIT NUMBER	
7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES)					8. PERFORMING ORGANIZATION REPORT NUMBER	
9. SPONSORING/MONITORING AGENCY NAME(S) AND ADDRESS(ES)					10. SPONSOR/MONITOR'S ACRONYM(S)	
					11. SPONSOR/MONITOR'S REPORT NUMBER(S)	
12. DISTRIBUTION/AVAILABI	LITY STATEMENT					
13. SUPPLEMENTARY NOTES	3					
14. ABSTRACT						
15. SUBJECT TERMS						
16. SECURITY CLASSIFICATIa. REPORTb. ABSTRAC	ON OF: T c. THIS PAGE	17. LIMITATION OF ABSTRACT	18. NUMBER OF	19a. NAME	OF RESPONSIBLE PERSON	
			PAGES	19b. TELE	PHONE NUMBER (Include area code)	

I

Г

## **INSTRUCTIONS FOR COMPLETING SF 298**

**1. REPORT DATE.** Full publication date, including day, month, if available. Must cite at least the year and be Year 2000 compliant, e.g. 30-06-1998; xx-06-1998; xx-xx-1998.

**2. REPORT TYPE.** State the type of report, such as final, technical, interim, memorandum, master's thesis, progress, quarterly, research, special, group study, etc.

**3. DATE COVERED.** Indicate the time during which the work was performed and the report was written, e.g., Jun 1997 - Jun 1998; 1-10 Jun 1996; May - Nov 1998; Nov 1998.

**4. TITLE.** Enter title and subtitle with volume number and part number, if applicable. On classified documents, enter the title classification in parentheses.

**5a. CONTRACT NUMBER.** Enter all contract numbers as they appear in the report, e.g. F33315-86-C-5169.

**5b. GRANT NUMBER.** Enter all grant numbers as they appear in the report. e.g. AFOSR-82-1234.

**5c. PROGRAM ELEMENT NUMBER.** Enter all program element numbers as they appear in the report, e.g. 61101A.

**5e. TASK NUMBER.** Enter all task numbers as they appear in the report, e.g. 05; RF0330201; T4112.

**5f. WORK UNIT NUMBER.** Enter all work unit numbers as they appear in the report, e.g. 001; AFAPL30480105.

**6. AUTHOR(S).** Enter name(s) of person(s) responsible for writing the report, performing the research, or credited with the content of the report. The form of entry is the last name, first name, middle initial, and additional qualifiers separated by commas, e.g. Smith, Richard, J, Jr.

7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES). Self-explanatory.

**8. PERFORMING ORGANIZATION REPORT NUMBER.** Enter all unique alphanumeric report numbers assigned by the performing organization, e.g. BRL-1234; AFWL-TR-85-4017-Vol-21-PT-2.

**9.** SPONSORING/MONITORING AGENCY NAME(S) AND ADDRESS(ES). Enter the name and address of the organization(s) financially responsible for and monitoring the work.

**10. SPONSOR/MONITOR'S ACRONYM(S).** Enter, if available, e.g. BRL, ARDEC, NADC.

**11. SPONSOR/MONITOR'S REPORT NUMBER(S).** Enter report number as assigned by the sponsoring/ monitoring agency, if available, e.g. BRL-TR-829; -215.

**12. DISTRIBUTION/AVAILABILITY STATEMENT.** Use agency-mandated availability statements to indicate the public availability or distribution limitations of the report. If additional limitations/ restrictions or special markings are indicated, follow agency authorization procedures, e.g. RD/FRD, PROPIN, ITAR, etc. Include copyright information.

**13. SUPPLEMENTARY NOTES.** Enter information not included elsewhere such as: prepared in cooperation with; translation of; report supersedes; old edition number, etc.

**14. ABSTRACT.** A brief (approximately 200 words) factual summary of the most significant information.

**15. SUBJECT TERMS.** Key words or phrases identifying major concepts in the report.

**16. SECURITY CLASSIFICATION.** Enter security classification in accordance with security classification regulations, e.g. U, C, S, etc. If this form contains classified information, stamp classification level on the top and bottom of this page.

**17. LIMITATION OF ABSTRACT.** This block must be completed to assign a distribution limitation to the abstract. Enter UU (Unclassified Unlimited) or SAR (Same as Report). An entry in this block is necessary if the abstract is to be limited.

#### Final Report for FA9550-15-1-0031

## Toru Shiozaki Department of Chemistry, Northwestern University

#### **Summary:**

Under this AFOSR Young Investigator award "Electronic Structure Theory for Photo-Induced Spin-Forbidden Dynamics" (FA9550-15-1-0031), we have developed novel electronic structure theory, algorithms, and programs for solving relativistic quantum mechanical equations based on the so-called Dirac equation. Specific accomplishments include robust implementation of 4-component relativistic CASSCF programs, implementation of 4-component relativistic CASPT2 and MRCI, and their analogues for molecules under a magnetic field using gauge-including atomic orbitals. Our research has significantly advanced the state of the art, making these simulations routinely possible for sizable molecules consisting of up to 100 atoms with a few heavy element atoms (which was impossible prior to this research).

In addition, we have developed a computational tool for computing analytical nuclear forces and derivative couplings for the so-called complete active space second-order perturbation (CASPT2) methods. The implementation of CASPT2 nuclear gradients and derivative couplings was sought for more than two decades, and our work is the very first realization of such code since the CASPT2 model was developed in 1992. The code that we developed is parallelized and extremely efficient; we have demonstrated (in Mol. Phys. 2018) that simulation as large as retinal isomerization dynamics (54 atoms, CAS(12e, 12o)) can be performed using our program.

Based on these achievements, we have published eight research articles in top theoretical chemistry journals, acknowledging the generous support by DOD AFOSR. All of the code has been implemented in the program package called BAGEL, which is publicly available on a website. The number of users has gone up significantly in the past year; its user base is now estimated to be more than 50 research groups (and increasing) around the world, which attests to the large impact that our research has produced over the past three years.

#### **Highlights:**

# (1) Relativistic quantum chemistry based on the Dirac equations.

Prior to our research, it was widely believed that, though most rigorous, four-component relativistic quantum mechanical equations (called the Dirac equation) cannot be solved accurately for molecules of chemical interest. In Ref. 2 below, we demonstrated that it is possible to apply these methods to



Figure 1: Rovibrational spectra of TIH computed by the fully relativistic methods that we have developed under this award.

small molecules (Figure 1). The code has since then been parallelized and not it is possible to simulate large molecules with 100 atoms (to be published in the near future).

## (2) CASPT2 nuclear forces, derivative couplings, and direct dynamics

We have address, under this award, the long-lasting technical challenges associated with the implementation of CASPT2 nuclear gradients and derivative couplings. The CASPT2 model was developed by Roos and coworkers back in the early 90s and it has been used by many computational chemists. However, the implementation of nuclear forces using this method had not been realized (prior to our report), mainly because of the enormous complexity of equations and

implementation work. We have overcome this challenge by developing a program that performs derivation and generates a parallel code. We call the code generator SMITH3. The code has since been extended to multi-state variants and derivative coupling computations, each of which has been published in top theoretical chemistry journals acknowledging this grant. Over the past three years, it has gained a lot of attention from computational chemistry community, and our code is now being used by at least 50 research groups across the world. We ourselves have also demonstrated several numerical results



Figure 2: Examples of trajectories in on-the-fly surface hopping dynamics for a GFP model chromophore.

in the literature. Figure 2 shows some of the trajectories in our study for non-radiative decay of a GFP model chromophore using the surface hopping on-the-fly dynamics methodologies together with the CASPT2 nuclear forces and derivative couplings developed in this research.

## (3) An efficient, parallel electronic structure program package, BAGEL

All of the development outlined above has been implemented into a publicly available program package called BAGEL, which has been responsible for dissemination of the work. It has been licensed under the GNU General Public License and has been used by many computational chemists. The user manual can be found at <u>https://nubakery.org</u>, where the link to the source code (currently hosted at GitHub) is available.s

### Articles that have acknowledged this funding:

- M. K. MacLeod and T. Shiozaki, The Journal of Chemical Physics 142, 051103 (2015), "Communication: Automatic code generation enables nuclear gradient computations for fully internally contracted multireference theory"
- 2. T. Shiozaki and W. Mizukami, Journal of Chemical Theory and Computation 11, 4733-4739 (2015), "Relativistic internally contracted multireference electron correlation methods"
- 3. B. Vlaisavljevich and T. Shiozaki, J. Chem. Theory Comput., 2016, 12 (8), pp 3781–3787. DOI: 10.1021/acs.jctc.6b00572. "Nuclear Energy Gradients for Internally Contracted Complete Active

Space Second-Order Perturbation Theory: Multistate Extensions"

- T. Shiozaki and T. Yanai, J. Chem. Theory Comput., 2016, 12 (9), pp 4347–4351. DOI: 10.1021/acs.jctc.6b00646. "Hyperfine Coupling Constants from Internally Contracted Multireference Perturbation Theory"
- 5. J. W. Park and T. Shiozaki, Journal of Chemical Theory and Computation 13, 2561-2570 (2017), "Analytical derivative coupling for multistate CASPT2 theory"
- 6. J. W. Park and T. Shiozaki, Journal of Chemical Theory and Computation 13, 3676-3683 (2017), "On-the-fly CASPT2 surface hopping dynamics"
- T. Shiozaki, WIREs Computational Molecular Science, 8, e1331 (2019), software focus, "BAGEL: Brilliantly Advanced General Electronic-structure Library"
- 8. J. W. Park and T. Shiozaki, *Molecular Physics* **116**, 2583–2590 (2018), special issue, "On the accuracy of retinal protonated Schiff base models"