



*Files are in Adobe format.  
Download the newest version from Adobe.*

## 10<sup>th</sup> Annual Science & Engineering Technology Conference/DoD Tech Exposition

*“Creating Capability Surprise Through Innovative S&T and Operational Prototyping”*

Charleston, SC

21 - 23 April 2009

### Agenda

**Tuesday, 21 April 2009**

#### **Strategic Imperatives for DoD S&T Program**

Mr. Alan R. Shaffer, Principal Deputy Director, Defense Research & Engineering

#### **The Advanced Systems & Concepts Portfolio of Opportunities**

Dr. Charles Perkins, Acting, Deputy Under Secretary of Defense for Advanced Systems & Concepts

#### **The DoD T&E/S&T Program**

Mr. Gerald Christenson, T&E/S&T Program Manager, Defense Test Resource Management Center

#### **Quick Reaction and Rapid Reaction Funds**

Mr. Glenn Fogg, Deputy Director, Rapid Reaction Technology Office

#### **Coalition Warfare Program**

Colonel Kathleen Hithe, USAF, Deputy Director for Coalition Warfare

#### **Allied Science & Technology... Changes at the Defence Science & Technology Organization**

**Featured Speaker:** Professor Robert Clark, CDS, Australian Defence Science & Technology

#### **Keynote Address**

Dr. Zachary J. Lemnios, Chief Technology Officer, MIT Lincoln Laboratory

#### **Session 1: Army Session**

##### **Co-Chairs:**

- Dr. Cary Chabalowski, Director for Science & Technology Integration, Office of the Deputy Assistant Secretary of the Army (Research & Technology)
- Dr. Walter (Rick) F. Morrison, Principal, Booz Allen Hamilton

#### **Army Science & Technology: Empowering Soldiers Through Innovative Technology**

Dr. Thomas Killion, Deputy Assistant Secretary of the Army (Research & Technology)/Chief Scientist

#### **Technology Focus Teams/Systems Integration Domains**

COL Craig G. Langhauser, Director, Simulation and Training Center, RDECOM

#### **Power and Energy**

Dr. Edward C. Shaffer, Sensors and Electronic Devices Directorate, U.S. Army Research Laboratory

#### **Network Science**

Dr. David Skatrud, Director, Army Research Office

#### **Robotic Systems**

Mr. Jeffrey F. Jaster, Deputy Associate Director for Autonomous Systems Intelligent Ground Systems, RDECOM-TARDEC

**Special Presentation: The Focus Center Research Program**

Dr. Jeffery Rogers, Program Manager, Focus Center Research Program, Defense Advanced Research Projects Agency (DARPA)

**Wednesday, 22 April 2009**

**Air Force STEM Workforce Today and Tomorrow**

Mr. Leif E. Peterson, National Research Council, Air Force Science Board Committee on “*Examination of the U. S. Air Force’s STEM Workforce Needs in the Future and Its Strategy to Meet Those Needs*”

**Panel Discussion on “Processes for Effective R&D Planning & Technology Transition”**

**Panel Moderator:** Dr. John W. Betz, Chair, Air Force Scientific Advisory Board

- Ms. Roberta M. Ewart, Chief Scientist, Air Force Space & Missile Systems Center
- Mr. Wendell D. Banks, Director, Plans and Programs, Air Force Research Laboratory

**Session 3: Naval Session**

**Innovating for the Future**

Dr. Larry Schuette, Director of Innovation, Office of Naval Research

**Panel on Naval Open Architecture**

**Panel Moderator:** Mr. Nick Guertin, Deputy Director for Open Architecture (PEO IWS 7B)

- Dr. Wayne Meeks, Executive Director, Deputy Assistant Secretary of the Navy - Ships
- Dr. Joseph Lawrence, III, Director of Transition, Office of Naval Research

**Thursday, 23 April 2009**

**Session 4: Capabilities Needed by the Combatant Commanders**

**How Capabilities are Developed and Delivered to the Combatant Commanders**

Mr. Mike Knollmann, Assistant Deputy Under Secretary of Defense (Joint & Coalition Operations Support)

**USCENTCOM**

Mr. Martin Drake, Science Advisor, USCENTCOM

**USPACOM**

Mr. Jim Burdell, Science Advisor, USPACOM

**EUCOM**

Mr. Forrest G. Ruble, EUCOM Science Advisor, ECJ8-Q

**USSOUTHCOM**

Mr. Herb Warden, JCTD Program Manager, USSOUTHCOM

**NORT NORTHCOM**

Mr. Edmund M. Doray, Chief, Concepts and Technology Division, N-NC Interagency Directorate, NORTHCOM

**USTRANSCOM**

Mr. Lou Bernstein, Science Advisor, USTRANSCOM

**USSTRATCOM**

Mr. Dave Tyner, Deputy Division Chief, J81, USSTRATCOM

**Session: Strategic Discussion on DoD Independent Research and Development**

**Opening Remarks**

Dr. Andre van Tilborg, Deputy Under Secretary of Defense for Science and Technology

**Military Department Presentations**

- **Army** - Dr. Jagadeesh Pamulapati, Deputy Director for Laboratory Management
- **Navy** - Rear Admiral Nevin P. Carr, USN, Chief of Naval Research
- **Air Force** - Mr. Wendell D. Banks, Director, Plans & Programs, Air Force Research Laboratory



## 10th Annual Science & Engineering Technology Conference/DoD Tech Exposition

April 21-23, 2009

Embassy Suites Hotel/Convention Center

Charleston, SC

Event 9720

### NDIA Science and Engineering Technology Division Mission

The mission of the Science and Engineering Technology Division of NDIA is to examine various research and development issues affecting national defense. In pursuing this mission, the Science and Engineering Technology Division will provide a forum for discussion of the nation's defense needs by examining our existing capabilities and suggesting appropriate measures to overcome deficiencies in defense research and development. An important part of this activity is the opportunity for individuals from industry, government and academia to examine vital information in open forum on technical needs and planned efforts. The division will be dedicated to conducting conferences that foster an increased interest in meeting the Department of Defense technology requirements by creative research and advanced development throughout industry, government and academia.

### *“Creating Capability Surprise Through Innovative S&T and Operational Prototyping”*

#### Conference Co-Chairs:

Colonel Jocelyn M. Seng, USAFR, Ph.D., Mobilization Assistant to the Deputy Assistant Secretary (Acquisition Integration)

Colonel Mark Stephen, USAF (Ret)

Vice President, Strategic Planning and Business Development, L-3 Coleman Aerospace

Science and Technology (S&T) advancements are key enablers for the onset of DoD system development. New technology can support the achievement of new capabilities to fill gaps identified through intelligence, testing and military operations. While essential to maintain awareness of emerging threats, it is also time to increase the focus on offense by delivering truly game changing capabilities which increase our advantage by overwhelming potential adversaries and forcing defensive reaction to U.S. warfighting capabilities. Additionally, with countering terrorism being a top priority for the DoD, game-changing capabilities are needed to deal with irregular warfare. S&T advancements provide opportunities to create capability surprise; however, it often takes a decade or more to get that technology into the hands of the war fighters. Operational prototyping provides hope for creating a streamlined technology transition and acquisition process.

Speakers from government, industry and universities will present their views on accomplishments and successes in applying innovative technology across the life cycle of DoD systems. Speakers will be available in the “Speakers Corner” after each session. This year, the conference will again feature poster paper sessions with authors available for discussion and interaction on emerging concepts and technology. There will also be opportunities for industry and academia to present ideas to Service representatives in one-on-one sessions.

# TUESDAY, APRIL 21, 2009

7:00 AM **Conference Registration and Continental Breakfast - (Sign-up for one-on-one sessions begins)**

8:00 AM **Welcoming Remarks**  
Major General Barry D. Bates, USA (Ret), VP Operations, NDIA

Mr. James Chew, Vice President, Science & Technology, ATK  
S&ET Division Chair

8:15 AM **Preliminary Session: Opportunities for Collaboration**  
Chair:  
Mr. Robert W. Baker, Deputy Director, Plans & Programs, ODDR&E

In this session we will present Strategic Imperatives that provide focus for the investment of the DoD S&T program. Specific programs that provide conference attendees opportunities to engage in collaborative efforts with the DoD S&T community will also be highlighted. Presentations will provide information on technology areas of high interest to the DoD, time lines and points of contact for the submission of proposals. Opportunities for both industry and academia will be covered. A wide range of programs, from the larger technology demonstrations funded by the Joint Capability Technology Demonstration program that lead to the evaluation of military utility of advanced technology by a Combatant Commander; to the more focused technology development efforts that are funded by the Test & Evaluation/ Science & Technology (T&E/S&T) program will be covered. Opportunities for proposing commercial off-the-shelf technology to meet current military needs will be addressed by the Quick Reaction Fund/Rapid Reaction Fund presentation. The session will also include a presentation on opportunities for collaborative research and technology development through the Coalition Warfare Program and be rounded out with a presentation on collaborative international research and technology development.

8:15 AM **Strategic Imperatives for DoD S&T Program**  
Mr. Alan R. Shaffer, Principal Deputy Director, Defense Research & Engineering

8:45 AM **The Advanced Systems & Concepts Portfolio of Opportunities**  
Dr. Charles Perkins, Acting, Deputy Under Secretary of Defense for Advanced Systems & Concepts

9:15 AM **The DoD T&E/S&T Program**  
Mr. Gerald Christenson, T&E/S&T Program Manager, Defense Test Resource Management Center

9:45 AM **Break**

10:30 AM **Quick Reaction and Rapid Reaction Funds**  
Mr. Glenn Fogg, Deputy Director, Rapid Reaction Technology Office

11:00 AM **Coalition Warfare Program**  
Colonel Kathleen Hithe, USAF, Deputy Director for Coalition Warfare

11:30 AM **Allied Science & Technology... Changes at the Defence Science & Technology Organization**  
Featured Speaker: Professor Robert Clark, CDS, Australian Defence Science & Technology

12:00 PM **Luncheon – Exhibits and Poster Papers Open**

1:00 PM **Keynote Address**  
Dr. Zachary J. Lemnios, Chief Technology Officer, MIT Lincoln Laboratory

1:45 PM **Session 1: Army Session**  
Co-Chairs:

- Dr. Cary Chabalowski, Director for Science & Technology Integration, Office of the Deputy Assistant Secretary of the Army (Research & Technology)
- Dr. Walter (Rick) F. Morrison, Principal, Booz Allen Hamilton

The Army Science and Technology (S&T) Strategy has a dual approach to delivering technology to the Warfighter. Army S&T fosters innovation and accelerates maturing technology to enable Future Force capabilities while exploiting opportunities to rapidly transition technology to the Current Force.

## TUESDAY, APRIL 21, 2009

The goal of S&T is to develop technologies that will enable a Future Force that is more mobile, survivable and sustainable, and dominant on the battlefield. Three research areas which are essential to meeting this goal are Power and Energy, Network Science, and Robotic Systems. S&T research in power and energy is aimed at reducing fossil fuel and battery demand through reduced platform energy consumption, more efficient power sources, smart energy management and the development of alternative energy options. Our efforts in Network Science will provide the foundation for enhancement of Battle Command in a highly mobile, highly distributed, information-rich environment. The increased utilization of unmanned robotic systems has proven to be extremely effective in current operations. Advancing research in autonomous perception, maneuver and tactics technology will result in unmanned air and ground systems that remove the soldier from dangerous and "dirty" work. The Army's Research, Development and Engineering Command (RDECOM) Technology Focus Teams/Systems Integration Domains provides a means for industry to engage in partnerships with the research and development community within the RDECOM.

- 1:45 PM **Army Science & Technology: Empowering Soldiers Through Innovative Technology**  
Dr. Thomas Killion, Deputy Assistant Secretary of the Army (Research & Technology)/Chief Scientist
- 2:30 PM **Technology Focus Teams/Systems Integration Domains**  
COL Craig G. Langhauser, Director, Simulation and Training Center, RDECOM
- 3:00 PM **Break in Exhibit Hall**
- 3:30 PM **Power and Energy**  
Dr. Edward C. Shaffer, Sensors and Electronic Devices Directorate, U.S. Army Research Laboratory
- 4:00 PM **Network Science**  
Dr. David Skatrud, Director, Army Research Office
- 4:30 PM **Robotic Systems**  
Mr. Jeffrey F. Jaster, Deputy Associate Director for Autonomous Systems Intelligent Ground Systems, RDECOM-TARDEC
- 5:00 PM **Special Presentation: The Focus Center Research Program**  
Dr. Jeffery Rogers, Program Manager, Focus Center Research Program, Defense Advanced Research Projects Agency (DARPA)
- 5:30 PM **Reception in Exhibit Hall**

## WEDNESDAY, APRIL 22, 2009

- 7:00 AM **Conference Registration and Continental Breakfast**
- 8:00 AM **Administrative Remarks**
- 8:15 AM **Session 2: Air Force Session**  
Co-Chairs:
- Dr. Patrick A. Mason, Senior Planner, Strategy Office in the Office of the Deputy Assistant Secretary (Science, Technology and Engineering)
  - Colonel Jocelyn M. Seng, USAFR, Ph.D., Mobilization Assistant to the Deputy Assistant Secretary (Acquisition Integration)
- This session begins with an Air Force R&D overview on how the Air Force S&T is focused and protected in order to provide continued dominance in air and space. This focus and protection is a strategic imperative of the Air Force as DoD budgets compete in tight fiscal environments of the future. The Air Force must maximize its R&D resources to strengthen the systems planning, engineering and analysis processes required for transition from research into Air Force weapons systems. The second presentation provides an overview of the Air Force basic science program that champions research that in the future, could profoundly impact the Air Force. As the R&D community is composed primarily of scientists, technologists, engineers and mathematicians (STEM) and acquisition professionals, this session will include a presentation on developing the Air Force's intellectual capital required to meet current and future Air Force priorities.
- 8:15 AM **Overview of Air Force R&D**  
Mr. Terry J. Jagers, Deputy Assistant Secretary (Science, Technology and Engineering)
- 9:00 AM **Overview of Air Force Basic Research**  
Dr. Brendan B. Godfrey, Director, Air Force Office of Scientific Research

# WEDNESDAY, APRIL 22, 2009

9:30 AM

## **Air Force STEM Workforce Today and Tomorrow**

Mr. Leif E. Peterson, National Research Council, Air Force Science Board Committee on "Examination of the U. S. Air Force's STEM Workforce Needs in the Future and Its Strategy to Meet Those Needs"

10:00 AM

## **Break - Exhibits and Poster Papers Open**

10:45 AM

## **Panel Discussion on "Processes for Effective R&D Planning & Technology Transition"**

Discussions will include:

- Air Force R&D Strategy and Technology Transition Efforts
- Value of 6.4 in Providing a Strong Foundation for Technology Transition
- Air Force Research Laboratory Perspective on Technology Transition

Panel Moderator: Dr. John W. Betz, Chair, Air Force Scientific Advisory Board

- Dr. Brendan B. Godfrey, Director, Air Force Office of Scientific Research
- Lieutenant Colonel Ralph A. Sandfry, Chief, R&D Strategy Branch, Office of the Deputy Assistant Secretary (Science, Technology and Engineering)
- Lieutenant Colonel Scott Nowlin, Chief, Air Force Technology Transition Office in the Office of the Deputy Assistant Secretary (Science, Technology and Engineering)
- Ms. Roberta M. Ewart, Chief Scientist, Air Force Space & Missile Systems Center
- Mr. Wendell D. Banks, Director, Plans and Programs, Air Force Research Laboratory
- Ms. Anne Carstens, Chief, Acquisition Center of Excellence, Air Armament Center

12:00 PM

## **Luncheon - Exhibits and Poster Papers Open**

### **Speaker: Mission to Outer Space**

Mr. Bill Shepherd, Chief Science Advisor, USSOCOM

1:30 PM

## **Session 3: Naval Session**

Co-Chairs:

- Mr. Dennis L. Ryan, III, Science and Technology Planning Director, Johns Hopkins University, Applied Physics Laboratory
- Dr. Joseph Lawrence, III, Director of Transition, Office of Naval Research
- Mr. E. Terrence Dailey, Director of Operations, Software Engineering Institute

The Navy and the Marine Corps have long relied upon Science and Technology to inject new capabilities into the naval warfighter's toolkit. Tools from that kit must perform reliably in challenging operational environments and the reliability has often been achieved by combining technologies inseparably in a single system, weapon or piece of equipment. Previously, new technologies were mainly injected through totally new systems, weapons or equipments, but that has changed. Key enablers to improving the speed of technology refresh and improvement in naval systems are open architecture and common hardware. Use of these principles will allow the U.S. to remain ahead of potential adversaries in the evermore technologically advanced global arena. Thus, this session will focus on the Department of the Navy's efforts to reduce costs while introducing new capability faster.

1:30 PM

## **Avoiding Cost Growth through Open System Architecture**

Mr. Jim Thomsen, Principal Civilian DASN, ASN RD&A

2:15 PM

## **Innovating for the Future**

Dr. Larry Schuette, Director of Innovation, Office of Naval Research

2:45 PM

## **Break - Exhibits and Poster Papers Open (Last Chance to View Exhibits)**

3:30 PM

## **Panel on Naval Open Architecture**

Panel Moderator: Mr. Nick Guertin, Deputy Director for Open Architecture (PEO IWS 7B)

- Dr. Joseph Lawrence, III, Director of Transition, Office of Naval Research
- Dr. Wayne Meeks, Executive Director, Deputy Assistant Secretary of the Navy - Ships
- Mr. James Smerchansky, Director for Above Water Sensors, Program Executive Office for Integrated Warfare Systems
- Mr. John Andrews (PEO C4I) *(Invited)*

5:00 PM

## **Session Adjourned**

# THURSDAY, APRIL 23, 2009

7:00 AM **Conference Registration and Continental Breakfast**

7:40 AM **Administrative Remarks**

7:45 AM **Session 4: Capabilities Needed by the Combatant Commanders**

Co-Chairs:

- Mr. James S. B. Chew, Vice President, Science & Technology, ATK
- Mr. Robert W. Baker, Deputy Director, Plans & Programs, ODDR&E

Meeting the capability needs of the warfighter is the most important goal of the DoD Science and Technology program. Establishing strong communications between the warfighter and the researcher is essential for understanding these capability needs. Warfighters traditionally communicate their needs in terms of capability gaps. The DoD S&T community must be able to address those gaps in S&T projects and demonstrate how enabling technology can effectively and rapidly fill these capability gaps. In this session, representatives of U.S. Combatant Commanders will describe what new operational capabilities would make a big difference in their ability to conduct military operations in their areas of responsibility.

7:45 AM **How Capabilities are Developed and Delivered to the Combatant Commanders**

Mr. Mike Knollmann, Assistant Deputy Under Secretary of Defense (Joint & Coalition Operations Support)

8:15 AM **USCENTCOM**

Mr. Martin Drake, Science Advisor, USCENTCOM

8:45 AM **USSOCOM**

Mr. Bill Shepherd, Chief Science Advisor, USSOCOM

9:15 AM **USPACOM**

Mr. Jim Burdell, Science Advisor, USPACOM

9:45 AM **USSOUTHCOM**

Mr. Herb Warden, JCTD Program Manager, USSOUTHCOM

10:15 AM **Break**

10:30 AM **Best Poster Winner Announcement**

10:45 AM **NORTHCOM**

Mr. Edmund M. Doray, Chief, Concepts and Technology Division, N-NC Interagency Directorate, NORTHCOM

11:15 AM **USTRANSCOM**

Mr. Lou Bernstein, Science Advisor, USTRANSCOM

11:45 AM **USSTRATCOM**

Mr. Dave Tyner, Deputy Division Chief, J81, USSTRATCOM

12:15 PM **Box Lunches**

1:15 PM **Session: Strategic Discussion on DoD Independent Research and Development**

Chair:

- Dr. Andre van Tilborg, Deputy Under Secretary of Defense for Science and Technology

In this session, we will discuss DoD strategic technology interests as well as set the stage for additional deliberations later in 2009 for maximizing benefit to the DoD from investments in the approximately \$2.4B of annual Independent Research and Development (IR&D) efforts. The Office of the Secretary of Defense (OSD) and the Services will present technology and engineering investment opportunities which are best addressed by industry-led initiatives or considered high priority but not uniquely aligned with an individual Service or Agency mission. Discussions will be held to address collaborative IR&D oversight mechanisms between DoD and industry to catalog and promulgate the results of on-going investments, and identify high-potential opportunities to provide increased capabilities to the warfighter or reduce acquisition development risks, costs, and schedule. In conjunction with industry representation and collaboration, DoD has reinvigorated the Technology Coordination Group (TCG) activities established by DoD Directive 3204.1. Discussions will help to shape TCG responsibilities and define those collaborative oversight activities and set the stage for a more lengthy discussion later in 2009.

---

# THURSDAY, APRIL 23, 2009

- 1:15 PM           **Opening Remarks**  
Dr. Andre van Tilborg, Deputy Under Secretary of Defense for Science and Technology
- 1:30 PM           **Military Department Presentations**  
Army - Dr. Jagadeesh Pamulapati, Deputy Director for Laboratory Management  
  
Navy - Rear Admiral Nevin P. Carr, USN, Chief of Naval Research  
  
Air Force - Mr. Wendell D. Banks, Director, Plans & Programs, Air Force Research Laboratory
- 3:00 PM           **Break**
- 3:15 PM           **Topics for Consideration**  
Dr. Andre van Tilborg, Deputy Under Secretary of Defense for Science and Technology
- 3:30 PM           **Discussion**
- 5:15 PM           **Conference Adjourns**

## ONE-ON-ONE SESSIONS

There will be the opportunity to have fifteen minute one-on-one conversations with Technology Managers from the Air Force Army and the Navy. Sign up for sessions can be done at the registration counter.

## SCHEDULE:

### Tuesday, April 21, 2009

Air Force:

3:00 PM - 5:00 PM

Colonel Kenneth L. Echternacht, Science and Technology Division Chief, Office of the Deputy Assistant Secretary (Science, Technology and Engineering)

Navy:

1:45 PM - 5:00 PM

Dr. Larry Schuette, Director of Innovation, Office of Naval Research

Dr. Joseph Lawrence, III, Director of Transition, Office of Naval Research

### Wednesday, April 22, 2009

Air Force:

3:00 PM - 5:00 PM

Colonel Kenneth L. Echternacht, Science and Technology Division Chief, Office of the Deputy Assistant Secretary (Science, Technology and Engineering)

Army:

9:00 AM - 12:00 PM, 1:30 PM - 5:00 PM

Dr. Cary Chabalowski, Director for Science & Technology Integration, Office of the Deputy Assistant Secretary of the Army (Research & Technology)

Navy:

9:30 AM - 11:30 AM and 5:00 - 6:00 PM

Dr. Larry Schuette, Director of Innovation, Office of Naval Research

Dr. Joseph Lawrence, III, Director of Transition, Office of Naval Research

### Thursday, April 23, 2009

Navy:

8:15 AM - 10:15 AM

Dr. Larry Schuette, Director of Innovation, Office of Naval Research

Dr. Joseph Lawrence, III, Director of Transition, Office of Naval Research





# Strategic Imperatives for the DoD Science & Technology Program

***Mr. Al Shaffer  
Principal Deputy  
Defense Research and Engineering***

# The Next Phases of Development

## --Thoughts from the Secretary of Defense--



*"I believe the Department should seek increasing competition, use of prototypes, and ensure technology maturity so that our programs are ready for the next phases of development..."*

**Secretary Gates before the SASC,  
January 27, 2009**

***The strategy (National Defense Strategy) strives for balance in three areas: between trying to prevail in current conflicts and preparing for other contingencies, between institutionalizing capabilities such as counterinsurgency and foreign military assistance and maintaining the United States' existing conventional and strategic technological edge against other military forces, and between retaining those cultural traits that have made the U.S. armed forces successful and shedding those that hamper their ability to do what needs to be done.***

***Foreign Affairs Magazine Jan / Feb 2009***

**DDR&E VISION: To develop technology to defeat any adversary on any battlefield**

***Any Battlefield includes physical, cyber, space, undersea, etc***

***Any adversary includes both State & non-State actors***



*Transformation*

0.0.0.0



# Forces of Change...



**Changing  
International  
Factors**

**Defense S&T  
for Persistent  
Conflict**

**Changing  
Warfare  
Factors**

**NEW TECHNOLOGY NEEDED**  
***What does this mean for the Department...?***

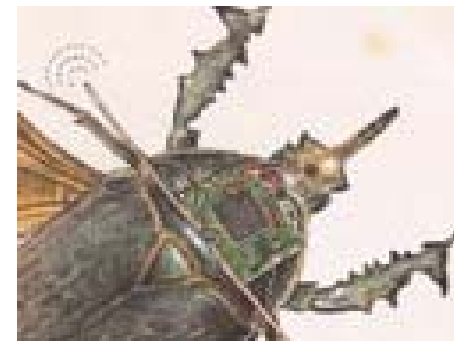
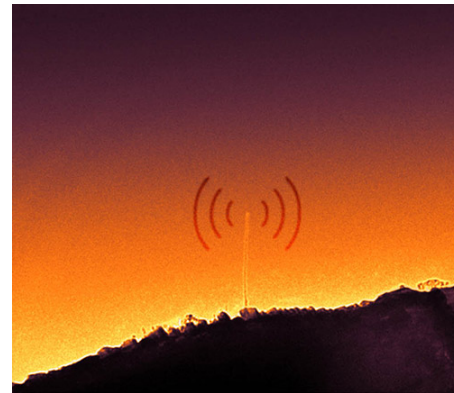
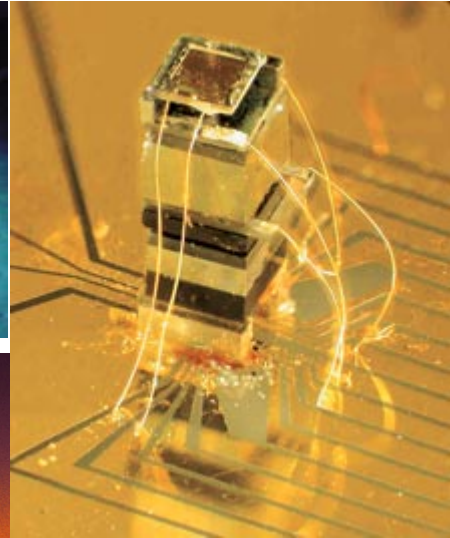
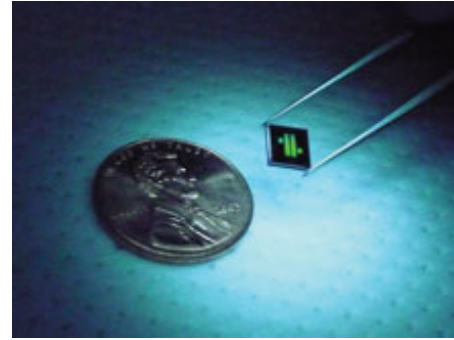
# Expanding Knowledge Base

## Science Becoming Global, Multidisciplinary



### 2009 MIT Innovations List of Top 10 Emerging Technologies:

- *Biological Machines*
- *Traveling Wave Reactor*
- *Racetrack Memory*
- *\$100 Genome*
- *Software Defined Networking*
- *Intelligent Software Assistance*
- *Liquid Battery*
- *HashCache*
- *Nanopiezotronics*
- *Paper Diagnostic Tests*
- *Nanoradios (2008 holdover)*

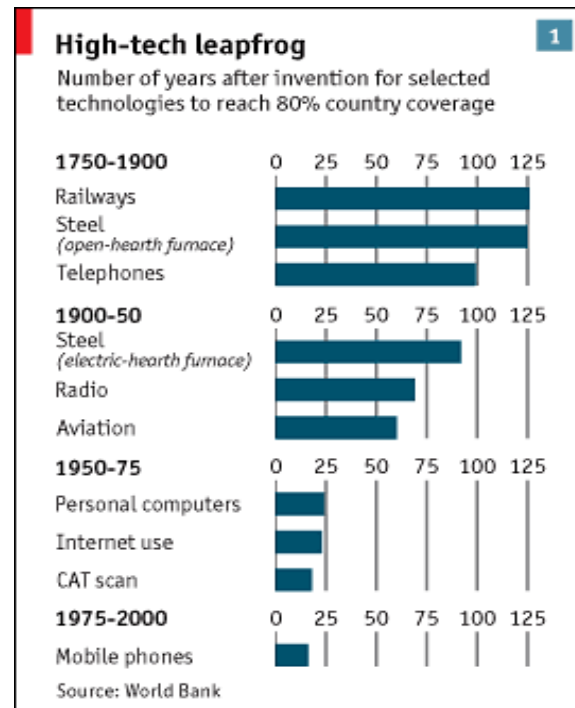
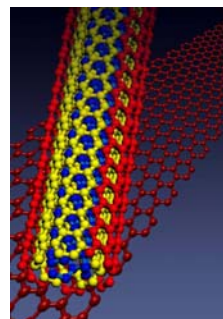


**Technology opportunities are expanding, but not well understood...**

# Pace of Technology Continues to Increase



- Time between modeling of semiconducting properties of germanium in 1931 and first commercial product (*transistor radio*) was 23 years
- Carbon nanotube
  - Discovered by Japan (1991)
  - Researchers recognized carbon nanotubes were excellent sources of field-emitted electrons (1995)
  - “Jumbotron lamp” - nanotube-based light source available as commercial product (2000)
- Information Technology



*The Economist*, Feb. 9, 2008

A horizontal timeline from 1993 to 2008 showing the emergence of various technologies and services. Logos include: amazon.com, DIRECTV, eBay, Google, Tivo, Skype, Facebook, MySpace, Del.icio.us, BlackBerry, Wikipedia, YouTube, Flickr, Twitter, Wi-Fi, Digg, Technorati, Second Life, and Banking (MTN). A green cloud labeled 'Cloud Computing' is positioned above the timeline around 2003-2004.



# Strategic Plans Drive Strategic Imperatives



***FY2010 Budget will contain “recommendations that are the product of a holistic assessment of capabilities, requirements, risks and needs for the purpose of shifting this Department in a different strategic direction” \****

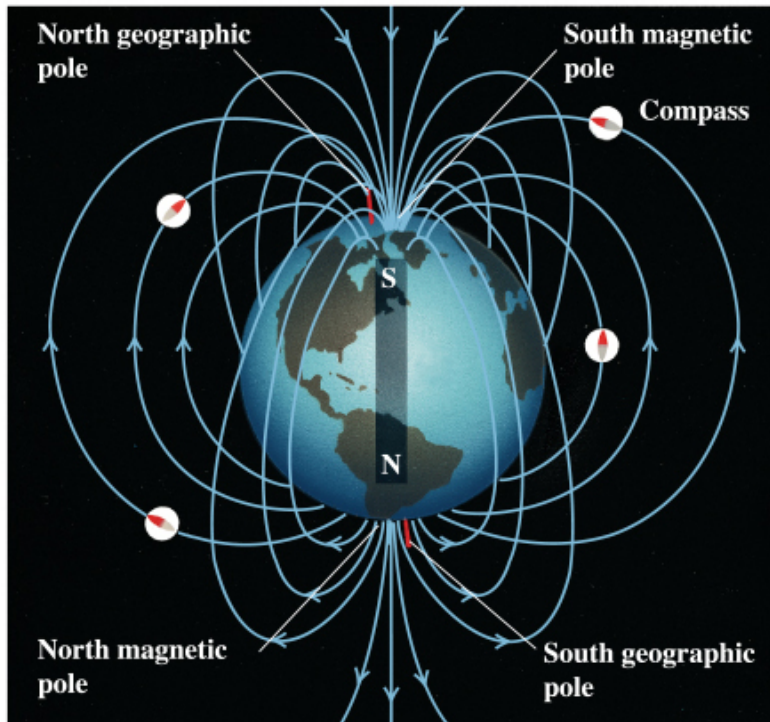
***\* Secretary Gates, Defense Budget Recommendation Statement, Arlington, VA, April 6, 2009***

***Shifting away from an emphasis on ships, tanks, and planes—to focus on protection, information, knowledge, and timely, actionable intelligence***



# Rise of the Commons

- **Military operations increasingly depend on being able to operate in places “no one owns” – The Commons**
- **U.S. DoD science and technology is increasing to assure capability to operate in the commons.**



# The Fallout From Desert Storm



- **US dominance over Soviet-era systems “shocked” potential adversaries and combined to give US conventional superiority**
  - Precision Weapons
  - Night Vision
  - Low Observability
  - Networked Systems
  - Space
  - Command and Control
- **The advent of information-based warfare fed the emergence of irregular warfare**



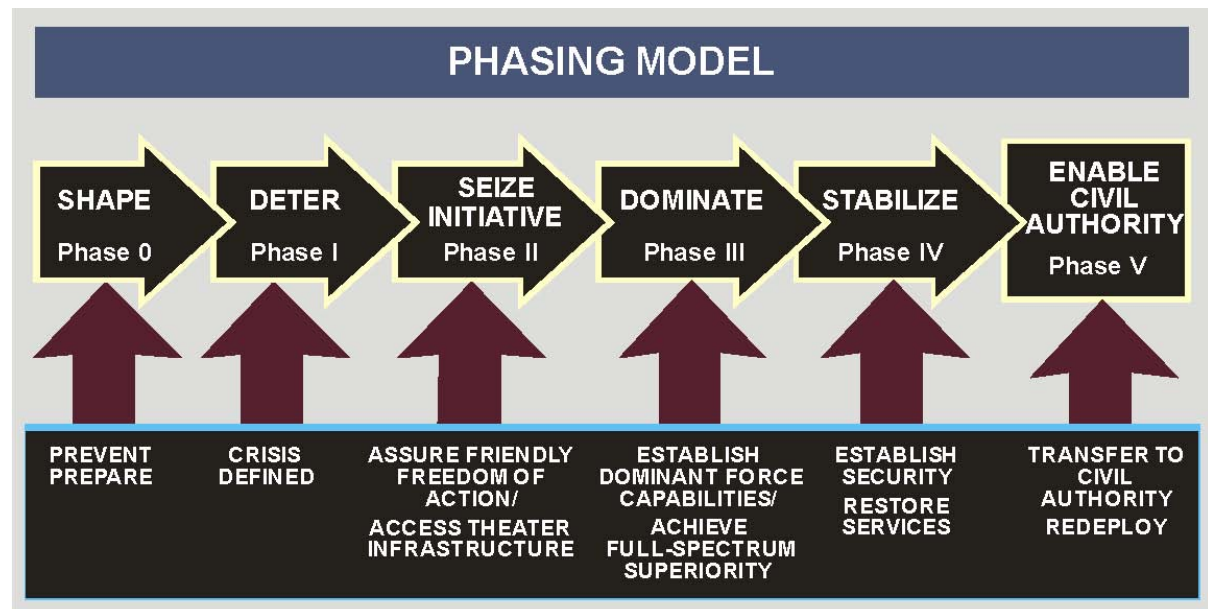


# Led To Resurgence of Irregular Military Operations



## Implications

- US and Allies have unquestioned advantage With Conventional Systems
- World wide resurgence of Tribal Alliances
- New Capabilities Needed to Deal with the New Strategic Framework
- Engagement Includes Soft Power
- Phase 0, 1, 4, and 5 Coming to the Force



# Complex Operating Environment



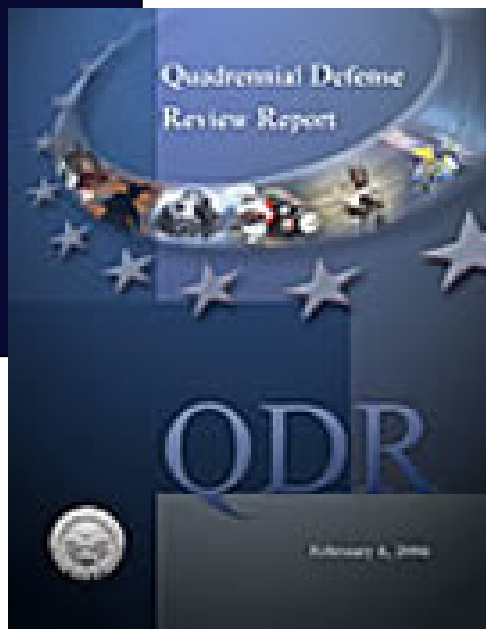
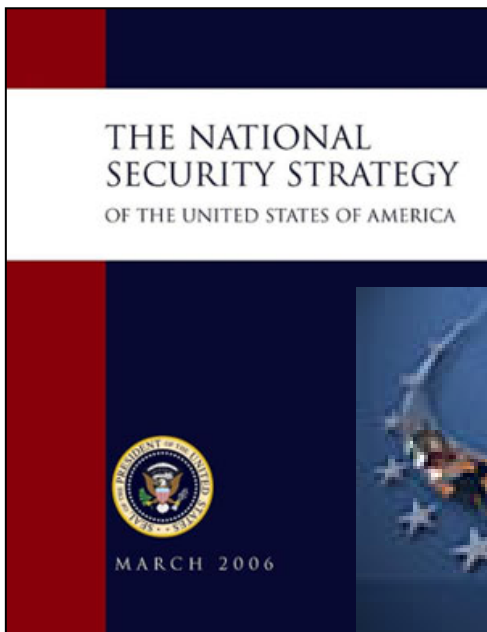
- **Today's global economy is technology driven**
- **Adversaries will increasingly leverage technology to challenge U.S Armed forces capabilities**
- **Irregular and asymmetric forms of warfare are likely**
- **Economic, demographic, resource, technology, and climate trends will present new challenges**





# Strategic Plans

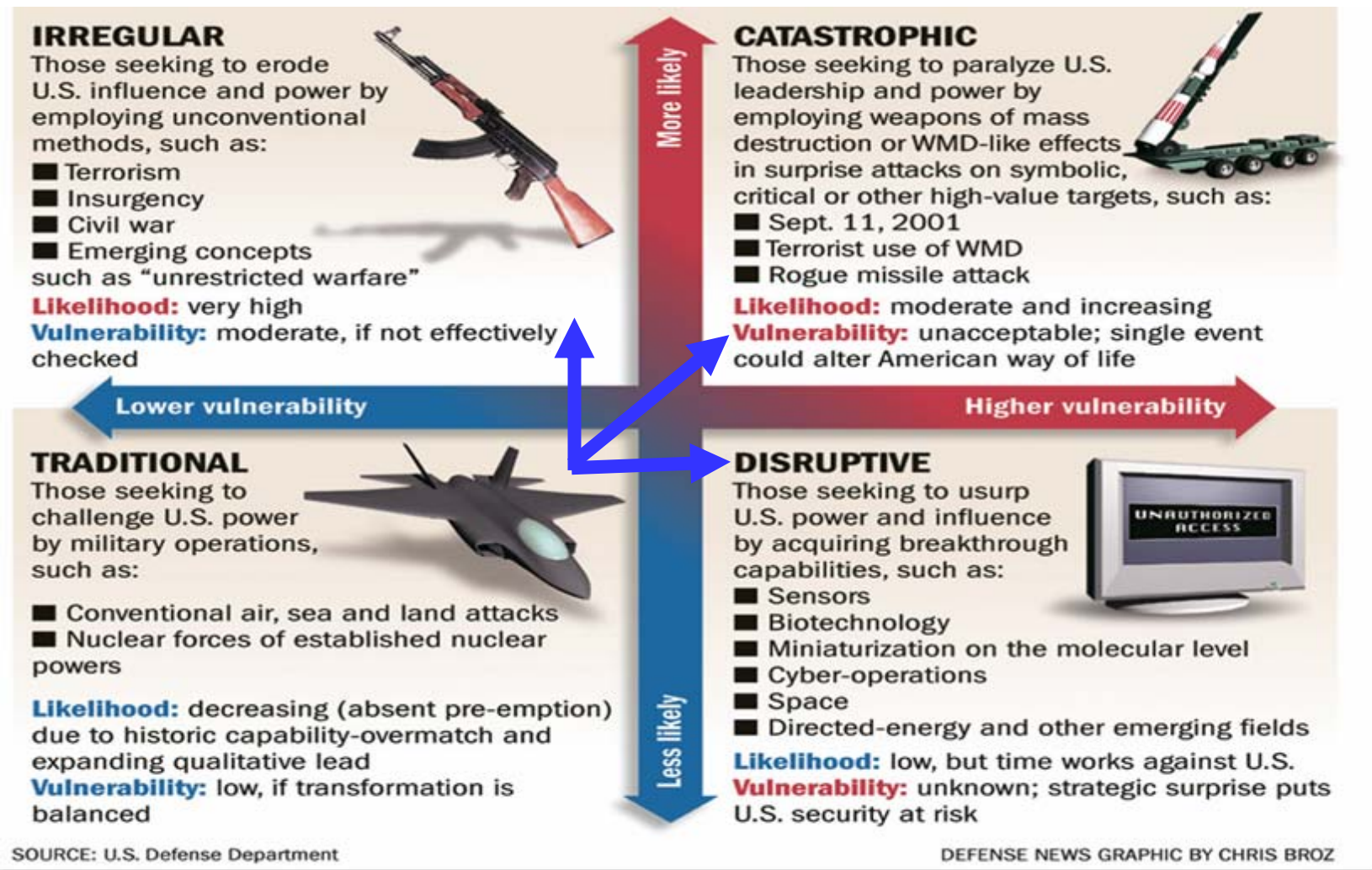
## Defense Science and Technology Strategy and Planning







# National Defense Strategy Drives Investment Strategy

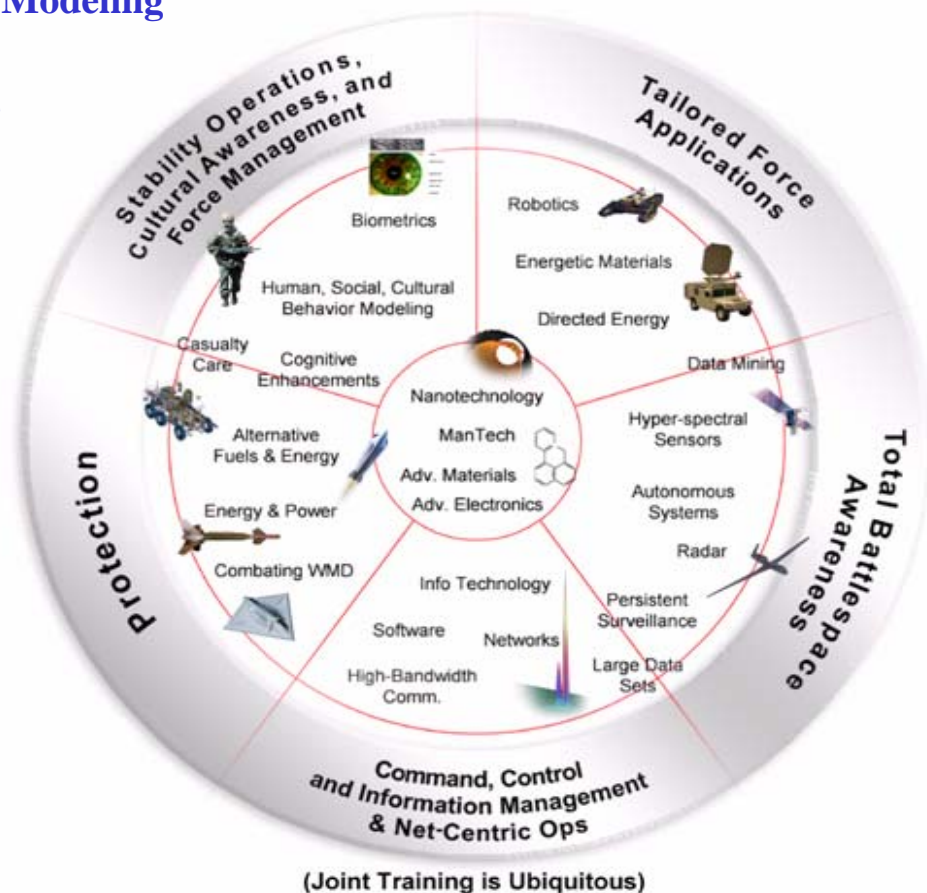




# S&T Enabling Technology Priorities

## -Supporting the QDR Strategic Outcomes-

- **Technology focus areas:**
  - **Human, Social, Cultural, and Behavioral Modeling**
  - **Biometrics and Biological exploitation**
  - **Information Technology and applications**
  - **Persistent Surveillance Technologies**
  - **Networks and Communication**
  - **Language Translation Technologies**
  - **Manufacturing Technologies**
  - **Cognitive Enhancement**
  - **Directed Energy Technologies**
  - **Autonomous Systems Technologies**
  - **Hyperspectral Sensors**
  - **Nanotechnology**
  - **Advanced Materials**
  - **Energy and Power Technologies**
  - **Organization, Fusion, & Mining Data**
  - **Combating WMD Technologies**
  - **Energetic Materials**



**\*\*Blue Text—Areas with substantial increases in FY08/09 President's Budget Request**



# Strategic Imperatives

# Four Principal Objectives



## - *Basic Research and Three DoD Strategic Imperatives*

### *Secretary of Defense Posture Statement on the FY2009 Budget, February 2008*

*“As changes in this century’s threat environment create strategic challenges – irregular warfare, weapons of mass destruction, disruptive technologies – this request places greater emphasis on **basic research**, which in recent years has not kept pace with other parts of the budget.”*

### *Secretary of Defense, Budget Recommendation Statement, Arlington, VA, April 6, 2009*

1. Take care of our people
2. Develop the right capabilities for today and tomorrow
  - Persistent surveillance
  - Cyberspace operations/protection
  - Combating weapons of mass destruction
  - Irregular warfare
3. Reform the Procurement, Acquisition, and Contracting processes







# Connecting Researchers to the Warfighter

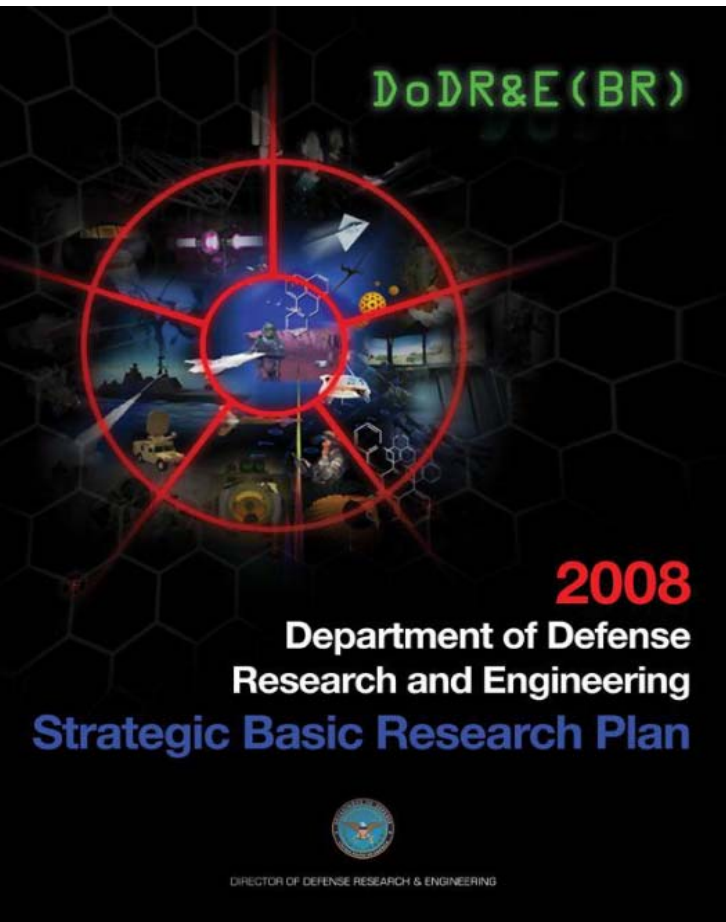
## President Obama, Science Debate, September 2, 2008



*“My administration will put basic defense research on a path to double and will assure strong funding for investments in DoD’s applied research programs. We will enhance the connections between defense researchers and their war-fighting counterparts.”*

**Investment in Basic Research is a commitment to the future warfighter**

# Strategic Basic Research Plan (BRP)



- **\$270M Basic Research Increase (PBR 09)**
  - Enhance the science and engineering personnel base and Emphasize research to address Grand Capability Challenges:
    - Cyber protection/ information assurance
    - Network sciences
    - Science of autonomy
    - Information fusion and decision sciences
    - Biosensors and biometrics
    - Human sciences (cultural, cognitive, behavioral, neural)
    - Software sciences and materials
    - Immersive sciences for training and mission rehearsal
    - Power and energy management
    - Counter directed energy weapons
  - About 500 focused research efforts

***A Strategic plan guiding DoD research, built around National Science Foundation (NSF) Taxonomy***





# April 2009 Budget Statement

*- Imperative 1: Take care of our people -*

- **Personnel & Platform Protection**
- **Advanced Medical Research**
- **Education**

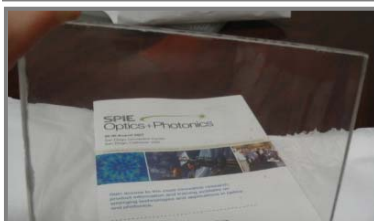




# Example – Protection Lightweight Armor

**Objective: To overcome the traditional cost/performance tradeoff through innovative arrangement (topology) of known materials, rather than invention of new materials**

**Improved Strike-face: Transparent Spinel (MgAlO)**



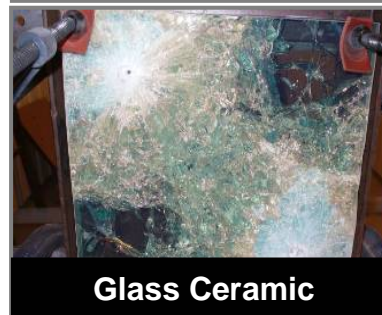
**Glass Coated 6" x 6" Spinel Plate**



**Topological Features on Back Face**

**Ballistic testing completed at Army Research Lab with areal density ~18 lbs/ft<sup>2</sup>**

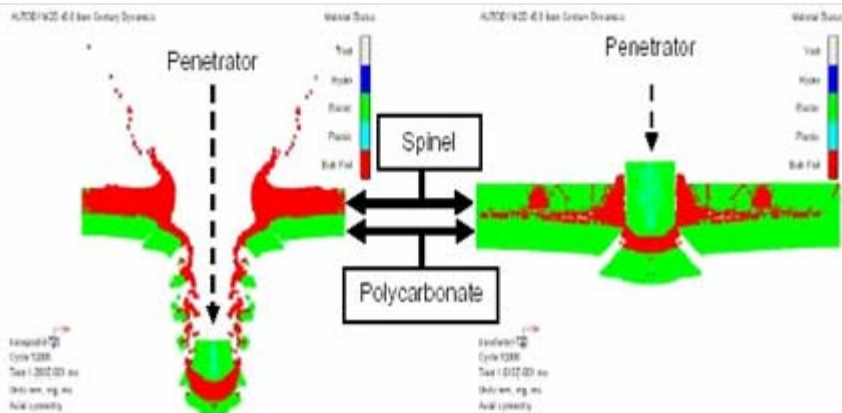
**Improved Backing Materials: Glass Ceramics and Transparent Polymers**



**Glass Ceramic**



**Glass Fiber Reinforced Polymer**



Spinel | Glass Ceramics | Fiber Polymer

**Transparent Armor System**

*Increase Performance, Reduce Weight, and Maintain Optical Requirements of Transparent Layered Armor*



# Example – Medical R&D

## Military Operational Medicine Research Program

- Capabilities structured into four key focus areas:

- Injury Prevention
- Psychological Health and Resilience
- Physiological Health and Well-Being
- Environmental Health and Protection

*Priority 1*  
Injury Prevention



*Priority 2*  
Psychological Health



*Priority 3*  
Physiological Health



*Priority 4*  
Environmental Health





# Example – Educating Our Force

## National Defense Education Program (NDEP)

### Building Science, Technology, Engineering & Math (STEM) Skills



#### Challenge:

- Downward trend in S&E degrees at all levels of education
- General erosion of US competency in math and science at mid/high school

#### STEM Development:

- Science and Math competence is gained in K-12
- Forms foundation of educated, capable, and technical workforce for DoD

#### Path Forward:

- Promote STEM Education in mid/late educational years combined with defense community employment



**NDEP website =**  
**<http://www.ndep.us>**

***DoD employs nearly half of all Federal physical scientists, technologists, engineers, and mathematicians***



# April 2009 Budget Statement

## - Imperative 2 -

### *Develop the right capabilities for today and tomorrow*



- **Combating Weapons of Mass Destruction**
- **Advanced Tagging, Tracking, & Locating**
- **Cyberspace Operation/Protection Technologies**
- **Battlespace Awareness**
- **Energy & Power**
- **Unmanned Vehicles**
- **Advanced Electronics**
- **Advanced Materials**
- **Processing Large Data Sets**
- **Intelligence, Surveillance & Reconnaissance**
- **Human, Social, Cultural, Behavior Modeling**
- **Software Development**



# **April 2009 Budget Statement**

## ***- Imperative 3 - Reform the Procurement, Acquisition, and Contracting processes***



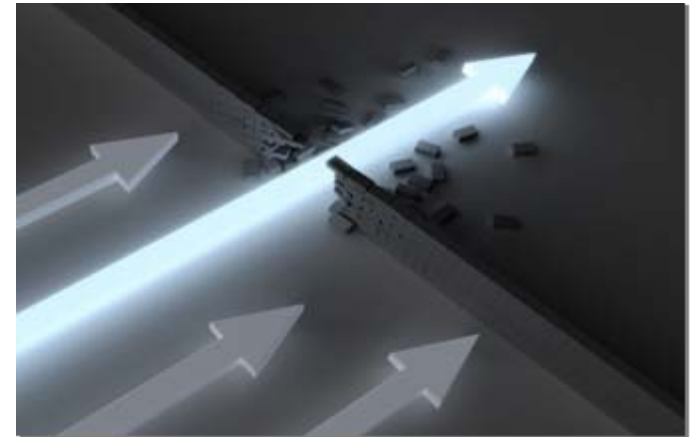
- **Joint Analysis Teams**
- **Milestone Development Decisions**
- **Information Access**
- **DoD Challenge Programs**
- **Technology Transition Initiatives**
- **Operational Prototyping**

# DoD Techipedia



## Why a Wiki for DoD Technology Collaboration?

- **Break across community barriers**
  - Foster communication between those with needs and those with potential solutions
- **Success of Wikipedia, Intelipedia make Wikis more familiar, especially to Generations X and Y**
  - Builds on how people are interacting at home, and at schools
  - Increasing awareness of wiki's in the DoD S&T users community are through interaction with Intelipedia's S&T areas
- **Low technical barriers to entry**
  - Browsers based - no specialized tools
  - Low bandwidth



<https://www.dodtechipedia.mil>



# 2008 DDR&E Wearable Power Prize

## The Challenge

Demonstrate a Wearable Power System that provides 20 watts of power on average for 96 hours weighing 4 kg or less (minimum 480 W-hr/kg)

## Prize Purse

- 1<sup>st</sup> \$1,000,000
- 2<sup>nd</sup> \$500,000
- 3<sup>rd</sup> \$250,000

## Competition Description

- Competition Announced July 2007
- 169 Teams Registered Nov 2007  
( > 500 competitors/ ~ 20 countries)
- Fuel Plans Due Mar 2008
- System Description Due Jun 2008
- Capstone Events Sep – Oct 2008
  - Competition Sep 22 – Oct 4
  - Kids' Day Oct 3<sup>rd</sup>
  - Awards Ceremony Oct 4<sup>th</sup>

**\$1,000,000 3.762 Kg**

DuPont SFC/Smart Fuel Cell M-25 Team  
Wilmington, DE

Direct Methanol Fuel Cell/Li-Ion Battery/ Power Electronics Hybrid Fuel – Liquid Methanol



**92-Hour Bench Test**

**\$500,000 3.790 Kg**

Adaptive Materials Inc. - Ann Arbor, MI

Solid Oxide Fuel Cell/ Li-Ion Battery/ Power Electronics Hybrid Fuel – Pressurized Propane &

**\$250,000 3.865 Kg**

Jenny 600S – Middleburg, VA

Direct Methanol Fuel Cell/ Li-Ion Battery/ Power Electronics Hybrid Fuel – Liquid Methanol



**4-Hour Field Test**



# Joint Capability Technology Demonstration (JCTD)



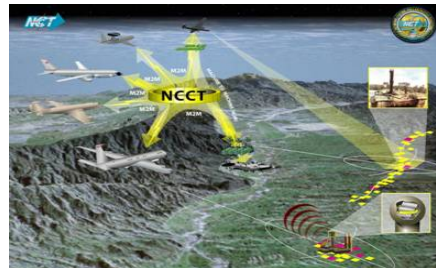
- Improves ACTD process/replaces ACTDs (**Oversight--not Program Management**)
- Designed to speed transformational, joint and coalition capabilities
- Works with combatant commands to identify solutions emerging/validated needs
- Partners with services/agencies to push technology solutions
- Final demonstration phase reached in two years for most JCTDs
- Majority of JCTD start up and transition costs centrally funded in DDR&E/AS&C

## Transformational



The **SPARTAN ACTD** demonstrates a multi-mission unmanned surface vessel (USV) capability that will can transform the way our forces provide ship/harbor security.

## Joint



U.S. Army, Navy, and Air Force are working with UK on the **Network Centric Collaborative Targeting ACTD** to horizontally integrate intelligence, surveillance, and reconnaissance platforms for target identification and geolocation.

## Coalition



Pakistani troops deploying for Tsunami relief effort with help from **Coalition Theater Logistics ACTD**

***“We are encouraged by recent actions taken by DOD to initiate a Joint Capabilities Technology Demonstration business process as it is intended to meet joint and coalition forces needs we have outlined.” GAO--Michael Sullivan, Director Acquisition & Source Mgt, HASC sub-committee on Tactical Air and Land Forces Subcommittee, 9 March 2005.***

# Director of Defense Research & Engineering Vision



***VISION:  
To develop  
technology to  
defeat any adversary  
on  
any battlefield***



# Example – Educating Our People



## STEM Education Delegated to DDR&E - New Initiative to Develop a Strategic Plan

Vision:

- **Build and develop science, technology, engineering, and mathematics (STEM) talent that is sustainable for national security**

Mission Includes:

- **Attract, engage, and stimulate a global national security STEM community to meet the needs of the Department of Defense (DoD)**
- **Organize and coordinate Department-wide policies and resources in support of education and outreach**
- **Ensure the Department's portfolio is synchronized with the whole of government**

***DoD employs nearly half of all Federal physical scientists, technologists, engineers, and mathematicians***



# Theater Support Vessel (TSV)

**Problem:** Need for a joint expeditionary capability to deliver combat ready units configured for immediate employment in JOA.

- High Speed Rapid Littoral Maneuver and Force Closure
- Rapid Unassisted Ingress and Egress Enables Austere Port Operations
- Reduction of Reception and Staging Times in Theater of Operations
- Mitigate Anti-Access and Area Denial Efforts



**Solution:**

- High Speed Vessel Capable of:
  - Intra-Theater Movement of Combat Ready Units
  - Ship-to-Ship and Ship-to-Shore Operations
  - Supporting Operations in the Littorals



# Quick Reaction Special Projects (QRSP)

*(PE 0603826D8Z~\$115M/Yr)*



- **Technology Transition Initiative – For DoD S&T Community**
  - Establishes a Technology Transition Council
  - Jump starts selected components/subsystems into systems
  - Bridges the “Valley of Death”
- **Quick Reaction Fund**
  - Provides flexibility to respond to emergent DoD needs within budget cycle
  - Takes advantage of technology breakthroughs in rapidly evolving technologies
  - Completion of projects within a 6-12 month period
- **Rapid Reaction Fund**
  - Develops, procures, tests, and fields critical force protection needs in Iraq
  - Enhances force protection to counter Improved Explosive Devices (IEDs)

# JOINT HIGH SPEED VESSEL



## DIMENSIONS

<b>LENGTH</b>	103.0 m	(337.9 ft)
<b>BEAM</b>	28.5 m	(93.5 ft)
<b>DRAFT</b>	3.83 m	(12.57 ft)

## MATERIAL

ALUMINUM

## PERFORMANCE

### SPEED

<b>Average</b>	35 knots @ 90% MCR with 635 mt (700 st) Payload
<b>Maximum</b>	43 knots without Payload

### RANGE

<b>Maximum Transit</b>	1200 nm
<b>Self-Deployment</b>	5600 nm

### SURVIVAL THROUGH

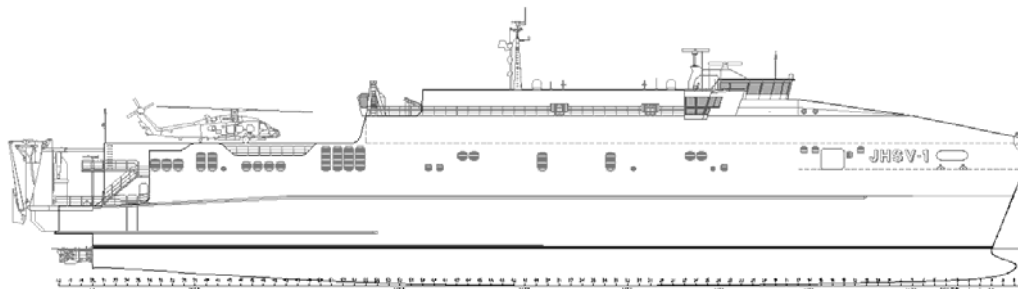
SS-7

## ACCOMMODATIONS

<b>CREW</b>	41 p
Single SR	2
Double SR	6
Quad SR	7
<b>TROOP SEATS</b>	312 p
<b>TROOP BERTHS</b>	
Permanent	104 p
Temporary	46 p
<b>GALLEY &amp; MESSING</b>	48 p

## MACHINERY

- (4) MTU 20V8000 M71L Diesel Engines  
(9.1 MW each, 36.4 MW total)
- (4) ZF 60000NR2H Reduction Gears
- (4) Wartsila WLD 1400 SR Waterjets
- (4) IF V1312C2ME-HPCR Diesel Generators  
(600 kW each, 2.4 MW total)



## WEIGHTS

<b>LIGHTSHIP</b>	1453 mt	(1430.0 Lt)
<b>FULL LOAD</b>	2397 mt	(2359.1 Lt)
<b>FUEL</b>	682 mt	(671.2 Lt)
<b>JP-5</b>	148 mt	(145.7 Lt)
<b>PAYLOAD</b>	635 mt	(700.0 st)

## MISSION BAY

<b>AREA (with Tie-Downs)</b>	1863 m <sup>2</sup>	(20053 ft <sup>2</sup> )
<b>CLEAR HEIGHT</b>	4.75 m	(15.6 ft)
<b>TURNING DIAMETER</b>	26.2 m	(86.0 ft)
<b>ISO TEU STATIONS</b>	6 Interface Panels	

## AVIATION FACILITIES

- NAVAIR Level 1 Class 2 Certified Flight Deck  
for one helicopter
- Centerline parking area for one helicopter
- NAVAIR Level 1 Class 4 Type 2 Certified

## VERTREP

- Helicopter Control Station

## C4I SYSTEMS

- IFF / TACAN / MORIAH
- Aviation VLS / Stabilized GSI
- Integrated Shipboard LAN /NIPRNET / SIPRNET
- VMS / ECDIS-N, Four-Node
- Fiberoptic Gyrocompass MK-27F and UPS
- Surface Search RADAR (X-Band and S-Band)
- Dual GPS,
- Vessel Automatic Identification System (AIS)
- Autopilot
- Voyage Data Recorder

## AUXILIARY SYSTEMS

### ACTIVE RIDE CONTROL

Transom Interceptors

Foils: 3.24 m<sup>2</sup> (34.9 ft<sup>2</sup>) each, forward  
on inboard sides of demi-hulls

### VEHICLE RAMP

Articulated Slewing Stern Ramp

Straight Aft to 45° Starboard

### TELESCOPING BOOM CRANE

12.3 mt @ 15m, 18.2 mt @ 10 m  
(13.6 Lt @ 49.2 ft, 20.1 Lt @ 32.8 ft)

## ARMAMENT

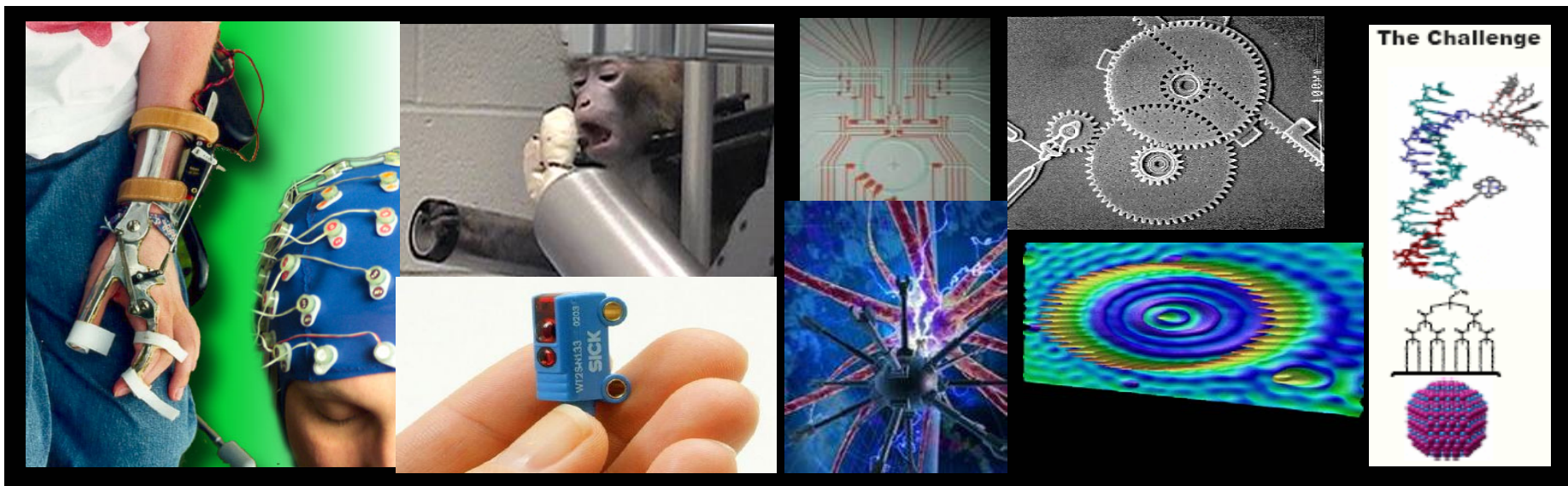
- (4) .50 Caliber Machine Guns
- Reservation for AT/FP System
- Reservation for Non-Lethal Effectors

## FIREFIGHTING

- High Expansion Foam in Mission Bay
- AFFF on Flight Deck, Mission Bay  
and in Machinery Spaces

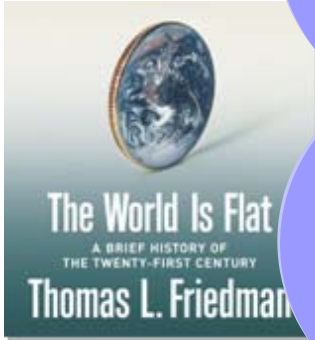
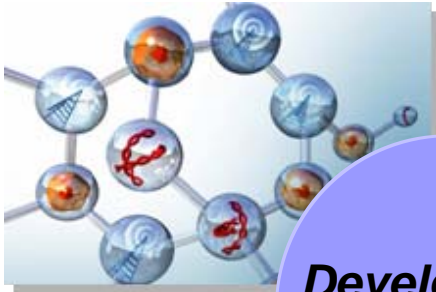
# Implications

- Greater base of technology development, more agility than previous
- Probability of technology surprise – rapidly
- Technology increasingly hybrid, commercial/military



**All factors drive to enhanced ambiguity.**

# A Changing World . . .



**Development  
Pace**

**Disruptive  
Military  
Uses of  
Commercial  
Tech**

**Impact of  
Mass  
Collaboration**

**Emerging  
Technology**

**Expansion  
Of  
R&D  
Funding**

**Economic  
Mega  
Trends**



**The Black  
Swan  
Syndrome**

**The  
Expanding  
Education  
Base**



**Dictates More Agility / Technology Options**





# Example – Medical R&D

## Combat Casualty Care Research Program

...technologies that save lives far forward on the battlefield, maintain critical care at all levels of the battlefield, and enhance recovery from combat trauma.

### Meet demands on first responders

- Warrior Medic Diagnostic System
- Warfighter Physiological Status Monitor
- Decision assist tools for lifesaving interventions



### Reduce the number of deaths on the battlefield

- Recombinant Factor VIIa
- Freeze-Dried Plasma
- Damage control resuscitation
- Enhanced resuscitation fluids
- Cryopreserved Platelets

### Restore full function

- Armed Forces Institute of Regenerative Medicine

*Fingertip regeneration in a 78-year-old man*



### Limit brain damage

- Biomarkers
- Diagnostic device
- Neuroprotective drugs
- Silent seizure drug



### Improve en route care

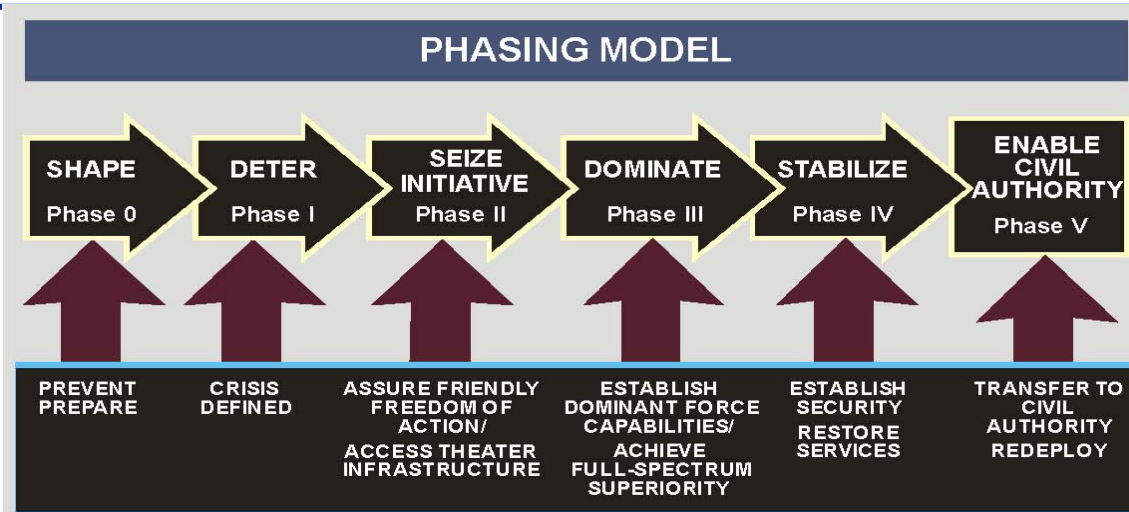
- Oxygen conservation
- Closed-loop algorithms
- Lightweight modules

### Explore treatments for blast injury

- Pneumothorax detector
- Restoration of hearing loss
- Blast lung

# 6 Phase Model of Joint Operations

From Joint Pub 3-0



**Phase 0 – *Shape*** – Operations designed to assure success by shaping perceptions and influencing the behavior of both adversaries and allies

**Phase 1 – *Deter*** – Operations to deter undesirable adversary action by demonstrating the capabilities and resolve of the joint force

**Phase 2 – *Seize Initiative*** – Operations to gain access to theater infrastructure and to expand friendly freedom of action while degrading enemy capabilities

**Phase 3 – *Dominate*** – Operations focused on breaking the enemy's will for organized resistance

**Phase 4 – *Stabilize*** – Operations to perform limited local governance, integrating the efforts of other multinational, OGA, IGO, NGO participants

**Phase 5 – *Enable Civil Authority*** – Operations by the joint force to support legitimate civil governance



# The Minerva Initiative



**A DoD-sponsored, university-based social science research initiative focusing on areas of strategic importance to U.S. national security policy**

## **Initial research in the following areas:**

- **Chinese Military and Technology Studies**
- **Iraqi and Terrorist Perspectives Projects**
- **Religious and Ideological Studies**
- **New Approaches to National Security, Conflict and Cooperation**

# *Technology Transition*

22 April 09



**Wendell Banks**  
**Director, Plans and Programs**  
**Air Force Research Laboratory**



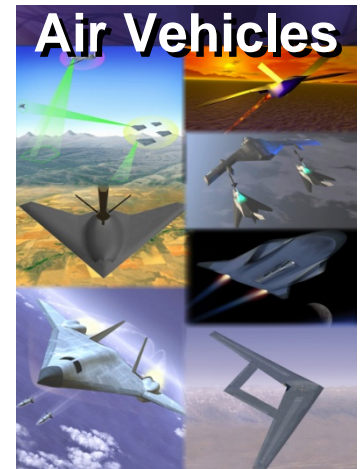


# Air Force Materiel Command





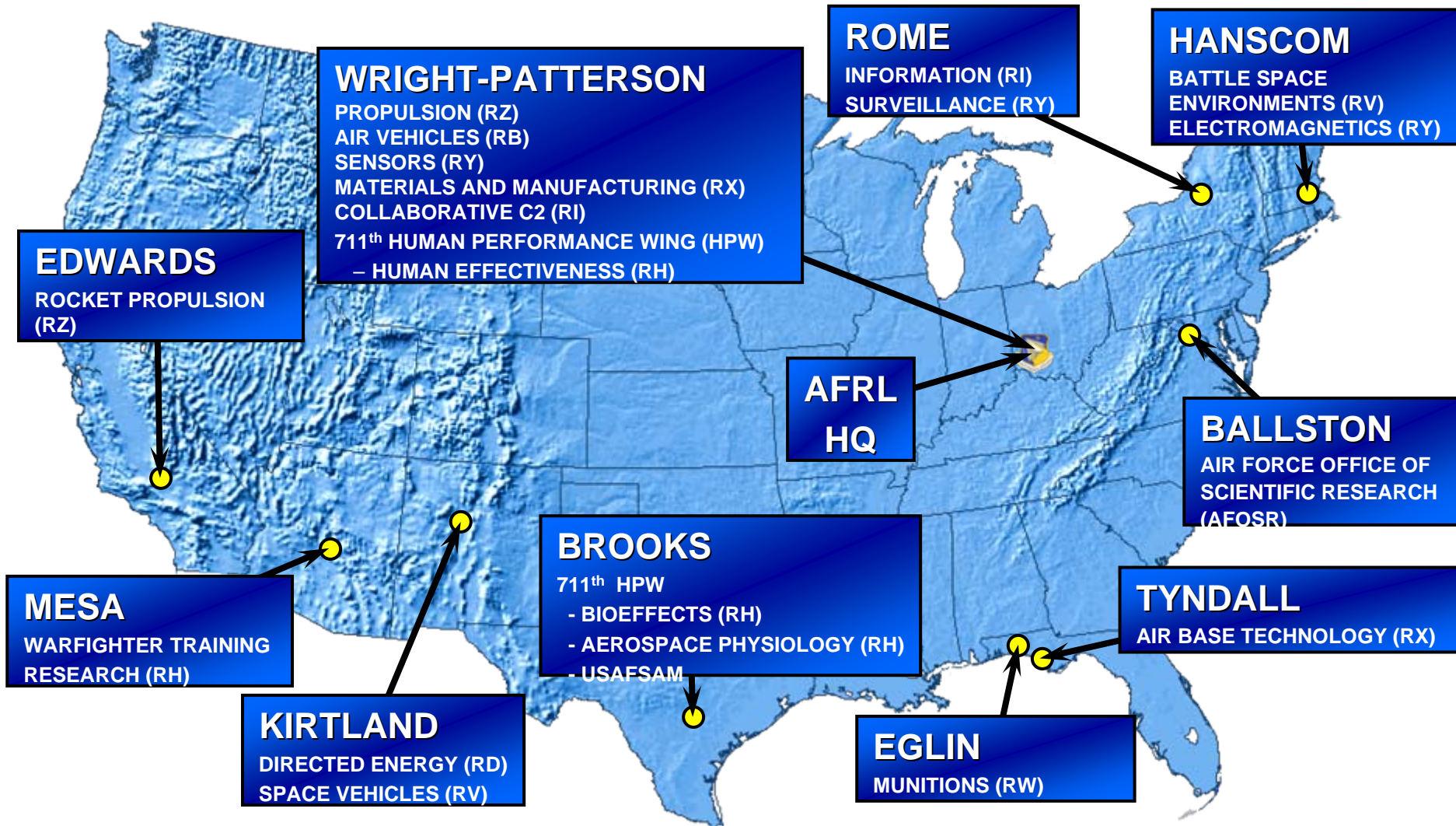
# Ten Technical Directorates







# Major AFRL Sites



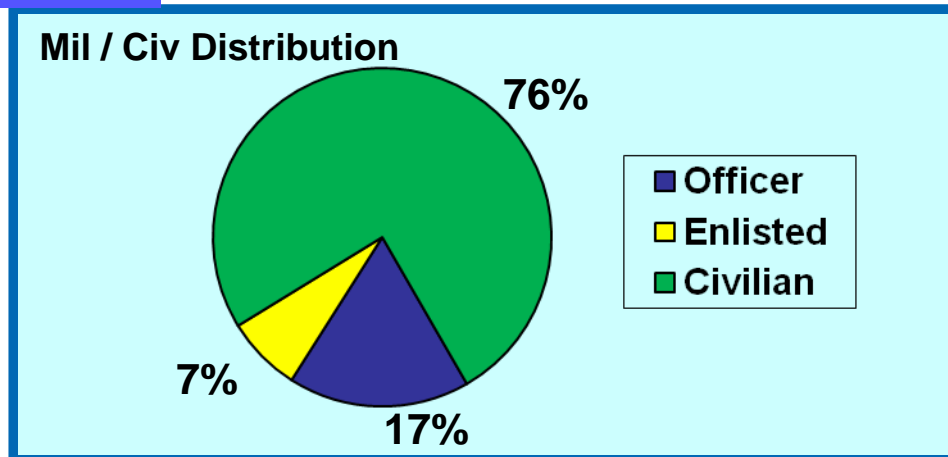
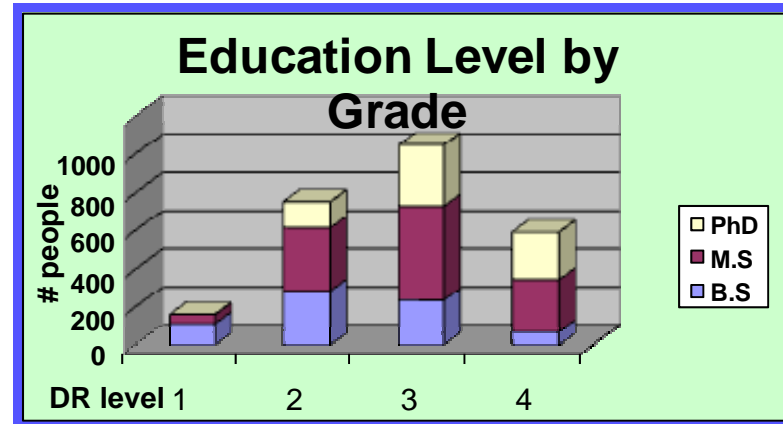
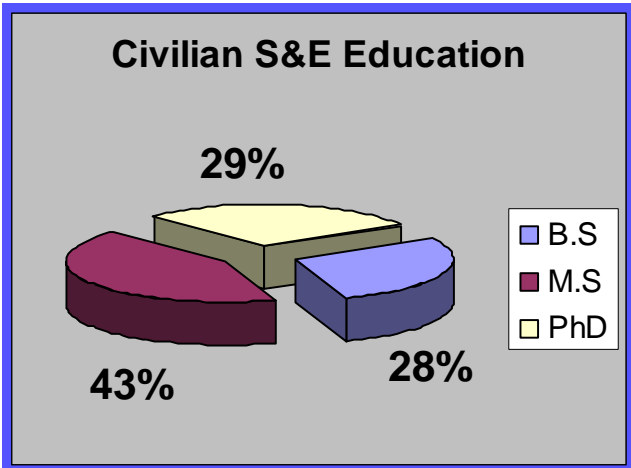
**40 Sites World-Wide**



# AFRL Workforce



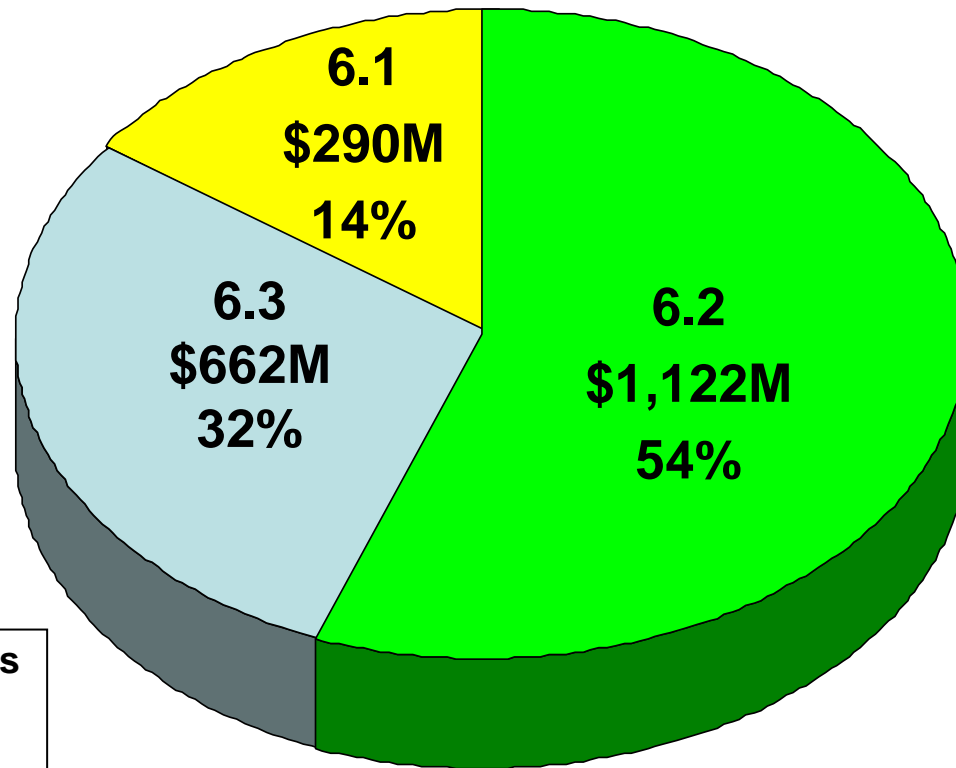
	Employees	Civilian	Military	Contractor
Total	~10800	~4750	~1450	~4600
S&Es	~ 6750	~2800	~ 850	~3100







# AF Budget Investment By Budget Activity



6.1 Basic Research Funds

6.2 Applied Research Funds

6.3 Advanced Technology Development Funds

**TOTAL: \$2.075 Billion**

**TOTAL: \$1.722 Billion**

**FY08 S&T Appropriation**

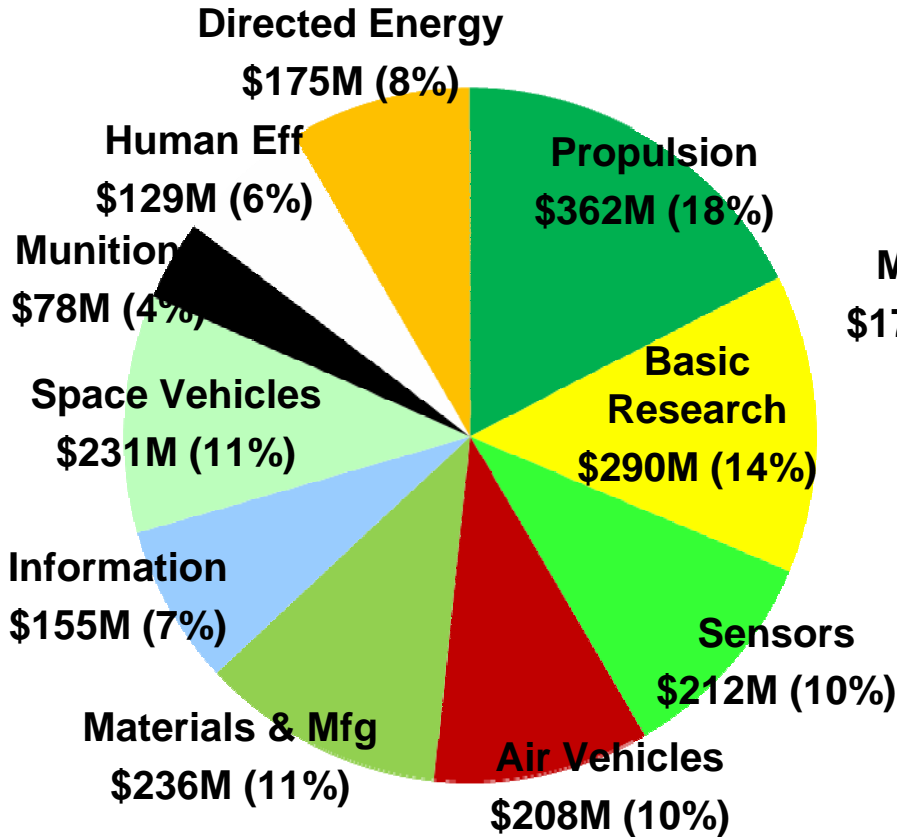
**FY08 Core TOA**



# AFRL FY08 Funding

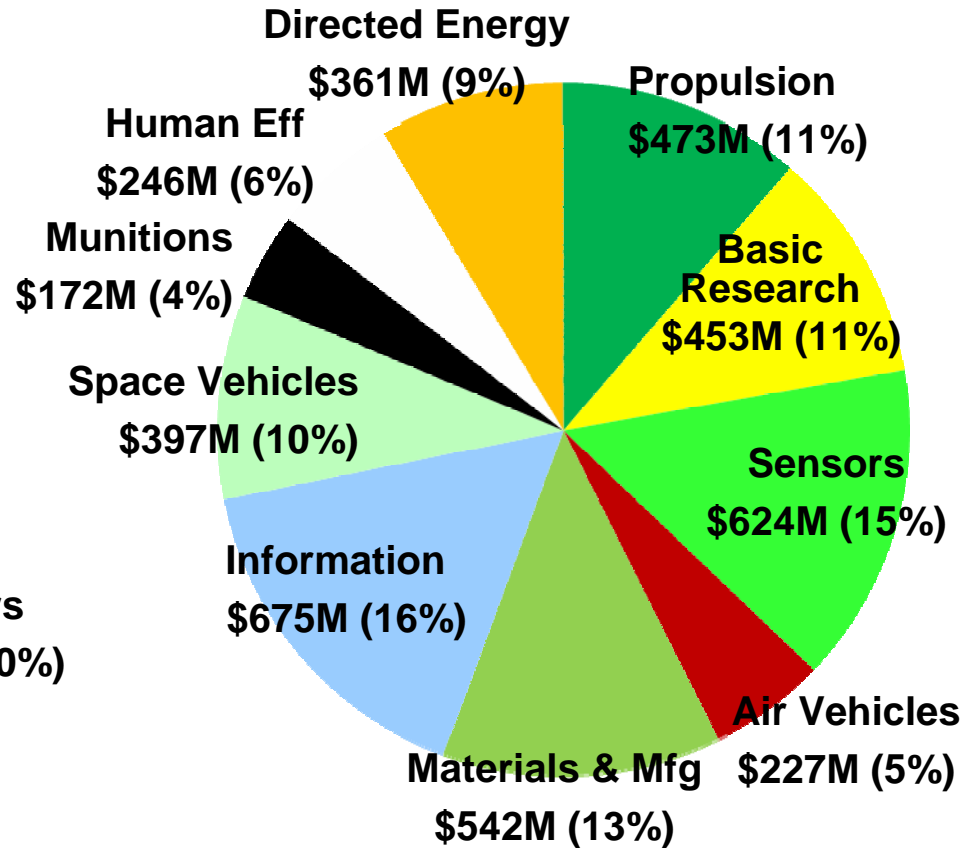


## S&T



**S&T Total: \$2.075B**  
(PB plus Cong Adds)

## Total



**Total: \$4.170B**

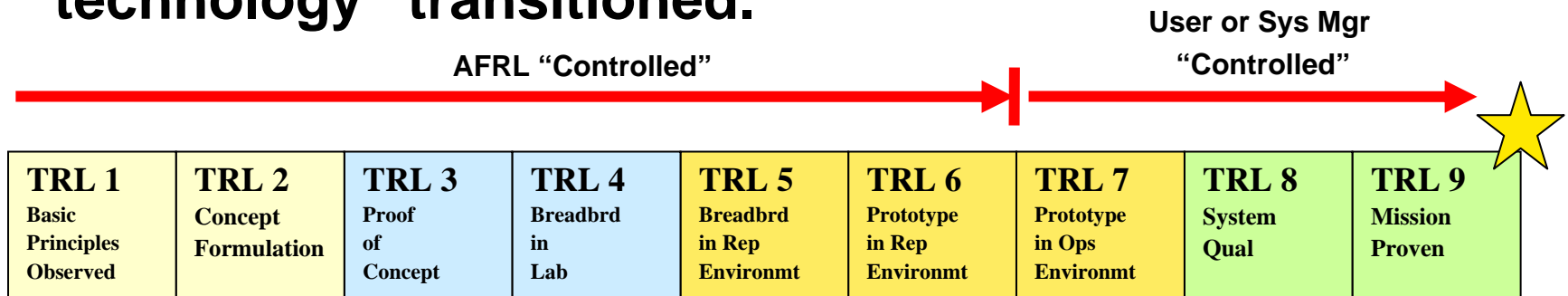


# Tech Transition is a Process

...and the “event” doesn’t happen until the end.



- Technology transition is a **process** where technology is developed in strong **collaboration** with system managers and users of end products\* with the intent to insert the matured technology into that end product
- The process is complete when the technology is operational (**TRL 9**) and supportable. Only then has the technology “transitioned.”



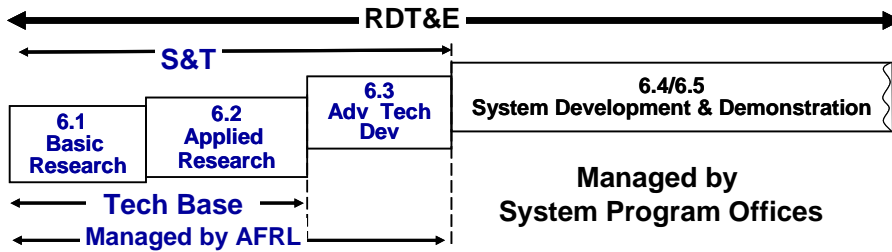
\*Weapon systems, manufacturing processes, analysis tools, and other systems intended to support the warfighter



# Processes & Programs to Facilitate Technology Transition



## Overcoming the "Valley of Death"



### Applied Technology Council

#### Means for Tech Transition

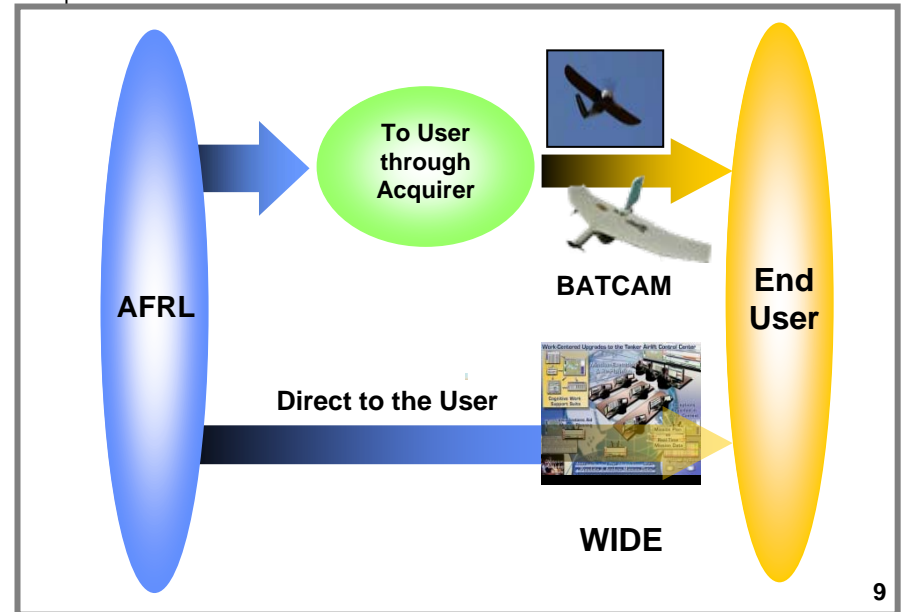
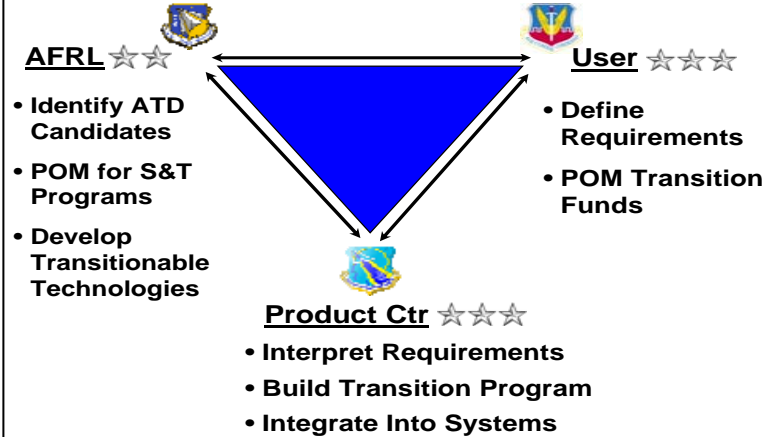
- Advanced Technology Demo (ATD)
- Advanced Concept Tech Demo (ACTD)
- Technology Planning IPT
- Battle Lab Experiments
- Technical Events (JEFX)
- SPD Initiative
- Industry Initiative
- Senior Leader Initiative

Tech Transition "Chasm"

#### Emphasis is Necessary on Technology Transition

- Sustained Senior Leader Emphas
- Continuous Communication
- Integrated Process
- Budget For Production Incorpora

## The ATC Triangle







# Transition Myths and Realities



- **M: AFRL is responsible for technology transition**
  - R: System managers typically determine what transitions to use on their system
- **M: Technology maturation and transition can be worked independently**
  - R: Must be worked together
- **M: Transition planning starts after TRL 6**
  - R: Must start as early as TRL 3 or 4
- **M: Technology doesn't have to be “operational” (TRL 9) to be “transitioned”**
  - R: If it's not “operational,” no benefit to the user
- **M: ATDs and ATCs are the primary way of transitioning**
  - R: Most technology is transitioned through other means



# D&SWS Sub-Processes



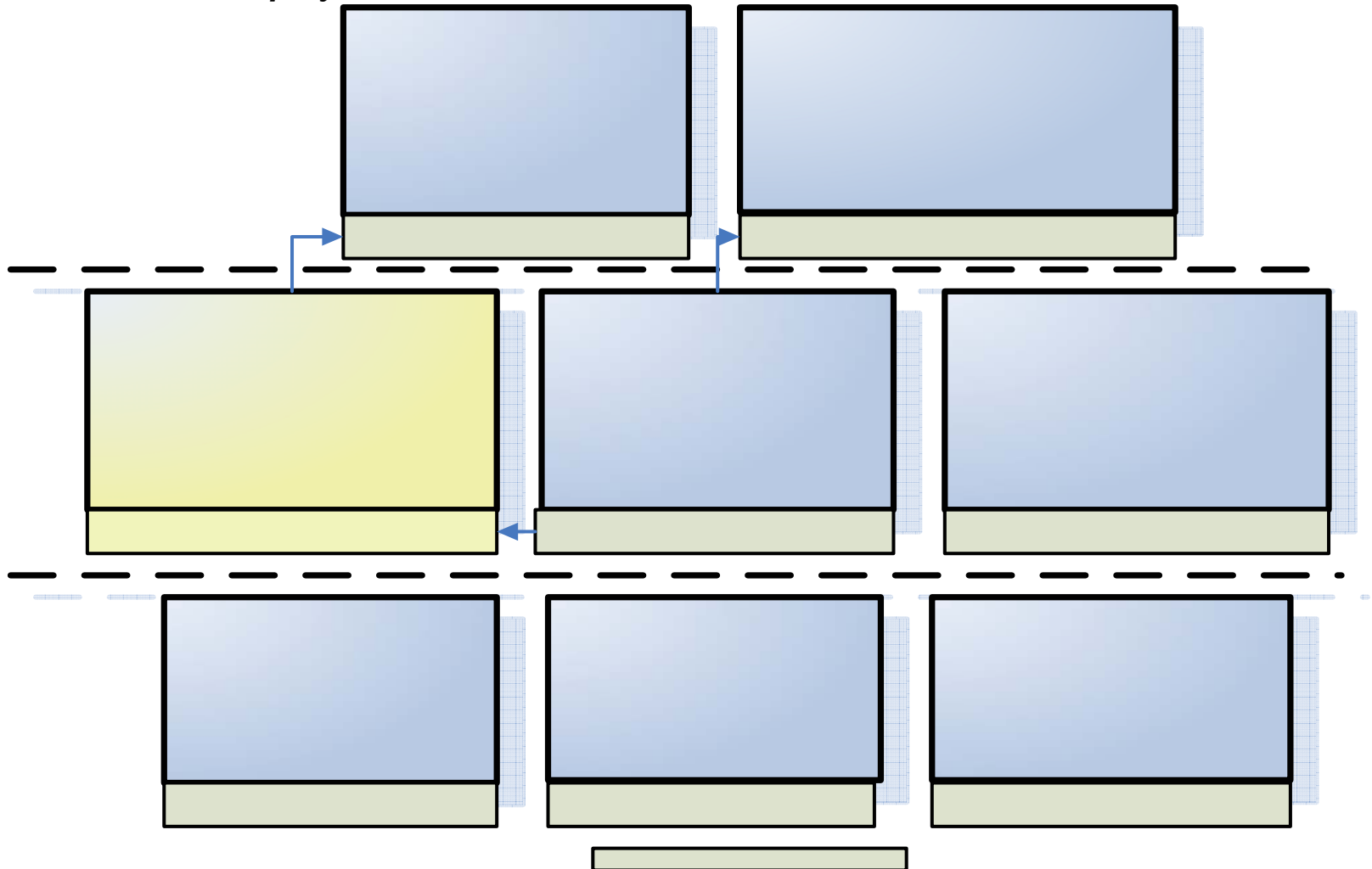
## Sub-Process Owners, Co-Leads, Design Team Leads

*Process Owner/Co-Lead*

Gen Donald Hoffman / Lt Gen Mark Shackelford / Lt Gen Loren Reno

*Chief Process Officer / Deputy*

Maj Gen Marshall Sabol / Col John Griggs





# Three D&SWS Technology Development Initiatives



**3 Initiatives with the goal of institutionalizing one AF level process to manage investments in technologies to ensure they are mature for AF systems**

## TD 1-12 Improved Tech Maturity Assessments

- Comprehensive Qualitative Criteria
  - Tech Performance
  - Manufacturability
  - Integrability
  - Other 'ilities
- Better Assessment of Risk
- Improved Tech Forecasting

## TD 1-13 High Confidence Tech Transitions

- Early & complete lifecycle transition planning
  - IPT Approach – maximize coordination
- “Stage/gated” transition of technology
  - Clearly defined entrance/exit Criteria

## TD 1-14 Identify & Prioritize Tech Needs

- Enterprise process to gather & prioritize tech needs
  - Focus S&T on highest priority needs
- Game-changing “Tech Push” influencing capability planning



# Summary



- **Tech Transition is process and a team sport -- Ends when Technology is being used**
- **Successful Transition starts by working on what the customer needs...and can afford**
- **Tech Maturation and Transition must be worked simultaneously by the same team...Earlier is better**
- **More complete measures of Tech Maturity required...TRLs necessary but not sufficient (cost, MRL, “ilities”, etc)**
- **D&SWS Tech Development Team and AFRL working this hard**
  - **SAF/AQR, AFMC/A2/5, AFRL, and many others**

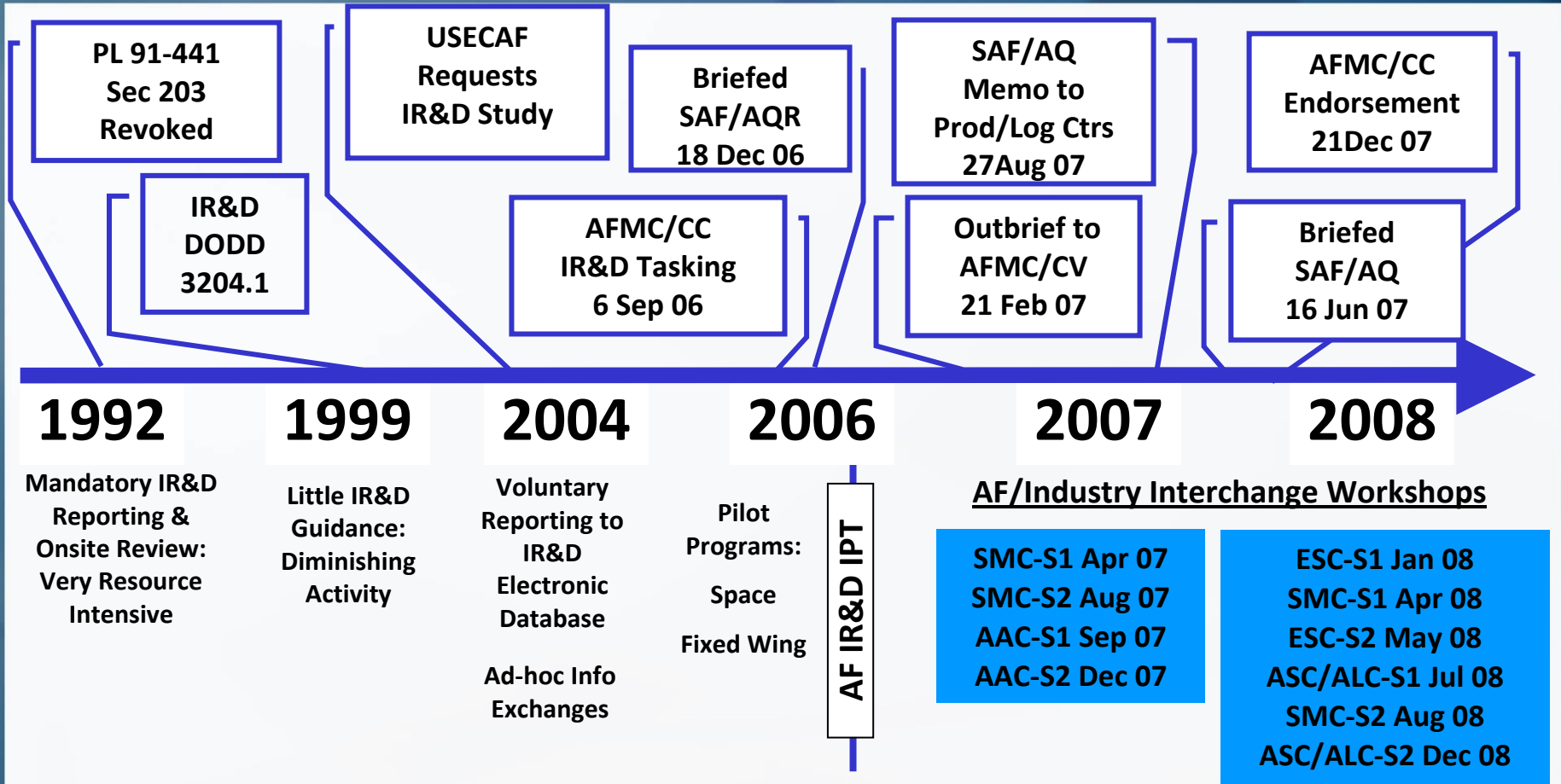




# ***Air Force IR&D Program***

**Wendell D. Banks, SES  
Director, Plans & Programs  
Air Force Research Laboratory  
Wright-Patterson AFB OH 45433  
Wendell.Banks@us.af.mil**

# IR&D History





# AF AFMC/CC charge to Reinvigorate IR&D

- Study & Pilot Options to Re-Invigorate IR&D
  - Implement AF-Wide IR&D Integrated Process Team
  - IPT stood up in late 2006
    - Participants
      - AF MAJCOMs
      - PEO/Product Centers
      - Air Logistics Centers
      - Test Centers
      - AFRL
      - Industry (AIA)
- Results
  - AF IR&D Framework
  - AF/Industry Technical Interchange Workshops



DEPARTMENT OF THE AIR FORCE  
HEADQUARTERS AIR FORCE MATERIEL COMMAND  
WRIGHT-PATTERSON AIR FORCE BASE, OHIO

MEMORANDUM FOR SEE DISTRIBUTION

SEP 06 2006

FROM AFMC/CC  
4375 Chidlaw Road  
Wright-Patterson AFB OH 45433-5001

SUBJECT: Independent Research and Development (IR&D) Integrated Process Team (IPT)

1. In the coming years, our ability to exploit industry's IR&D efforts will profoundly affect the future Air Force. One of our major challenges is to develop and implement a new Air Force IR&D process that effectively supports both industry and Air Force needs. In concert with SAF/AQ, an effort is presently underway to review and propose changes to the existing Air Force IR&D process. The initial emphasis will be on the four enterprise areas of Air, Space, Munitions/Weapons and Cyber. As a result of their review, a new Air Force IR&D process will be developed.

2. We would appreciate your support to ensure this new IR&D process and implementation plan is robust. Your organization is invited to nominate a candidate at the O-6 or equivalent rank to be a working member of an Air Force IR&D IPT. The defense industry Aerospace Industries Association (AIA), will also be asked to provide input into this process, to ensure this new IR&D process and implementation plan is acceptable to industry. Our initial planning includes several meetings to develop a draft process by late 2006. Additional information regarding the team, presented in the Terms of Reference (TOR), is furnished as an attachment to his letter.

3. Please have your representative contact Mr. Giovanni Pagán, Air Force Research Lab IR&D Manager, (937) 656-9176, or [Giovanni.Pagan@wpafb.af.mil](mailto:Giovanni.Pagan@wpafb.af.mil), concerning this matter. I would appreciate a response within 14 days of the date of this letter.

  
BRUCE CARLSON  
General, USAF  
Commander

Attachment:  
IR&D IPT Terms of Reference (TOR)



# IR&D Framework Objectives

- Make better use of limited resources
- Effectively communicate AF technology needs
- Understand / Leverage IR&D investments
- Capitalize on recurring Industry Days and Small Business Innovation Research (SBIR) events
- Exploit major contractor / SBIR Synergies



# AF/Industry IR&D Interchange Framework + Focus

## 2 Year Cycle

AF Transmits  
Tech Needs/Gaps  
To Industry

**Gov → Ind**

**Step 1**

Aligned with Major AF & Industry Events

Industry  
Describes  
IR&D

**Ind → Gov**

**Step 2**

ID Partnering  
Opportunities

**Assess/Align**

**Investment  
Strategies**

- AF POM
- Industry IR&D

**Step 3**

**Created/Adopted by the AF/Industry IR&D IPT – Dec 06**

**Endorsed by SAF/AQ & AFMC/CC**

# AFRL IR&D Process Endorsed by SAF/AQ

I would like to enlist your assistance in reinvigorating Air Force participation in the Independent Research and Development (IR&D) program. I believe IR&D can lend valuable support to maintaining a strong acquisition program and I am committed to taking a more active role in this important program...

To ensure the maximum benefit to the Air Force, these exchanges should be conducted in time to incorporate results in the Fiscal Year 2010 Program Objective Memorandum. I encourage everyone to solicit user/Combatant Command (COCOM) inputs to the maximum extent possible. My focal point for IR&D policy is the Deputy Assistant Secretary for Science, Technology and Engineering (SAF/AQR)."

*"General Carlson, I applaud Christine Anderson's approach to this and stand by to support in any way."*

*The Honorable Sue C. Payton  
Assistant Secretary of the Air Force  
27 August 2007*

**High Level  
Leadership  
Support**



DEPARTMENT OF THE AIR FORCE  
WASHINGTON DC



OFFICE OF THE ASSISTANT SECRETARY

AUG 27 2007

MEMORANDUM FOR HQ AFMC/CC

FROM: SAF/AQ  
1060 Air Force Pentagon  
Washington, DC 20330-1060

SUBJECT: Management of Air Force Independent Research and Development Programs

I would like to enlist your assistance in reinvigorating Air Force participation in the Independent Research and Development (IR&D) program. I believe IR&D can lend valuable support to maintaining a strong acquisition program and I am committed to taking a more active role in this important program.

Recently, the Air Force Research Laboratory (AFRL) and Space and Missile Systems Center (SMC) hosted an IR&D exchange between industry and the Air Force. This event, called SMC Space Day, capitalized on recurring Industry Days and Small Business Innovation Research activities and offers an excellent model for other Product Centers to effectively communicate Air Force needs to leverage technology developments from industry. Towards this end, I am asking my PEOs and request you to encourage the Logistics Centers to conduct similar government/industry IR&D exchanges with AFRL in the near future.

To ensure the maximum benefit to the Air Force, these exchanges should be conducted in time to incorporate results in the Fiscal Year 2010 Program Objective Memorandum. I encourage everyone to solicit user/Combat Command inputs to the maximum extent possible. My focal point for IR&D policy is the Deputy Assistant Secretary for Science, Technology and Engineering (SAF/AQR).

SUE C. PAYTON  
Assistant Secretary of the Air Force  
(Acquisition)

cc: See Distribution

*General Carlson  
I applaud Christine Anderson's  
approach to this and stand  
by to support in  
anyway Best,  
Sue*

# OSD Statement on IR&D...2008

**“Currently, the Department pays IR&D costs with: limited awareness of the work being accomplished; no standards for quality; and no assurance of value for the taxpayer....**

**...The IR&D DST will make a series of recommendations to improve the effectiveness, efficiency and fairness of the Government's IR&D expenditure.”**

**The Honorable John J. Young, Jr.  
Under Secretary of Defense  
21 June 2008**



THE UNDER SECRETARY OF DEFENSE  
3010 DEFENSE PENTAGON  
WASHINGTON, DC 20301-3010

JUN 21 2008

MEMORANDUM FOR DEPUTY UNDER SECRETARY OF DEFENSE  
(ACQUISITION AND TECHNOLOGY)  
DIRECTOR, DEFENSE RESEARCH AND ENGINEERING  
SERVICE ACQUISITION EXECUTIVES  
DEPUTY UNDER SECRETARY OF DEFENSE  
(INTERNATIONAL TECHNOLOGY SECURITY)  
DEPUTY UNDER SECRETARY OF DEFENSE  
(INDUSTRIAL POLICY)  
CHIEF, NAVAL RESEARCH  
DEPUTY ASSISTANT SECRETARY OF THE ARMY  
FOR RESEARCH AND TECHNOLOGY  
DEPUTY ASSISTANT SECRETARY OF THE AIR FORCE  
FOR SCIENCE, TECHNOLOGY AND ENGINEERING

SUBJECT: Independent Research and Development (IRAD)

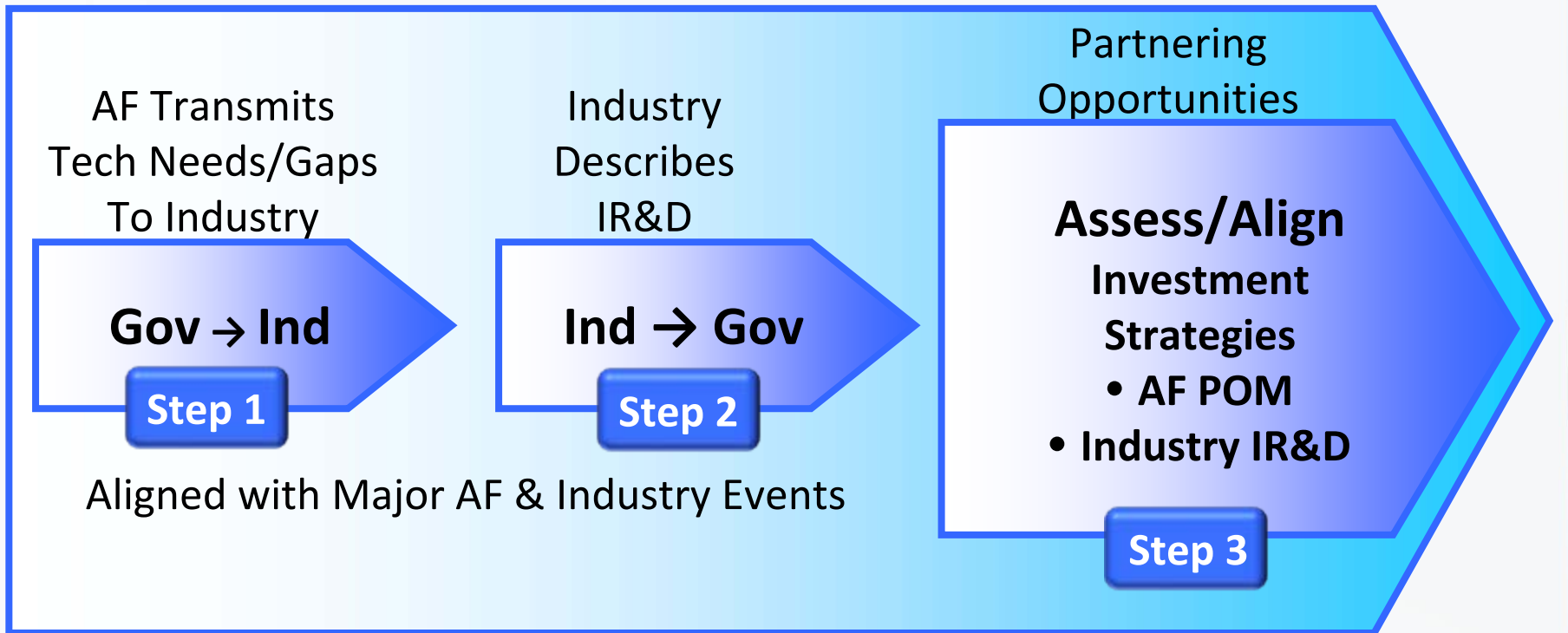
The Department of Defense has for years had a policy of reimbursing IRAD efforts conducted by industry. IRAD reimbursement comes in the form of an indirect expense against our contracts.

In the 1970s, IRAD was graded by the government, and payments depended on the quality of the work. Additionally, the Department of Defense participated in guiding the research and assured that the taxpayer received value for these tax dollars. This process changed in the 1980s. Currently, the Department pays IRAD costs with: limited awareness of the work being accomplished; no standards for quality; and no assurance of value for the taxpayer.

I am directing the formation of a Defense Support Team (DST) on IRAD. The DST will be co-chaired by Mr. Alan Haggerty, Deputy Under Secretary of Defense for International Technology Security, and Mr. William Greenwalt, Deputy Under Secretary of Defense for Industrial Policy. The DST will include all relevant government stakeholders, including one or more representatives from each Service. The Service Acquisition Executives are to each designate one acquisition person to participate fully in this DST. The DST will include broad representation from industry, including companies that participate in production and those companies that do not. The team will also seek participation from non-defense firms. Appropriate industry representation will include experienced acquisition and technology development professionals from small, medium and large companies.



# AF/Industry IR&D Framework Step by Step







# 3-Step Process

- **Step 1 – Effective Communication of AF Needs to Industry**
  - Product Center Briefs Technology Needs
  - AFRL Briefs Corresponding Development Efforts
  - Govt Team Identifies Gaps and IR&D Opportunities
- **Step 2 – Focused and On-Target Response from Industry**
  - Industry SMEs Selects Candidate IR&D Efforts to Brief
  - AF SMEs Down select from Candidate Abstracts
  - Industry Transforms Selected Abstracts into Briefs
- **Step 3 – Incorporate Acquired Knowledge into AF's and Industry's Investment Strategy**
  - AF Selects Most Promising Needs vs IR&D Efforts
  - 1-on-1 AF & Industry Deep Dives Into Selected Efforts
  - Define, Seek, and ID Synergies and Partnering Opportunities



# Representative Agenda

## Technology Interchange Workshop – Step 1

### Day 1

#### PLENARY SESSION

- 0700 Registration Opens
- 0800 Welcome
- 0815 AFMC/A5 Perspective on Sustainment Technology Process
- 0830 ASC/XR Perspective on ASC Needs
- 0845 AF/Industry Technology Interchange Workshop Process Focused on Aeronautical and Depot Sustainment Systems
- 0900 AFMC STC Technology Working Group Views of ALC Technology Needs
- 1040 ASC Systems Groups' Views of Air Technology Needs
- 1200 Lunch
- 1300 AFRL Responses to AFMC STC and ALC Technology Needs
- 1600 Break
- 1615 AF IR&D Program
- 1630 AF SBIR Transition Support
- 1645 Reception

### Day 2

#### IR&D Q&A Sessions

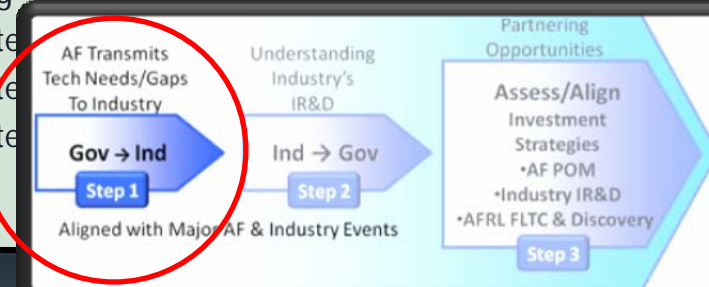
- 0730 Govt team review
- 0800 Contractor team # 1
- 0830 Contractor team # 2
- 0900 Contractor team # 3
- 0930 Contractor team # 4
- 1000 Gov Meeting
- 1030 Contractor team # 5
- 1100 Contractor team # 6
- 1130 Contractor team # 7
- 1200 Lunch
- 1300 Contractor team # 8
- 1330 Contractor team # 9
- 1400 Contractor team # 10
- 1430 Gov Meeting
- 1500 Contractor team # 11
- 1530 Contractor team # 12
- 1600 Contractor team # 13

### Day 2

#### Facilitated SBIR One-on-One Sessions

- 0800 Prime # 1 with Small Business (SB) # 1
- 0830 Prime # 1 with SB # 2
- 0900 Prime # 1 with SB # 3
- ⋮            ⋮            ⋮
- 1700 Prime # 1 with SB # n

**[Note: The ops tempo shown above for SBIR CPP occurs simultaneously with approximately 10 prime contractors; each prime contractor meets with Small Business to discuss their Phase II SBIR which is**





# 3-Step Process

- Step 1 – Effective Communication of AF Needs to Industry
  - Product Center Briefs Technology Needs
  - AFRL Briefs Corresponding Development Efforts
  - Govt Team Identifies Gaps and IR&D Opportunities
- **Step 2 – Focused and On-Target Response from Industry**
  - Industry SMEs Select Candidate IR&D Efforts to Brief
  - AF SMEs Down-select from Candidate Abstracts
  - Industry Transforms Selected Abstracts into Briefs
- Step 3 – Incorporate Acquired Knowledge into AF's and Industry's Investment Strategy
  - AF Selects Most Promising Needs vs IR&D Efforts
  - 1-on-1 AF & Industry Deep Dives Into Selected Efforts
  - Define, Seek, and ID Synergies and Partnering Opportunities



# Representative Agenda

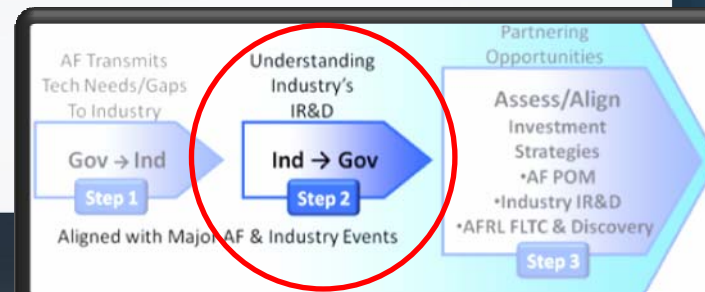
## Technology Interchange Workshop - Step 2

### Electronic Systems Workshops

- 6-8 May 2008 in Billerica, MA

Time	Tue 6 May 08	Wed 7 May 08	Thu 8 May 08
800	GOV	GOV	0800 – 0915 LMCO
830	0830 – 1030 BOEING	0830 – 1030 GENERAL DYNAMICS	GOV (0915-0930)
900			
930			
1000			
1030	GOV (1030-1045)	GOV (1030-1100)	0930-1200 RAYTHEON
1100	1045-1145 SWRI	1100-1200 L3COM	
1130	1145-1230 LUNCH	1200-1300 LUNCH	GOV
1200	1230-1400 TEXTRON		1330 – 1600 NORTHROP GRUMMAN
1230			
1300			
1330	GOV (1400-1415)	1330 – 1600 NORTHROP GRUMMAN	
1400			
1430	1415-1615 BOEING		
1500			
1530	GOV	GOV	
1600			
1630			

Yr	Attnds	KTRs in S1	Q&A Break Out	KTRs in S2	IR&D Funds (\$M)
2008	230	12	35 38	9	\$XXX







# 3-Step Process

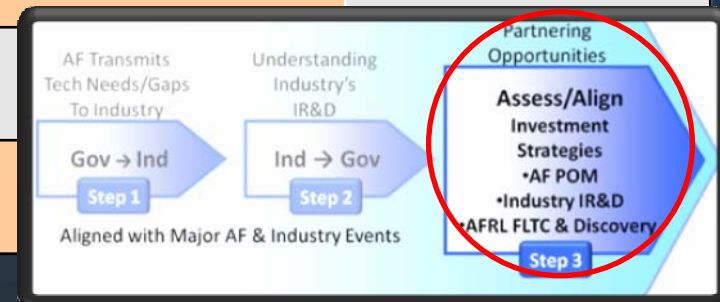
- Step 1 – Effective Communication of AF Needs to Industry
  - Product Center Briefs Technology Needs
  - AFRL Briefs Corresponding Development Efforts
  - Govt Team Identifies Gaps and IR&D Opportunities
- Step 2 – Focused and On-Target Response from Industry
  - Industry SMEs Selects Candidate IR&D Efforts to Brief
  - AF SMEs Down-select from Candidate Abstracts
  - Industry Transforms Selected Abstracts into Briefs
- Step 3 – Incorporate Acquired Knowledge into AF's and Industry's Investment Strategy
  - AF Selects Most Promising Needs vs IR&D Efforts
  - 1-on-1 AF & Industry Deep Dives Into Selected Efforts
  - Define, Seek, and ID Synergies and Partnering Opportunities



# Representative Agenda

## Technology Interchange Workshop - Step 3

ALLOTTED TIME/ CAPABILITY AREAS	ROOM 1	ROOM 2	ROOM 3	ROOM 4
	NEED 1	NEED 2	NEED 3	NEED 4
0900 – 0950	Company A	Company B	Company C	
1000 - 1050			Company C	
1100 - 1150			Company D	
1150 - 1250	LUNCH			
1300 - 1350	Company A	Company C		
1400 - 1450	Company B	Company A		
1500-1550				



**Step 1**

**Step 2**

**Step 3**

**Recent  
& Planned**

**Gov → Ind**

**Ind → Gov**

**Assess/Align**



**SMC**  
Industry Day  
20 Apr 07

One-on-One  
AFRL BFI  
23-24 Aug 07

KTR + AF  
Align Investment  
Strategy



**AAC**  
Workshop  
12-13 Sep 07

One-on-One  
Workshop  
10-12 Dec 07

KTR + AF  
Align Invest Strategy  
Sep 09



**ESC**  
New Horizons  
24-25 Jan 08

One-on-One  
Workshop  
6-8 May 08

KTR + AF  
Align Investment  
Strategy



**SMC**  
Industry Day  
14 Apr 08

One-on-One  
AFRL BFI  
21-22 Aug 08

KTR + AF  
Align Investment  
Strategy



**ASC + ALCs**  
AFRL WDI  
17-18 Jul 08

One-on-One  
Workshop  
9-11 Dec 08

KTR + AF  
Align Invest Strategy  
Oct 09



# Past Workshops Proceedings in DTIC

Report Number	AFRL Report Title	Accession #
AFRL-WS-WP-TM-2007-9003	2007 AF/Industry Space Technology Interchange Workshop for IR&D and SBIR (Step 1)	ADB326755
AFRL-WS-WP-TM-2007-9004	2007 AF/Industry Air Armament Technology Interchange Workshop for IR&D and SBIR (Step 1)	ADB333428
AFRL-WS-WP-TM-2008-9005	2008 AF/Industry Electronic Systems Technology Interchange Workshop for IR&D and SBIR (Step 1)	ADB337023
AFRL-WS-WP-TR-2008-9008	2008 AF/Industry Space Technology Interchange Workshop for IR&D and SBIR (Step 1)	ADB340533
AFRL-WS-WP-TR-2008-9010	2008 AF/Industry Air and Sustainment Technology Interchange Workshop for IR&D and SBIR (Step1)	ADB345303





# IR&D Interchange Workshop Statistics

Product Center	Step 1		Step 2	
	Attendees	KTRs Q&A	1-on-1	Reported (\$M)/ Total (\$M)
Space & Missile Ctr (2007-SMC)	180	14	9	\$158 / \$319 6 Reported
Space & Missile Ctr (2008-SMC)	140	12	11	\$280 / \$748 10 Reported
Air Armament Ctr (2007-AAC)	186	12	9	\$184 / \$184 7 Reported
Electronic Systems Ctr (2008-ESC)	230	12	9	\$312 / \$1051 9 Reported
Aeronautical Systems Center and Air Logistic Ctrs (2008-ASC + ALCs)	228	14	10	\$113 / \$113 5 Reported
<b>Totals</b>	<b>964</b>	<b>64</b>	<b>48</b>	<b>\$1047 / \$2415</b>

**Step 1**

**Step 2**

**Step 3**

**Planned Events**

**Gov → Ind**

**Ind → Gov**

**Assess/Align**



**AAC  
Workshop  
Sep 09**

**One-on-One  
TBD 10**

**KTR + AF  
Align Investment  
Strategy**



**ASC + ALCs  
Workshop  
Oct 09**

**One-on-One  
TBD 10**

**KTR + AF  
Align Investment  
Strategy**



**ESC  
Workshop  
Jun 10**

**One-on-One  
Oct 10**

**KTR + AF  
Align Investment  
Strategy**



**SMC  
Workshop  
Apr 10**

**One-on-One  
Aug 10**

**KTR + AF  
Align Investment  
Strategy**



**NWC  
TBD**

**One-on-One  
TBD**

**KTR + AF  
Align Investment  
Strategy**



# Industry Workshop Feedback

- AF needs presented to Industry as a “Single Voice”
  - Needs vetted through strategically-driven process
- Improved competitive position
  - Better knowledge of Air Force technology interests and Acquisition Programs reduces guessing about what AF thinks is important
  - Opportunities for collaboration and partnerships
- Optimizes Resource Allocation within IR&D
  - IR&D portfolios can be tailored to market
- Small Business / Large Business relationships

**Helps Industry Target Products Towards Near-Term Investment Opportunities**



# Workshop Benefits to AF

- Insight into Industry programs and capabilities
- Improved responses to DoD/AF Requirements
  - Better Support Air Force Acquisition Programs
- Ability to Leverage Industry Capabilities
  - Opportunities for collaboration and partnerships
- Improved resource allocation within AF
  - AF S&T investment informed by IR&D investment

**Helps AF Fulfill The Mission Through Leveraging Opportunities**





# Summary

- DoD and AF take IR&D very seriously
- We have a shared interest in improving IR&D productivity
- IR&D Workshops have been very successful to date
  - Linking IR&D with SBIR is paying great dividends
  - Industry Feedback has been extremely positive
- Please join us at an upcoming AF event

**For more info, contact: Mr. Giovanni Pagán**  
**AF IR&D Program Manager, AFRL/XPPN**  
**AFRL Plans & Programs Directorate**  
**Wright-Patterson AFB OH 45433**  
**giovanni.pagan@us.af.mil**  
**Comm Phone: 937-656-9176**  
**DSN: 986-9176**



UNCLASSIFIED

# *United States Transportation Command* (USTRANSCOM)



## **Improving Warfighter Support by Creating Capability Innovation/Prototyping through Science & Technology**





# Overview

UNCLASSIFIED

- **Command Overview**
- **Summarize USTRANSCOM's RDT&E Program**
- **Review Capability Gaps/Operational & Technical Challenges**
- **Sample of Warfighter Benefits/Savings**
- **Overview of Ongoing Projects**
- **Outline FY11 RDT&E Call for Proposals**

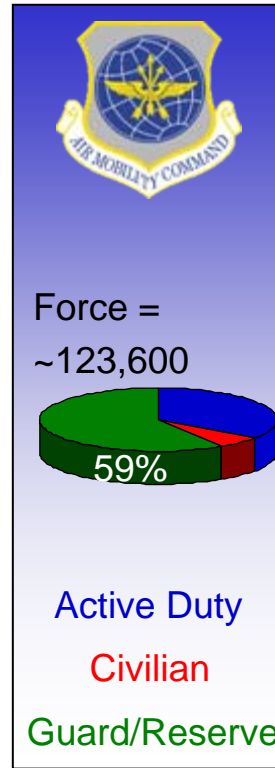
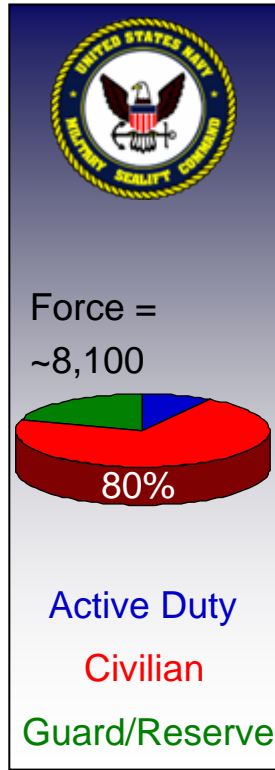
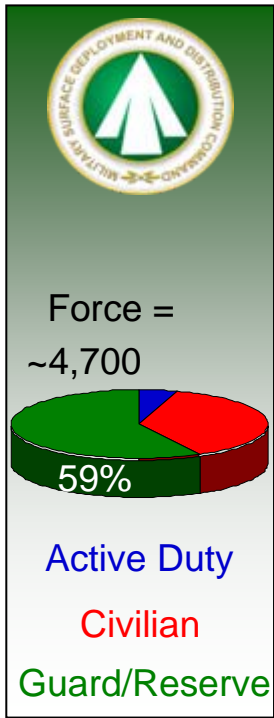




UNCLASSIFIED

# The USTRANSCOM Team

## 24 / 7 / 365



*Commercial Industry Contribution*

1,231 Aircraft  
312 Vessels



### Single Manager for Common-User Transportation





UNCLASSIFIED

# Projecting National Military Power







**UNCLASSIFIED**

# Research, Development, Test & Evaluation (RDT&E)



- DoD RDT&E funding source dedicated to improving logistical / supply chain performance

PE 0603713S	FY09	FY10	FY11	FY12	FY13	FY14	FY15
DOD/PB	\$30M	\$29.3M	\$29.2M	\$29.2M	\$29.1M	\$29.2M	\$30.2M
Authorized	\$30M						
Appropriated	\$30M						

- USTRANSCOM leverages technology to gain efficiencies and reduce the costs
  - Develop joint technologies to improve warfighter support
  - Improve precision/reliability/visibility/efficiency of the DOD supply chain
  - Assure superior strategic/operational/tactical mobility support for the warfighter

**Identified by OSD(AT&L) as “model program” and #1 in DoD for transitioning new capabilities to the warfighter**



UNCLASSIFIED

# Deployment and Distribution Enterprise Technology Program Element: PE0603713S Overview

Cost (\$ in millions)	FY 2009	FY2010
<b>Project 1: Capabilities Based Logistics</b>	<b>6.074</b>	<b>1.540</b>
<b>Project 2: Deployment and Distribution Velocity Mgmt</b>	<b>6.591</b>	<b>7.635</b>
<b>Project 3: Cross Domain Intuitive Planning</b>	<b>1.815</b>	<b>2.430</b>
<b>Project 4: End-to-End Visibility</b>	<b>2.779</b>	<b>4.916</b>
<b>Project 5: Distribution Planning and Forecasting</b>	<b>2.750</b>	<b>2.870</b>
<b>Project 6: Joint Transportation Interface</b>	<b>7.174</b>	<b>8.831</b>
<b>Project 7: Distribution Protection/Safety/Security</b>	<b>1.925</b>	<b>1.125</b>
<b>Total PE Cost</b>	<b>29.108*</b>	<b>29.337</b>

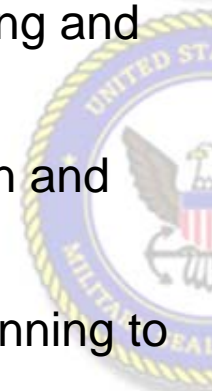
\* \$733K reprogrammed to BA7/\$159K in taxes



UNCLASSIFIED

# *USTRANSCOM Deployment and Distribution Enterprise Technology*

- Program Element Projects
  1. **Capability Based Logistics** - Improve performance of DOD supply chain
  2. **Deployment and Distribution Velocity Management** - Optimize throughput
  3. **Supply Chain Planning and Execution** - Improve decision-making and collaboration
  4. **End-to-End Visibility** - Provide end-to-end visibility of the projection and sustainment of forces and equipment
  5. **Distribution Planning and Forecasting** – Customer focused planning to optimize distribution process
  6. **Joint Transportation Interface** - Synchronizing strategic/theater delivery capabilities
  7. **Distribution Protection/Safety/Security** – Providing appropriate security during operations





UNCLASSIFIED

# *Top Operational/Technical Challenges*

- **Command, Control, Computers, and Communications Info Ops**
  - En route comms
  - Facilitate requirements capture/assessment/planning
  - Total Asset Visibility/In-transit Visibility
- **Mobility Air Forces All Weather Capability**
  - Improve precision airdrop accuracy
  - Autonomous landing and refueling
- **Defensive Systems for Mobility Lift Assets** (e.g., Counter MANPAD)
- **Transportation and Connector Systems**
  - Synchronize strategic/theater delivery capabilities
  - Protect physical/C2 supply chain continuity
  - Optimize flow
  - Synchronize data/lift with Commercial Providers
- **Reduce Fossil Fuel Dependency**
  - Explore alternative fuels/fuel blends
  - Reduce aircraft weight
  - Improve planning/execution tools







UNCLASSIFIED

# *Selected Warfighter Benefits/Costs Savings*

- Joint Precision Air Drop System – Mission Planner (JPADS-MP)
  - Dramatically increased precision/non-precision airdrop accuracy
  - Allows friendly forces to take the fight to the enemy in austere locations
  - Millions in costs avoidance savings by protecting ground and aviation assets & troops
- Wireless Gate Release System (WGRS) for JPADS
  - Automatic aircraft release gate for airdrop missions
  - Doubles C-130 JPADS/non-precision cargo carrying capacity
  - Eliminates cargo damage due to cargo mid-air collisions
- En Route Trauma Patient Care Module
  - Provide continuous care/monitoring of the wounded from battlefield to definitive care
  - More efficient use of liquid oxygen supply will save DOD millions of dollars
  - Technology to be used on future NASA space missions
- Joint Modular Intermodal Container (JMIC) JCTD
  - Standardized container for efficient use/transfer between conveyances
  - 23% reduction in 20FT container requirement and 32% reduction in air pallets required
  - \$16M annual savings in cardboard uni-pack use





UNCLASSIFIED

# *Selected Warfighter Benefits/Costs Savings*

- Deployable Cargo Screener (DCS) ACTD
  - Screen cargo pallets for explosives
  - Army incorporated technology into robotics for IED detection
- Joint Air Lift Information System-Next Generation (JALIS-NG)
  - Web-based tool to enhance Operational Support Airlift (OSA) global C2
  - 20% increase in OSA asset use efficiency will save millions
- Coalition Mobility System JCTD
  - Gain visibility/improve scheduling of coalition transportation assets
  - 100% return on investment within 2 years and \$2.3M estimated annual ROI there after
- Node Management and Deployable Depot (NoMaDD) ACTD
  - Deployable depot to manage in-theater logistics
  - Processed 4000 truck loads of meals and ice in support of Hurricane Ike
  - During user evaluation achieved 53% reduction in airlift for DLA managed items and reduced customer wait time from 19.8 days to 10.8 days
- Joint Enabled Theater Access-Seaport of Debarkation (JETA-SPOD) JCTD
  - Planning/decision support tool for analysis of austere ports locations for anti-access areas
  - Lightweight modular causeway supporting seabasing to shore movement of forces

UNCLASSIFIED



UNCLASSIFIED

# Overview of FY09 RDT&E Projects

## ARMY

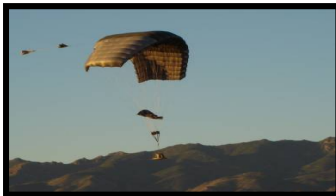


Next Generation Wireless Communications



En Route Trauma Patient Monitoring Module

## USAF



Joint Precision Air Drop Guidance, Navigation, Control



Fusion Center Operational Effectiveness

**\$30M/Year Line Providing Cross-Service/JDDE Distribution Capabilities**



Single Load Planning



Controlled Testing of Premium AIT Devices

## JDDE



Expeditionary Theater Distribution

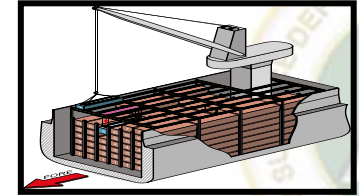


Common Operating Picture Deployment & Distribution (COP D2)

**Only DOD RDT&E Funding Solely Dedicated to Transportation/Distribution Technologies**

UNCLASSIFIED

## NAVY



At Sea Selective Discharge



Large Vessel Interface - Lift On/Lift Off

## USMC



Shipboard Selective Access and Retrieval System

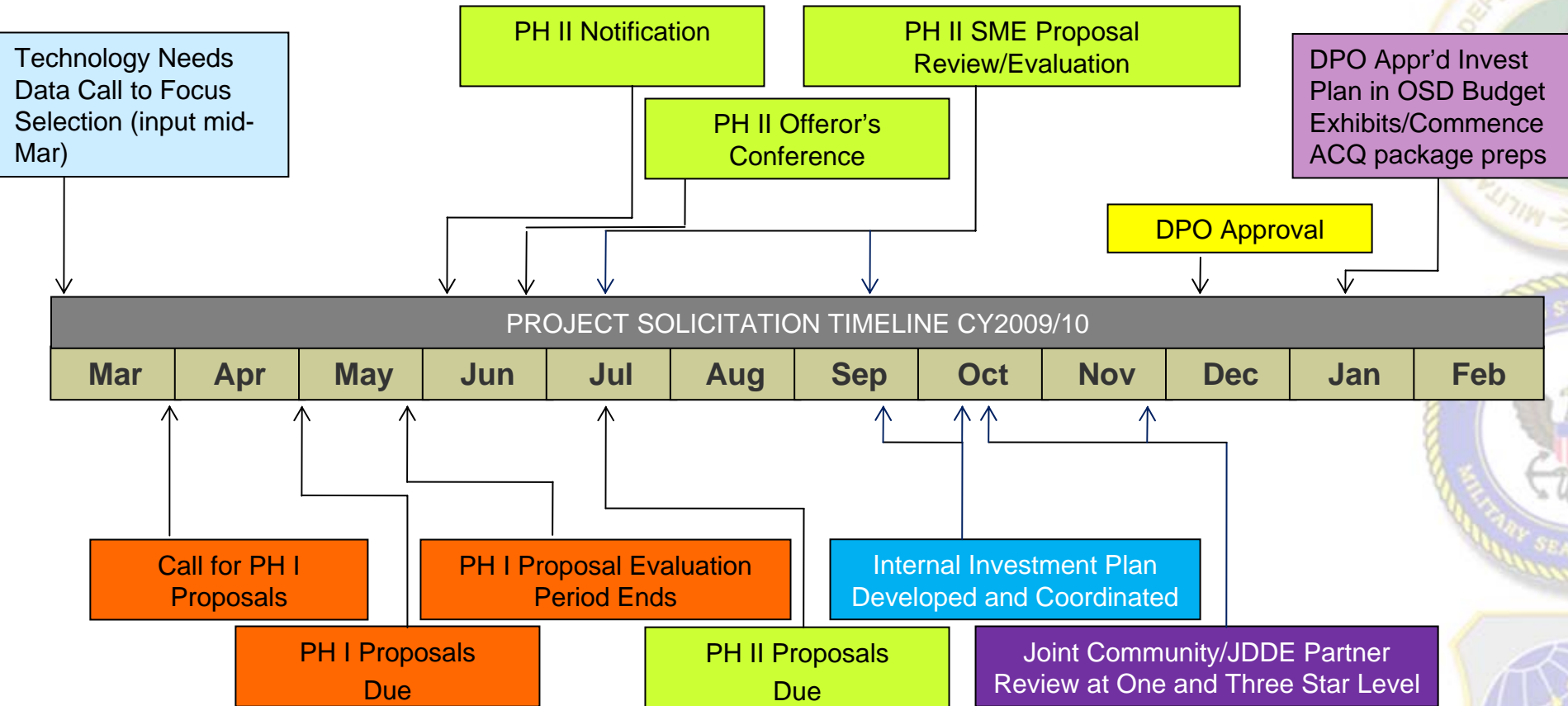


Next Generation Autonomic Logistics



UNCLASSIFIED

# FY11 RDT&E Program Project Solicitation Timeline



UNCLASSIFIED







# QUESTIONS





UNCLASSIFIED

# *Back up*





UNCLASSIFIED

# Project 1: Capability Based Logistics

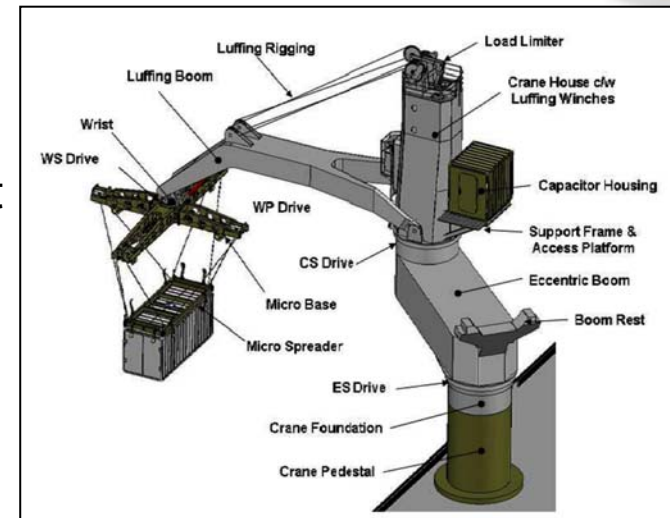
*Description: Critical enterprise-level distribution system capabilities to improve DOD supply chain performance*

Applies to JCA Tier 1/2 activities: Logistics/Deployment & Distribution and Command & Control/Decide



- **Large Vessel Interface-Lift-on/Lift-off:**

- Inter-ship transfer of fully loaded 20ft containers at sea in up to sea state 4
- Collaborative partnership with Navy to demonstrate capability to transfer ½ loaded container Fall 09
- Field initial capability as part of Maritime Positioning Force-Future (MPF-F) in FY15



UNCLASSIFIED



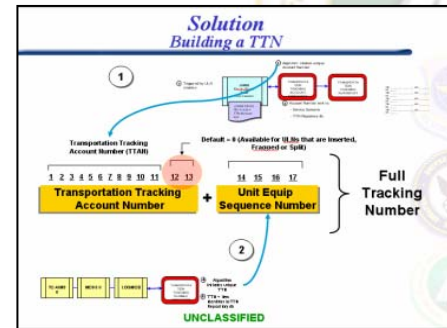
# Project 2: Deployment and Distribution Velocity Management

*Description: Increase force projection and sustainment velocity*

Applies to JCA Tier 1/2 activities: Logistics/Deployment & Distribution and Supply

## • Transportation Tracking Number:

- Create a FedEx like commodity tracking number to increase end-to-end visibility of transportation requirements
- Significantly reduce re-ordering errors, reduce costs and enhance warfighter confidence
- Complete development/transition FY10



## • Shipboard Selective Access and Retrieval System:

- Adapt commercial air skid type technology to move cargo and vehicles in a Large, Medium-Speed Roll-on/Roll-Off (LMSR) cargo hold in conditions up to sea state 5
- Enhanced ability to conduct at sea/seabase operations
- Complete development/transition FY11



## • Joint Recovery and Distribution System (JRaDS) JCTD:

- Common Joint Cargo Handling System that meets – or exceeds – the requirements for multiple Joint Operational Concepts for MCO, GWOT & Stability Operations
- Enable more efficient, seamless multi-mode transport of cargo between Ships, Aircraft and Tactical Wheeled Vehicles, from Port of Debarkation to dispersed forces - transition FY12







# Project 3: Supply Chain Planning and Execution

*Description: Improve supply chain decision-making/collaboration*

Applies to JCA Tier 1/2 activities: Logistics/Deployment & Distribution and Command & Control/Planning and Decide

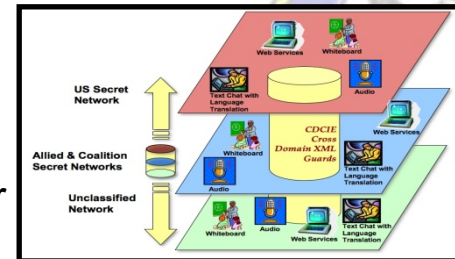
**Next Generation Autonomic Logistics:**

- Monitor/report maintenance status of combat assets in tactical operations; distribution demand forecasting/execution monitoring tools
- Timely tactical logistics demand data “injected” into operational and strategic level distribution systems
- Complete development/transition FY12



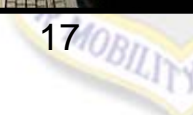
**Cross Domain Collaborative Info Exchange (CDCIE) JCTD:**

- Deliver a suite of tools for the Joint Warfighter with Text chat language translation, whiteboard, audio and XML Guard functionality
- Enable cost-effective coalition and interagency information sharing for effective Command and Control
- Complete development/transition FY13



**Future Center Organizational Effectiveness (FCOE):**

- Organizational simulation that can manipulate key parameters (e.g., manpower, task complexity, etc.) to optimize Fusion Center performance
- Allows weighing of alternatives before engaging in risky activities... saves time, money, and manpower





UNCLASSIFIED

# Project 4: End-to-End Visibility

*Description: Provide end-to-end visibility of all aspects of the projection and sustainment of forces and equipment*

Applies to JCA Tier 1/2 activities: Logistics/Supply and Command & Control/Planning

- **Next Generation Wireless Communications (NGWC):**

- Provide a mobile, adhoc wireless mesh network linking various existing communication technologies to improve theater-wide asset visibility
- Provides immediate, reliable, and accurate shipment status through system access or event management
- Complete development/transition FY11



- **Expeditionary Theater Distribution (ETD):**

- Provide next-generation Portable Deployment Kit (PDK) capability with improved security, communications, and miniaturization
- Quick deployment of RFID technology in austere/mobile environments extending asset visibility to the last tactical mile
- Complete development/transition FY11



UNCLASSIFIED



# Project 5: Distribution Planning & Forecasting

*Description: Planning, based on an understanding of customer requirements, for optimizing distribution process*

Applies to JCA Tier 1/2 activities: Logistics/Deployment & Distribution and Command & Control/Planning

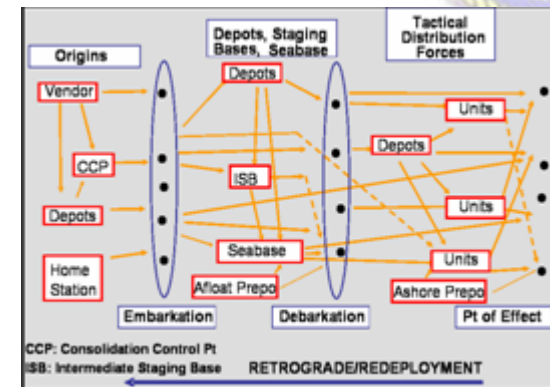
## • Single Load Planning Capability:

- Integrate cross-JDDE load planning capability applicable to air, sea, rail, and road movement
- Provide a collaborative info workspace where incoming cargo can be dragged and dropped into load plans for follow-on conveyance
- Complete development/transition FY11



## • Distribution Performance Nodal Model:

- Develop a highly configurable model to express and analyze complex/detailed business processes within distribution nodes
- Enhance DOD's ability to conduct programmatic distribution analysis
- Complete development/transition FY11







# Project 6: Joint Transportation Interface

*Description: Synchronizing, through information exchange, strategic/theater delivery capabilities to meet customer needs*

Applies to JCA Tier 1/2 activities: Logistics/Deployment & Distribution, Command & Control, Understand and Net-Centric/Enterprise Services

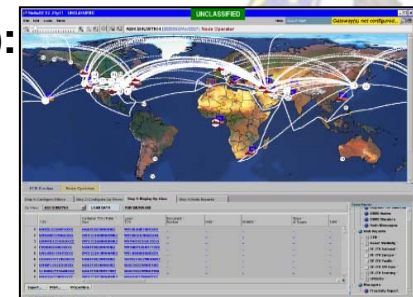
• **Multi-Modal Optimization/Booking:**

- Enhance war fighter support through the optimization of transportation lift assets
- Perform automated/integrated (air/land/sea) booking functions (saving both manpower and reducing costs through improved system performance)
- Capability to see and understand problems impacting execution movement prior to and during execution



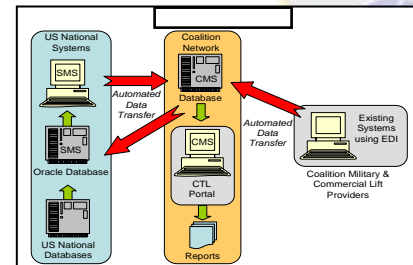
• **Collaborative Operational Picture-Deployment & Distribution (COP D2):**

- COP D2: Link disparate distribution systems across the JDDE for a common logistics picture and actionable C2 information
- Data Quality Improvement for COP D2: Accurate and standardized distribution data to enable performance of COP D2
- Spirally transition capabilities across FYDP



• **Coalition Mobility System (CMS) JCTD:**

- Ability to collect and query operationally relevant information pertaining to force movement and sustainment supporting coalition operations
- Allows for visualization of coalition movements and improved lift efficiency
- Complete development/transition FY10







UNCLASSIFIED

# Project 7: Distribution Protection/Safety/Security

*Description: Providing the appropriate security in a timely manner during deployment and distribution operations*

Applies to JCA Tier 1/2 activities: Logistics/Deployment & Distribution, Command & Control/Decide, and Force Support/Health Readiness

## • Next Generation Guidance, Navigation & Control:

- Effort across FYDP to increase the delivery accuracy of Joint Precision Air Drop System (JPADS) cargo in support of the warfighter
- Collaborative Army/Air Force/USTRANSCOM initiative
- Required capability is 50 meters (previous efforts decreased landing zone from 260 to 5 acres (98% improvement))





# RDT&E Program Metrics

<b>Performance Metric</b>	<b>Measure</b>
<b>Project Selection Focus</b>	<b>At least 50% are collaborative efforts with other gov't organizations</b>
<b>Enduring Capabilities</b>	<b>At least 75% transition to Program of Record, Service, NSN, or GSA</b>
<b>Delivering Timely Militarily-relevant Capabilities</b>	<b>25% of projects provide an operationally relevant product within 2 years after start and 75% complete final demo within 3 years</b>
<b>Reduced Costs</b>	<b>At least 25% of transitioned technologies producing positive ROI</b>
<b>Technically relevant</b>	<b>At least 80% achieve appropriate TRL and 90% validated by independent MUA/LOE</b>
<b>Program Execution</b>	<b>FMR-mandated obligation/expense goals are exceeded on annual basis (1<sup>st</sup> yr 89% O/60%E; 2<sup>nd</sup> yr 100%O/90%E; 5<sup>th</sup> yr 100%E)</b>



# The DoD T&E / S&T Program

Gerry Christeson

Defense Test Resource Management Center  
Test & Evaluation / Science & Technology Program  
(TRMC, T&E/S&T)

NDIA 10<sup>TH</sup> Annual Science & Engineering Technology Conference



# Test Resource Management Center (TRMC)

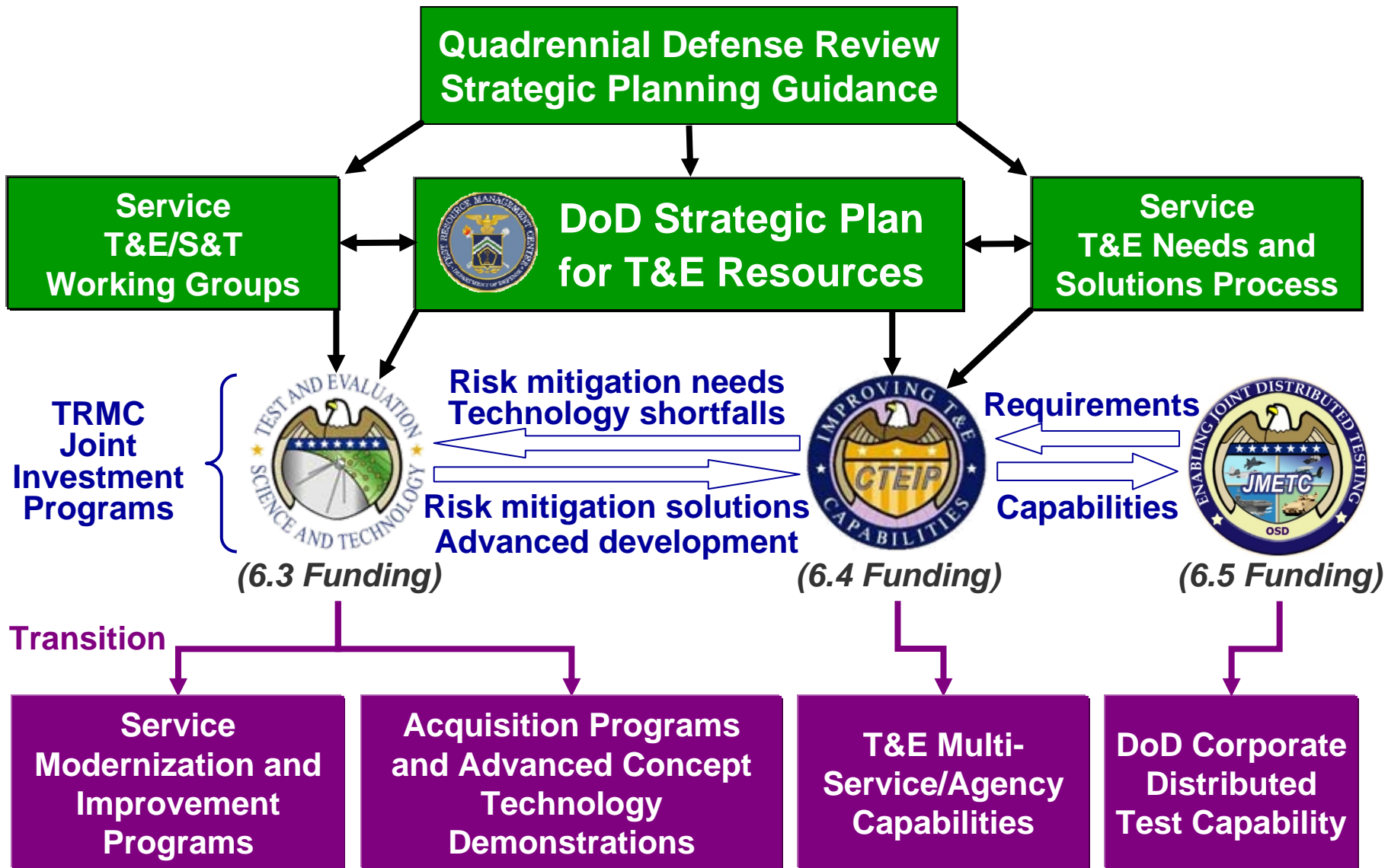


- **DoD Field Activity**
  - Established to ensure that the DoD T&E infrastructure is adequate to support the development and acquisition of defense systems
  - Led by Dr. John Foulkes, SES
    - Direct report to the Under Secretary of Defense (Acquisition Technology and Logistics) and Director, Defense Research and Engineering (DDR&E)
- **Annually certify that the T&E budgets of the military departments and defense agencies are adequate**
- **Develop a biennial DoD Strategic Plan for T&E Resources**
  - Assesses T&E requirements for a period of ten years
  - Identifies required T&E infrastructure investments
- **Responsible for all T&E infrastructure policy for the DoD's Major Range and Test Facility Base (MRTFB)**
- **Manage OSD funded T&E investment programs:**
  - Joint Mission Environment Test Capability Program (JMETC)
  - Central Test and Evaluation Investment Program (CTEIP)
  - Test and Evaluation/Science and Technology (T&E/S&T) Program





# Synergy through Aligned Investment





# T&E/S&T Program Overview



- **Test & Evaluation / Science & Technology (T&E/S&T) Program started in FY 2002**
  - Joint DDR&E / DOT&E initiative
- **Mission**
  - Investigate and develop new technologies required to test and evaluate our transforming military capabilities
    - Include any system that makes our warfighters more survivable and effective in combat
    - Mature test technologies from TRL 3 to 6
- **Goal**
  - Transition emerging technologies into test capabilities in time to verify warfighting performance

**Shaping Technology into Tomorrow's T&E Capabilities**



# T&E/S&T Program Office



- **What We Do?**

- Fund Test & Evaluation related R&D projects
- Foster technology transition to MRTFB and other DoD T&E field activities

- **How We Do It?**

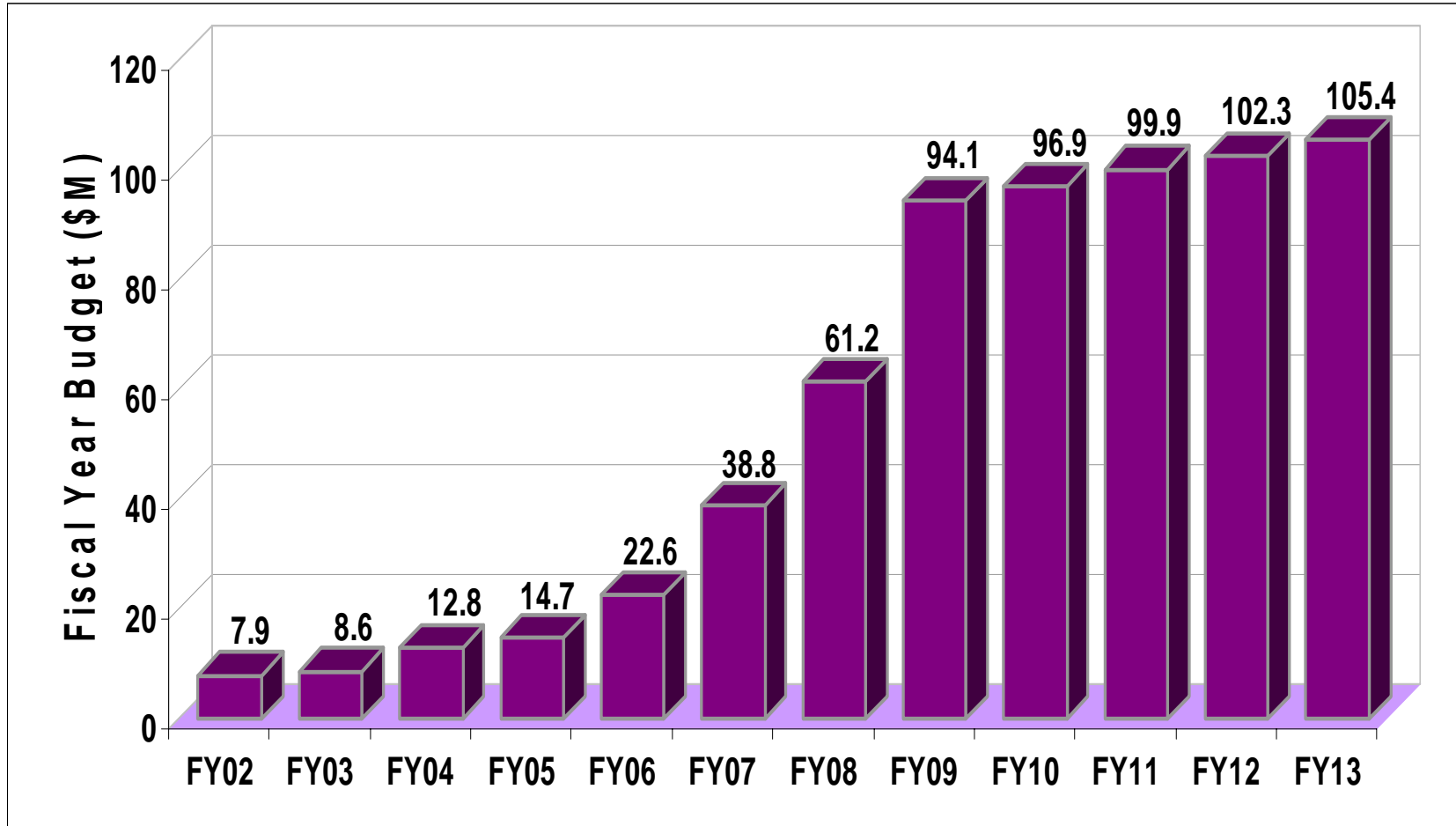
- Issue annual Broad Agency Announcement (BAA)
- Tri-Service working groups draft BAAs and participate in proposal evaluation
- Award T&E R&D projects at TRL 3, 4 or 5 and mature to TRL 6
- Executing Agents (EA) manage test technology Focus Areas

- **Who Do We Fund?**

- Academia
- Industry
- Government laboratories
- Teams of academia / industry / government labs



# T&E/S&T Program Annual Budget



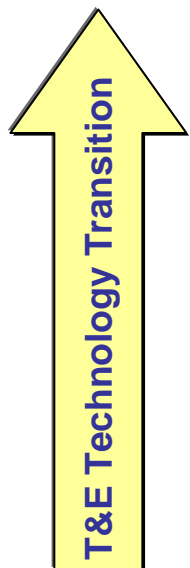




# Technology Readiness Level

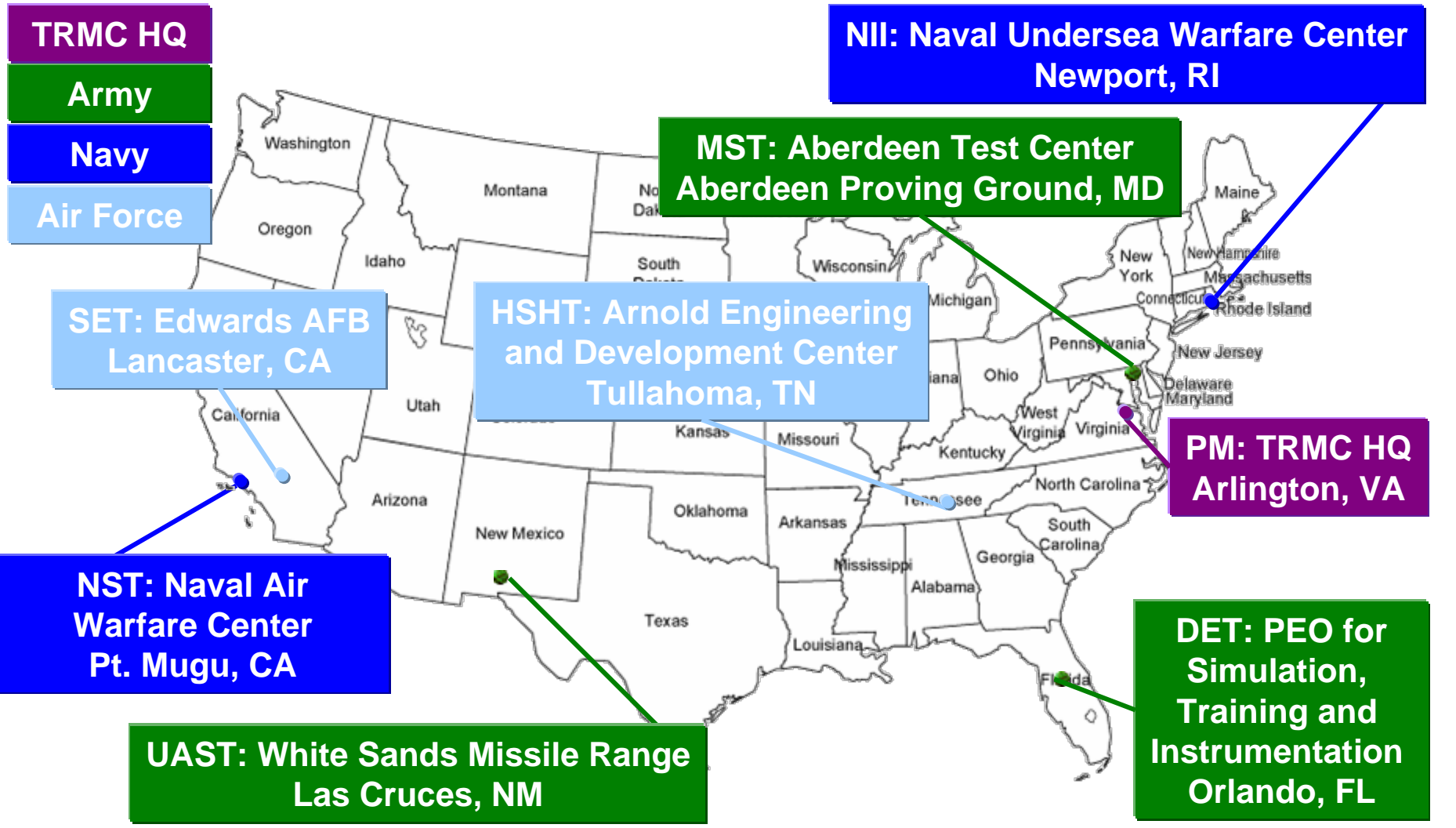


TRL 9	Actual system 'flight proven' through successful mission operations
TRL 8	Actual system completed and 'flight qualified' through test and demonstration
TRL 7	System prototype demonstration in an operational environment
TRL 6	System/subsystem model or prototype demonstration in a relevant environment
TRL 5	Component and/or breadboard validation in relevant environment
TRL 4	Component and/or breadboard validation in laboratory environment
TRL 3	Analytical and experimental critical function and/or characteristic proof of concept
TRL 2	Technology concept and/or application formulated
TRL 1	Basic principles observed and reported





# T&E/S&T Program Management



**Central Oversight – Distributed Execution**



# FY09 T&E/S&T Focus Areas

---



- **Directed Energy Test (DET)** – On-board and off-board technologies to assess performance of high energy laser and high power microwave weapon systems
- **High Speed/Hypersonic Test (HST)** – Technologies to provide high fidelity environments, M&S and instrumentation for ground and flight tests of air breathing hypersonic vehicle propulsion systems
- **Multi-Spectral Test (MST)** – Technologies to enable real-time, realistic T&E of multi-spectral and hyperspectral seekers and sensors through scene prediction, simulation and measurement
- **Non-Intrusive Instrumentation (NII)** – Technologies for non-intrusive sensors, power sources, time & positioning system, and data acquisition to provide continuous, non-obtrusive T&E



# FY09 T&E/S&T Focus Areas

## (continue)

---



- **Netcentric Systems Test (NST)** – Technologies to measure and assess the performance of the physical, information domains of the Joint, integrated netcentric architectures
- **Spectrum Efficient Technology (SET)** – Technologies to enable more efficient use of legacy telemetry bands and expand into non-traditional areas of the RF spectrum and the optical spectrum
- **Unmanned Autonomous Systems Test (UAST)** – Technologies for T&E of unmanned systems ranging from full tele-operation to totally autonomous, learning performance

**Approximate 115 active projects**



# T&E/S&T Program Project Selection Process



## Drivers



## Tri-Service Focus Area Working Group

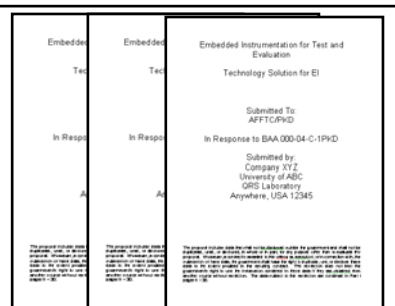
- Executing Agent
- T&E Community Reps
- S&T Community Reps
- Subject Matter Experts

## Needs/Requirements

Solicitations are issued through  
<http://www.fedbizopps.gov>

## Roadmaps and Solicitations

## Proposals



Final  
Selections

## Executing Agent

Recommendations

## Source Selection Evaluation Team

- Working Group
- Subject Matter Expert
- Contracting Reps



Program Manager

Funding Decision

Focus Area  
Execution





# Working Group Participants



Army	AMRDEC	IEW
	AMSAA	NVESD
	ARL	OTC
	ATC	PEO STRI
	ATEC	RDEC
	ATTC	RTTC
	HELSTF	TRADOC
Navy	NAVAIR	NAWC
	NAVSEA	NUWC
	NRL	SPAWAR
Air Force	AEDC	AFRL
	AFEWES	AFWDC
	AFFTC	46 <sup>th</sup> TW
	AFOTEC	452 <sup>nd</sup> FLTS
DoD	DDR&E	IO Range
	DISA / JITC	JCS
	DOT&E	JFCOM



# BAA Schedule

Activity	Govt FY 2009									Govt FY 2010		
	Jan-09	Feb-09	Mar-09	Apr-09	May-09	Jun-09	Jul-09	Aug-09	Sep-09	Oct-09	Nov-09	Dec-09
FY09 Project and Study Selection												
EA's Draft BAA Topic Areas	[Grey bar from Jan-09 to Feb-09]											
Industry/Academia Days		◆										
PMO Topic Area Approval			[Grey bar from Mar-09 to Apr-09]									
EA's Issue Solicitations				◆								
Offeror White Paper Submissions					◆							
EA WG's White Paper Review						[Grey bar from May-09 to Jun-09]						
PMO/EA Coordinate Selected White Papers / Develop Clarifications							[Grey bar from Jun-09 to Jul-09]					
Letter RFP Issued to Selected Offerors												
Offeror Proposal Submissions										◆		
EA WG's Proposal Review & Recommendations to PMO											[Grey bar from Aug-09 to Sep-09]	
PMO Proposal Recommendations Review & Decisions												[Grey bar from Sep-09 to Oct-09]
Clarifications, Negotiations & Contract Awards												[Grey bar from Oct-09 to Dec-09]

BAA – Broad Agency Announcement  
 PMO – Program Management Office

EA – Executing Agent  
 RFP – Request for Proposal

WG – Working Group  
 FY – Fiscal Year



# FY10 BAA Release Schedule



	BAA Release	Whitepaper Due	“Invited” Proposal Due
Directed Energy Test	3/25/09	5/1/09	7/29/09
High Speed / Hypersonic Test	4/15/09	6/2/09	8/25/09
Multi – Spectrum Test	4/2/09	5/1/09	8/4/09
Non-Intrusive Instrumentation	By 4/30/09	TBD	TBD
Netcentric System Test	4/15/09	6/2/09	8/25/09
Spectrum Efficient Technology	By 4/30/09	TBD	TBD
Unmanned & Autonomous System Test	By 4/30/09	TBD	TBD

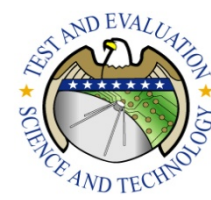


# FY10 BAA Announcements

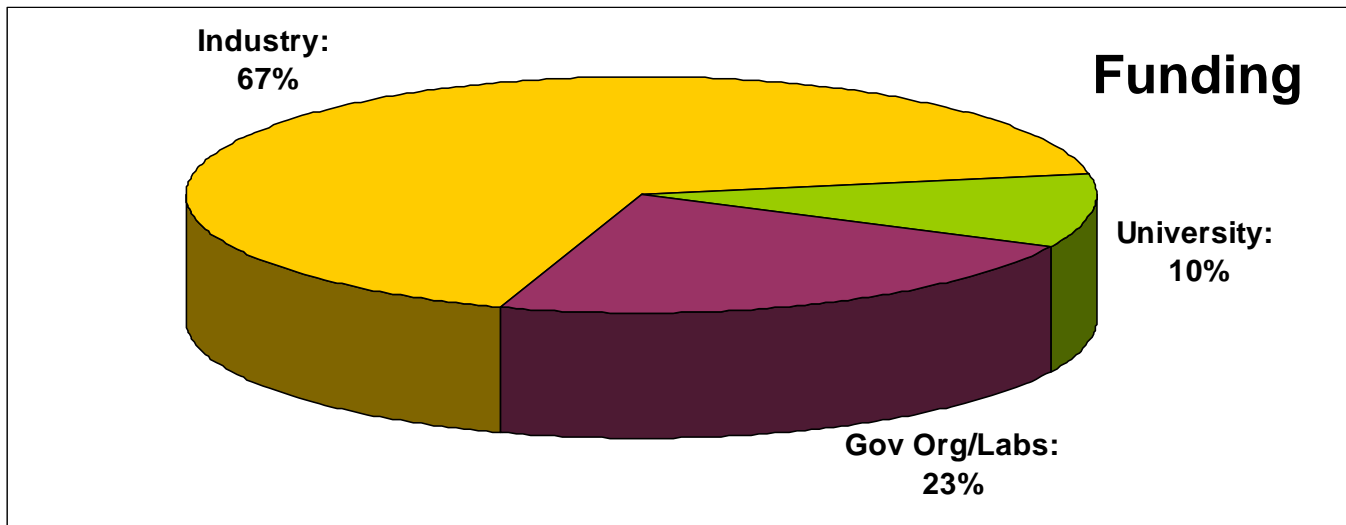
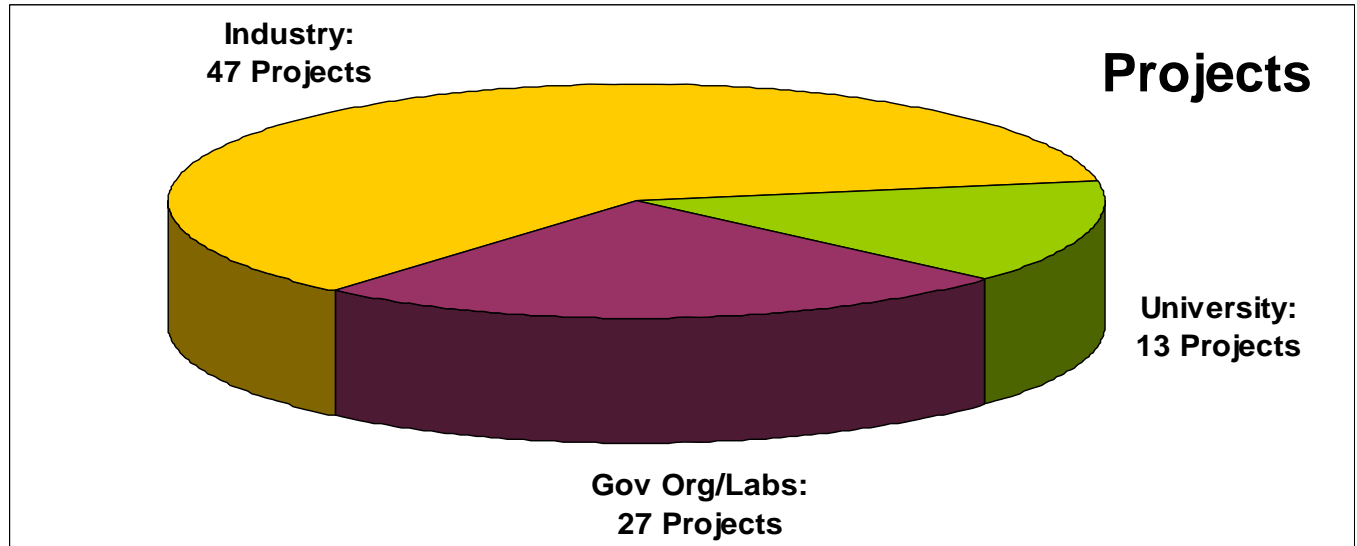


<http://www.fedbizopps.gov/> → Search for “TRMC”

	TRMC FY10 BAA RFP #
Directed Energy Test	W900KK-08-R-0012
High Speed / Hypersonic Test	W900KK-08-R-0017
Multi – Spectrum Test	W91ZLK-08-T-0211
Non-Intrusive Instrumentation	W900KK-09-R-0037
Netcentric System Test	W900KK-08-R-0018
Spectrum Efficient Technology	W900KK-08-R-0019
Unmanned & Autonomous System Test	W900KK-09-R-0038



# FY 2009 T&E/S&T Distribution



**Note:**  
Numbers apply only to FY 2009 funding profile





# The Proposal — Key Criteria

---



- **Meets a T&E Need**
- **Requires S&T work**
- **High Payoff**
- **Broad application (more than one DoD test activity)**
- **High potential for transition to development of a test capability**



# Technology Development Needs



- **Fill gaps in existing T&E capabilities**
  - Implement new technology to existing systems
  - Satisfy immediate needs before new T&E capability become available
  - Meet urgent needs
- **Field components of T&E capabilities**
  - Improve current capability
  - Accept benefit–risk trade off
- **Develop new and integrated T&E capability**
  - Build state-of-the-art new T&E infrastructure
  - implement systems based on the latest technologies
  - Satisfy long-term version while meeting short-term goals

## TRMC Vision

The Department of Defense T&E Ranges & Facilities will be fully capable of supporting the Department with Quality products and services in a responsive and affordable manner.



# Key T&E/S&T Focus Area Technology Investments



- **Directed Energy Test**
  - Measure energy on target
  - Visualize and assess target destruction mechanisms
- **High Speed/Hypersonic Test**
  - Clean air propulsion
  - Variable Mach Number nozzle
- **Multi-Spectral Test**
  - High spatial/spectral fidelity, high temperature, wide dynamic range, polarized and high frame rate scenes
  - Emitters and projectors encompassing more than one spectral band (i.e., Near Infrared, Short-Wave Infrared, and Mid-Wave Infrared)
- **Non-Intrusive Instrumentation**
  - High accuracy, high dynamic and GPS-denied TSPI
  - Scalable, open, wireless, self-healing architectures and smart sensors that support various interfaces
- **Netcentric Systems Test**
  - Live, virtual and constructive NST test environment that emulates real-world networks
  - Evaluate interoperability, net-ready KPP, and Joint mission effectiveness
- **Spectrum Efficient Technology**
  - Transmitters: Linear and constant envelope power amplifiers
  - Receivers: Low-noise amplifiers
  - Antennas: Point source trackers, Multi-beam phased arrays, Diversity (spatial, polarization, frequency) combiners
- **Unmanned and Autonomous Systems Test**
  - Predict autonomous performance and behavior
  - Accurately collect and compare autonomous systems situational awareness and ground truth



# MST Dynamic Hyperspectral Thermal Signature Model (DHTSM)



## Successfully demonstrated:

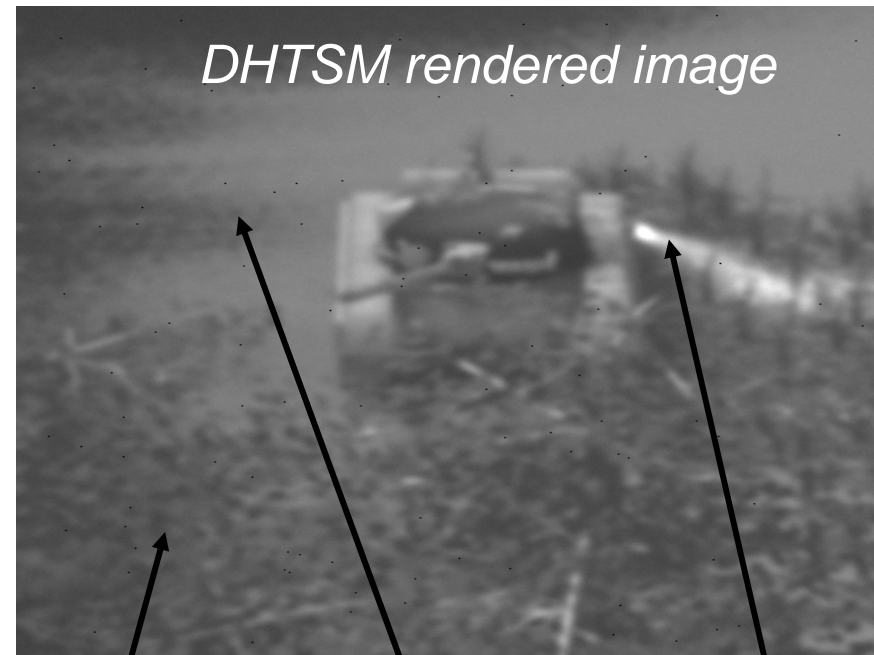
- Large area (5 km x 5 km) long range infrared scenes
- High spatial and spectral fidelity; diurnal effects
- 20-100 in-scene targets

## Government owned source code; in use by

- Redstone Technical Test Center
- Army Aviation and Missile Research Development and Engineering Center
- Army and Air Force Research Labs
- National Ground Intelligence Center
- Natick Soldier Systems
- National Institute of Standards and Technology
- Night Vision and Electronic Sensors Directorate

Applications include missile testing, IR seeker dome design, camouflage evaluation, automatic target recognition, advanced hyperspectral sensor T&E

## Early Morning Summer Season



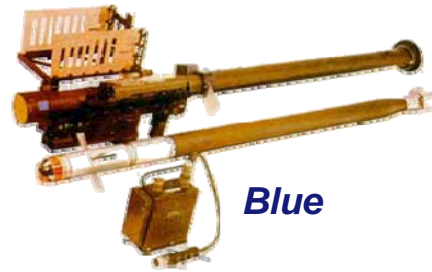
*trees*

*shadows*

*exhaust plume*

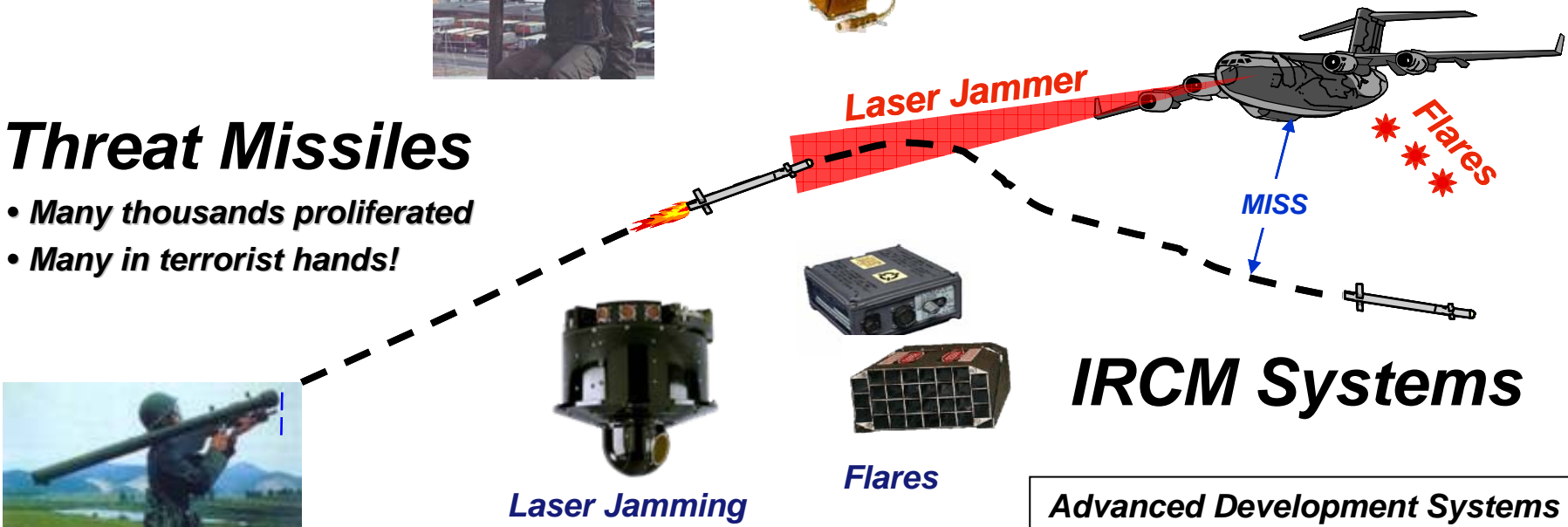
*T62 Tank in a foliated environment*

# Infrared Countermeasures (IRCM) Drivers



## Threat Missiles

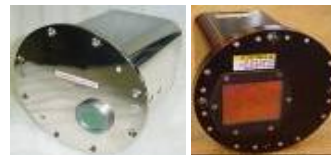
- Many thousands proliferated
- Many in terrorist hands!



## IRCM Systems



**UV Missile Warning**

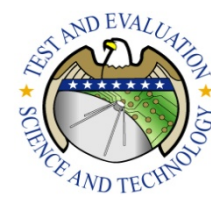


**IR Missile Warning**

### Advanced Development Systems

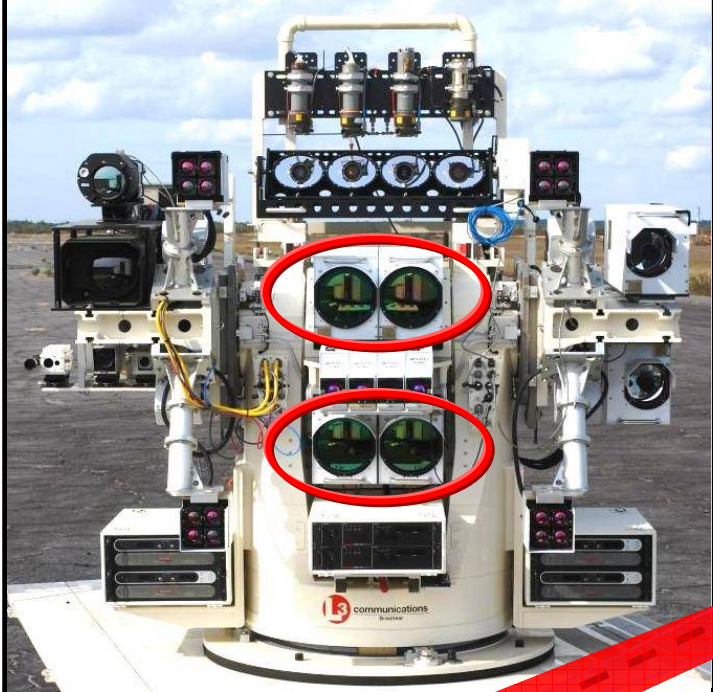
- Closed Loop Laser Jamming
- Visible Missile Warning
- Pro-Active (Jam Before Launch)
- Airport Protection
- HEL, HPM Countermeasures



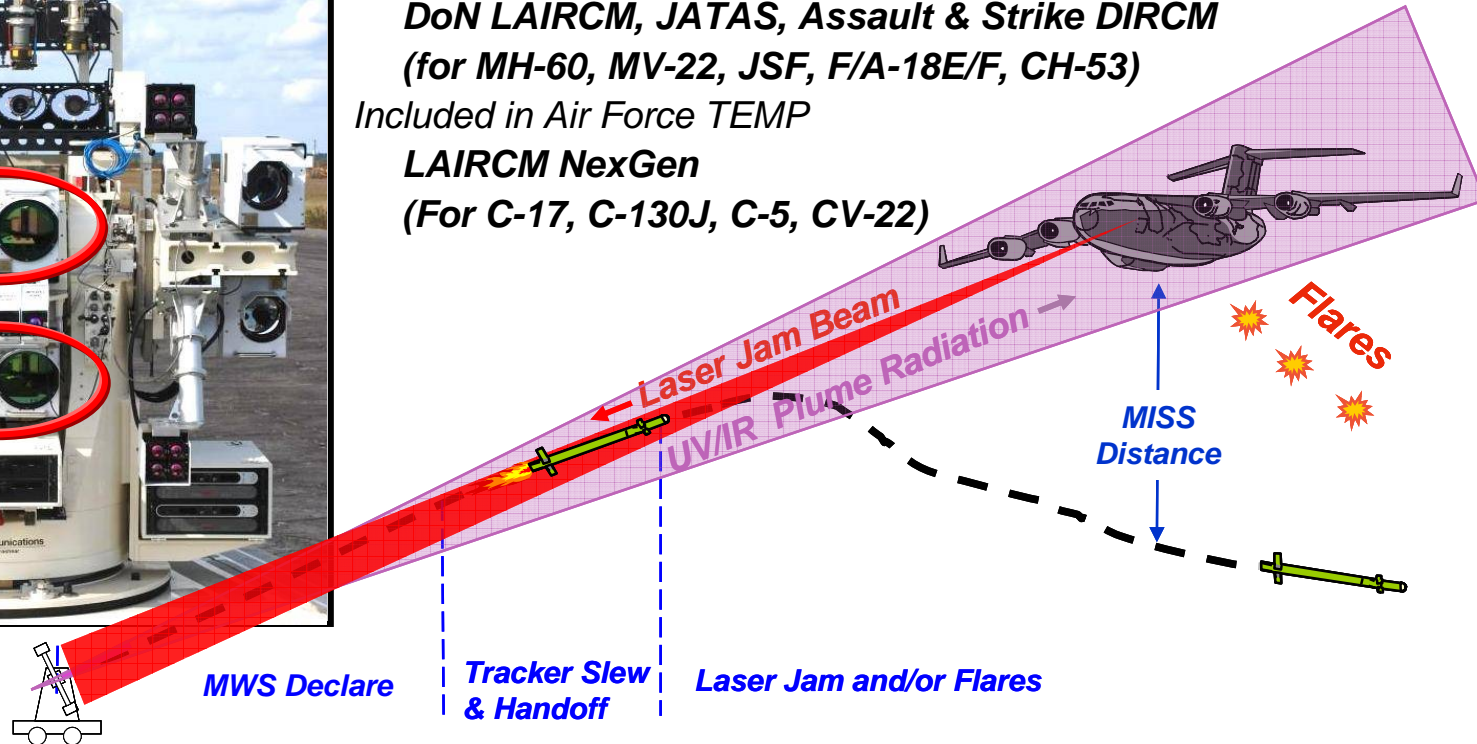


# Joint Mobile IRCM Test System (JMITS)

JMITS “paints” UV & IR signatures on IRCM systems and characterizes laser and flare countermeasures



Both Units Delivered Directly to Test in Mar 08  
**Eglin AFB (DoN LAIRCM Testing on Navy CH-46)**  
**China Lake (LAIRCM Testing on AFSOC CV-22)**  
Included in Navy TEMPS  
**DoN LAIRCM, JATAS, Assault & Strike DIRCM**  
(for MH-60, MV-22, JSF, F/A-18E/F, CH-53)  
Included in Air Force TEMP  
**LAIRCM NexGen**  
(For C-17, C-130J, C-5, CV-22)



**Required T&E/S&T Development for Higher Power Continuous Wave Infrared Sources**

- To simulate long range shots within MANPAD operational envelopes
- To simulate longer range RF SAMs during multi-spectral testing (RF & IR)
- Two Colors (IR-Red & IR-Blue)



# Summary

---

- **Focus on technology transition to DoD's Major Range and Test Facility Base (MRTFB) and other T&E applications**
- **Develop and mature technology from TRL 3, 4 and 5 to TRL 6**
- **T&E Technology Development Partners**
  - Industry
  - Academia
  - Government labs / ranges



# Questions?

---



## Contact Information:

**Mr. Gerry Christeson**

**Defense Test Resource Management Center  
T&E / S&T Program**

**Gerald.Christeson@osd.mil**



# Back Up



# Directed Energy Test

## T&E Gaps

- Ability to visualize laser energy on a target to determine beam location, beam pointing, and tracking stability
- Ability to visualize target destruction mechanisms resulting from laser heating
- Ability to model reflectance from a target to assess target destruction mechanisms
- Ability to non-intrusively measure high-power microwave (HPM) fields to assess quality
- Ability to assess HPM target destruction mechanisms or field uniformity for active denial systems

## S&T Challenges

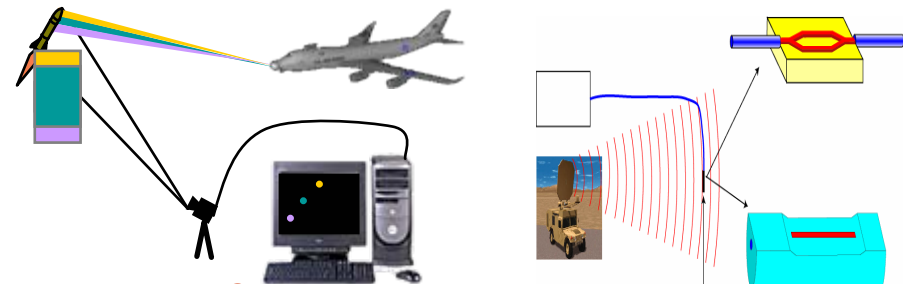
- Develop passive adaptive optics to map laser spot on target (High Energy Laser [HEL])
- Develop high frame rate multi-band infrared cameras (HEL)
- Develop co-located irradiance and temperature sensors on conformal target boards (HEL)
- Develop algorithms to quantify dynamic target surface temperature (HEL)
- Develop non-intrusive, compact, HPM electro-optical and magneto-optical sensors (HPM)
- Develop advanced HPM target board (HPM)

## Major Developments

- HEL temperature and irradiance target panel
- Stand-off sensing of HEL target illumination
- On-board sensing of HEL target illumination
- Electric and magnetic field sensors for HPM effects testing using wide-band and narrow-band sources
- Target board for Active Denial System

## Budget (\$M)

FY08	FY09	FY10	FY11	FY12	FY13	FY14	FY15
15.0	18.7	21.7	21.2	19.7	20.1	20.5	20.9







# High Speed/Hypersonic Test

## T&E Gaps

- Ability to test hypersonic propulsion systems in real air conditions in wind tunnels
- Ability to simulate flight vehicle speed changes in ground test for propulsion testing
- Ability to model ground test facilities to predict test results, optimize testing for limited test time, and reduce flight test risk
- Limited ability to non-intrusively measure temperature, pressure, heat flux, or chemistry
- Limited ability to efficiently gather vehicle performance data during flight test

## S&T Challenges

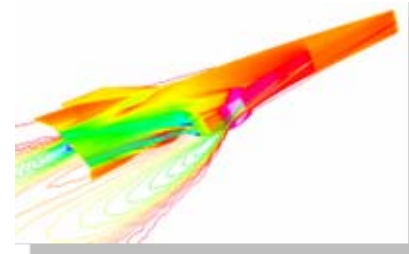
- Research and develop high temperature and high pressure heaters for clean air impulse and continuous flow ground test facilities
- Develop flow control to provide variable Mach
- Research and develop arc heater simulation and control mechanisms for ground test
- Research and develop temperature, pressure, heat flux, and chemistry sensors for hypersonic ground and flight test
- Develop optimized test techniques to obtain flight characteristics in an efficient manner

## Major Developments

- Conducting parallel research efforts to obtain clean air and variable Mach in ground test facilities
- Evaluating the effects of combustion products from fuel used to heat air in hypersonic flow for propulsion testing
- Systematically comparing test results between different ground test facilities to better predict and assess data collected in all facilities

## Budget (\$M)

FY08	FY09	FY10	FY11	FY12	FY13	FY14	FY15
16.0	17.8	19.0	19.1	19.2	19.5	19.9	20.3





# Multi-Spectral Test

## T&E Gaps

- Ability to present accurate, high resolution battle scenes for sensor testing (e.g. missile warning system)
- Ability to present temperature ranges for missile plumes and afterburners for sensor stimulation
- Ability to stimulate sensors by projecting simulated scenes in real-time (trick sensor into responding to simulated data)
- Ability to project and inject spatially and temporally correlated high frame rate scenes for hyperspectral and multi-spectral seeker testing

## S&T Challenges

- Develop large-scale hyperspectral signatures and scenes
- Develop real-time, high frame rate battlespace representations with high spectral and spatial resolution
- Develop spatially and temporally correlated dynamic infrared and radio frequency scenes and targets
- Develop realistic spectral radiance and high dynamic range across environmental conditions
- Develop technologies to present high temperature ( $\geq 3,000^{\circ}\text{C}$ ) emitters

## Major Developments

- Emitters and projectors encompassing more than one spectral band (*i.e.*, Near Infrared, Short-Wave Infrared, and Mid-Wave Infrared)
- High temperature, real-time scene generation using 3-D atmospheric models (more accurate than any existing model)
- High power carrying optical fibers for infrared countermeasure testing

## Budget (\$M)

FY08	FY09	FY10	FY11	FY12	FY13	FY14	FY15
9.5	12.7	12.7	12.7	13.1	13.2	13.5	13.7





# Non-Intrusive Instrumentation

## T&E Gaps

- Non-intrusive, miniaturized sensors and data storage technologies, and supporting architectures
- Miniaturized, long operational life, and efficient power and energy management in a chip
- Data collection for reporting human operator time-space-position information (TSPI) in GPS-denied environments
- High accuracy TSPI for highly dynamic platforms

## S&T Challenges

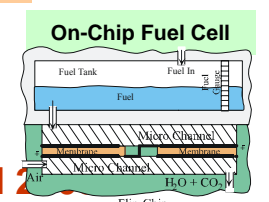
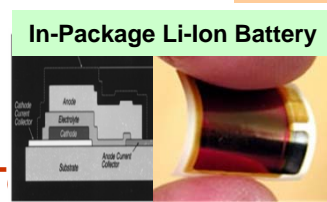
- Develop next generation MEMS, fiber optic sensors, and holographic memory devices
- Develop scalable, open, wireless, self-healing architectures and smart sensors that support various interfaces
- Develop power sources and power mixer-charger-supply electronics embedded within a chip (e.g., lithium-ion strip battery and methanol fuel cell)
- Develop high-resolution location information systems using wide-band, anti-jam technology

## Major Developments

- On-board wireless data communication
- Non-perturbing pressure, temperature, chemical species probes (e.g., MEMS and optical)
- TSPI in GPS-denied environments using wideband radio frequency
- Ultra-high dynamic GPS
- Advanced in-situ power sources to support long-term measurements

## Budget (\$M)

FY08	FY09	FY10	FY11	FY12	FY13	FY14	FY15
7.5	4.8	4.9	6.6	8.6	9.9	10.0	10.2





# Netcentric Systems Test

## T&E Gaps

- Higher fidelity M&S of netcentric battlespace components, and high fidelity network emulation
- Accurately represent effects of the command and control (C2) structure and decision processes
- Representation of Joint mission threads of kinetic and non-kinetic warfighter capabilities
- Ability to evaluate interoperability, net-ready KPP, and Joint mission effectiveness
- Automated intelligent capability to plan, execute, construct, and visualize a netcentric test environment

## S&T Challenges

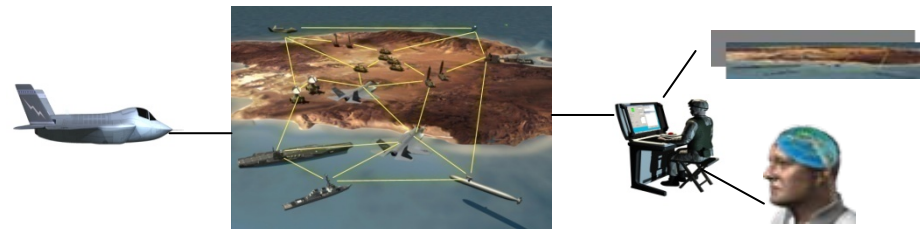
- Develop algorithms to integrate and validate complex simulations in netcentric environments
- Develop algorithms to dynamically manage the test network and conduct compliance testing
- Automate test planning; scenario development; test environment construction; and data capture, analysis, and visualization of netcentric measures
- Represent multiple layers of the C2 structure and simulate the decision processes output

## Major Developments

- High fidelity representation and visualization of current and emerging networks
- Live-Virtual-Constructive (LVC) testing to characterize network effects
- Dynamically configure distributed LVC networks with improved efficiency and performance
- Simulate and analyze effects of traditional and non-kinetic actions within Joint mission context
- Manage and analyze the Joint netcentric test environment in real-time or near real-time

## Budget (\$M)

FY08	FY09	FY10	FY11	FY12	FY13	FY14	FY15
9.0	13.2	16.1	18.0	18.5	18.8	19.2	19.5





# Spectrum Efficient Technology

## T&E Gaps

- Ability to transmit higher data rates in reduced bandwidth environments
- Ability to dynamically allocate frequency bands
  - Ability to detect and use available portions of the spectrum
- Ability to operate in super-high frequency
- Ability to use newly acquired spectrum
- Need Quality of Service (QoS) management software

## S&T Challenges

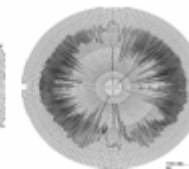
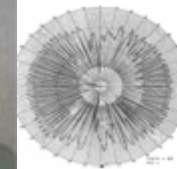
- Develop more efficient modulation and signal coding techniques for greater efficiency (higher data rates in the same bandwidths)
- Develop software for the iNET radio frequency (RF) management telemetry application
- Develop multi-band transmitters and broadband antennas
- Develop QoS management software and simulation tools

## Major Developments

- Mitigation of interference between two transmitter antennas
- Simulations to address iNET networking requirements
- RF channel model development to perform ground station system design
- Increased efficiency of linear RF power amplifiers (improved efficiency from 20% to 30%)

## Budget (\$M)

FY08	FY09	FY10	FY11	FY12	FY13	FY14	FY15
1.2	6.2	6.2	11.1	11.3	11.5	11.7	11.9







# Unmanned & Autonomous System Test

## T&E Gaps

- Ability to safely test lethal, intelligent, tele-operated, or autonomous platforms
- Ability to predict autonomous performance and behavior
- Ability to instrument small unmanned and autonomous systems (UAS) without affecting design or performance
- Ability to accurately collect and compare autonomous systems situational awareness and ground truth

## S&T Challenges

- Develop “fail-safe” methods to control and disarm weaponized UAS safely, even under operational control systems failure
- Develop predictive behavior models by integrating genetic algorithms and probability theory
- Develop models capable of applying realistic stimuli to systems under test
- Develop on- and off-board instrumentation and test communications networks that do not impact UAS performance

## Major Developments

- Multi-data collection sensor system for on-board data capture and real-time measurement at system and sub-system levels for “fail-safe” management and performance assessment
- Modular, self-powered system (<10g) that provides continuous tracking, position, and orientation data
- Situational awareness technologies to perform safe and detailed tests of multiple platforms in diverse environments

## Budget (\$M)

FY08	FY09	FY10	FY11	FY12	FY13	FY14	FY15
4.1	6.3	6.4	6.3	6.5	6.5	6.6	6.8





# T&E Needs

## The R&D project:

- Addresses the T&E requirements
- Fills known T&E gaps
- Articulates how the above are to be achieved

### Example: T&E Need

Ground test facilities generally use combustion processes to create representative flight conditions for hypersonic engine testing. The effects of vitiation on the engine performance is not well known. Ground test facilities need a clean air test capability to more realistically simulate actual flight conditions to accurately predict engine performance in flight.



# S&T Challenges

## The R&D project:

- Develops new test & evaluation capabilities that do not currently exist
- Utilizes /develops beyond state-of-the-art technologies that can be high-risk
- Pushes technology to new limits

### Example: S&T Challenges

- Develop resistively heated elements to routinely operate between 2200 to 2400 Kelvin (4535 to 4927 deg F)
- Develop electrical interface materials that can maintain high current (60 Amp or greater) electrical and mechanical connection at extreme temperatures
- Develop element materials and shapes that can withstand temporal temperature cooling gradients of at least a thousand degrees a minute and maintain air seal to prevent internal cooling air from leaking into external airflow and cooling it



# Partnerships

---

- **Partnerships between universities, industry & DoD laboratories**
  - Form the best research teams possible
- **Collaborate to pursue bigger opportunities**
  - Leverage each others' core competencies
  - Share resources
- **Increase transition opportunities through increased involvement in the T&E/S&T Program**





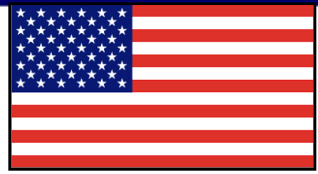
*North American  
Aerospace Defense  
Command  
&  
United States Northern  
Command*



Mr. Ed Doray  
Chief, IC Concepts & Technology  
23 April 2009



# How We Fit Together



**PRESIDENT**

**SECRETARY OF DEFENSE**

**CANADIAN PRIME MINISTER**

**DEFENCE MINISTER**

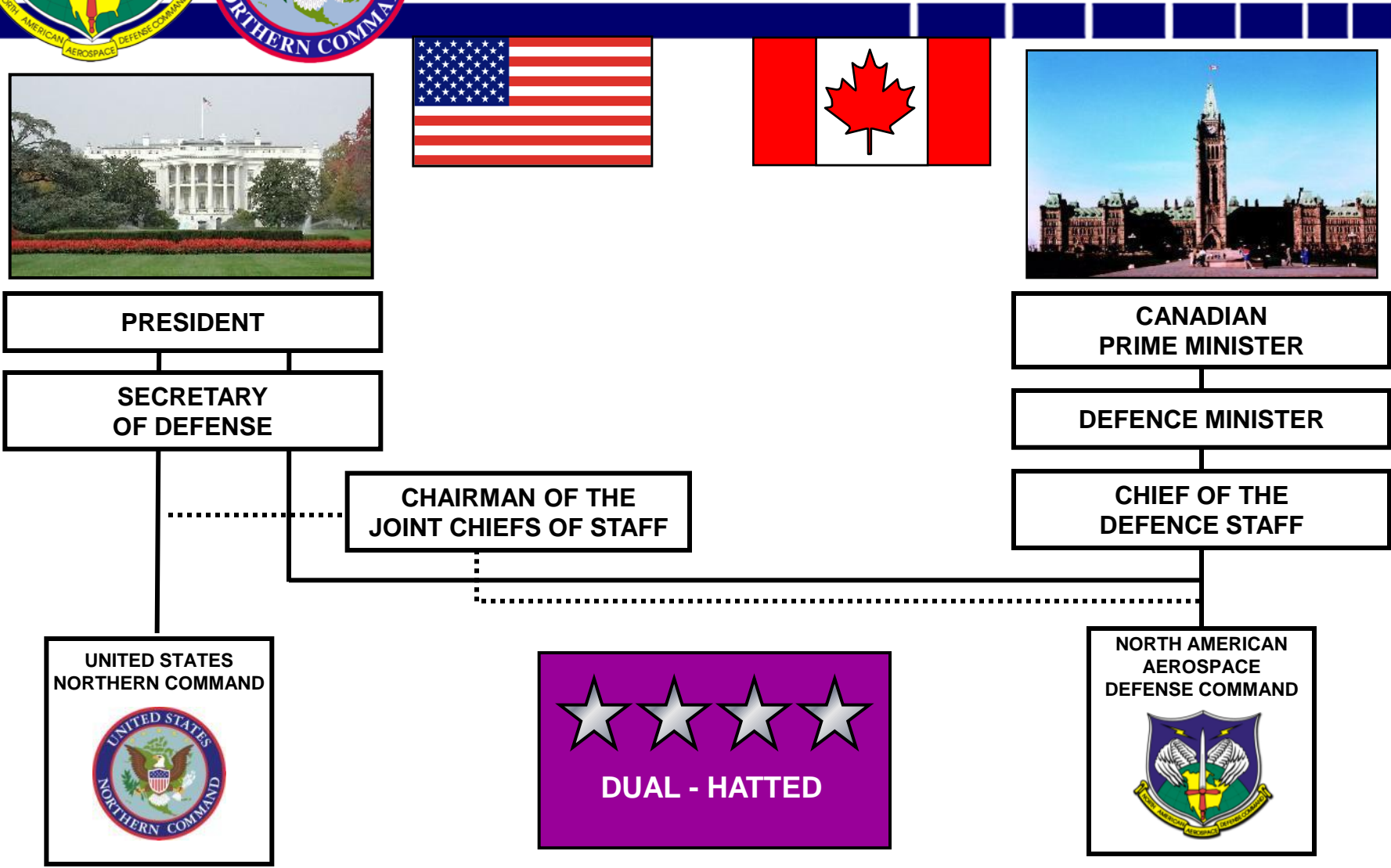
**CHAIRMAN OF THE JOINT CHIEFS OF STAFF**

**CHIEF OF THE DEFENCE STAFF**

**UNITED STATES NORTHERN COMMAND**



**NORTH AMERICAN AEROSPACE DEFENSE COMMAND**





# Our Area of Focus







# The Threats







# *Our Mission*



**USNORTHCOM Area of Responsibility**  
Scale: 1:11,000,000  
Projection: North Polar Stereographic

Feature	Color
ACR (Blue Area)	Blue
AO (Green Area)	Green
AO (Yellow Area)	Yellow
AO (Red Area)	Red
AO (Purple Area)	Purple
AO (Orange Area)	Orange
AO (Pink Area)	Pink
AO (Light Blue Area)	Light Blue
AO (Light Green Area)	Light Green
AO (Light Yellow Area)	Light Yellow
AO (Light Purple Area)	Light Purple
AO (Light Orange Area)	Light Orange
AO (Light Pink Area)	Light Pink
AO (Light Cyan Area)	Light Cyan
AO (Light Magenta Area)	Light Magenta
AO (Light Brown Area)	Light Brown
AO (Light Grey Area)	Light Grey
AO (Light Blue-Grey Area)	Light Blue-Grey
AO (Light Green-Grey Area)	Light Green-Grey
AO (Light Yellow-Grey Area)	Light Yellow-Grey
AO (Light Purple-Grey Area)	Light Purple-Grey
AO (Light Orange-Grey Area)	Light Orange-Grey
AO (Light Pink-Grey Area)	Light Pink-Grey
AO (Light Cyan-Grey Area)	Light Cyan-Grey
AO (Light Magenta-Grey Area)	Light Magenta-Grey
AO (Light Brown-Grey Area)	Light Brown-Grey
AO (Light Grey-Grey Area)	Light Grey-Grey
AO (Light Blue-Grey-Grey Area)	Light Blue-Grey-Grey
AO (Light Green-Grey-Grey Area)	Light Green-Grey-Grey
AO (Light Yellow-Grey-Grey Area)	Light Yellow-Grey-Grey
AO (Light Purple-Grey-Grey Area)	Light Purple-Grey-Grey
AO (Light Orange-Grey-Grey Area)	Light Orange-Grey-Grey
AO (Light Pink-Grey-Grey Area)	Light Pink-Grey-Grey
AO (Light Cyan-Grey-Grey Area)	Light Cyan-Grey-Grey
AO (Light Magenta-Grey-Grey Area)	Light Magenta-Grey-Grey
AO (Light Brown-Grey-Grey Area)	Light Brown-Grey-Grey
AO (Light Grey-Grey-Grey Area)	Light Grey-Grey-Grey
AO (Light Blue-Grey-Grey-Grey Area)	Light Blue-Grey-Grey-Grey
AO (Light Green-Grey-Grey-Grey Area)	Light Green-Grey-Grey-Grey
AO (Light Yellow-Grey-Grey-Grey Area)	Light Yellow-Grey-Grey-Grey
AO (Light Purple-Grey-Grey-Grey Area)	Light Purple-Grey-Grey-Grey
AO (Light Orange-Grey-Grey-Grey Area)	Light Orange-Grey-Grey-Grey
AO (Light Pink-Grey-Grey-Grey Area)	Light Pink-Grey-Grey-Grey
AO (Light Cyan-Grey-Grey-Grey Area)	Light Cyan-Grey-Grey-Grey
AO (Light Magenta-Grey-Grey-Grey Area)	Light Magenta-Grey-Grey-Grey
AO (Light Brown-Grey-Grey-Grey Area)	Light Brown-Grey-Grey-Grey
AO (Light Grey-Grey-Grey-Grey Area)	Light Grey-Grey-Grey-Grey



# Aerospace Warning



## NORAD Agreement:

- Process, Assess and Disseminate intelligence and information related to manmade objects in the aerospace domain

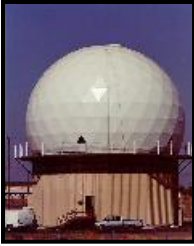
## Terms of Reference:

- Includes support of designated commands responsible for missile defense
- Monitor global aerospace activities & related developments

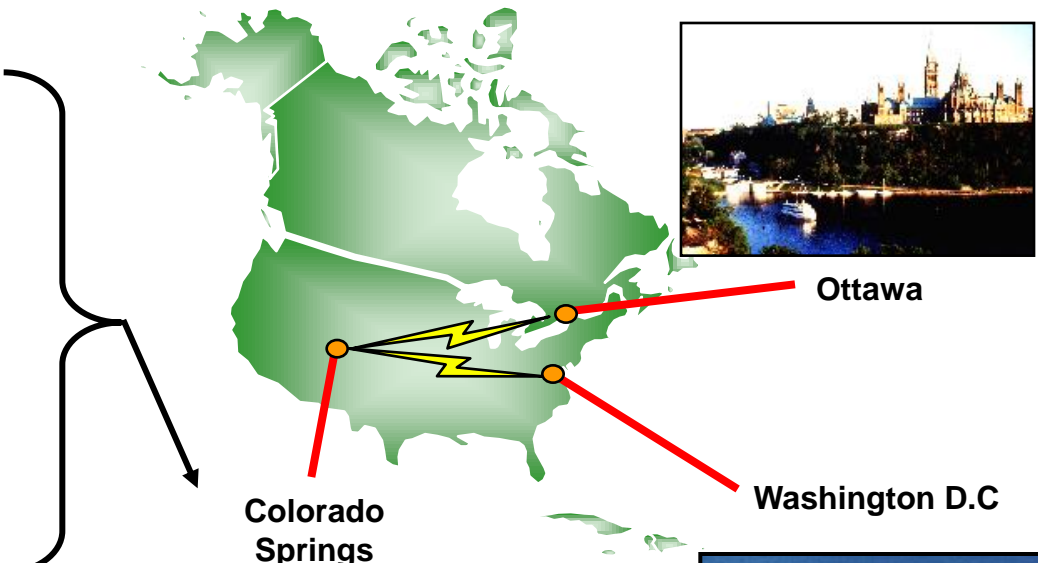
### Missile Warning Sensors



### Air Sensors



### Space Sensors



Ottawa

Colorado Springs

Washington D.C





# Aerospace Control



**NORAD Agreement:** Provide surveillance and control of the airspace of Canada & the U.S.

## **Terms of Reference:**

- Airspace of and approaches to North America
- Safeguard sovereign airspace of both countries
- Capabilities to detect, identify, monitor and take actions (visual ID to destruction) against manned and unmanned airborne objects

## **Surveillance** (know what is going on)

### Detect/Track



### Scramble



## **Control** (take appropriate action)





# *Maritime Warning*

**NORAD Agreement:** Processing, assessing, and disseminating intel/info related to maritime areas and international waterways & the maritime approaches to the U.S. & Canada

**Terms of Reference:**

- process & disseminate intel/info as part of an information sharing network
- enables the validation, characterization, and assessment of an attack by traditional or asymmetric maritime threats





# Protecting the Homeland

Unique Area of Responsibility

DoD's #1 Priority

Leverage Existing Capabilities

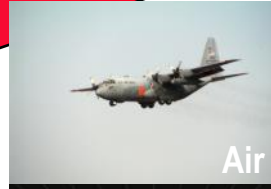
TSC

Homeland Defense

Civil Support

Execute OPLANS

D  
O  
M  
A  
I  
N  
S



Air



Cyber



Maritime



Land



Ballistic Missile Defense



Special Events  
UNCLASSIFIED



Disaster Relief



Civil Disturbances



CBRNE Incident



# The National Response Framework for DSCA



## STATE RESPONSE



STATE GOVERNOR

REQUEST FOR



ASSISTANCE

## FEDERAL RESPONSE



PRESIDENT



DHS

PRIMARY AGENCY



REQUEST FOR ASSISTANCE

## DOD RESPONSE



## USNORTHCOM



SUPPORT AS DIRECTED

LOCAL FIRST-RESPONDERS



STATE OPS CENTER



JOINT FIELD OFFICE



DEFENSE COORDINATING OFFICER



STATE ASSETS



EMAC





# Total Force/Interagency Team

## 60 Organizations are part of our Team!!!



*Redefining Jointness...Success Through Effective Relationships*



# *Capability Focus Areas*

## **Tactical Comms & Data Links:**

- BLOS communication with aircraft is a challenge, especially in northern latitudes and in remote areas
- Existing air defense communications equipment is outdated and expensive to maintain

## **Ballistic Missile Defense (BMD) of the Homeland:**

- Integrated defense for continuous, real-time discrimination for threat launch through impact for cruise missile, other low-altitude aircraft and short range missile attacks



# *Capability Focus Areas*

## **Information Sharing:**

- collaborative and effective two-way communication (class and unclass) sharing capability with mission partners

## **Interoperable Communications**

- assured and interoperable (class and unclass) C4 and associated architectures, both fixed and mobile that are resilient and resistant to the effects of an attack
- national architecture



# *Capability Focus Areas*

## Homeland Domain Awareness:

### -Air Domain:

Detection and tracking of targets with small radar cross sections

### - Maritime Domain:

Persistent, all-weather, over the horizon detection tracking, correlation, and reporting of maritime threats

### - Land Domain:

Detection of cross border tunnels

### - Incident Awareness and Assessment:

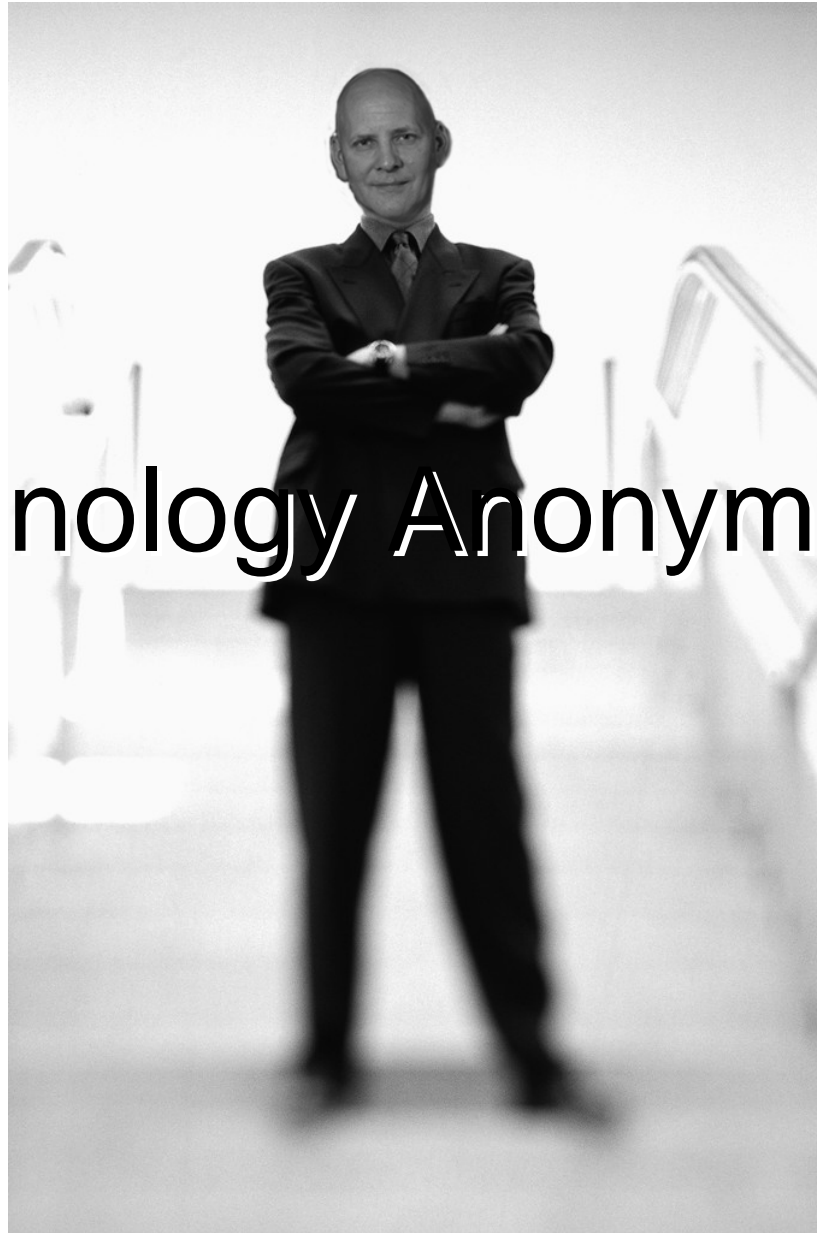
Insufficient near real-time full motion video (FMV) capture and transmission







# Technology Anonymous





**How the SOWs view the SOWs**





How the Services see themselves



How the COCOMs see themselves

**Who  
Both  
Right?**



How the COCOMs view the Services



How the Services view the COCOMs





# Creating Surprise

Marty Drake  
Science Advisor  
U.S. Central Command

# Surprising Three Domains

(Overmatch vs. Capability Surprise)

## **The peer, or negligible overmatch**

Well studied – analyzed failure points

Predictable behavior / operations

Generally, easier to create surprise

Surprise has the greatest impact

## **The 3<sup>rd</sup> world competitor**

More effected by overmatch than surprise

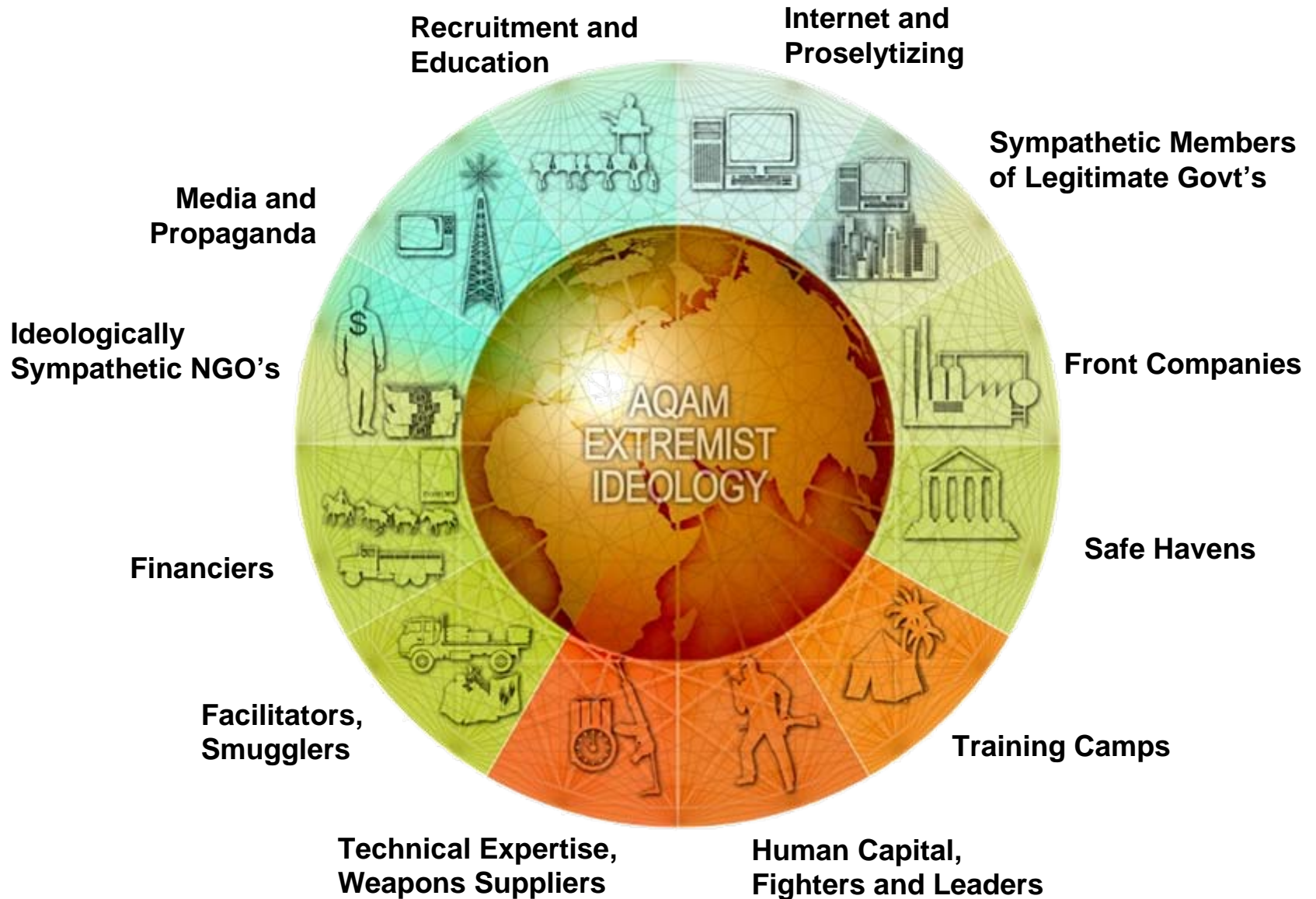
Even open-source capability creates ‘surprise’

## **Non-state sponsored asymmetric threats**

Hardest to effect through overmatch or surprise



# AQAM: A Threat in All Realms



*Warfi*

7

Ta

# THE FOG OF WAR

- Pla

Va



# Two Sides of 'Surprise'

(RED disrupting BLUE – BLUE countering RED)

- RED

- Go
- Ea
- co
- St
- an
- On
- ac
- If
- st
- le
- G



on

process

e

on, but if  
pt to  
system

A Disruptive Technology Creates  
'Surprise' When Employed

Surprise doesn't need to be an action we employ on an adversary.

It can also be removal of an impediment to our operations.



# A sampling of Blue challenges



- Identifying the “combatant”
- Detecting explosive material or assembled explosive devices at tactically significant distances
- Creating C4ISR persistence in underdeveloped environments with less resources
- True sharing of information across the entire battlespace, independent of existing infrastructure
- Making sense of the data we obtain, and feeding timely & relevant information to the tactical edge
- Being first with the message ... in the right context

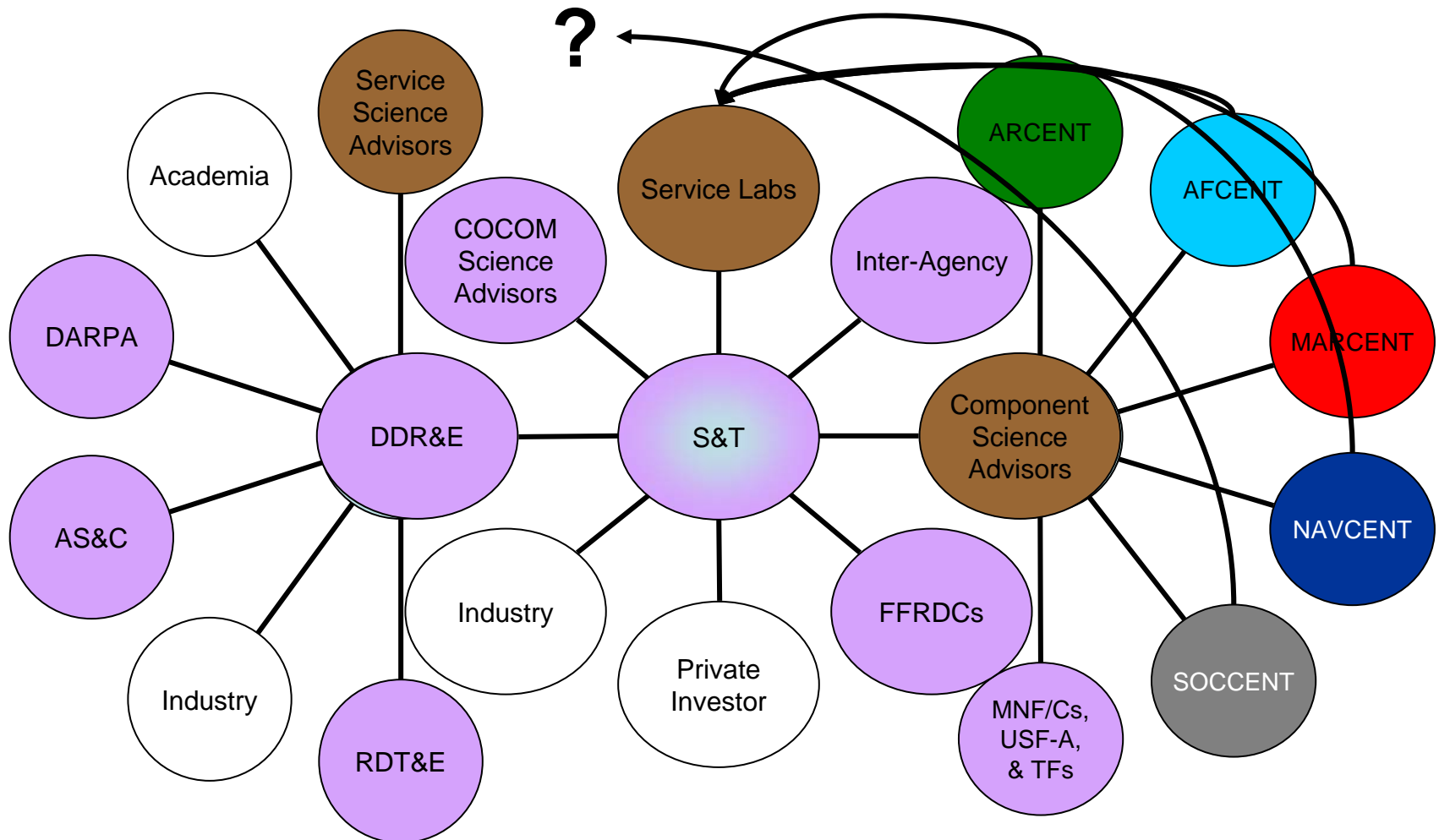
# What if ...

- Virtual presence could replace physical presence ... with the same effect
- Bandwidth was made irrelevant
- Intent could be pre-determined
- Language was no longer a barrier to effective communication
- Warfighter equipment drew its power from the environment – day or night – making power storage devices optional
- Tagants in common-use items, when combined during an attempt to build an explosive device render the device inert
- Force fields existed
- Cloaking worked
- ...

# Where to go for information

- There's the traditional:
  - Integrated Priority List (IPL - COCOMs)
  - Warfighter Challenges (WFCs – JFCOM J9)
  - Purple Slides (Joint Staff (JS))
  - Joint Quarterly Readiness Review (JQRR – JS)
  - . . . To name just a few
- New effort sponsored by OSD to create a S&T IPL
  - DDR&E directed the COCOMs to review their IPLs and feed back their technical challenges
  - Not a comprehensive look at the full spectrum of challenges; but a good start

# Customer – Supplier Interface



# *U.S. Central Command Focus*

- We focus on the **JOINT** solution that has the potential to satisfy a **JOINT validated** need
- Separate from the many technology needs of our customer(s) those technology needs which:
  - *Do not have a readily available solution*
  - For high-impact needs there is *insufficient activity pursuing a solution*
- Seek out game-changing technologies which our customer(s) don't know they need



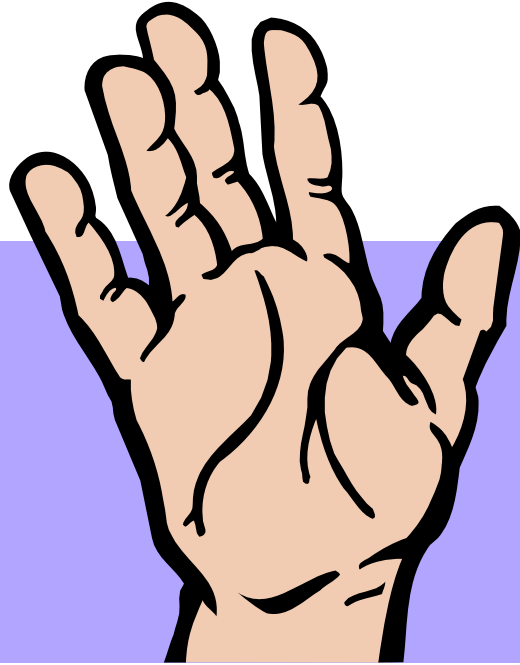
# *Some technology areas we “pursue”:*

- Detection of CBRNE at tactically significant distances; with emphasis on the “E”
- Pre-shot counter-sniper, counter-mortar, counter-RPG technologies; with emphasis on automated systems
- Technologies which enable the transfer of information more securely, more quickly, to a wider set of users, to include the warfighter when it makes sense, with less bandwidth and dedicated support resources, e.g.:
  - Multi-level Security over single architectures
  - Bandwidth compression / reduction techniques
  - Data reduction [data=>info=>knowledge=>understanding=>wisdom]
- Through automation, remote action, new and novel techniques, technologies which reduce risk and / or stress on the force and / or improve the efficiency and effectiveness of our action(s)
- Technologies which allow for greater persistence over the battlespace with fewer platforms; employing improved sensor technology providing greater fidelity of information

# Common thematic areas of concern

(not in priority order)

- Detect / Defeat:
  - IED initiators / initiator systems
  - Buried / concealed IEDs
  - Production and assembly of IEDs
- HME production standoff detection
- Culvert access denial / alerting
- Persistence in surveillance
- Biometrics
  - Identity dominance
  - Force protection / access
- Non-lethal vehicle / vessel stop
- Reduce stress on the force:
  - Force Protection requirements
  - Increased automation
- Anti-swarm lethal / non-lethal
- More efficient / effective / timely training
- Predictive analysis techniques
- Voice to text technologies
- C4ISR systems:
  - Info sharing between system
  - Multi-level security
  - Cross domain solutions
  - Faster ... Better sorting / retrieval
  - On the move w/ GIG access to tactical edge
    - SATCOM, WiFi, WiMax, etc.
- Tagging, Tracking, and Locating (TTL)
- Lightweight “x” with greater “y”
- More power per unit of weight
- Scalable effects – non-lethal to lethal
  - Directed Energy
  - Kinetics
- True SA for Blue ... Fused Red
- Sustaining the force – reduced size, weight, amount, and retrograde
- Holding all targets at risk
- Any sensor ... any shooter; the Soldier as a sensor; any adversary ... any battlespace ... anytime



Raise your Hand



U.S. Central Command  
7115 S Boundary Blvd  
MacDill AFB, FL 22651-5101

[ Your Name Here ]

Honorary Deputy Science Advisor

Contact Info  
Blah, Blah, Blah





# Charter

Conduct ***discovery, research, analysis***, and ***sponsor development*** of new and emerging technologies which have the ***potential to provide material solutions*** to Headquarters and Component validated Joint needs.

***Review*** USCENTCOM and Component ***plans, operations***, programs, policies and activities for areas where technology will improve efficiency and effectiveness.

***Integrate*** across USCENTCOM headquarters and Component staffs for transformational, integrating, and experimentation activities.

Science & Technology  
Division (CCJ8-ST)

Space & Missile Defense  
Command LNO  
Tauscher

Division Chief  
Science Advisor  
Marty Drake, DAFC

AMC FAST LNO

Army Science Advisor  
Frank Van Syckle, DAC

LTC Jim Vaglia, USA

Deputy Division Chief / XO  
Lt Col Mark Larsen, USAF

Administrative Support  
PO Rich Bailey, USN

Quick Reaction  
Technologies Branch  
IA Filled (O4-O5)

Science & Advanced  
Concepts Technology Branch  
Lt Col Mark Larsen, USAF

Transformation &  
Integration Branch  
IA Filled (O4-O5)

JCTD Management  
(Ryder, Smith)

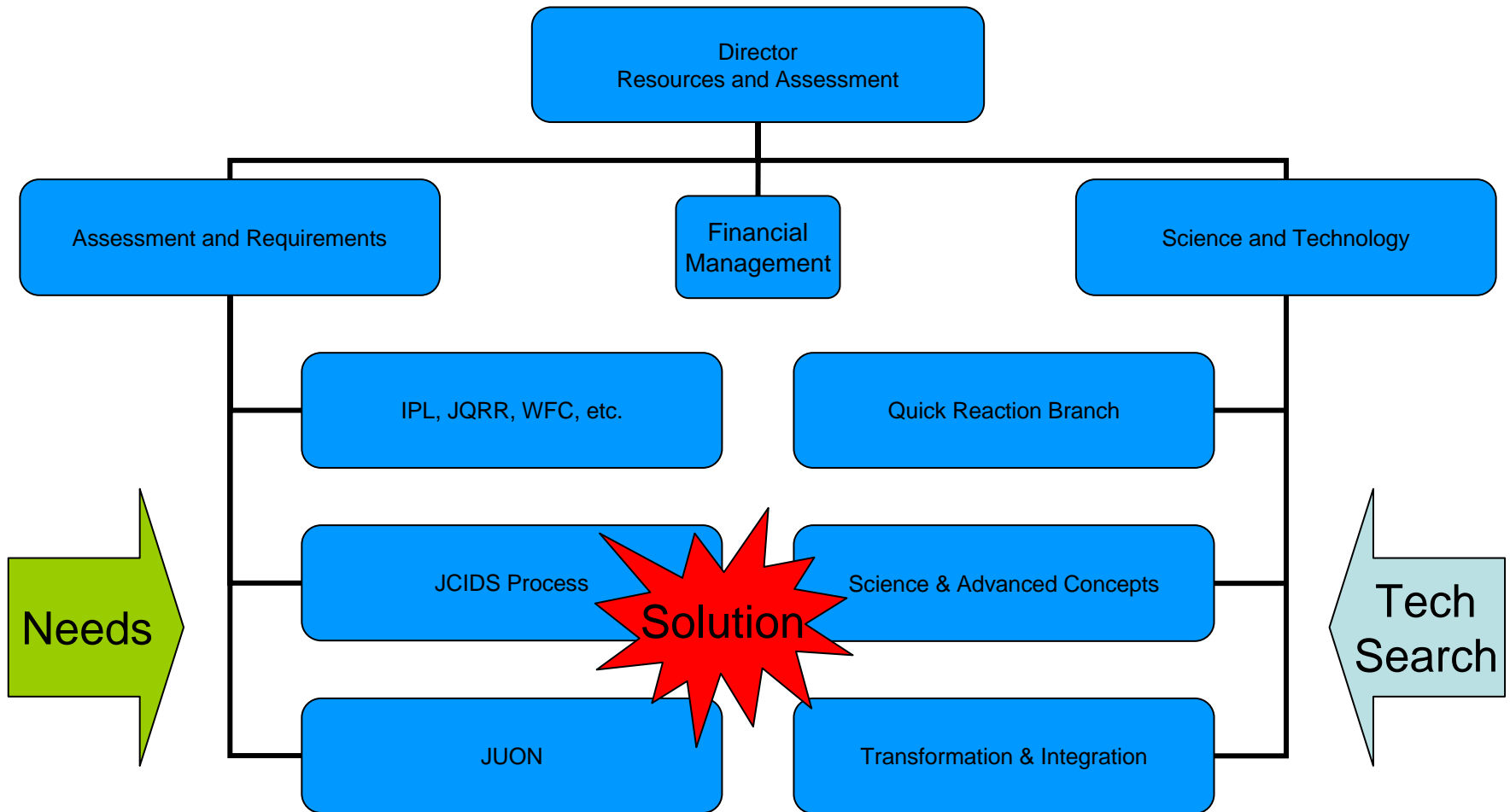
Transformation / Operational Concepts  
Follstad

JCTD OPS Mgrs  
(Awad, Campbell, Hanak, Simon)

Integrating Concepts / Experimentation  
Scharringhausen

# CCJ8 Directorate

[ From the Technology Perspective ]



# Common thematic areas of concern

(not in priority order)

- Detect / Defeat:
  - IED initiators / initiator systems
  - Buried / concealed IEDs
  - Production and assembly of IEDs
- HME production standoff detection
- Culvert access denial / alerting
- Persistence in surveillance
- Biometrics
  - Identity dominance
  - Force protection / access
- Non-lethal vehicle / vessel stop
- Reduce stress on the force:
  - Force Protection requirements
  - Increased automation
- Anti-swarm lethal / non-lethal
- More efficient / effective / timely training
- Predictive analysis techniques
- Voice to text technologies
- C4ISR systems:
  - Info sharing between system
  - Multi-level security
  - Cross domain solutions
  - Faster ... Better sorting / retrieval
  - On the move w/ GIG access to tactical edge
    - SATCOM, WiFi, WiMax, etc.
- Tagging, Tracking, and Locating (TTL)
- Lightweight “x” with greater “y”
- More power per unit of weight
- Scalable effects – non-lethal to lethal
  - Directed Energy
  - Kinetics
- True SA for Blue ... Fused Red
- Sustaining the force – reduced size, weight, amount, and retrograde
- Holding all targets at risk
- Any sensor ... any shooter; the Soldier as a sensor; any adversary ... any battlespace ... anytime



**RDECOM**



# *Army Power & Energy: S&T Focus*

*10<sup>th</sup> Annual Science & Energy Technology Conference*

*21 April 2009*

***TECHNOLOGY DRIVEN. WARFIGHTER FOCUSED.***

**Dr. Edward Shaffer**

**Chief, Directed Energy & Power Generation Division**

**Sensors & Electron Devices Directorate**

**Army Research Laboratory**

***TECHNOLOGY DRIVEN. WARFIGHTER FOCUSED.***



## Outline

- Army Power & Energy Trends
- TRADOC Warfighter Outcomes
- Power & Energy TFT Taxonomy
- Power Regimes: Definition
- Underlying Technologies
- Power Regimes
  - Soldier Power – attributes, technologies
  - Mobile Power – attributes, technologies
  - Platform Power – attributes, technologies
- Summary

## The Challenges

### Battlefield consumption of energy increasing

- New C4ISR technologies
- IED Defeat Systems
- New weapons (EM guns, lasers)

### Energy security problematic

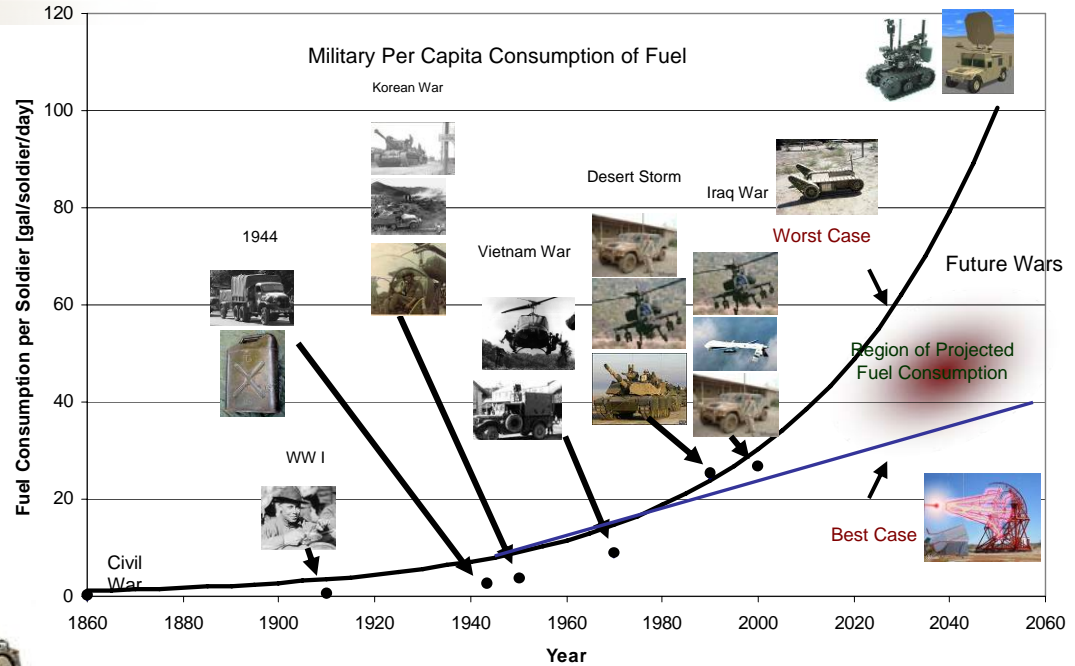
- Cost of fuel skyrocketing
- Alternative sources sought – wind, solar, bio-mass, waste to energy

### Operational issues

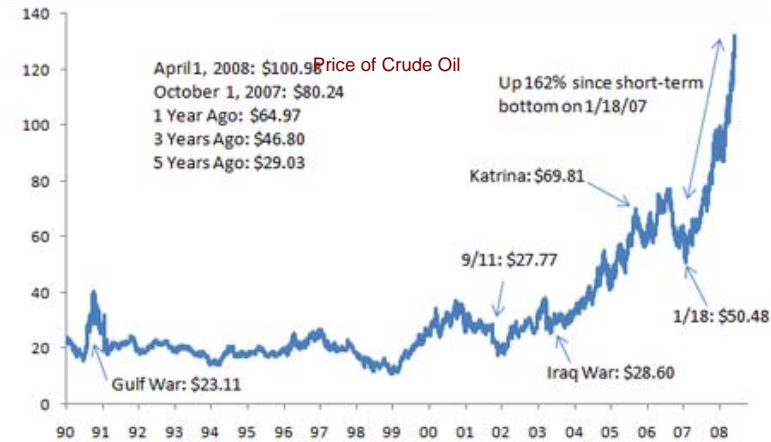
- Battery usage & limitations – energy & power density
- Demand for auxiliary power on-board vehicles
- Emphasis on silent (“quiet”) watch
- Unmanned vehicles (air/ground)
- Unattended sensors
- Inefficient management/ distribution of power
- Demand for soldier-wearable power

### Increased emphasis on system power metrics

(KPPs, low consumption components)



### Oil: 1990-Present

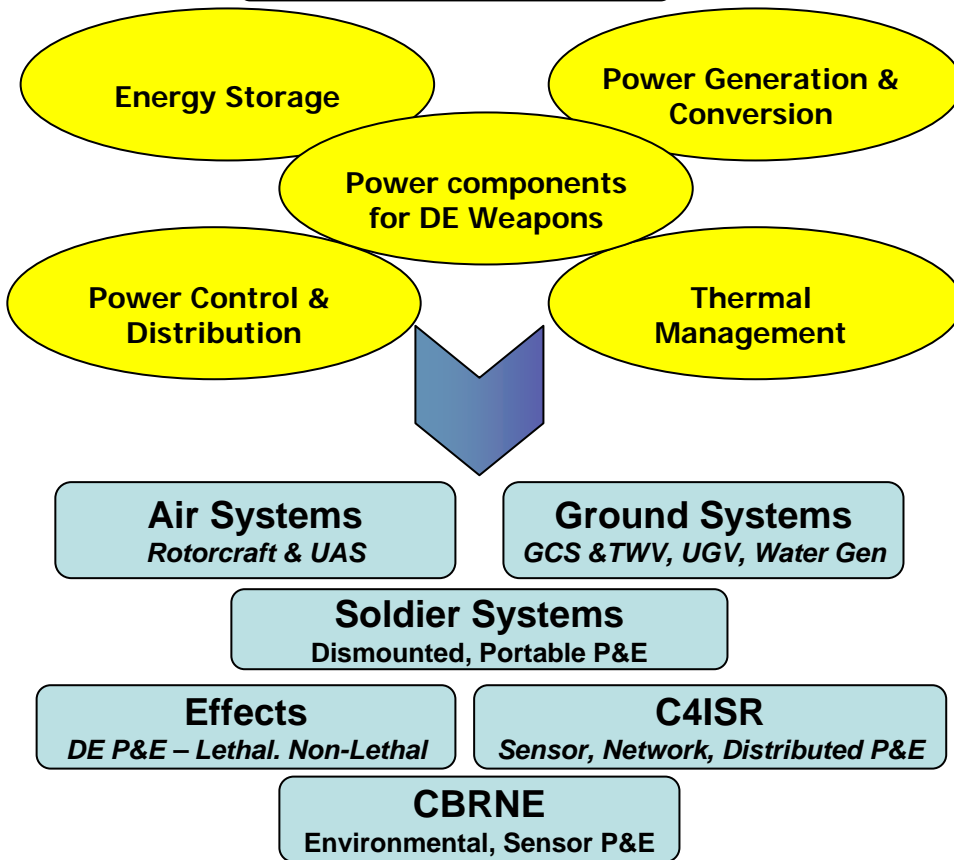


**TECHNOLOGY DRIVEN. WARFIGHTER FOCUSED.**

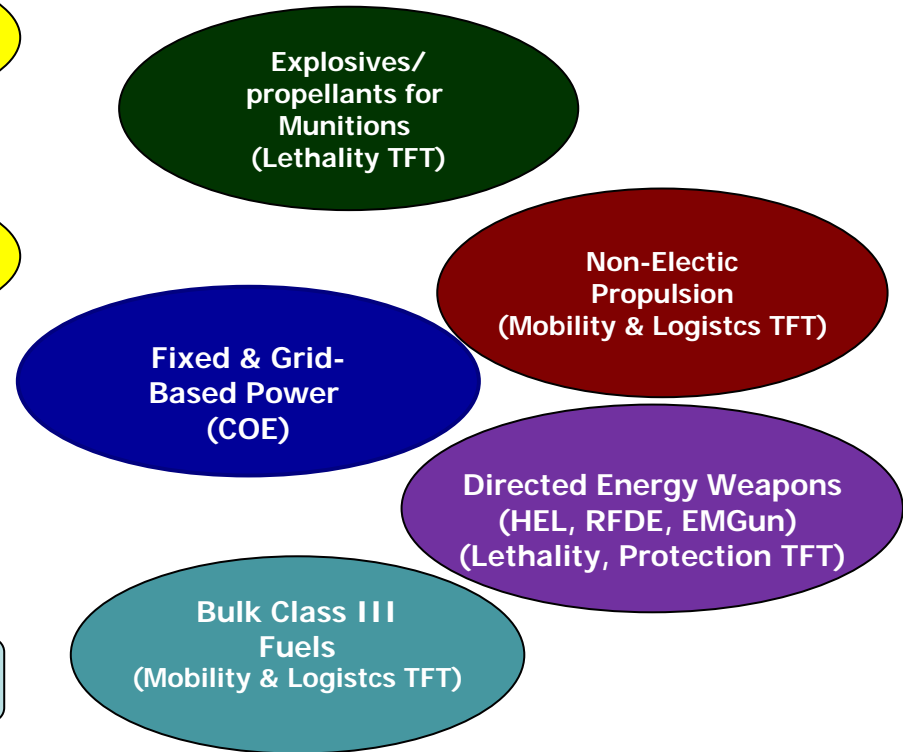
S&T TOPIC AREA AND INTEGRATED WARFIGHTER OUTCOME STATEMENT		From FY09 Warfighter Outcome Workbook (Dec 07)		
		Force Operating Capability (FOC)	Priority Within FOC	Warfighter Outcome Title
Power & Energy	* Provide enhanced agility to operate worldwide by <b>reducing by half, the weight and volume of fuel</b> associated with powering the force. Combat platforms require <b>up to 30 MJ of pulsed power for lethality and 20 percent increase in continuous power</b> to enable superior tactical mobility, speed and <b>an excess capacity for on/off board electrical power use while increasing fuel economy by 40 percent.</b> Emerging electrical components and systems require <b>dismounted Soldiers to possess a fourfold increase of available power, above current 12.3 Watts/Hr, at half the tactical weight.</b>	Mounted/ Dismounted Maneuver	4	<b>Alternative Power for Dismounted Soldiers</b> ***
	5		<b>Alternative Power for Platforms</b> **	
	***	Maneuver Sustainment	10	<b>Increased Fuel Efficiency</b> *

**DEFINITION:** Power & Energy includes systems/technologies that generate, store, distribute, condition electrical energy, or produce/distribute non-propulsion power.

### Focus Areas



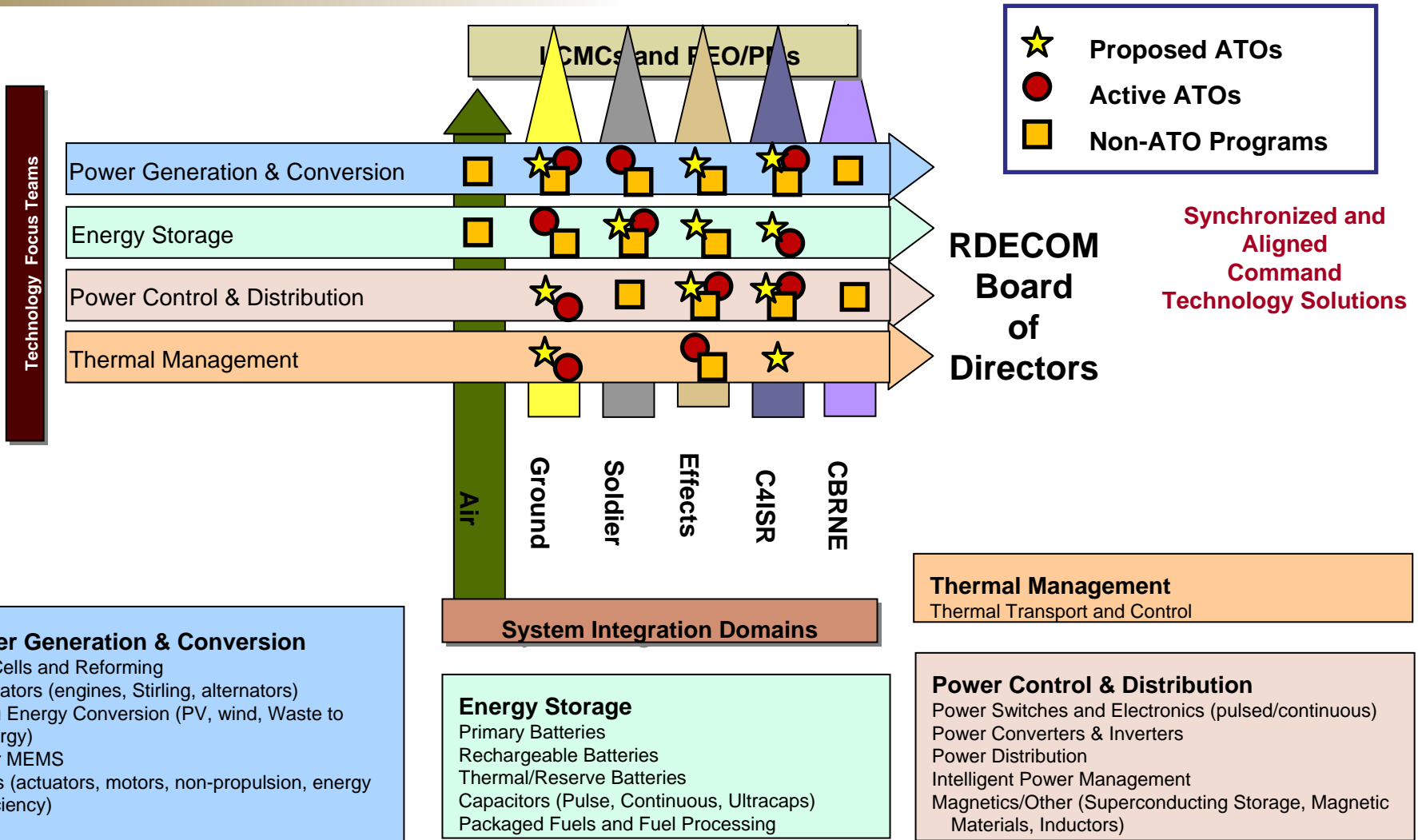
### Related Areas



*Multiple Technologies, Multiple Applications*

**TECHNOLOGY DRIVEN. WARFIGHTER FOCUSED.**

# Power & Energy Technology Integration Taxonomy





# Underlying Technology Example Power Generation: Fuel Cells

## Soldier Power

### On-soldier & Battery Charger Applications

- Competing approaches
- Direct Methanol & Reformed Methanol Fuel Cells
- Hybrid solutions



25W Ultracell

### Reformed Methanol



IdaTech  
250W



20W Smart  
Fuel Cells  
(SFC)



### Direct Methanol

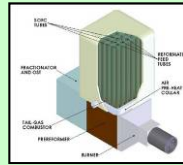
Giner DMFC  
for Battery Charger

### ADVANTAGES

- Sulfur/CO resistant
- Fuel flexibility
- Co-generation potential

### DISADVANTAGES

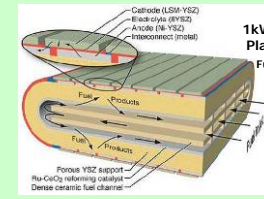
- Ruggedness -- fragility
- Slow startup times
- High temperatures



50W Microtubular  
Propane SOFC  
NanoDynamics  
(BAA, "On-the-Move"  
Demo, 2006)



## Solid Oxide Fuel Cells



1kW Logistic-fueled  
Planar Segmented  
Functional Coating Technology

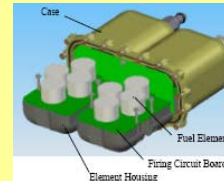
## Alternative Fuel & Storage (H<sub>2</sub>)

Exploring novel alternative fuels & storage.

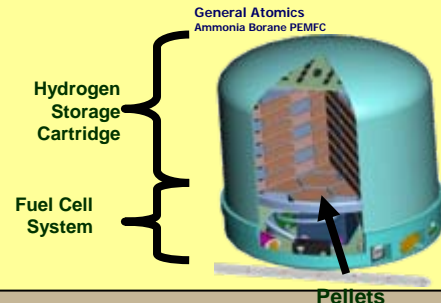
### Technology Areas of Interest:

- Boranes
- Hydride storage
- Nanotubes
- Acid- Alkaline Membranes

30 W Protonex  
Sodium Borohydride



Ensign Bickford  
Ammonia Borane Fuel  
Cartridge (10g H<sub>2</sub>, 12hrs  
@ 11 W)



Hydrogen  
Storage  
Cartridge  
Fuel Cell  
System



5kW Logistics  
Fuel Reformer  
(Regenerable S removable  
& CPOx reformer)  
GD / Aspen

### Issues

- JP8 complexity
- ATR/POX/SCW
- Infant technology
- Little commercial interest



## Logistic Fuel Reformation (JP8)

Supercritical Water Reformer JP8  
Radian, Inc  
(w/U. Missouri)



Investment Priority

## Commercial Market

<b>Lead Acid Rechgble (SLI)</b>	O
Vehicle, critical backup	
<b>Lead Acid Rechgble (HEV)</b>	C
Vehicle, deep cycle applications, low cost electrical storage	
<b>Alkaline Primary</b>	S
Non-critical applications	
<b>Ni-MH Rechargeable</b>	S
Soldier power, HEV	
<b>Li-MnO<sub>2</sub> Primary</b>	S
Soldier power, sensors	
<b>Zinc-air Primary</b>	S
Soldier power, sensors	
<b>Li-FeS<sub>2</sub> Primary</b>	S
Soldier power, sensors, long shelf life applications	

## Commercial Chemistry requiring Military Adaptation

<b>Hi Power Li-Ion Rechargeable for HEV</b>	O,C
SLI, HEV, critical backup	
<b>High Energy Li-Ion Rechargeable</b>	S
Soldier power, persistent surveillance, sensors	
<b>Li-(CF)<sub>x</sub> Primary</b>	S
Soldier power, sensors	
<b>Ni-Zn Rechargeable</b>	O,C
SLI, HEV, critical backup	



## Military Unique Chemistries

<b>Li-Air Primary</b>	S
Soldier power, persistent surveillance, sensors	
<b>Liquid Reserve</b>	
•Electric fuzes for artillery, mortar, missiles, submunitions	
<b>Thermal Reserve</b>	
Electric fuzes for artillery, missiles	
<b>Pulse Power Li-Ion Rechargeable</b>	P
Weapons, FCS, 600V battery pack	
<b>Li-SO<sub>2</sub> Primary</b>	S
Soldier power, sensors	

## SOLDIER

Requirement:  
72 Hour Missions



Technologies: High Energy Batteries, Hybrid Power Sources, Photovoltaics

DOMAINS: Soldier, C4ISR

MicroWatts to 10s of Watts

Requirement:  
Silent Power



## MOBILE

Technologies: Fuel Cell APUs, Reforming, Power MEMS



C4ISR, Air, Ground

100s of Watts to 100s of kW

Requirement: Platform Surge Power, Weapon Pulse Power



Technologies: High Power Switching & Conditioning; Intelligent Power Management, Integrated Thermal Management

## PLATFORM & WEAPONS

Ground, Effects

Up to 1000s of MW





## Attributes

- Fuel Cells: 30 W/kg, 1000 Whr/kg
- Packaged fuels
- Primary Li: 200 Wh/kg, 40 W/kg, -30o to 70oC
- Metal/air: 300 Wh/kg, 10 W/kg, 10o to 70oC
- Rechargeable Li-ion: 150 Wh/kg, recharge in hours

## ▪ Technologies

- DMFC, RMFC
- Primary Li/MnO2
- Zn/air primaries
- Li-ion cells with carbon anodes

**Near Term (2011)**

## Attributes

- Fuel Cells: 80 W/kg 40% efficient, packaged/processed fuels
- 100 W/cc (1kW/kg) engine, 30% efficient
- Primary Li: 400Wh/kg, 20 W/kg, -10o to 70oC
- Metal/air: 300 Wh/kg, 10 W/kg, 10o to 70oC
- Rechargeable Li-ion: 120Wh/kg, recharge in minutes

## • Technologies

- DMFC, RMFC, fuel reforming
- Fuel atomization
- Primary Li/(CF)x, Li/air primaries
- Li-ion cells w/ rapid-recharge anodes

**Mid Term (2017)**

## Attributes

- Fuel Cells: 120 W/kg 50% efficient
- Multiple fuels
- Micro Power generation
- Primary Li: 500 Wh/kg, 40 W/kg, -30o to 70oC
- Metal/air: >700 Wh/kg, 20 W/kg, -10o to 70oC
- Rechargeable Li-ion: 200Wh/kg, recharge in minutes

## Technologies

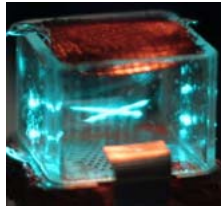
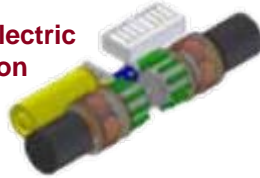
- DMFC, RMFC, Alkaline FC
- Micro fuel cells, PZT MEMS, Direct conversion, MEMS fuel control
- Multi-fuel FCs and engine systems
- Primary Li with doped fluorocarbons
- Li/air primaries, Li-ion cells with rapid recharge

**Far Term (2027)**

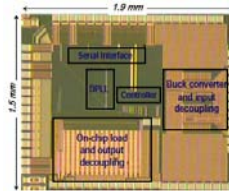


**Fuel Atomization**

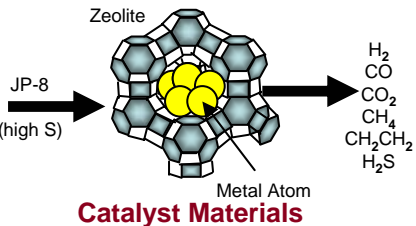
**Thermal-to-Electric Conversion**



**Efficient Combustion**



**Power Management**



**Payoff: Near term**

- Efficient MEMS-based burners for integration with Stirling engine squad battery charger (150-250W)
- Miniature power converters for efficient hybrid system

**Payoff: Far term:**

- Long-lifetime catalytic micro-combustors with integrated thermoelectric generators
- Advanced power management of autonomous systems

**Objectives:**

- Provide Warfighter with small, light weight power sources maximizing specific energy for Soldiers, Soldier systems & sensors.

**Accomplishments:**

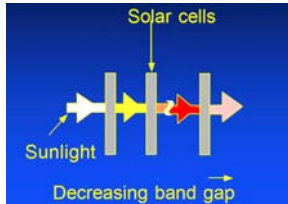
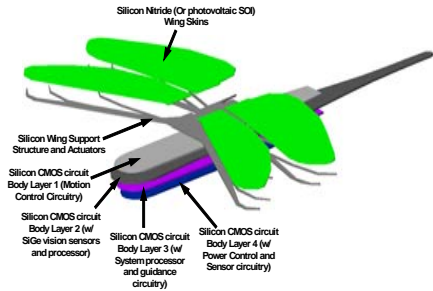
- Demonstrated low power, microfabricated fuel atomizers from 1 - 200 mL/hr (<500 W<sub>elec</sub> range)
- Integrated atomizer / catalytic micro-combustor operating at 270 MW/m<sup>3</sup>

**Technical Approach:**

• *Leverage MEMS expertise to develop efficient power generation & management components*

- **Micro-fuel / air control** – efficient fuel atomization and mixing is required for miniature combustors
- **Catalytic combustion** – heavy fuels (i.e. JP-8) require new sulfur tolerant catalyst materials for long life
- **Power management** – intelligent power conversion & management of hybrid systems improves efficiency





## Payoff: Near Term

- Less costly, more efficient Solar panels to the field
- Lower Weight and Volume
- Under one sun illumination, power densities ~ 200W/m<sup>2</sup>

## Payoff: Far Term

- Under one sun, illumination up to 400W/m<sup>2</sup>
- Creating “Smart Skins” for Army systems consisting of monolithically integrated thin photovoltaics with power conditioning circuits and thin film batteries
- Potential efficiencies >30%

## Objectives:

- High efficiency PV and TPV, crystalline and flexible, for remote power, expeditionary forces, Soldier aux power, un-attended/ autonomous sensors & systems
- Novel micro PV structures integrated with batteries and interconnect schemes for micro devices

## Accomplishments:

- Fabricated (5 x 5) 1mm<sup>2</sup> solar cell arrays, yielding 4 volts at 5 ma/cm<sup>2</sup> current
- Initial un-optimized efficiencies of 14%.

## Technical Approach:

- Develop substrate removed multi-color tandem photovoltaic arrays with novel interconnects to develop high voltages or currents

One Color Inverted GaAs PV that is 20% efficient on a flexible Substrate Offers 5-times higher power than existing Army PV power systems

## Grand Challenge Goals:

- Wearable Power System that provides 20 watts of average power with 200 watt peaks for 96 hours weighing 4kg or less (480wh/kg)
  - Inspire students, academia, private inventors, and industry to leverage resources and compete using innovative ideas and approaches
  - Reach non-traditional DoD performers by lowering barriers for participation

**Capstone Event** 22 Sep – 4 Oct 2008  
 Marine Corps Air Ground Combat Center  
 (Twentynine Palms, CA)

## DoD Prize Execution Team

DDR&E DUSD(LABS) –Sponsor  
 Army (ARL Lead), Navy, and Air Force

## Competition Funding (\$5.7 M)

\$1,750,000	Prize Purse
\$2,550,000	Prize Execution
\$1,415,000	Service Cost Share

## Wearable Power Prize Results

- Competition metrics were met by 5 Teams:
  - 1st Dupont/Smart Fuel Cell, \$1M Prize
  - 2nd AMI, \$500K Prize
  - 3rd Jenny 600S, \$250K Prize
  - 4th Ultralife      5th Ultracell
- 7 Teams demonstrated energy densities > 480 Watt-hour/kg
- 169 Teams entered, 108 Teams submitted Fuel Plans, 55 Team submitted System Descriptions and 20 Teams competed at Twenty Nine Palms



DuPont/SFC



AMI



Jenny 600S



# DoD Wearable Power Prize Competition Outcomes and Way Ahead

- **Uniquely demonstrated highest energy density wearable power systems using realistic Warfighter multi-day load profiles**
  - Goal: 480 Whr/kg at < 4 kg    Demo: up to 790 Whr/kg at 2.4 kg
- **Significant industrial investment - multiple teams spending \$1M plus**
  - New technologies revealed including materials, devices and system concepts
  - Material approaches from non traditional players (Russia)
  - Wide variety of proposed fuels
- **Value to OSD, Services, and Army**
  - New interest in S&T challenge prizes and P&E technology
  - Collaboration, joint-service engagement and assessment by the DoD P&E community
  - Raised awareness at Service and National leadership levels
  - Outreach component - exposure to DoD Science and Engineering
- **Value to Competitors**
  - DoD funded, independent laboratory grade test and evaluation in field-like environment
  - Access to DoD Professionals (Civilians and Warfighters) –
    - Direct feedback and real-time technical assessment from key service SMEs in P&E
  - Exposure to other teams – collaboration and networking opportunities
  - National and international publicity
- **Initial Follow-on Actions:**
  - Review S&T portfolios for adequacy, opportunities in underlying technologies
  - Assess DoD, Army interest in packaged fuels, qualification
  - Outreach to non-traditional players, new approaches



## Attributes

- High power density (50W/L), quiet power generation (<50 dBA @ 7m)
- Packaged / processed fuels
- Fuel Cells, 40 W/kg 35% efficient
- Hybrid energy storage

## Technologies

- Modified COTS engine generators
- Solid oxide fuel cells
- ATR, CPOX, Steam Reforming, Plasma
- Hybridized primary/ rechargeable batteries

**Near Term**

## Attributes

- Silent power generation (silent @ 10m)
- 100 W/cc (1kW/kg) Power Density, 30% efficient
- Fuel Cells 80 W/kg 40% efficient
- Rapid charge/discharge

## Technologies

- Novel, very high power density engine generators
- Compact small engine/generators
- Reformer based fuel cells (SOFC) generators, ATR, CPOX, Steam Reforming, Plasma
- Rapid recharge Li-ion anodes for hybrid sources

**Mid Term**

## Attributes

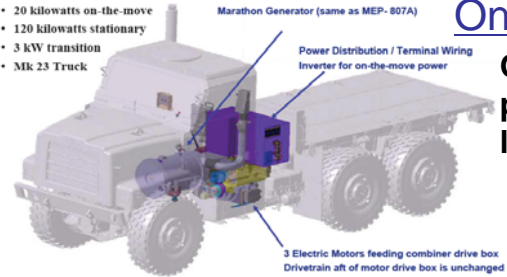
- Silent power generation (silent @ 50m)
- Fuel Cells 120 W/kg 50% efficient
- Advanced air cooling

## Technologies

- Multi-fuel fuel cells (SOFC, PEM) and engine systems
- Compact high power generators/alternators
- Advanced magnetics for generators/alternators
- Micro air coolers with laminar flow

**Far Term**





## On Board Vehicle Power

**OBVP: additional platform power - increased mission loads (eg idle, low speeds)**

- 20 kilowatts on-the-move
- 120 kilowatts stationary
- 3 kW transition
- Mk 23 Truck

## Auxiliary Power Units

**APUs: Power for sustained engine-off missions**



### Pay Off: Near Term

- **Abrams UAAPU: extended engine-off mission capability**
  - Reduce fuel consumption by 4,300 gallons\* per day per brigade
  - Save over \$86,000 per day (\$20/gallon JP-8) or 18 miles range.
- **High power alternator upgrade kits**
  - Increased power at idle and low speeds
- **OBVP Demonstrators**
  - USMC / ONR - 120kW MTRV (MAY 2008)
  - PM Stryker / TARDEC - 70 kW CMPS Stryker (AUG 2008)

### Pay Off: Far Term

- Family of common APUs for ground vehicle fleet
- JP-8 fuel cell generation system - silent power demo

\*M1A2 System Spec defined battlefield day (12 hours engine-off)

### Objectives:

- Enhance OBVP for operational platforms
- OBVP for fleets - reduce idling and fuel consumption
- Under-Armor APUs: engine-off, silent watch

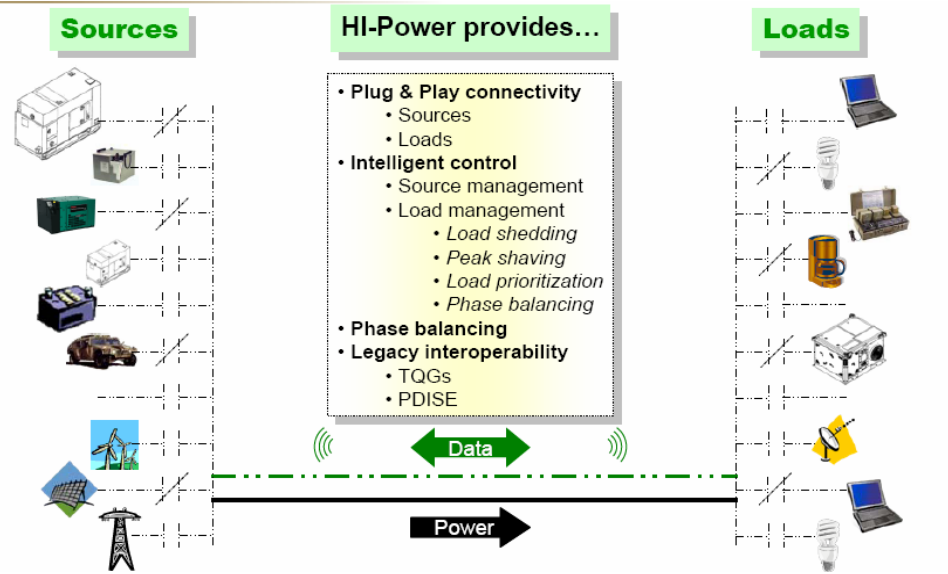
### Accomplishments:

- Fielded OBVP alternator upgrade kits (HMMWV/RG-31)
- Gen III rotary APU for Abrams - May 2009 (on-vehicle demonstration and user assessment)
- Advanced power generation system for MRAP block upgrade for FUE 4<sup>th</sup> QTR FY09
- Phase I demonstration of idle reduction APU for HTV

### Technical Approach:

- Small engine/ generator development
- CPOX fuel reforming, sulfur removal
- Fuel and combustion control
- Advanced magnetics, structural materials
- Idle reduction techniques
- Intelligent power management





## Pay Off: Near Term

- Potential “spin-off” of controls and electronics
- Potential integration into Net-Zero Plus JCTD
- Standard protocols for power distribution systems

## Pay Off: Far Term:

- Reduce fuel consumption by up to 40%.
- Increased Force Protection via reduced fuel convoys
- Reduce generator maintenance
- Improved tactical grid reliability
- Energy sharing between soldier, generators, vehicles, forward operation bases

## Objectives:

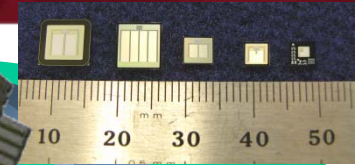
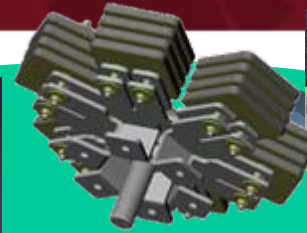
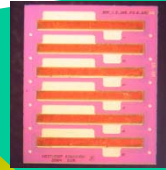
- Seamlessly integrate generators into electric grid
- Reduce fuel consumption by up to 40%.

## Accomplishments:

- Leveraged previous RDECOM/CERL SBIRs
- Three technology development contracts (FY08) (deliverables FY09)
- Issued revised BAA for FY09
- Established test bed and support equipment

## Technical Approach:

- CERDEC Technical Lead; PM-Mobile Electric Power POR; Joint IPT Established
- Integrate competing component technologies
- establish protocols and standards; performance specifications
- Bridge architecture gaps
- competitive down select (FY10-13); final development, qualification; fielding after FY14



## Attributes

- Power Electronics w/ 80o C coolant, 1200 V continuous
- Room temp, 10 kV pulsed
- Power Density: DC/DC: 5 kW/l; AC/DC: 40 kW/l
- Rechargeable Li-ion: 150 Wh/kg, recharge in hours
- 80oC Water/Ethylene Glycol, 200 oC air cooling

## Technologies

- Silicon, SiC switches
- Nanocrystalline magnetics
- Li-ion cells w/ carbon anodes
- Capacitors w/ metallized polypropylene film, 125o C

**Near Term**

## Attributes

- Power Electronics w/ 100o C coolant, 1200 V continuous
- 80o C, 10 kV pulsed
- Power Density: DC/DC:8 kW/l; AC/DC: 50 kW/l
- Rechargeable Li-ion: 120Wh/kg, recharge in minutes
- Capacitors: msec discharge, 10J/cc, T<100o C
- 110oC Water/Ethylene Glycol; 250 oC Air cooling

## Technologies

- SiC high current devices pulsed and continuous
- Nano-particle magnetics
- Li-ion cells with rapid-recharge anodes, high temp capacitor films
- Micro Channel designs

**Mid Term**

## Attributes

- 150 oC coolant, 1200 V continuous
- 120 oC, 10 kV pulsed
- Power Density: DC/DC:10 kW/l; AC/DC: 60 kW/l
- Rechargeable Li-ion: 200Wh/kg, recharge in minutes
- 150oC Oil cooling 300 oC Air Cooling

## Technologies

- Next gen devices (GaN, diamond)
- Bulk nano-lattice magnetics
- Bulk ceramic capacitors
- Bi-directional solid state/MEMS breakers
- Li-ion cells with rapid recharge, high voltage anodes and cathodes
- Double sided micro channels, MEM based spray cooling, micro air coolers

**Far Term**



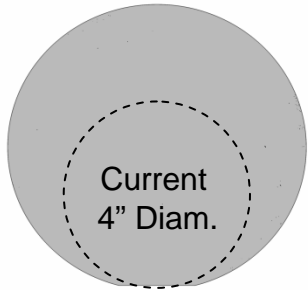
EM Gun



HPM/DE Systems



Mobility Systems



6" Diam. SiC Wafers



SiC Ultra-High Power Large-Area Switches



## Payoff: Near term

High temp. compact converters that will enable reliable operation at 80-90 C within weight/size and cost constraints

## Payoff: Far-term

- Advanced platform capability with reliable operation at 100-120 C
- Advanced capabilities at reduced power and cooling system size and cost
- Limp home capability

## Objective:

Provide high-temperature high-power SiC devices for high-power density high-efficiency power converters for platform mobility, survivability, and lethality systems.

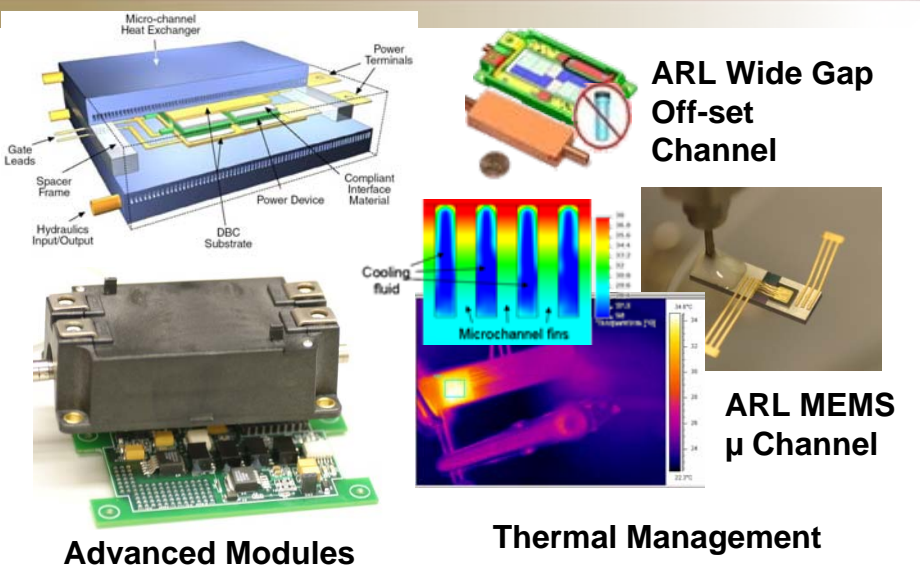
## Accomplishments:

- Demonstrated SiC 10 A switches in 10/20 kW TRL-4 inverter at 110-150C.
- Demonstrated SiC 20 A switches in 60/80 kW inverter at 80 – 120 C, TRL-4.
- Operated paired SiC MOSFETs and diodes up to 80 A rms (200 A peak) for 20 hours at 150-190 C junction temp.

## Technical Approach:

- Develop larger diameter material (current 4", future 6")
  - Ultra large area SiC devices
- Decrease defects in starting material & in processed MOS gating structures
- Develop ultra-large area device designs & processes
- Enhance identification of process- and stress-induced defects in SiC devices
  - Focus: MOS gating, device termination structures
- Screening, burn-in, and high-temperature accelerated reliability determination methods.
- Investigate next generation semiconductor materials (GaN)

**TECHNOLOGY DRIVEN. WARFIGHTER FOCUSED.**



**Payoff: Near term**

- 2-5X module volume reduction
- 2X thermal resistivity improvement
- 2X system power density improvement
- System operation above 100 °C

**Payoff: Far term:**

- 5-10X module volume reduction
- 6-7X thermal resistivity improvement
- 2X system power density improvement
- System operation above 115 °C

**Objective:**

Increased power efficiency to reduce power train cooling burden.

**Accomplishments:**

- 2X Battery-To-Bus efficiency increase
- 7X MEMS thermal management improvement

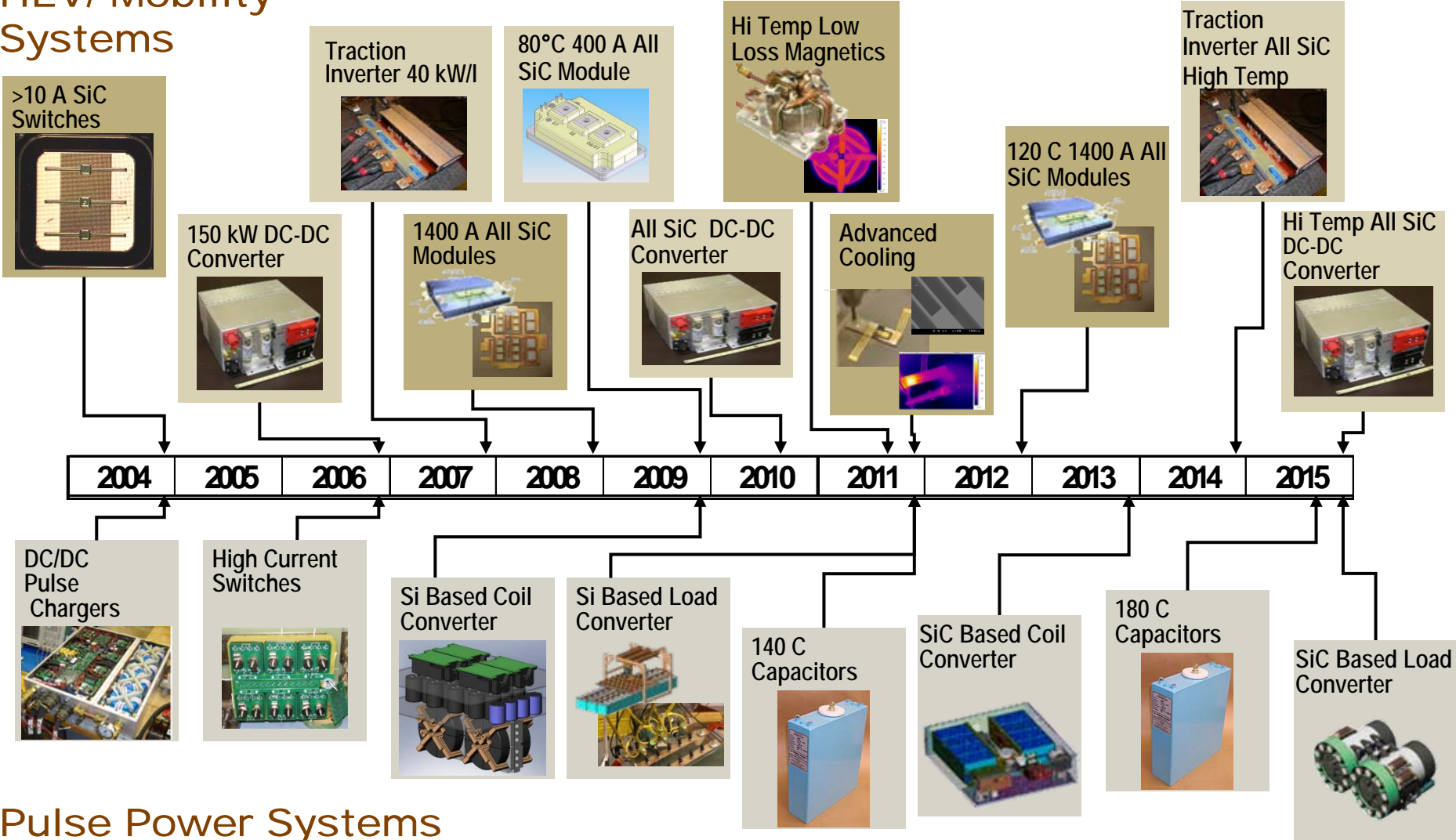
**Technical Approach:**

- Develop high heat rejection and low flow resistance designs.
- Materials with high electrical resistance and low thermal resistance that can replace current package materials.
- Improved die attach materials for increased reliability and reduced thermal impedance.
- Advanced computer models for phase change cooling methods.
- Improved di-electric isolation compounds for high temperature operation.

**TECHNOLOGY DRIVEN. WARFIGHTER FOCUSED.**



## HEV/ Mobility Systems

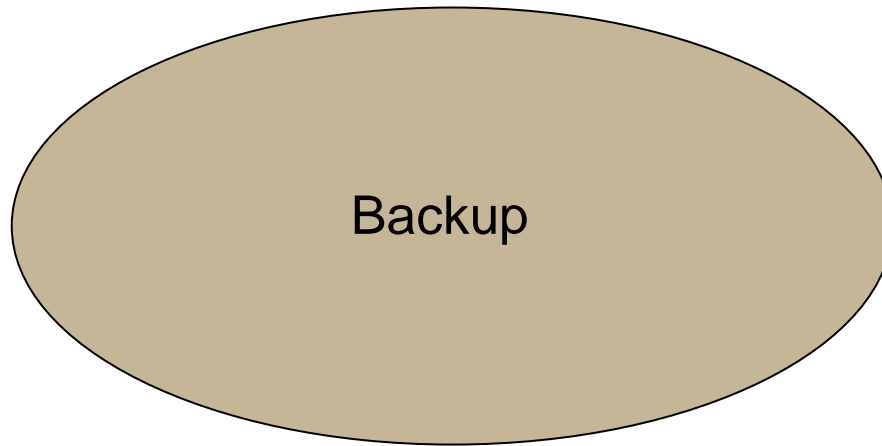


## Pulse Power Systems



## Power and Energy Portfolio

- Enterprise approach to technology planning
- Analysis of in-place investments
- Accentuate commonality for underpinning science and technology (eg. materials and devices)
- Accommodate differences for application
- Tackling difficult challenges for both low power & high power technologies
- Seeking best technological solutions for the Army in part with the greater community



UNCLASSIFIED



# ***Technology Transition Efforts to support National Security Space (NSS) Capability***

***NDIA 10<sup>th</sup> Annual DoD Tech Expo and  
Conference***

**Roberta M. Ewart  
Chief Scientist  
22 April 2009**

**4/28/2009**

UNCLASSIFIED

# Integrated Technology Transition



Adv Technology Development  
6.3

Demonstration & Validation  
6.4

Engr & Mfg Development  
6.5

TRL  $\leq 5$

TRL  $\geq 7$

TRL  $\geq 9$

**Labs**  
- AFRL  
- NRL  
- DARPA  
- DOE  
- others

**Dev Prog.**  
- ATD  
- JCTD

- SBIR

Gov't \$  
SAF/ AQR  
Tech Trans  
Initiatives.

Gov't \$  
Flight Test  
STP

**Industry  
Technical  
Team  
Transition**

- IRAD Beyond  
SBIR  
- ATD Transition  
Planning

Pre-SDD Prototypes  
& Demonstrations

**Industry  
(Chief Engineers)**

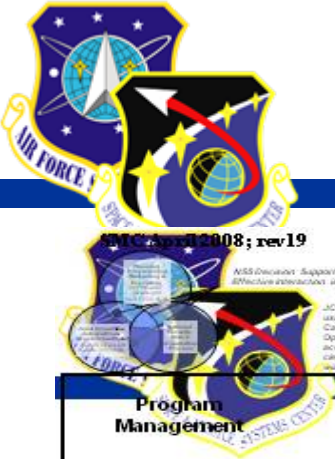
Technology Transition & Maturation

Rqmts. Analysis & Maturation

**Build Better Transition Capabilities & Processes  
to Mitigate Technology Transition "Valley of Death"**



# DRAFT SMC/XR Horseblanket



SMC April 2008; rev 19

## Pre MS B SMC/XR DRAFT Template

Usage Note: This flow chart has been modified using information from R5960-01, OIG 5650 and other applicable documentation. For use by other organizations, it has been tailored for their organization. Use and other organizations may follow a different modified version of what is presented here.



### Material Solution Analysis

Includes concept studies, assessments and requirements (e.g., technology development, capability development processes), showing the program solution development is mature enough to enter the formal acquisition process.

### Pre-MS A Concept Studies



Objectives: Plan and conduct an AOA to determine preferred material solution. Deliverables: Analysis of Alternatives Report (AAO) required documentation.



Objectives: Develop firm, cost effective program baseline meeting user requirements. Deliverables: Approved System Specification and Function Baseline (PDR - Key RBS requirement that differs from DoD 5650). Planning must allow time and schedule for iterative technology development.

### Program Management

### Key PM Topic Areas for IDP Preparation

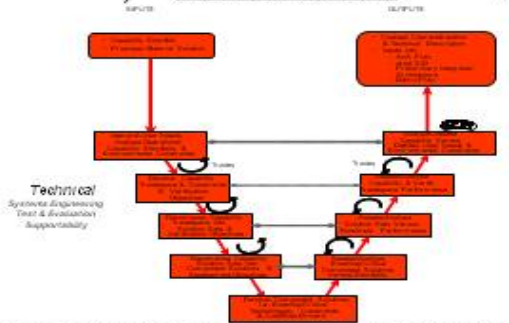
Objectives: Develop & document a systems engineering process for developing pre-look material solutions. Deliverables: Systems Engineering Plan specifically tailored for pre-look material concepts.

### Solution Identification

# of options reduced from 1000+ to 10-25, 4 to 2 alternatives by MS B



### Concept Characterization



### QAQA



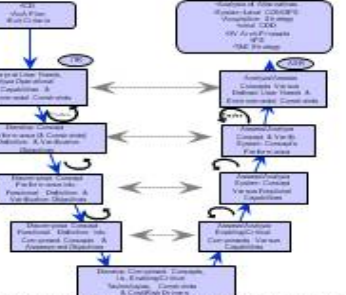
### Phase 1: Tech Maturation



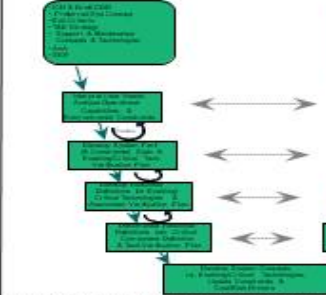
### Phase 2: System Maturation



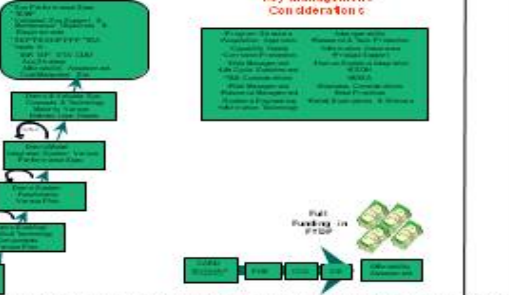
### INPUTS OUTPUTS



### INPUTS OUTPUTS



### Key Management Considerations

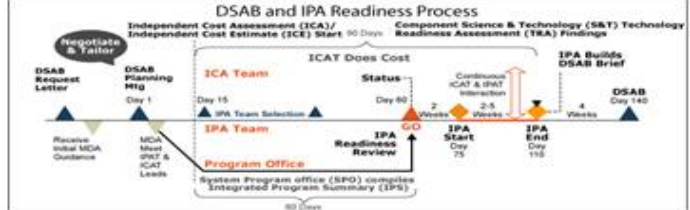


**Overview & Review Acronyms**

ADM - Acquisition Decision Memorandum	IGR - Integrated DMI Review
AAO - Analysis of Alternatives	ITAB - Information Technical Acquisition Board
APD - Acquisition Program DMI/line	IPR - Integrated Program Review
CD - Concept Decision	MDA - Milestone Decision Authority
CDG - Defense Acquisition Board	MOA - Modular Open Systems Approach
CSA - Component Cost Estimate	POC - Program Support Office Review
CSM - Contracting & Acquisition Management	PS - Test and Evaluation
CVM - Contract Value Management	TUCM - Total Life Cycle System Management

**Cost Acronyms**

CAIR - Cost Analysis Requirements	POC - Program Office Cost Estimate
CAI - Cost Analysis	PRC - Program Management Office
CCM - Component Cost Management	ROTC - Research, Development, Test & Evaluation
IC - Independent Cost Estimate	



**Technical & Logistics Acronyms**

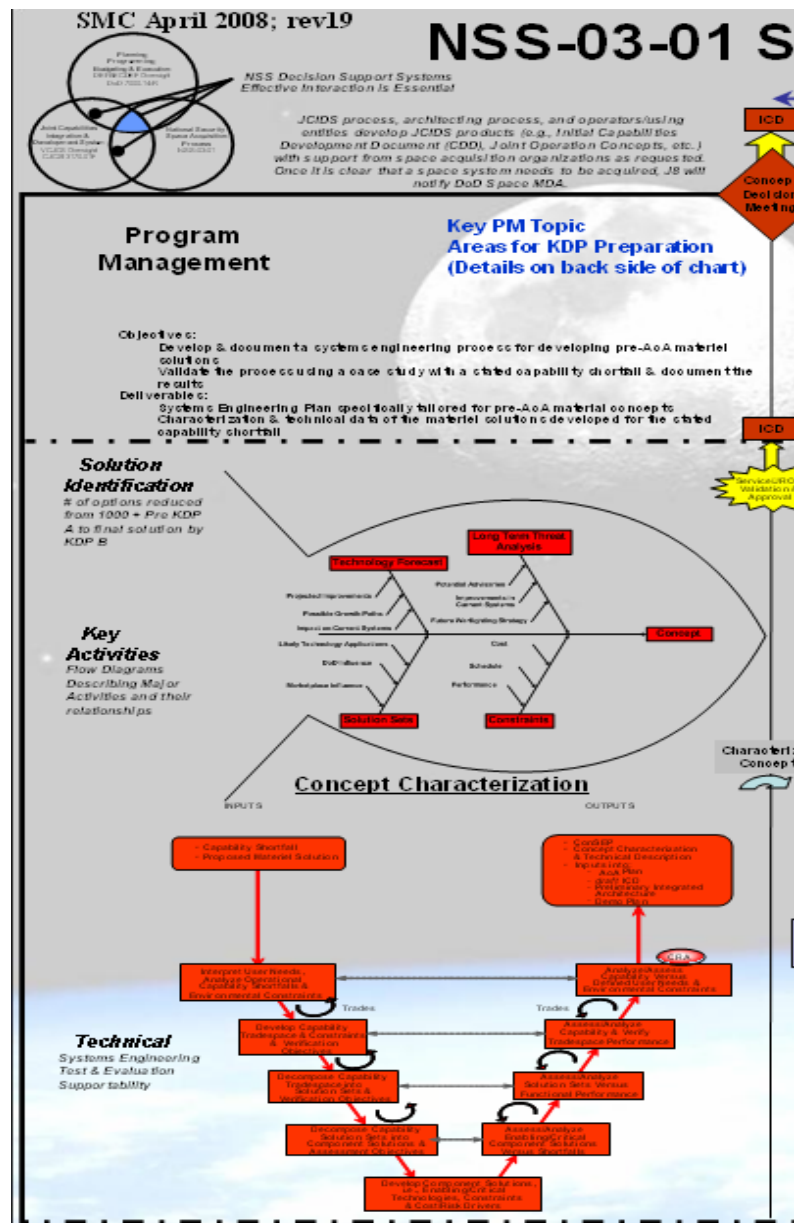
ASR - Alternative Systems Review	KPP - Key Performance Parameter
CGD - Capability Developer Document	MS - Milestone
CI - Configuration Item	PEE - Programmatic Environment, Safety & Occupational Health Evaluation
COB/P - Doctrine, Organization, Training, Personnel, Leadership & Education, Personnel and Facilities	PHI - Program Protection Plan
DDAE - Developmental Test & Evaluation	R&D - Reliability, Maintainability, & Supportability
DSAB - Development, Safety & Occupational Health	SEP - System Engineering Plan
ICD - Initial Capabilities Document	SIR - System Requirements Review
IPR - Independent Program Assessment	STA - System Test Assessment
IS - Independent Program Summary	SUR - System Verification Review
ISR - In-Service Review	TAE - Test & Evaluation
ISR - Information Support Plan	T&E - Test & Evaluation Master Plan
ITR - Initial Technical Review	TDS - Technology Developer Strategy
JROC - Joint Requirements Oversight Council	TRA - Technology Readiness Assessment



# Pre-MDD Concept Maturation Phase



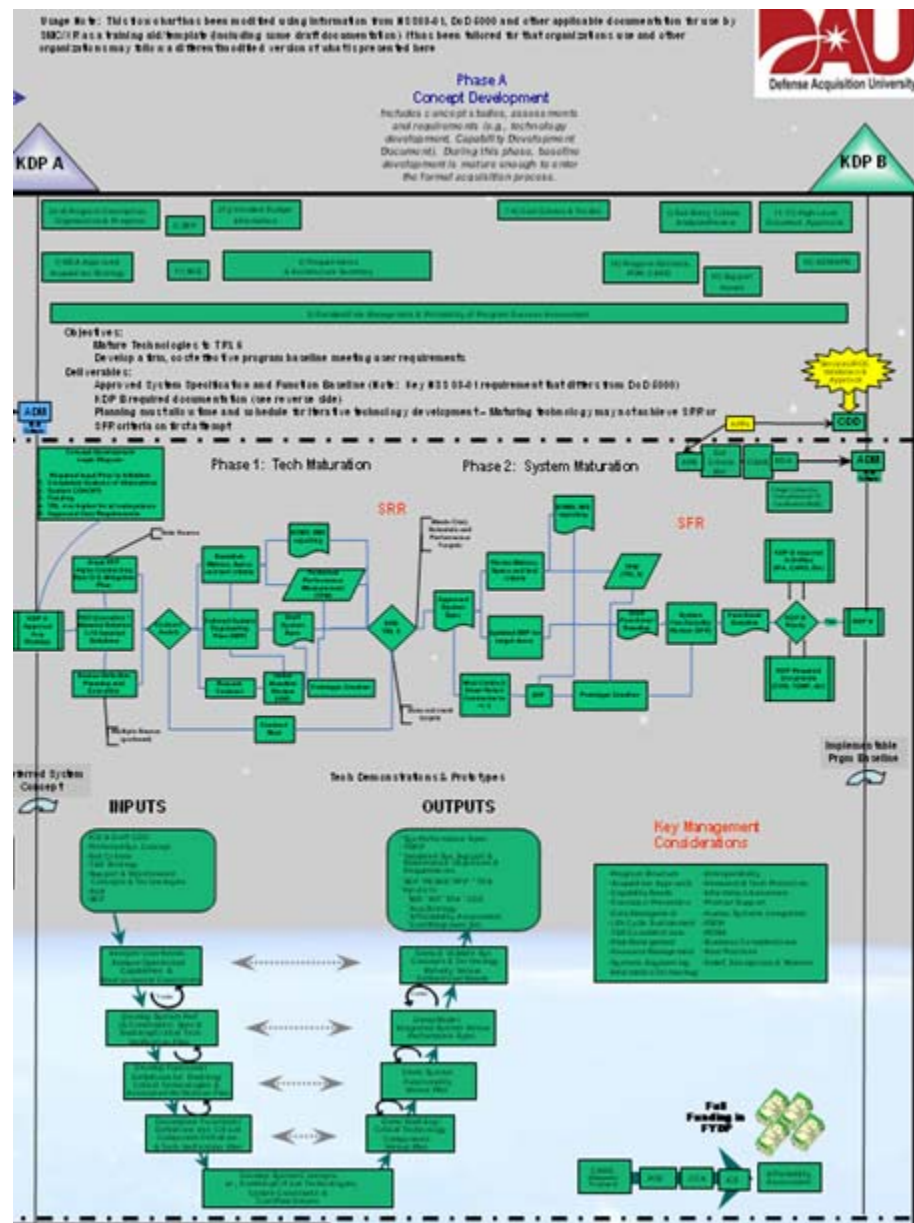
- **SMC/XR Emphasis**
  - Concept characterization elements
  - Early Systems Engineering Rigor
    - SAF/AQR Pre-Acquisition Early Systems Engineering initiative
      - Led to Systems Engineering “V” for this phase
      - Signed Systems Engineering instructions/guidance
- **Potential updates to “Horse Blanket”**
  - Highlight concept development - Functional Solutions Analyses (FSA) linkage
  - AF Policy initiative to require SAF/AQ Technical sufficiency check of CCTDs at the MDD Interface
- **Additional Considerations Lead to Metrics**
  - Technical sufficiency in advance of an AoA
    - Characterization of effectiveness and suitability parameters
      - Quantifiable & Testable?
    - Characterization of “relevant environment” for each concept
    - Logistics “ilities” avoid ‘unobtainium’





# UNCLASSIFIED Pre-MS B Demonstration and Prototyping

- **SMC/XR Emphasis**
  - Key PM Data Elements (cost)
  - Expansion of Concept Development into 2 phases
    - Tech Maturation and System Maturation
  - Prototyping-per Young 2007 Memo
  - DSAB and IPA Readiness Process (-B preparation)
- **Potential revisions to “Horse Blanket”**
  - Prototyping and competition policy implications
  - DoDI 5000.2 adjustments
  - Verify AFI 63-101 Acquisition and Sustainment Lifecycle Mgmt
- **Additional Considerations Lead to Metrics**
  - Early TEMP development
  - Technical performance measurement/monitoring



UNCLASSIFIED



*Mr. Glenn Fogg*

*Rapid Reaction Technology Office  
(RRTO)*

*How to Better Support the  
Need for Quick Reaction  
Capabilities in an Irregular  
Warfare Environment*

*Quick Reaction and Rapid  
Reaction Funds*

*“Breaking the Terrorist/Insurgency  
Cycle”*

*April 21, 2009*

- ⊕ **Quick Reaction Special Projects**
  - ⊕ **QRF**
  - ⊕ **RRF**
- ⊕ **Emerging Capabilities Fund**
- ⊕ **Open Business Cell**
- ⊕ **Test / Demonstration Opportunities**
- ⊕ **Contact Info**

# *Quick Reaction Special Projects (QRSP)*

- ⊕ Program Motivation: Technology cycle time is as short as 12-18 months in some technologies; adversaries and peers are not bound by a two-year budget process. QRSP allows:
  - ⊕ DoD to Apply Small Amounts of funding to
    - ✧ Provide technology for new capability options for Combatant Commands
    - ✧ Accelerate or spur technology options for insertion into acquisition programs
    - ✧ Rapidly develop technology to provide capability options to counter adversaries operational capability
    - ✧ Jump start discrete demonstrations to counter new adversary capabilities
  - ⊕ Defined deliverables in less than standard S&T program
  
- ⊕ Approach: QRSP is comprised of complementary projects that address different aspects of the maturity / cycle time space
  - ⊕ **Quick Reaction Fund** (Project 826) – Focus is on shorter cycle time Conventional Forces
  - ⊕ **Rapid Reaction Fund** (Project 828) – Focus is on Technologies Addressing Counter Terrorism / Counterinsurgency





# *Quick Reaction Fund (QRF)*

## ⊕ **Description**

- ⊕ Managed by DDR&E Plans and Programs
- ⊕ Focus on responding to emergent needs during the execution years that take advantage of breakthroughs in rapidly evolving technologies.

## ⊕ **Goal:**

- ⊕ Accelerate promising research that will enable transformation or fill critical gaps in DoD acquisition programs.
- ⊕ Maturation of technologies critically needed by combatant commanders for operation.
- ⊕ Typically these projects are on the technology maturity scale where an idea or technology opportunity is proven and demonstrated.
- ⊕ Projects will last no longer than 12 months.

## ⊕ **Key Technology Areas**

- ⊕ Lightweight armor (personnel & vehicle)
- ⊕ Data link and Network interoperability
- ⊕ Reduced logistics costs
- ⊕ Potential “Red Team” activity
- ⊕ Spectrum and information management
- ⊕ Reduced unanticipated risk in acquisition programs

## ⊕ **Transition opportunities are realized when the technology is demonstrated**

- ⊕ Moved into an acquisition program of record
- ⊕ Technology has been demonstrated and made available via
  - GSA schedule, Deploy in AOR, Letters of Availability, etc.
- ⊕ Technology is demonstrated and testing continues for other applications



# USMC M1A1 Rear Sensor (QRF)



Rear Sensor replaces existing taillight

## Project Description/Objective

The Tank Commander is exposed to enemy fire when the tank is backing up.

This effort integrated a rear thermal sensor into the tail light of the USMC M1A1 Main Battle Tank.

The rear sensor image is displayed to the driver in a field of view that covers the entire rear of the tank and enables him to know the distance to Marines (on the ground), obstacles, and objects up to 150 meters.

## Key Accomplishments/Outcomes

All lab testing has been completed. Rear Sensor integrated into tail light with Driver Display integrated into Driver's Compartment.

Two prototype units were tested in the Joint Assault Bridge. Minor improvements were identified and will be incorporated into the production units.

## Deliverables

- QRF Deliverables
  - Seven Rear Sensor Systems
  - Lab Test Report on the Rear Sensor and Display
  - Field Test Report on the M1A1 with Rear Sensor
- Production
  - USMC Systems 447



# Gunslinger (QRF)



## Project Description/Objective

- ⊕ Gunslinger is the spiral development of a modular, vehicle based, on-the-move hostile fire detection and counter-fire capability.
- ⊕ Gunslinger Spiral 2 (GS-2) system was integrated onto a modified MXT-MV truck for deployment to Iraq.
- ⊕ GS-2 is a hostile fire detection system capable of detecting enemy fire, targeting the source, and returning fire.

## Key Accomplishments/Outcomes

- ⊕ System was operationally deployed and provided a capability that did not exist.
- ⊕ The Remote Gun and high end sensors (especially FLIR) operated 7 days (12-16 hrs/day)
- ⊕ Gunslinger completed its operational experimentation in support of OIF in September 2007.
- ⊕ The system remained fully functional throughout the deployment cycle and logged hundreds of missions and thousands of hours.

## Deliverables

- ⊕ Deliverable: GS-2 on-the-move hostile fire detection and counter-fire system.
- ⊕ GS-2 transitioned to the USMC for operational use in Iraq.
- ⊕ GS-3, and a remotely controlled version for integration onto an unmanned boat, Unmanned Surface Vehicle Spiral 1 (USV-1).
- ⊕ USV-1 will transition to PM Robotic Systems JPO.
- ⊕ Gunslinger systems are being considered for deployment by the Naval Expeditionary Combat Command for naval coastal warfare, riverine operations, and expeditionary operations ashore.



# Deployable Satellite Communication System (QRF)



*Inflatable Antenna during 1-to-1 testing at SOCSOUTH*

## Project Description/Objective

- ⊕ Effort is field-deploying innovative, *inflatable* satellite antenna, designed to provide high-bandwidth SATCOM capability in a smaller, lighter package than conventional systems.
- ⊕ Objective is to increase deployable aperture (dish size) for greater reliability, higher bandwidth and improved portability while lowering transportation and operation costs.

## Key Accomplishments/Outcomes

- ⊕ Enabled testing to verify design and performance criteria.
- ⊕ Delivered initial units to integrating command and trained operators.
- ⊕ Supported shore-to-ship communications for 2007 USNS Comfort mission to South America.
- ⊕ Demonstrated interoperability with existing Joint Communication Support Element (JCSE) baseband equipment.

## Deliverables

- ⊕ 5 systems for utilization by Special Operations Command South operators in exercises and missions within the command's AOR.
- ⊕ 5 systems for alternate deployment sites and technical evaluation.
- ⊕ Technology development / fielding plan, and rapid prototype development.
- ⊕ Product integration / documentation / training.
- ⊕ User support, evaluation and reporting.



# *Rapid Reaction Fund (RRF)*

## ⊕ Description

- ⊕ Managed by DDR&E's Rapid Reaction Technology Office (RRTTO)
- ⊕ Focus primarily on **6-18 month** timeframe for development through a supporting **spiral development** approach.

## ⊕ Objectives

- ⊕ Leverage all the DoD science and technology base and those of other Federal Departments
- ⊕ **Stimulate interagency coordination and cooperation**
- ⊕ Identify and examine technological and organizational impacts of emerging and potential, future military issues
- ⊕ Anticipate adversaries' exploitation of technology, including available and advanced capabilities.
- ⊕ **Provide input and feedback** to guide long-term science and technology investments
- ⊕ Identify and exploit technology developed outside of DoD in the commercial sector, in academia and internationally
- ⊕ **Accelerate fielding of affordable, sustainable capabilities and concepts to counter emerging threats**



# *RRTO Developing Focus Areas*

- ⊕ **During the past four years the following areas have become the focus for significant S&T Investments:**
  - ⊕ **Biometrics & Forensics**
  - ⊕ **Ground Based Electronic Warfare**
  - ⊕ **Electromagnetic spectrum Monitoring and Management in Urban Environments**
  - ⊕ **Cultural & Social Understanding and Modeling**
  - ⊕ **Strategic Communications**
  - ⊕ **Surveillance & Reconnaissance for CI/CT**
- ⊕ **What are the emerging areas which will require S&T Investments?**
  - ⊕ **Language Translation Capabilities?**
  - ⊕ **Non-Kinetic Capabilities?**
  - ⊕ **Large Data Handling and Analysis?**



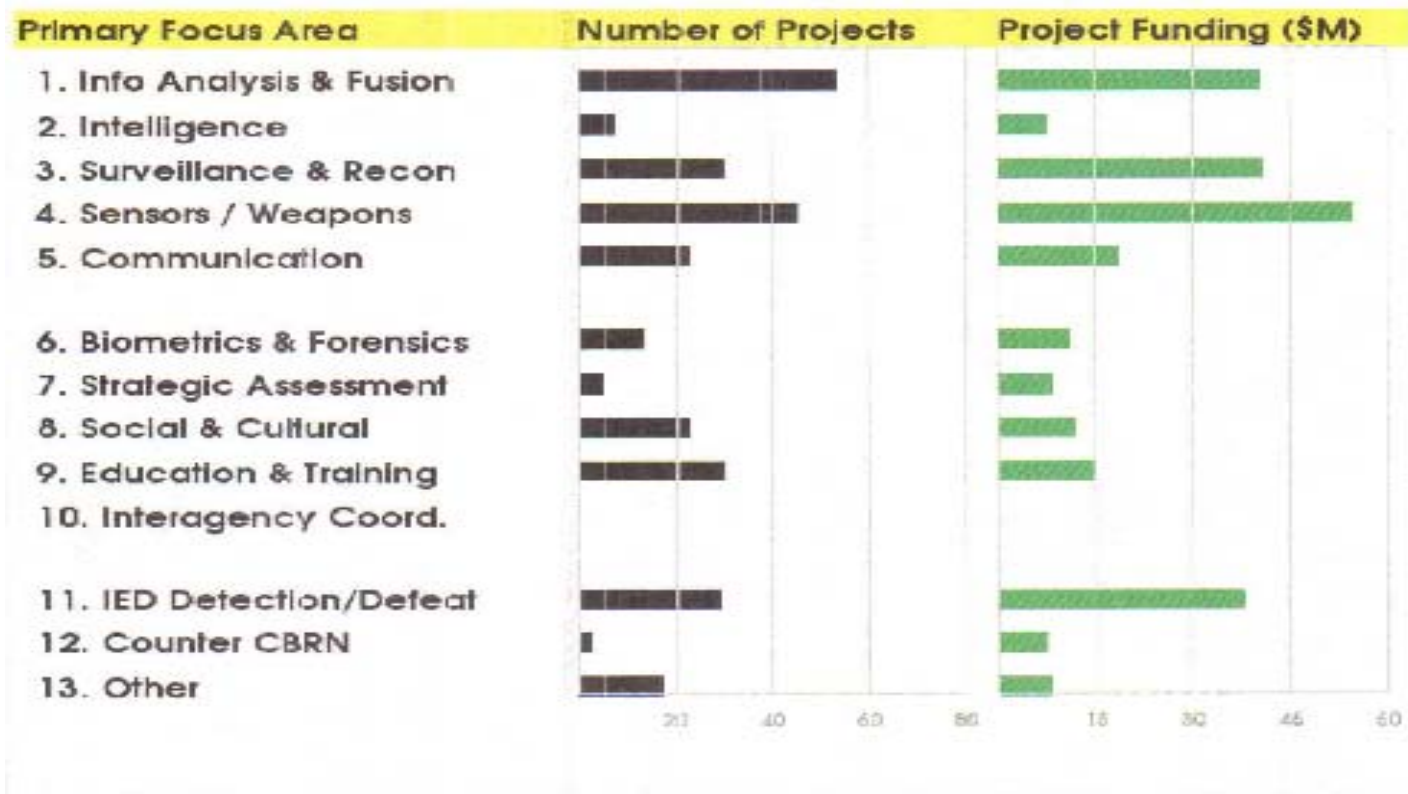
- ⊕ **Identify critical focus areas**
- ⊕ **Mitigating factors**
  - ⊕ **Potential drawdown / refocus from current areas of emphasis**
  - ⊕ **Services recapitalization challenges**
  - ⊕ **Future levels of defense spending**
  - ⊕ **Re-examination of Irregular Warfare strategy**
  - ⊕ **Global insurgency / terrorist challenges**
  - ⊕ **Competition for resources: energy, food and water**
  - ⊕ **Geographic access**
  - ⊕ **Smaller but networked terrorist groups**
  - ⊕ **Terrorist and criminal groups and organizations affiliations**
- ⊕ **Actions**
  - ⊕ **Expand Irregular Warfare focus beyond Afghanistan / Iraq**
  - ⊕ **Examine terrorist / criminal interfaces**
  - ⊕ **Develop non-kinetic capabilities including support for strategic communications**
  - ⊕ **Increase interagency coordination**



- ⊕ **Thunderstorm and surveillance system testing**
- ⊕ **The interface of law enforcement and military operations**
- ⊕ **Strategic Communication and Influence Operations**
- ⊕ **Interagency coordination**
- ⊕ **Biometrics and forensics capability development**
- ⊕ **Establish an open business cell**
- ⊕ **Capabilities to support denied area operations**
- ⊕ **Small dispersed unit operations**
- ⊕ **Autonomous system operations**
- ⊕ **Strategic Multi-Layer Assessment**



# RRTO Portfolio Overview



**306 Projects from FY 04 to FY09**



# Link 16 Multi-role Adaptable Xceiver (MAX) (RRF)



## Technology / Key Deliverables

- ✦ Dual Channel Link 16 Radio Terminal (Link 16, UHF or SATCOM)
- ✦ SCA compliant waveform with JANUS Crypto Chip
- ✦ Falconview software integration for SOF applications
- ✦ Targeting capability
- ✦ Watertight Pelican Case configuration for harsh environment portability
- ✦ Multiple power source adaptability (including 5590 battery operation)
- ✦ Integration of weather, AIS and FAA traffic software applications
- ✦ Integrated GPS feature and multiple display option capability
- ✦ Chat and mission execution features
- ✦ Small form factor for
  - ✦ SPECWAR and Riverine watercraft
  - ✦ Disadvantaged aircraft (MV-22, OH-6, MH-60, AV-8, CH-53)
  - ✦ UAV and non-traditional ISR platforms
  - ✦ AFSB (Amphibs & LCU/RHIB watercraft)

## Description

Link 16 is currently fielded with a variety of USAF, USMC and USN platforms and provides unprecedented data and Situational Awareness (SA) to platforms capable of hosting the relatively large MIDS or JTIDS terminals needed to join the Link 16 net. MAX provides Link 16 capability in a small form factor thus enabling platforms and units heretofore unable to have access to the Link 16 and its robust ability to provide a jam resistant reliable situational awareness and Data exchange capability to disadvantaged warfighters. MAX L16 provides capability to operate in ground, air and sea applications as a standalone configuration or integrated into existing displays. Addresses standing ODR from SOF Community and leverages USAF single channel WDL L16 terminal ATD and Rockwell Collins IR&D investments.

## Schedule/Phases

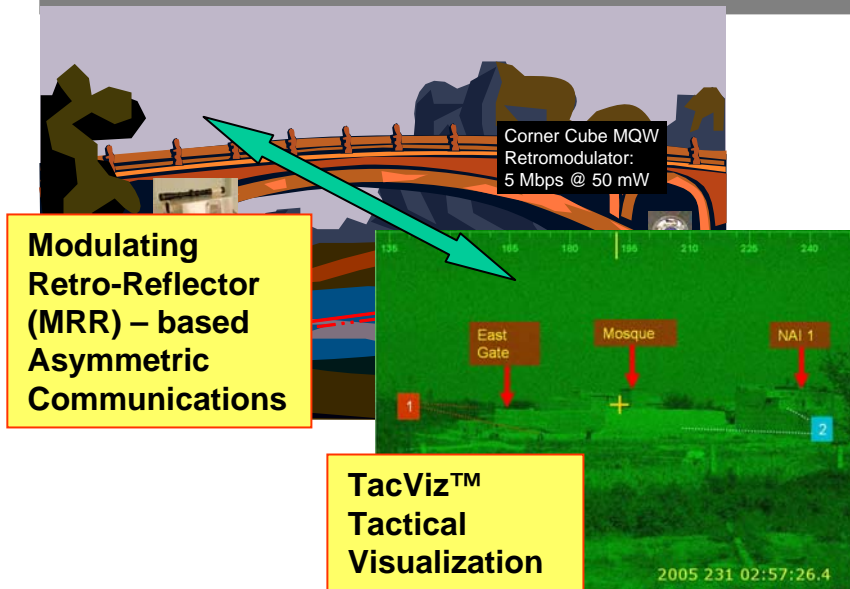
### Phase I

- ✦ JANUS Chip certification
- ✦ Dual Channel terminal development
- ✦ SW additions (AIS, FAA traffic, targeting, WX)
- ✦ Combat Validation Using “Time to Kill” Metric in SOF CAS scenario

### Phase II

- ✦ Dual Channel terminal configuration demo
- ✦ Software integration
- ✦ Man portable configuration development

# TINA: Tactical Infra-red Networked Awareness (RRF)



## Objectives:

- Improve situational awareness for operators
- Enables covert, compact, high bandwidth means to harvest data quickly

## Payoff:

A fieldable means to quickly and covertly acquire and understand data from remotely placed sensors where the information is enhanced by location, orientation, and other relevant metadata; units now can quickly locate and harvest

Description: TINA takes advantage of significant DOD investment in communications and visualization technologies to integrate the MRR long-range asymmetric communication technology with the TacViz™ tactical data visualization tool. The new system will provide an advanced reconnaissance asset that will allow operators to access, collect, and understand data from various remote sensors.

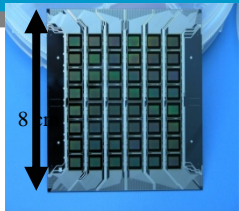
Key Participants: OSD, NRL, SOCOM

## Milestones / Deliverables

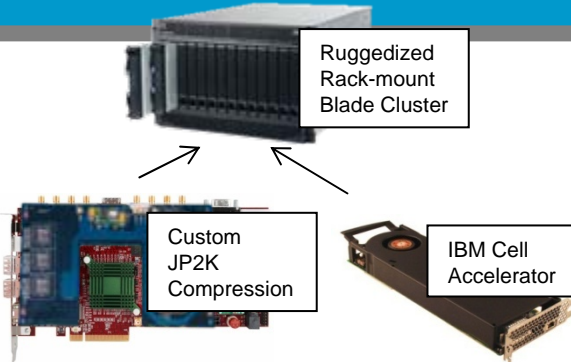
- ⊕ Define Interface Requirements: TACVIZ & MRR System (MRR terminal & Interrogator)
- ⊕ Interface TACVIZ w/sensor
- ⊕ Drive MRR with enhanced data
- ⊕ Integration tests at CBD (iterative)
- ⊕ Two Demonstrations at CBD
- ⊕ Develop integration plan for TENCAP experiment if TENCAP proposal is awarded

# MASIVS: Giga-pixel Video Onboard Processing (RRF)

## MASIVS Sensor



## Giga-pixel Video Processor



*Enabling wide area electro-optical persistent surveillance*

## Technology

- Novel Giga-pixel-scale processing:
  - MASIVS - 880 Mpixel, 2fps, color
  - Onboard stabilization, detection, compression utilizing IBM Cell processor

## Capability:

- FY08: 220 Mpixel color - compression & stabilization
- FY09: 880 Mpixel color - compression, stabilization and detection

## Key Participants

- MIT/LL: Development of Hardware, Algorithms & Software
- ARMY / Air Force: Operational deployment

## Key Deliverables

- Flight demonstration

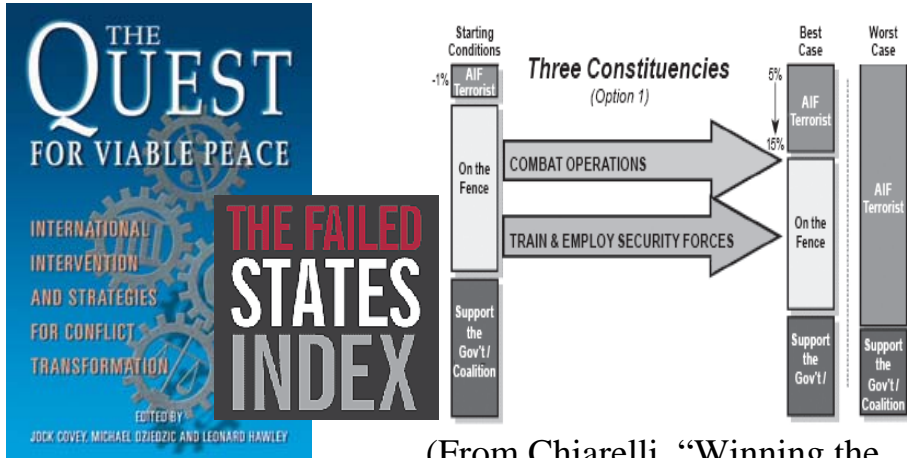
## Key Deliverables

880 mega-pixel camera system  
 Documentation on integration and calibration test results  
 Ruggedized for field deployment

## Milestones Leading to Fielded Capability

- |   |      |
|---|------|
| • February / June engineering flights       | FY08 |
| • First quarter full capability test flight | FY09 |
| • Ruggedization and deployment              | FY09 |

# MEASURING PROGRESS IN CONFLICT ENVIRONMENTS (MPICE) (RRF)



(From Chiarelli, "Winning the Peace," July/Aug 2005)

## Objectives

- ⊕ Develop a comprehensive measures and metrics framework
- ⊕ Provide conflict transformation baseline capability
- ⊕ Support policymakers and planners at the Joint Task Force and Country Team level
- ⊕ Develop an understanding of illicit power structures in SRO situations to strengthen strategy development

**Description:** Develop an integrated system and definitive guidelines for baseline assessment, measurement and monitoring of conflict transformation. Define an application methodology and test against relevant and critical case studies.

**Key Participants:** USAID, S/CRS, JCS J5, PKSOI, OUSD(I)

**Key Deliverables:** Conflict Transformation Measurement Tool and Methodology; Illicit Power Structure Taxonomy

## Milestones / Steps Leading to Fielding Capability

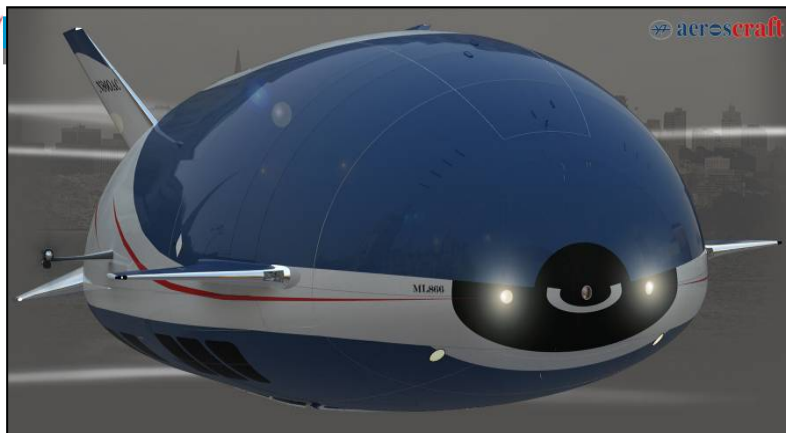
- ⊕ Initial metric framework report
- ⊕ Preliminary conflict transformation measurement tool
- ⊕ CONUS-based analysis report for Iraq & Afghanistan
- ⊕ Conflict baseline report
- ⊕ Data fusion methodology, results
- ⊕ Integrated conflict transformation measurement tool



# *Emerging Capabilities Fund*

- ⊕ **Purpose:** Anticipate and inform the JCIDS and acquisition processes through risk-reducing prototypes and **advanced capability development activities with emphasis on inter-agency cooperation**
- ⊕ **Goals:**
  - ⊕ **Increase visibility into inter-agency capability gaps of concern to DOD** with leveraged funding to stimulate cooperation and develop capabilities
  - ⊕ **COCOMs, Services, and Defense Agencies benefit from risk-reduction prototyping and experimentation in advance of (or in conjunction with) formal acquisition programs**
  - ⊕ **Increase access to inter-agency S&T programs**
  - ⊕ **Prototypes with military utility provided to COCOM, Service, or Defense Agency for further development or experiments**





### Technology / Product

- Rigid structure, ballast-independent vertical takeoff/landing air vehicle with vastly improved energy efficiency
- Capable of unimproved surface/water operations

### The So What

- Will reduce airlift energy use per ton-mile to commercial trucking levels
- Will enable heavy-lift air access to austere regions with little infrastructure
- Will enable direct delivery, cutting in-transit times from point-of-origin to point-of-need

**Energy-Efficient, High-Capacity, Direct Delivery Airlift**

### Key Participants

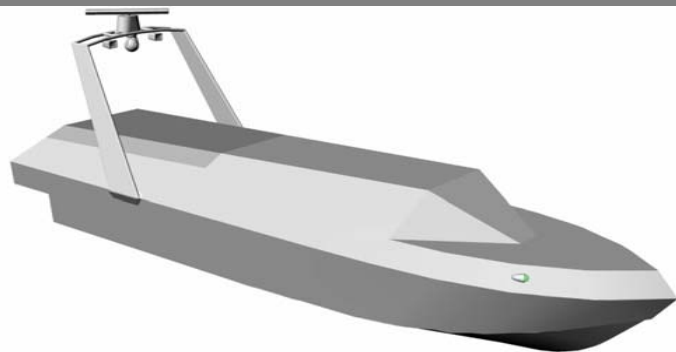
- Gov't Contributors: NASA, Aerospace Corp
- Industry: Aeros Aeronautical Systems Corporation
- Operational Advocate: USEUCOM

### Key Deliverables

- Scalable prototype RAVB air vehicle
- Ground and flight test data
- Evaluation of scaleability and technical risks for full-scale articles

### Milestones Leading to Prototype Delivery

- Program milestones (FY09 increment)
  - Subsystem component demos                      ongoing
  - Conceptual design    1 Aug 09



*Multiple autonomous USVs cooperating  
to complete a basic MDA patrol*

## Technology / Product

- Software supporting autonomous 24 hour patrol under controlled conditions
- Extensible Mission Package implementation software
- Capable of cooperative operation between USVs

## The So What

- Autonomy remains critical missing piece in unmanned systems
- Reduced manning for unmanned systems, currently approx 2x manned requirements

**Project description:** Develop and install autonomous C2 system on two current USVs which will permit the unmanned systems to execute a MDA task cooperatively

### Key Participants

Sponsor(s): OSD/RRTO, NSWC Carderock

Gov't Contributors: NSWC Dahlgren

Industry: PSU's Applied Research Lab, TSI

### Key Deliverables:

Software and hardware installed on 2 USV Demonstrators

Extensible Mission Package software

Training / CONOPS manuals

Safety release for UAS, SSSTRP accreditation

## Milestones Leading to Fielded Capability

- Intelligent Controller Framework S/W
- Extensible Mission Package S/W
- Safety Release/Software Reliability
- Underway Testing

# *Open Business Cell*

- ⊕ **Established in February 2009**
- ⊕ **Pilot project that seeks to engage nontraditional performers to solve some of DoD's needs**
- ⊕ **“Technology Brokers” are facilitators and guides to shepherd non-traditional performers through prototype development and testing**
- ⊕ **Contracting cell using Other Transaction Authorities (OTAs) to establish prototype/proof of concept programs**
- ⊕ **[www.DefenseSolutions.gov](http://www.DefenseSolutions.gov) provides background and clear instruction on how to submit a proposal.**
- ⊕ **Current focus is on Battlefield Forensics.**
  - ⊕ **Large data analysis**
  - ⊕ **Autonomous control of UAVs / USVs**

# *RRTO “Testing History”*

## *Joint Experimental Range Complex*

- ⊕ **October 2003. The IED challenge emerges.**
  - ⊕ **The first thing we need is a “representative” test site in which to examine systems.**
- ⊕ **23 Dec 2003: Construction on the Joint Experimental Range Complex (JERC) begins in Yuma.**
  - ⊕ **ATEC Yuma**
  - ⊕ **Naval Air Systems Command Special Projects**
  - ⊕ **CTTTF**
- ⊕ **15 Jan 2004: First testing at new JERC facility in Yuma.**
- ⊕ **Aug 2004: ‘What are you doing to test systems?’**
  - ⊕ **Commander CENTCOM to the Joint IED Task Force**
- ⊕ **Nov 2005: Idea of National Counter Insurgency/Counter Terrorism Test Facility**
  - ⊕ **CTTTF cedes Yuma to Joint IED Task Force and Joint IED Defeat Organization**
- ⊕ **2005-Present. CTTTF/RRTO maintains test windows at Yuma for testing.**
  - ⊕ **Support to industry, labs and academia with an emphasis on small vendors.**
  - ⊕ **RRTO provides test director, facility use, testing support and archives test reports.**
  - ⊕ **Testing status reviewed at regular Force Protection VTCs**
  - ⊕ **To date 250 systems have been tested at the JERC under CTTTF/RRTO sponsorship**



# ***RRTO “Testing History” IED Blitz***

- ⊕ **Sixty day proof of concept that focused ISR assets on a 20 km road segment to detect IEDs and IED emplacement activities**
- ⊕ **Employed a “persistent surveillance” approach with multiple sensors including:**
  - ⊕ **EO / IR**
  - ⊕ **SAR**
- ⊕ **Manually fused numerous ISR inputs and developed capabilities to move large amounts of data from theater to CONUS.**
- ⊕ **Identified numerous items of interest, none of which were confirmed as IEDs.**
- ⊕ **IED Blitz assessed as a failure.**
- ⊕ **The IED Blitz identified challenges associated with conducting persistent surveillance and led to development of numerous effective capabilities.**
  - ⊕ **GMTI**
  - ⊕ **Sonoma / Constant Hawk**
  - ⊕ **FOPEN**
  - ⊕ **SIGINT TIVO**
  - ⊕ **FADE**
  - ⊕ **LIDAR**
  - ⊕ **COIC**
  - ⊕ **SAR CCD**
  - ⊕ **Bluegrass**
  - ⊕ **Thunderstorm**

***Does the department need a venue to conduct high risk enterprises/experiments to capture constructive lessons learned?***



# ***RRTO “Testing History” Stiletto***

- ⊕ **Dec 2006: S&T Division of the former Office of Force Transformation assigned to RRTO**
  - ⊕ **Tactical Relay Mirror System**
  - ⊕ **Operationally Responsive Space**
  - ⊕ **Project Wolf Pack**
  - ⊕ **Stiletto**
- ⊕ **Stiletto proposed as an operational demonstration platform with potential application to future Navy missions. Not embraced by the Navy.**
- ⊕ **Under RRTO sponsorship:**
  - ⊕ **Avoid trying to force the Navy to embrace Stiletto**
  - ⊕ **Focus on establishing Stiletto as a “test platform” with outreach to laboratories and industry. A maritime environment test bed (at no cost to users) without the paperwork associated with traditional test venues.**
- ⊕ **Summer 2008: Deploys to Cartegena, Columbia with 10 vendor and “test” systems aboard.**
  - ⊕ **OPCON – SOUTHCOM                      TACON – JIATF-South**
- ⊕ **Jun 2009: Incorporate into Project Thunderstorm and redeploy to SOUTHCOM AOR.**



# *RRTO “Testing History” Bluegrass*

- ⊕ **RRTO has been the initial funder / fielder of a number of surveillance capabilities.**
  - ⊕ **Derived from the IED Blitz in the fall of 2004**
  - ⊕ **GMTI backtracking with JSTARS and P-3 LSRS**
  - ⊕ **Sonoma, Mohawk Stare, Constant Hawk wide area electro-optic surveillance**
- ⊕ **We continue to develop systems to enhance the base surveillance capabilities.**
- ⊕ **There is no “organized” investment to improve the analytic capabilities of GMTI and wide area EO. Most analysis is manual and time consuming**
- ⊕ **Project Bluegrass: Develop a data set, with ground truth of GMTI and EO to facilitate future tool and capability development to exploit wide area surveillance systems.**
  - ⊕ **Participants included: RRTO, CIA (OCS), NSA and DTRA.**
  - ⊕ **MIT/LL functioned as Test Director**
  - ⊕ **Data available at no charge to government laboratories and industry for capability development.**
  - ⊕ **RRTO and CIA approve each data release.**
- ⊕ **7 Oct 2008: BAA released through TSWG with a series of Bluegrass “Challenge Problems.”**



# *RRTO “Testing History” Project Wolf Pack*

- ⊕ **Support Service / Coalition / Interagency efforts to enhance small unit ground force operations across a spectrum of mission profiles and environments.**
- ⊕ **Identify, integrate and assess emerging but relatively mature concepts and technologies that are sustainable and deployable.**
  - ⊕ **Focus on communications**
  - ⊕ **Produce prototype support equipment for field experimentation**
- ⊕ **Partners include:**
  - ⊕ **USMC**
  - ⊕ **US Army**
  - ⊕ **JFCOM**
  - ⊕ **USNORTHCOM**
  - ⊕ **DHS S&T**
  - ⊕ **Joint Non-Lethal Weapons Office**
  - ⊕ **Technical Support Working Group**
  - ⊕ **Australian Army**
  - ⊕ **Israeli Ministry of Defense**



# *RRTO “Testing History” Thunderstorm*

- ⊕ **Operational outgrowth of Project Bluegrass**
- ⊕ **Goal is to establish an enduring multi-int ISR test bed focused on the JIATF-S AOR to exercise evolving architectures, emerging capabilities and transformational concepts**
  - ⊕ **Demo new capabilities**
  - ⊕ **Provide relevant intelligence**
  - ⊕ **Encourage greater cooperation**
  - ⊕ **Identify ISR CONOP improvements**
  - ⊕ **Make data available**
- ⊕ **Exercise with a series of ongoing spirals**
- ⊕ **MIT/LL acting as test director**
- ⊕ **Participants in initial spirals include:**
  - ⊕ **JIATF-S**
  - ⊕ **CIA**
  - ⊕ **NSA**
  - ⊕ **NRO**
  - ⊕ **DHS**
  - ⊕ **Industry partners**

# *Submission Routes*

## ⊕ Quick Reaction Fund

⊕ [DDRE@dtic.mil](mailto:DDRE@dtic.mil)

## ⊕ Rapid Reaction Fund

⊕ [RRTO@dtic.mil](mailto:RRTO@dtic.mil)

## ⊕ Emerging Capabilities Fund

⊕ [RRTO@dtic.mil](mailto:RRTO@dtic.mil)

## ⊕ Open Business Cell

⊕ [www.DefenseSolutions.gov](http://www.DefenseSolutions.gov)

## ⊕ JERC / Stiletto / Bluegrass Data / Wolf Pack / Thunderstorm

⊕ [Glenn.Fogg@osd.mil](mailto:Glenn.Fogg@osd.mil)





# *Naval Open Architecture*

**AIR**



**C4I**



**SPACE**



**SUBS**



**SURFACE**



**MARINES**



*Distribution Statement A: Distribution is unlimited*

**28 April 2009**

**Nick Guertin**  
**PEO-IWS**  
**Deputy Director for Open Architecture**



# To execute this strategy, we must change how we build systems - the adoption of Open Architecture is critical to our Navy

***Naval Open Architecture is the confluence of business and technical practices yielding modular, interoperable systems that adhere to open standards with published interfaces. OA delivers increased warfighting capabilities in a shorter time at reduced cost.***

## **OA CORE PRINCIPLES**

***Modular design and design disclosure***

***Reusable application software***

***Interoperable joint warfighting applications and secure information exchange***

***Life cycle affordability***

***Encouraging competition and collaboration***



# Our focus has been on addressing business, technical, and cultural changes

## OA GOALS

1. Change the Naval processes and **business** practices to "utilize open systems architectures in order to rapidly field affordable, interoperable systems."
2. Provide OA **Technical Systems Engineering** leadership to field common, interoperable capabilities more rapidly at reduced costs
3. Change the Naval and Marine Corps **Cultures** to Institutionalize OA Principles

## OA PRACTICES

- Disclose design artifacts
- Negotiate appropriate data rights
- Foster enterprise collaboration
- Institute Peer Reviews of solutions
- Develop new open business models
- Change contracts / increase competition
- Software Process Improvement Initiative
- Publish interfaces
- Isolate proprietary components
- Use widely adopted standards
- Modularize systems
- Reuse software products
- Build interoperable applications
- OA Training
- Outreach - Symposias & Industry Days
- Research



## Implementing *Open Architecture* yields many benefits

### ***Reduction in Time to Field***

- Decreased development and acquisition cycle times to field new warfighting capabilities
- Faster integration of open standards based systems

### ***Increased Performance***

- Improved operator performance thru delivery of cutting edge technologies and increased bandwidth capabilities from spiral developments and technology insertions

### ***Improved Interoperability***

- Use of common services (e.g. common time reference)
- Use of common warfighting applications (e.g. track mgr)
- Use of published interfaces to standardize collaboration

### ***More Competition***

- Modular architectures enable competition at the component level
- Sharing data rights allows third parties to compete

### ***Cost Avoidance***

- Cost avoidance from software reuse and use of commodity COTS products at optimum prices
- Reduced training and streamlined lifecycle support



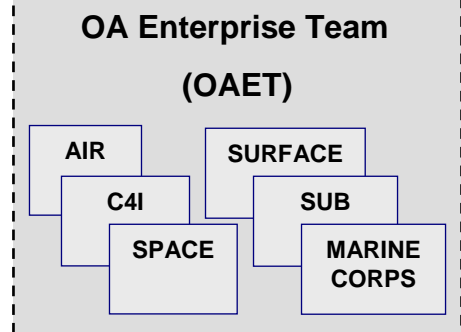
# Implementing OA requires the commitment and participation of all stakeholders across the Naval Enterprise



**Chief of Naval Operations**



**Assistant Secretary of Navy (Research, Development & Acquisition)**



- Provide Naval requirements and POM/PR guidance to acquisition community
- Identify requirements for rapid, cost-effective, interoperable warfighting improvements with the objectives of supporting OA

- Identify architectures unique to domains and implement a process to ensure OA compliance

- Provide technical, financial management and contracting support to PEOs
- Establish OA technical processes
- Monitor and assess compliance with OA standards and processes

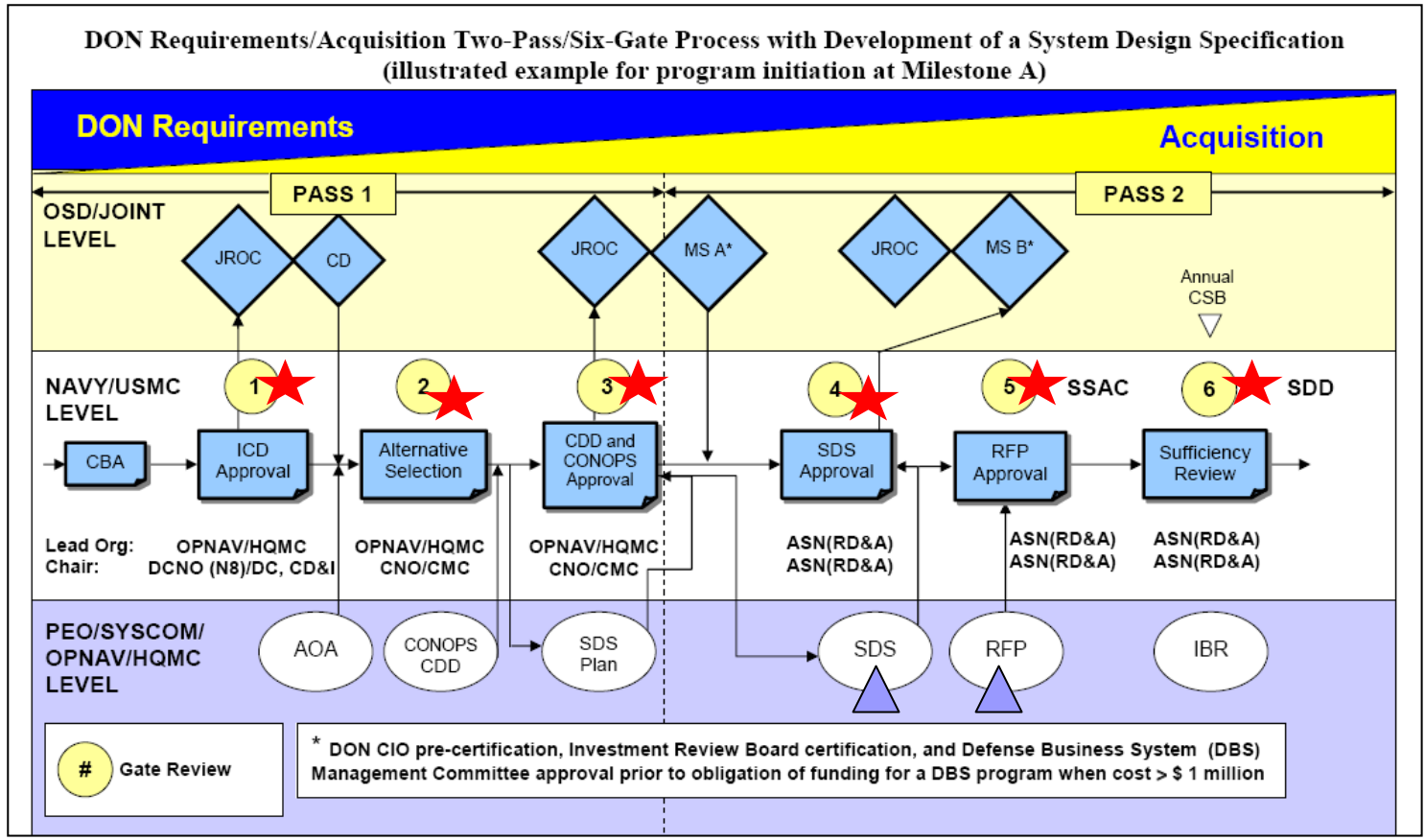
- Lead the enterprise to OA implementation
- Oversee the development and implementation of the processes, business strategies, and technical solutions for implementing OA
- Provide OA Systems Engineering Leadership to PEOs, Warfare Centers, Industry, etc.





# OA checkpoints are being built into the Department of Navy's Six Gate Review Process to ensure compliance

★ OA Checkpoints  
▲ OA Guidance



*SECNAV introduced the six-gate, two-pass process to more effectively integrate the Naval requirements and acquisition decision processes. This process improves visibility and insight into the development, establishment and execution of programs.*



## A key part of OA is the proper exercise of the Navy's Intellectual Property Rights ...

- A key aspect to implementing OA is for the Government to **exercise** the intellectual property rights (IPR) it acquires
- Under the Federal Acquisition Regulations (FAR) and Defense Federal Acquisition Regulation Supplement (DFARS):
  - The Government gets **Unlimited Rights** in both Technical Data (TD) and Computer Software (CS) for noncommercial items **developed exclusively at the Government's expense**.
  - For noncommercial items developed with **mixed funding**, the Government gets **Government Purpose Rights (GPR)** in TD and CS.
- If a contractor asserts more restrictive rights over a system/component's IP and the Government fails to challenge such an assertion by exercising its rights, the contractor obtains the asserted rights
- It is imperative that the Government assert and exercise the IPR it acquires because it may lose the right to challenge after a period of time





# **Coalition Warfare Program**

## **Briefing to: Science & Engineering Technology Conference**

Col Kathy Hithe, USAF  
Deputy Director,  
Coalition Warfare Program  
OUSD(AT&L)/International Cooperation  
April 2009

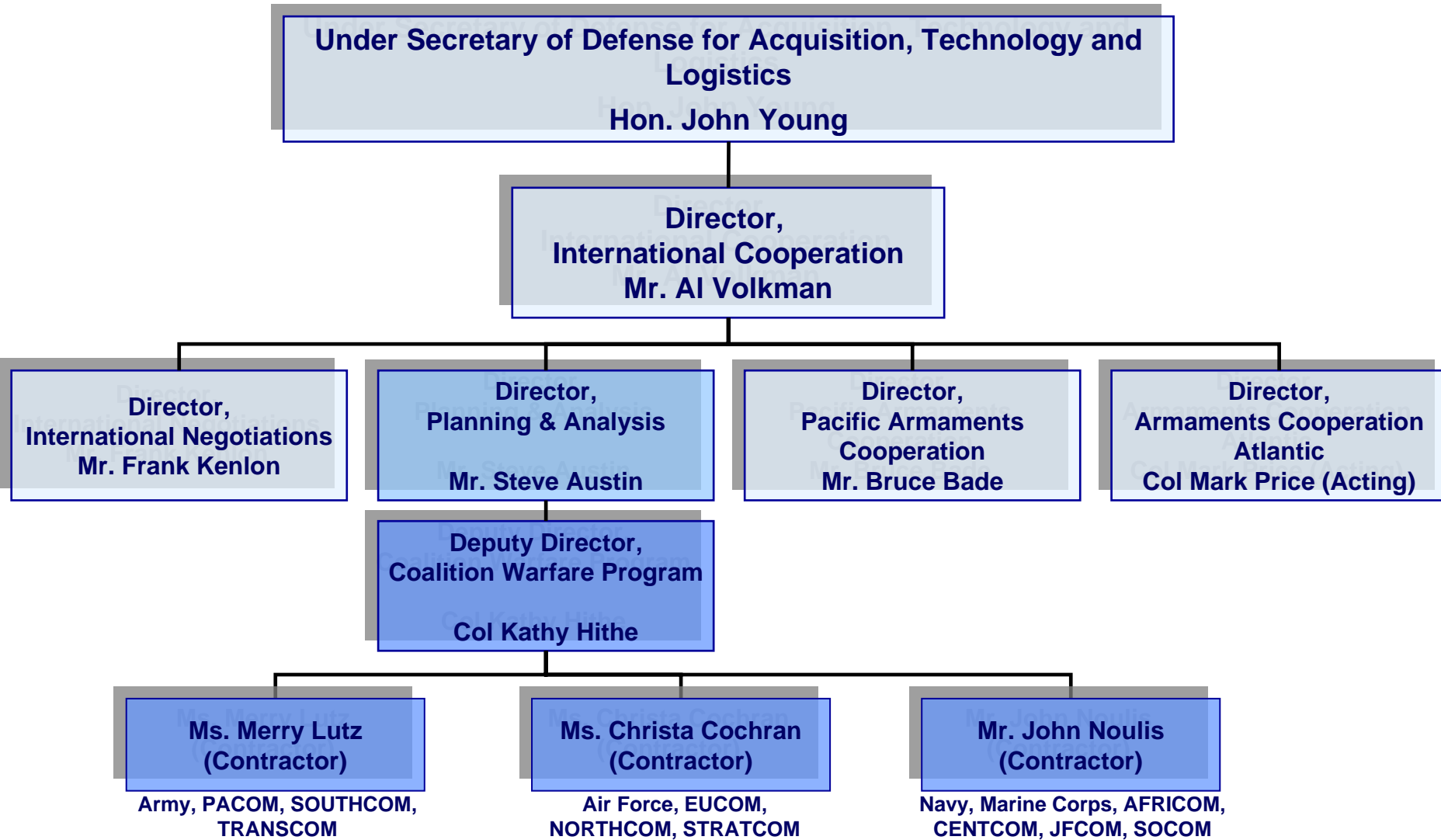


# Purpose of the Coalition Warfare Program

- Coalition Warfare Program provides **funds** on a **competitive** basis to projects that conduct **collaborative RDT&E** with **foreign government** partners.
- Why?
  - Global Security requires strong partners and partnerships
  - Effective coalitions require a high degree of interoperability
  - Solutions to warfighter problems can be developed better, cheaper, and faster by leveraging partner expertise and resources.
  - ...and yet, COCOMs, Services, and Agencies do not always program for international partnerships and interoperability



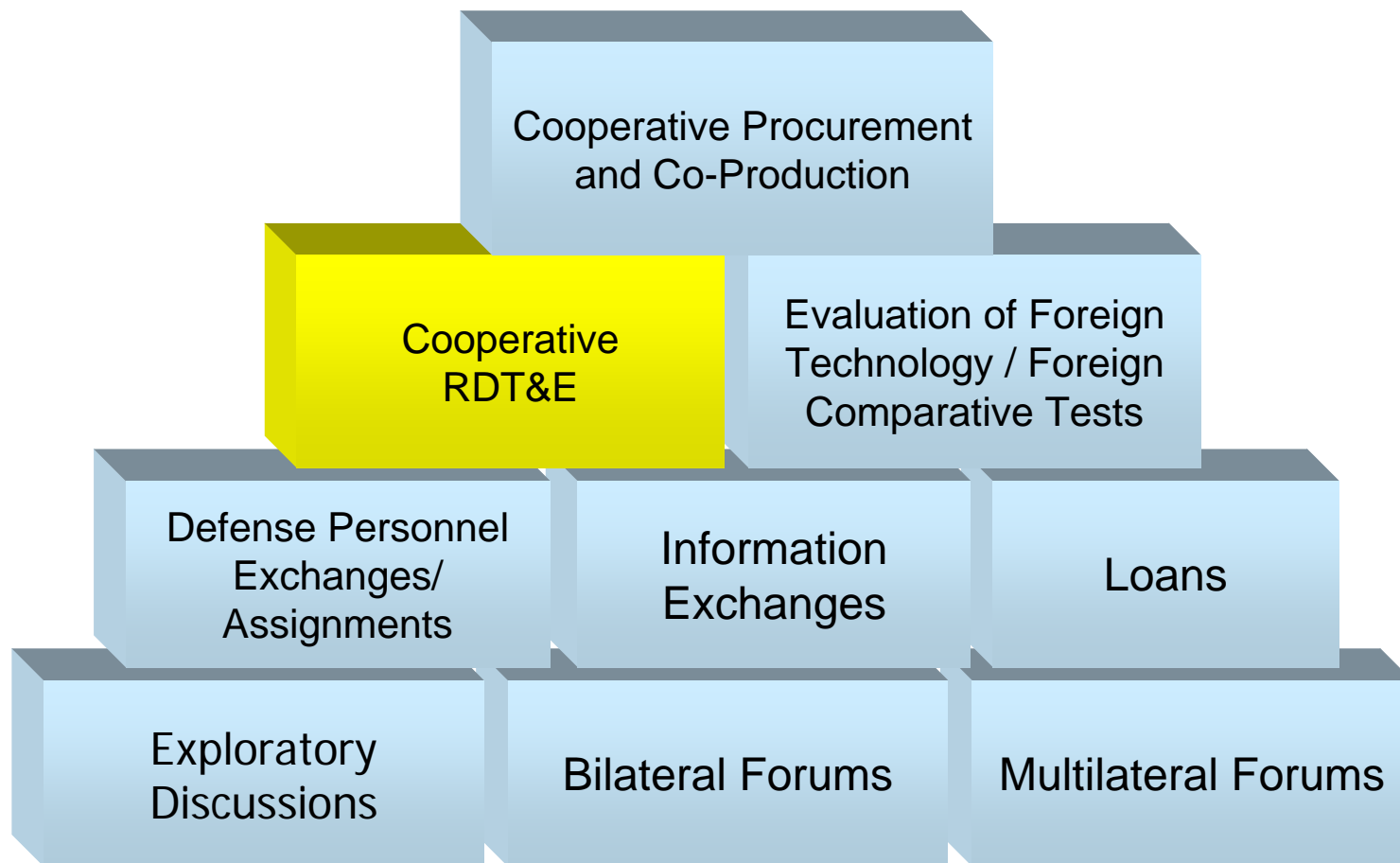
# Coalition Warfare Team







# Building Blocks of Armaments Cooperation





# CWP Responds to DoD Acquisition and Policy Imperatives

## **Armaments Cooperation Goals:**

- Build partnerships; strengthen alliances and influence adversaries
- Reduce cost by sharing costs or avoiding duplication of development efforts
- Increase military effectiveness through interoperability; share global security responsibilities
- Bolster domestic and allied defense industrial bases
- Access the best defense technology and expertise; help minimize the capabilities gaps

## **Building Partnerships Goals:**

- Build capabilities and capacities of partners and institutions
- Leverage capacities and capabilities of security establishments
- Influence adversaries and competitors
- Strengthen global defense posture
- Partner with government and institutions
- Inform domestic and foreign audiences



# Global Partnerships through CWP Projects





# CWP Proposal Basic Requirements

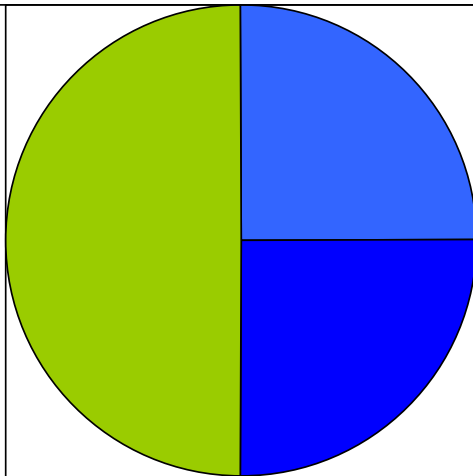
- CWP selects projects through an annual, competitive, nomination process.
  - CWP will provide “seed” funding of up to \$1,000,000 per year for 2 years.
- Basic Criteria:
  - RDT&E content
  - Nominations accepted from COCOMs, Services, Defense Agencies, or OSD staff
  - Projects must have committed foreign government partners



# Coalition Warfare Program Contributions

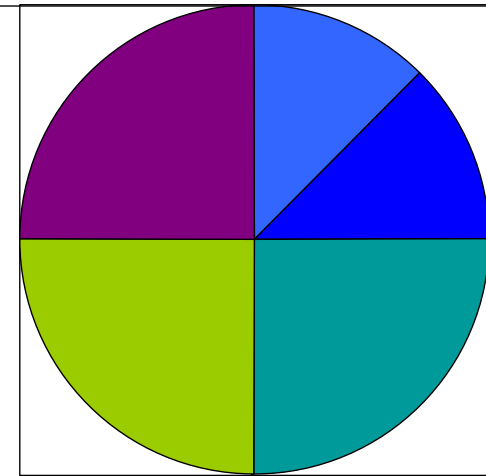
- Sponsor and foreign partner expected to contribute resources to project
- **Equitable contributions from foreign partner could entail:**
  - Traditional “equal” contributions
  - Could also be benefit related

■ US PM   ■ CWP   ■ Partner 1



Bilateral

■ US PM   ■ CWP   ■ Partner 1  
■ Partner 2   ■ Partner 3



Multilateral

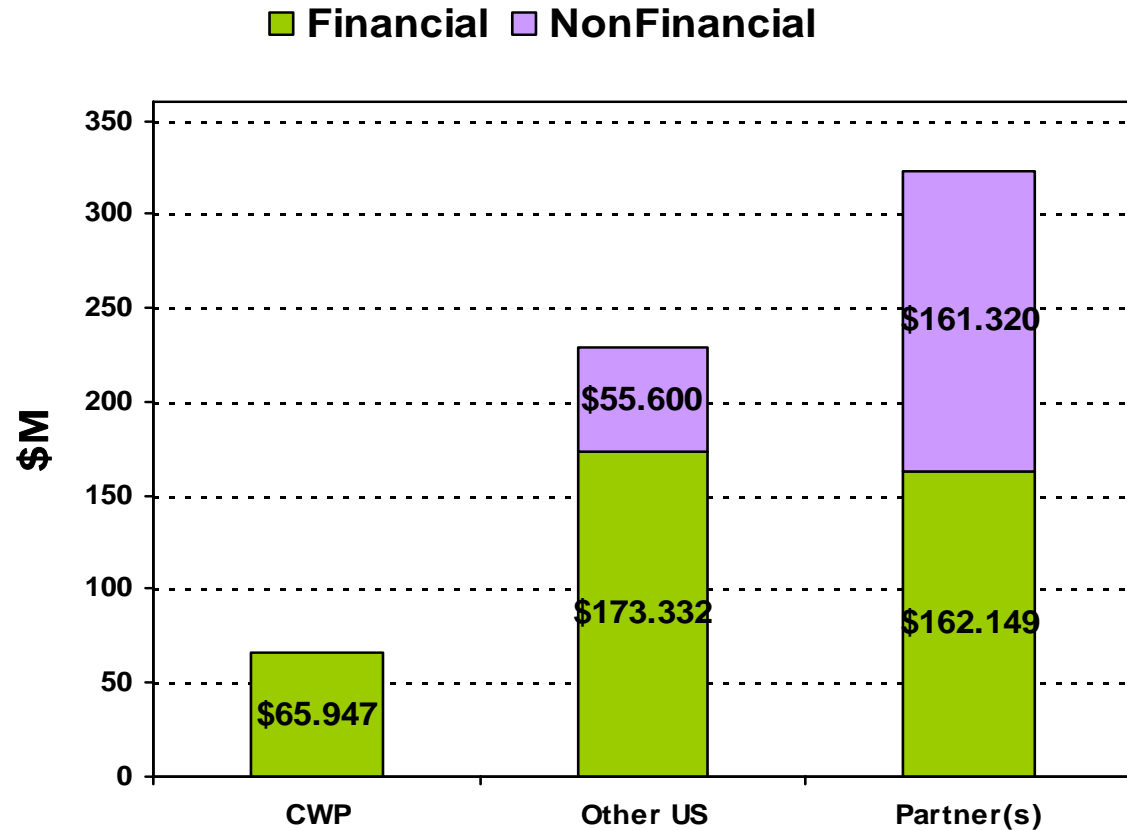




# CWP History

- Established in 2000; funding began in FY01
- 75 projects
  - CWP - \$65.75M
  - Other US - \$224.17M (1:3)
  - Partners - \$319.27M (1:5)

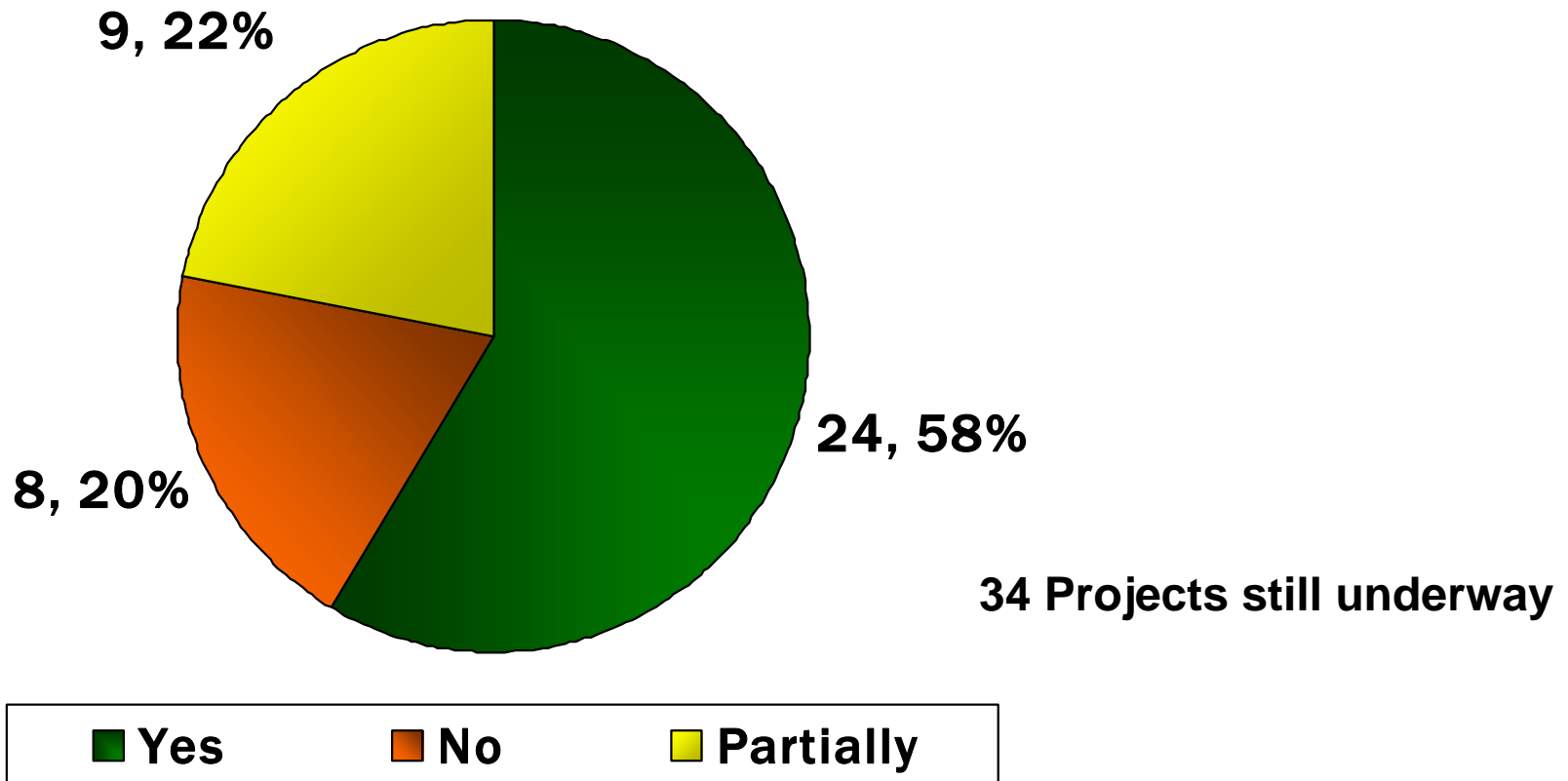
**Total Funding Leveraged :  
\$543.44M (1:8)**





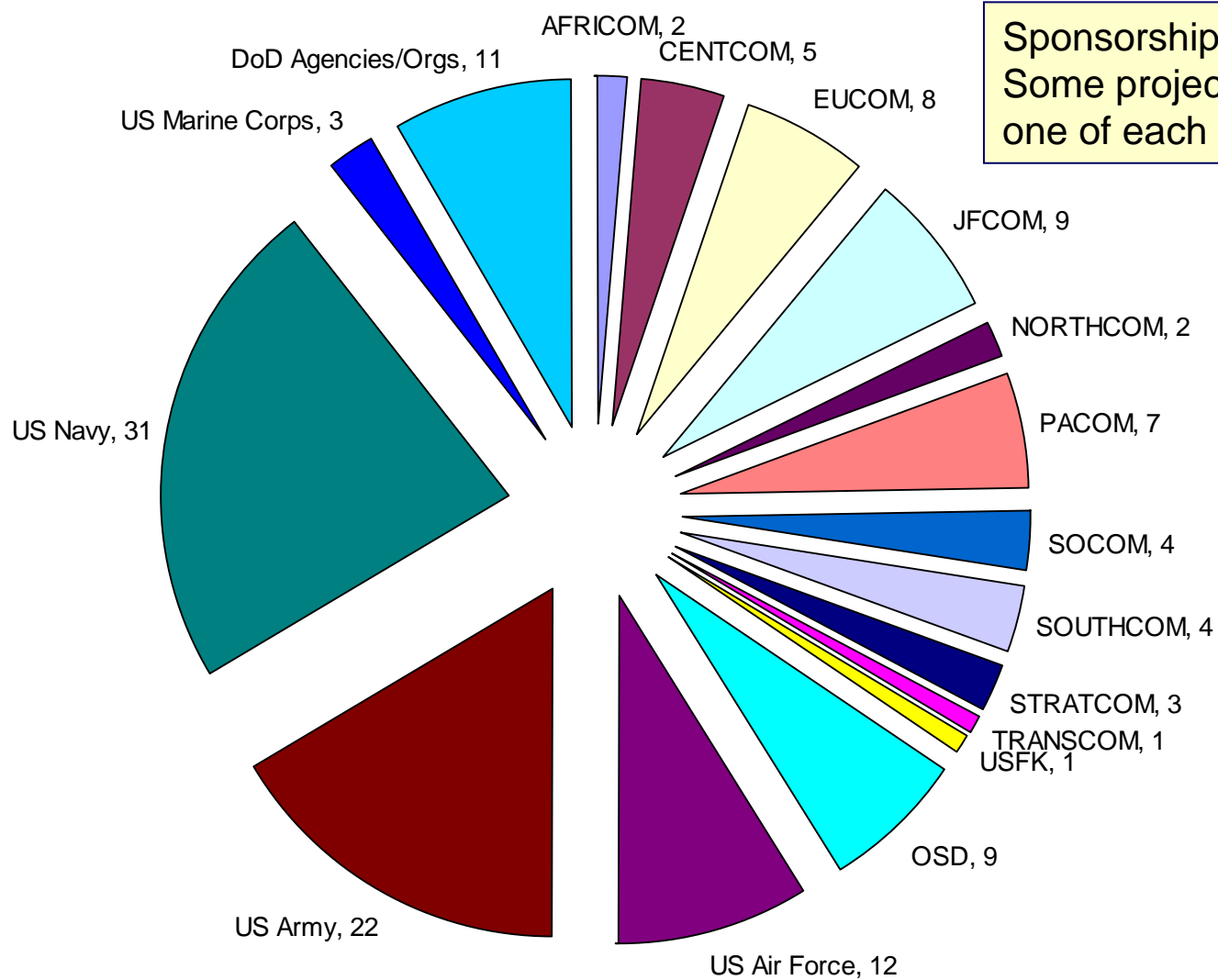
# Project Transitions

Technical PM is responsible for working transition to next phase





# Participation in CWP Projects FY01-FY09



Sponsorship and Partnership.  
Some projects have more than one of each



# Important Dates



- FY10
  - Closed to new submissions
  - Preliminary decisions on selections will be announced in June.
  - Projects will be notified of availability of CWP funding after passage of FY10 DoD Authorization and Appropriations Bills.
  - Selected projects will be able begin work after CWP receives its FY10 budget (plan for January 2010.)
- FY11
  - Offices interested in submitting a proposal for FY11 cycle should start ground work by:
    - Identifying foreign partner and understanding international agreement requirements of project.
    - Identifying internal resources that can be committed.
  - First drafts will be due in January 2010.



# Evaluating a CWP Proposal

	<b>Basic</b>	<b>Acceptable Basic Elements +</b>	<b>Strong Previous Elements +</b>	<b>Excellent Previous Elements +</b>
Product	RDT&E Content	Tangible outcome and clear objectives	Not duplicative of other efforts	Unique solution to a complex problem
Sponsorship	U.S. government sponsor	Sponsor financial contribution & COCOM endorsement	Equitable financial contribution	Multiple endorsements
Foreign Partner	Identified	Financial or non-financial support	Foreign POCs identified & equitable contributions	High-level interest
Benefit	Clear benefit to warfighter	Meets an identified need	Meets identified need of multiple COCOMs/ Services/Agencies	Will quickly be able to respond to identified need
Legal	Reviewed for international agreement/disclosure/export control issues	Sponsor and Service IPO have a plan of action to get agreements in place/issues resolved	Can get necessary international agreements in place in time to start/other issues in work	Has necessary international agreements in place /other issues resolved
Project Mgmt	Funds requested commensurate with scope	Practical metrics for success	Identified transition aim	Programmed to transition





# Sources for CWP Project Ideas

- Current relationships
  - Bilateral and Multilateral Forums
  - Ongoing development programs
  - Existing international agreements
  - Information Exchange Agreements
- Networking
  - International conferences
  - Joint Capability Technology Demonstrations
  - CWPs can add an international element to an existing JCTD or jump start a new effort

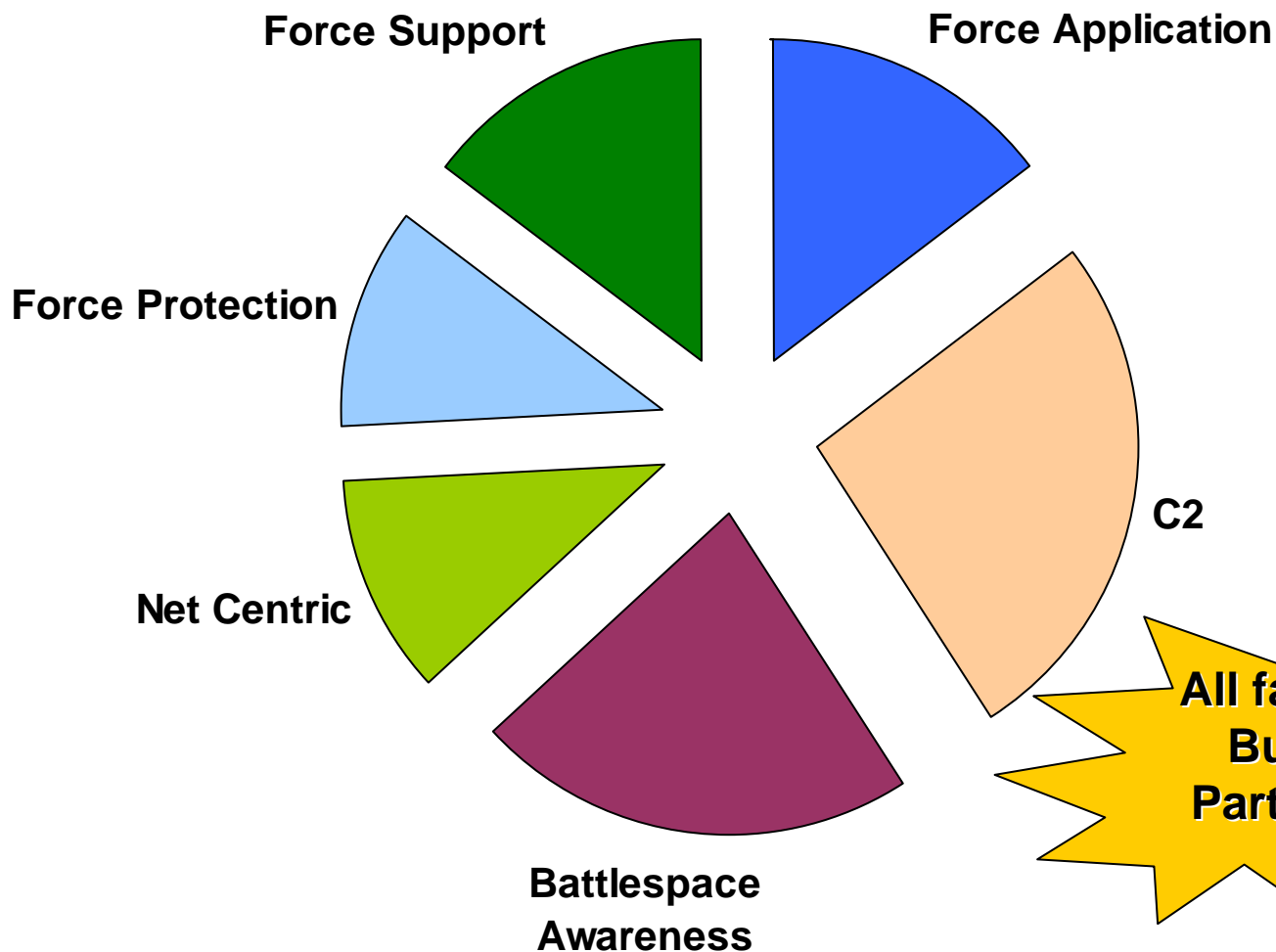


# Paths for Getting Involved

- After generating project idea:
  - U.S. government offices should engage:
    - CWP Office
    - COCOM/Agency S&T Offices
    - Service International Program Offices
  - U.S. industry should engage:
    - Service or COCOM POC with interest in project and funding to contribute
  - Foreign government offices should engage:
    - U.S. Embassy Office of Defense Cooperation/Office of Security Assistance
    - Appropriate OUSD(AT&L)/International Cooperation Desk Officer



# Current portfolio by Joint Capability Area (JCA)



Force Application	C2	Battlespace Awareness
Net Centric	Force Protection	Force Support

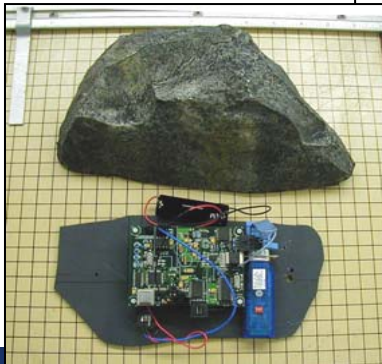


# Project Examples

## Force Protection

### Passive and Active Detection of Special Nuclear Material(09-10)

Demonstrate near-term passive detection systems for stand-off detection of kilogram quantities of special nuclear material and interdiction team capabilities to locate and identify materials



## Battlespace Awareness

### Tactile Situational Awareness System (08-09)

Garment containing tactile stimulators that intuitively provides SA to pilots (useful for brownouts). Developing an extended torso application to provide pitch and roll and hover SA.

### Advanced Dynamic Magnetometer for Static and Moving Applications (08-09)

Develop an advanced, low cost, rugged, easily disguised networked magnetometer for persistent battlefield surveillance and detection of moving magnetic signatures. Capability to “see” through walls, harbor and littoral protection

## Command and Control

### US JTRS Bowman VHF Waveform (02-03, 08-09)

Waveform to allow communication with UK Bowman system. Successfully demonstrated, currently in JTRS waveform library; porting testing in FY09. Requirement in next phase of JTRS program

### FBCB2/SIR Interoperability Solution (08-09)

Cooperative project with France to exchange C2 data and information between two coalition battle command systems



# Project Examples

## Combat Identification

### International Recognition of Combat Vehicles (09-10)

Collect and process imagery (visual and thermal, ground and air to ground) of coalition platforms for improvements to ROC-V training system

### Mode 5 Identification Friend or Foe (IFF) (04-08)

Cooperative development and testing. Includes development and integration testing on AWACS and coalition platforms

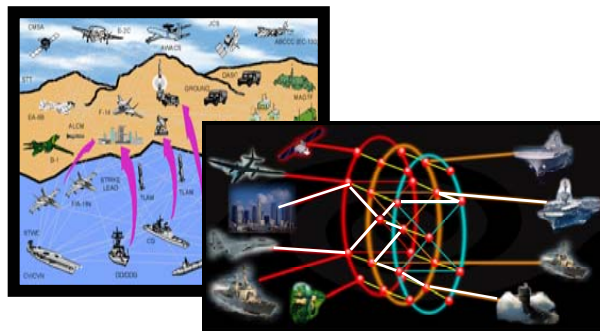
## Maritime Domain Awareness

### Multinational Information Exchange (FY06-07)

Secure architecture and certified rule sets for exchange of COP data with Singapore

### Virtual Regional Maritime Traffic Center (FY08-09)

Maritime security project to share unclassified data with SOUTHCOM partners



## Planning and Application

### Multinational Turnkey C2 (FY08-09)

Support to NATO ACT to develop coalition C2 architectures and mission templates to improve JTF formations. Focused on NRF, specifically in ISAF

### Leadership and Commanders Intent (FY06-07) and Optimizing Coalition Leaders (08-09)

Human Behavior studies on working with coalition partners in a net centric environment. Results will populate databases for acquisition and operational planning





# Achieving Coalition Interoperability

- Warfighters need effective coalition partners
  - 2006 QDR Report: Building Partnership Capacity
  - COCOM Theater Security Cooperation Annexes
  - USD(AT&L) aims to increase interoperability with allies and partners
- Interoperability gaps have compromised operational effectiveness and jeopardized force protection (e.g., fratricidal incidents)
- R&D cooperation with coalition partners helps close capability gaps
- Small investments early in the R&D process can yield large dividends (e.g., Joint Strike Fighter)

**Desire for strategy driven cooperation**  
**Services focus on Service-unique issues first**  
**Combatant Commanders lack discretionary funding**



**Need for Coalition Warfare Funding**



# Contact Information

Obtain more information at:

[www.acq.osd.mil/ic/cwp.html](http://www.acq.osd.mil/ic/cwp.html)

## Contacts:

Col Kathy Hithe, USAF  
[kathleen.hithe@osd.mil](mailto:kathleen.hithe@osd.mil)  
703-693-0133

Ms. Merry Lutz  
[merry.lutz.ctr@osd.mil](mailto:merry.lutz.ctr@osd.mil)  
703-614-8784

Ms. Christa Cochran  
[christa.cochran.ctr@osd.mil](mailto:christa.cochran.ctr@osd.mil)  
703-604-0067

Mr. John Noulis  
[john.noulis.ctr@osd.mil](mailto:john.noulis.ctr@osd.mil)  
703-602-5817



# CWP Project Timeline: Nomination/Selection Phase

	Project Manager	Partners	Sponsors	CWP Team	
Jul	<u>Conduct Groundwork:</u> <ul style="list-style-type: none"> <li>• Develop ideas</li> <li>• Identify sponsor, funding</li> <li>• Work with international programs offices for any agreements required</li> </ul>				
Aug				Release Call Memo	
Sep				Distribute Call Memo	Kickoff Conf.
Oct					
Nov					
Dec					
Jan	Submit Executive Summary				
Feb	Submit Final Nomination Packages			Provide Feedback	
Mar				<u>Conduct Evaluation:</u> <ul style="list-style-type: none"> <li>• Get feedback from SMEs, Embassies</li> <li>• Compare nominations to needs/gaps</li> </ul>	
Apr					
May					
Jun				Announce Decisions	
Jul	Submit Statement of Work, Updated Project Spend Plan, Quad Chart	Negotiate and Sign Agreements			
Aug					
Sep					



# CWP Project Timeline: Execution Phase

	Project Manager	Sponsor	CWP Team
Oct			
Nov		★	
Dec		★	
Jan		★	Disburse Funding (Timing dependent on congressional action on defense budget)
Feb	Obligate Funding ASAP	★	
Mar		★	
Apr		★	
May		★	
Jun		★	
Jul		★	
Aug	Submit Updated SOW, Spend Plan, Quad Chart	★	Track Project's Status
Sep		★	
Oct		★	
Nov		★	
Dec	★ Submit Monthly Expenditure Reports	★	Disburse Funding (Timing dependent on congressional action on defense budget)
Jan		★	
Feb	★ Submit Quarterly Status and Expenditure Reports	★	
Mar		★	
Apr		★	
May		★	
Jun		★	
Jul		★	
Aug	Submit Final Project Report	★	
Sep		★	



**RDECOM**



***TECHNOLOGY DRIVEN. WARFIGHTER FOCUSED.***

# Robotic Systems

Jeff Jaster

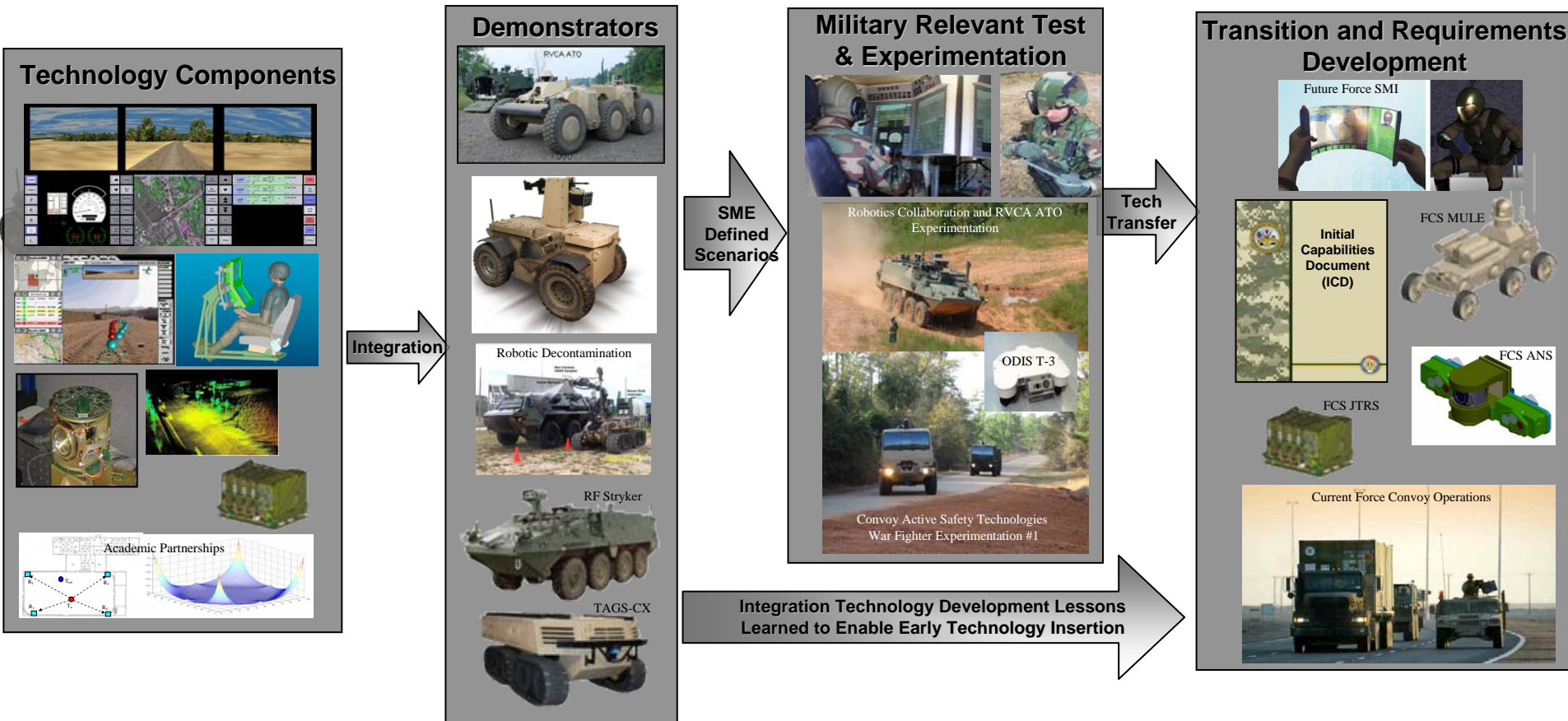
Deputy Associate Director for Autonomous Systems

US Army TARDEC – Intelligent Ground Systems



## Mission

**Integrate, Explore, and Develop Robotics, Network and Control Components with a Focus on Customer Driven Requirements to Provide Full System Solutions to the War Fighter**





**Very busy environments**



**Other vehicles**



**Pedestrians**



**Poor lane markings**



**Animals**



**Potholes**



**Traffic signals**



**Road work**





**Very cluttered environments**



**Deep water**



**Wire, posts, and fences**



**Sharp rocks, rebar, curbs**



**Hidden hazards: rocks and holes**



**Mud, ice, snow, gravel and other traction problems**



**Tank traps**



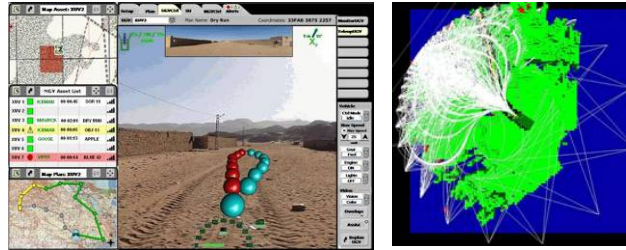
**Fog, dust, smoke, rain**



## Safe Operations and 360° SA



## Tactical behaviors



## Platform Mobility

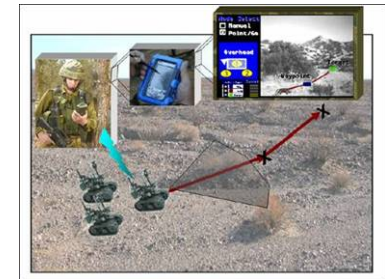


## UGV – Soldier Interfaces

### High-Speed Tele-operation



## Autonomous Control



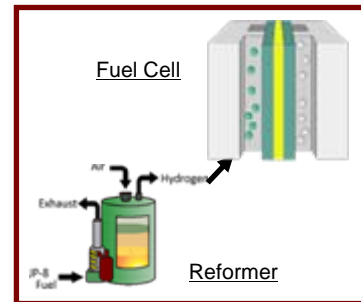
## Arm and Manipulator Articulation



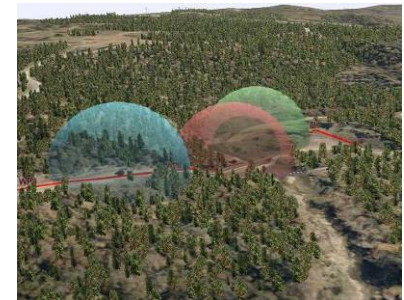
## Communications



## Power Management



## Non-LOS SA



## Basic Research



**Adaptive Coordinated Control of Intelligent Multi-Agent Teams MURI**  
**Army Research Office**

Univ + Gov

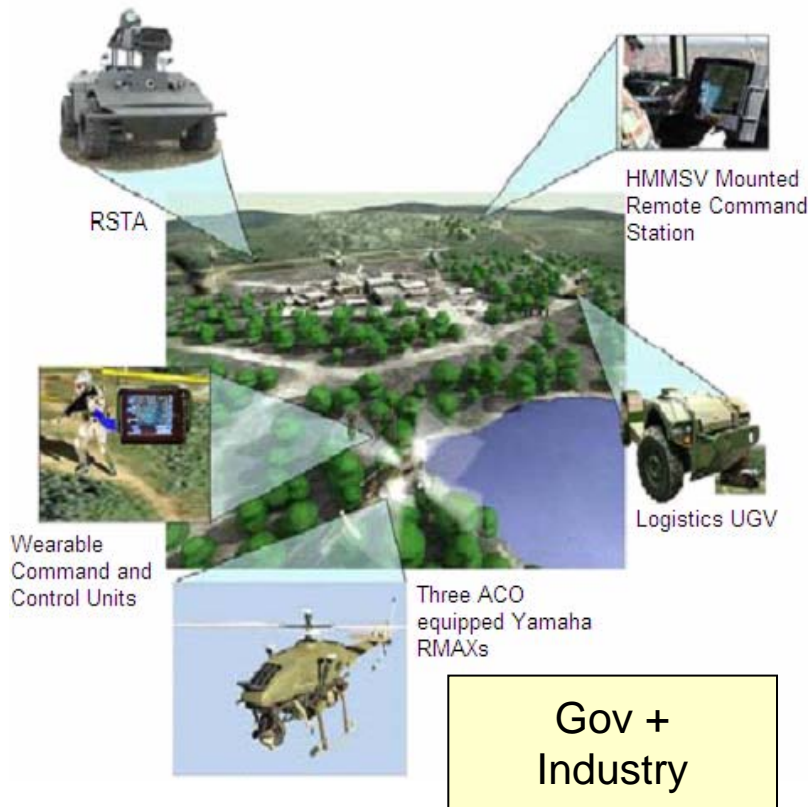
## Applied Research



**Robotics CTA**  
**Army Research Lab**

Univ + Gov  
 + Industry

## Advanced Development



**Unmanned Autonomous Collaborative Operations Research**  
**Development & Engineering Centers**

**Science & Technology to Meet Soldier Needs**





**Wide Angle Remote View camera**  
180 degrees x 360 degrees.  
standard camera is: 54 degrees



**TALON BB-390/BB-2590 Battery Upgrade "6-PACK"**  
-increase run time by 2 hrs



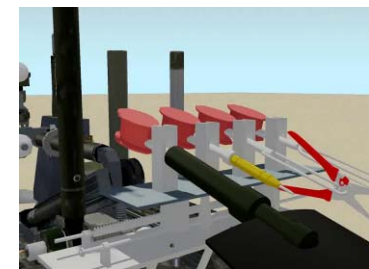
**Head Aimed Remote Viewer for EOD robot**

**Improving MTRS to Aid Soldier**

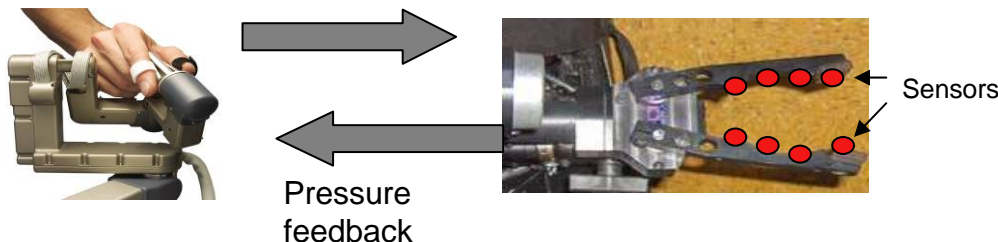
- Dexterous manipulation
- Advanced control capabilities
- Extended time on station



**EOD Disrupter Integration onto MTRS Robots**



**Autonomous Grasping for Talon Robot: Tool Retrieval**



**Haptic Feedback for Talon Robot Gripper**



**Construction Engineering Robotic Kit (CERK)**

## Convoy Active Safety Technologies

## Under-vehicle Inspections



**Remote Mine Detection System**



**Robotic Decontamination**





## Unmanned Systems Technology Transfer

Rapid, Precise, Supportable Technology Development and Refinement to Meet Soldier's Needs.

- Sense of urgency
- Communicate the vision
- Empower action
- Create short-term wins
- Persistence



- Autonomous Detection Vehicle (Husky)
  - Capability for Supervised Autonomous Husky
- Autonomous VOIED Defeat Robotic Capability
  - Capability for Agnostic Autonomous Wheeled Vehicles
- Convoy logistics
  - Capability for Robotic Convoy Vehicles
- Persistent Stare
  - Capability for Autonomous ISR
- Robotic Wingman
  - Capability for any Tactical Vehicle to Become a UGV



**LTG Lynch's Vision: ONS/Requirements, Implementation Plan, Maximize Modeling and Simulation**



**Near Autonomous Unmanned Systems  
ATO Capstone**



**Robotic Vehicle Control Architecture**



**Robotics Collaboration ATO Capstone**



**Convoy Active Safety Technologies (CAST)**





**Robotics CTA – Technology for Near Autonomous Systems**



**Robotic Platform for Engineer Missions**



**MAST CTA - Small “Creatures for Urban Terrain”**

## Robotic Vehicle Safe Operations



**Command & Control of Robotic Entities**

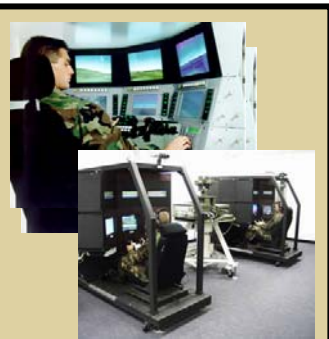


**Air-Ground Collaboration**



## PAST

- Workload reduction
- Embedded crewstation



## PRESENT

- Robotic control (mounted, dismounted)
- Driving aids (Soldier assist)
- Scalable, portable Interface

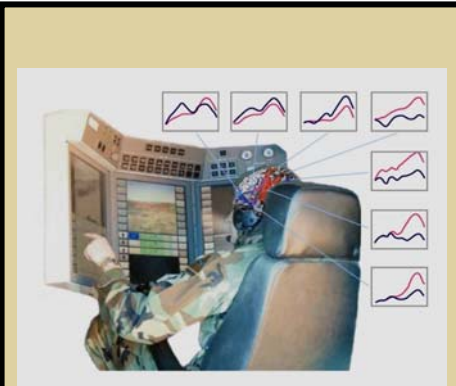


Dismounted Controller



## FUTURE

- Soldier monitoring and task assist
- Intelligent agents
- 360 degree situational awareness





- Safer operations of UGVs in proximity to pedestrians and vehicles
- Increase in vehicle autonomy to enable less supervisory burden
- Increased UGV situational awareness
- Robust Soldier/robot and robot/robot teaming behaviors
- Robust UGV performance in all environments/conditions
- Simulation of platform, payload and algorithms in relevant operational environment



**FY 2009**

Perception & Control Technologies,  
Tactical/Mission Behavior Technologies,  
TRL=5

**FY 2012**

Perception & Control Technologies,  
Tactical/Mission Behavior Technologies,  
TRL=4

Perception & Control Technologies,  
Tactical/Mission Behavior Technologies,  
TRL=6

unclassified

**TECHNOLOGY DRIVEN. WARFIGHTER FOCUSED.**



360/90 Day/Night  
Near-field Sensor Coverage



Soldier Monitoring  
& State Classification

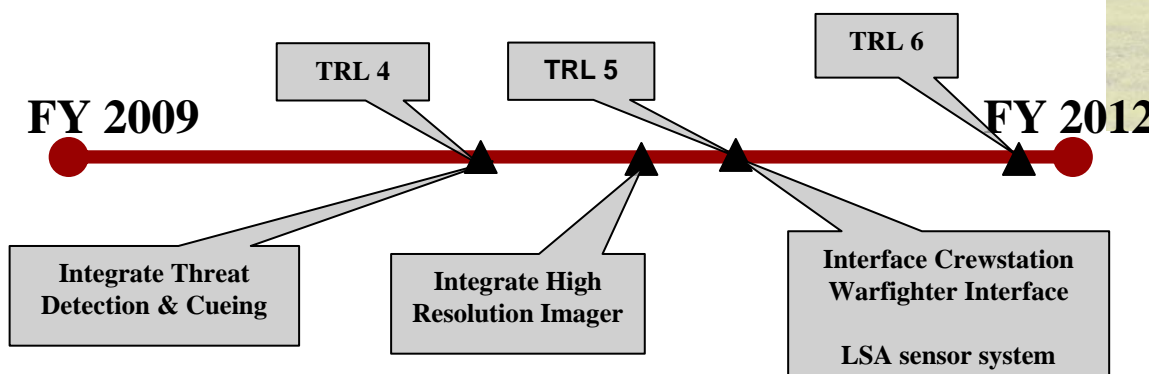
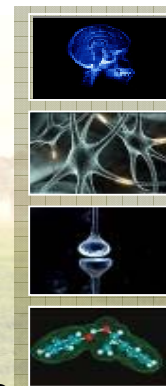


Advanced  
Crew Stations



**Enhance, Integrate and Demonstrate  
360/90 LSA/Assisted Mobility/Human  
Dimension to Maximize Indirect Vision  
360/90 LSA and Mobility Capabilities  
(Secure Mobility)**

- Focus on closed-hatch operations, indirect vision
- 360/90 degree local area awareness
- Improved mobility via non-LADAR and LADAR based solutions
- Improved assessment and integration of operator performance in real-time
- Increase situational awareness for all crew members





# Robotics Way Ahead – Current to Future

- Affordable common robotic kit for manned/unmanned operations of current force vehicles
  - Incremental insertion of safety and automation capabilities
- Manned-unmanned and UAV-UGV collaboration for enhanced company operations
- Open systems architecture and joint interoperability
- Multi-mission capable family of robotic platforms
- Safe semi-autonomous operations in complex/dynamic environments
- Scalable autonomy based on terrain and mission understanding
- Robotic security for maneuver elements



Credibility • Capability • Cost

- Focused on the Soldier
- Applying Today's Technology for Immediate Results
- Developing Technology for the Future to provide U.S. Forces a Vital Edge
- Partnering with Others to Insure the Best Available Materiel for Our Troops









# Army Science & Technology



**NDIA**  
**10th Annual Science & Engineering  
Technology Conference**

*Empowering Soldiers  
through High Technology*

*21 April 2009*



*Dr. Thomas H. Killion*  
**Deputy Assistant Secretary of the Army  
for Research and Technology/  
Chief Scientist**



# Overview

---

- ***Army Science and Technology (S&T) strategy***
- ***Army S&T Priorities***
- ***Science for Disruptive Technology***





# Strategy—what is Army S&T working to achieve

*Foster innovation and accelerate/mature technology to enable Future Force capabilities while exploiting opportunities to rapidly transition technology to the Current Force*

## Current Force



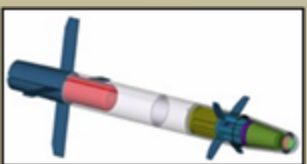
Modular Protective Systems



Add on Armor for Tactical Vehicles



Micro Air Vehicle



120mm Mid-Range Munition

Enabling the Future Force

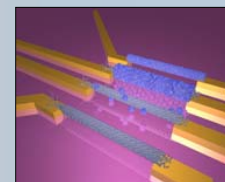


Enhancing the Current Force

## Future Force



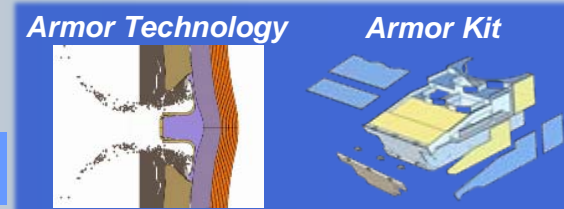
Immersive Training



Virus-based Self-Assembling Electrodes—  
Advanced Batteries



Wearable Flexible Displays

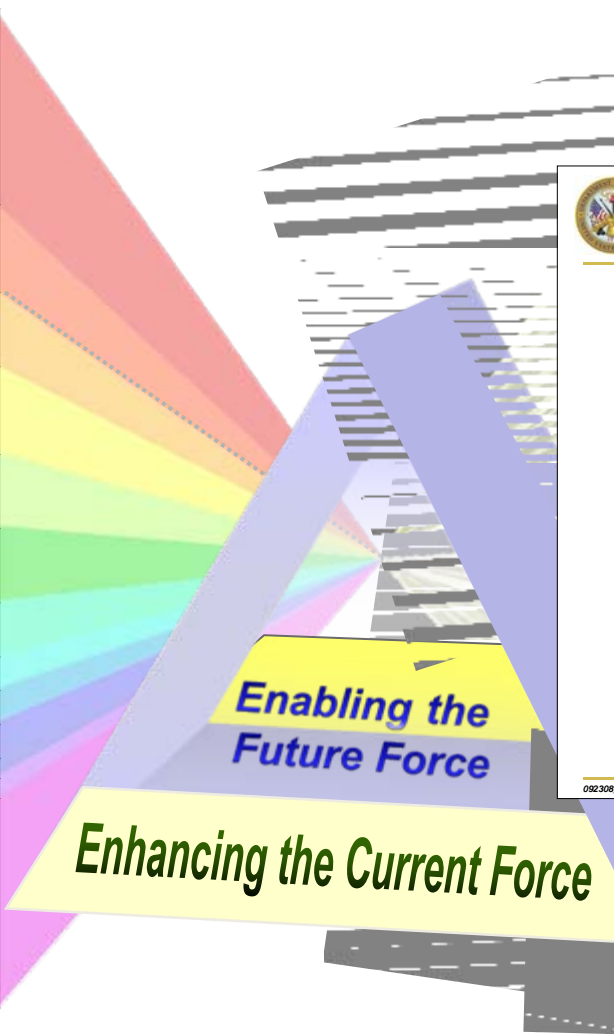
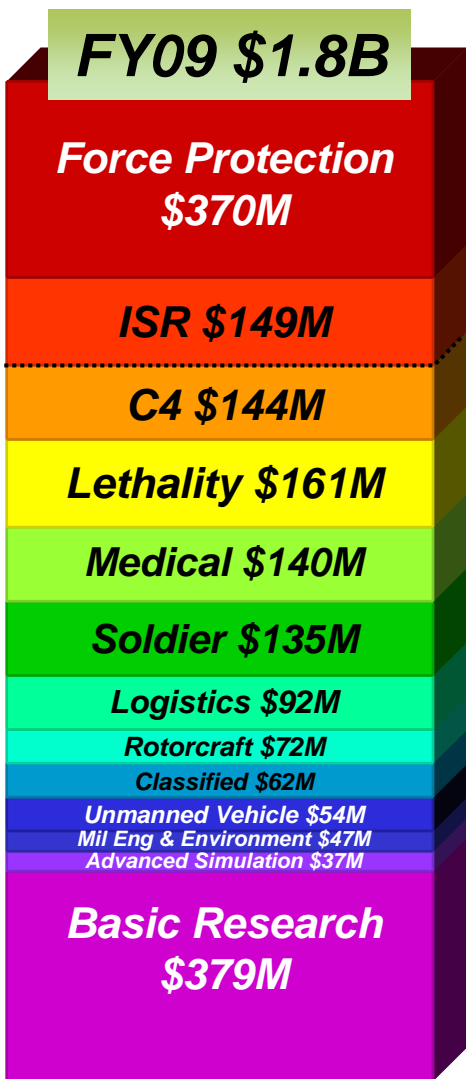


Armor Technology

Armor Kit



# Technology Area Investments



**List of 37 Tier One Warfighter S&T Outcomes (1 of 2)**

- Battle Command Network\*
- Counter IED and Mine\*
- Power & Energy\*
- Human Dimension\*
- Training\*

**“Big 5” Integrated Warfighter S&T Outcomes**

**10 Comprehensive Warfighter Outcomes— includes “Big 5”**

- Battle Command Network
- Counter IED and Mine
- Power and Energy
- Human Dimension
- Training
- Force Protection
- Battlespace Awareness
- Force Application
- Logistics
- Unmanned Systems Operations

**Includes all Army Tier I Outcomes—aligned with S&T portfolio**

**092308\_Killion\_ASTWG\_Final**

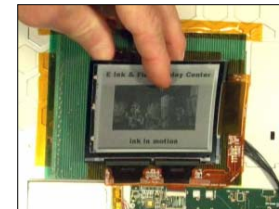
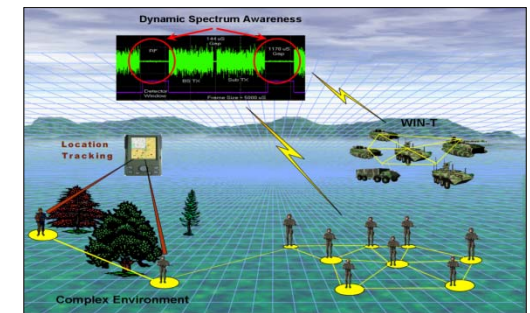
- Force Health Protection Initiative
- Increase control of unmanned systems
- Future Force Multi modal Human Computer Interface
- Increase Future Force Soldier Cognitive Functions While Under Stress
- Language and cultural awareness
- Dismounted soldier virtual training environment
- Adaptive training system





# S&T Strategy for Battle Command Network

- **Robust communications systems**
  - *Dynamic spectrum management*
  - *Antennas (e.g., Directional, SATCOM, Multifunctional)*
- **Information assurance**
  - *Network and Data security*
  - *Intrusion detection/mitigation*
- **Timely geospatial awareness**
- **Knowledge Management**
  - *Decision aids*
  - *Intelligent agents that extract data for critical combat functions*



**Enabling Command and Control On-the-Move**

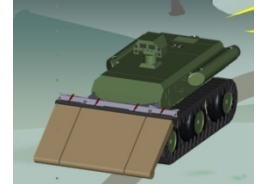


# Technology for Counter-IED

RKG-3 EFP Grenade Trainer

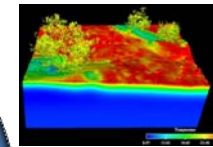


Dynamic Mine Clearing - SBIR Program



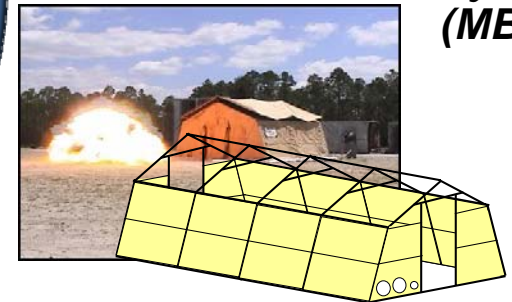
IED/Mine Detection for In Road Threats

Recognition of Combatants - IED



Near-Surface & Countermining Phenomenology

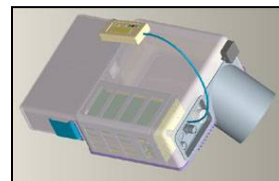
Modular Ballistic Protection System (MBPS)



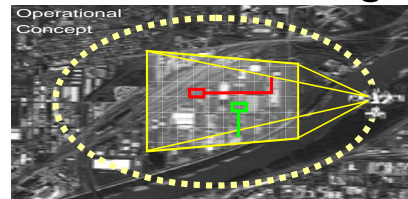
Ghost



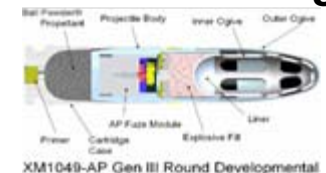
Wizard Dismounted CREW System



Constant Hawk Night



Standoff Mine/IED Defeat Technology



XM1049-AP Gen III Round Developmental

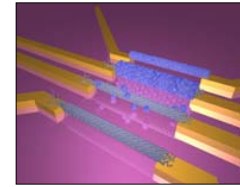


# S&T Strategy for Power and Energy

- **Reduce platform energy consumption**
  - **Lightweight materials**
  - **Lower power electronics**
  - **Unmanned vs. manned platforms**
- **More efficient power sources**
  - **Batteries with higher energy/power density**
  - **Fuel cells**
  - **Hybrid power sources**
- **Smart energy management**
- **Proactive thermal management**
  - **Higher temperature materials**
  - **Harvesting of thermal energy**
- **Provide energy options (e.g., alternative fuels, solar)**



Low Power  
Color Helmet-mounted Display



Virus-based Self-Assembling Electrodes



Direct Methanol  
Soldier Fuel Cell



Vehicle Power Distribution



Vehicle Thermal  
Management

**Reduce Fossil Fuel and Battery Demand**





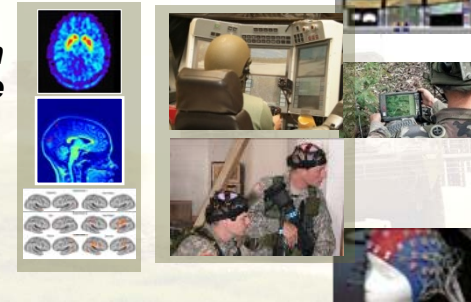
# S&T Strategy for Human Dimension

- **Comprehensive Soldier fitness**
  - **Physiological (e.g., trauma treatments, prediction tools for physical damage assessment, vaccines and diagnostic systems for infectious diseases)**
  - **Psychological (e.g., psychological well-being—Post Traumatic Stress Disorder and mild Traumatic Brain Injury)**
- **Optimize Soldier cognitive functions—under battlefield stress and multitasking environments (e.g., Soldier system interface design – neuro-ergonomics)**
- **Enhance recruitment and retention—develop tools/methods**
- **Develop network-centric automated prediction, reasoning and decision-support tools**



Neuroergonomics designs

MRI Brain Image



Spatial Cognition Experiment

**Enhance Soldier Effectiveness**



# S&T Strategy for Training

- **Accelerate Soldier learning**
  - **Develop innovative tools and methods (e.g., intelligent tutoring/coaching, platform-scalable, culturally realistic, automated performance assessment)**
  - **Tailorable training packages that are easily modified to address current events and mission needs**
- **Embedded training (mounted and dismounted)**
- **Improve leader skills**
  - **Investigate techniques that accelerate the development of skills to enhance decision making, build teams, lead complex organizations**
  - **Identify leader skills, abilities, and effective behaviors in complex environments (e.g., culturally diverse scenarios)**



**Efficient Soldier Need-based Training**





# S&T Strategy for Force Protection

- **Soldier protection**

- **Body armor**

- *Flexible armor for extremities*
- *Advanced helmet designs*
- *Ballistic/blast thoracic protection*

- **Ballistic and laser vision protection**

- **Platform protection**

- **Armor (e.g., composite, reactive, electromagnetic)**

- **Active protection (e.g., counter-munition, EW)**

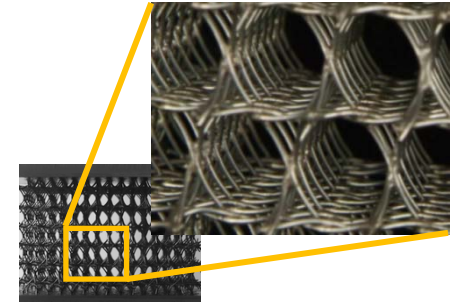
- **Platform design for crew/vehicle survivability (e.g., V-shaped hulls, blast resistant seats)**

- **Facility/area protection**

- **Hardened structures**

- **Entry control point protection**

- **Defense against rockets, artillery, and mortars (RAM), UAVs, and missiles (e.g., high energy laser)**



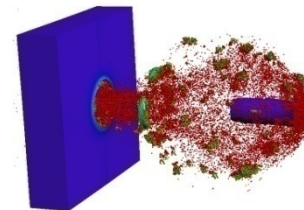
*Bio-Inspired Energy-Dispersive Materials*



**MRAP**



*HMMWV Improvement Program*

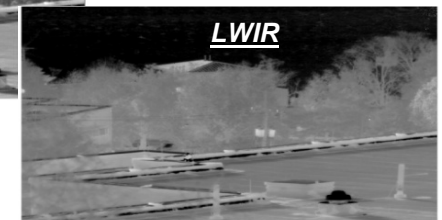


*Macro/Meso-Scale, Mesh-Free Simulations of Protective Materials*



# S&T Strategy for Battlespace Awareness

- **Persistent sensing**
  - Detecting (e.g., Electro-optic/Infrared, acoustic)
  - Tracking (e.g., radars)
  - Identifying (e.g., 3<sup>rd</sup> GEN FLIR)
- **Network exploitation and data fusion**
- **Locate persons/items of interest**
  - Tactical biometrics (e.g., facial recognition)
  - Tag, track, and locate (TTL)
  - Combat Identification
- **Information operations**
  - Security/warfare
- **Signal detection**
  - Mapping and geo-location

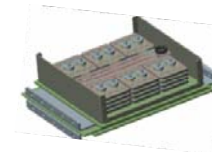


**Reliable, Real-time Knowledge of the Battlespace**



# S&T Strategy for Force Application

- **Multifunction precision lethality**
  - Precision munitions
  - Warheads/energetics/insensitive munitions
  - Scalable lethality
- **Pursue novel mechanisms**
  - Non-lethal weapons (e.g., high-power microwaves, directed energy)
- **Soldier weapons**
  - Lightweight weapon components/ammunition
  - Wall breaching munitions
  - Advanced Soldier targeting/sighting systems

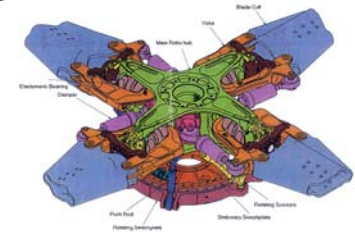
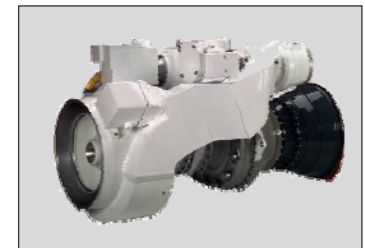
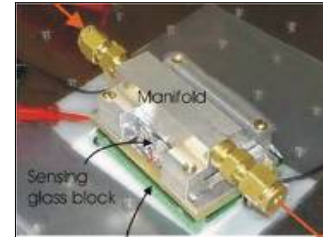


**Scalable Precision Lethality—Minimize Collateral Damage**



# S&T Strategy for Logistics

- **Reduce fuel and water battlefield delivery**
  - **Alternative energy sources**
    - Diesel fuel reforming
    - Waste-to-energy conversion
    - Hybrid power sources
  - **Water generation and purification**
- **Develop efficient turbine, hybrid engines and propulsion systems**
- **Comprehensive condition-based maintenance**
  - **Embedded prognostics/diagnostics**
- **Pursue lightweight materials technologies (e.g., composites, lightweight track)**
- **Improve precision delivery of Soldiers/equipment (e.g., air drop)**



**Reduced Fully Burdened Cost of Logistics**





# S&T Strategy for Unmanned Systems Operations

- **Remove the Soldier from dangerous and “dirty” work through development of autonomous ground and air platforms**
  - **Autonomous perception with high resolution, long range, 360 degree ability to detect and respond appropriately**
  - **Autonomous/near-autonomous maneuvers and tactics in relevant environments and complex terrain (manned-unmanned collaboration)**
- **Micro-autonomous Systems**



MAV



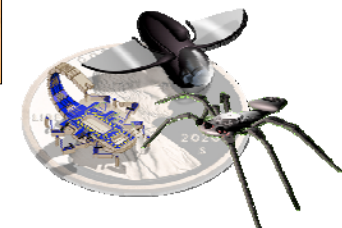
UGV



Packbot



Nanoflyer



Micro Autonomous Systems  
Technology CTA

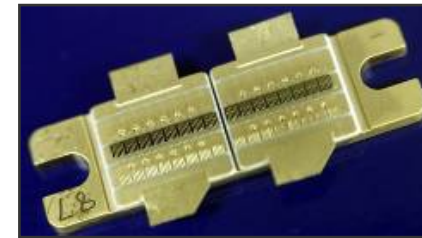
**New Capabilities With Reduced Manpower**





# S&T Enabling Affordable Manufacturing

- **Addressing concepts for manufacturing processes in fundamental research on materials—nanotechnology, biotechnology**
- **Integrating technology development with manufacturing processes—flexible displays, MEMS IMU**
- **Designing technology to facilitate affordable production—smaller, lighter, cheaper munition components**
- **Aligning manufacturing technology investments with key technologies for future systems—armor, SiC switch technologies, IR focal plane arrays, batteries and RF electronics for FCS**
- **Addressing manufacturing issues in support of programs of record—PAX-41 (SPIDER Mine), UH-60 composite tailcone**



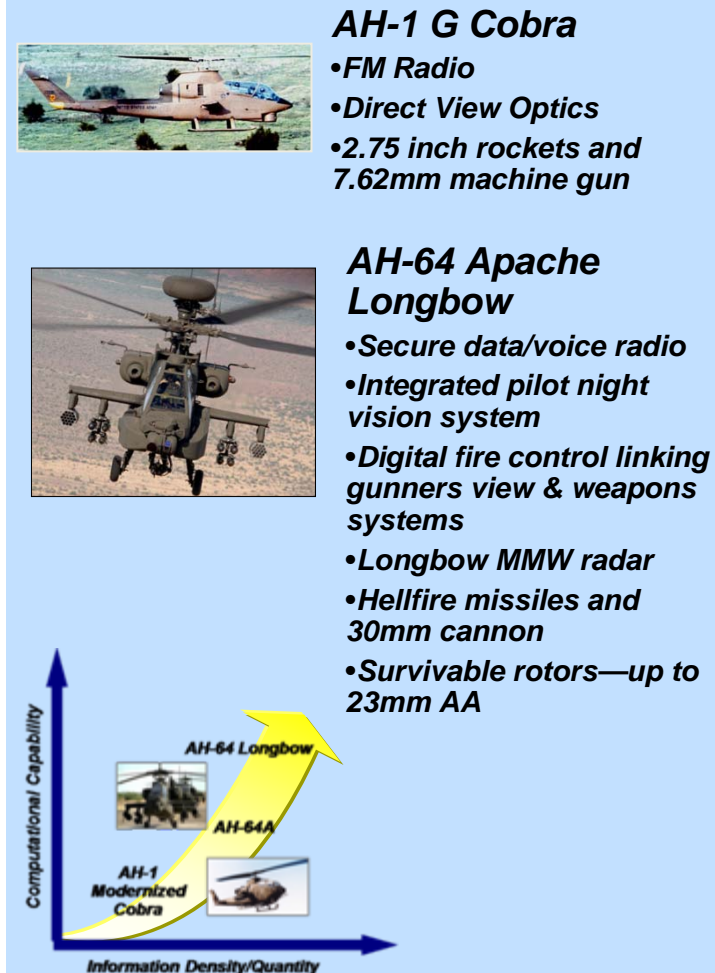


# Complexity Demands Disruptive Technology

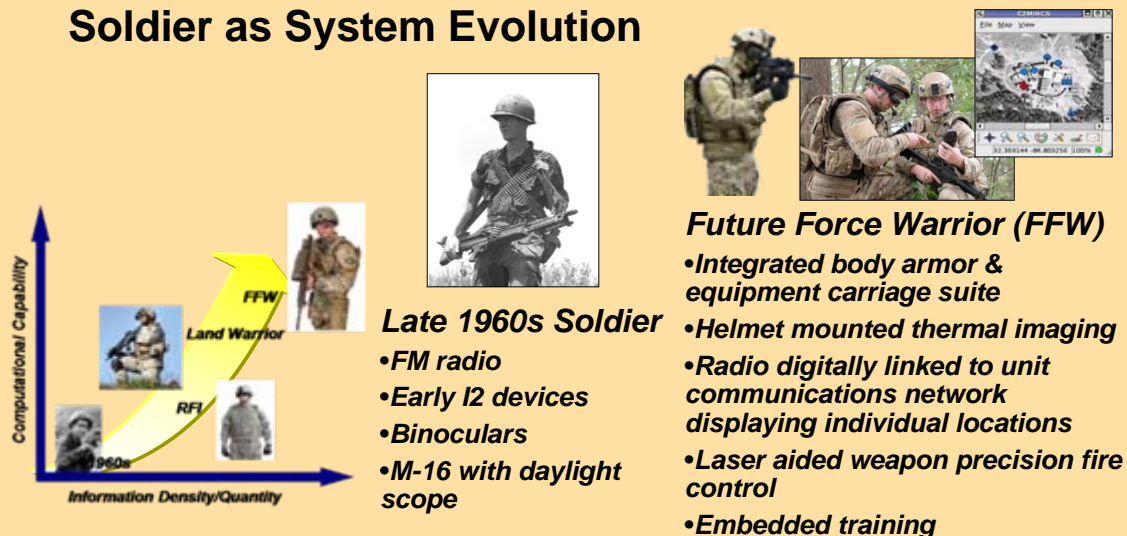
## Ground Combat Vehicle Evolution



## Helicopter Evolution



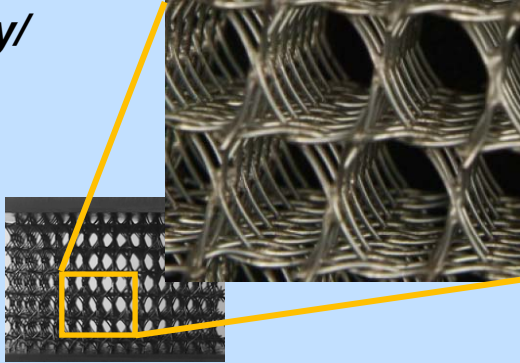
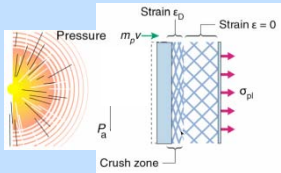
## Soldier as System Evolution



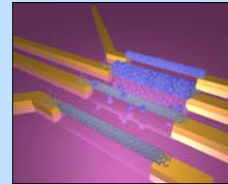


# Science for Disruptive Technology

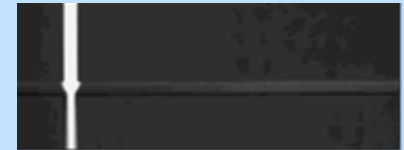
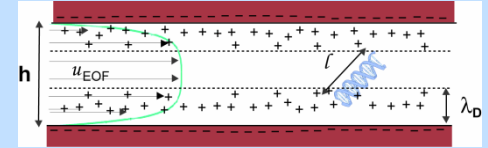
## Nanotechnology/ Biotechnology



Bio-Inspired Energy-Dispersive Materials

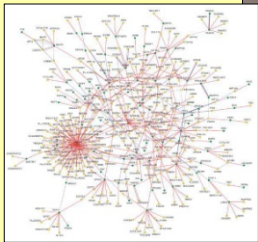


Virus-based Self-Assembling Electrodes



Nanofluidics

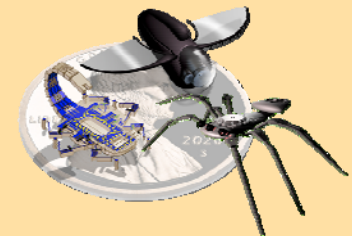
## Network Science



## Autonomous Systems



Nanoflyer



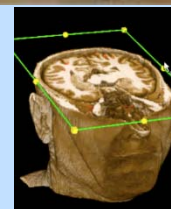
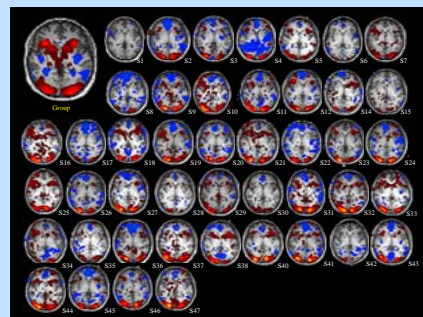
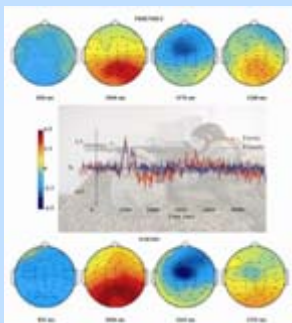
Micro Autonomous Systems  
Technology CTA





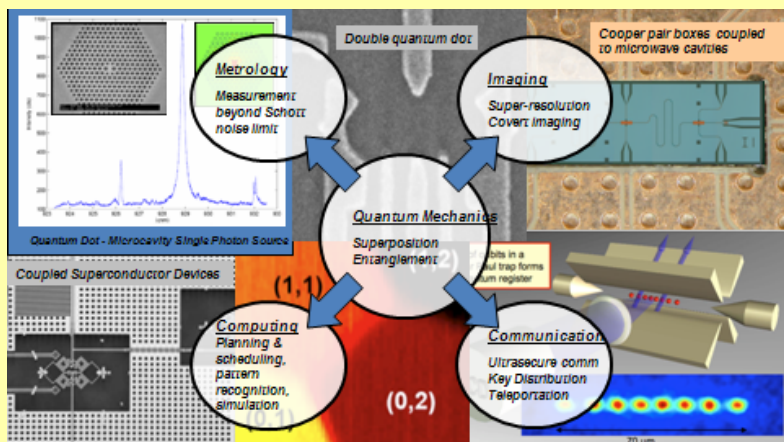
# Science for Disruptive Technology

## Neuroscience



fMRI

## Quantum Information Science



## Immersive Technology





# Basic Research Thrusts

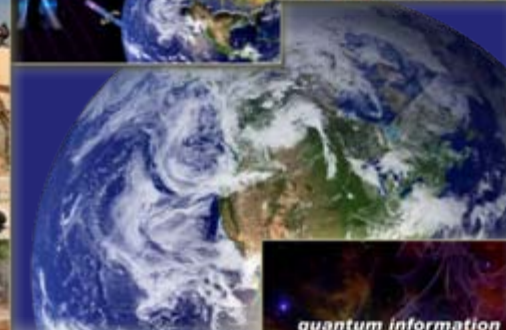
*Research in human engineered and biologically evolved networks to enhance network-centric operations*

*Discover, develop and exploit robotic devices and systems to dramatically enhance Soldier survivability*

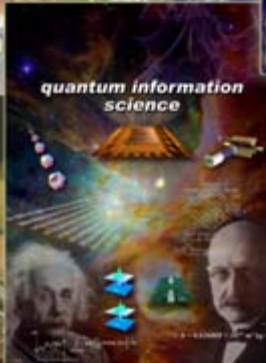
*Revolutionize military training and mission rehearsal through the development of technology and art for simulation experiences and the development of virtual human technology*



*Research in learning, decision models and the functional brain to improve training techniques, human-machine interface design, and to more fully understand the decision-making process*



*Research to understand biological construction of novel materials, structures and processes to develop biologically-derived materials, sensing systems, information processing and power and energy*



*Generate advances in quantum sciences that will enable revolutionary approaches to information processing, cryptography, information assurance, and communication*





# Partnering—leveraging other Services, Agencies, Academia, Industry & International

## Other Services

- Air Force
- Navy/USMC



PTSD treatment

Versatile, Affordable,  
Advanced Turbine Engine



## Agencies

- DARPA
- DTRA
- DoE labs
- DHS
- NIH
- NASA

Micro Air Vehicle



NLOS-LS

## Academia

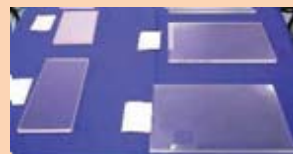
- Georgia Tech
- MIT
- Penn State
- USC
- UMd
- UC System
- Delaware
- Michigan
- Arizona State

⋮

## Industry

- Primarily technology development to create options for PMs
- Small Business Innovation Research—solutions from non-traditional sources
- Army Venture Capital Initiative—dismounted Soldier power

Transparent  
Armor—Technology  
Assessment &  
Transfer, Inc.



## International

- The Technical Cooperation Program (US, UK, CA, AUS, NZ)
- NATO Research & Technology Organization
- Bilateral Agreements (UK, CA, IS, FR, GE...)



Co-investment with  
UK to advance  
state-of-the-art in  
network science

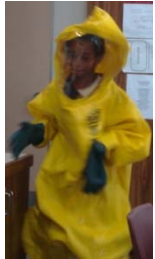




# Fostering Science and Engineering Careers



Students participating in bridge building exercise at George Washington University



Student in bio-suit at Walter Reed Army Institute for Research



**Tomorrow's Technology is in the Minds of Today's Youth**



# Summary

---

- ***Investments are aligned to Army needs—emphasis on the future with an “eye” on the present***
- ***S&T funding competes with other Army and DOD priorities***
- ***The Army S&T enterprise includes—Army laboratories, other Services and Agencies academia, industry and international partnerships***
- ***America’s economic survival requires more students to seek science and engineering careers***

***S&T strategic investments provide options for an uncertain future—inventing the possible***





# Army S&T...

# Engine of Transformation



**U.S. ARMY ARMAMENT RESEARCH,  
DEVELOPMENT AND  
ENGINEERING CENTER  
2007 MALCOLM BALDRIGE  
NATIONAL QUALITY AWARD**

UNCLASSIFIED

Office of the Secretary of Defense  
Acquisition, Technology & Logistics  
Defense Research & Engineering  
Plans & Program Office

---



***Conceptual Framework***  
***for***  
***Military Capability Development***

***Mike Knollmann***  
*ADUSD (Joint & Coalition Ops Support)*

***Kurt Kratz***  
*Associate Director*  
*S&T Support for Joint Commanders*

*Pentagon Rm 3E819*  
*(703) 693-0462*  
*michael.knollmann@osd.mil*  
*kurt.kratz@osd.mil*

UNCLASSIFIED



# ***Defense Capabilities Enterprise: Diversified Force Generation Roles, Diversified Force Employment Needs***

**Organize, Train & Equip**

**Operationally Employ**



***...and increasingly, complex operational partners: NGB, inter-agency, coalition, NGO***

# Combatant Commander Science & Technology Staff: Diversified Roles, Diversified Needs



## Variety of roles/titles

- Science & Technology (S&T) Advisor
- Research, Development, Test & Evaluation (RDT&E) Programs Liaison
- Concept Development Lead
- Capability Development Lead

## Variety of organizational structures

- Reporting to Deputy Commander, Chief of Staff, J8/Resources, J9/Experimentation
- Single/multiple science advisors on HQ staff

## Variety of resource support

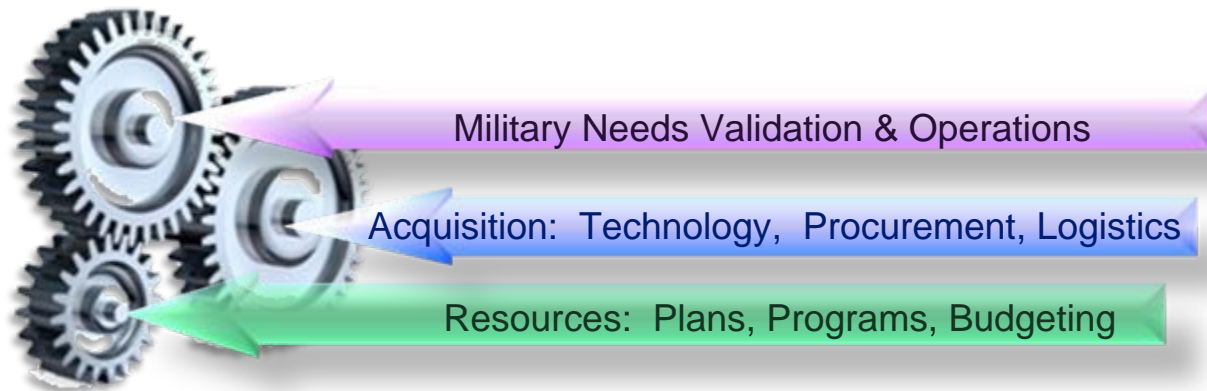
- Executive agency Service / parent service support
- Experimentation support
- Working capital fund support
- Rapid reaction acquisition programs support
- Operational needs processes



# Aligning the Domains: Defense Engine for Capabilities



All three domains must be aligned & engaged to deliver capabilities



The challenge for Combatant Commanders:

- They exist in the authority domain of **Military Needs & Operations**
  - Joint force needs are predominantly expressed through CJCS
  - Only US Special Operations Command has force development **acquisition authorities**
- They rely on Services for preponderance of force capabilities
  - “Force Integration” / “Force Provider” services performed by USJFCOM
  - USJFCOM translates joint force needs into force fill requirements for Services
- They rely on Services for HQ resources through legacy Executive Agency provisions
- They have limited manpower to participate in **needs**, **acquisition** & funding processes



# Needs to Solutions: Processes & Roles



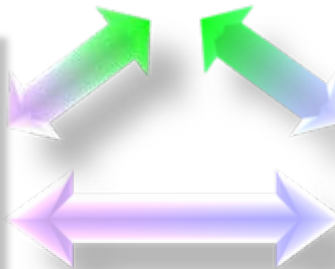
## PPBES: Program – Budget Process

### Needs Process

1. Develop & specify needs
2. Review & comment on budget-based programming and acquisition solutions
3. Allocate resources to joint/combatant commanders

### Needs Roles

CJCS/JCS  
CoComs – Including USJFCOM  
Joint Staff  
Military Staffs  
CoCom/Component Cdr Staffs



### Acquisition Solutions Process

1. Consult with needs authorities in development of acquisition solutions
2. Acquire material solutions based on validated needs and budget-based programming
3. Deliver resources (acquisition products) for allocation to joint/combatant commanders

### Solution Roles

USD (AT&L)/DAE  
Service Secretaries/SAEs  
OSD (AT&L) Staff  
Service Secretariat Staffs  
Systems/Materiel Commands  
Military Agencies  
USSOCOM [special authorities]

# Joint Capability Development Strategy – Framing Remedies



## Identifying Military Requirements

Analyze capability gaps

- Lessons Learned / Warfighting Analyses

Characterize improvements: What is really required?

- Experiment...what DOTMLPF elements are needed?

Define in actionable terms

- Specify DOTMLPF elements or options

Understand DoD roles

Respect & leverage authorities

Needs⇒Requirements⇒Solutions



## Framing the Solution Set

Headquarters enhancement

- Engage Executive Agency (military Service)?

Specific Component Force Development

- Specify Service partnership / component roles

Joint Force Capability Gap

- Pursue Functional CoCom partnerships

Use diversity of tools

Employ gapfillers

Remain flexible



## Developing Specific Strategies

Address key gap-closing capability segments

Forge partnerships with strategy development

- Key element of resourcing & transition

Stipulate timeline and phasing of deliverables

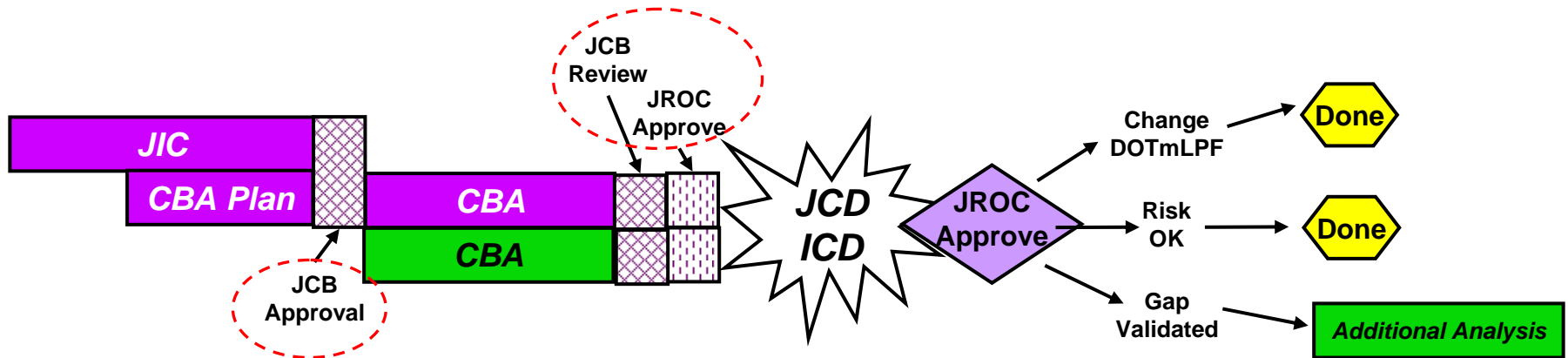
- Cite operational imperative for timelines



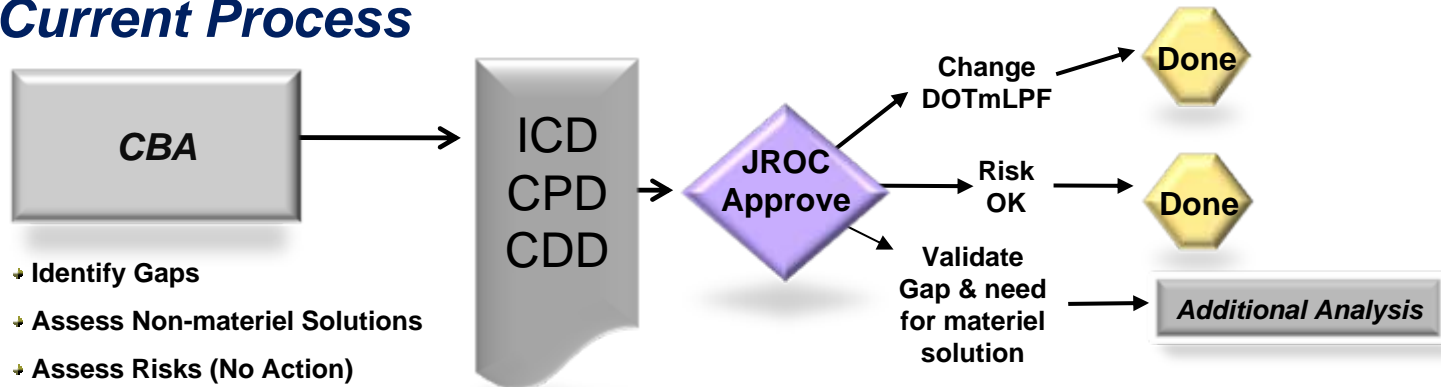
# Restructure Capabilities Based Analyses (CBAs)



## Former Process



## Current Process



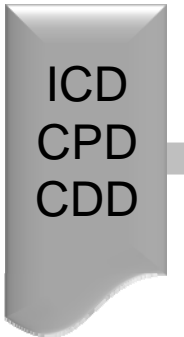
*Streamline analysis and focus JROC decisions*

# Military Needs/Operations Domain: JCIDS Joint Operational Needs Process Overview

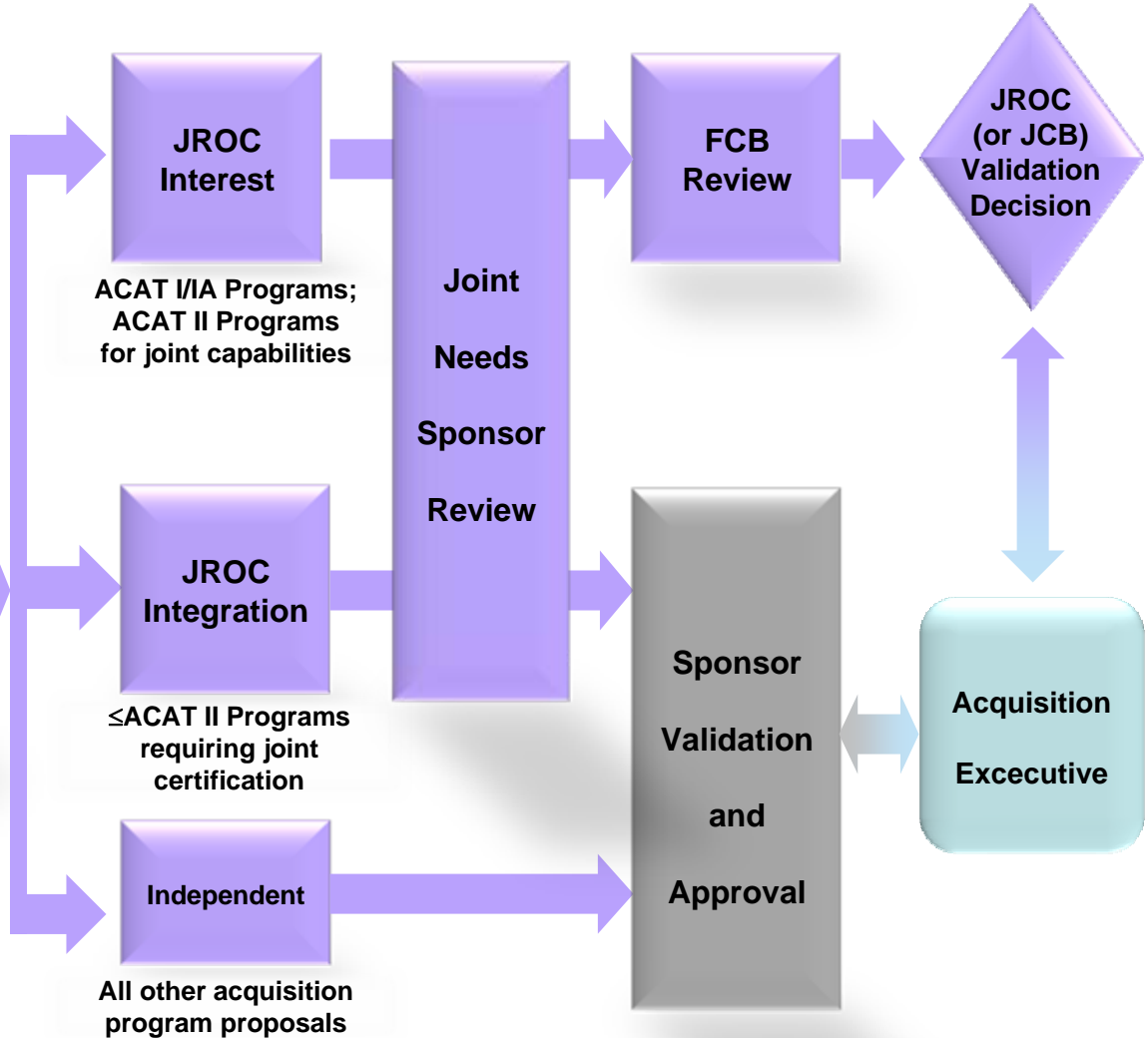


## JCIDS Flow For Materiel Solutions

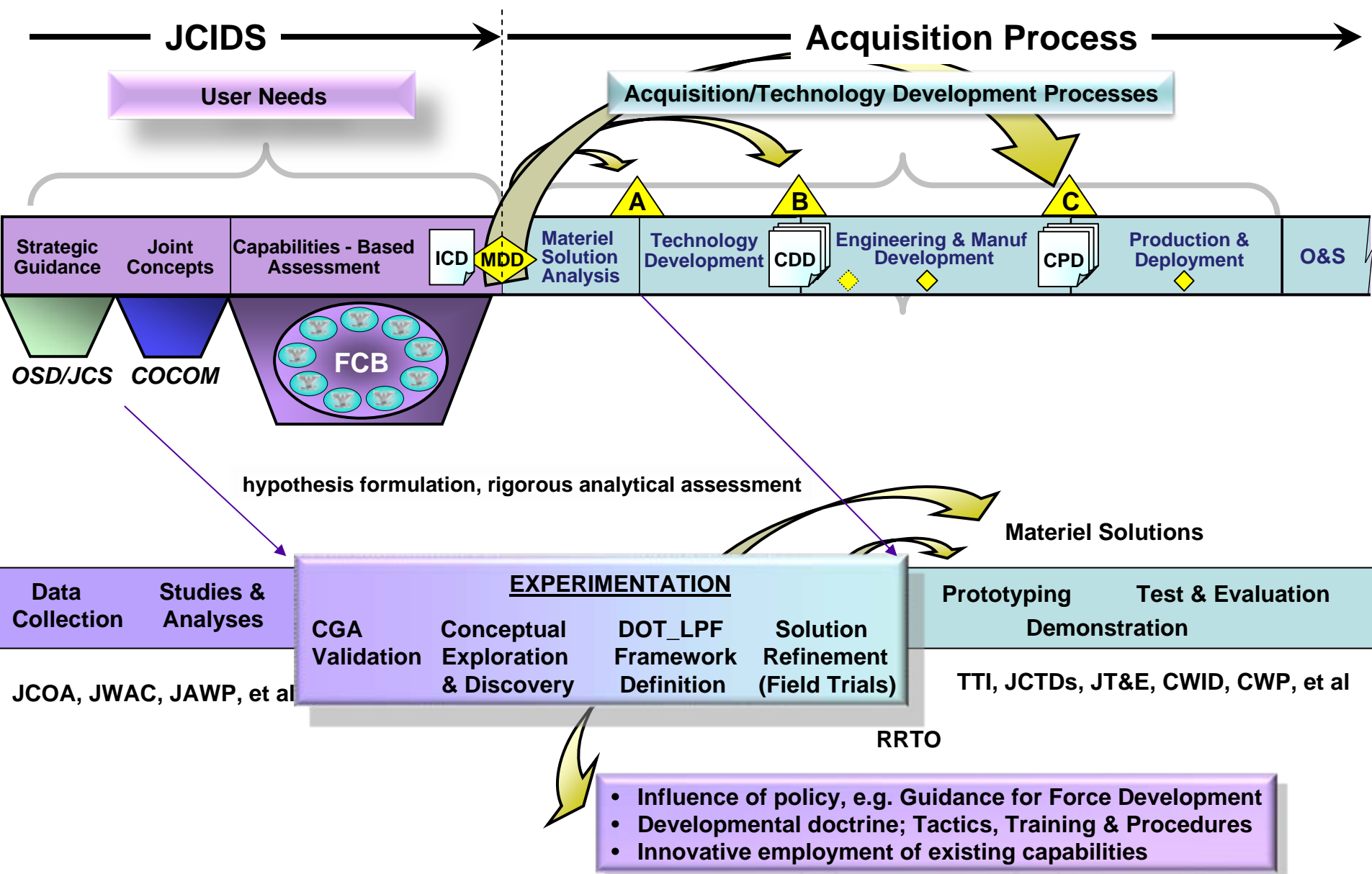
Sponsor



Needs  
Validation  
Gatekeeper  
(JS J-8)



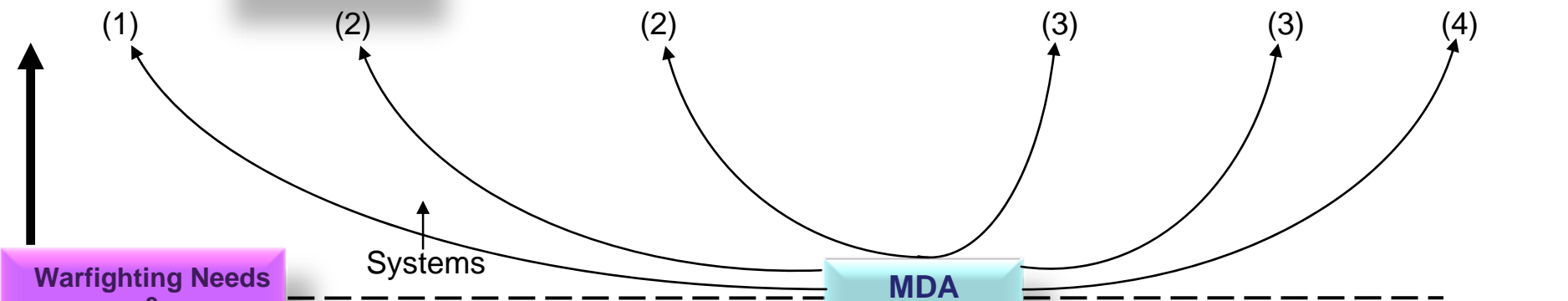
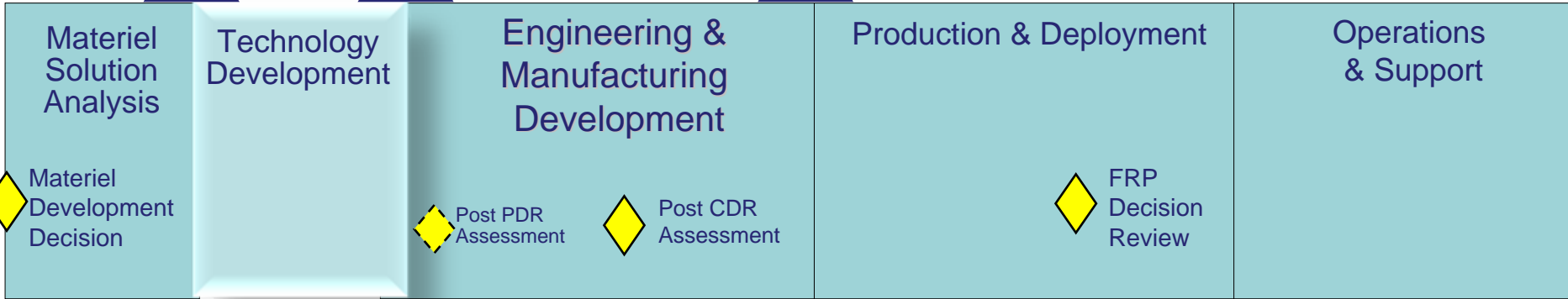
# Defining Defense Experimentation





IOC

FOC



**Warfighting Needs & R&D Objectives**

Systems

S&T

**MDA DECISION**

oversight panel

oversight panel

**Advanced Technology Development**

- Warfighting Experiments
- Lab/field demos/RRT
- ATD
- JCTD
- JT&E

**Tech Base**

- Basic Research
- Applied Research



Options

- (1) Concepts for new systems/upgrade systems out of production.
- (2) Insert into ongoing systems development, or complete development.
- (3) Upgrade system in production/fielded systems or produce mature capability
- (4) Use new technology for demilitarization/disposal

# Development Model for Acquisition Solutions



more

Maturity  
of  
Operational  
Concept  
and  
Technology

less

## ***Transition to Procurement & Sustainment***

- Joint Training

## ***Readiness & Suitability Confirmation***

- Test & Evaluation
- Military assessment of utility

## ***Functional Validation; Tailored Form/Fit/Function***

- DOTMLPF construct development and confirmation
- Demonstration

## ***Technical Concept Design & Development***

- Prototyping

## ***Alternatives Development & Assessment***

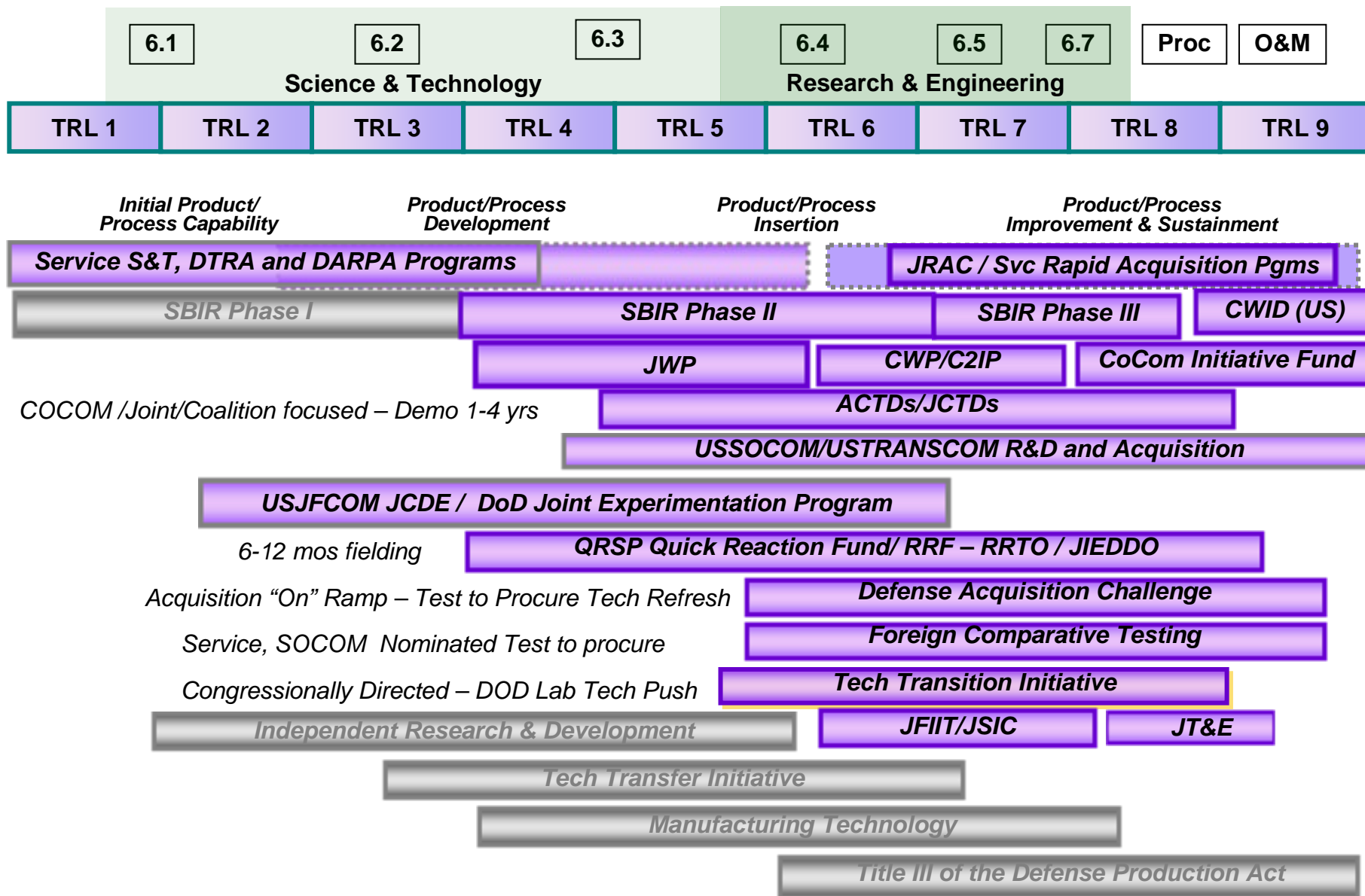
- Experimentation
- Red Teaming Analysis

## ***Conceptualization***

- Needs identification/lessons learned/assessment
- Tech push exploitation



# Range of Current Technology Transition Programs

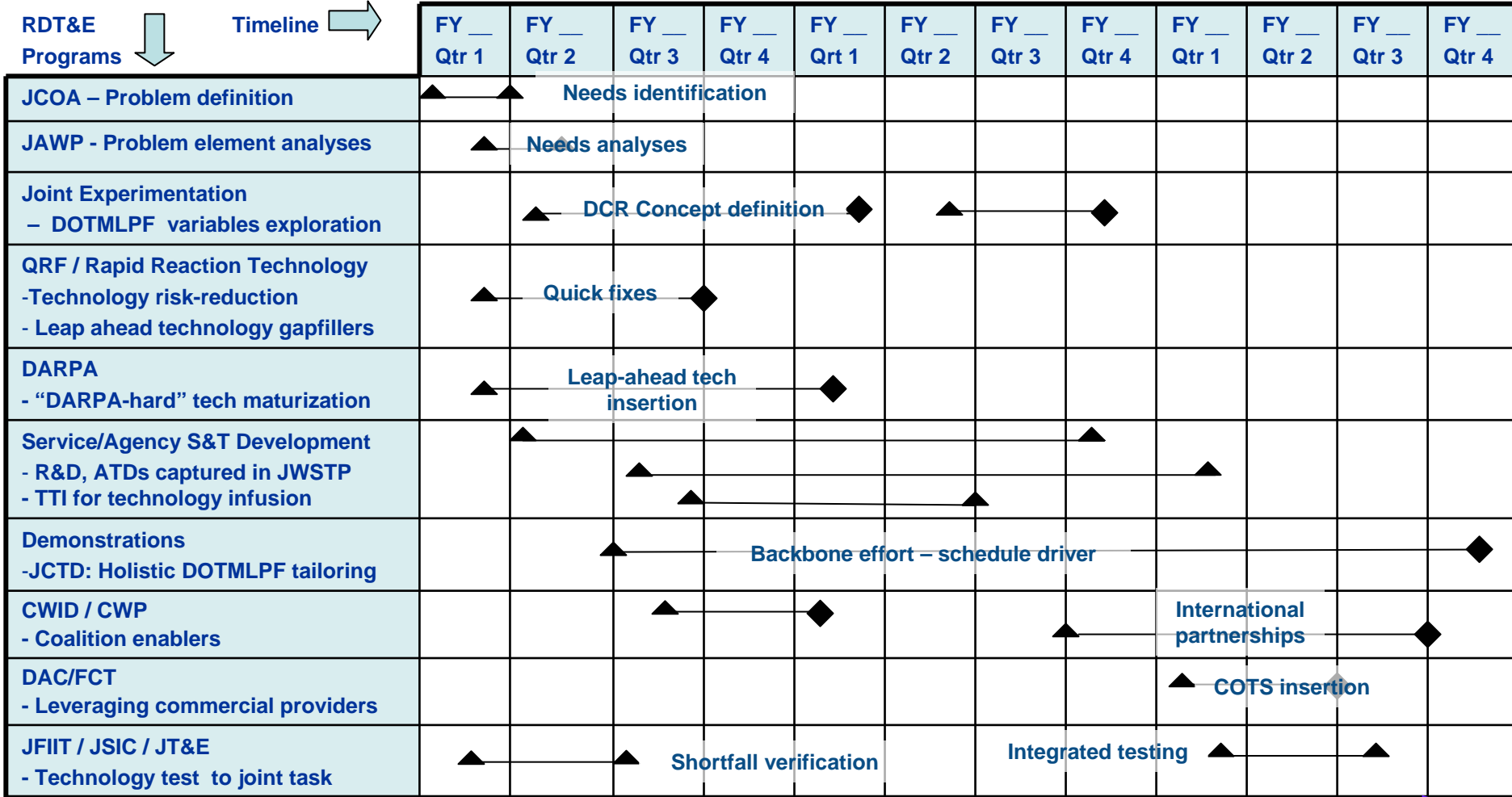


# Capability Development Strategy – Template Example



DEFINING EXPLORING PILOTING PROTOYPING INTEGRATING PRODUCING FIELDING

RDT&E Programs ↓ Timeline →



Point of Departure: Capability Gap Assessment

Output: Fielded Capability

A coherent strategy sets bar for solution providers & expectations for joint customers

UNCLASSIFIED

**Office of the Secretary of Defense  
Acquisition, Technology & Logistics  
Defense Research & Engineering  
Plans & Program Office**



***Conceptual Framework  
for  
Military Capability Development***

UNCLASSIFIED

# *CoCom S&T Advisor Support Initiatives*



## **Coordinated S&T Conferences**

- Deconflicted CoCom conference schedule
- Consolidated RDT&E Program coverage / attendance

## **CoCom S&T Advisor Input into DoD S&T Efforts**

- Marquee Component S&T efforts linked to desired operational capabilities
- Strategy and decision making bodies informed of CoCom S&T needs/potential

## **Combatant Commands Science and Technology Community (CSTC)**

### **Portal – CoCom Homepages**

- DTIC-designed S&T Advisor tool
- Posting site for needs, on-going projects, hot keys to reference sites

## **Tailored Joint Capability Development Strategies**

- To assist S&T advisors in formulating proposals from concept to transition
- Aims to involve Component Command S&T Advisors in gap remediation

# *COCOM S&T Advisor Input into DoD S&T Efforts*



## **Science & Technology Integrated Priority List**

- Collect S&T needs that support CoCom IPLs
- Provide expertise to FCBs
- Inform JSJ8 of possible capability solutions
- Inform DoD S&T organizations of priority CoCom needs
- Stimulate information exchange among the CoCom S&T Advisors

## **CSTAIG: CoCom S&T Advisor Integration Group**

- To stimulate information exchange between CoCom HQ S&T Advisors
- Potential adjunct to DSTAG
- Collect, disseminate S&T needs to FCBs, CPMs, and OSD S&T strategy producing bodies



# CoCom Staff Data/Information Enablers



## Multiple domain visibility

- Military needs, Defense resources, DOTMLPF development processes
- Joint Capability Area (JCA) portfolio assignments
- Capability Portfolio Management (CPM) activities
- Optimized for technical services

## Joint Warfighting Science & Technology Plan

- Inter-Service, inter-Agency R&D effort transparency
- Project-level detail

## Adaptive capability programs: consolidated view

- Searchable queries across diverse web sites
- Cross-cutting capability development effort checks
- Application cycles, project proposals, approval status
- Application/proposal clearing house services

## CoCom/Component Command S&T efforts

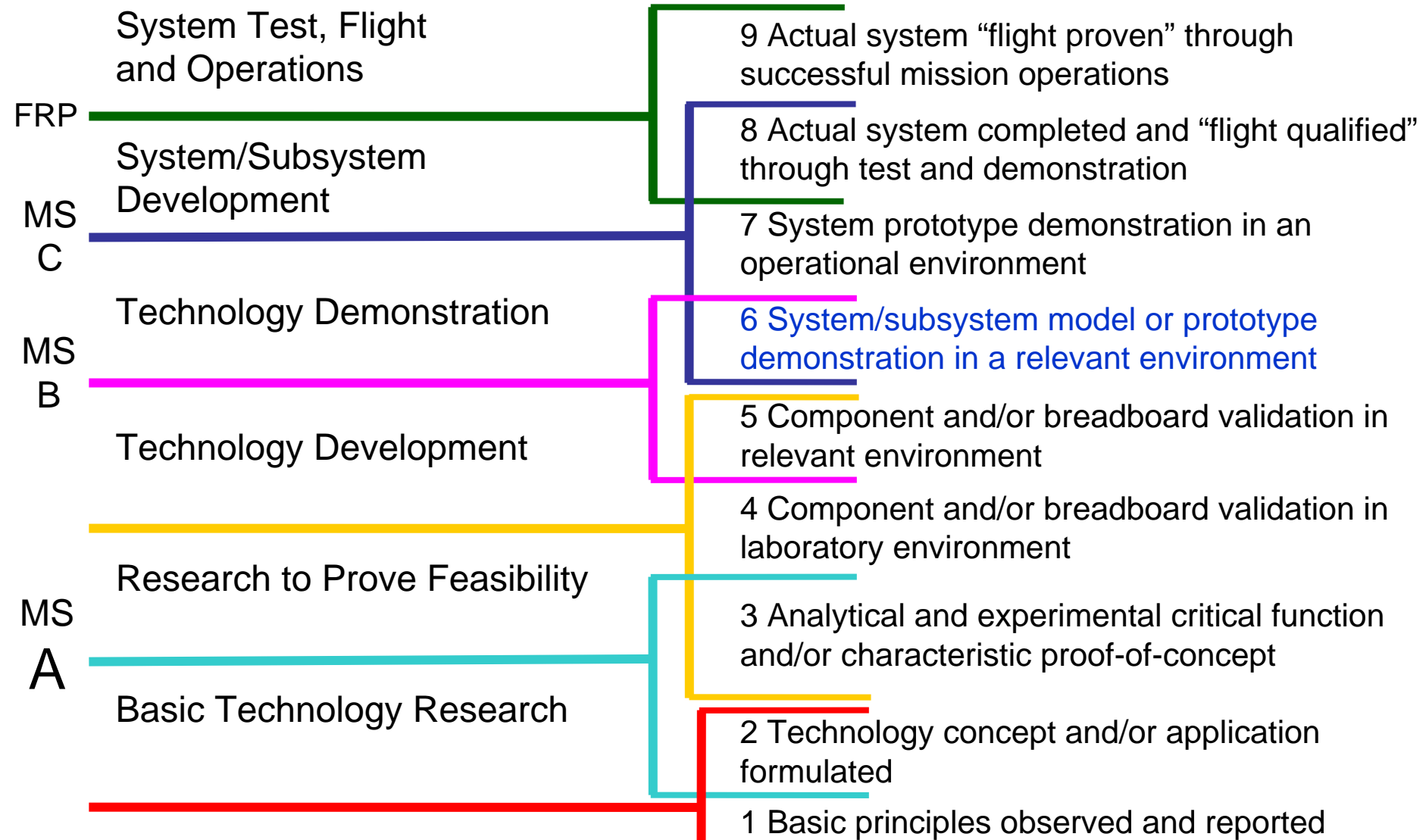
- CoCom / CompCom S&T community listing
- Joint capability gap identification efforts
- Capability gap solution development efforts

## Master S&T Events Schedule

- S&T Conferences, Technical SAGs, SWARFs
- Key developmental/adaptive acquisition program conferences
- Experimentation program events & conferences
- Experimentation/adaptive acquisition program application milestones

## Research services

- Quick-response technical research services





U.S. Army Research, Development and Engineering Command



***TECHNOLOGY DRIVEN. WARFIGHTER FOCUSED.***

10th Annual Science & Engineering  
Technology Conference  
April 2009

COL Craig G. Langhauser  
Director, Simulation & Training  
Technology Center  
RDECOM



*RDECOM develops, integrates decisive technology-enabled solutions to ensure the dominance of our force today and in the future.*

**ESSENTIAL TASKS:**

- Provide superior technology and solutions in response to Warfighter needs
- Provide acquisition and engineering support across the force
- Develop and execute comprehensive leader and skill development programs
- Develop and maintain a quality management system focused on the Command's Core Values
- Integrate safety and risk management into all operations and activities
- Develop and execute obsolete weapons demilitarization and provide surety for the nation

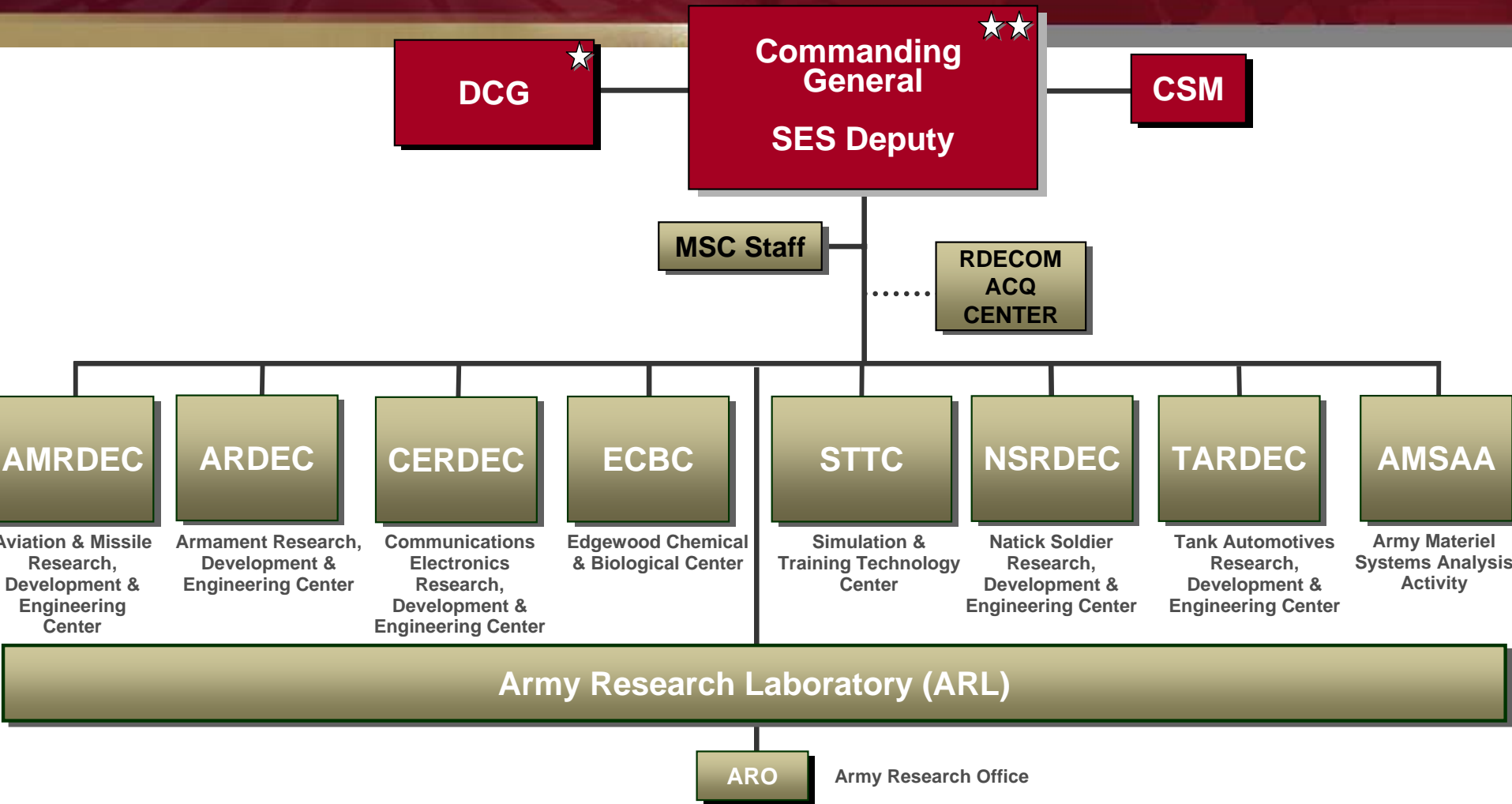


Over 300  
International  
Agreements

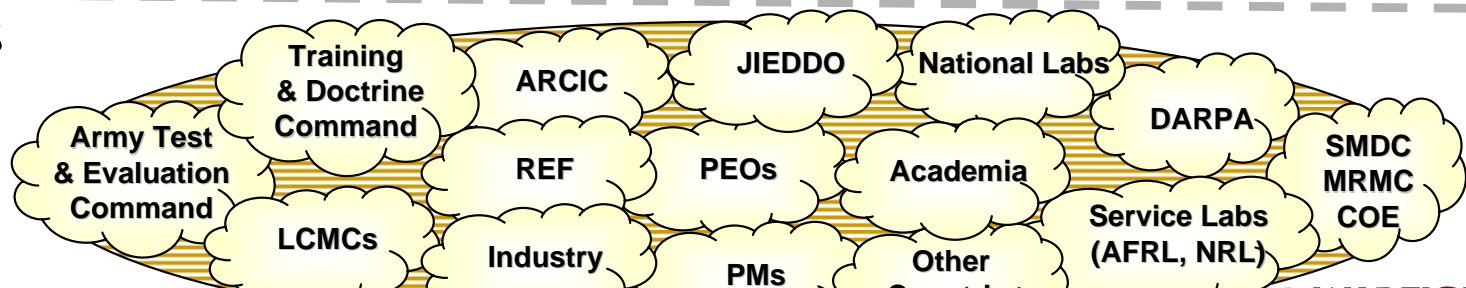
Annual Budget  
~ \$5.5B (FY09)

~ 8,500 Engineers  
& Scientists

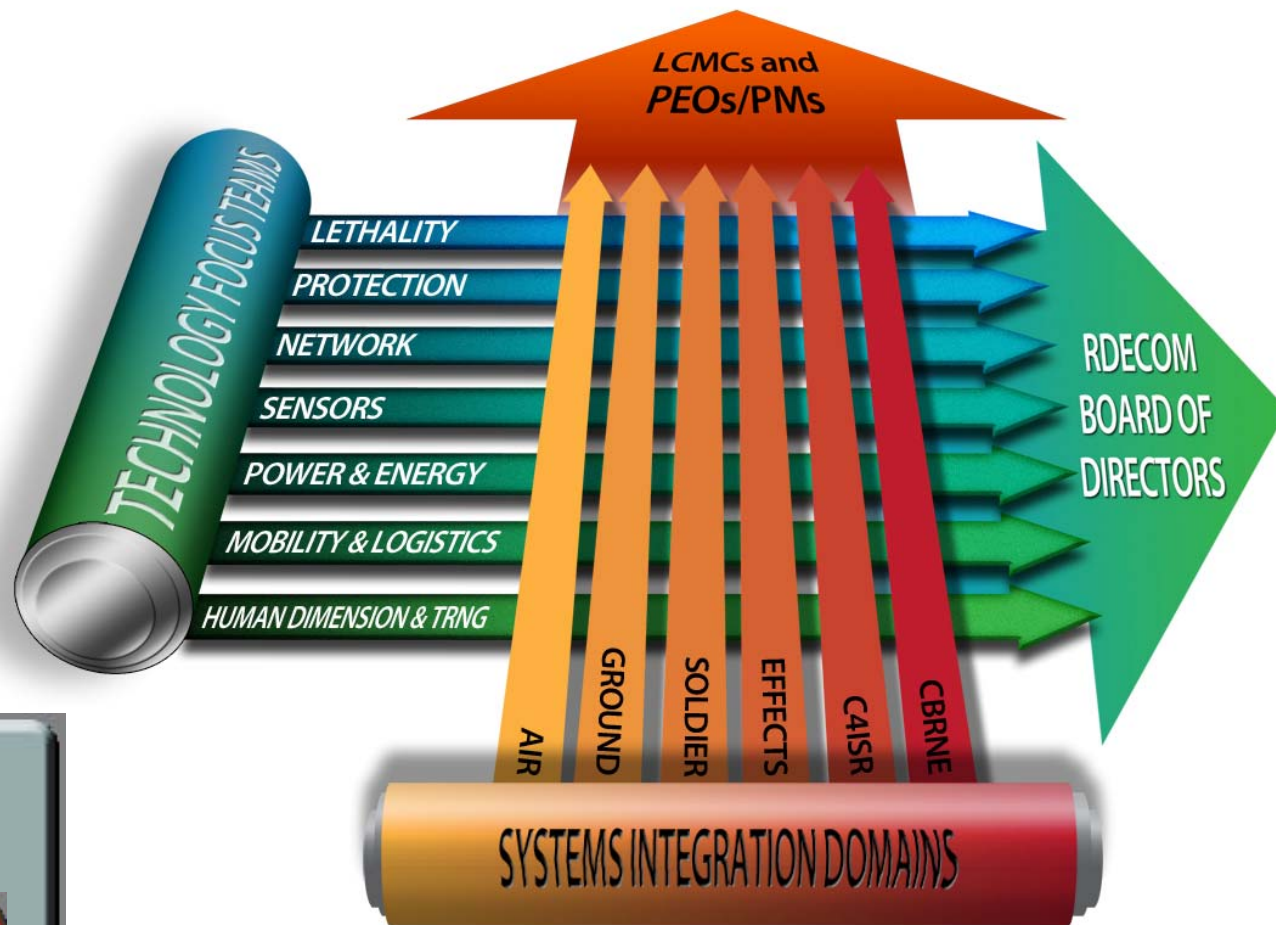
# Organization



## Partners







S&T Guidance & Requirements

## SYSTEM OF SYSTEMS OVERLAY

- Systems Engineering Across Domains
- Cost & Performance Effectiveness Analysis  
(Army Materiel Systems Analysis Agency and Army Research Lab's Survivability/Lethality Analysis Directorate)
- Capability gaps



*Develop, integrate, and sustain decisive technology-enabled capabilities to ensure the dominance of our Warfighters*

Technology Focus Teams and Systems Integration Domains perform a critical function for RDECOM

- Leverage RD&E capacity and knowledge across the command
- Ensure maximum utilization of S&T investments

Continued effective interactions with PEO/PMs is essential to achieve successful technology transitions.







Manage RDECOM ATO review process

Trace S&T programs to Warfighter Outcomes  
(Coordinate w/AMSAA)

Monitor S&T portfolio execution (*NEW Process*)

– Current Program Tracking:

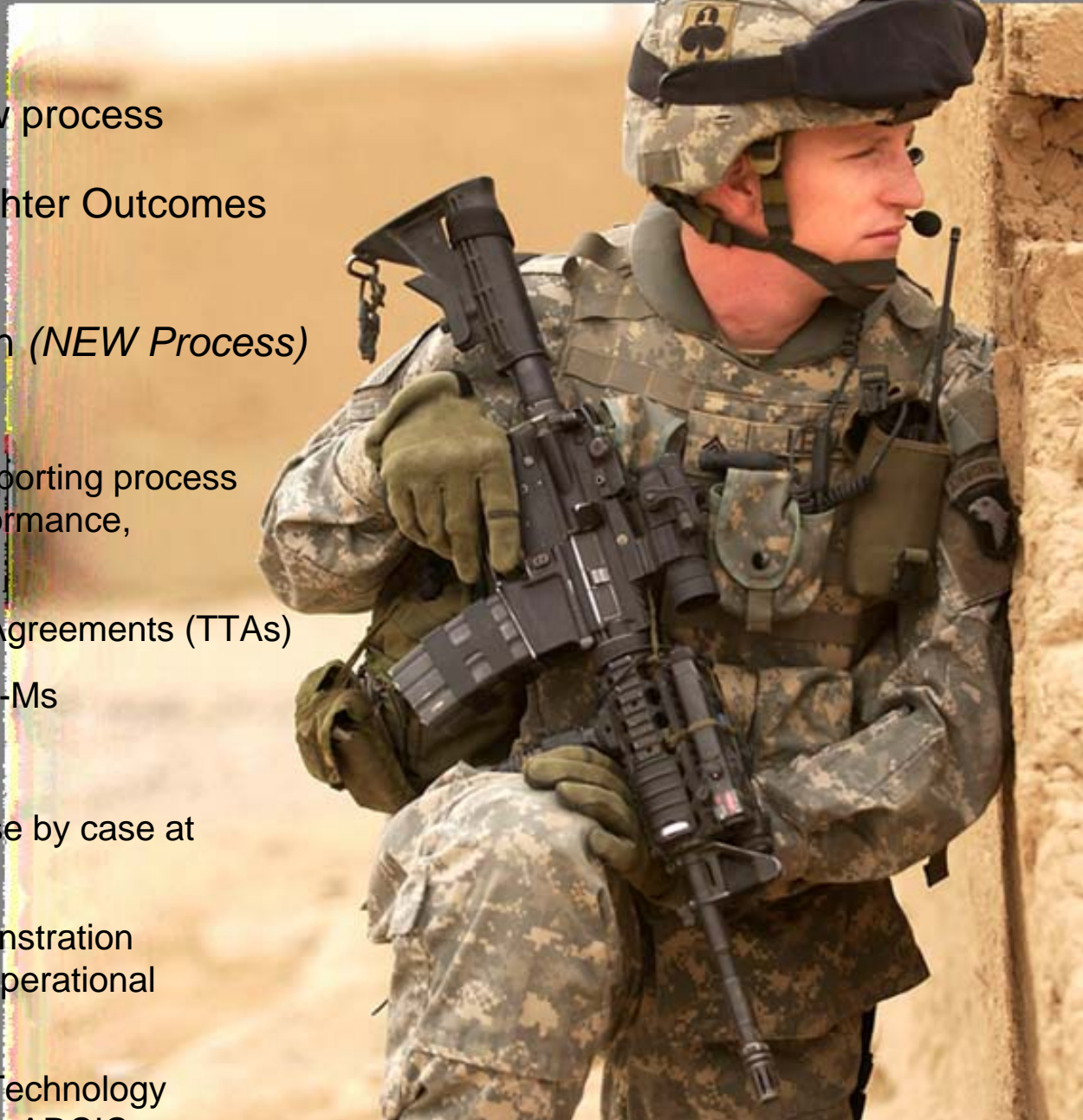
- Developed low impact reporting process for Cost, Schedule, Performance, and Transition

– Track Technology Transition Agreements (TTAs)

- Existing process for ATO-Ms
- Extending to ATO-Ds
- Will track ATO-Rs on case by case at program completion

– Coordinate Technology Demonstration opportunities with TRADOC Operational Experimentation

- Coordinating all ATO-D Technology Demonstration Plans with ARCIC





**TECHNOLOGY DRIVEN.**  
**WARFIGHTER FOCUSED**



# Creating Capability Surprise

**Zachary J. Lemnios**  
**Chief Technology Officer**  
**MIT Lincoln Laboratory**

**[zlemnios@ll.mit.edu](mailto:zlemnios@ll.mit.edu)**  
**(781) 981-7020**

**21 April 2009**

\*This work was sponsored by the Department of the Air Force under contract FA8721-05-C-0002. "Opinions, interpretations, conclusions, and recommendations are those of the author and are not necessarily endorsed by the United States Government"





# The Surprise Exemplar: 4 October 1957

"All the News  
That's Fit to Print"

## The New York Times.

LATE CITY EDITION  
Published seven days a week except  
Christmas and New Year's Day  
Monday through Saturday  
Times Office: 485 N. Tenth St., Philadelphia, Pa. 19106

VOL. CXXVIII: NO. 14

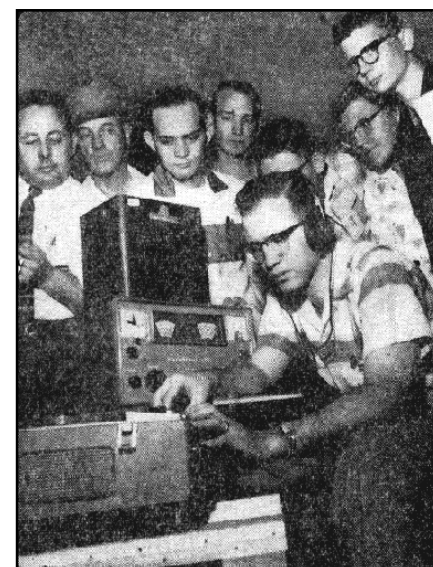
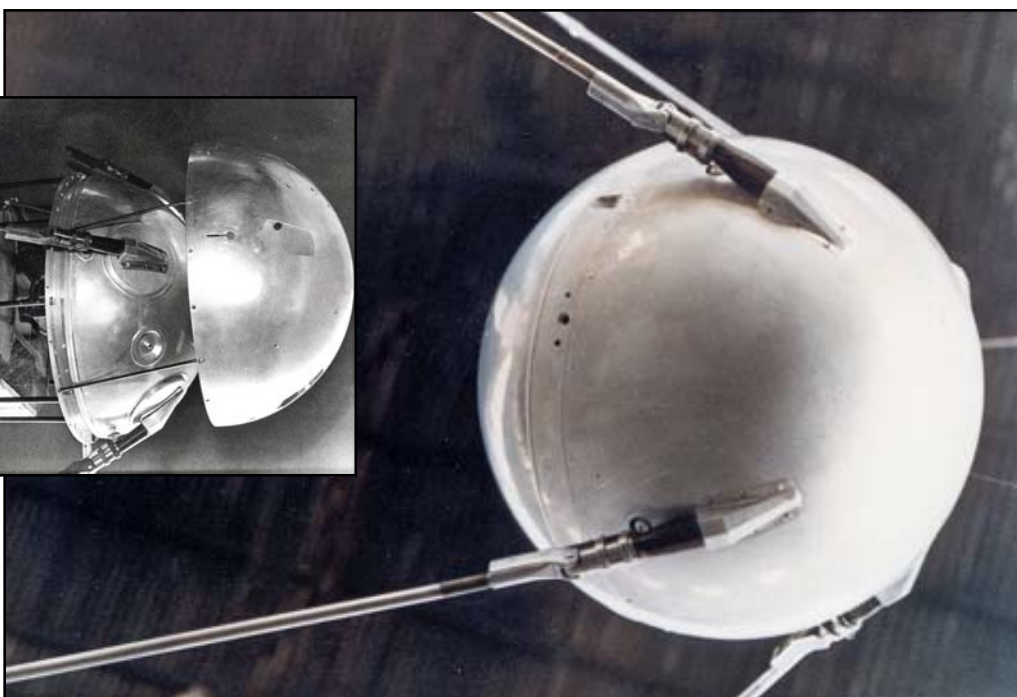
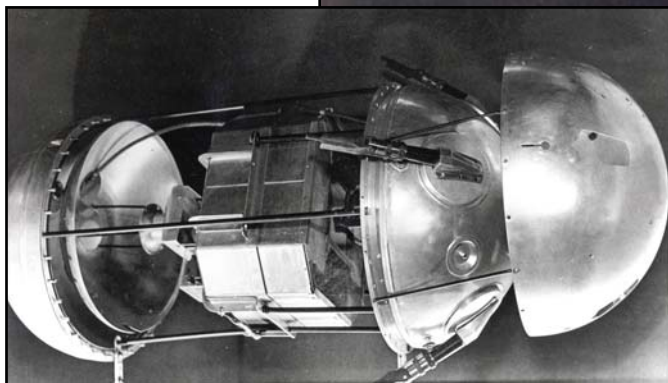
ESTABLISHED 1787

NEW YORK, SATURDAY, OCTOBER 5, 1957.

ESTABLISHED 1787

FIVE CENTS

### *SOVIET FIRES EARTH SATELLITE INTO SPACE; IT IS CIRCLING THE GLOBE AT 18,000 M. P. H.; SPHERE TRACKED IN 4 CROSSINGS OVER U. S.*



—Dallas News Staff Photo.

#### **SIGNALS FROM THE SATELLITE**

Ham operator Roy Welch of Dallas, seated, plays a tape-recorded signal from the Russian space satellite for fellow hams at the State Fair of Texas. Welch recorded the signals on a receiver at his home.

MIT Lincoln Laboratory



# The Extension of Asymmetric Surprise

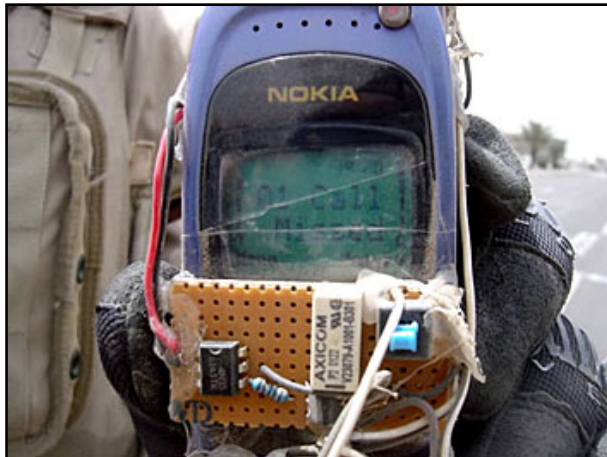
**Terrorist  
Attack**



**Suicide  
Vest**



**Explosive  
Cell Phone**



**Vehicle-  
Borne IED**



Using existing systems in radically new and asymmetrical ways can have enormous impact



# Examples Abound

**Pearl Harbor**

**Kamikazes**

**Bay of Pigs**

**Sputnik**

**China enters Korea**

**Cuban Missile Crisis**

**Iran Hostages**

**Tet Offensive**

**Beirut Barracks Bombing**

**Victor 3/Akula Quieting**

**Soviet Bio-weapons Program**

**Khobar, Cole, Nairobi**

**Kuwait Invasion**

**'93 WTC**

**9/11**

**PRC Force-down of EP-3**

**PRC ASAT**

**IEDs in OIF**



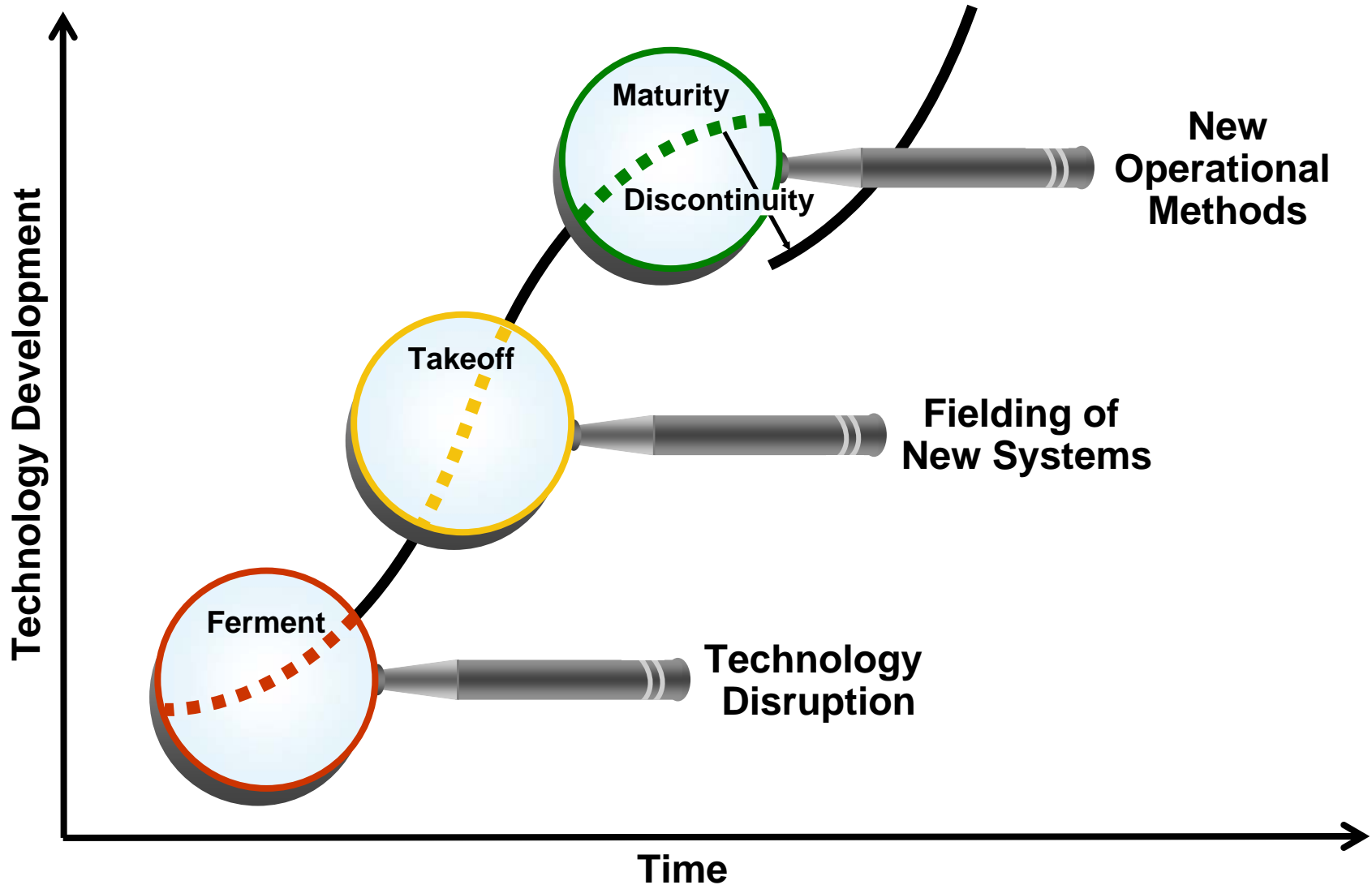
# ...and happened for a variety of reasons

- Thought we could respond without doing anything new
- Knew it was likely, understood the magnitude of the implications, but didn't pursue it appropriately
- Believed they were not up to it
- Believed they wouldn't dare
- Knew it might happen, but were trapped in our own paradigms
- Didn't imagine or anticipate the strategic impact
- Lost in the "signal to noise" of other possibilities
- Imagined it, but thought it was years away
- Were willing to take the risk that it wouldn't happen

**In most cases the indications were there, but with nothing to differentiate a given possibility from others and compel a decision to act**



# Three Tiers of Technology Innovation

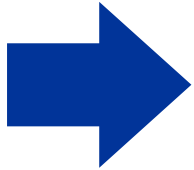






# Outline

- **Introduction**



- **Sources of Technology Capability Surprise**

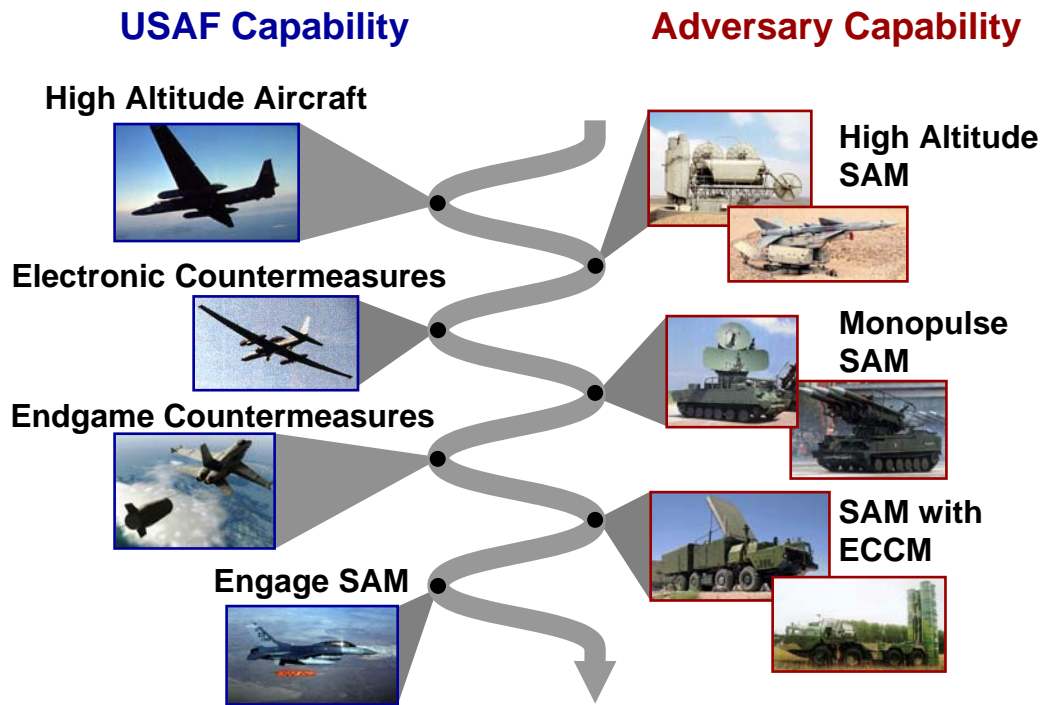
- **New operational methods**
- **Transition and fielding**
- **Adaption of new technologies**

- **Summary**



# The Symmetric Timeline

## Conventional Warfare SEAD / DEAD Example

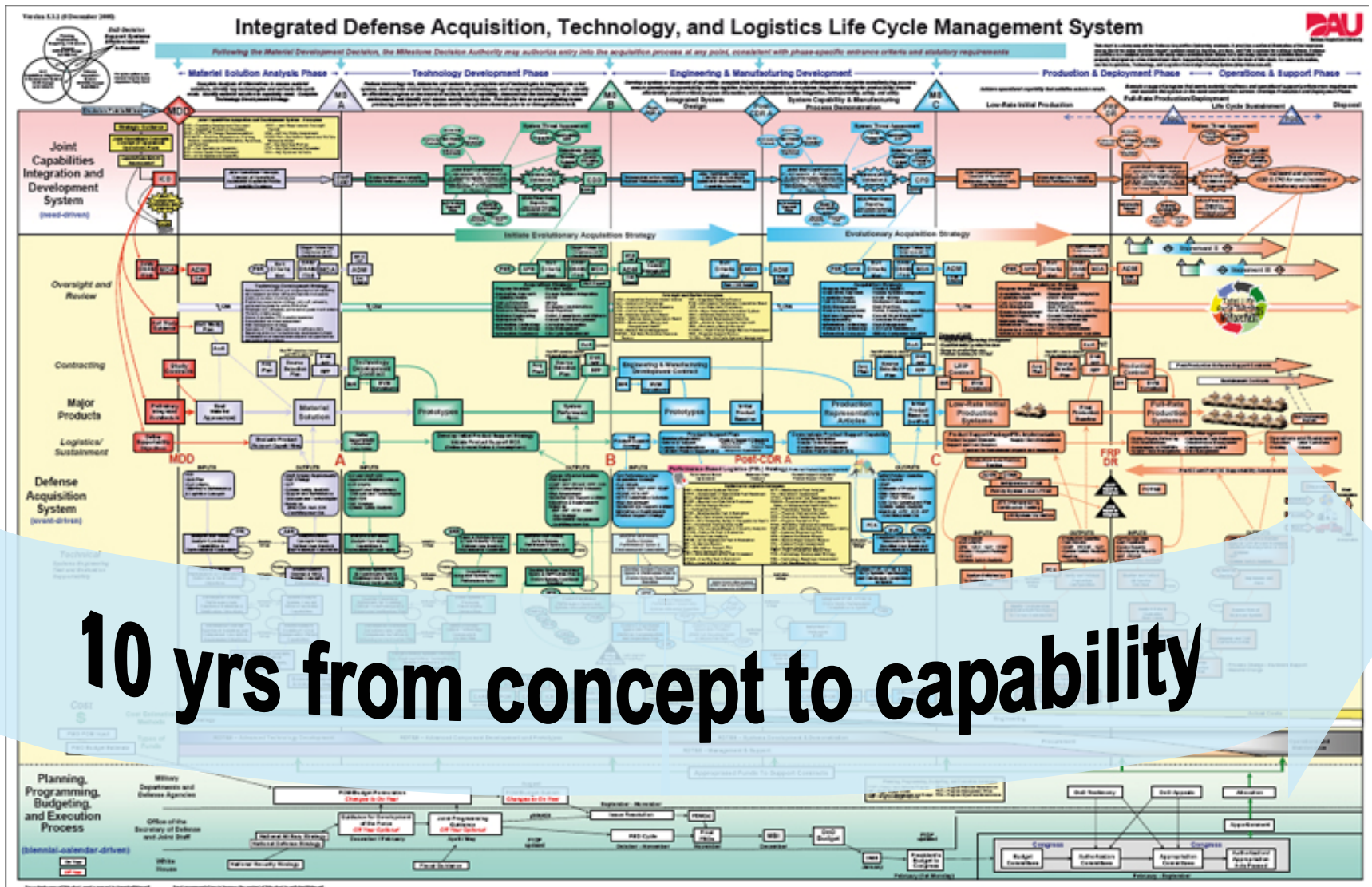


- Suppression of enemy air defense (SEAD)
- Destruction of enemy air defense (DEAD)

Response loop measured in years



# The DoD 5000 Integrated Defense Acquisition, Technology and Logistics Life Cycle Management Process





# Changing Political and Economic Landscape

- Emergence of US as sole superpower

- Connectivity growth (CNN, internet)
- Ease of global transportation

- Disintegration of Soviet Union

- Explosion of drug traffic
- Partnering of narcotics / terrorist organizations

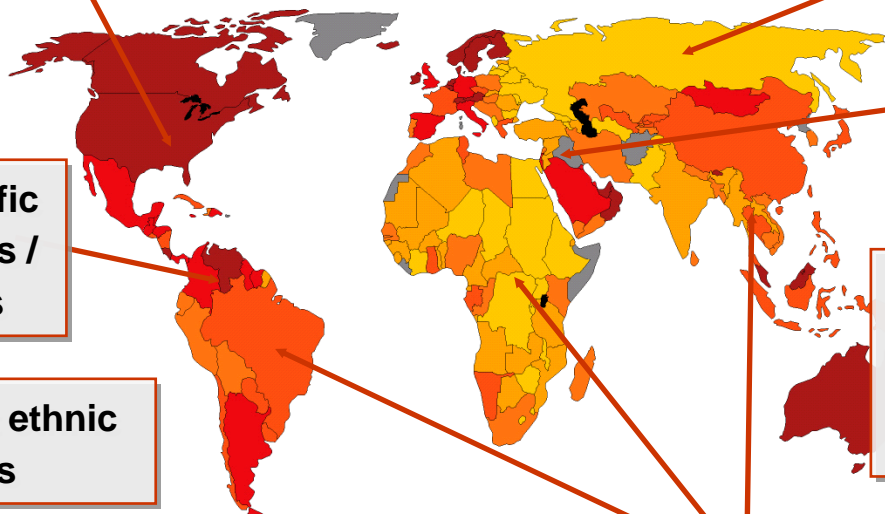
- Insertion / maintenance of US military in Middle East

- Resurgence of violent ethnic and ideological groups

- Worsening income inequity
- Declining standard of living
- Clash over religious influence in third world



- Safe havens in Africa, Asia, South America
- Readily available small arms & weapons



Variety of socio-economic and political conditions providing “kindling” for likely explosion of 4th generation warfare



# The Timeline Has Collapsed!

## Conventional Warfare SEAD / DEAD Example

### USAF Capability

High Altitude Aircraft



Electronic Countermeasures



Endgame Countermeasures



Engage SAM



### Adversary Capability

High Altitude SAM



Monopulse SAM



SAM with ECCM



Response loop measured in years

## Counter-Insurgency Warfare Iraq Example

### US Capability

Jammers



Mine Resistant Ambush Protected (MRAP) Vehicle



### Adversary Capability



Advanced Technology

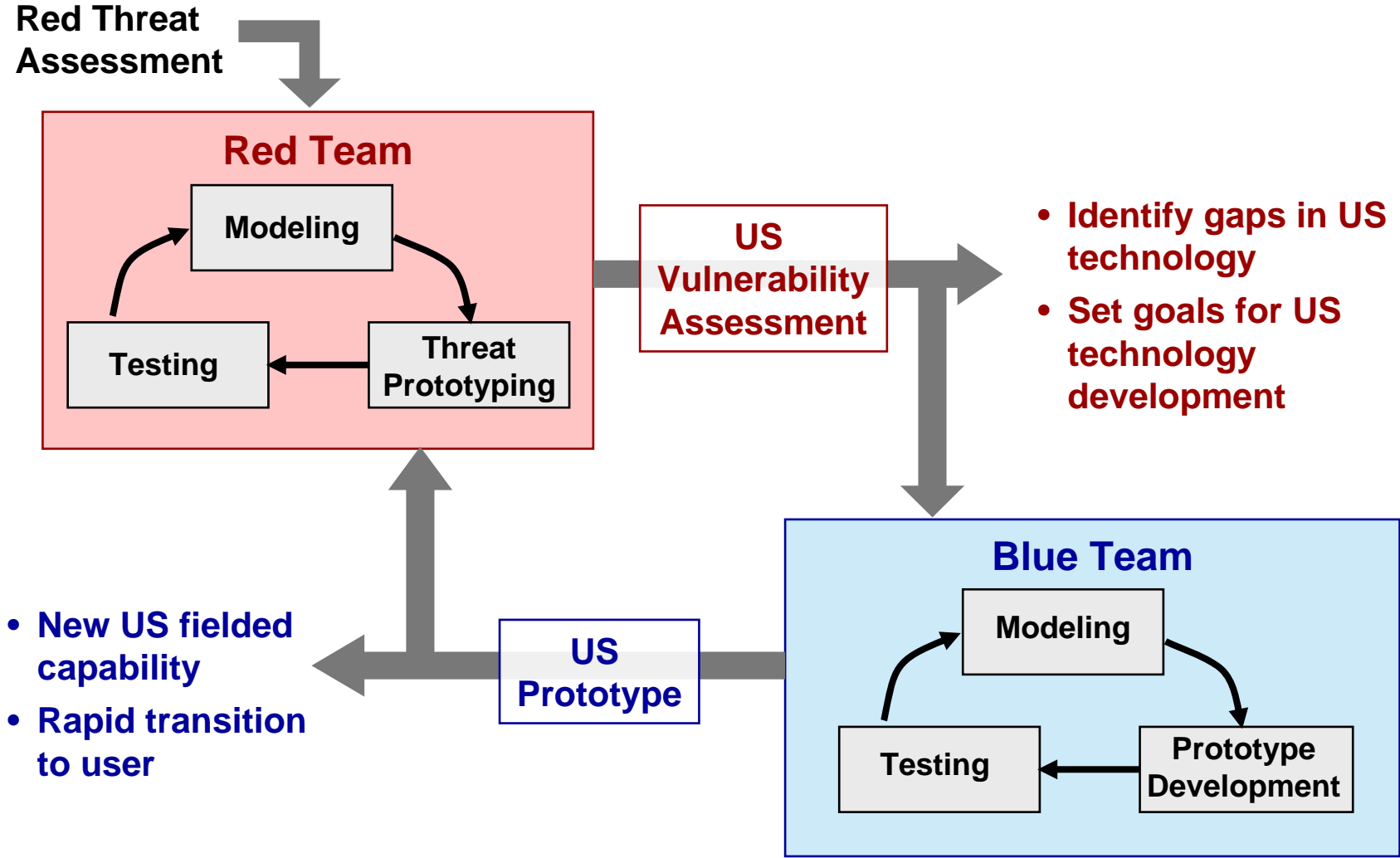
Response loop measured in months or weeks





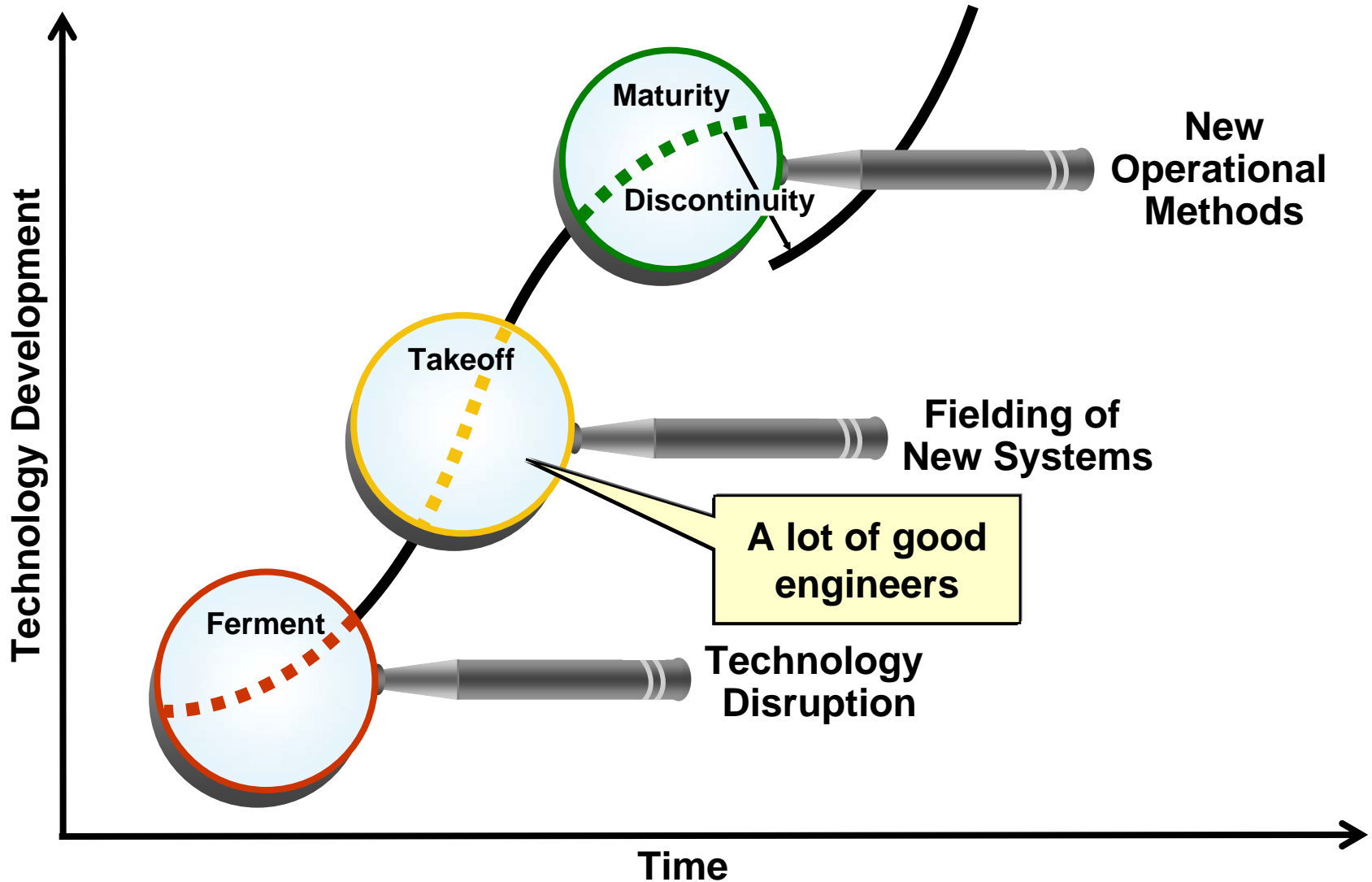
# Red Team / Blue Team Process

Red Threat Assessment



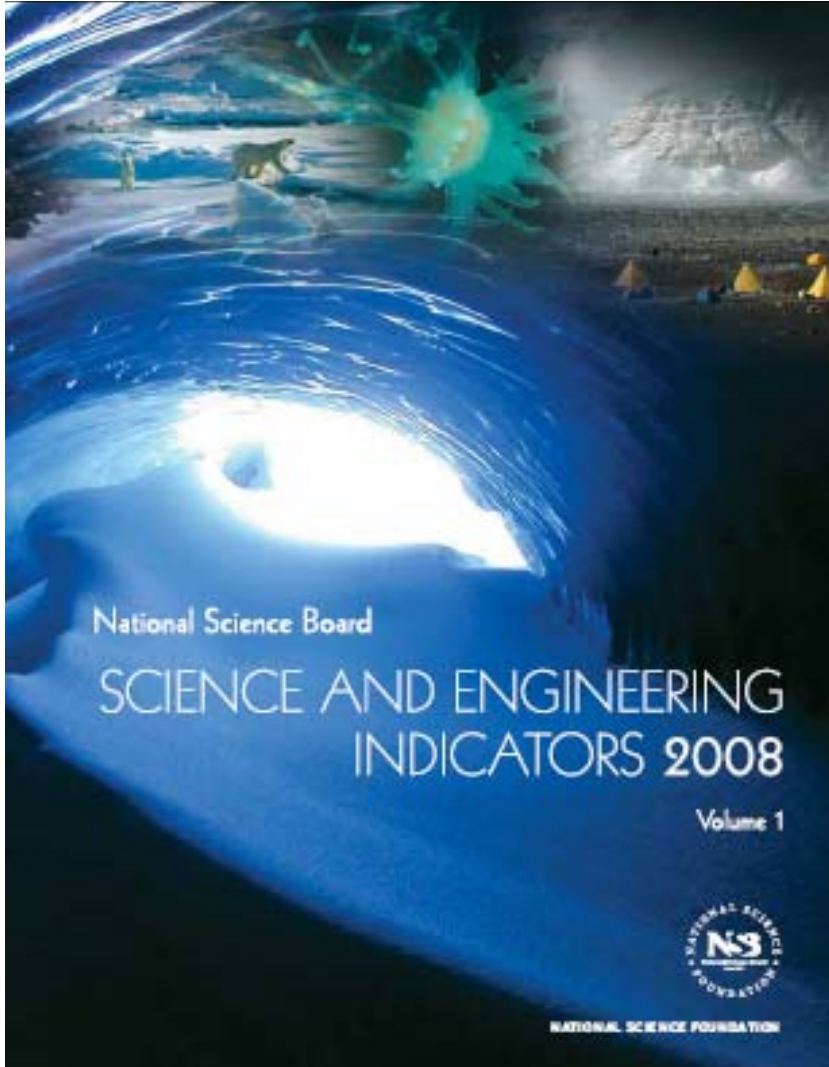


# Three Tiers of Technology Innovation





# Concerning Trends

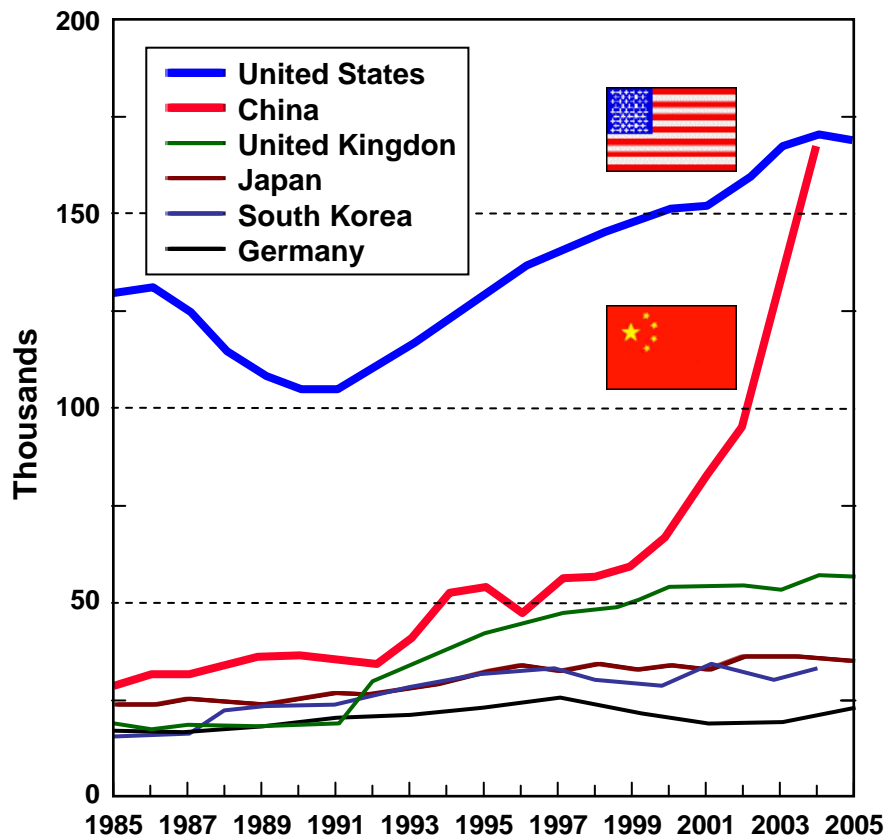


- **Knowledge-intensive industries are reshaping the world economy**
- **Industry R&D in manufacturing and services is expanding and increasingly crossing borders**
- **R&D in the United States is robust and dominated by industry**
- **Advanced training in natural sciences and engineering is becoming widespread, eroding the US advantage**

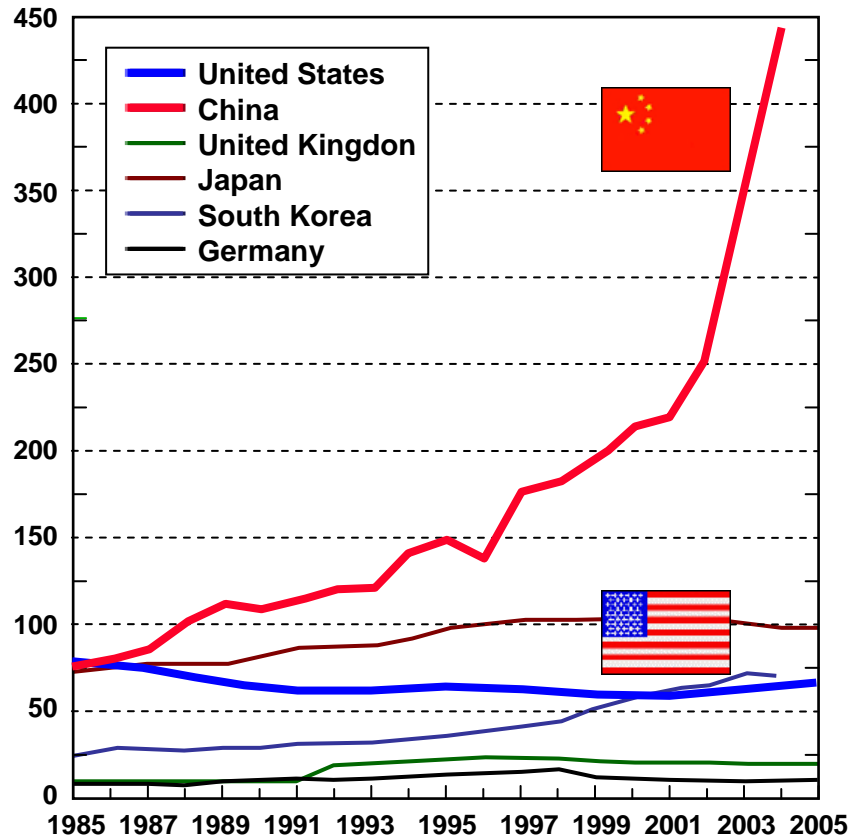


# First University Degrees, by Selected Country: 1985–2005

## Natural Sciences



## Engineering

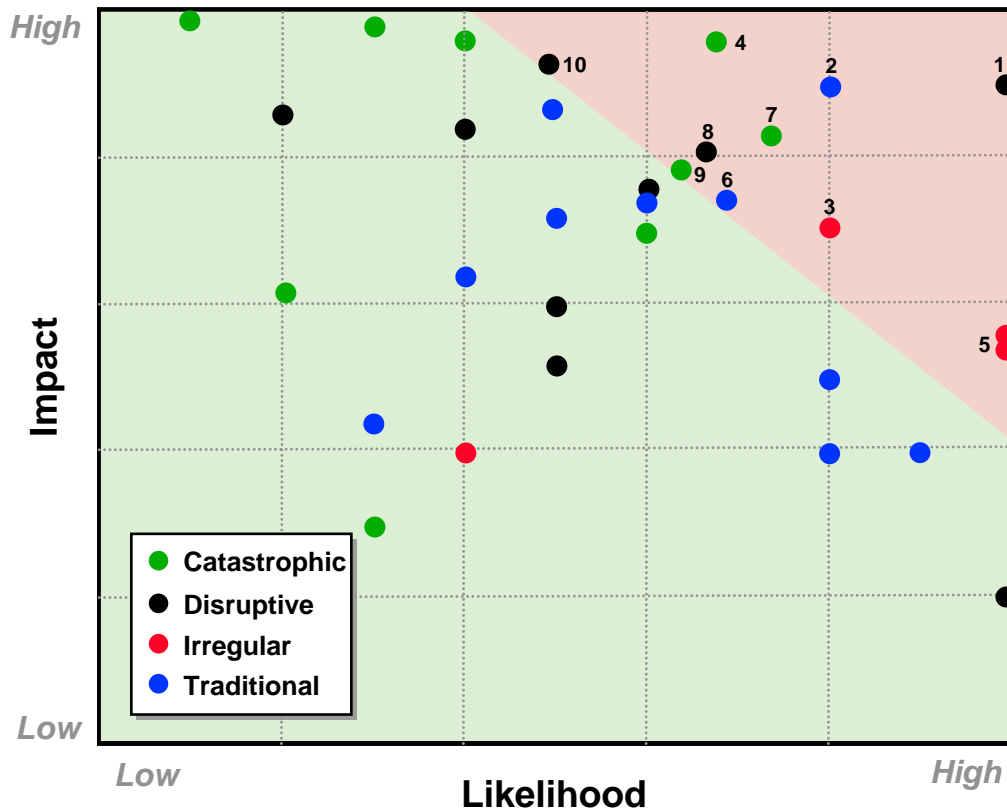


Notes: Natural sciences include physical, biological, earth, atmospheric, ocean, agricultural, and computer sciences and mathematics. German degrees include only long university degrees required for further study.

Notes: German degrees include only long university degrees required for further study.



# MIT LL National Security Technology Study Threat Ranking



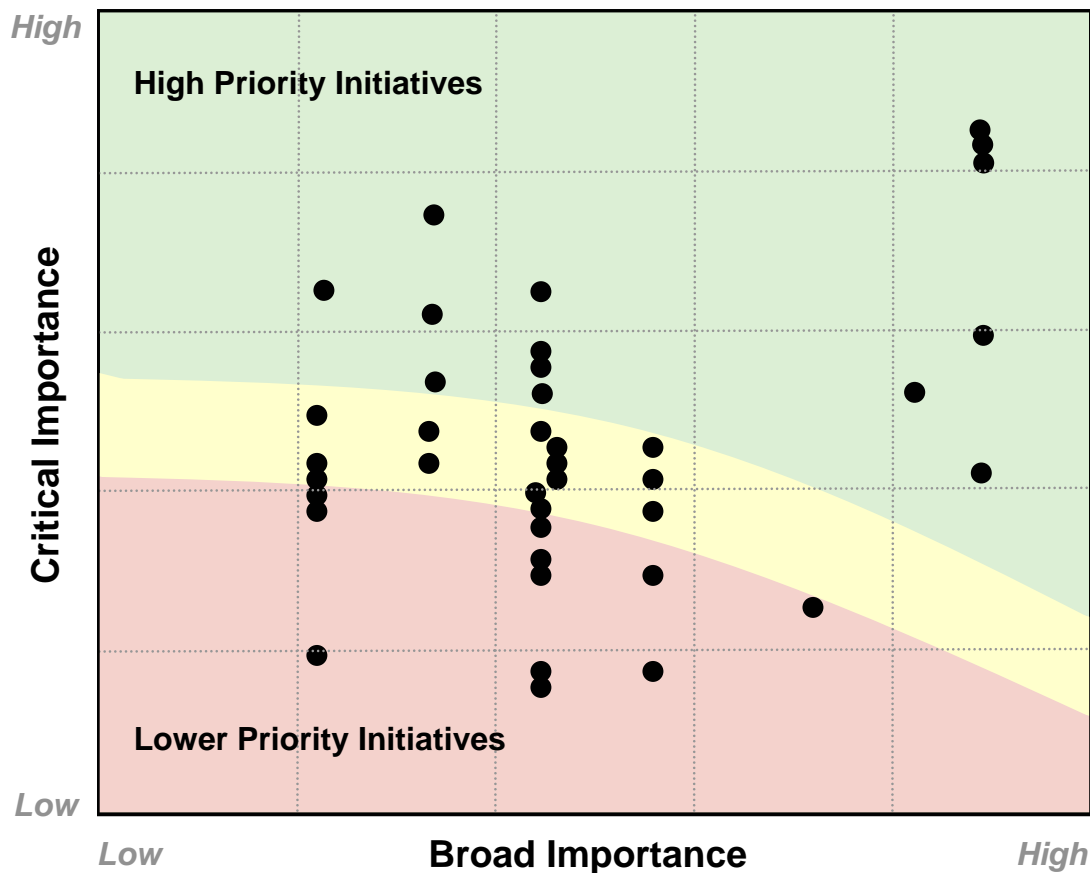
## Critical National Security Threats

- (1) Computer Network Attack/Exploit
- (2) Quiet Submarines
- (3) Unguided Battlefield Rockets
- (4) Chemical/Biological Attack
- (5) IED/Insurgents
- (6) Maneuvering Ballistic Missile (MaRV) Against Carrier Battle Group (CBG)
- (7) Containerized Nuclear Weapon
- (8) Anti-Satellite (ASAT)
- (9) Cruise or Short-Range Ballistic Missile Launch off Barge
- (10) Anti-cryptography (QC)





# S&T Initiatives to Address Top National Security Threats

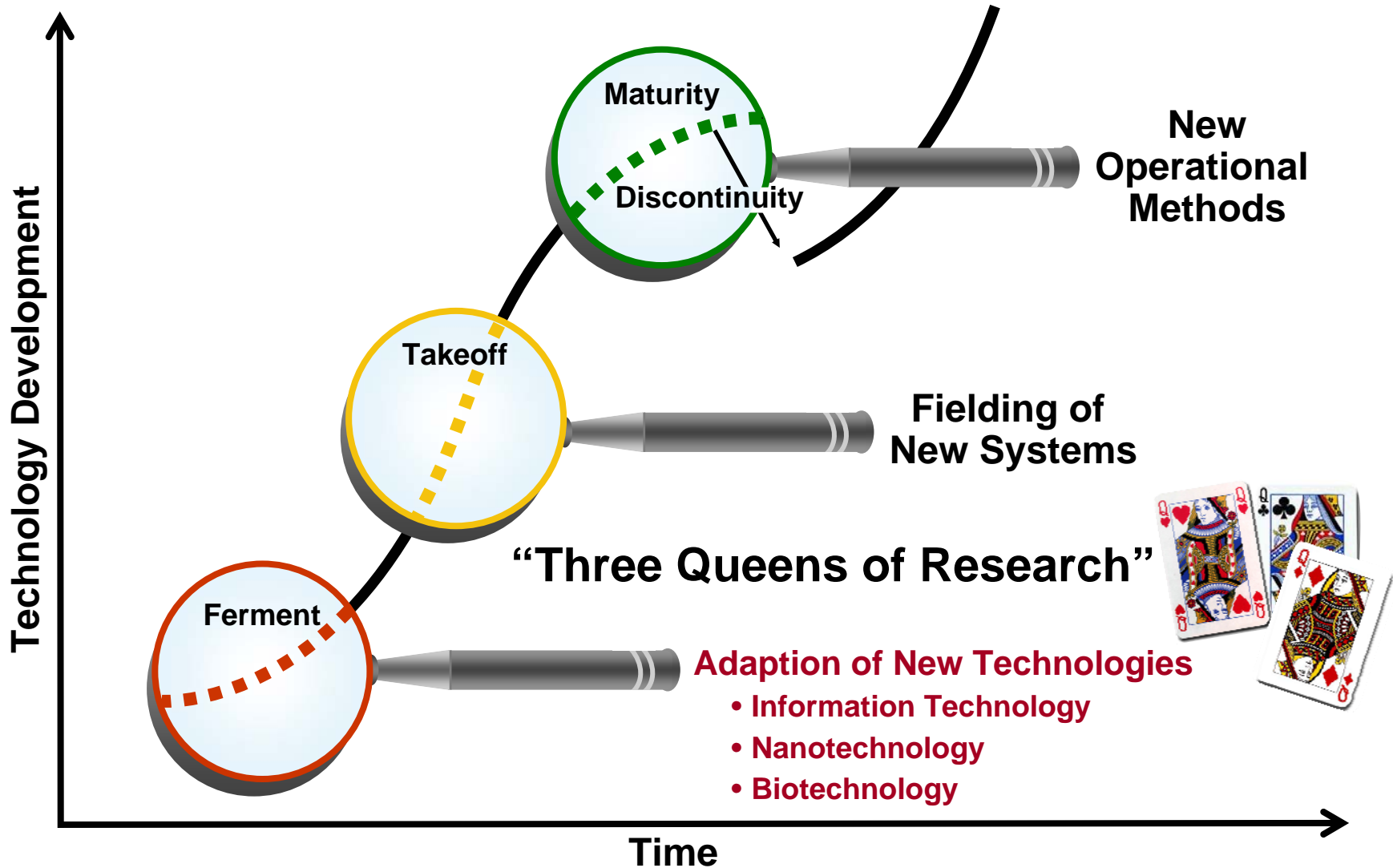


## High Priority Initiatives

- Early Warning
- Medical Treatments
- Speed-of-Light Weapons
- Container Monitoring/Tracking
- Active Radiological Detection
- Pre-detonation
- Cultural Training
- Persistent Surveillance
- Counter-media
- Authentication, Trust, Access
- Network Attack
- Attack Detection & Response
- Network Hardening
- Platform Hardening

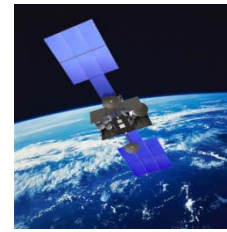
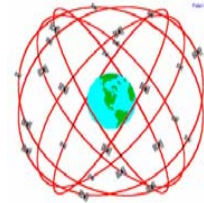
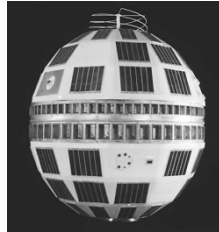


# Three Tiers of Technology Innovation





# Defense Technology Timeline

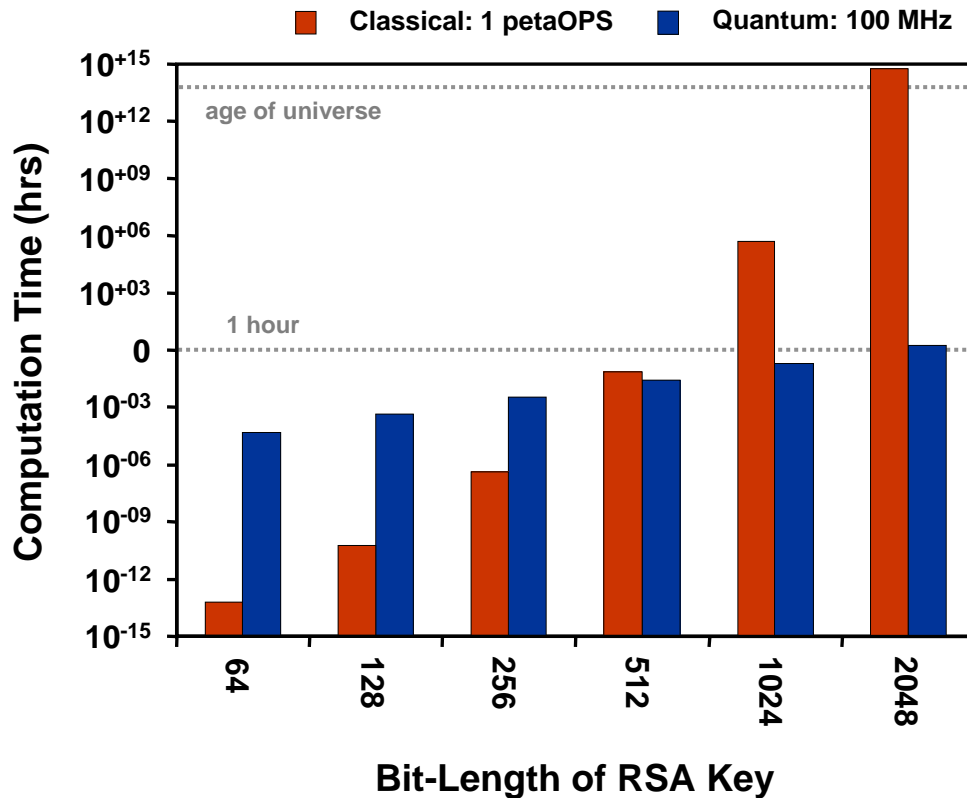


40s	50s	60s	70s	80s	90s	00s
<ul style="list-style-type: none"> <li>• Nuclear weapons</li> <li>• Radar</li> <li>• Proximity fuze</li> <li>• Sonar</li> <li>• Jet engine</li> <li>• LORAN</li> </ul>	<ul style="list-style-type: none"> <li>• Digital computer</li> <li>• ICBM</li> <li>• Transistor</li> <li>• Laser technology</li> <li>• Nuclear propulsion</li> <li>• Digital comm.</li> </ul>	<ul style="list-style-type: none"> <li>• Satellite comm.</li> <li>• Integrated circuits</li> <li>• Phased-array radar</li> <li>• Defense networks</li> <li>• Airborne surv.</li> <li>• MIRV</li> </ul>	<ul style="list-style-type: none"> <li>• Airborne GMTI/SAR</li> <li>• Stealth</li> <li>• Strategic CMs</li> <li>• IR search and track</li> <li>• Space track network</li> <li>• C2 networks</li> </ul>	<ul style="list-style-type: none"> <li>• GPS</li> <li>• UAVs</li> <li>• Night vision</li> <li>• Personal computing</li> <li>• Counter-stealth</li> <li>• BMD hit-to-kill</li> </ul>	<ul style="list-style-type: none"> <li>• Wideband networks</li> <li>• Web protocols</li> <li>• Precision munitions</li> <li>• Solid state radar</li> <li>• Advanced robotics</li> <li>• Speech recognition</li> </ul>	<ul style="list-style-type: none"> <li>• GIG</li> <li>• Armed UAVs</li> <li>• Optical SATCOM</li> <li>• Data mining</li> <li>• Advanced seekers</li> <li>• Decision support</li> </ul>

- Quantum
- Nanoscale
- Engineered Bio



# Quantum Computing



- **Cryptanalysis**
  - Factorization of prime numbers
  - Unsorted database searches
- **Simulation**
  - Fluid flow problems
  - Atomic interactions
  - Material Sciences
  - Modeling quantum systems
- **Nobody knows whether we can build one big enough to be useful**

**Quantum computers are significantly faster than classical computers for certain classes of problems**



# The Nanometer Scale

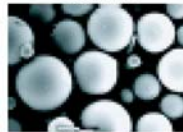
## Things Natural



Dust mite  
~500 μm



Ant  
~5 mm

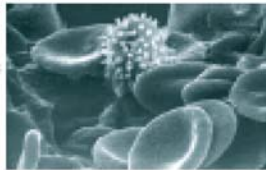


Fly ash  
~10-20 μm dia.



Human hair  
10-50 μm dia.

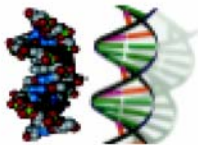
Red blood cells  
with white cell  
2-5 μm dia.



~10 nm dia.



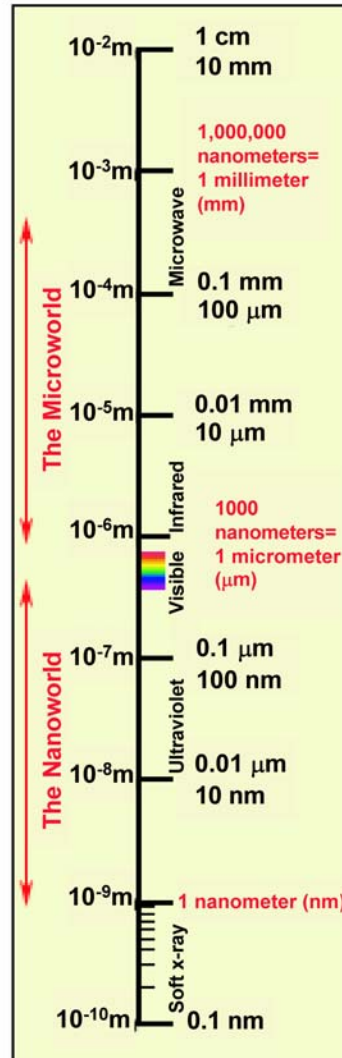
ATP synthesis



DNA  
2.5 nm dia.



Atoms in silicon  
0.2 nm spacing

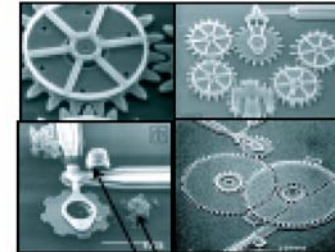


## Things Man-Made

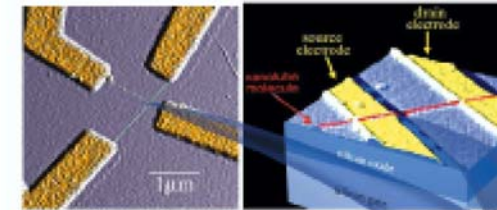


Head of a pin  
1-2 mm

Microelectromechanical devices  
10-100 μm wide

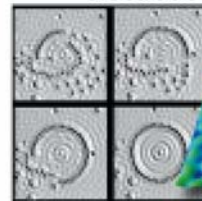


Red blood cells  
Pollen grain

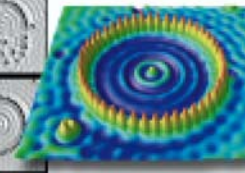


Nanotube  
electrode

Nanotube  
transistor



Quantum corral of 48 iron atoms on  
copper surface positioned one at a time  
with an STM tip - Corral diameter 14 nm



Carbon nanotube  
~2 nm diameter

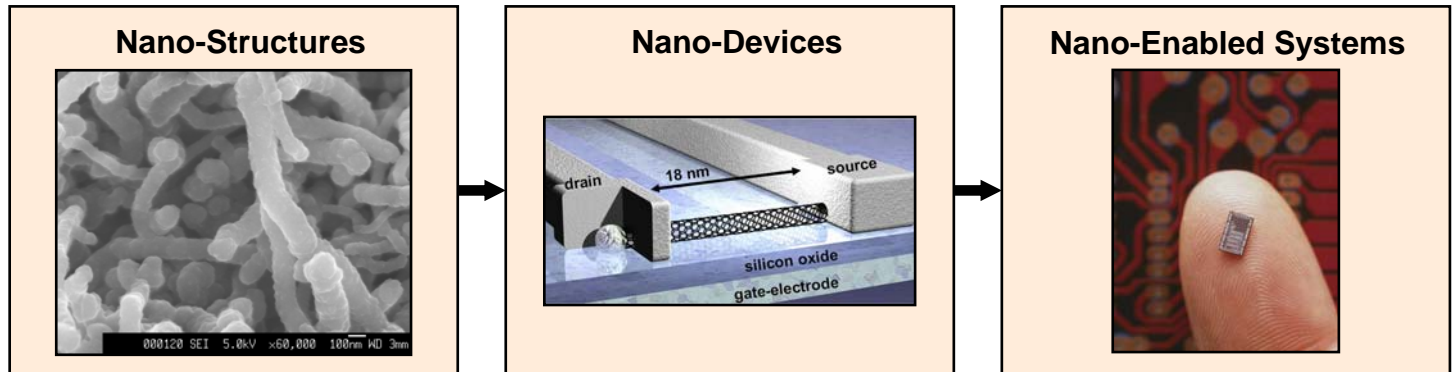
Source: National Research Council

MIT Lincoln Laboratory





# Nanotechnology Classes



<b>Sensors</b>	<b>Functionalized plasmonic structures</b>	<b>Chemical nanosensors</b>	<b>Distributed nanosensor arrays</b>
<b>Computing</b>	<b>Graphene films</b>	<b>Graphene transistors</b>	<b>Ultrafast computers</b>
<b>Electronics</b>	<b>Carbon nanotubes</b>	<b>Field emitting devices</b>	<b>High-efficiency displays</b>
<b>Energy</b>	<b>Semiconductor nanodots</b>	<b>Thermoelectric materials</b>	<b>Efficient thermoelectric generators</b>
<b>Biotechnology</b>	<b>Protein nanotubes</b>	<b>Drug-containing nanotubes</b>	<b>Drug delivery systems</b>
<b>Materials</b>	<b>Metallic-dielectric nanostructures</b>	<b>Negative-index metamaterials</b>	<b>Cloaking coatings</b>



# Explosion of Biological Capability\*

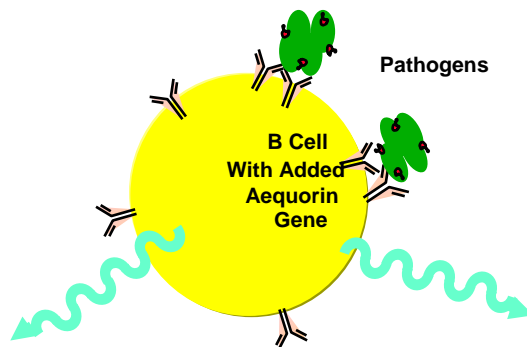
- *E. Coli* was the first bacterial genome sequenced, in **1997**
- The Human Genome was sequenced in 2003; it took **10 years and \$3 billion**
- In 2007 the genome of James Watson (co-discoverer of DNA) was sequenced; it took **2 months and \$1 million**
- The **\$1000** genome is imminent, and it is projected to become a common diagnostic procedure.
- In 2006, a patent application was filed for the **first synthetic organism**
- In 2007 recombinant genetic methods were used to **alter the species** of a bacterial strain

There are many “Moore’s Law” equivalencies for DNA and synthetic biology, and we are just at the beginning of the curves



# Enabling Technology: Engineered Organisms

- A range of organisms (bacteria, fungi, yeast, eukaryotic cells) have been engineered in a variety of ways
  - Biosensors (ex. CANARY)
  - Protein production (ex. insulin from yeast and bacteria)
- How is this done?
  - Selection under stringent conditions (predominantly used for bioremediation applications)
  - Genetic engineering – insert desired genes into genome of organism (ex. CANARY)

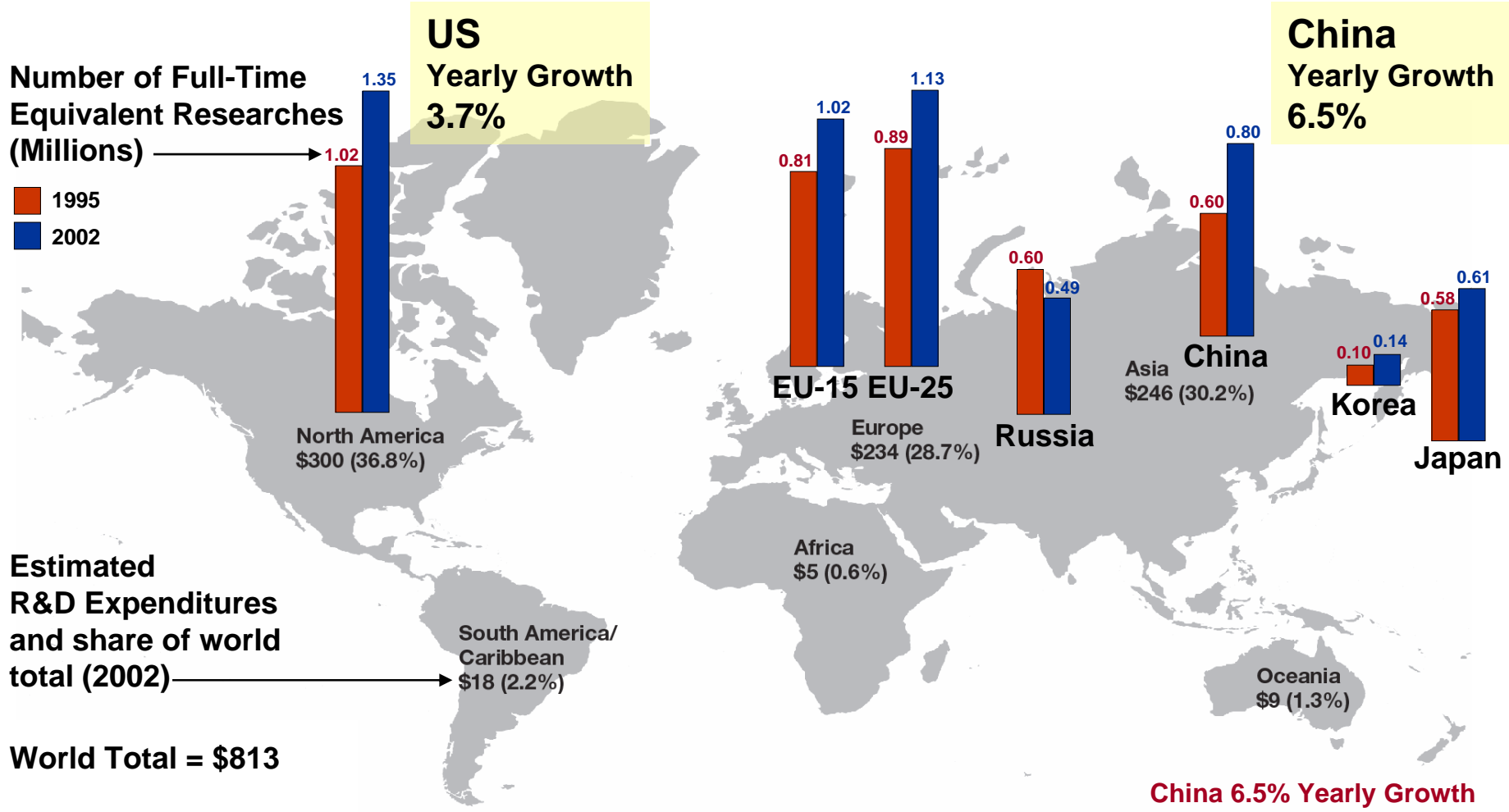


- (1) Pathogens crosslink antibodies
- (2) Biochemical signal amplification releases  $\text{Ca}^{2+}$
- (3)  $\text{Ca}^{2+}$  makes aequorin emit photons
- (4) Detect photons

As we learn more about cellular systems and “-omics”,  
we can engineer more elaborate systems



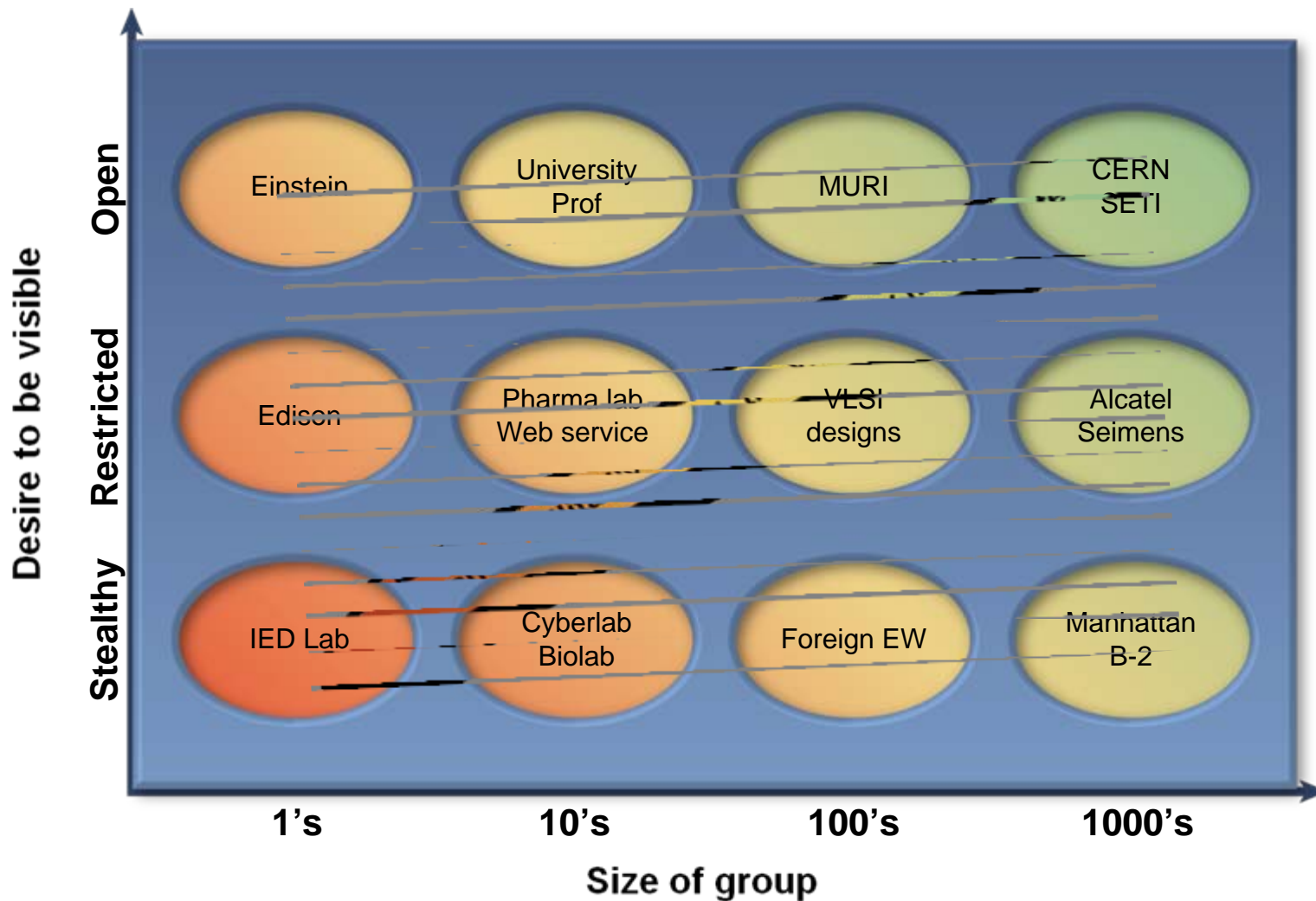
# The Shifting Research Base



Notes: R&D estimates from 91 countries in billions of purchasing power parity dollars. Percentages may not add to 100 because of rounding.



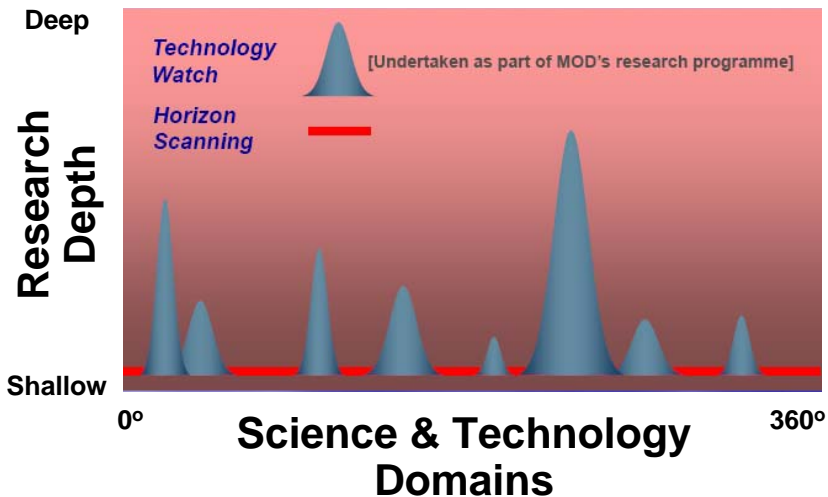
# Monitoring People in Research Communities is Also Important







# Tech Watch / Horizon Scan

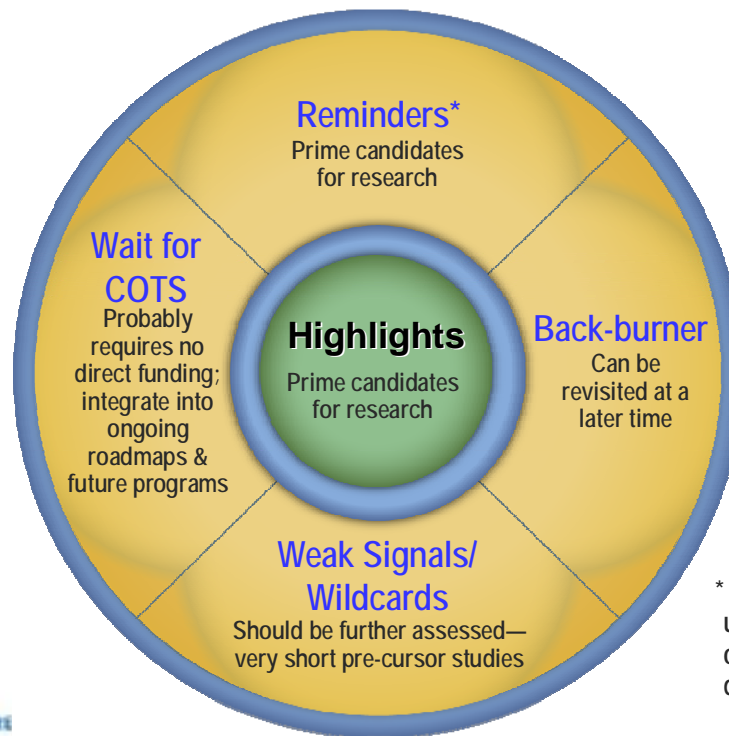


- Scientometric analysis of published work
- Identify emerging technical communities
- Identify movement of authors
- Detect new technical concepts



DOD  
Techipedia

UK Office of Science  
and Innovation (OSI)



\* May already be under consideration or have been discounted



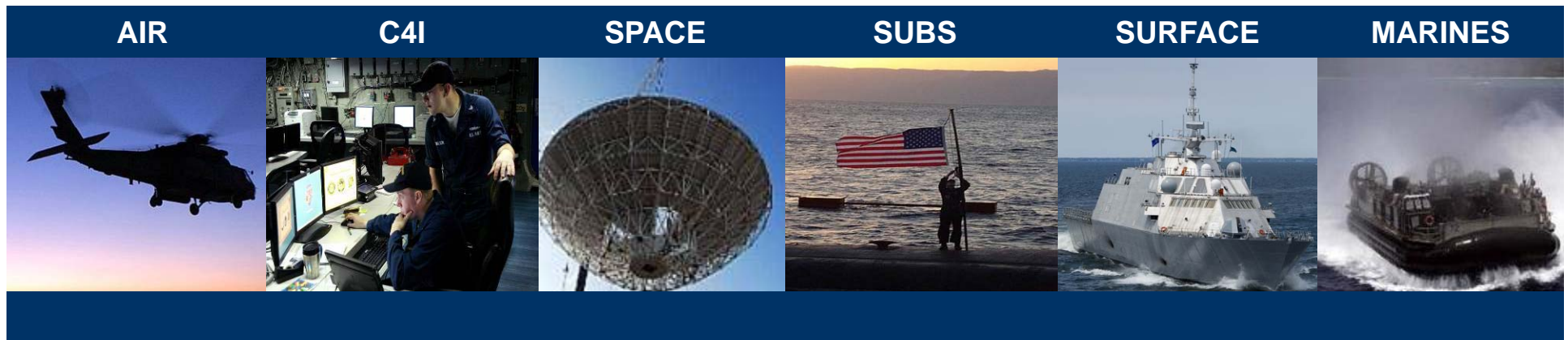
# Summary

- **Capability surprise results from both “known surprises” and “surprising surprises”**
- **The changing landscape is likely to result in more capability surprises**
  - **Growing strength of foreign S&T enterprise**
  - **Global diffusion of technology**
  - **Global pull on US S&T ideas and workforce**
  - **Changing nature of innovation**
- **Sources, examples, and methods for countering each of the technology surprise categories were presented**



# *Naval Open Architecture*

**Avoiding Cost Growth through Open System Architecture**



**22 April 2009**

*Distribution Statement A: Approved for public release;  
distribution unlimited.*

**Dr. A. Wayne Meeks  
Executive Director, Ships/Submarine,  
Integrated Warfare Systems**



## New Threats...Changing Requirements— Demands A Different Approach



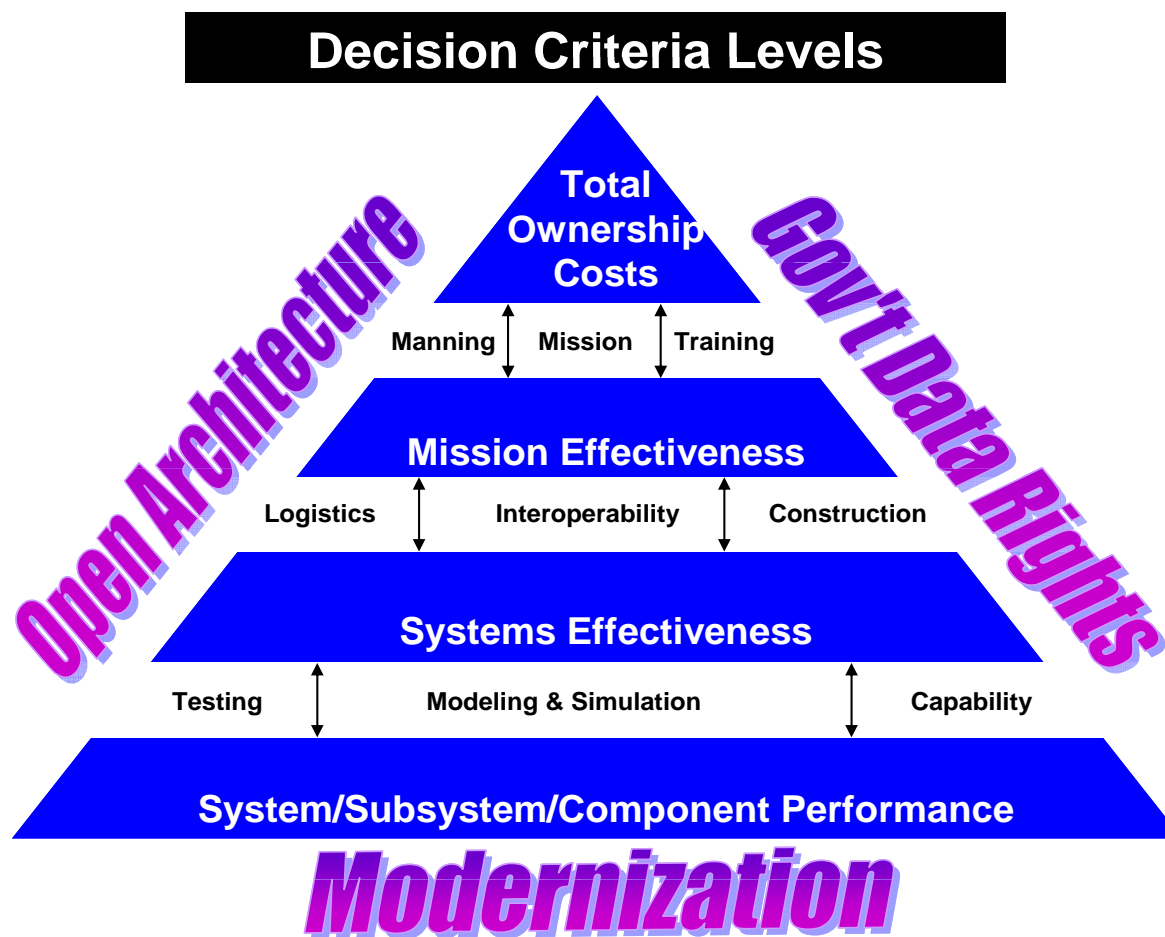
*“We must rebalance this department's programs in order to institutionalize and enhance our capabilities to fight the wars we are in today and the scenarios we are most likely to face in the years ahead, while at the same time providing a hedge against other risks and contingencies. In order to do this, **we must reform how and what we buy, meaning a fundamental overhaul of our approach to procurement, acquisition, and contracting.**”*

—Secretary Gates, “Gates Unveil Overhaul of Weapon’s Programs, Wall Street Journal, April 6, 2009



# Rising Costs...Requires Focus on Total Ownership Costs

The System Engineering focus is on Total Ownership Costs



Source: Surface Warfare Enterprise Chief Technology Officer, RDML Shannon





# How do we do it? We change how we build systems by adopting OA Principles

*Naval Open Architecture is the confluence of business and technical practices yielding modular, interoperable systems that adhere to open standards with published interfaces. OA delivers increased warfighting capabilities in a shorter time at reduced cost.*

## OA CORE PRINCIPLES

*Modular design and design disclosure*

*Reusable application software*

*Interoperable joint warfighting applications and secure information exchange*

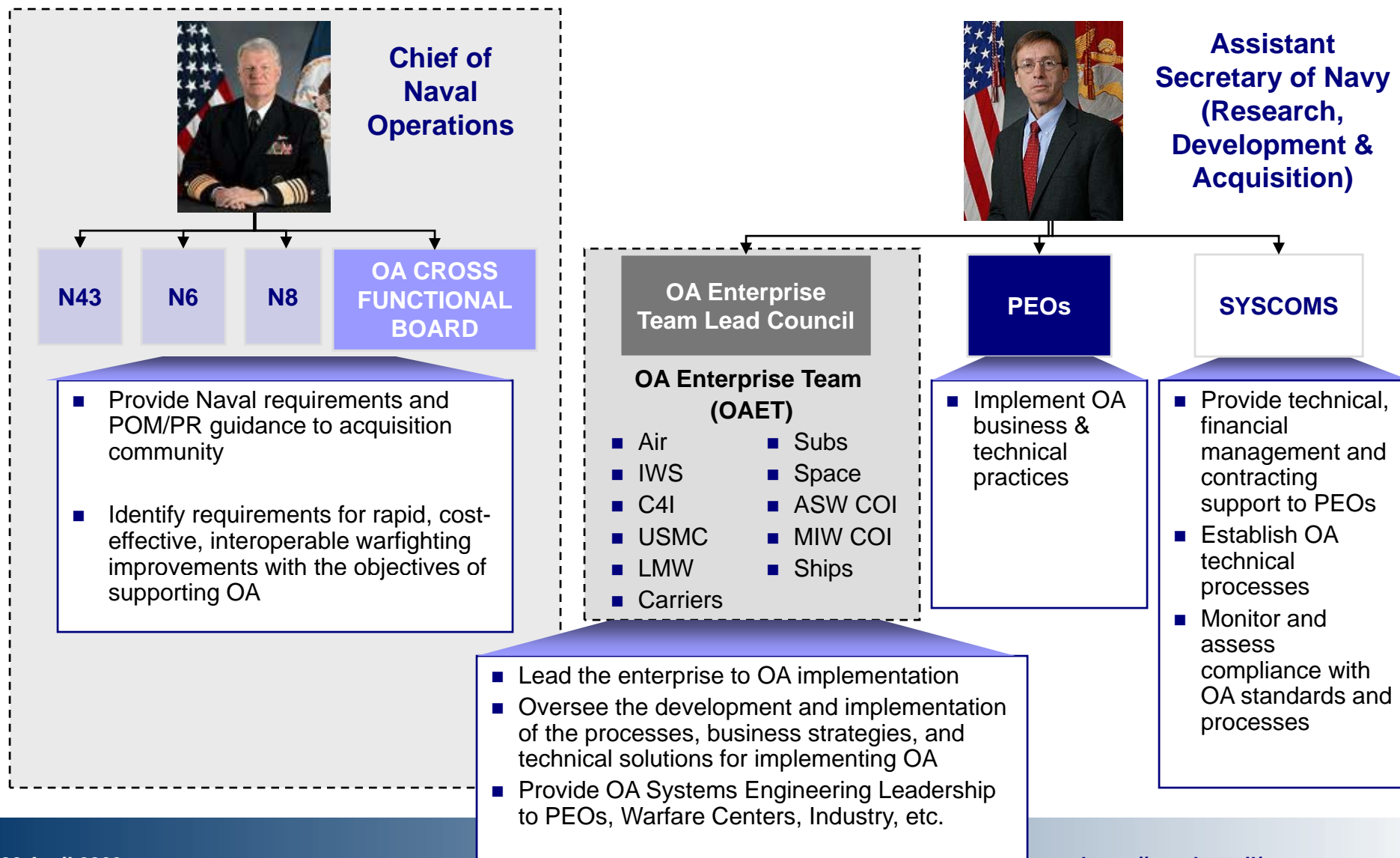
*Life cycle affordability*

*Encouraging competition and collaboration*

Source: OPNAV Itr Ser N6N7/5U916276 dtd 23 Dec 05



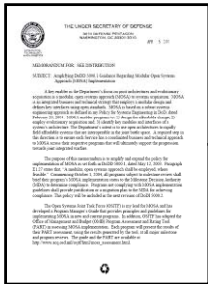
# Everyone has a role in Open Architecture





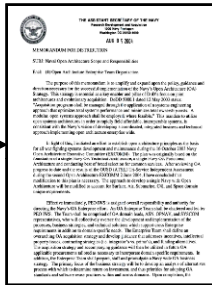
# OA policy progression since 2004

Apr 2004 USD (AT&L)  
issues Modular Open  
Systems Approach  
Implementation Memo



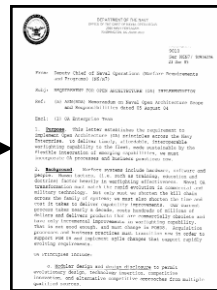
**OSD OA  
Policy**

Aug 2004 Navy issues  
Open Architecture policy



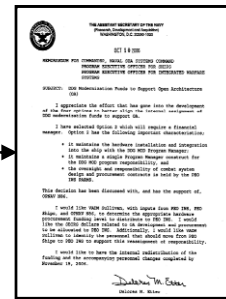
**Naval OA  
Policy**

Dec 2005 OPNAV  
issues OA  
Requirements



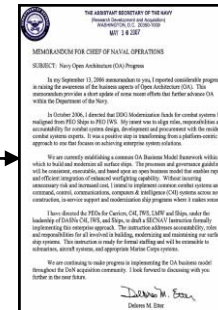
**Navy OA  
Requirements**

Oct 2006 ASN RDA  
issues DDG Mod  
Funds to Support OA



**Navy OA  
Alignment**

May 2007 ASN RDA  
issues Memo to CNO  
on OA progress



**Navy OA  
Progress**

Feb 2008 – Present OA  
Reports to Congress







**Navy OA Reporting**



# OA progress and results




DOMAIN	RESULTS
	<p><b>E-2D</b></p> <ul style="list-style-type: none"><li>OA approach <b><u>reduced computer acquisition timeline from 7 to 2.5 years.</u></b> Employs <b><u>70% software reuse</u></b> from the E2-C mission/display computers</li></ul>
	<p><b>Electronic Warfare Self-Protection Systems Program Office</b></p> <ul style="list-style-type: none"><li>Provide Interface Control Documents as Government Furnished Information (GFI) in all new Request for Proposal packages. The defined interfaces enable an environment where a <b><u>variety of material solutions can be incorporated (plug'n protect) in Light Aircraft survivability suites</u></b> without modification to the existing CIs.</li></ul>
	<p><b>EA-18G</b></p> <ul style="list-style-type: none"><li>Mission computer software is approximately <b><u>90% common with the F/A-18 E/F and 82% common with the EA-6B ICAP III ALQ-218</u></b></li></ul>
	<p><b>F/A-18 E/F</b></p> <ul style="list-style-type: none"><li>Since incorporating High Order Language (HOL) mission computer software has provided a significant ROI with a <b><u>75% reduction in man hours and a 50-90% improvement in defect closure rate</u></b> when compared to Legacy mission computer software development</li></ul>



# OA progress and results






DOMAIN	OA Progress / Results
	<p><b>CANES:</b> Continued ISNS-to-CANES migration efforts, which is <u>reducing four separate shipboard networks down to one</u>. Migration efforts focused on risk reduction, CANES early adopter process, and early installations in operational strike group ships</p>
	<p><b>Communications at Speed &amp; Depth S&amp;T Program:</b> Identified areas through an OA Assessment where <u>openly available interface standards can be more extensively used</u></p>
	<p><b>ADNS Program:</b> <u>Reused 128k lines of Navy-owned code &amp; 25k lines of U.S. Army-owned code</u> to deliver new capabilities.</p>
	<p><b>Multiple C4I Programs:</b> <u>Incorporated OA Language in several contracts</u> including Future Command &amp; Control System; ADNS; Global Positioning System Based Positioning, Navigation &amp; Timing Services; Naval Integrated Tactical Environmental Subsystem Next Generation (NITESNext); Distributed Information Operations (DIOS); Distributed Common Ground System ((DCGS) Info Backbone (DIB); Digital Modular Radio (DMR); Submarine High Data Rate Program; and Net Enabled Command Capability (NECC)</p>
	<p><b>Contracts:</b> Developing logical decision tree to <u>determine requirements for inserting OA language into solicitations and standard CDRLs</u>.</p>



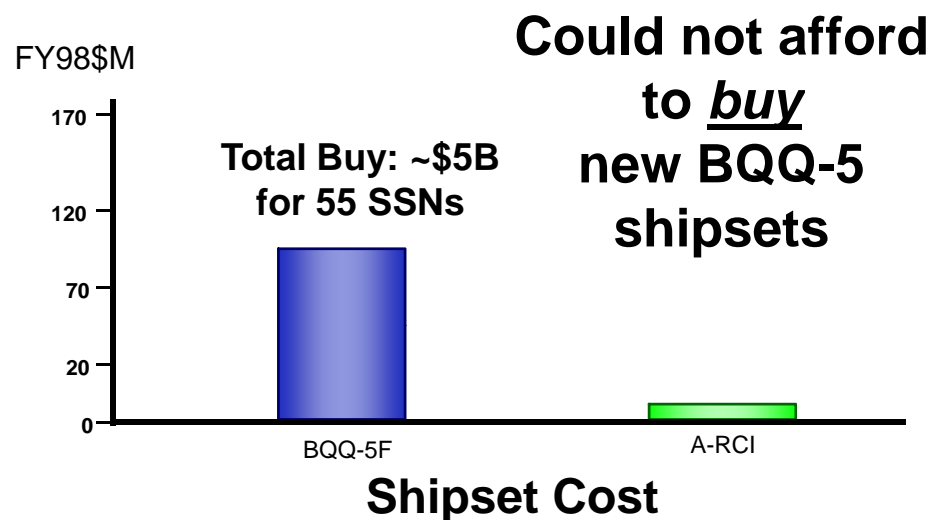
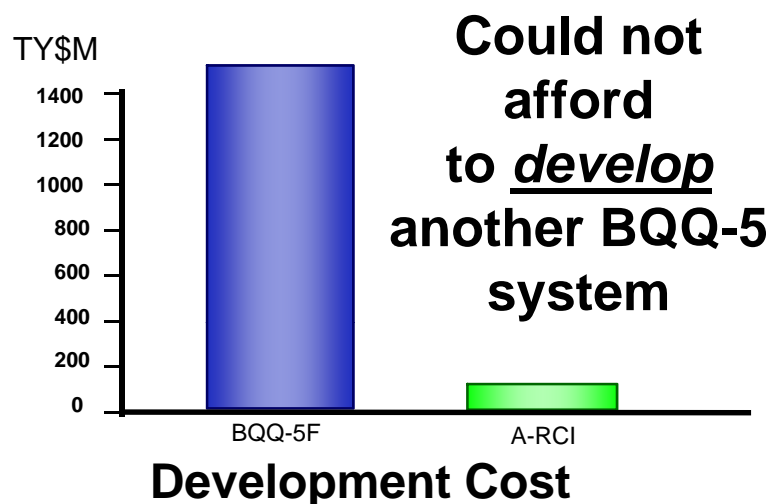


## OA progress and results

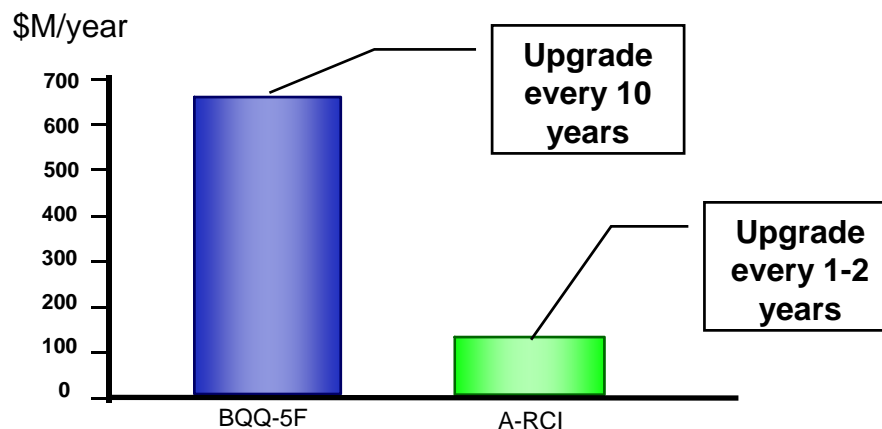
DOMAIN	OA Progress / Results
	<p><b>Submarine Sensor Systems Program Office (PMS – 435)</b></p> <ul style="list-style-type: none"><li>□ Joined PMS 401 and PMS 425 in adoption of the submarine ARCI model to <b><u>open up the system both technically and programmatically</u></b></li></ul>
	<ul style="list-style-type: none"><li>■ <b>Changing contracts</b><ul style="list-style-type: none"><li>□ Adapting a common business strategy by participating in a <b><u>joint contracting approach across two program offices</u></b> (PMS 401 and PMS 425).</li></ul></li></ul>
	<ul style="list-style-type: none"><li>■ <b>Increasing Lifecycle Affordability</b><ul style="list-style-type: none"><li>□ Implemented capability insertion processes which in turn is limiting cost growth. Developed the acoustic rapid costs insertion process (ARCI) and the advanced processing build process (APB). A key element of this process is the <b><u>competitive software development guided by an open and collaborative peer review</u></b> that includes industry, academia, warfare centers, and government labs.</li></ul></li></ul>



## PEO Subs has demonstrated significant results by adopting OA —COTS/OA vs. Legacy Cost Efficiencies






### Ten Year Comparison Development, Installation & Support Cost








# OA progress and results

DOMAIN	OA Progress / Results
	<p><b>Aegis Modernization</b></p> <ul style="list-style-type: none"><li>■ USS BUNKER HILL (CG 52) – <u>separated hardware and software and introduced a Commercial Off-The-Shelf (COTS) based hardware</u> infrastructure in Advanced Capability Build 08 / Technology Insertion 08</li></ul>
	<p><b>Common Display Systems (CDS)</b></p> <ul style="list-style-type: none"><li>■ Held a <u>full and open competition for CDS in support of the DDG 1000 and Aegis Modernization</u>. The CDS is a family of displays that will be implemented across platform systems on Navy surface ships, submarines, and aircraft.</li></ul>
	<p><b>Ship Self Defense System Mk2</b></p> <ul style="list-style-type: none"><li>■ Use a <u>distributed, open system design and employ COTS electronics</u> in rugged cabinets; POSIX Compliant Operating Systems; distributed processing using COTS devices and commercial standards; and modularized software. Introduction to the fleet of SSDS Mk 2 began with USS NIMITZ (CVN 68) in FY 2008. The software for all ship classes employing SSDS comes from a <u>single source library that allows reuse</u> across all SSDS ships.</li></ul>



# OA progress and results






Program	OA Progress / Results
	<p><b>LCS Mission Module Program Office</b></p> <ul style="list-style-type: none"> <li>■ <b>Reused several subsystems</b> across the Navy to build the 3 Mission Modules</li> <li>■ <b>Assessed openness</b> of the Mission Package Computing Environment, Multi-Vehicle Communication System, and Mission Modules (Surface Warfare, Anti-Submarine Warfare, Mine Counter Measures MM)</li> <li>■ <b>Provided components to Aegis and DDG</b> from MPCE for reuse</li> <li>■ <b>Identified key interfaces</b> to enable “plug and play” at lower level</li> <li>■ Developed <b>Technology Transition Development Plan</b></li> </ul>
	<p><b>Space Mobile User Objective System Program</b></p> <ul style="list-style-type: none"> <li>■ Deposited MUOS Common Air Interface (CAI) Waveform in the JTRS Information Repository and <b>provided assets to Nine (9) developers for possible integration</b> into their terminal product lines</li> <li>■ CAI Waveform and Satellite Bus are Based on <b>Commercial Standards</b> resulting in over <b>85% Reused or Modified Software</b></li> </ul>
	<p><b>Marine Corps</b></p> <ul style="list-style-type: none"> <li>■ Incorporated components from eight other programs developed by two other domains into Marine Air Ground Task Force Command and Control (MAGTF C2)</li> </ul>





# OA progress and results



Program	OA Progress / Results
	<p><b>LCS Mission Module Program Office</b></p> <ul style="list-style-type: none"> <li>■ <b>Reused several subsystems</b> across the Navy to build the 3 Mission Modules</li> <li>■ <b>Assessed openness</b> of the Mission Package Computing Environment, Multi-Vehicle Communication System, and Mission Modules (Surface Warfare, Anti-Submarine Warfare, Mine Counter Measures MM)</li> <li>■ <b>Provided components to Aegis and DDG</b> from MPCE for reuse</li> <li>■ <b>Identified key interfaces</b> to enable “plug and play” at lower level</li> <li>■ Developed <b>Technology Transition Development Plan</b></li> </ul>
	<p><b>Space Mobile User Objective System Program</b></p> <ul style="list-style-type: none"> <li>■ Deposited MUOS Common Air Interface (CAI) Waveform in the JTRS Information Repository and <b>provided assets to Nine (9) developers for possible integration</b> into their terminal product lines</li> <li>■ CAI Waveform and Satellite Bus are Based on <b>Commercial Standards</b> Resulting in Over <b>85% Reused or Modified Software</b></li> </ul>
	<p><b>Marine Corps</b></p> <ul style="list-style-type: none"> <li>■ Incorporated components from eight other programs developed by two other domains into Marine Air Ground Task Force Command and Control (MAGTF C2)</li> </ul>







*“We have to be prepared for the wars we are most likely to fight -- not just the wars we're best suited to fight, or threats we conjure up from potential adversaries with unlimited time and resources.”*

*—Secretary Gates, 15 Apr 09*





# *Army and Independent Research and Development*



**NDIA**  
**10th Annual Science & Engineering Technology Conference**

*Empowering Soldiers through High Technology*

*23 April 2009*



*Dr. Jagadeesh Pamulapati*  
**Deputy Director**  
**for Laboratory Management**



# *Introduction*

---

- *Army Science and Technology (S&T) Priorities*
- *The Next Generation of Revolutionary Technologies*
- *Independent Research and Development (IR&D)*



# Strategy—what is Army S&T working to achieve

*Foster innovation and accelerate/mature technology to enable Future Force capabilities while exploiting opportunities to rapidly transition technology to the Current Force*

## Current Force



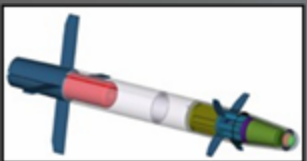
Modular Protective Systems



Add on Armor for Tactical Vehicles



Micro Air Vehicle



120mm Mid-Range Munition

Enabling the Future Force

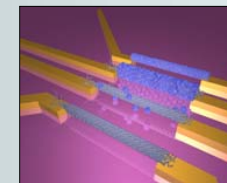


Enhancing the Current Force

## Future Force



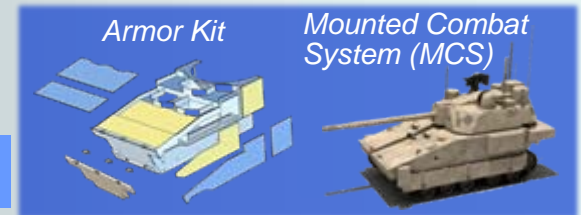
Immersive Training



Virus-based Self-Assembling Electrodes—Advanced Batteries



Wearable Flexible Displays







# Technology Area Investments to Achieve

## Warfighter S&T Outcomes

**FY09 \$1.8B**

**Force Protection  
\$370M**

**ISR \$149M**

**C4 \$144M**

**Lethality \$161M**

**Medical \$140M**

**Soldier \$135M**

**Logistics \$92M**

**Rotorcraft \$72M**

**Classified \$62M**

**Unmanned Vehicle \$54M**

**Mil Eng & Environment \$47M**

**Advanced Simulation \$37M**

**Basic Research  
\$379M**

**Shaping the  
FY09/10 Portfolio**



**List of 37 Tier One Warfighter  
S&T Outcomes (1 of 2)**

- Battle Command Network\*
- Counter IED and Mine\*
- Power & Energy\*
- Human Dimension\*
- Training\*

**"Big 5" Warfighter  
S&T Outcomes**



**10 Comprehensive Warfighter  
Outcomes— includes "Big 5"**



- **Battle Command Network**
- **Counter IED and Mine**
- **Power and Energy**
- **Human Dimension**



- **Training**



- **Force Protection**



- **Battlespace Awareness**



- **Force Application**



- **Logistics**

- **Unmanned Systems Operations**

**Includes all Army Tier I Outcomes—aligned with S&T portfolio**

**Enabling the  
Future Force**

**Enhancing the Current Force**

092308\_Killion\_ASTWG\_Final

High Technology Army ARMY S&T

- Prognostics & Diagnostics
- Alternative Energy Sources
- Force Health Protection Initiative
- Increase control of unmanned systems
- Future Force Multi modal Human Computer Interface
- Increase Future Force Soldier Cognitive Functions While Under Stress
- Language and cultural awareness
- Dismounted soldier virtual training environment
- Adaptive training system





# Big-Five Warfighter Outcomes that Guide S&T Investment

## Battle Command Network

- **Beyond-line-of-sight**
- **Optimized for mobile operations**
- **Increase access to the individual Soldier**

## Counter IED and Mine

- **Detect, identify and neutralize CBRNE obstacles**
- **Safe standoff distance**
- **Maintains maneuver force momentum while protecting Soldiers and platforms**

- **Enhanced agility to operate worldwide, reducing weight and volume**
- **Sufficient pulsed power enabling advanced lethality options**
- **Increased continuous power and fuel economy**
- **Dismounted Soldiers to possess twice available power, at half the tactical weight**

## Power & Energy

- **Enhance & restore cognitive and physical performance**
- **Soldiers incorporated into highly trained and competent small units**
- **Access on potential vs. high school performance**
- **Mitigate the increase in physiological and psychological stress**
- **Improving mental, moral and physical capacity and performance**

## Human Dimension

- **Live, virtual, constructive and mixed venues**
- **Impart more skills, faster, at lower cost, with greater retention than currently achievable**
- **Use non-traditional home station training techniques; train prior to employment**
- **Enhance and account for individual proficiencies and learning rates (outcome based)**

## Training



# Army S&T Priorities

---

- ***Battle Command Network***
- ***Counter IED and Mine***
- ***Power and Energy***
- ***Human Dimension***
- ***Training***
- ***Force Protection***
- ***Battlespace Awareness***
- ***Force Application***
- ***Logistics***
- ***Unmanned Systems Operations***

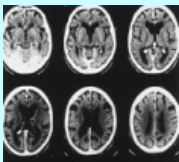
*S&T portfolio aligned with Warfighter needs*



# The Next Generation of Revolutionary Technologies

## Decade of the 1970's

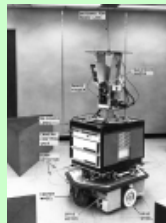
### Structural Imaging



1971 – First Practical X-ray Computed Tomography Image

### Artificial Intelligence

1970-  
Shakey  
the  
robot

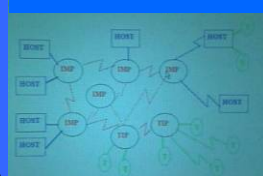


### Micro-processors



1971 – First 4-Bit Micro-Processor in Production

Schematic of Early ARPANET



ARPANET

### Super-computing

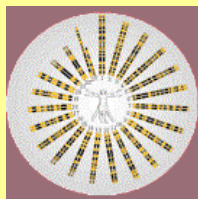


1975 – Cray I Supercomputer

### Arcade Games

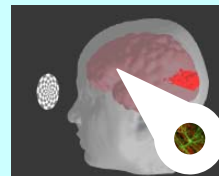


### Genetic Engineering



## Today for 2020 and beyond...

### Functional Brain Imaging & Beyond



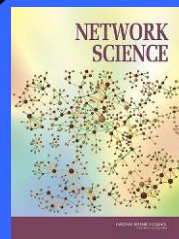
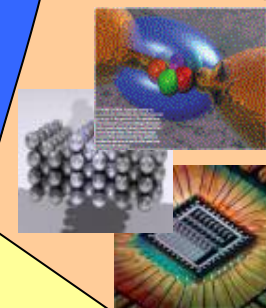
### Robotics



### Nano-technology



### Quantum Computing

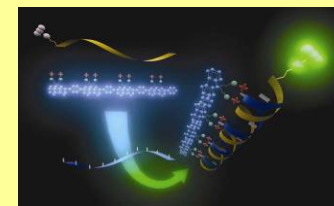


Network Science

### Immersive Environments



### Bio-technology



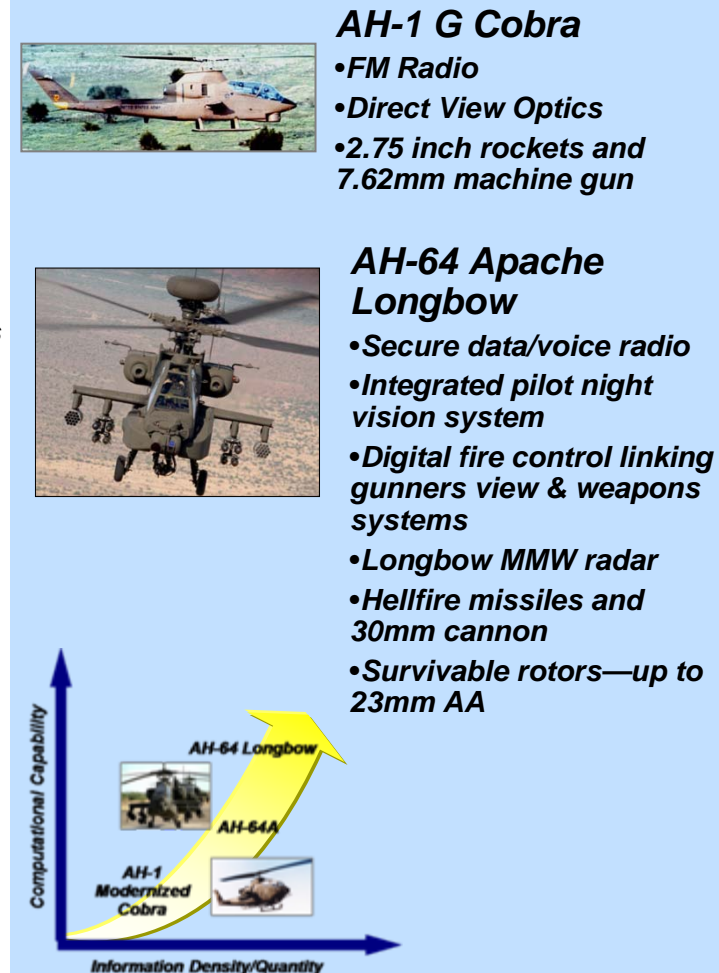


# Complexity Demands Disruptive Technology

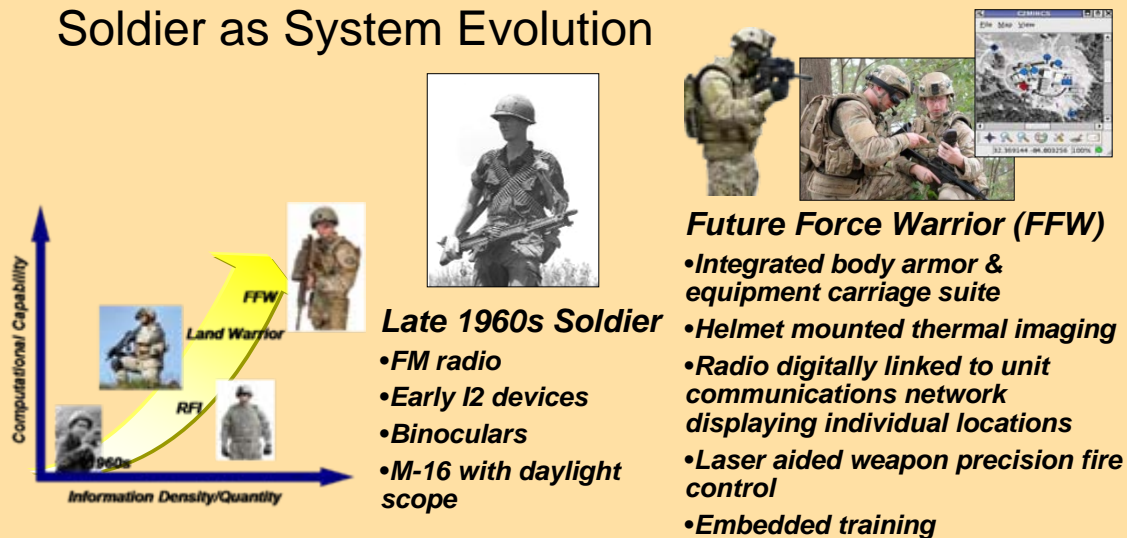
## Ground Combat Vehicle Evolution



## Helicopter Evolution



## Soldier as System Evolution

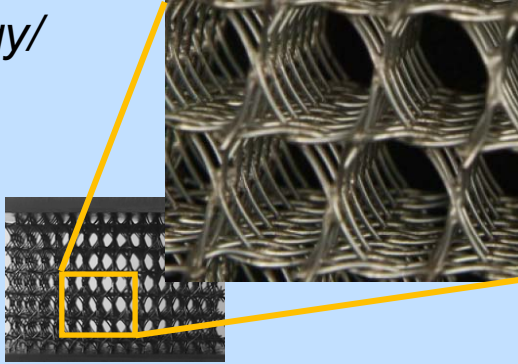
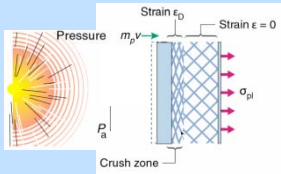




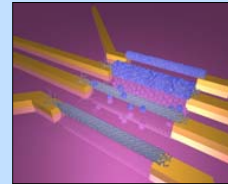


# Revolutionary Technologies

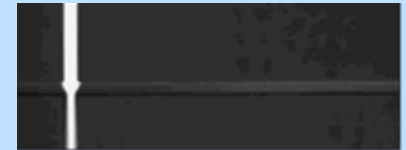
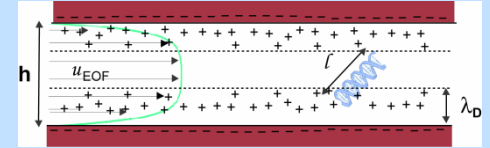
## Nanotechnology/ Biotechnology



Bio-Inspired Energy-Dispersive Materials

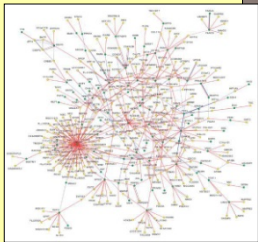


Virus-based Self-Assembling  
Electrodes



Nanofluidics

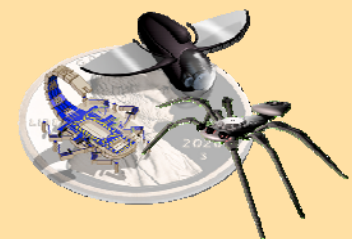
## Network Science



## Autonomous Systems



Nanoflyer



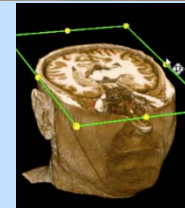
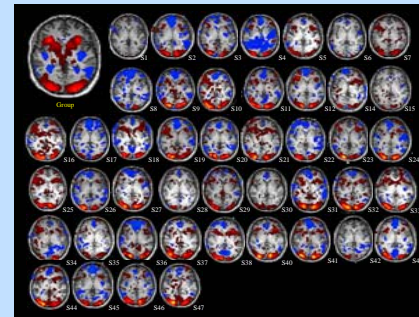
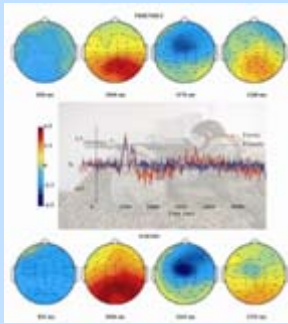
Micro Autonomous Systems  
Technology CTA





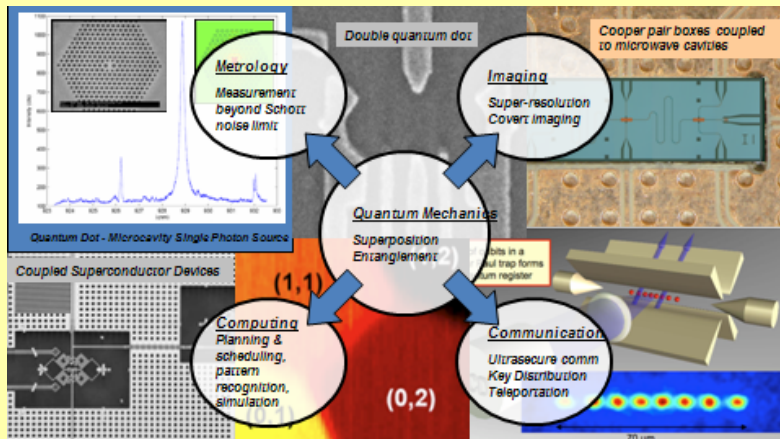
# Revolutionary Technologies

## Neuroscience



fMRI

## Quantum Information Science



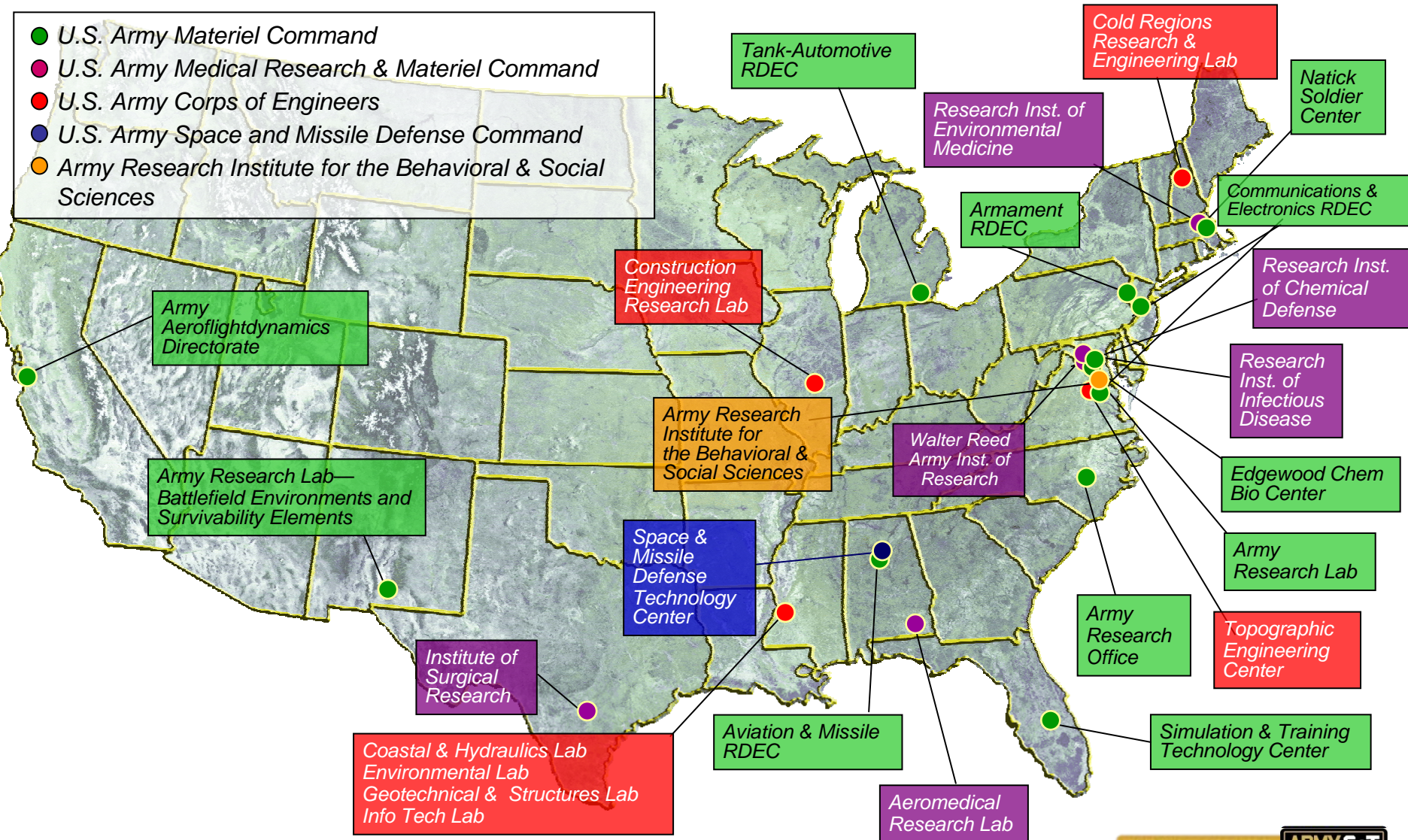
## Immersive Technology





# Army S&T Enterprise—Research, Development & Engineering Centers & Labs

- U.S. Army Materiel Command
- U.S. Army Medical Research & Materiel Command
- U.S. Army Corps of Engineers
- U.S. Army Space and Missile Defense Command
- Army Research Institute for the Behavioral & Social Sciences







# Partnering—leveraging other Services, Agencies, Academia, Industry & International

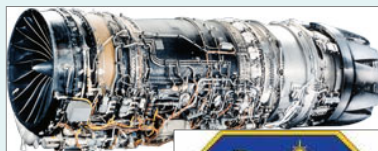
## Other Services

- Air Force
- Navy/USMC



PTSD treatment

Versatile, Affordable, Advanced Turbine Engine



## Agencies

- DARPA
- DTRA
- DoE labs
- DHS
- NIH
- NASA

Micro Air Vehicle



NLOS-LS



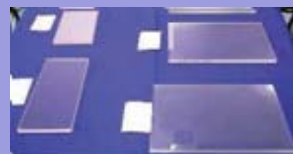
## Academia

- Georgia Tech
- MIT
- Penn State
- USC
- UMd
- UC System
- Delaware
- Michigan
- Arizona State

## Industry

- Primarily technology development to create options for PMs
- Small Business Innovation Research—solutions from non-traditional sources
- Army Venture Capital Initiative—dismounted Soldier power

Transparent Armor—Technology Assessment & Transfer, Inc.



## International

- The Technical Cooperation Program (US, UK, CA, AUS, NZ)
- NATO Research & Technology Organization
- Bilateral Agreements (UK, CA, IS, FR, GE...)



Co-investment with UK to advance state-of-the-art in network science





# Upcoming Events/Opportunities

---

- **TRADOC Information Information Exchange Program**
  - [http://www.arcic.army.mil/res\\_briefings.html](http://www.arcic.army.mil/res_briefings.html)
- **AMRDEC**
  - <http://www.redstone.army.mil/amrdec/News/events.html>
- **CERDEC Technology Interchange Meetings**
  - <http://www.cerdec.army.mil/business/ird.asp>
- **TARDEC**
  - <http://tardec.army.mil/events.asp>
- **ARDEC**
  - <https://www.pica.army.mil/TechTran/policy/index.asp#4>
- **AUSA (Winter/Spring)**
- **Army Science Conference**



# For More Information

---

- **Links to pertinent websites**
  - **RDECOM**
    - <http://www.army.mil/institution/organization/unitsandcommandands/commandstructure/rdecom/>
  - **US Army Corps of Engineers**
    - <http://www.usace.army.mil/Pages/Default.aspx>
  - **US Army Medical Research and Materiel Command**
    - <https://mrmc-www.army.mil/>
- **Service IR&D Lead**
  - **Contact info:**
    - **Dr. Jagadeesh Pamulapati**
    - **703.601.1515**
    - **[Jagadeesh.Pamulapati@us.army.mil](mailto:Jagadeesh.Pamulapati@us.army.mil)**



**Kunar Province, Afghanistan**

# **Harvesting Technology for Warfighters**



**Dr Chuck Perkins**

**Acting DUSD (Advanced Systems & Concepts)**

**Joint Precision Airdrop System ACTD**

“There is nothing more difficult to carry out, nor more doubtful of success, nor more dangerous to handle, than to initiate a new order of things ...Whenever his enemies have the abilities to attack the innovator, they do so with the passion of partisans, while others defend him sluggishly, so that the innovator and his party alike are vulnerable” --

Nicco Machiavelli, *The Prince*

# UAVs since 1994

Before 1994, DoD cancelled nine UAV programs. By 1 Oct 2008, DoD had fielded over 6,000 unmanned aerial systems, the following aided by AS&C initiatives:



High Altitude Endurance UAV ACTD produced *Global Hawk* 9



Medium Altitude Endurance UAV ACTD produced *Predator* (109) and *Reaper* (26) 135



Tactical UAV ACTD led to *Shadow* 288



Scan Eagle 13



Expendable UAV ACTD produced *TigerShark* 12



Micro Air Vehicle ACTD 54



Small UAV ACTD produced *Raven* 4,995



## **Our Job**

### **Harvesting Technology for Warfighters**

## **Our Goals**

**Find mature technology for warfighting needs**

**Get to warfighters within the budget cycle**

**Overcome obstacles and gaps**

**Minimize risks in acquisition**

**Ensure sustainable technologies**



**Deep bleeding control enabled by  
Office of Technology Transition**

# We Don't Do it Alone

## Our Partners





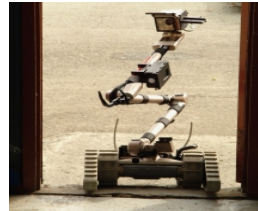
# Tools Brought to Bear -- Sustainable Technologies

Residuals



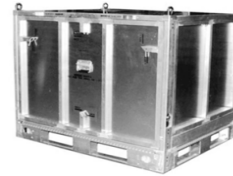
Thermobarics ACTD – 20 warheads provided to combatant commander

Program of Record



CUGR ACTD -- CBRN unmanned ground vehicle transitioned to Joint NBC Reconnaissance System Increment 2 Program

GSA Schedule



JMIDS ACTD and Technology Transfer – Joint Modular Intermodal Container in GSA catalog

Concepts



CAESAR ACTD – Standard NATO Agreement on mobile targeting

Spin offs



Counter Sniper ACTD – Bullet Ears forerunner of Boomerang gunfire detection system

## Their Value-Added



### New Capabilities

US maritime domain awareness enabled by MASTER JCTD



### Coalition Capabilities

Coastal surveillance network for African nations enabled by RMAC JCTD



### Improved Capabilities

Distant warfighters get faster access to large amounts of map data, with Large Data JCTD



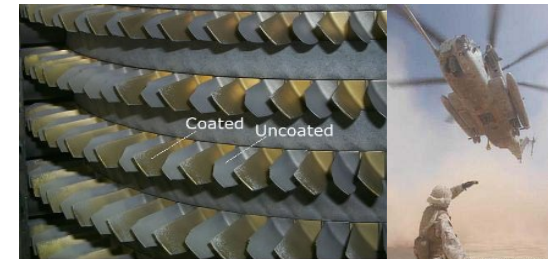
### Faster Warfighting

Communications planned in 20 minutes, vice 24 hours, with software enabled by DAC



### Improved Systems

UH-60 helicopter range/payload increased with lighter materials enabled by ManTech and DAC



### Extended System Use

helicopter use extended in desert with TiN coated turbine blades, enabled by FCT and TTI

# Their Value Added



## Reduced Maintenance

Air generator eliminated removal of nitrogen cooling bottles in Sidewinder missiles, saving \$50M in life cycle costs, with FCT



## Smaller Logistics Footprint

Number of electronic testers reduced and faster diagnostics achieved, with ARGCS ACTD



## Affordable Systems

Cost of uncooled focal plane arrays in vision systems was cut from \$16,000 each, to under \$2,000 with ManTech



## Assured Future Systems

Radiation-hardened processors produced for advanced spacecraft with help from DPA Title III



# Game Changers and Life Savers

**“We’ve revolutionized the way we resupply the Warfighter” --  
Maj Gen Scott Gray, Commander, Air Mobility Warfare Center  
(Joint Precision Air Drop System ACTD)**



**“The Marines own Fallujah with biometrics” – US Central  
Command Assistant Chief of Staff for Intelligence,  
(Human Intelligence and Counterintelligence Support  
Tools ACTD)**

**“We have already made a 100-year warfighting leap ahead with  
MQ-1 Predator, MQ-9 Reaper, and Global Hawk”  
– General Barry McCaffery USA (ret)**



**Zephyr JCTD**

**“What's great is that you can send the Raven out ahead of a  
convoy and check for activity ...It can really save lives“ -- Army  
Staff Sergeant Company D, 5th Squadron, 73rd Cavalry Regiment  
(Small UAV ACTD )**



# Use Beyond Defense

**Non-DoD organizations using energy-efficient LED lights, enabled by DPA III**

**New York and Ohio power grids using YBCO tape enabled by DPA III**

**NASA conducting earth research with Global Hawk from ACTD**

**NASA's Mars Orbiter using Hardened Microprocessors aided by DPA III**

**Center for Disease Control using ACTD diagnostic system in Africa**

**Miami-Dade County Police Department testing Micro Air Vehicle from ACTD**

**Health Care industry benefiting from:**

- **Hearing Pill enabled by OTT CRADA and TTI**
- **SAM Sling for pelvic fractures enabled by MilTech**
- **Medical devices using tough, rigid rod polymers enabled by DPA III**
- **Rescue Wraps enabled by MilTech**





**Invention is not enough --**

# **Getting technology used requires processes**

**“Successful innovation often demands an innovative business model”**

**-- John Seely Brown , Director Emeritus, Xerox Palo Alto Research Center**

- Estimate: 3-5 percent of government inventions reach commercial success**
- Time between invention and use is at least 10 years**
- Many inventions often have perilous paths to use**
- The inventor is often not the one who gets a technology used**

**AS&C provides processes for innovation**

**-- they get product solutions used in defense**

# The Core of Our Processes – Knowing User Needs



**We spend our time**

- **Listening to warfighters**
- **Listening to technology providers**
- **Overseeing initiatives**
- **Ensuring sustainable solutions**

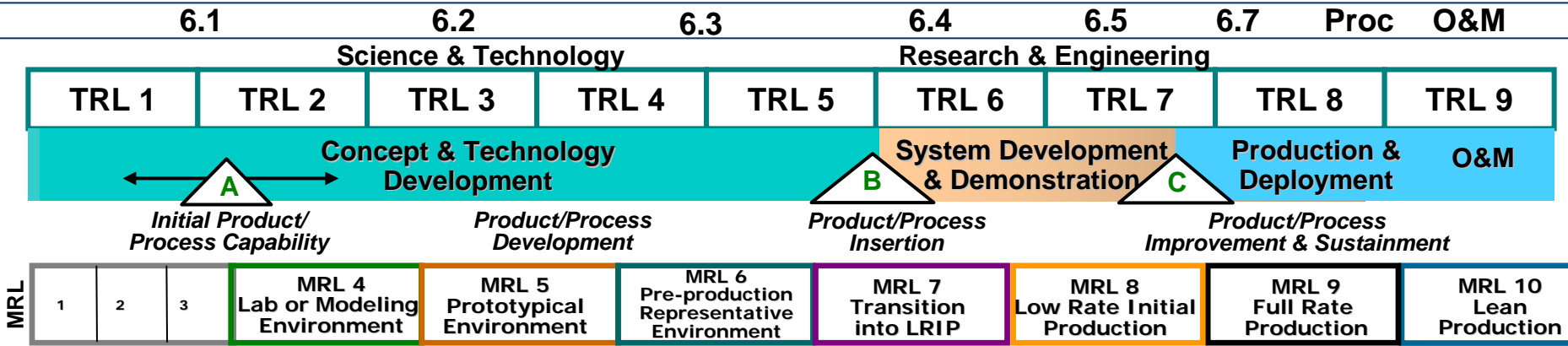
# AS&C bridges S&T and Warfighting

## S&T Sources

- DoD Labs
- Other Government Labs
- Universities
- Industry
- Other Nations

Lightweight Modular Causeway System  
from Joint Enable Theater Access – Seaports of Debarkation ACTD

# AS&C Processes Rapidly Insert Technology into Acquisition Process



**COCOM /Joint/Coalition focused**

**Joint Capability Technology Demonstrations**

**Demo 1-3 yrs**

**AC/JCTDs Transition Enabler – “joint peculiar” capabilities**

**JCTD Transition & DAE Pilot Program**

**Tests-to-Procure domestic technologies**

**Defense Acquisition Challenge**

**Tests-to-Procure foreign technologies**

**Foreign Comparative Testing**

**Pushes technology out of labs**

**Tech Transition Initiative**

**Transfers DoD technology**

**Technology Transfer**

**to private sector**

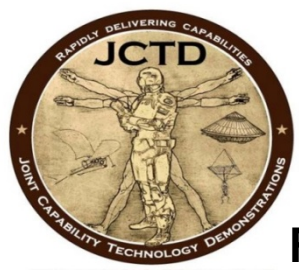
**Helps produce US technologies critical to national security**

**Defense Production Act (Title III)**

**Improves manufacturing**

**Defense Manufacturing Technology**





# Joint Capability Technology Demonstrations

Rapidly addresses joint, coalition, and interagency capability gaps

Validates JCTD need via JCS process and military utility assessment

Partners with service/agency and warfighters to address gaps

Provides rapid prototypes and concepts for capability gaps

Demonstrates solutions in 1-3 years

Provides business case analysis for solution's cost and support

Transitions solution to enduring capability via service/agency partnership



Stand Off Precision Guided Munition  
JCTD meets need for stand-off strikes



CORSOM ACTD provides overview  
of coalition forces deployment



CHAMPION JCTD meets HUMINT  
Teams need for communications





# The JCTD Record

**“The JCTD program has been an important tool in developing technology solutions, to validate COCOM warfighting shortfalls, and then transitioning these solutions to enduring, sustainable capabilities” -- General Bantz J. Craddock US Army, Commander, US European Command, March 5, 2008**

**185 ACTD/JCTDs have been selected for initiation**

**80 percent of 127 completed transitioned products to warfighters**

**Over 45 ACTD/JCTDs deployed to OEF, OIF and other operations**

**35 percent involve coalition/partner nations**

## **Awards and recognitions:**

- Link 16 -- Windows World Wide Open Product Award, presented by Bill Gates
- Airbase/Port Biological Detection ACTD – David Packard Excellence in Acquisition Award, 1998
- High Altitude Endurance ACTD -- Robert J. Collier Trophy, National Aeronautic Association, 2000
- CAESAR ACTD -- AFMC International Armaments Cooperation Team Award, 2002
- Thermobaric ACTD -- Special Achievement Award from International Test & Evaluation Association, 2004
- MANPACK ACTD – Tibbetts Award, for innovation involving Federal SBIR program, 2006
- Hunter Standoff Killer team ACTD – Shepard Group’s Integrator of the Year Award 2007
- Stand Off Precision Guided Munition JCTD -- David Packard Excellence in Acquisition Award, 2008
- Focused Lethality Munition JCTD – William J. Perry Award
- Stand Off Precision Guided Munition JCTD – William J. Perry Award, 2009
- JETA– SPOD JCTD -- Defense Logistics award for "Technology Implementation of the Year," 2008



Comparative Testing Office – 80 percent transitioned over last eight years

# Defense Acquisition Challenge Program

Searches for and “tests-to-procure” US technologies meeting warfighting needs

Allows anyone to propose improved system performance, production or affordability.

Annually solicits and selects proposals

Funds testing of proposed technology

Successfully tested items have procurement path

Items tested in about two years, some finishing faster

Avoided \$9 in R&D and other costs for every \$1 spent on testing

Last 3 years, over 25% of tested proposals came from “first time” DoD companies



Medical personnel train with patient simulator, enabled by DAC



Marines use 3-in-1 unit to power, cool and heat field facilities, enabled by DAC



Special operators use fly-away SatCom, enabled by DAC



# Comparative Testing Office – 80 percent transitioned over last eight years

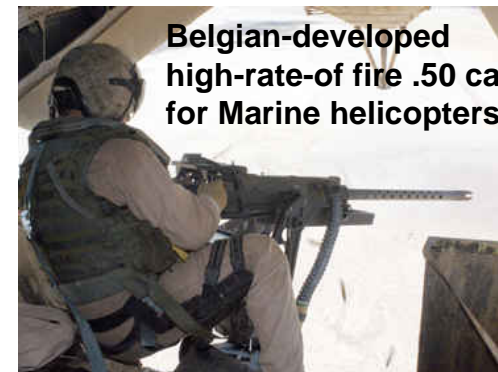
## Foreign Comparative Testing Program

Searches for and “tests-to-procure” foreign technologies meeting warfighting needs



Swedish-developed anti-armor weapon for Rangers

Annually solicits and selects proposals  
Funds testing of proposed technology  
Successful items have procurement path  
Items tested in two years, some sooner  
On average, cut fielding by 5-7 years



Belgian-developed high-rate-of fire .50 cal for Marine helicopters

Avoided \$7 in R&D and other costs for every \$1 spent on testing

Since 1980, DoD has avoided estimated \$7.6 billion in RDT&E costs

29 nations have participated in program

Resulted in US jobs in 33 states

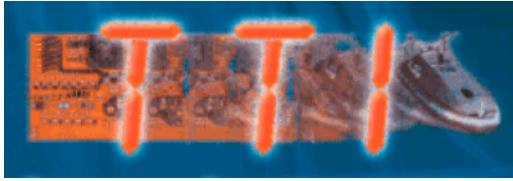


South African-developed Buffalo



French-developed Mobi-mat for fast set-up helipads





-- Transitioned 75 percent of technologies aided

# Technology Transition Initiative

Helps new technologies bridge “Valley of Death”

Identifies promising technologies meeting DoD goals and requirements

Helps fund technologies’ transition from labs

Demonstrates technologies in relevant environment

Accelerates new technologies to operational capabilities by 24 months



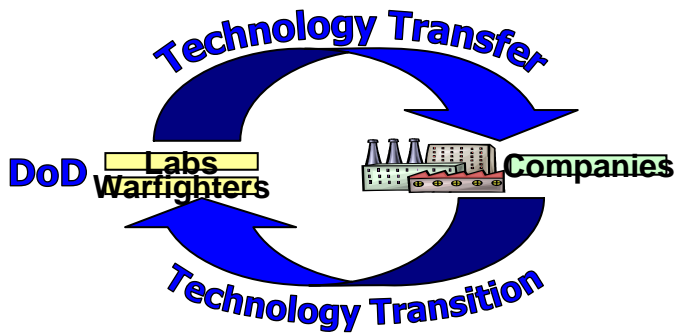
**Navy-developed Hearing Pill, fighting hear loss, undergoing clinical trials with help from TTI**



**Unmanned Sea Surface Vehicle delivered two years ahead schedule with help from TTI**



**Safer, insensitive 155 munitions being accelerated to warfighters with TTI help**



# Technology Transfer

Moves DoD technology to industry for production for warfighters

Links DoD labs and industry via intermediaries

- *DoD TechMatch* – Makes known DoD technologies available for transfer
- *FirstLink* – Links companies to first-responder technologies
- *TechLink* – Helps labs and industry with technology transfer agreements



DoD TechMatch identified an AFRL technology, helped create a capability and delivered 2,300 Bombots for EOD in Iraq

Enables direct exchanges between DoD labs and industry

- Collaboration -- Cooperative Research and Development Agreements
- Intellectual property use – Patent Licensing Agreements



Alternative fuel for USAF aircraft developed with CRADA

Aids small innovative companies' production via MilTech

MilTech helped company expand its application of camouflage military wraps







# Defense Production Act, Title III

Ensures production of technologies essential to national security

**DoD's one tool for addressing production shortfalls**

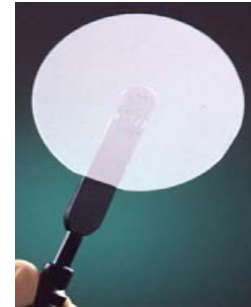
**Provides incentives to create/expand production**

- Purchases or commitments to purchase
- Installs equipment in government or private facilities

**Bridges gap between R&D and acquisition**

**Addresses shortfalls across programs**

**Strengthens competitiveness of US industrial base**



DPA III aided production of Silicon Carbide Substrates for next generation radar, also used in energy efficient LED lighting in Pentagon and other government buildings



DPA III aided production of YBCO Tape for more efficient electrical transmission in defense systems, also used in cities' power grids



# Defense Manufacturing Technology Program

**Enables fast, affordable and efficient manufacturing**

**Improves fabrication of metals, composites and electronics**

**Enables advanced and affordable manufacturing, maintenance and repair processes**

**Pursues manufacturing processes optimizing development**



**60 ManTech projects are expected to provide \$30 million savings in construction of each Virginia-class submarine.**



**ManTech cut weight and parts in the M777 howitzer and avoided \$40 million in manufacturing costs**

Constant innovation is the new defense demand





# 10<sup>th</sup> Annual NDIA Conference

---

## Air Force STEM Workforce Today and Tomorrow

Leif E. Peterson

Member, NRC Committee on USAF STEM Workforce  
Needs and Strategy



# The National Academies

- National Academy of Sciences
- National Academy of Engineering
- Institute of Medicine
- and National Research Council (NRC)

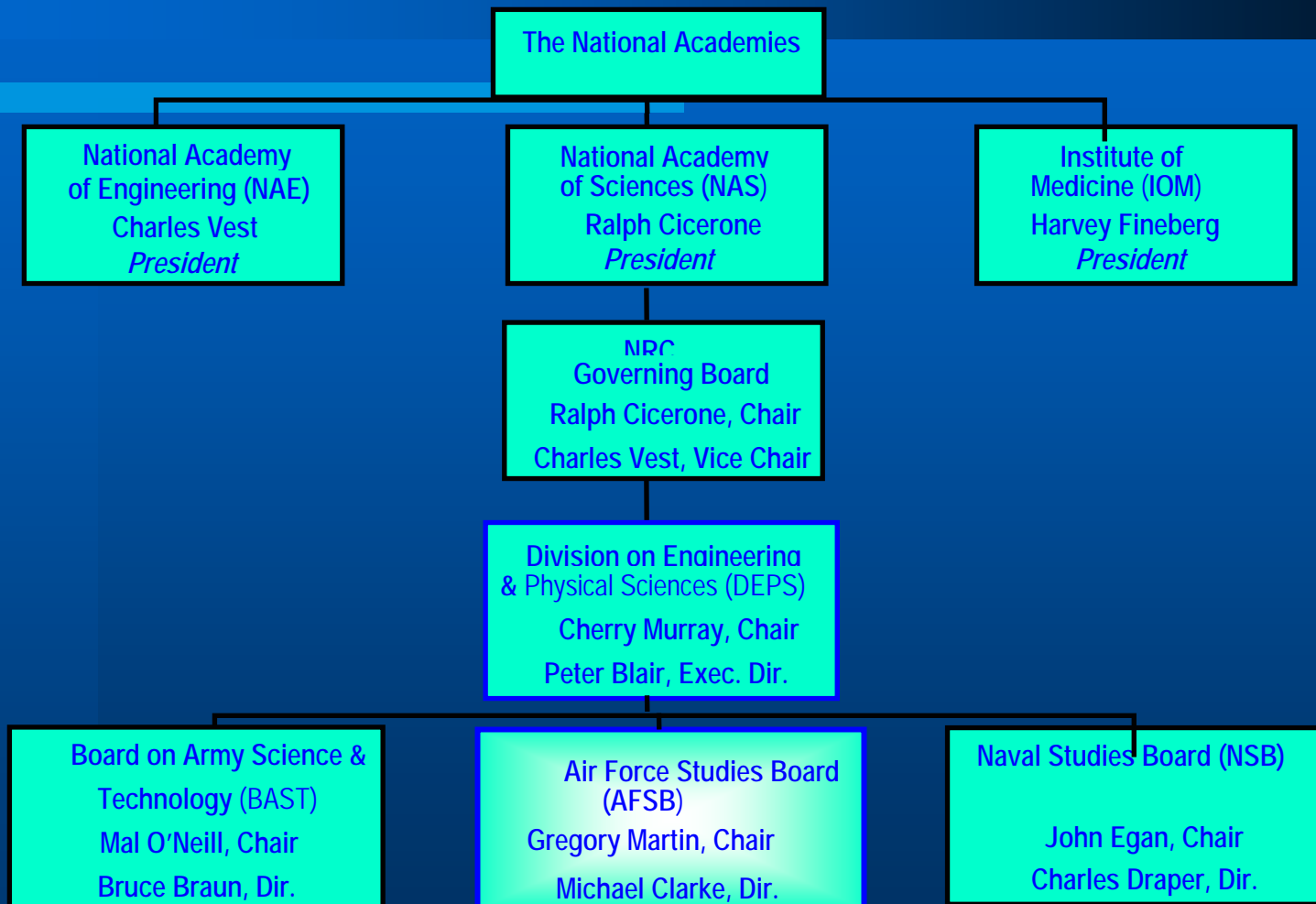
**Not-for-profit corporation –**

**Executive Order 2859**

**Non-competitive contracting**

**<http://www.nationalacademies.org>**

# The National Academies



# RULES OF THE ROAD

---

- Section 15 of Federal Advisory Committee Act (FACA) governs activities of ad hoc committees
- **NRC speaks only through peer-reviewed written reports.** Any other advice is the opinion of the individual committee member and should not be considered “official”
- NRC Governing Board approves studies, boards and committee members - not the sponsor

# Study Roadmap

## DEFINING THE STUDY

**NRC  
Governing  
Board  
reviews and  
approves  
study scope  
and plan**

**STAGE 1**

## COMMITTEE SELECTIONS AND APPROVAL

**Project  
Starts when  
funding is  
received**

**Provisional  
slate  
approved by  
NAS  
president**

**Provisional  
committee  
posted for  
public  
comment via  
Current  
Projects  
System**

**STAGE 2**

Committee balance and expertise evaluated; any conflicts of interests are investigated

Final committee formally approved

Monitoring for potential conflicts of interest continues

Reviewers comment on report

National Academies sign off on report

**COMMITTEE SELECTION AND APPROVAL**

**REPORT REVIEW**

**COMMITTEE MEETINGS, GATHERING, DELIBERATIONS, AND DRAFTING REPORT**

Committee's first meeting

Full Committee signs off on draft report

Lastly the report is released to the sponsor and the public

**STAGE 3**

**STAGE 4**



# NRC STEM Study

---

**Examination of the U.S. Air Force's  
Science, Technology, Engineering,  
and Mathematics (STEM) Workforce  
Needs in the Future and Its Strategy  
to Meet Those Needs**

# Study Origin

---

- Requested by Terry Jagers, SAF/AQR
- Co-sponsored by Joseph McDade, HAF/A1D

# Statement of Task

- Assess the STEM capabilities the U.S. Air Force
- Determine whether those capabilities will meet AF needs
- Identify and evaluate strategy options
- Address STEM capability in terms of functional mgt areas
- Identify and evaluate options re organization and management of Air Force STEM workforce
- Recommend strategies to meet STEM needs in the future

# Members

Natalie W. Crawford, *Co-Chair*

William P. Ard

James B. Armor, Jr.

Earl H. Dowell

Richard P. Hallion

Michael A. Hamel

Ray M. Haynes

Leon A. Johnson

Lester McFawn

George K. Muellner, *Co-Chair*

Michael C. McMahan

Donald L. Peterson

Leif E. Peterson

Albert A. Robbert

Paula E. Stephan

Todd I. Stewart

Ronald W. Yates

# NRC STEM Study

- Five Meetings – Aug 2008 Jan 2009
- 25+ speakers & presentations
- SAF/AQR & X, A1, A8, A9, HQ AFMC & Centers, ACC, AMC, AFSPC, AFIT, USAFA, AETC, AFRS, Toffler Assoc., SAIC, Battelle
- Numerous studies & reports  
(i.e., AF, NRC, NDIA, National Science Foundation)



# Status

---

- Pending peer review
- Final edits
- Approval & release – late June, early July

# Norm Augustine – Jan 2009

## Congressional Testimony

### Competing in the New World Economy

Past 50 years – 50-85% GNP growth rooted in S&E  
4 % of workforce in S&E

#### “Gathering Storm”

K-12 education in US – one of worlds worst, spend more per capita than all nations but two  
Engineering & physical sciences grads - down 20%  
US citizens achieving PhD's – down 34%  
Engineering PhD's in US – 2/3 are non-US citizens

# Interventions elsewhere.....

---

NASA – 50% new hires – ‘fresh outs’

Navy – CNO, 65% Academy 2013 grads in science, engineering, or math majors

# Something to Think About...

“If you don’t solve (the K-12 education problem), nothing else is going to matter all that much.”

Alan Greenspan

“The only sustainable competitive advantage is the ability to be able to learn faster than your competition.”

Peter Senge

“You are today where your thoughts have brought you, you will be tomorrow where your thoughts take you.”

James Allen





**Professor Robert Clark**  
**Chief Defence Scientist &**  
**Chief Executive Officer**

**Defence Science & Technology Organisation**  
**Department of Defence**  
**Australia**

**10<sup>th</sup> Annual SET Conference**

**21 April 2009**

**The Australian Perspective**

**Unclassified**

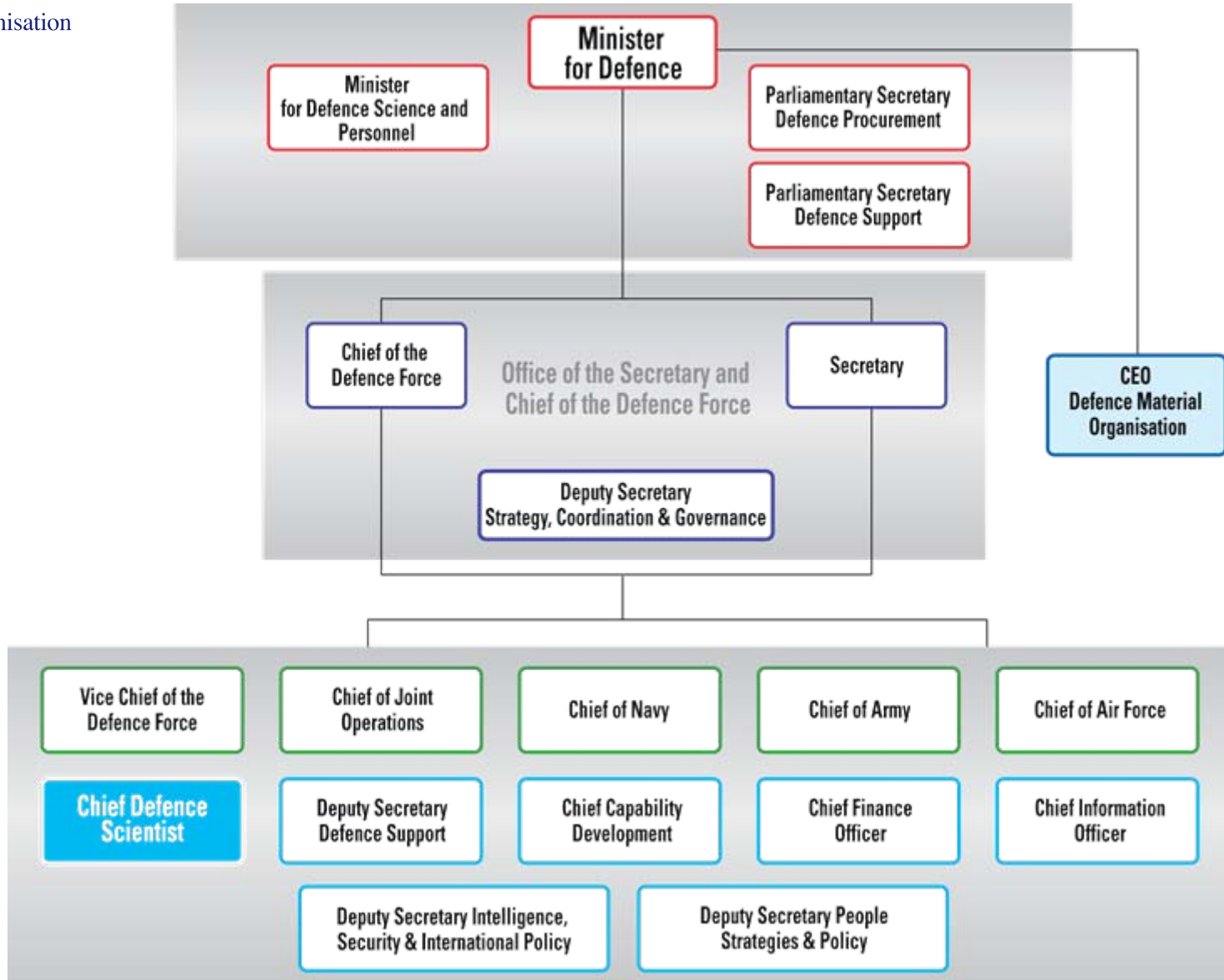


# DSTO at a Glance





# DSTO in Defence



# DSTO Structure

**Chief Defence Scientist**  
Professor Robert Clark

**Deputy CDS**  
Platform & Human Systems  
Dr. Ian Sare

**Air Operations Division**  
Chief: Mr. David Graham

**Air Vehicles Division**  
Chief: Dr. Ken Anderson

**Human Protection & Performance Division**  
Chief: Dr. Simon Oldfield

**Maritime Operations Division**  
Chief: Dr. John Riley

**Maritime Platforms Division**  
Chief: Ms. Janis Cocking

Melbourne

**Chief Operating Officer Division**  
COO: Dr. Len Sciacca

**Group Finance Officer Branch**  
GFO: Mr. Martyn Taylor

**Science Strategy & Policy Branch**  
DG: Dr. Lynn Booth

**Scientific Engineering Services**  
Manager: Mr. Mick Bone

**Projects & Requirements Division**  
Chief: Mr. Jim Smith

**Science Industry & External Relations Branch**  
AS: Alan Gray

**Science Corporate Information Services**  
Deputy CIO (Acting):  
Mr. Stefan Landherr

**Deputy CDS**  
Information & Weapon Systems  
Dr. Warren Harch

**Command, Control, Communications & Intelligence Division**  
Chief: Dr. Mark Anderson

**Electronic Warfare & Radar Division**  
Chief: Dr. Jackie Craig

**Intelligence, Surveillance & Reconnaissance Division**  
Chief: Dr. Tony Lindsay

**Land Operations Division**  
Chief: Mr. Steve Quinn

**Weapons Systems Division**  
Chief: Dr. Bruce Ward

**Joint Operations Division**  
Chief: Dr. Jennifer Clothier

**DSTO Operations Support Centre**  
RL: Phil James

**Counter Terrorism & Security Technology Centre**  
Executive Director : Neil Bryans

**Chief Systems Integration Officer**  
Dr. Nanda Nandagopal  
Secondment to DMO

# DSTO Structure

**Chief Defence Scientist**  
Professor Robert Clark

**Deputy CDS**  
Platform & Human Systems  
Dr. Ian Sare

**Air Operations Division**  
Chief: Mr. David Graham

**Air Vehicles Division**  
Chief: Dr. Ken Anderson

**Human Protection & Performance Division**  
Chief: Dr. Simon Oldfield

**Maritime Operations Division**  
Chief: Dr. John Riley

**Maritime Platforms Division**  
Chief: Ms. Janis Cocking

**Scientific Engineering Services**  
Manager: Mr. Mick Bone

**Chief Operating Officer Division**  
COO: Dr. Len Sciacca

**Group Finance Officer Branch**  
GFO: Mr. Martyn Taylor

**Science Strategy & Policy Branch**  
DG: Dr. Lynn Booth

**Projects & Requirements Division**  
Chief: Mr. Jim Smith

**Science Industry & External Relations Branch**  
AS: Alan Gray

**Science Corporate Information Services**  
Deputy CIO (Acting):  
Mr. Stefan Landherr

**Deputy CDS**  
Information & Weapon Systems  
Dr. Warren Harch

**Command, Control, Communications & Intelligence Division**  
Chief: Dr. Mark Anderson

**Electronic Warfare & Radar Division**  
Chief: Dr. Jackie Craig

**Intelligence, Surveillance & Reconnaissance Division**  
Chief: Dr. Tony Lindsay

**Land Operations Division**  
Chief: Mr. Steve Quinn

**Weapons Systems Division**  
Chief: Dr. Bruce Ward

**Joint Operations Division**  
Chief: Dr. Jennifer Clothier

**DSTO Operations Support Centre**  
RL: Phil James

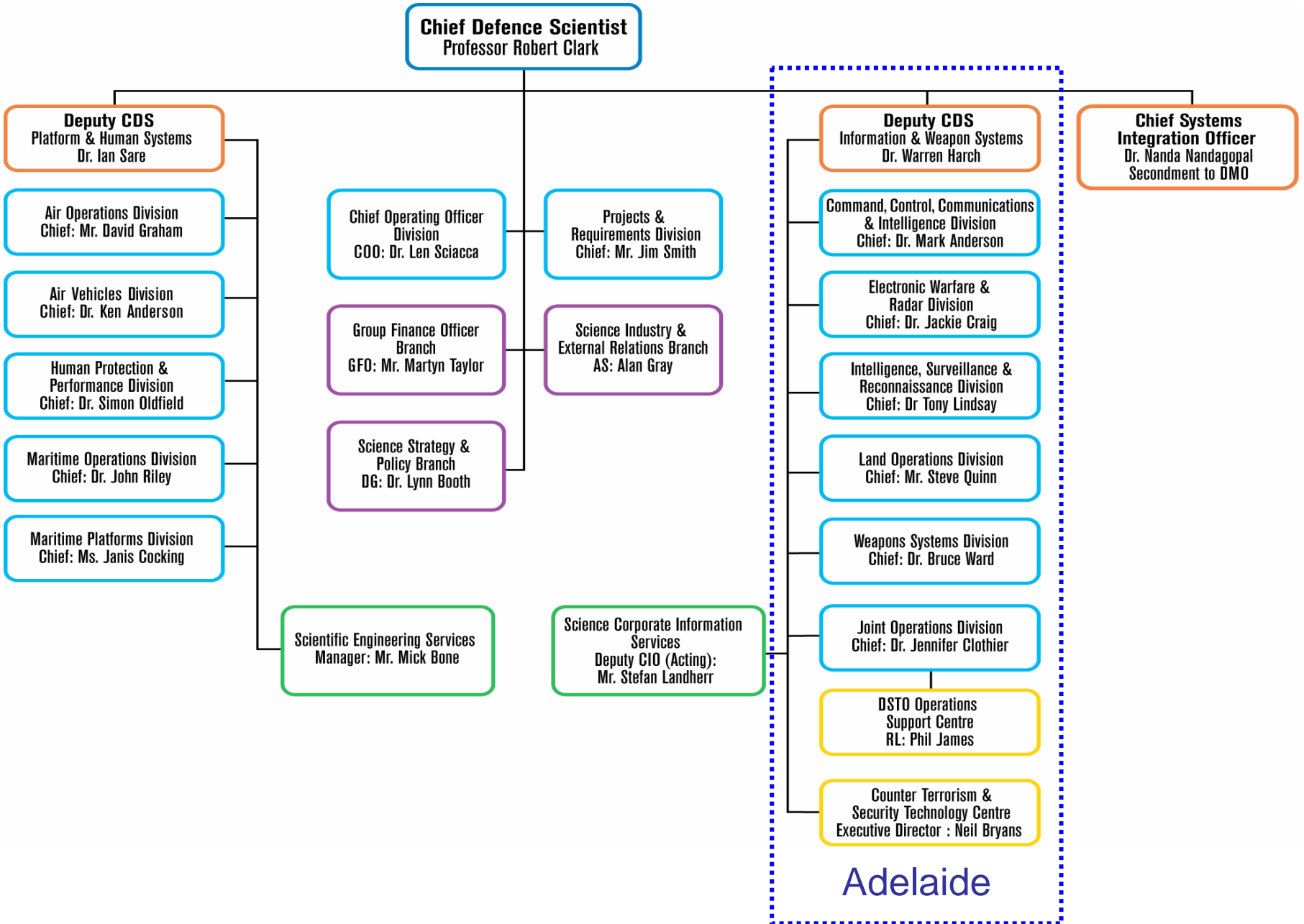
**Counter Terrorism & Security Technology Centre**  
Executive Director : Neil Bryans

**Chief Systems Integration Officer**  
Dr. Nanda Nandagopal  
Secondment to DMO

Canberra



# DSTO Structure





Australian Government  
Department of Defence  
Defence Science and  
Technology Organisation

# DSTO Major Facilities



Adelaide



Melbourne



# Selected DSTO Achievements /1

- JORN Phase 5 Enhancement Program
- F/A-18 Hornet Structural Testing





# Selected DSTO Achievements /2

- “Shapes Vector”  
Network Security



- Nulka





# DSTO Role

- Enhance Australian Defence and national security **operations**
- Support the **sustainment** of in-service capabilities
- Deliver key advice and technology solutions for **future capability**
- Build Defence capacity through partnerships with **industry**







Australian Government  
Department of Defence  
Defence Science and  
Technology Organisation

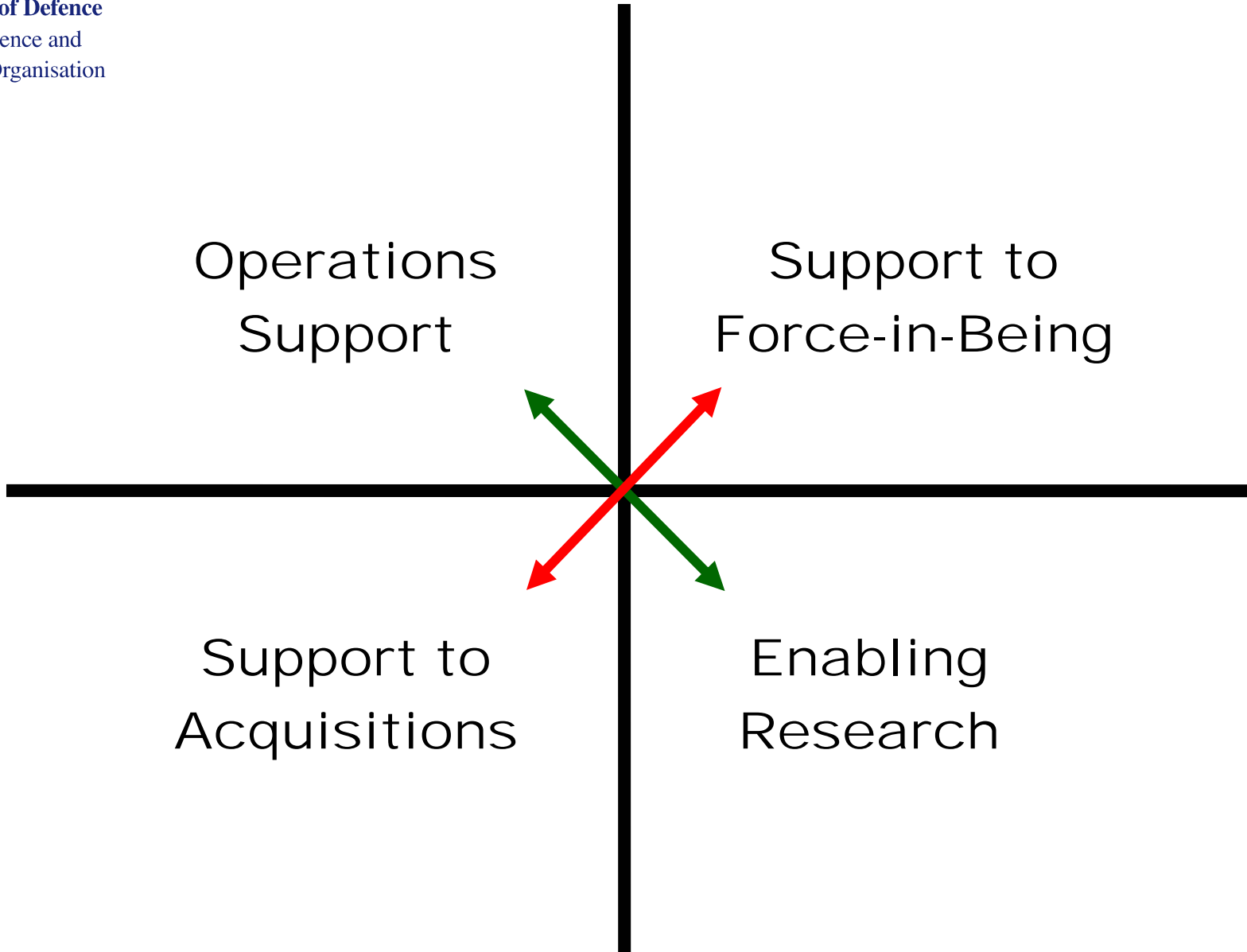
# DSTO Focus

Operations  
Support

Support to  
Force-in-Being

Support to  
Acquisitions

Enabling  
Research





# I: Support to Operations /1

- Deployed Operations Analysts (OA)
  - DSTO responsible for raising, training and deploying two-person OA teams on ADF operations
  - > 60 personnel deployed to 7 countries since 2005
  - Currently maintain 4 teams around the globe
- Operational Reachback Program
  - Link between deployed analysts and broader defence science community
  - Set of dedicated and committed staff available to respond to requests for science and technology assistance





# I: Support to Operations /2

## Headquarters Joint Operations Command (HQJOC)



## Joint Task Force Headquarters



## Fighting Elements



## DSTO Contributions



Battlelabs



Deployed scientists



Technology insertion



## II: Operational Support for ADF Platforms

- Maximising operational effectiveness
- Support to capability enhancements
- Position for the future



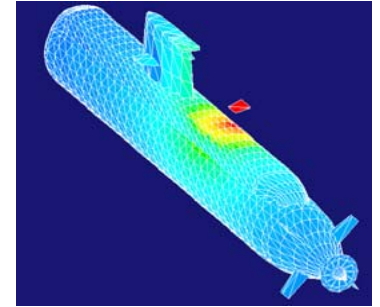


Australian Government  
Department of Defence  
Defence Science and  
Technology Organisation

## II: Shock Trial of HMAS Rankin

**Outcomes:** Validated static & dynamic structural performance

R&D advice to support safety, reliability & functionality

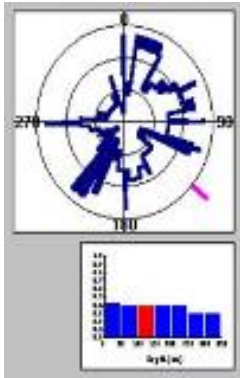






# II: Signature Management

## Threat Analyses



## Measurement

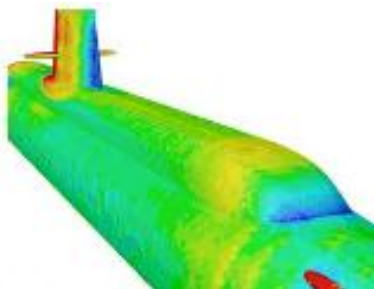


## Analysis

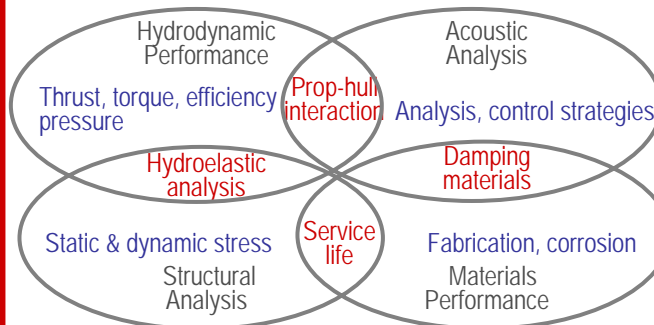


Provides essential information for complete signature awareness and management

## Modelling



## Propeller design



## Control





# II: Support of F/A-18 Structure

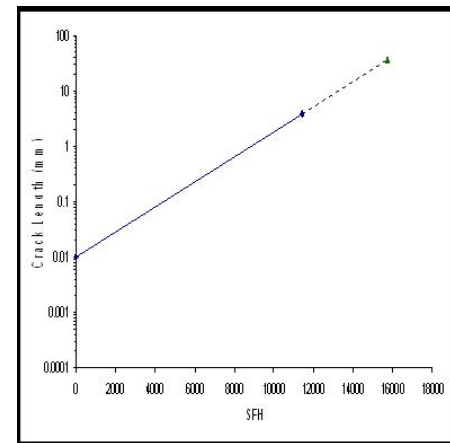
- Improve fatigue crack growth modelling
- Combined with fleet management

### Outcomes:

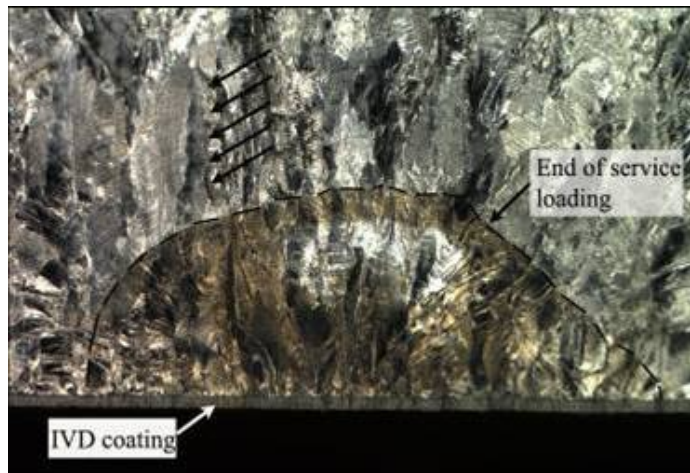
- Improved operational flexibility
- Improved availability
- Large cost savings



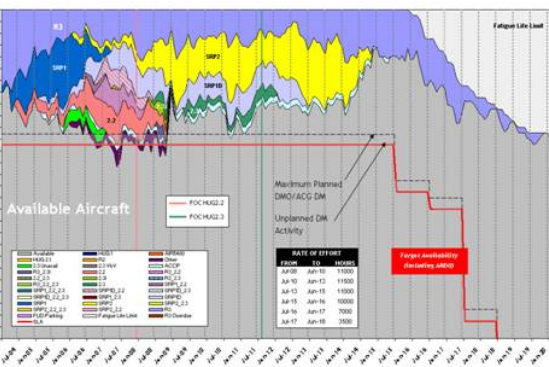
Centre Barrel in test rig



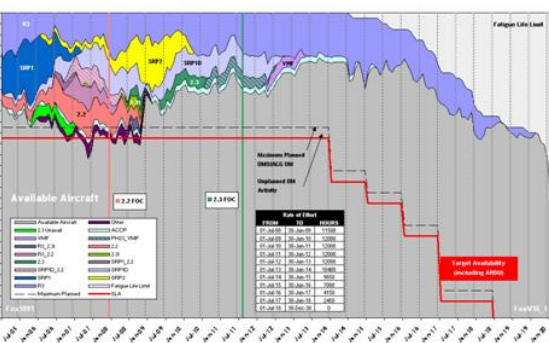
Crack growth



Fracture surface of bulkhead



Prior to program 41- 45 Centre Barrels due to be replaced



After program 10 - 15 due to be replaced



## III: Support to Acquisitions

SEA 1000  
Future  
Submarine

SEA 4000  
Air Warfare  
Destroyer

AIR 6000  
New Air  
Combat  
Capability

LAND 400  
Combat  
Vehicles



## IV: Enabling Research

- Cyber
- Electronic Warfare
- OTHR
- Hypersonics
- CIED – Force Protection
- Signature, Power and Energy
- USW
- UAS
- Systems Integration





# Collaborating to Innovate

- **Rapid Prototyping, Development and Evaluation Program (RPDE)** – collaborating with Australian Industry.
- **Defence Future Capability Technology Centre (DFCTC)** – linking government, research agencies and industry to develop Defence capability.
- **Centres of Expertise (CofE)** – helping universities to focus on research and technology areas of interest to Defence.
- **Capability Technology Demonstrator Program (CTD)** – helping industry to develop new technology with strong military potential.







# Capability Technology Demonstrator Program

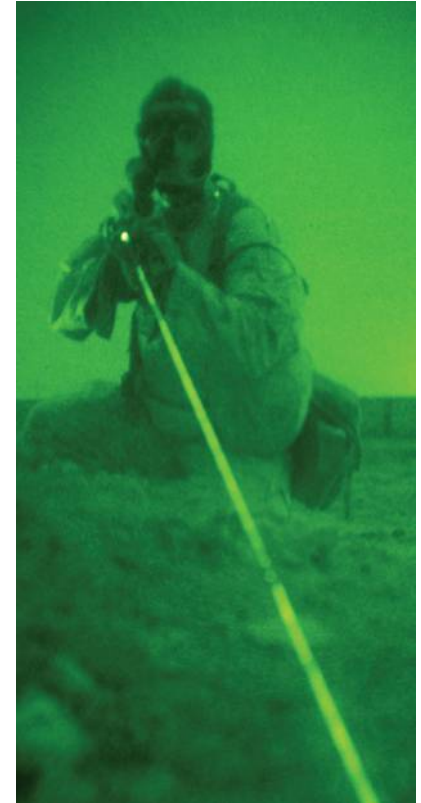
- Demonstrating military potential of technology.
- \$210 M invested since 1998.
- Average CTD – 3 years, \$2.5 M.
- Now CTD Extension Program with 5 successful demonstrators fast-tracked.
- US – JCTDs





# RPDE

- Rapid Prototyping, Development and Evaluation Program (RPDE) – collaboration with industry.
- Seeks to accelerate the introduction of network centric solutions into the ADF.
- Harnesses expertise of 141 industry participants to respond rapidly to problems.
- DSTO involved in 75% of RPDE tasks.
- Joint effort progresses ideas quickly to a stage where acquisition can start.





# Defence Future Capability Technology Centres

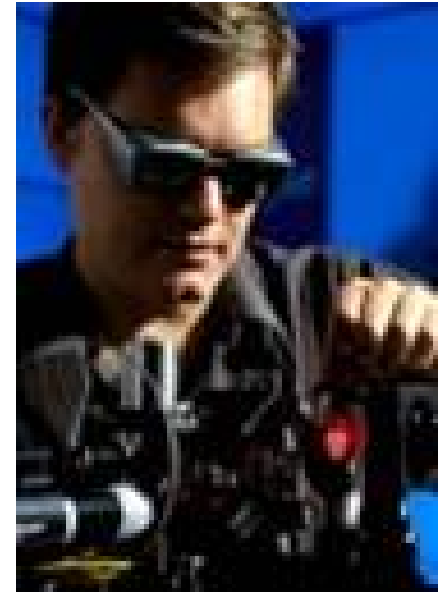
- DFCTC program – a new initiative between Government, research agencies and industry to develop future Defence capability.
- Defence Materials Technology Centre first under this program.
- DMTC - 14 participants, \$85 M invested.
- DMTC is test-bed for new high-tech materials for use in next generation Defence platforms.





# Centres of Expertise

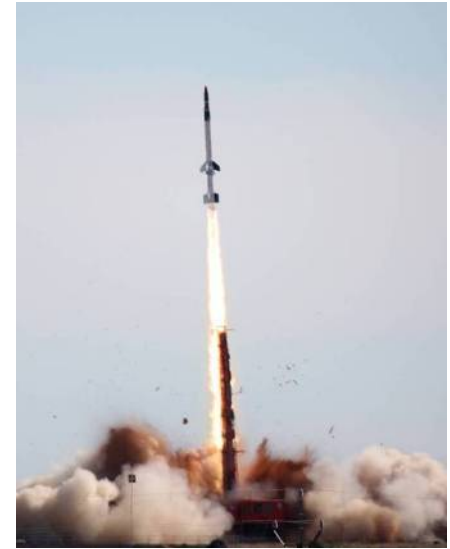
- DSTO Centres of Expertise in 7 universities.
- Focus on specific research and technology areas
  - energetic materials
  - systems integration
  - autonomous vehicle systems
  - photonics
  - helicopter structures and diagnostics
  - aerodynamic loading
  - structural mechanics.
- Some COEs (photonics, AVS) already making significant advances.





# International Collaboration

- DSTO participates in the following multilateral agreements
  - American, British, Canadian, Australian and New Zealand Multilateral Master Information Exchange MOU (ABCANZ)
  - The Technical Cooperation Program (TTCP)
- DSTO participates in several bilateral agreements







# Industry Collaboration

- DSTO and industry have common goal – to enhance Defence capability.
- DSTO enables industry to better support Defence.
- Striving for closer engagement with industry (Industry Days).
- Flexible IP policy – not implacably wedded to royalty collection but to capability innovation.





# DSTO Support to National Security

- Threat anticipation
- Public safety and border security
- Crisis management & command systems
- Critical infrastructure protection, including information infrastructure
- Chemical, biological, radiological and nuclear defence
- Explosives and improvised explosive devices
- Intelligence support tools
- Exercise command and control and operations research





# DSTO Advisory Board

- Strong team of experts will advise on strategic directions and S&T delivery to Defence.
- A change to welcome fresh ideas and perspectives from industry and the science/innovation community.





**Australian Government**  
**Department of Defence**  
Defence Science and  
Technology Organisation

# Questions

**Professor Robert Clark**  
**Chief Defence Scientist &**  
**Chief Executive Officer**

**Defence Science & Technology Organisation**  
**Department of Defence**  
**Australia**

**10<sup>th</sup> Annual SET Conference**

**21 April 2009**

**The Australian Perspective**

**Unclassified**



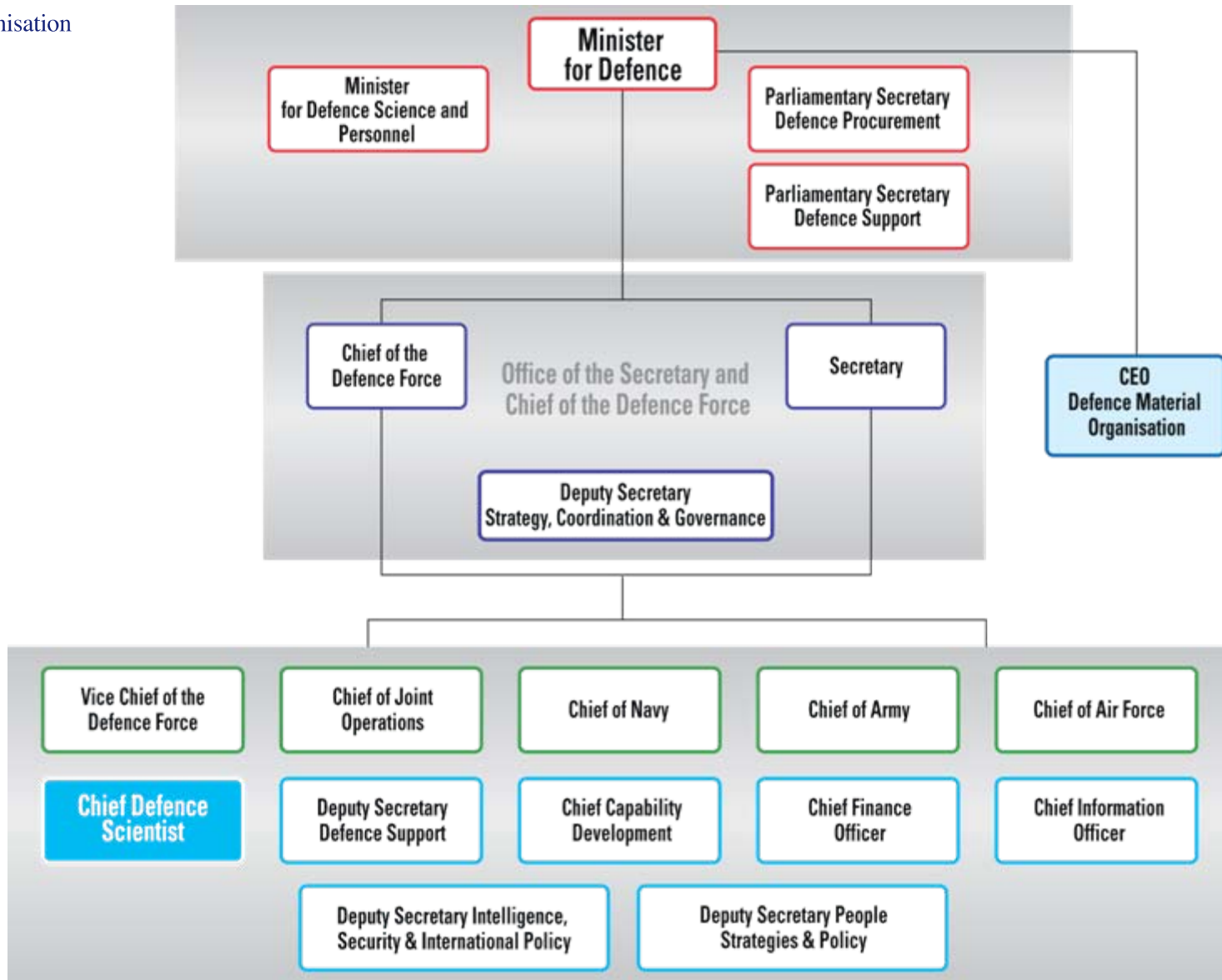


# DSTO at a Glance

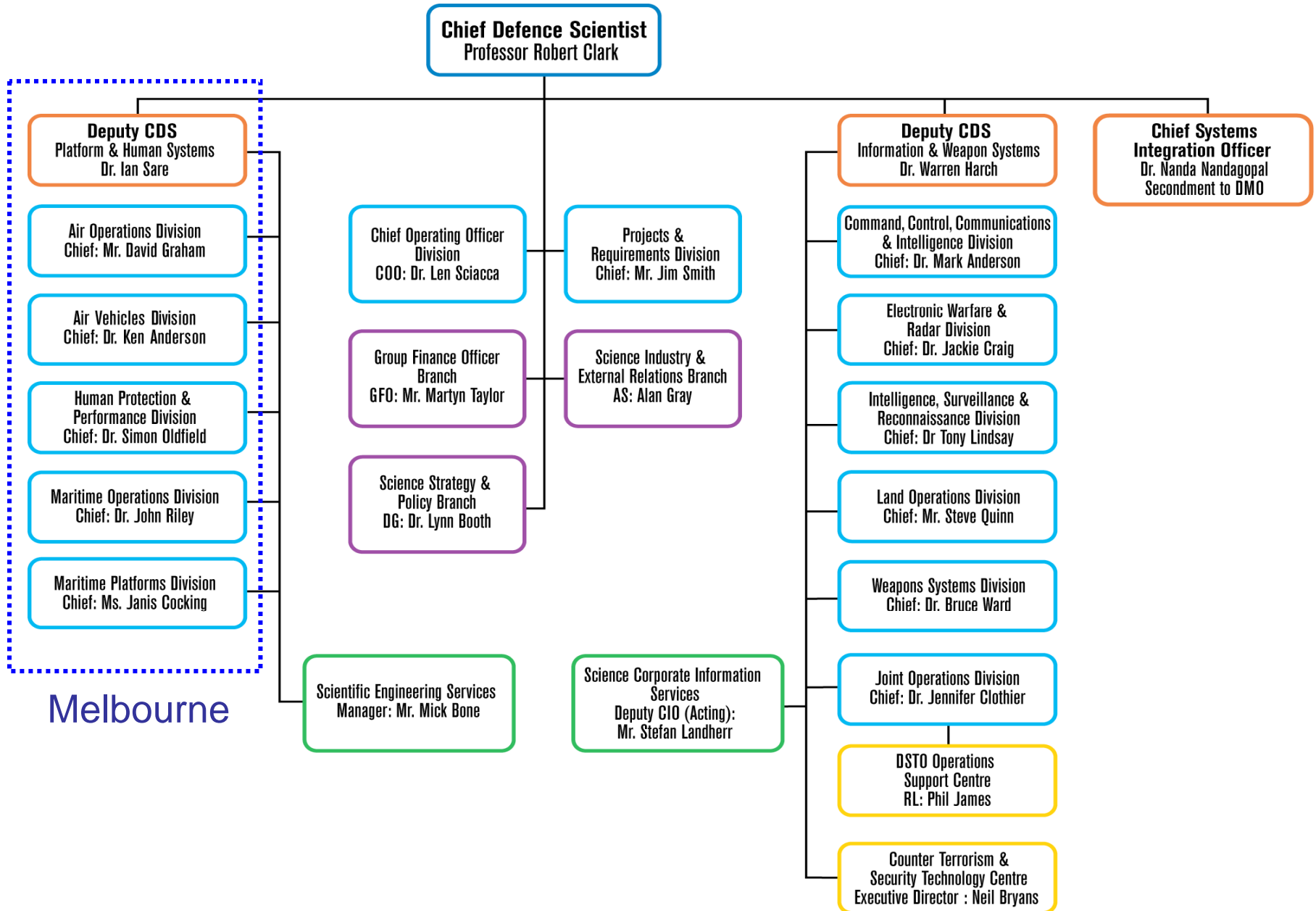




# DSTO in Defence



# DSTO Structure



# DSTO Structure

**Chief Defence Scientist**  
Professor Robert Clark

**Deputy CDS**  
Platform & Human Systems  
Dr. Ian Sare

Air Operations Division  
Chief: Mr. David Graham

Air Vehicles Division  
Chief: Dr. Ken Anderson

Human Protection & Performance Division  
Chief: Dr. Simon Oldfield

Maritime Operations Division  
Chief: Dr. John Riley

Maritime Platforms Division  
Chief: Ms. Janis Cocking

Scientific Engineering Services  
Manager: Mr. Mick Bone

Chief Operating Officer  
Division  
COO: Dr. Len Sciacca

Group Finance Officer  
Branch  
GFO: Mr. Martyn Taylor

Science Strategy & Policy Branch  
DG: Dr. Lynn Booth

Projects & Requirements Division  
Chief: Mr. Jim Smith

Science Industry & External Relations Branch  
AS: Alan Gray

Science Corporate Information Services  
Deputy CIO (Acting):  
Mr. Stefan Landherr

**Deputy CDS**  
Information & Weapon Systems  
Dr. Warren Harch

Command, Control, Communications & Intelligence Division  
Chief: Dr. Mark Anderson

Electronic Warfare & Radar Division  
Chief: Dr. Jackie Craig

Intelligence, Surveillance & Reconnaissance Division  
Chief: Dr. Tony Lindsay

Land Operations Division  
Chief: Mr. Steve Quinn

Weapons Systems Division  
Chief: Dr. Bruce Ward

Joint Operations Division  
Chief: Dr. Jennifer Clothier

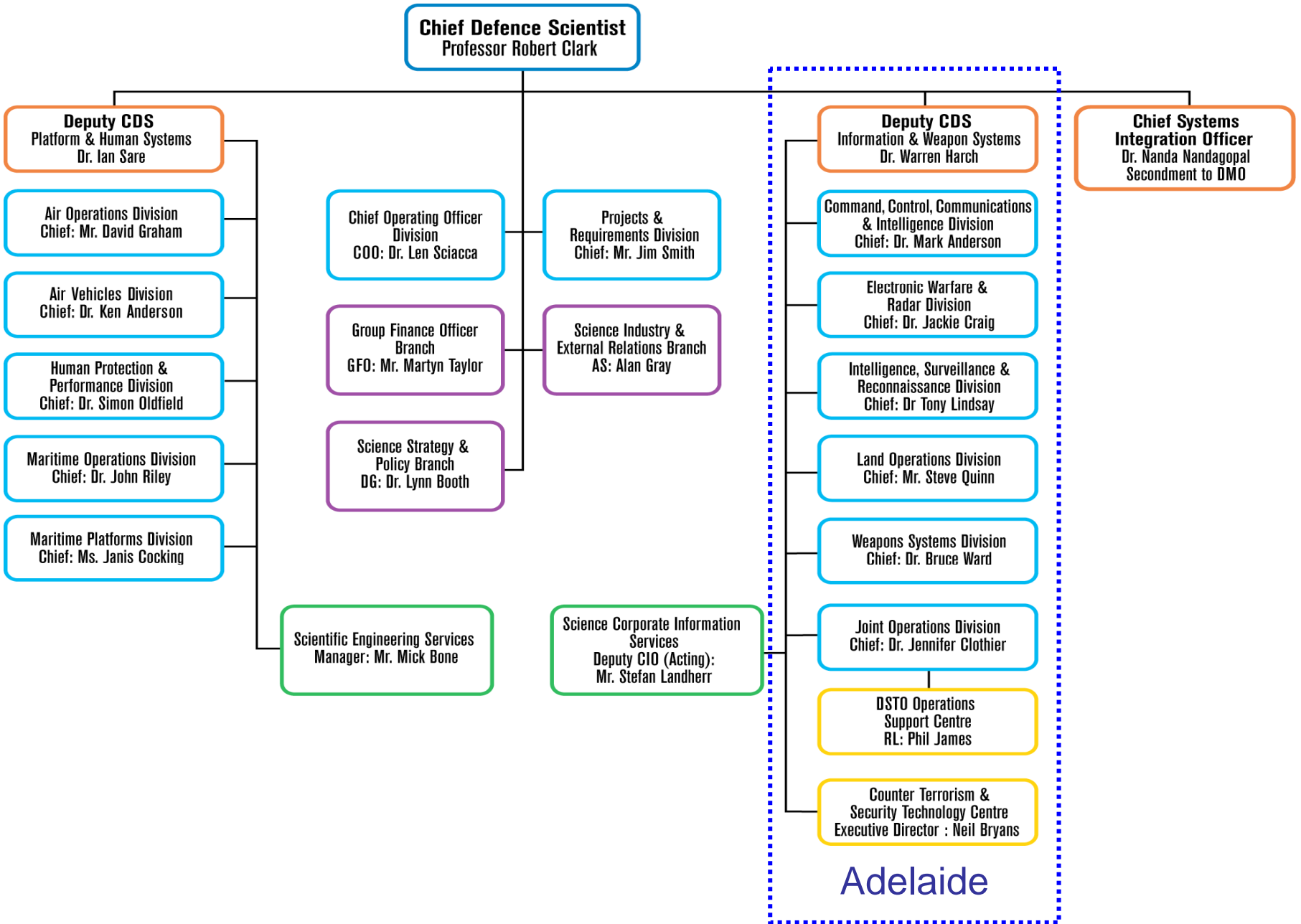
DSTO Operations Support Centre  
RL: Phil James

Counter Terrorism & Security Technology Centre  
Executive Director : Neil Bryans

**Chief Systems Integration Officer**  
Dr. Nanda Nandagopal  
Secondment to DMO

Canberra

# DSTO Structure







Australian Government  
Department of Defence  
Defence Science and  
Technology Organisation

# DSTO Major Facilities



Adelaide



Melbourne



# Selected DSTO Achievements /1

- JORN Phase 5 Enhancement Program
- F/A-18 Hornet Structural Testing





# Selected DSTO Achievements /2

- “Shapes Vector”  
Network Security



- Nulka





# DSTO Role

- Enhance Australian Defence and national security **operations**
- Support the **sustainment** of in-service capabilities
- Deliver key advice and technology solutions for **future capability**
- Build Defence capacity through partnerships with **industry**





Australian Government  
Department of Defence  
Defence Science and  
Technology Organisation

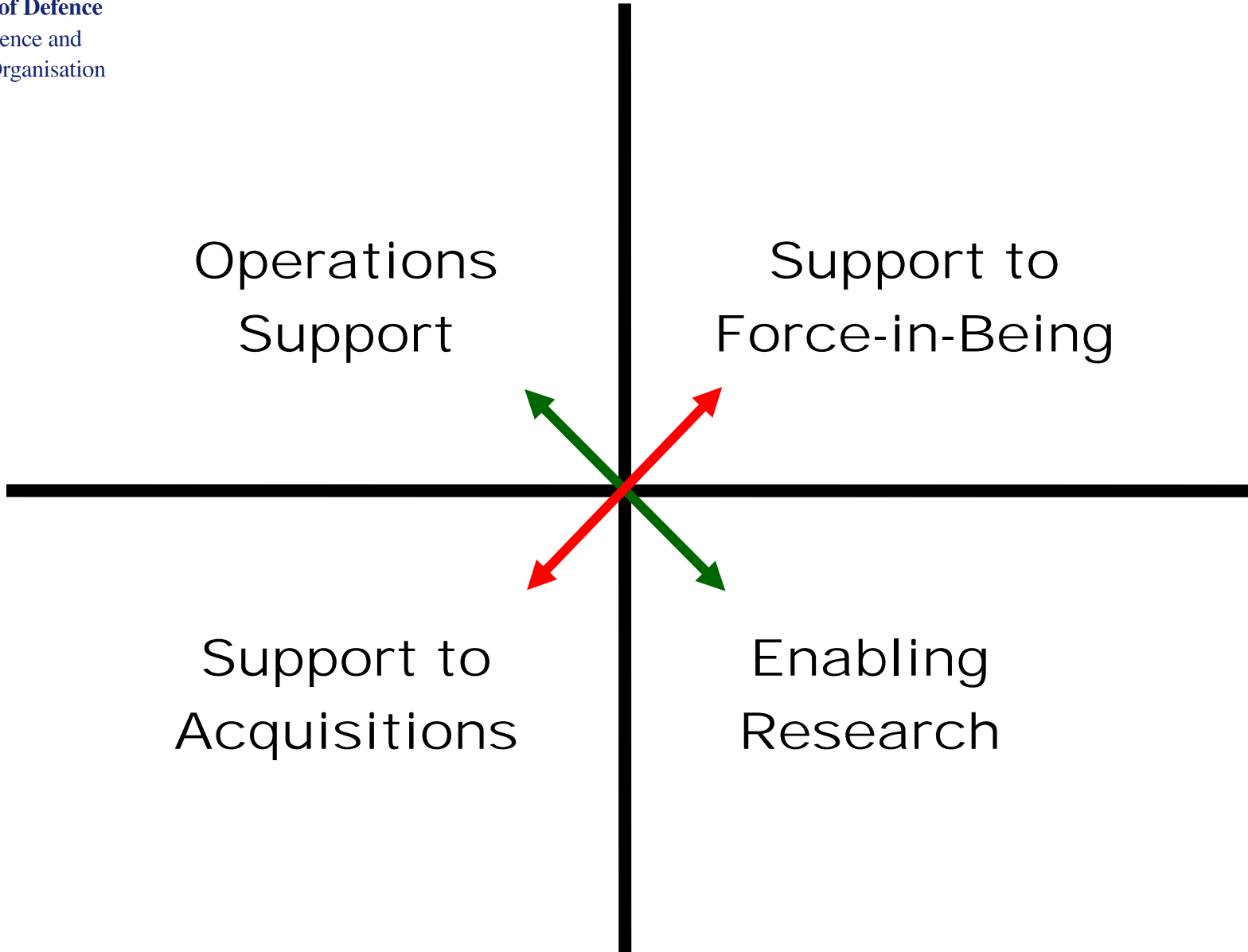
# DSTO Focus

Operations  
Support

Support to  
Force-in-Being

Support to  
Acquisitions

Enabling  
Research







# I: Support to Operations /1

- Deployed Operations Analysts (OA)
  - DSTO responsible for raising, training and deploying two-person OA teams on ADF operations
  - > 60 personnel deployed to 7 countries since 2005
  - Currently maintain 4 teams around the globe
- Operational Reachback Program
  - Link between deployed analysts and broader defence science community
  - Set of dedicated and committed staff available to respond to requests for science and technology assistance





# I: Support to Operations /2

## Headquarters Joint Operations Command (HQJOC)



## Joint Task Force Headquarters



## Fighting Elements



## DSTO Contributions



Battlelabs



Deployed scientists



Technology insertion



## II: Operational Support for ADF Platforms

- Maximising operational effectiveness
- Support to capability enhancements
- Position for the future



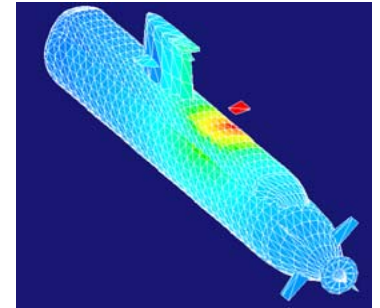


Australian Government  
Department of Defence  
Defence Science and  
Technology Organisation

## II: Shock Trial of HMAS Rankin

**Outcomes:** Validated static & dynamic structural performance

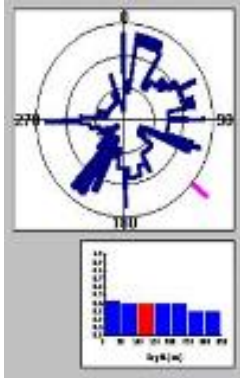
R&D advice to support safety, reliability & functionality





# II: Signature Management

## Threat Analyses



## Measurement

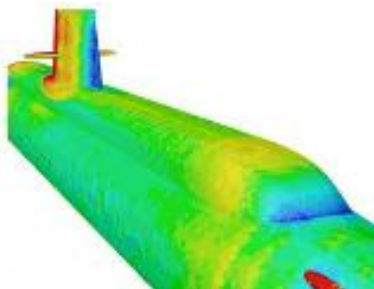


## Analysis

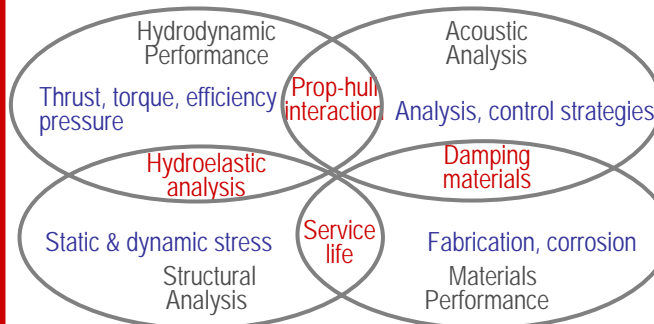


Provides essential information for complete signature awareness and management

## Modelling



## Propeller design



## Control







## II: Support of F/A-18 Structure

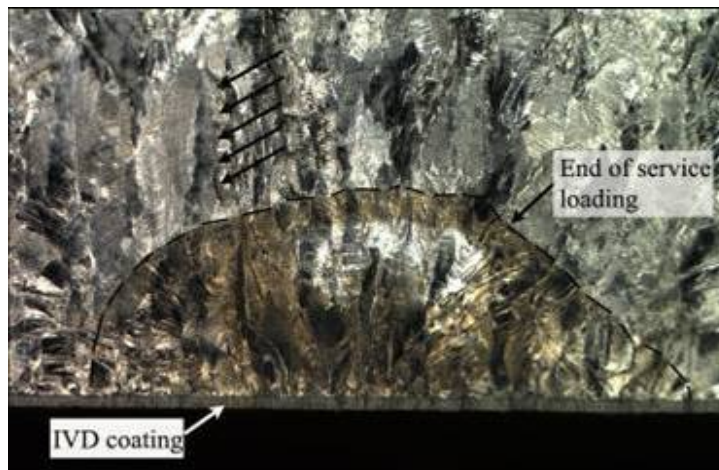
### Flaw Identification through Application of Loads

- Risk Mitigation program supporting RAAF fleet late in life.
- Damage enhancement testing, and teardown, of retired centre barrels.
- Assesses potential for wide spread fatigue damage and environmental degradation.
- Build confidence by comparing FINAL locations to known Damage Item Locations (DILs).
- Re-Assess safe life limits at DILs

- Improve fatigue crack growth modelling
- Combined with fleet management

#### Outcomes:

- Improved operational flexibility
- Improved availability
- Large cost savings - less centre barrels replaced



Fracture surface of bulkhead



FINAL Test  
Centre Barrel in test rig



## III: Support to Acquisitions

SEA 1000  
Future  
Submarine

SEA 4000  
Air Warfare  
Destroyer

AIR 6000  
New Air  
Combat  
Capability

LAND 400  
Combat  
Vehicles



## IV: Enabling Research

- Cyber
- Electronic Warfare
- OTHR
- Hypersonics
- CIED – Force Protection
- Signature, Power and Energy
- USW
- UAS
- Systems Integration





# Collaborating to Innovate

- **Rapid Prototyping, Development and Evaluation Program (RPDE)** – collaborating with Australian Industry.
- **Defence Future Capability Technology Centre (DFCTC)** – linking government, research agencies and industry to develop Defence capability.
- **Centres of Expertise (CofE)** – helping universities to focus on research and technology areas of interest to Defence.
- **Capability Technology Demonstrator Program (CTD)** – helping industry to develop new technology with strong military potential.





# Capability Technology Demonstrator Program

- Demonstrating military potential of technology.
- \$210 M invested since 1998.
- Average CTD – 3 years, \$2.5 M.
- Now CTD Extension Program with 5 successful demonstrators fast-tracked.
- US – JCTDs

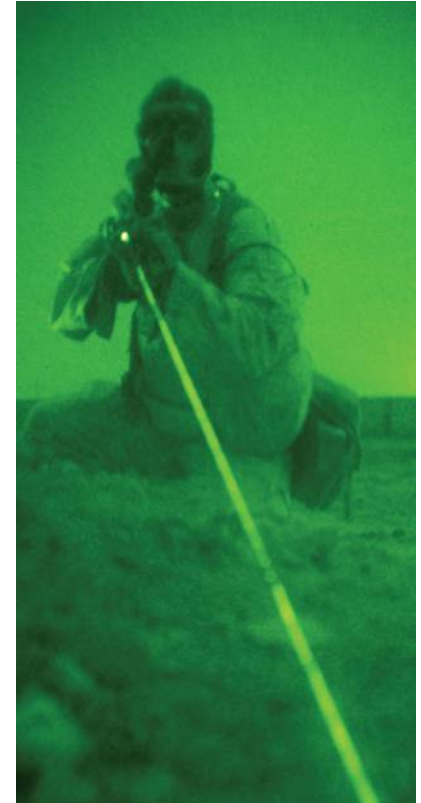






# RPDE

- Rapid Prototyping, Development and Evaluation Program (RPDE) – collaboration with industry.
- Seeks to accelerate the introduction of network centric solutions into the ADF.
- Harnesses expertise of 141 industry participants to respond rapidly to problems.
- DSTO involved in 75% of RPDE tasks.
- Joint effort progresses ideas quickly to a stage where acquisition can start.





# Defence Future Capability Technology Centres

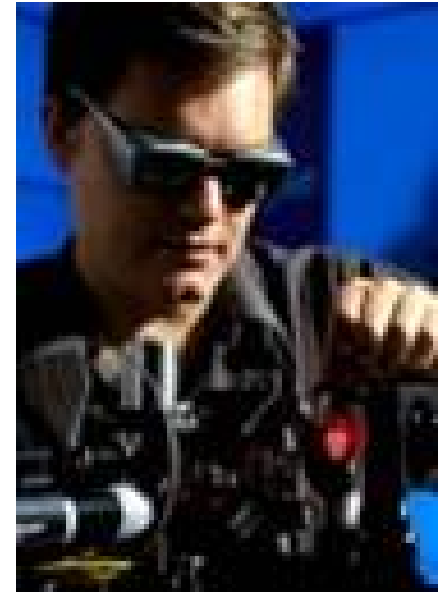
- DFCTC program – a new initiative between Government, research agencies and industry to develop future Defence capability.
- Defence Materials Technology Centre first under this program.
- DMTC - 14 participants, \$85 M invested.
- DMTC is test-bed for new high-tech materials for use in next generation Defence platforms.





# Centres of Expertise

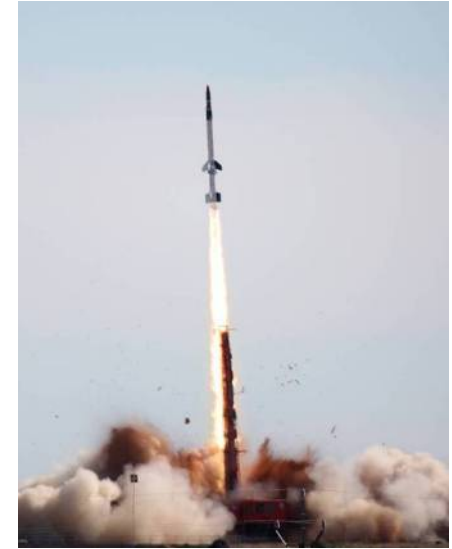
- DSTO Centres of Expertise in 7 universities.
- Focus on specific research and technology areas
  - energetic materials
  - systems integration
  - autonomous vehicle systems
  - photonics
  - helicopter structures and diagnostics
  - aerodynamic loading
  - structural mechanics.
- Some COEs (photonics, AVS) already making significant advances.





# International Collaboration

- DSTO participates in the following multilateral agreements
  - American, British, Canadian, Australian and New Zealand Multilateral Master Information Exchange MOU (ABCANZ)
  - The Technical Cooperation Program (TTCP)
- DSTO participates in several bilateral agreements





# Industry Collaboration

- DSTO and industry have common goal – to enhance Defence capability.
- DSTO enables industry to better support Defence.
- Striving for closer engagement with industry (Industry Days).
- Flexible IP policy – not implacably wedded to royalty collection but to capability innovation.







# DSTO Support to National Security

- Threat anticipation
- Public safety and border security
- Crisis management & command systems
- Critical infrastructure protection, including information infrastructure
- Chemical, biological, radiological and nuclear defence
- Explosives and improvised explosive devices
- Intelligence support tools
- Exercise command and control and operations research





Australian Government  
Department of Defence  
Defence Science and  
Technology Organisation

# DSTO Advisory Board

- Strong team of experts will advise on strategic directions and S&T delivery to Defence.
- A change to welcome fresh ideas and perspectives from industry and the science/innovation community.





**Australian Government**  
**Department of Defence**  
Defence Science and  
Technology Organisation

# Questions

# U.S. European Command

*... security and stability  
through technology*

*Forrest G. Ruble*

*EUCOM Science Advisor, ECJ8-Q*

*23 Apr 2009*

The overall classification of this  
briefing is **UNCLASSIFIED**





# *Mission*

- **Defend the Homeland forward and support U.S. strategic interests**
  - **Maintain ready forces for global operations**
  - **Secure strategic access and enable global freedom of action**
  - **Enhance trans-Atlantic security through support of NATO**
  - **Promote regional stability**
  - **Counter terrorism**

**Building Partnership Capacity is essential  
to all our efforts**





# *Technologies of Interest (1 of 2)*

- **Building Partner Capacity**
  - **Low-cost, low-power computers leveraging open-source technologies and advanced security**
  - **Portable, renewable power generation, storage, and distribution to self-configuring grids**
  - **Portable, low-power water purification**
- **Situational Awareness**
  - **Low-cost, configurable, multi-purpose micro-satellites**
  - **Low-cost micro-satellite launch platforms**
  - **Long-life, high-density power storage and management**
  - **Precision location and navigation independent of GPS**



## *Technologies of Interest (2 of 2)*

- **Area Protection**
  - **Projected, holographic visualization with focused, projected audio**
  - **Vehicle specific electronics neutralizer**
- **General**
  - **Sub-orbital, extremely long-endurance platforms as regional routers and repeaters**
  - **Direct-delivery of heavy-lift platforms with low carbon-footprint**



# Questions?

## Ask the EUCOM Science & Technology Team



**Forrest Ruble**  
Science Advisor  
+49-711-680-8858



**Steve Spehn**  
Deputy Science Advisor  
+49-711-680-7059



**Steve Desautel**  
Deputy Science Advisor  
+49-711-680-7009



**Joe Fagan**  
JFPASS JCTD OM  
+49-711-680-8955



**Tim Busch**  
Senior Naval Engineer  
+49-711-680-6873

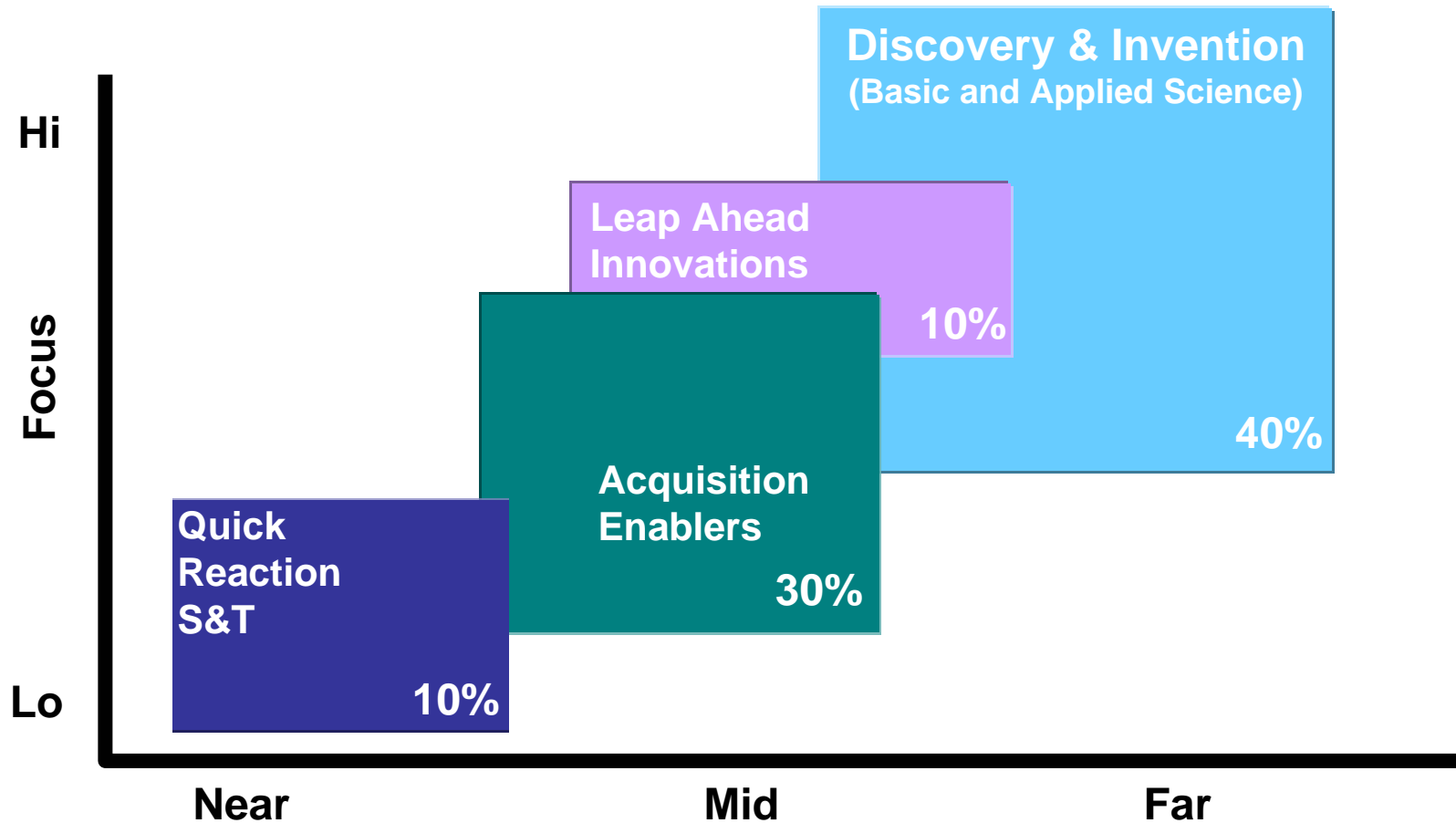
# Innovating for the Future

Larry Schuette  
Office of Naval Research  
[larry.schuette@navy.mil](mailto:larry.schuette@navy.mil)  
703.696.7118

**DIRECTOR OF  
INNOVATION**



# DoN Investment Portfolio



S&T occurs across the Time Horizon. DOI focuses on Quick Reaction and Leap Ahead.



# Current Innovative Naval Prototypes

- High risk, high payoff
- Mix of weapons, platforms and sensors
- \$10-\$50M/yr, 4-8 year efforts

## Current INPs



EMRG



SBE



TACSAT



PLUS

## FY-10 INPs



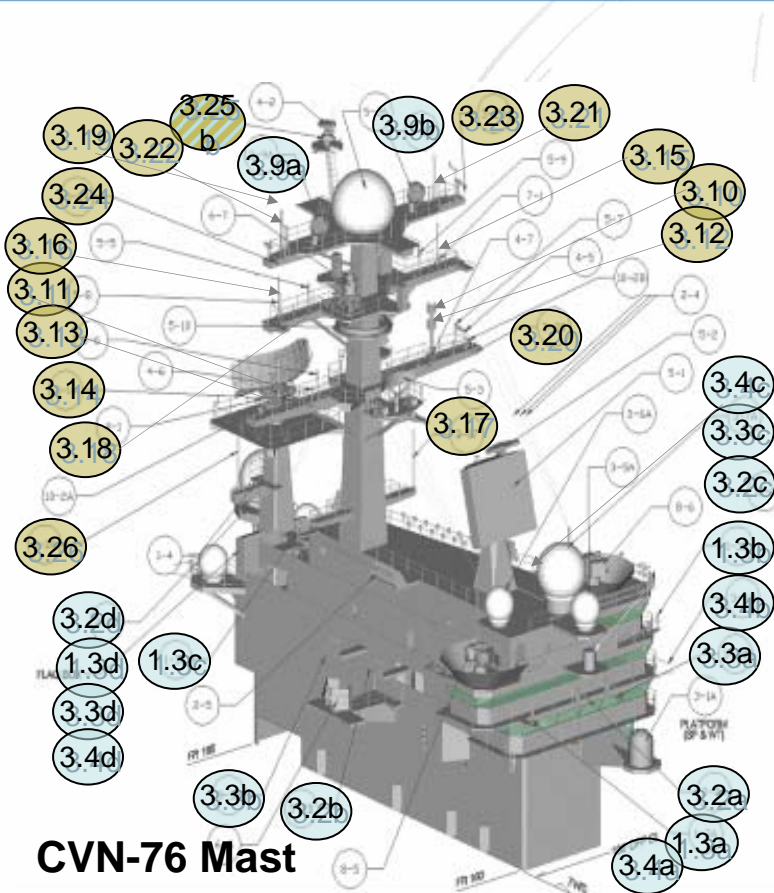
FEL



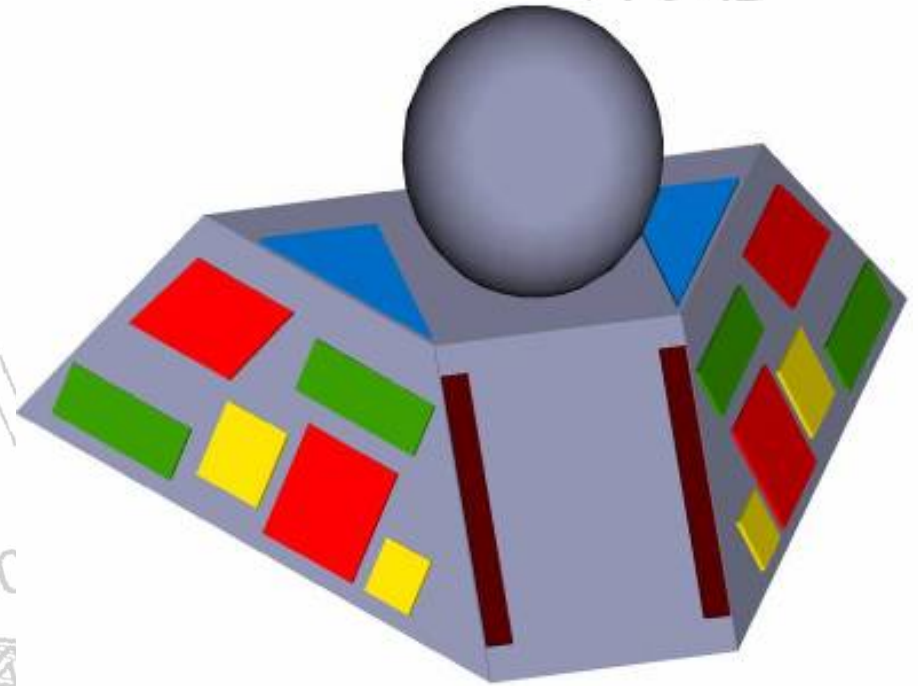
INT TOPSIDE

- What alternate futures can these INPs enable?
- What disruptive guidance should we adopt for future INPs?

# Integrated Topside INP



CVN-76 Mast



46 to 51 antennas

> 3500 pounds (Ant. Only)



**NEW**

4 panels , 20 meters <sup>2</sup>

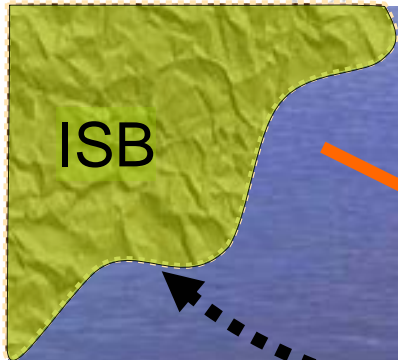
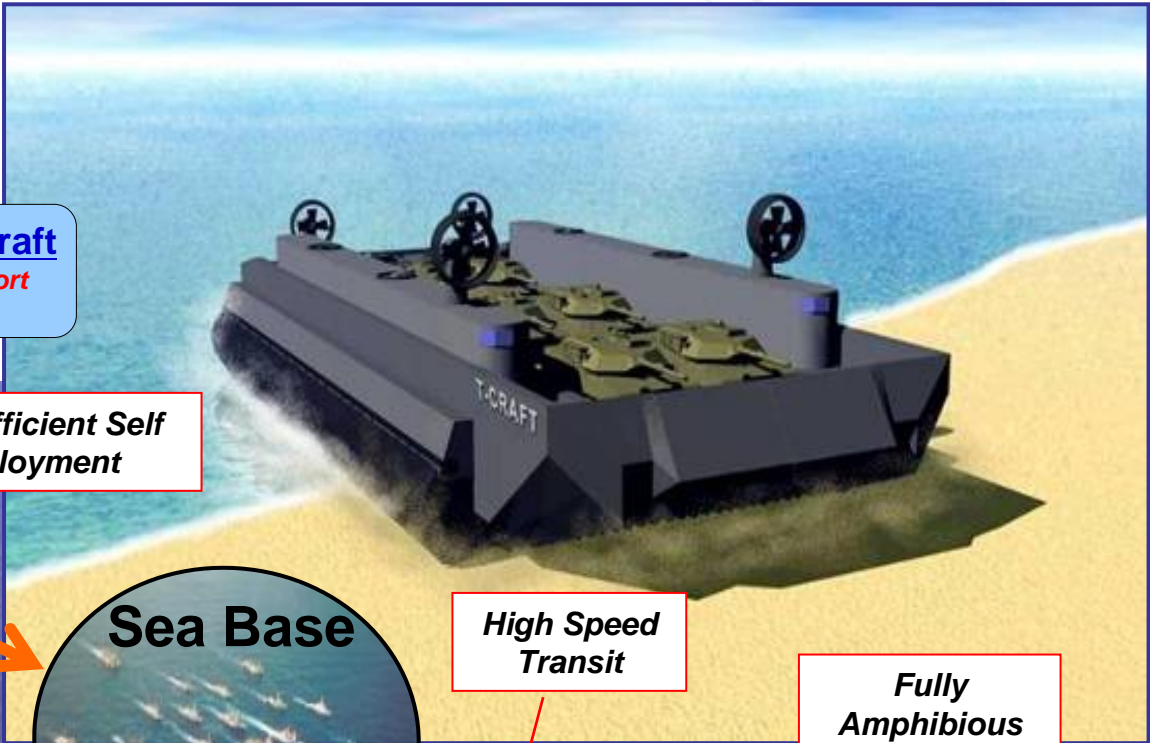
< 1800 pounds

# Seabasing Enablers INP

## T-Craft

### Multi-Mode Vehicle Delivery Craft

*T-Craft: High Speed Beach-able Transport*  
40kt in SS-4 with beaching & amphibious mode



**Fuel Efficient Self Deployment**



**High Speed Transit**

**Fully Amphibious**

2000 – 2500nm

25 – 250nm

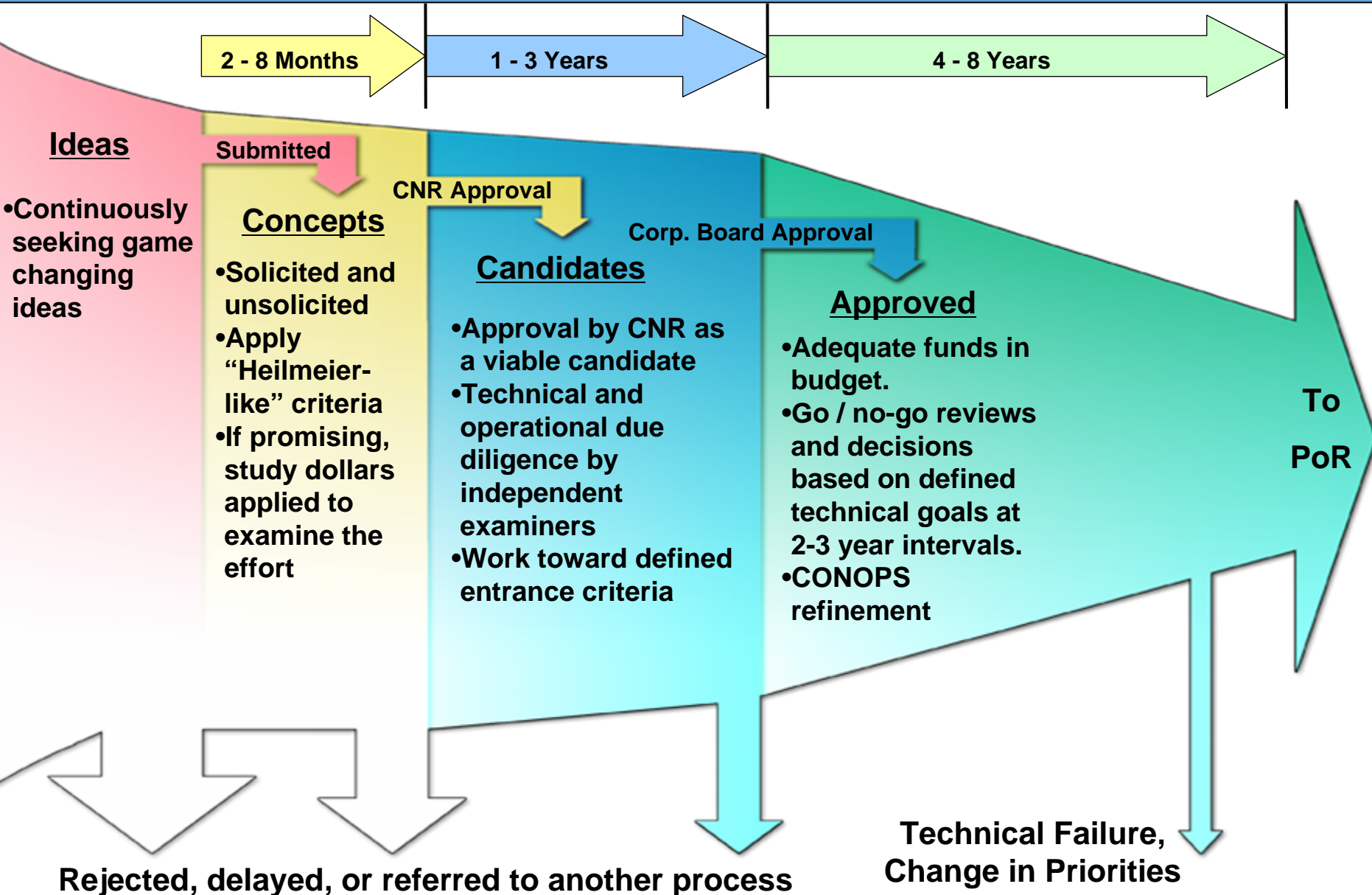
**T-Craft Payload Capacity:  
Between 4 and 10 M1 Tanks**

A photograph of an M1 Abrams main battle tank, shown from a side profile, highlighting its large turret and armor.

**Good Seakeeping Mode at the Sea Base**

**OBJECTIVE**

# Going From Idea to INP





# What's the Next Big Bet?

## Potential FY-12 INP Candidates

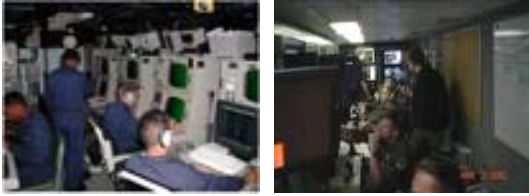
- **Autonomous & distributed electronic warfare capabilities**
- **Autonomous cargo/medevac UAV**
- **Autonomous Damage Control Technologies**
- Maintenance-free ship/aircraft
- Electric ship/submarine
- High bandwidth communications with submerged submarines and UUVs
- Intense/Immersive simulation training
- **Unmanned Vehicle Sentry System**
- **Land, air, surface and sub-surface vehicles**
- **UUV for ASW training**
- **Ship-board Autonomous Logistics Enablers**

Most are Autonomous in nature, which is the most game changing?  
Which will change how we fight?



# What's Holding Us Back?

## Limitations of Current Autonomous Systems



- Require multiple operators
- Cannot easily share assets or collaborate



- Forward units need dedicated operators (require protection)
- Data hard to disseminate



- Require human intervention to maintain performance



- Autonomy tailored for specific missions, users, and environments
- Reliance on pre-programmed plans
- Tough to adapt



- Not as smart as animals
- Limitations in challenging weather
- Cannot exploit environmental conditions
- Cannot navigate without GPS & reliable maps
- Cannot collaborate in close proximity to others

What should we fix? In what order?

# Ultimately, where are we going?

- Distributed system relying on decentralized control that is flexible in its level of autonomy
- Hybrid force with manned systems and platforms
- Automated image/scene understanding, data gathering, purposeful sensing/seeking, information analysis and distributed information management
- Cooperation to perform a mission or task
- Automated distribution of tasks
- Autonomous determination of the best way to accomplish each task, with appropriate human guidance

# Why Autonomous Behavior is a Hard Problem

Constrained by size, weight, power, money

DIRECTOR OF INNOVATION



## Machine Intelligence Level

Ability to:

- Reason, Plan, Predict
- Learn from experience, instructions, and adapt
- Understand the battlespace
- High-level interactions with humans

## Mission Complexity (MC)

- Subtasks, decision
- Organization, collaboration
- Performance
- Situation awareness, knowledge requirements

## Environmental Complexity (EC)

Solution ratios on:

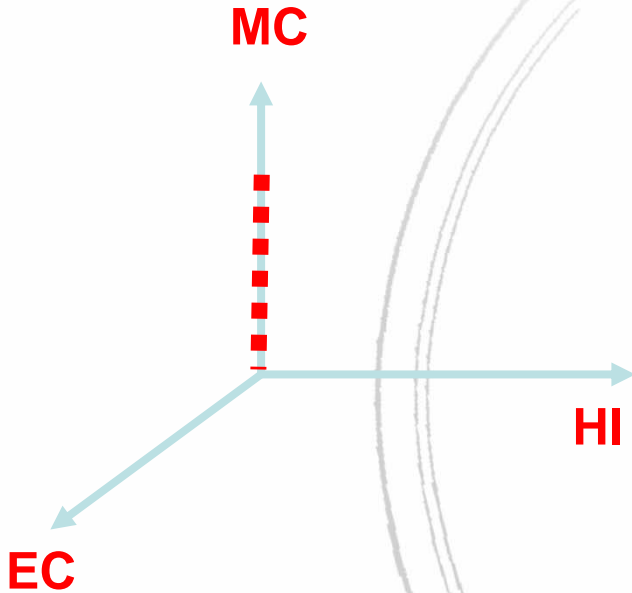
- Terrain variation
- Object frequency, density, intent
- Weather
- Mobility constraints
- Communication dependencies

## Human Interaction (HI)

- Type of interactions
- Type of operators/users (e.g., workload, skill levels, etc.)
- Frequency, duration, robot initiated interactions

Autonomy Level required is driven by EC, MC, HI

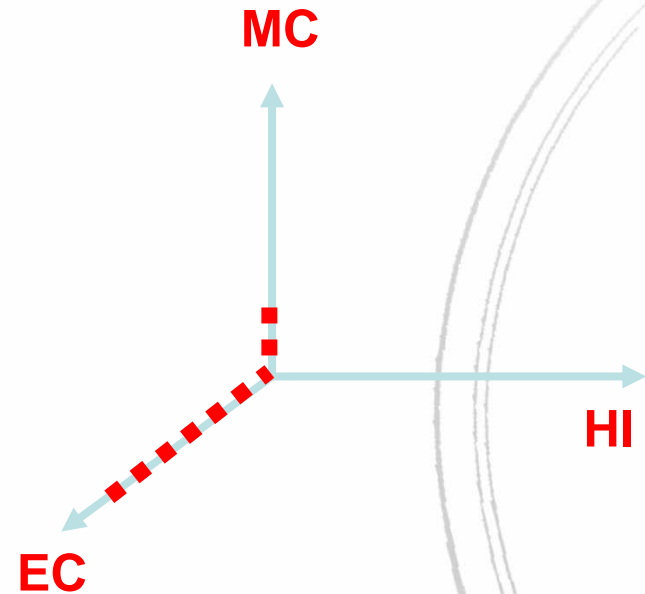
# Benchmark for Autonomous Systems? Assembly Line Robotics



- **Complex mission**
- **Well known environment**
- **No Human interaction**
- **Better than a human at the task**
- **Thousands of iterations to get it right**



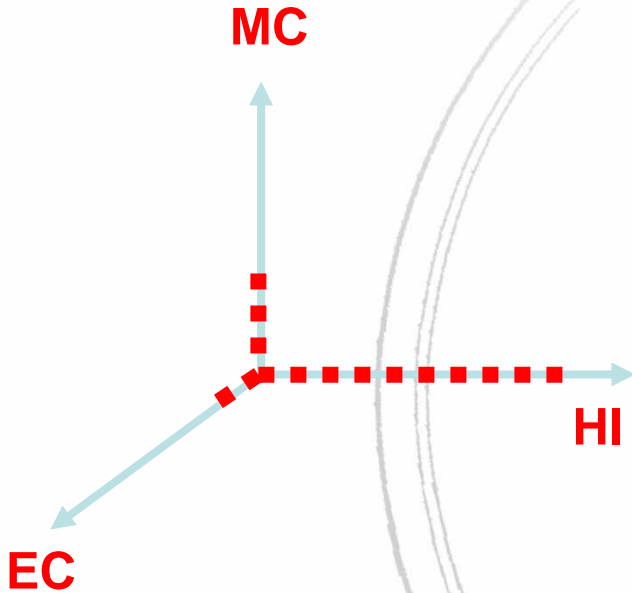
# DARPA Grand Challenge - UGV



- **Tougher Environment than underwater or air**
- **No Human Interaction**
- **Controlled Mission Complexity by reducing speed**
  - About 15% as effective as a human
- **In use on Mars – where no man has been**



# UAV Mission: Find, observe, kill



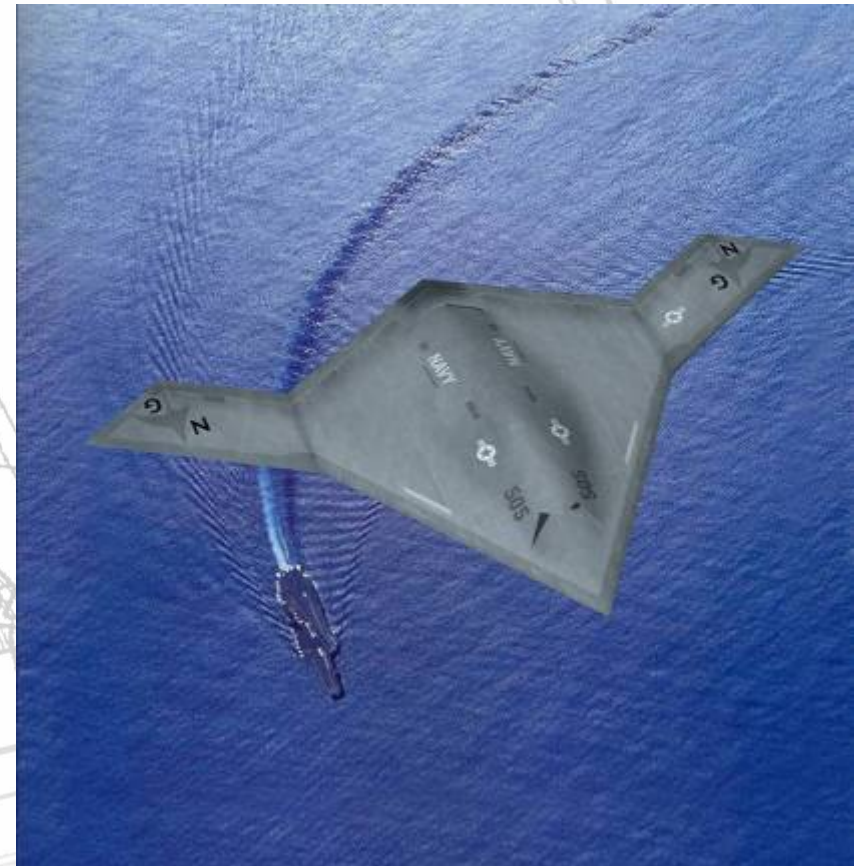
- **Obvious crawl, walk, run road ahead**
- **Complex mission driven by high human interaction**
- **Lots of other missions ripe for unmanned air vehicle**

# UAV Focus To Date Has Been on Large Systems

- Consider future of small UAVs (<50lb)
  - Missions these systems are uniquely qualified to address
  - Cheaper
  - Decoy cost, expendable



Global Hawk



N-UCAS

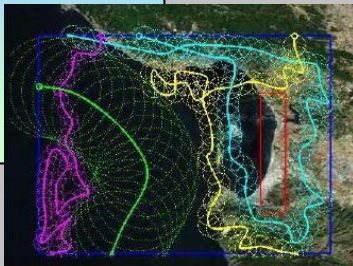
# UAV S&T Autonomy Roadmap & Goals

## Guidance & Control

- Shipboard Landing
- Autonomous Maneuvering

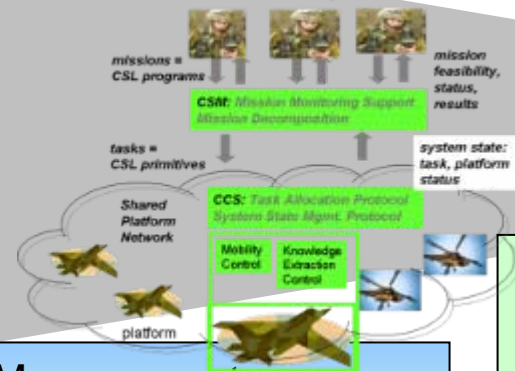
## Automated Tasking

- Search Planning
- Convoy Protection
- Reconnaissance
- Small numbers of multiple systems



## Support of Small Expeditionary Units

- Distributed control of multiple air systems
- Simplified interface with high-level tasking



## Safe Operations

- More like manned aircraft ops for naval missions & environments



## Maritime Video

- Automated capability to detect and track multiple targets
- Address small boat threat

## Airspace Management

- Planning & human interface technologies

## Shipboard Operations

- Control & human interaction approaches for autonomous deck operations

## Distributed Control of Large Numbers of Small Systems

- Control of Expendable UAS Systems that can be Mass Produced & Deployed in Great Numbers

## Silver Fox

- Small UAV with traditional auto-pilot for control
- Limited operational eval



## Robustness to Weather

- Small UAS control in Challenging weather conditions
- Increase endurance taking advantage of atmospheric effects

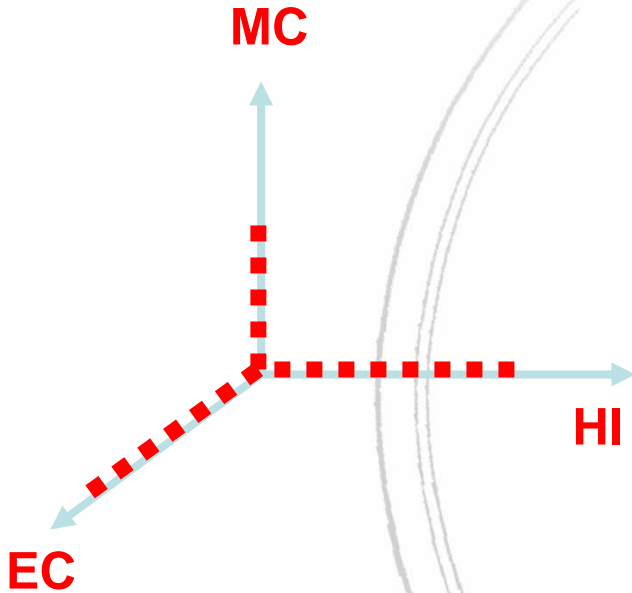


Past 10 Years

Current Efforts

2025

# USV Mission

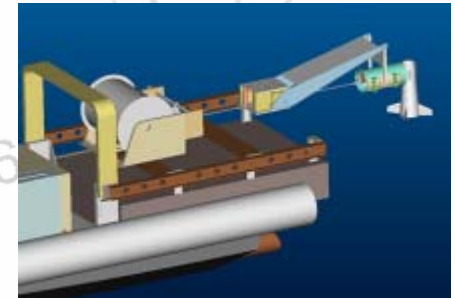


- **Tough environment**
  - Sea state
  - Obstacle avoidance
- **Range of missions to mitigate need for human interaction**



# Unmanned Surface Vehicle

- Mine Warfare Mission Module
  - Mine Neutralization using Electromagnetic and Acoustic Sweep
- Antisubmarine Warfare Mission Module
  - Detection and Localization using
    - Airborne Low Frequency Sonar (ALFS)
    - Multifunctional Towed Array



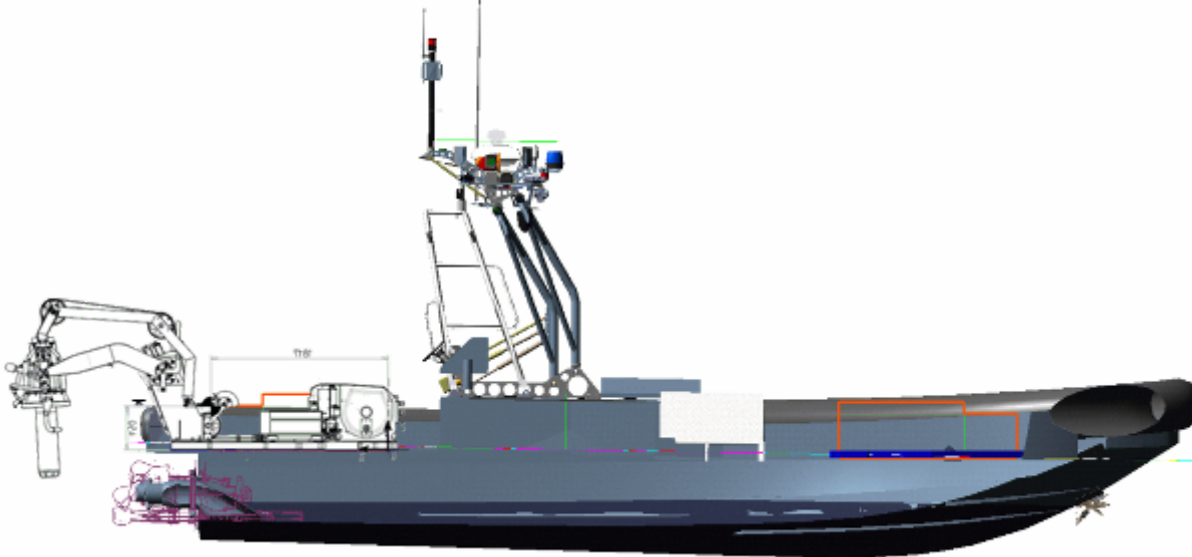
**Deploy & Retrieve:**  
Automated Handling of Influence Sweep



**Acoustic Sweep:**  
Generates Subsurface Acoustic Influence Field



ALFS





# USV Autonomy

## Adapted Autonomy

- Adapt submarine periscope sensing & image processing
- Adapt Jet Propulsion Lab Autonomy technology to USVs



## Perception-Based Navigation

- Stereo camera-based, autonomous avoidance of fixed obstacles at boat speeds up to 25 kts
- Perception-based Navigation through bridge abutments



## Multi-Vehicle Collaboration

- Multi-mission
- Multi-domain
- Persistent
- Scaleable
- Adaptable
- Affordable



## USSV

- On-board auto-route generation via nav charts and GPS

## Transitioned to LCS

- Part of the ONR-developed "MCM-USV"
- Part of MIW mission package #1 – USS FREEDOM



## Tracking

- Recognition & tracking of a sailboat



Past 10 Years

Current Efforts

2025

# UUV Autonomy

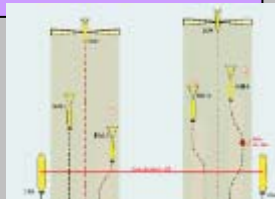
## Maritime Reconnaissance

- Perform autonomous surveillance in littoral regions
- Torpedo-size underwater vehicle with ISR payload



## MCM

- Area search, classify & map rates for mines in littoral regions
- Cooperative autonomous underwater vehicles with high resolution sonars



## Ocean Surveillance

- Networks of undersea gliders with oceanographic and acoustic sensors

## Unmanned Cooperative Cueing and Intervention

- Rapid (< 5 days)
- Standoff MCM target mapping



## Undersea Surveillance

- Large area surveillance using autonomous unmanned vehicles to achieve undersea superiority of the designated battle space



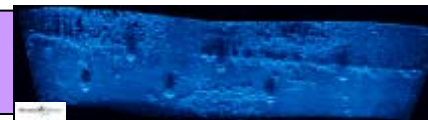
## Littoral ASW

- Use autonomous Unmanned Undersea Vehicles to support tactical anti submarine warfare



## Harbor & Port Security

- Hull Inspection



## Multi-Platform, Multi-Static, Distributed UUV

- Autonomous, self-deployable, heterogeneous, multi-platform, system capable of rapidly detecting, identifying mines, subs over wide areas
- Goal-oriented collaborative/ adaptive autonomy, multi-objective optimization & distributed control of large teams.



Past 10 Years

Current Efforts

2025

# Takeaway Challenge

- What are the missions that Autonomous systems will be better suited for?
  - Only extraterrestrial?
  - Only shop floor?
- What are the capabilities we would need?
- What manned platforms could we stop using?
  - 5 year plan
  - 10 year objective
  - 30 year ambition
- I look forward to your thoughts
  - [larry.schuette@navy.mil](mailto:larry.schuette@navy.mil)



# Army Network Science

NDIA

10th Annual Science and Engineering Technology  
Conference/DoD Tech Exposition

21 April 2009



***TECHNOLOGY DRIVEN. WARFIGHTER FOCUSED.***

***David Skatrud  
Director, Army Research Office  
Deputy Director for Basic Science,  
Army Research Laboratory***

- **The power, promise, and ubiquitous nature of networks**
- **The science of networks is a key to Army transformation**
- **Army Network Science**
  - **Key Initiative**
  - **Supporting Programs**



## Networks

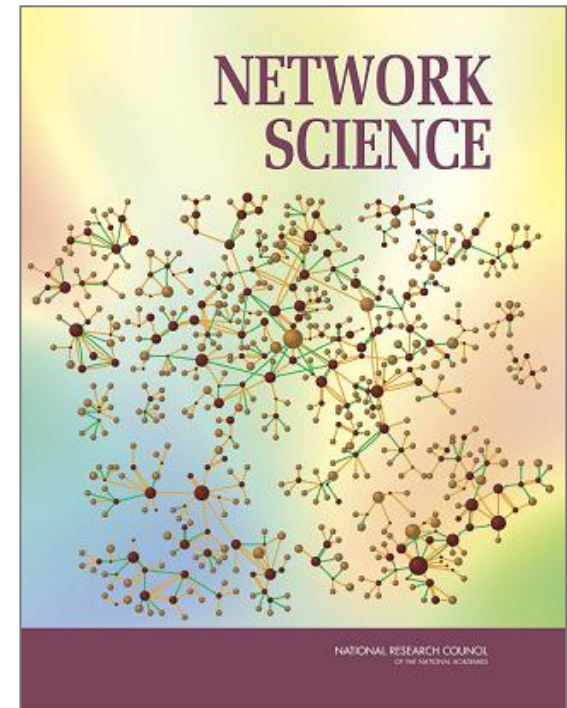
*The fundamental components of a network are its structure (nodes and links) and its dynamics, which together specify the network's properties (functions and behaviors).*

## Science

*Core research principles which enable predictions of behaviors, given structure and dynamics as inputs.*

## Networks Science

*The study of network representations of physical, biological, and social phenomena leading to predictive models of these phenomena*



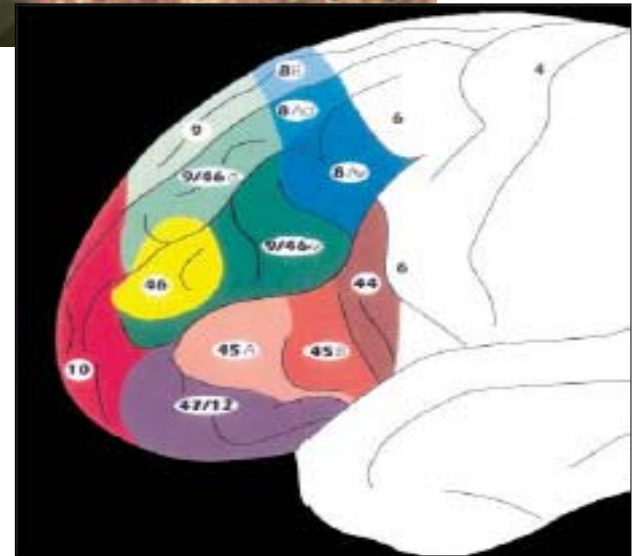
<http://fermat.nap.edu/catalog/11516.html>

**ASA(ALT) commissioned**

**-- NRC Report on Network Science (2005) --**

**TECHNOLOGY DRIVEN. WARFIGHTER FOCUSED.**

- **Internet**
- **Power grid**
- **Transportation**
- **MANET (FCS Brigade Combat Teams)**
- **Social (friends, tribes, organizations, towns, cities, countries, global village)**
- **Insect (bees, ants, wasps and other swarms)**
- **Ecosystems**
- **Cellular (neuronal)**
- **Molecular (metabolic)**



## **Robustness**

- *Redundancy -- duplicate pathways create a simple form of robustness*
- *Recurring circuits -- negative feedback for stability and tracking; positive feedback for enhanced sensitivity*
- *Modularity -- encapsulation of functions into simpler units yields better failsafe designs*
- *Hierarchies and protocols -- distributing functionality across different levels in the network to manage complexity*

## **Fragility**

- *Systems that are robust face fragility and performance setback as an inherent trade-off*
- *Unexpected perturbations can lead to catastrophic failure*

## **Sophisticated, Complex Behavior**

- *Often exhibit behavior that is greater than the sum of the parts*

## Commercial

- Mobile Subscriber, Fixed Infrastructure
- Pre-configured Networks
- Tall, Fixed Antenna Towers
- Fiber optic Internodal Connections
- Greater Frequency Spectrum Availability
- Fixed Frequency Assignments
- Protection: None → Privacy (single level)
- Interference Rejection is Somewhat Important
- Low probability of Detection (LPD) is not an issue

### COMMERCIAL



High Bandwidth

### TACTICAL



Small Bandwidth



Primarily Robust Static Infrastructure



Radio-Based Highly Mobile Comms



Highly Skilled Large Teams



MOS w/Multi-duties

## Military

- Mobile Subscriber - Mobile Infrastructure
- Ad Hoc, Self-Organizing Networks
- Small, Easily Erectable Masts; Low Profile OTM Antennas
- Mobile, Wireless, Internodal Connections
- Restricted Frequency Assignments; Geographically Impacted
- Protection: None → Top Secret/ SI (Multiple, Simultaneous Levels)
- Interference Rejection and Antijam are Critical
- Low Probability of Detection (LPD) is Critical

**Strategy =**

*Adopt*

*Adapt*

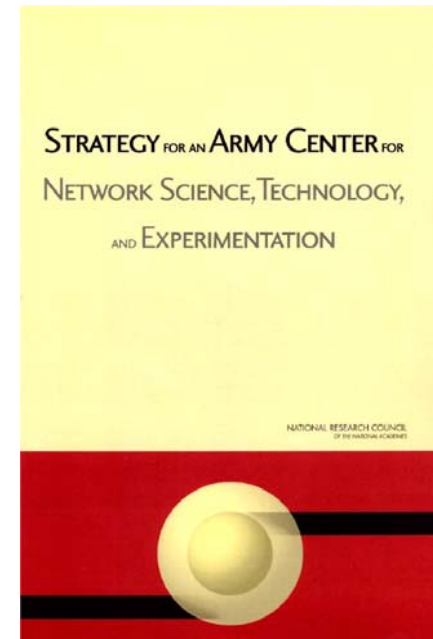
*Develop*

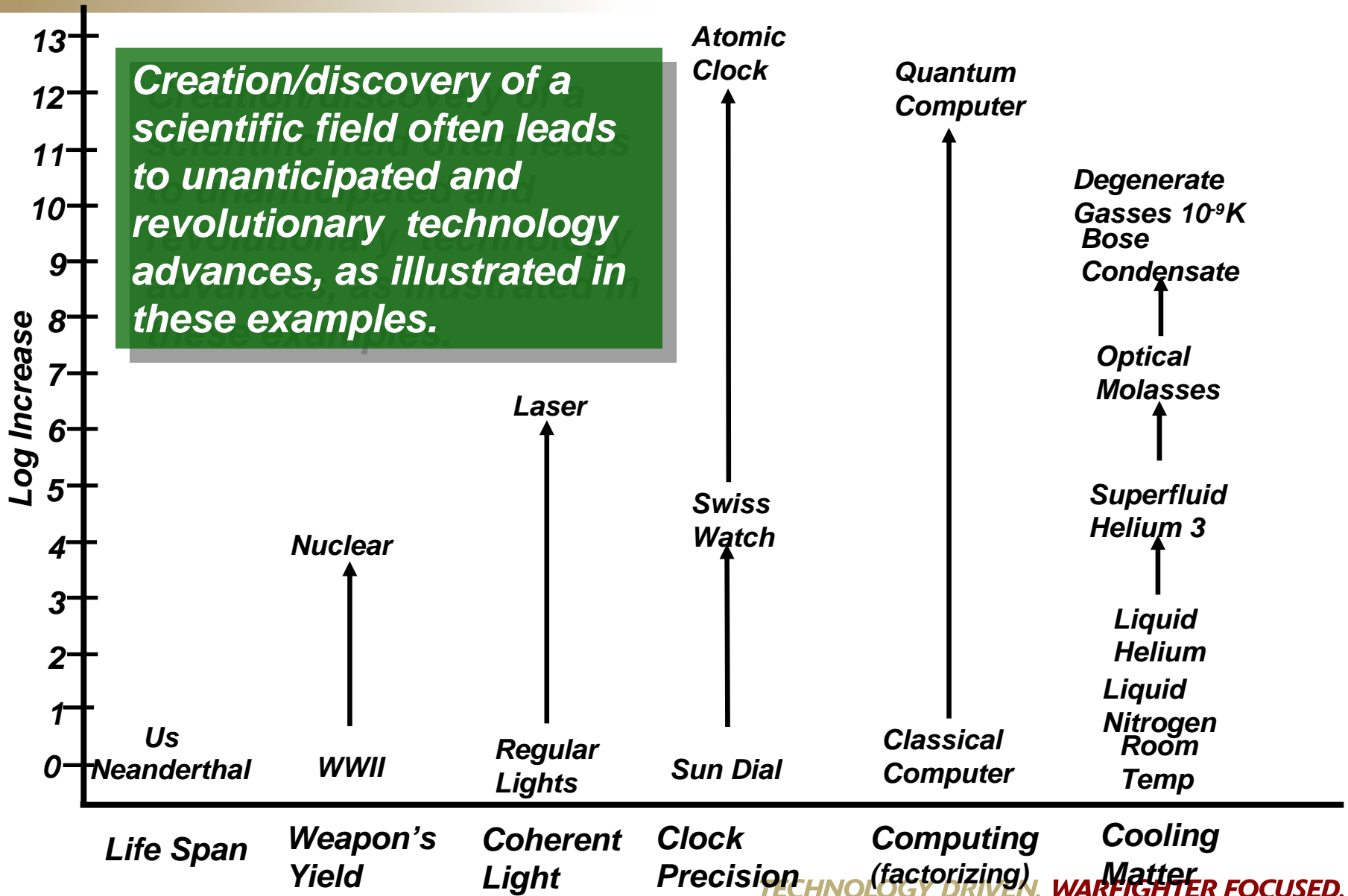
- **Social and communications networks lie at the core of all military operations**
- **A fundamental understanding of complex and social networks is primitive**
- **Required for true, full NCO capability**
- **Current funding/programs focused on specific applications**
- **Research is fragmented**



In order to implement its investment strategy in network science, technology, and experimentation (NSTE), the Army should organize a center for (NSTEC) with a mission to:

- Develop basic knowledge of networks, including social and cognitive, communication, and information domains
- Attract the best researchers in network science
- Manage activities in network science research, technology development, and experimentation for the Army
- Focus science and technology (S&T) investments to enable network-centric operations and warfare
- Focus applied S&T to enable social networks important to Army operations
- Enable development of network science applications and facilitate their transition to Army and joint operations





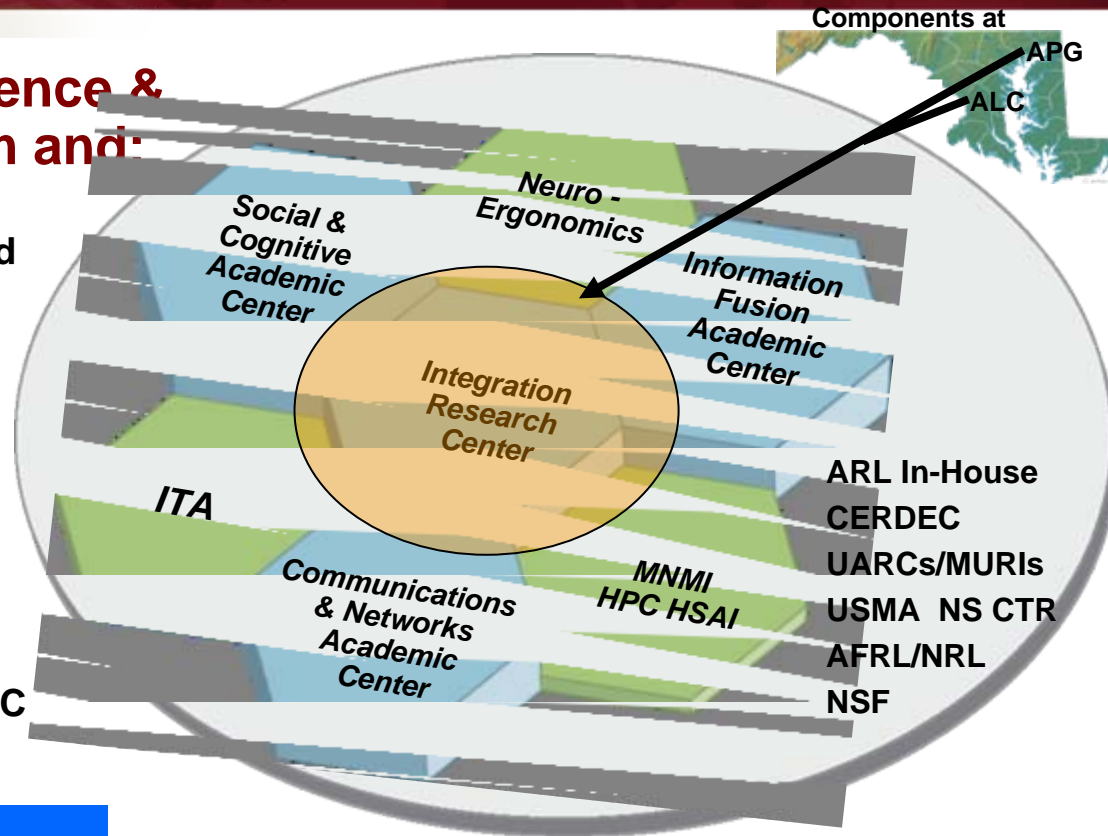
## NS receiving high-level strategic and programmatic guidance

<u>DDRE Grand Challenges</u>	<u>ARL – Strategic Technical Initiatives</u>	<u>TRADOC Top-10 Warfighter Outcomes</u>
<ul style="list-style-type: none"> <li>▪ Information Assurance</li> <li>▪ <b>Network Sciences</b></li> <li>▪ Counter WMD</li> <li>▪ Science of Autonomy</li> <li>▪ Information Fusion &amp; Decision Science</li> <li>▪ Biosensors and Bio-inspired Systems</li> <li>▪ Quantum Information Sciences</li> <li>▪ Energy &amp; Power Management</li> <li>▪ Counter Directed Energy Weapons</li> <li>▪ Immersive Science for Training &amp; Mission Rehearsal</li> <li>▪ Human Sciences</li> </ul>	<ul style="list-style-type: none"> <li>▪ Information Assurance</li> <li>▪ <b>Network Science</b></li> <li>▪ Robotics</li> <li>▪ Information Fusion</li> <li>▪ Bioscience</li> <li>▪ Advanced Computing</li> <li>▪ Power and Energy</li> <li>▪ Neuroscience</li> <li>▪ System of Systems Analysis</li> <li>▪ Nanoscience</li> </ul>	<ul style="list-style-type: none"> <li>▪ <b>Battle Command Network</b></li> <li>▪ Counter IED and Mine</li> <li>▪ Unmanned Systems Opns</li> <li>▪ Battlespace Awareness</li> <li>▪ Human Dimension</li> <li>▪ Power and Energy</li> <li>▪ Force Protection</li> <li>▪ Training</li> <li>▪ Force Application</li> <li>▪ Logistics</li> </ul>

**New Network Science Divisions created  
within ARL-CISD and ARL-ARO**

## Enhance Army's network science & technology research program and:

- Create a Sustainable World-Class Network Science Virtual Center awarded through the Net Sci CTA
- Strengthen & Exploit Government-Industry-Academia Partnerships
- Adopt a Multidisciplinary, Full-Spectrum Approach
- Accelerate the Transition & Improve the Relevance of Army-Sponsored Research
- Tightly Couple Efforts at ARL & CERDEC



## Strategy

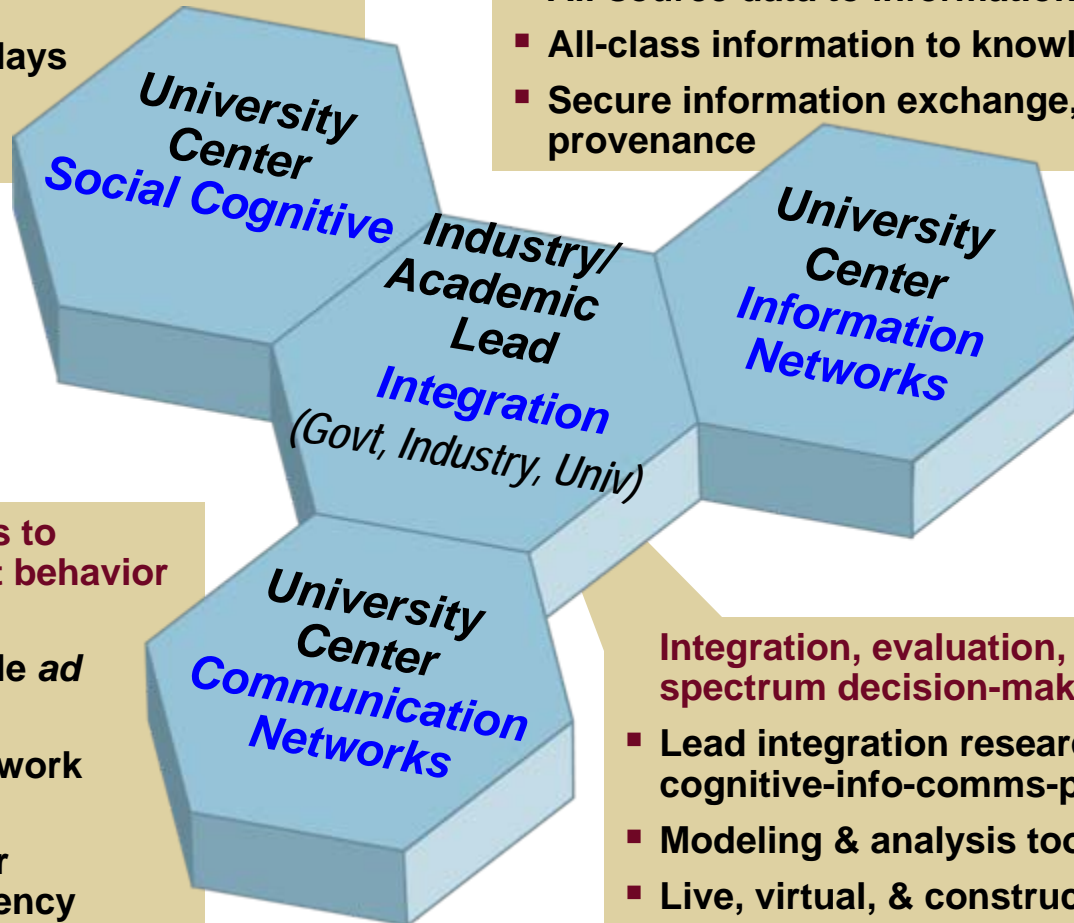
- Establish an Army distributed NSTRC of Government, Academia & Industry
- Maintain an internal Network Science program to transition Army-sponsored extramural research
- Establish strategic relationship with the HPC Mobile Network Modeling Institute (MNMI)
- Establish & maintain strategic relationships with the US/UK ITA, ATEC, NRL, AFRL, PEOs, & other DoD agencies

**Understand social & cognitive networks to improve distributed decision-making**

- Human-networked information interaction/exchange
- Data exploitation & displays
- Dynamic social-system networks

**Underpinnings to enable humans & networks to acquire & assimilate information**

- Knowledge management: distributed data mining, learned data management
- All-source data to information synthesis
- All-class information to knowledge synthesis
- Secure information exchange, trust & provenance



**Foundational techniques to model, design, & predict behavior of tactical networks**

- Adaptive & secure mobile *ad hoc* networks
- Self-aware, adaptive network control
- Cognitive networking for spectrum agility & efficiency

**Integration, evaluation, & analysis of full spectrum decision-making networks**

- Lead integration research across: social-cognitive-info-comms-physical
- Modeling & analysis tools & techniques
- Live, virtual, & constructive models



- **Basic and applied research spanning Social/cognitive, Information, Communication domains**
  - Network Science for Human Decision Making
  - THINK ATO (Tactical Human Integration with Networked Knowledge ATO)
  - STEF (Soft Target Exploitation and Fusion) ATO
  - Node-level multi-modal sensor fusion
  - Network-level distributed/decentralized data & information fusion
  - Network Science for Tactical and wireless emulation for MANETs
- **ARO Extramural Programs**
  - eSenIF MURI (PSU, Duke, Harvard, OSU)
  - Urban Target Recognition MURI (Berkeley, MIT, Vanderbilt, Memphis)
  - Sensor Fusion Battlefield CoE (Tenn State)
- **ARL Technology Alliances and Institutes**
  - Advanced Decision Architectures CTA (ending this CY)
  - Communications & Networks CTA (ending this FY)
  - US-UK International Technology Alliance (ITA) on Network & Information Sciences
  - Mobile Network Modeling Institute (High Performance Computing Modernization Program)
- **Partnerships with CERDEC: Network Design, TITAN, COBRA**
- **Multiple related DARPA programs**



## **THE PROGRAM**

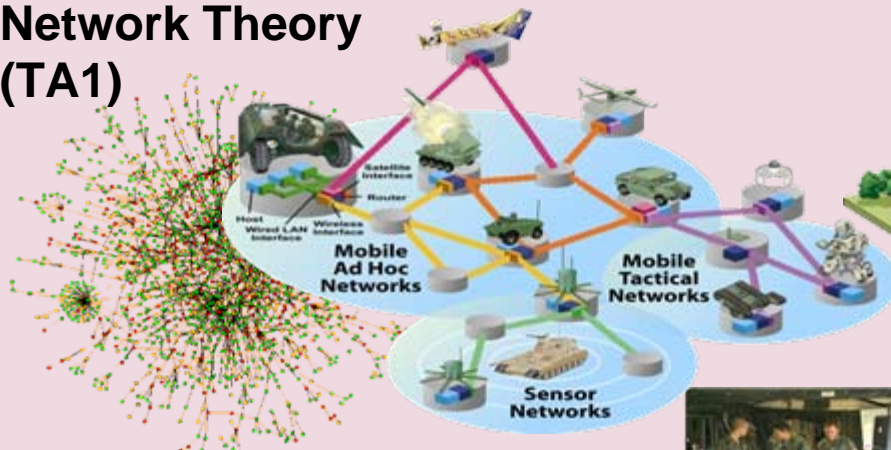
- **Initiated in May 2006**
  - Fundamental research in network and information sciences
  - IBM-Led Consortium
  - The Consortium and the US/UK Governments establish an Alliance
  - 5-year program with 5-year option
  
- **Awarded a fundamental research agreement and two transition contracts**
  - Total funding for first 5 years = \$58M
  - Approximately 50-50% split industry-academia
  - Consortium cost share ~ 12%
  
- **Builds on UK Defence Technology Centres and US ARL Collaborative Technology Alliances**



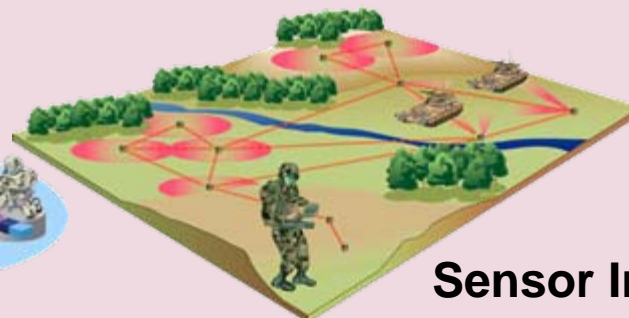
## **COLLABORATIVE LEADERSHIP**

- **UK MOD/Dstl and US Army Research Laboratory working together closely to jointly lead program**
  - Single coherent fundamental research program
  - Involves US/UK industry, academia, and government
  
- **Promotes collaboration between leading industrial and academic organizations in both countries**
  - Collaboratively push the state-of-the-art
  - Critical mass of researchers focused on key challenges
  - Staff rotations to deepen collaborations
  - Develop a deep understanding of how technologies can contribute to future defence capabilities

## Network Theory (TA1)



## TECHNICAL AREAS



Sensor Information Processing and Delivery (TA3)

## Security Across a System-of-Systems (TA2)



Distributed Coalition Planning and Decision Making (TA4)

## CROSS AREA THEMES and GOAL

- Dynamic Mission Focused Communities of Interest (Cols)
- End-to-End Coalition Information Flows
- Enabling Context and Risk Based Decision Making
- Balancing Resource Efficiency/ Adaptability



## HPC-Enabled, Large-Scale, High Fidelity M&S

### Simulation

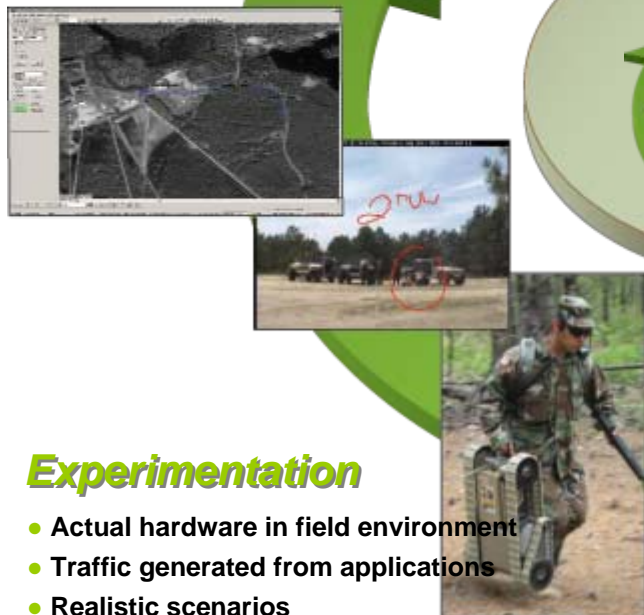
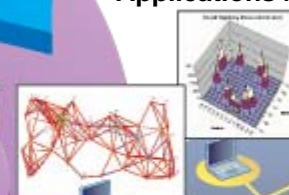
Use theory to define:

- Objective function
- Behavioral relationships
- Parameters
- Variables



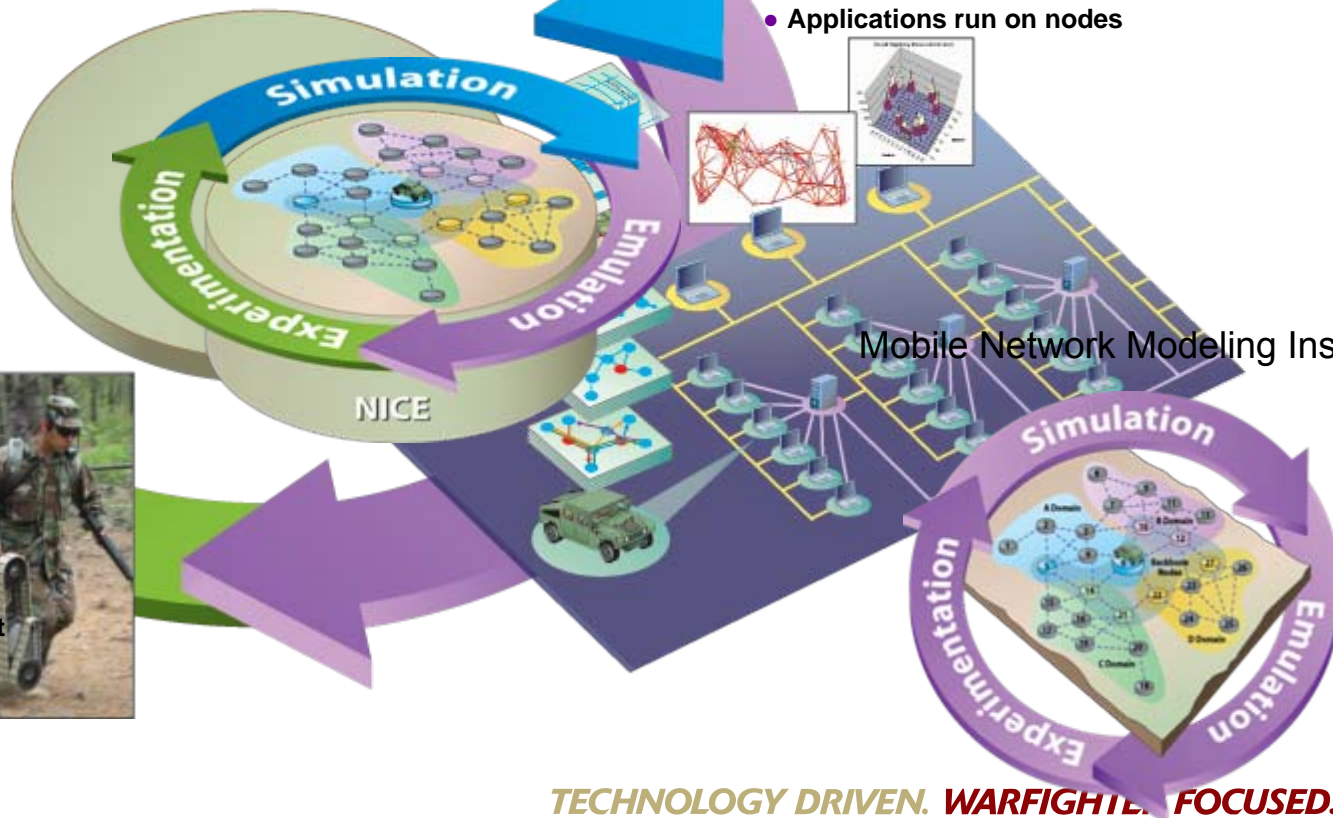
### Emulation

- PC processors represent nodes
- Laboratory environment
- MANE software to model node movement and radio access
- Actual MANET protocols run on nodes
- Applications run on nodes



### Experimentation

- Actual hardware in field environment
- Traffic generated from applications
- Realistic scenarios
- HPC used to augment and stimulate environment



### Simulated Tactical Operations Center (S-TOC)



Biosignal acquisition



Social Network Analysis

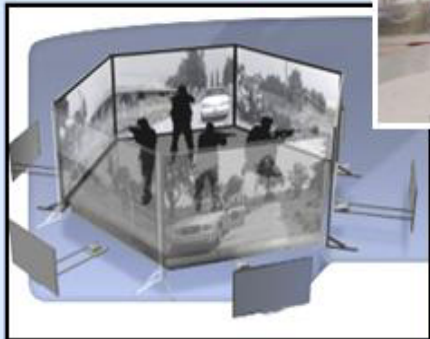
Modeling Tools



### Cognitive Assessment, Simulation, & Engineering Laboratory (CASEL)



Mobility Portability Course



360° Soldier Readiness Simulator



Bldg 518

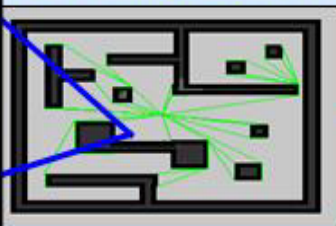
Immersive Environment Simulator (IES)



Shooter Performance Facility

Aberdeen Test Center  
Mulberry Point MOUT Site

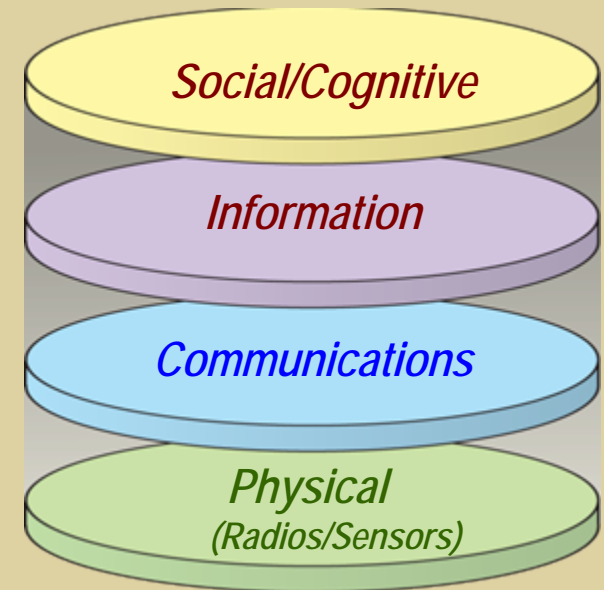
Robot Obstacle Course

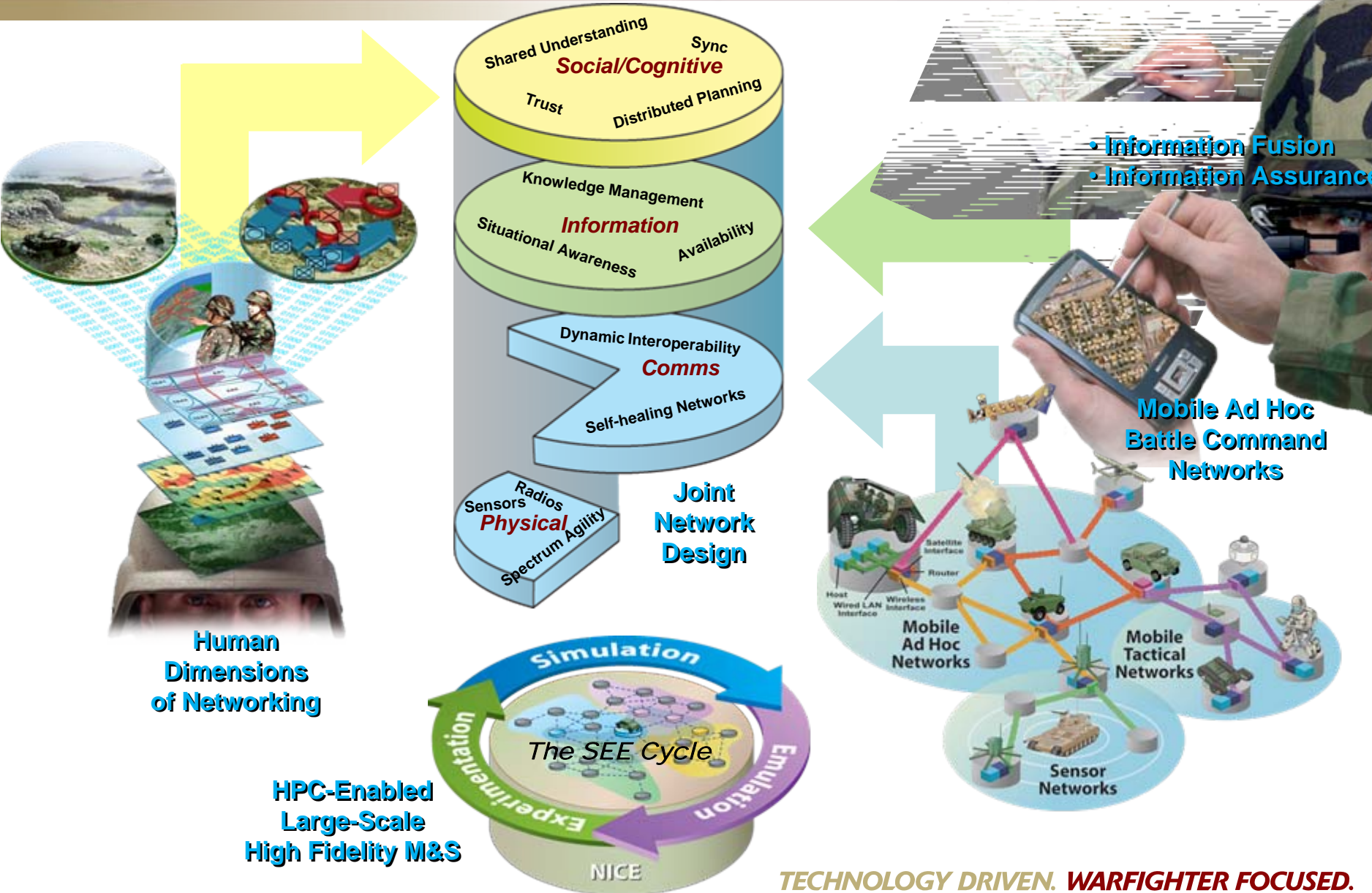




## Grand Challenge/Vision

- Develop sciences which will enable us to model, design, analyze, predict, & control behaviors of secure tactical communications, sensing, & command & control networks
- Develop fundamental underpinnings to enable humans & networks of disparate information sources to discover, derive, infer, & optimize data, information & knowledge from the full range of structured & unstructured sources
- Understand the linkage between the physical & human domains as they relate to human decision making within the Army's command & control structure







# **Special Session**

# **DoD Independent Research and Development**

## **Introduction**

**23 April, 2009**

**Dr. André van Tilborg**  
**Deputy Under Secretary of Defense**  
**(Science & Technology)**



# Agenda

---

<b>→ 1:15pm</b>	<b>Introduction</b>	<b>Dr. André van Tilborg, DUSD(S&amp;T)</b>
<b>1:30pm</b>	<b>Military Department Presentations</b>	<b>Dr. Jagadeesh Pamulapati, Deputy Director for Research and Laboratory Management, US Army</b>  <b>Mr. Wendell Banks, Director, Plans &amp; Programs, Air Force Research Lab, Wright-Patterson AFB</b>  <b>RADM Nevin Carr, Chief of Naval Research</b>
<b>3:00pm</b>	<b>BREAK</b>	
<b>3:15pm</b>	<b>Discussion Topics</b>	<b>Dr. André van Tilborg, DUSD(S&amp;T)</b>
<b>3:30pm</b>	<b>Industry Views</b>	<b>All</b>



# Objectives for Today's Session

---

- Provide brief overviews of DoD IR&D objectives and activities
- Enable direct discussion with DoD IR&D leaders
- Identify DoD IR&D points-of-contact
- Obtain feedback from industry (inputs from DoD participants also welcome)
  - What improvements/adjustments should DoD consider to enhance the value, efficiency, and productivity of the IR&D program?
  - Are there any fundamental changes that merit consideration?

**Obtaining Feedback from Industry is the Top Priority.**





# Overview of DoD IR&D

---

- **Law**
  - 10 USC 2372, Independent research and development and bid and proposal costs: payments to contractors
- **Regulations**
  - DoD Directive 3204.1, May 10, 1999
  - Federal Acquisition Regulation (FAR) 31.205-18
  - Defense Federal Acquisition Supplement (DFARS) 231.205-18 and 242.771
  - Cost Accounting Standard (CAS) 420
- **Execution**
  - By military departments and agencies, as outlined in the presentations that follow
- **Coordination**
  - DDR&E-chaired Technical Coordination Group (TCG)



# Regulations

---

- **DOD IR&D Program Directive 3204.1, May 10, 1999**
  - Policy and responsibilities for technical and business aspects of IR&D and B&P activities
  - Establishes Technical Coordination Group (TCG) to provide oversight of the IR&D Program and effective communications between industry and the DoD
- **Federal Acquisition Regulation (FAR) 31.205-18.**
  - Contract cost principles and procedures for IR&D and B&P costs
  - Definitions of IR&D and B&P costs, cost allowability, deferred IR&D costs, and cooperative arrangements
  - IR&D and B&P costs allowable as indirect expenses on contracts to the extent that those costs are allocable and reasonable
- **Defense Federal Acquisition Regulation Supplement (DFARS) 231.205-18.**
  - Contract cost principles and procedures for IR&D and B&P costs under defense contracts
  - For major defense contractors, allowable IR&D/B&P costs are limited to those for projects that are of potential interest to DoD
- **Defense Federal Acquisition Regulation Supplement (DFARS) 242.771.**
  - Contract administration functions relating to IR&D and B&P costs
- **Cost Accounting Standard (CAS) 420.**
  - Criteria for the accumulation and allocation of IR&D and B&P costs



# 10 USC 2372: IR&D is Intended to Encourage ...

---

- (1) Enabling superior performance of future United States weapon systems and components.**
- (2) Reducing acquisition costs and life-cycle costs of military systems.**
- (3) Strengthening the defense industrial base and the technology base of the United States.**
- (4) Enhancing the industrial competitiveness of the United States.**
- (5) Promoting the development of technologies identified as critical under § 2506 of 10 USC 2372.**
- (6) Increasing the development and promotion of efficient and effective applications of dual-use technologies.**
- (7) Providing efficient and effective technologies for achieving such environmental benefits as improved environmental data gathering, environmental cleanup and restoration, pollution reduction in manufacturing, environmental conservation, and environmentally safe management of facilities.**



# **In Furtherance of 10 USC 2372, DODD 3204.1 Seeks to ...**

---

- 4.2.1. Create an environment that encourages DoD contractors to expand knowledge in mathematics and science, improve technology in areas of interest to the Department of Defense, and enrich and broaden the spectrum of technology available to the Department of Defense.**
- 4.2.2. Create conditions that allow DoD contractors the freedom to determine the focus of their IR&D programs and especially the freedom to exploit fruitful avenues of research that, in their judgments, may provide the greatest benefits.**
- 4.2.3. Broaden and strengthen the industrial base for the benefit of the U.S. economy and defense, to provide incentives for future defense-oriented R&D, and to improve the responsiveness of industrial capabilities essential to defense needs.**
- 4.2.4. Encourage the commercialization of dual-use technologies to ensure the efficient and effective availability of those technologies for application to future military systems and for the economic benefit of the United States.**



# Role of the Technical Coordination Group

---

- **Established by DoD Directive 3204.1**
- **Provides oversight of the IR&D Program and effective communications between industry and the Department of Defense**
  - **Provide industry the information needed to effectively implement corporate IR&D programs while maintaining independence**
  - **Effectively use IR&D data obtained from industry by the Department of Defense (DTIC IR&D database)**
- **Current TCG membership is DoD only**
- **The TCG seeks to improve in its role as a coordination mechanism for the IR&D community – suggestions welcomed**





# Agenda

---

**1:15pm**

**Introduction**

**Dr. André van Tilborg, DUSD(S&T)**

**→ 1:30pm**

**Military Department  
Presentations**

**Dr. Jagadeesh Pamulapati, Deputy  
Director for Research and  
Laboratory Management, US Army**

**Mr. Wendell Banks, Director, Plans  
& Programs, Air Force Research  
Lab, Wright-Patterson AFB**

**RADM Nevin Carr, Chief of Naval  
Research**

**3:00pm**

**BREAK**

**3:15pm**

**Discussion Topics**

**Dr. André van Tilborg, DUSD(S&T)**

**3:30pm**

**Industry Views**

**All**



# Agenda

---

<b>1:15pm</b>	<b>Introduction</b>	<b>Dr. André van Tilborg, DUSD(S&amp;T)</b>
<b>1:30pm</b>	<b>Military Department Presentations</b>	<b>Dr. Jagadeesh Pamulapati, Deputy Director for Research and Laboratory Management, US Army</b>  <b>Mr. Wendell Banks, Director, Plans &amp; Programs, Air Force Research Lab, Wright-Patterson AFB</b>  <b>RADM Nevin Carr, Chief of Naval Research</b>
<b>3:00pm</b>	<b>BREAK</b>	
<b>→ 3:15pm</b>	<b>Discussion Topics</b>	<b>Dr. André van Tilborg, DUSD(S&amp;T)</b>
<b>3:30pm</b>	<b>Industry Views</b>	<b>All</b>



# **DoD**

# **Independent Research and Development**

## **Discussion Topics**

**Dr. André M. van Tilborg**  
**Deputy Under Secretary of Defense**  
**(Science & Technology)**



# DoD Attendees Want to Hear Industry Perspectives

---

- How well is IR&D program meeting objectives of 10 USC 2372?
- What impediments constrain IR&D execution and impact?
- What improvements/adjustments should DoD consider to enhance the value, efficiency, and productivity of the IR&D program?
- Are there fundamental changes that merit consideration?



# 10 USC 2372: IR&D is Intended to Encourage ...

---

- (1) Enabling superior performance of future United States weapon systems and components.**
- (2) Reducing acquisition costs and life-cycle costs of military systems.**
- (3) Strengthening the defense industrial base and the technology base of the United States.**
- (4) Enhancing the industrial competitiveness of the United States.**
- (5) Promoting the development of technologies identified as critical under § 2506 of 10 USC 2372.**
- (6) Increasing the development and promotion of efficient and effective applications of dual-use technologies.**
- (7) Providing efficient and effective technologies for achieving such environmental benefits as improved environmental data gathering, environmental cleanup and restoration, pollution reduction in manufacturing, environmental conservation, and environmentally safe management of facilities.**





# **In Furtherance of 10 USC 2372, DODD 3204.1 Seeks to ...**

---

- 4.2.1. Create an environment that encourages DoD contractors to expand knowledge in mathematics and science, improve technology in areas of interest to the Department of Defense, and enrich and broaden the spectrum of technology available to the Department of Defense.**
  
- 4.2.2. Create conditions that allow DoD contractors the freedom to determine the focus of their IR&D programs and especially the freedom to exploit fruitful avenues of research that, in their judgments, may provide the greatest benefits.**
  
- 4.2.3. Broaden and strengthen the industrial base for the benefit of the U.S. economy and defense, to provide incentives for future defense-oriented R&D, and to improve the responsiveness of industrial capabilities essential to defense needs.**
  
- 4.2.4. Encourage the commercialization of dual-use technologies to ensure the efficient and effective availability of those technologies for application to future military systems and for the economic benefit of the United States.**



# Potential Topics for Discussion

---

- 1. DoD-Industry Communications**
- 2. IR&D Reporting**
- 3. Balance of Near- vs. Far-term Research**
- 4. Return on Investment**
- 5. Technical Coordination Group**
- 6. Improvements, Adjustments, and Fundamental Changes**



# DoD-Industry Communications

---

- DoD and Industry use workshops to facilitate communication between IR&D researchers, government researchers, and acquisition programs. Technical Interchange Meetings (TIMs) afford Industry and DoD the opportunity to meet in structured, yet flexible forums to discuss R&D needs, interests and activities.
- Two most common types of TIMs are “Industry Day” events that have a distinct Industry “technical marketing” thrust and the Air Force/Industry IR&D Workshops with their alternating “technical exchange and review” sessions.
- Questions for Discussion:
  - Are these kinds of activities effective?
  - At what level (DoD, Service, Systems Command, Technical Center, Other?) are these types of activities most effective?
  - How much of this type of activity can Industry support?
  - What could be done to maximize the effectiveness of these workshops and interactions?
  - Are there any additional activities you recommend DoD undertake to increase Industry awareness of DoD near-, medium-, and long-term capability objectives; and the current and planned DoD research and acquisition programs directed at these objectives?



# IR&D Reporting

---

- **Approximately \$2-2.5B of annual DoD IR&D investments.**
- **Technical communication from industry to DoD describing this investment is only partially (40%) captured in the Defense Technical Information Center (DTIC) IR&D database.**
- **DoD staff are required to examine DTIC IR&D database before starting new projects.**
- **With encouragement, can voluntary measures substantially increase reporting?**



# Balance of Near- vs. Far-term Research

---

- **From DODD 3204.1 “4.2.1. Create an environment that encourages DoD contractors to expand knowledge in mathematics and science, improve technology in areas of interest to the Department of Defense, and enrich and broaden the spectrum of technology available to the Department of Defense.”**
- **What can DoD do better to foster such an environment?**





# Return on Investment

---

- **Approximately \$2-2.5B of annual DoD IR&D investments.**
- **How to assess and demonstrate the value of IR&D reimbursement and the impacts of increasing, decreasing, or maintaining the current levels of investment?**



# Technical Coordination Group

---

- **DODD 3204.1 directs DDR&E TCG to do the following:**
  - **1.3.1. Provide industry the information they need to effectively implement their IR&D programs while maintaining their independence to conduct research and development (R&D) activities.**
  - **1.3.2. Effectively use IR&D data obtained from industry by the Department of Defense.**
- **Should Industry be an integral part of the TCG?**
  - **If so, in what way, to what extent, and to what purpose?**
  - **How would industry get the most value from direct TCG participation?**
  - **What expectations from the TCG do you have?**



# Improvements, Adjustments, and Fundamental Changes

---

**What improvements, adjustments, and changes could the Department of Defense implement to enhance the value, efficiency, and productivity of the IR&D program?**



# Additional Feedback is Welcomed

---

- IR&D is important to DoD
- Post-meeting comments are encouraged
- Please provide your comments to –  
[dhyman@dtic.mil](mailto:dhyman@dtic.mil)
- Additional IR&D outreach to industry sessions could be conducted
  - If there is sufficient interest, a one-day meeting could be scheduled in early fall in the Washington, DC area
  - please communicate interest to [dhyman@dtic.mil](mailto:dhyman@dtic.mil)

# UNITED STATES SOUTHERN COMMAND

---

## *Science, Technology and Experimentation Perspective on USSOUTHCOM Capability Needs*



10<sup>th</sup> Annual Science &  
Engineering Technology Conf  
/ DoD Tech Exposition

April 23, 2009

THIS BRIEFING IS **UNCLASSIFIED**





# Our Focus: A Diverse Region



A large map of the Southern Hemisphere, showing the Americas, Africa, Europe, and Australia. The map is overlaid with a grid of latitude and longitude lines. The text "SOUTH ATLANTIC OCEAN" is visible at the bottom of the map.

- 1/6<sup>th</sup> of earth's surface
- 41 countries and territories/protectorates
- 460 million people

- Varied ethnic heritage
- Multiple languages
- 190 million Portuguese speakers







# Challenges



## Security

- Crime/urban gangs
- Illicit trafficking
- Transnational terrorism
- Forgery/money laundering
- Mass migration
- Narco-terrorism
- Natural disasters



## Warfighter

- Maritime Domain Awareness
- Counter Narcotics
- Information Collaboration and Integration
- Obscured Target Detection
- Cooperative Security Engagement

**Transnational & Adaptive Threats**

**Regional challenges require cooperative solutions**



# *Addressing WFCs through ST&E*

---

Technology



Experimentation

International R&D

*Security, Stability,  
Prosperity  
... for the Americas*



# MDA / Counter Narcotics

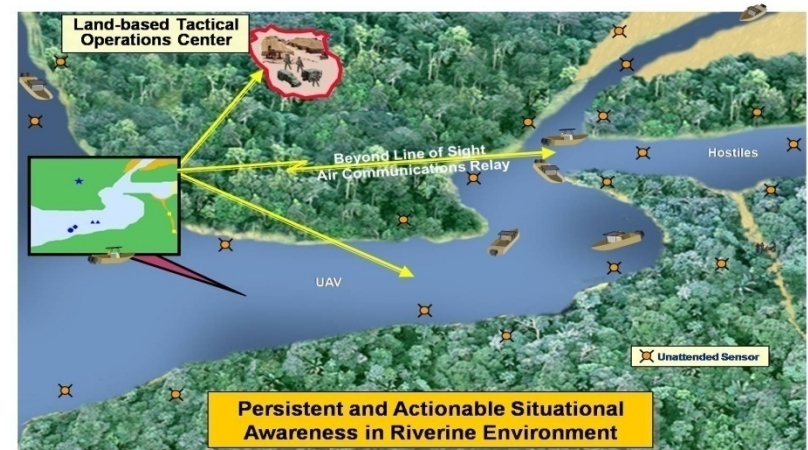
## Warfighter Challenge

- River-based criminal/terrorist activities represent a significant Operational and MDA challenge to Combatant Commanders
- Rivers are a primary lines of communication - drug trafficking & kidnapping generate tremendous revenues for terrorists



## Current ST&E Initiatives

RIO JCTD





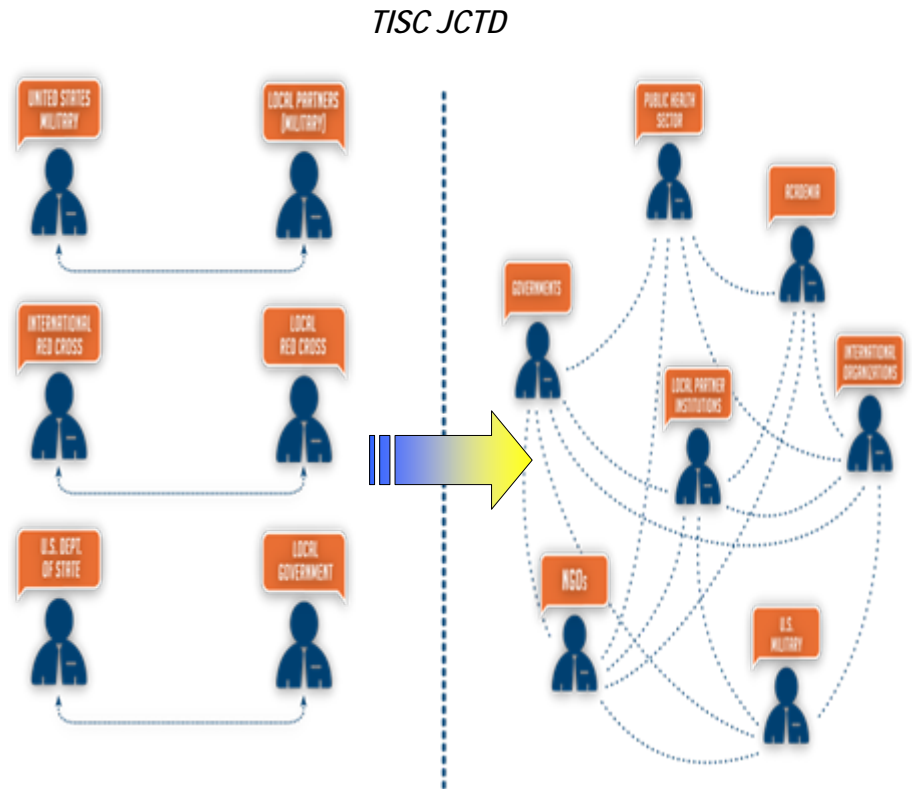


# Information Collaboration / Integration

## Warfighter Challenge

- Today's environment requires effective communication, coordination, and collaboration among militaries, interagency communities, non-governmental organizations, private organizations, and international organizations involved in stability operations, complex humanitarian emergencies, and reconstruction activities

## Current ST&E Initiatives





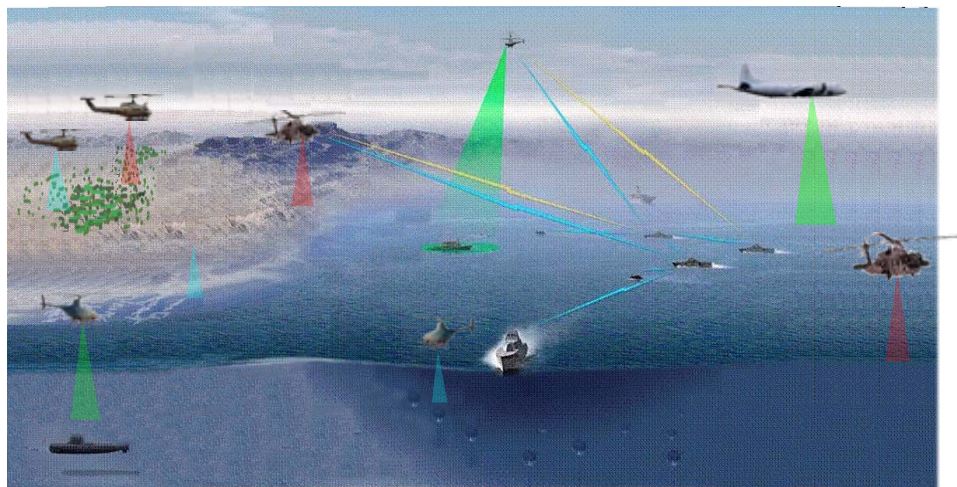


# Obscured Target Detection

## Warfighter Challenge

- Full spectrum capability to detect adversary use of jungle, weather and camouflage for concealment and evasion

## Current ST&E Initiatives



- Forester / A-160: UAV / GMTI
- Shadow Harvest JCTD: C-130-based / Multi-INT
- JMMES JCTD: Common Multi-Mission Sensor
- MASTIF JCTD: Data Fusion Engine



# Cooperative Security Engagement

## Warfighter Challenge

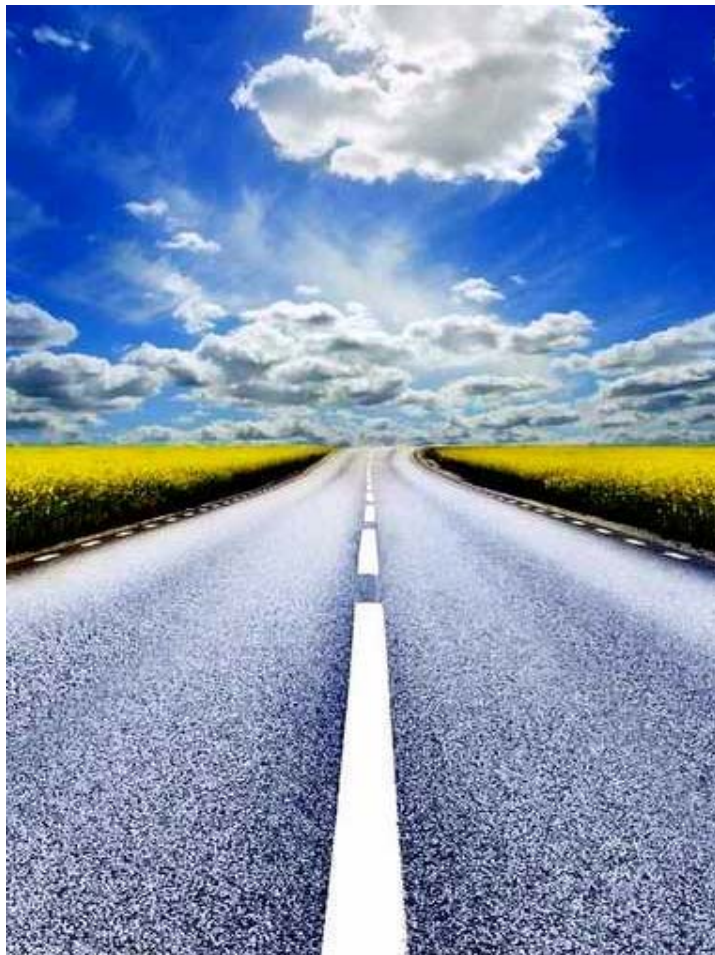
- Planning for conflict prevention and security cooperation is fragmented
- Assessment/Evaluation often an afterthought and largely unresourced
- Host nation assets often overlooked; survey/info focused mainly on “deficiencies”
- Visibility of employable USG and Partner assets, capabilities, projects and programs obscured
- Inputs/Outputs not always linked to Goals/Impacts
- Policy and technical barriers discourage routine information sharing

## Current ST&E Initiatives





# *Potential Way Ahead*



- Investigate where applicable
- Integrate
- Prioritize
- Assess, Plan, Execute, Evaluate
- Persistence

# Fulfilling the Promise Together

**Partnership....**

Security

Stability

Partnerships

**....for the Americas**

## Discussion

