

Award Number: W81XWH-12-1-0375

TITLE: A Label-Free and Chemical-Selective Microendoscope To Enhance Prostate Cancer Surgical Outcomes

PRINCIPAL INVESTIGATOR: Stephen TC Wong

CONTRACTING ORGANIZATION: Methodist Hospital Research Institute, Houston, TX

REPORT DATE: October 2015

TYPE OF REPORT: Annual

PREPARED FOR: U.S. Army Medical Research and Materiel Command  
Fort Detrick, Maryland 21702-5012

DISTRIBUTION STATEMENT: Approved for Public Release;  
Distribution Unlimited

The views, opinions and/or findings contained in this report are those of the author(s) and should not be construed as an official Department of the Army position, policy or decision unless so designated by other documentation.

# REPORT DOCUMENTATION PAGE

*Form Approved*  
OMB No. 0704-0188

Public reporting burden for this collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing this collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to Department of Defense, Washington Headquarters Services, Directorate for Information Operations and Reports (0704-0188), 1215 Jefferson Davis Highway, Suite 1204, Arlington, VA 22202-4302. Respondents should be aware that notwithstanding any other provision of law, no person shall be subject to any penalty for failing to comply with a collection of information if it does not display a currently valid OMB control number. **PLEASE DO NOT RETURN YOUR FORM TO THE ABOVE ADDRESS.**

<b>1. REPORT DATE</b> October 2015	<b>2. REPORT TYPE</b> Annual	<b>3. DATES COVERED</b> 30 Sep 2014 – 29 Sep 2015
---------------------------------------	---------------------------------	--

<b>4. TITLE AND SUBTITLE</b> A Label-Free and Chemical-Selective Microendoscope To Enhance Prostate Cancer Surgical Outcomes	<b>5a. CONTRACT NUMBER</b>
	<b>5b. GRANT NUMBER</b> PC111860
	<b>5c. PROGRAM ELEMENT NUMBER</b>

<b>6. AUTHOR(S)</b> Xiaoyun Xu, Xu Chen, Sheng Weng, Stephen Wong  E-Mail: stwong@houstonmethodist.org	<b>5d. PROJECT NUMBER</b>
	<b>5e. TASK NUMBER</b>
	<b>5f. WORK UNIT NUMBER</b>

<b>7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES)</b>  Houston Methodist Research Institute, Houston, TX	<b>8. PERFORMING ORGANIZATION REPORT NUMBER</b>
--	---

<b>9. SPONSORING / MONITORING AGENCY NAME(S) AND ADDRESS(ES)</b> U.S. Army Medical Research and Materiel Command Fort Detrick, Maryland 21702-5012	<b>10. SPONSOR/MONITOR'S ACRONYM(S)</b>
	<b>11. SPONSOR/MONITOR'S REPORT NUMBER(S)</b>

**12. DISTRIBUTION / AVAILABILITY STATEMENT**  
Approved for Public Release; Distribution Unlimited

**13. SUPPLEMENTARY NOTES**

**14. ABSTRACT**  
Direct visualization of cavernous nerves (CNs) and prostate surgical margins can improve nerve preservation and post-operative potency of radical prostatectomy. Coherent Anti-Stokes Raman scattering (CARS) is a label-free imaging technique which can differentiate prostate cancer tissues and cavernous nerves (CNs) without the use of any labeling agents. However, one major obstacle for intraoperative application of CARS is the bulky size of the original CARS system which was built on free space optics. In previous calendar years, we have successfully refined our original bulky CARS system into a compact fiber-based microendoscope device and finished the development of a miniaturized fiber probe. We have also tested the feasibility of using TRAMP mice for prostate surgical margin imaging. The next step will be *in vivo* imaging and algorithm development with the all-fiber microendoscope system. This work presents a significant milestone in CARS endoscopy development using micro optics to greatly reduce the size of CARS endoscopy while keeping high imaging definition quality.

**15. SUBJECT TERMS**  
coherent anti-Stokes Raman scattering; endoscopy; endomicroscopy; fiber optics; micro-electromechanical systems

<b>16. SECURITY CLASSIFICATION OF:</b>			<b>17. LIMITATION OF ABSTRACT</b>	<b>18. NUMBER OF PAGES</b>	<b>19a. NAME OF RESPONSIBLE PERSON</b>
<b>a. REPORT</b>	<b>b. ABSTRACT</b>	<b>c. THIS PAGE</b>			USAMRMC
U	U	U	UU	6	<b>19b. TELEPHONE NUMBER</b>

## Table of Contents

	<u>Page</u>
1. Introduction.....	4
2. Keywords.....	4
3. Overall Project Summary .....	4
4. Key Research Accomplishments.....	5
5. Conclusion .....	5
6. Publications, Abstracts, and Presentations .....	5
7. Inventions, Patents, and Licenses.....	5
8. Reportable Outcomes .....	5
9. Other Achievements .....	5
10. References .....	5

## 1. Introduction

Direct visualization of cavernous nerves (CNs) and prostate surgical margins can improve nerve preservation and post-operative potency of radical prostatectomy. Coherent Anti-Stokes Raman scattering (CARS) is a label-free imaging technique which can differentiate prostate cancer tissues and cavernous nerves (CNs) based on intrinsic macromolecular contrast without the use of any labeling agents. Our goal is to develop a miniaturized Coherent Anti-Stokes Raman scattering (CARS) system which is more suitable for intraoperative applications. In previous calendar years, we have replaced the bulky free space optics with their fiber optic counterparts to build a compact fiber-based microendoscope device. We are currently working on the assembly and characterization of the fiber-based microendoscope system and will complete the microendoscope evaluation on patient specimen and animal models.

## 2. Keywords

Coherent anti-Stokes Raman scattering; non-linear optics; endomicroscopy; fiber optics; micro-electromechanical systems; prostate cancer; prostatectomy, *in vivo* cancer differentiation; optical diagnostics; medical imaging; image quantification; machine learning

## 3. Overall Project Summary

The specific aims have not been significantly modified from the original approved statement of work. Aim 1 is to develop a miniaturized CARS microendoscope by refining the original bulky CARS system into a compact all-fiber device. Aim 2 is to evaluate the CARS microendoscope for cavernous nerve and prostate surgical margin imaging.

**Aim 1: To refine our existing CARS microendoscope into a fiber device for *in vivo* cancer imaging.**

*A. Refine laser, detection, and data acquisition (DAQ) subsystems with fiber optic components.*

*B. Develop a fiber probe based on microelectromechanical systems (MEMS) technology and consisting of a polarization maintaining fiber, a MEMS scanning mirror, and micro-optics.*

*C. Assemble and characterize the all-fiber-based CARS microendoscope system.*

We have refined our original bulky free-space optical CARS system into a compact all-fiber CARS microendoscope and finished the development of the fiber probe (Aim 1A and Aim 1B) in previous calendar years. However, the probe fabrication was delayed by the vendor for a year and we have just received the probe in mid July 2015. We had requested a twelve-month no cost extension and the new ending date of Sep 29 2016 has been approved. We are currently working on the assembly and characterization of the miniaturized fiber-based microendoscope.

**Aim 2: To evaluate the ability of our CARS microendoscope to image cavernous nerves and prostate surgical margins *in vivo* using intrinsic CH<sub>2</sub>-based molecular contrast.**

*A. Evaluate the utility of the CARS microendoscope to image patient surgical specimens *ex vivo* and transgenic mouse model for prostate cancer (TRAMP) mice *in vivo*.*

We have tested the feasibility of using TRAMP mice for prostate surgical margin imaging and has reported the results in the annual report of 2014.

*B. Develop algorithms for image quantification and characterization of prostate and periprostatic tissues in TRAMP mice.*

C. Validate the performance of microendoscope imaging and image analysis algorithms to identify prostate and periprostatic tissue *in vivo*.

We will complete the Aim 2B and Aim 2C in the no-cost extension year. We will first test the CARS probe on fresh patient tissue obtained from Houston Methodist Hospital. We expect that cell and tissue structures can be visualized by our fiber-based CARS system. *In vivo* imaging studies will be carried out with TRAMP mice for the differentiation of prostate cancer, tumor margin, and normal tissues. Sprague-Dawley rats will be used for imaging of Cavernous Nerves. A computerized image analysis algorithm will then be developed to differentiate cancer from non-cancer samples for real-time diagnosis. The accuracy of the tissue and nerve classification algorithm will be estimated on independent test sets of patient specimen and animal subjects.

#### **4. Key Research Accomplishments**

1. Fabrication of the miniaturized CARS microendoscope probe
2. Assembly and characterization of the miniaturized fiber-based microendoscope system

#### **5. Conclusion**

We have successfully finished the fabrication of a miniaturized fiber-optic-based CARS microendoscope probe. The 14 mm transversal diameter of the round-shaped probe paves the path towards a future handheld multimodal CARS imaging for real-time intraoperative imaging. We have examined the feasibility of multimodal prostate imaging with CARS and SHG using patient specimens and mouse models. We are currently working on the assembly and characterization of the fiber-based microendoscope system. We will test the application of all-fiber microendoscope system on fresh patient tissue and live animal models in the no-cost extension year. We will also develop a computerized image analysis algorithm for real-time diagnosis.

#### **6. Publication, Abstracts, and Presentations**

Nothing to report

#### **7. Inventions, Patents, and Licenses**

Nothing to report

#### **8. Reportable Outcomes**

- 1) A miniaturized CARS probe has been fabricated for *in vivo* identification of cavernous nerves and differentiation of prostate cancer and surgical margins.
- 2) The miniaturized fiber-based microendoscope system is being assembled and tested for potential intraoperative imaging.

#### **9. Other Achievements**

- 1) This DOD award supports two postdoctoral trainees (Xu Chen and Xiaoyun Xu)
- 2) This award also provides research opportunities for one PhD student (Sheng Weng)

#### **10. References**

1. Chen X, Xu X, McCormick DT, Wong K, Wong ST. [Multimodal nonlinear endo-microscopy probe design for high resolution, label-free intraoperative imaging.](#) Biomed Opt Express. 2015 Jun 3;6(7):2283-93.