

AWARD NUMBER: W81XWH-14-1-0108

TITLE: Integrin Alpha-v and HER2 in Breast Cancer Brain Metastasis

PRINCIPAL INVESTIGATOR: Edward A. Neuwelt

CONTRACTING ORGANIZATION: Oregon Health and Science University  
Portland, OR 97201

REPORT DATE: October 2015

TYPE OF REPORT: Annual

PREPARED FOR: U.S. Army Medical Research and Materiel Command  
Fort Detrick, Maryland 21702-5012

DISTRIBUTION STATEMENT: Approved for Public Release;  
Distribution Unlimited

The views, opinions and/or findings contained in this report are those of the author(s) and should not be construed as an official Department of the Army position, policy or decision unless so designated by other documentation.

# REPORT DOCUMENTATION PAGE

*Form Approved*  
OMB No. 0704-0188

Public reporting burden for this collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing this collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to Department of Defense, Washington Headquarters Services, Directorate for Information Operations and Reports (0704-0188), 1215 Jefferson Davis Highway, Suite 1204, Arlington, VA 22202-4302. Respondents should be aware that notwithstanding any other provision of law, no person shall be subject to any penalty for failing to comply with a collection of information if it does not display a currently valid OMB control number. **PLEASE DO NOT RETURN YOUR FORM TO THE ABOVE ADDRESS.**

<b>1. REPORT DATE</b> October 2015		<b>2. REPORT TYPE</b> Annual		<b>3. DATES COVERED</b> 30 Sep 2014 - 29 Sep 2015	
<b>4. TITLE AND SUBTITLE</b> Integrin alpha v and HER2 in breast cancer brain metastasis				<b>5a. CONTRACT NUMBER</b>	
				<b>5b. GRANT NUMBER</b> W81XWH-14-1-0108	
				<b>5c. PROGRAM ELEMENT NUMBER</b>	
<b>6. AUTHOR(S)</b> Edward A. Neuwelt  E-Mail: neuwelte@ohsu.edu				<b>5d. PROJECT NUMBER</b>	
				<b>5e. TASK NUMBER</b>	
				<b>5f. WORK UNIT NUMBER</b>	
<b>7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES)</b>  Oregon Health and Science University 3181 SW Sam Jackson Park Rd. L603 Portland, OR 97239				<b>8. PERFORMING ORGANIZATION REPORT NUMBER</b>	
<b>9. SPONSORING / MONITORING AGENCY NAME(S) AND ADDRESS(ES)</b>  U.S. Army Medical Research and Materiel Command Fort Detrick, Maryland 21702-5012				<b>10. SPONSOR/MONITOR'S ACRONYM(S)</b>	
				<b>11. SPONSOR/MONITOR'S REPORT NUMBER(S)</b>	
<b>12. DISTRIBUTION / AVAILABILITY STATEMENT</b>  Approved for Public Release; Distribution Unlimited					
<b>13. SUPPLEMENTARY NOTES</b>					
<b>14. ABSTRACT</b> Brain metastasis is common in patients with breast cancer, and leading to cognitive problems, poor quality of life, and rapid mortality. Despite the fact that brain metastases occur in 5% of breast cancer patients overall and 30% in patients with high expression of HER2, there are few treatment or prevention options. Integrins are a large family of cell surface proteins that are important for the internal structure of cells and also cell interactions with other cells and the biological microenvironment. We have evidences showing that the specific integrin protein $\alpha v$ may be particularly important in cancer metastasis. First, breast cancer cell clones with high levels of $\alpha v$ integrin migrate rapidly and infiltrate in rat brain, while clones with low $\alpha v$ integrin do not, and $\alpha v$ integrin interacts with HER2 to have the highest level of mobility and invasion. Second, decreasing $\alpha v$ integrin also decreases the localization of HER2 in the cell membrane where it is active, and increases the amount of HER2 in lysosomes where it is broken down. Finally, we have new preliminary results showing that metastatic-prone: $\alpha v$ -integrin positive of MDA-MB231BR clones are more resistant to chemo drugs than $\alpha v$ -integrin negative cells with same genetic and molecular origin. Our results suggest that $\alpha v$ integrin has a dual role in breast cancer brain metastasis, first to increase cancer cell binding and invasion into the brain, and second to provide the proper cellular location for HER2 activity.					
<b>15. SUBJECT TERMS</b>  Nothing listed					
<b>16. SECURITY CLASSIFICATION OF:</b>			<b>17. LIMITATION OF ABSTRACT</b>	<b>18. NUMBER OF PAGES</b>	<b>19a. NAME OF RESPONSIBLE PERSON</b>
<b>a. REPORT</b>	<b>b. ABSTRACT</b>	<b>c. THIS PAGE</b>			<b>19b. TELEPHONE NUMBER</b> (include area code)
U	U	U	U	10	

## Table of Contents

	<u>Page</u>
1. Introduction .....	1
2. Keywords .....	1
3. Accomplishments .....	1
4. Impact .....	4
5. Changes/Problems .....	5
6. Products .....	5
7. Participants & Other Collaborating Organizations .....	5
8. Special Reporting Requirements .....	7
9. Appendices .....	7

## INTRODUCTION:

Brain metastasis is common in patients with breast cancer, occurring sometimes years after diagnosis, and leading to cognitive problems, poor quality of life, and rapid mortality. Despite the fact that brain metastases occur in 5% of breast cancer patients overall and 30% in patients with high expression of HER2, there are few treatment or prevention options. Our objective is to investigate the two aspects of  $\alpha$ v integrin in breast cancer brain metastases using cultured cells and rat models of metastasis, with the overall goals of preventing breast cancer brain metastasis and improving therapy and survival. Specific Aim 1 is to investigate the role of  $\alpha$ v integrin in the development of breast cancer brain metastases and assess the potential of blocking  $\alpha$ v integrin as a mechanism to prevent breast cancer brain metastasis. Specific Aim 2 is to examine the interactions of  $\alpha$ v integrin and HER2 in breast cancer brain metastasis and determine their combined role in the metastatic phenotype and response to HER2-targeted therapies.

**KEYWORDS:** Brain metastasis, breast cancer,  $\alpha$ v integrin, HER2, intetumumab, targeted therapy

## ACCOMPLISHMENTS:

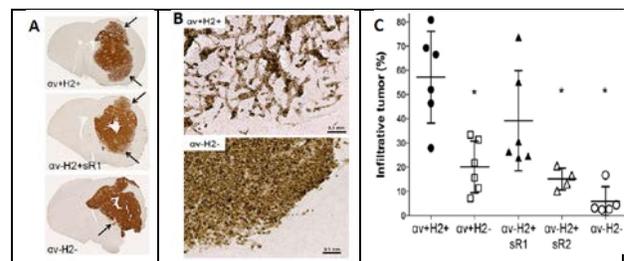
### 1. What were the major goals of the project?

- Aim 1. The role of  $\alpha$ v integrin in the development and prevention of breast cancer brain metastases.
- Aim 2. Interactions of  $\alpha$ v integrin and HER2 in breast cancer brain metastases.

### 2. What was accomplished under these goals?

**a. Role of  $\alpha$ v integrin in the development of breast cancer brain metastases.** Knockdown of  $\alpha$ v-integrin in MDA-MB231BR clones altered the actin cytoskeleton and cell morphology. After intracerebral inoculation, cells expressing high levels of both  $\alpha$ v-integrin and HER2 showed a diffusely infiltrative tumor phenotype, while cells deficient in  $\alpha$ v-integrin and/or HER2 showed a compact tumor growth phenotype. In the  $\alpha$ v-integrin positive/HER2 positive tumors, infiltrative growth was  $57.2 \pm 19\%$  of tumor volume, compared to only  $5.8 \pm 6.1\%$  infiltration in the double ( $\alpha$ v-integrin and HER2) deficient tumor cells (**Figure 1**). This work addresses aims 1 and 2 of the grant, and has been published: Lal et al., 2015. In order to investigate the role of  $\alpha$ v integrin in the development of breast cancer brain metastases in a hematogenous metastases model, we intra-carotidly infused  $10^6$  cells of MDA-MB231BR clones with different levels of  $\alpha$ v integrins. Unfortunately, female nude rats did not wake up and survive after cell infusion. We are investigating the mechanisms involved in clotting and unexpected death. We extended characterization of breast cancer brain metastases in both hematogenous and xenograft models using 6 additional breast cancer cell lines (MCF7, MDA-361, BT474, SKBR3, HCC1569 and HCC1954). We found that HCC1954 cells with high endogenous  $\alpha$ v integrin and HER2 form multiple brain metastases with mean survival time of 39 vs 52 days in MDA-MB231BR-HER2 (MM2BH; Wu et al., 2012). This work addresses aim 1 of the grant. Manuscript is in preparation.

**b. Effect of  $\alpha$ v integrin on cell invasion and migration in vitro.** The  $\alpha$ v integrin knockdown clones showed significant inhibition of motility compared to control cells; over 80% lower migration and invasion was observed for  $\alpha$ v-H2+sR1 cells and 73% and 46% decrease in migration and invasion



**Figure 1. Characterization of in vivo growth of brain-trophic breast cancer cells.** Brain tumors of 2BH clones were assessed by immunohistochemistry for human mitochondrial antigen 5 wks after intracerebral implantation. **A)** Representative sections from different tumors. Arrows indicate regions of tumor infiltration. **B)** Brain tumor margin showing the infiltrative phenotype in  $\alpha$ v+H2+ and  $\alpha$ v-H2- tumors. **C)** Quantification of the percent of tumor showing the infiltrative phenotype. Each point indicates the mean value from 2-6 central sections from each rat brain. \* $p < 0.05$  compared to control.

respectively, for  $\alpha v$ -H2+sR2 cells.

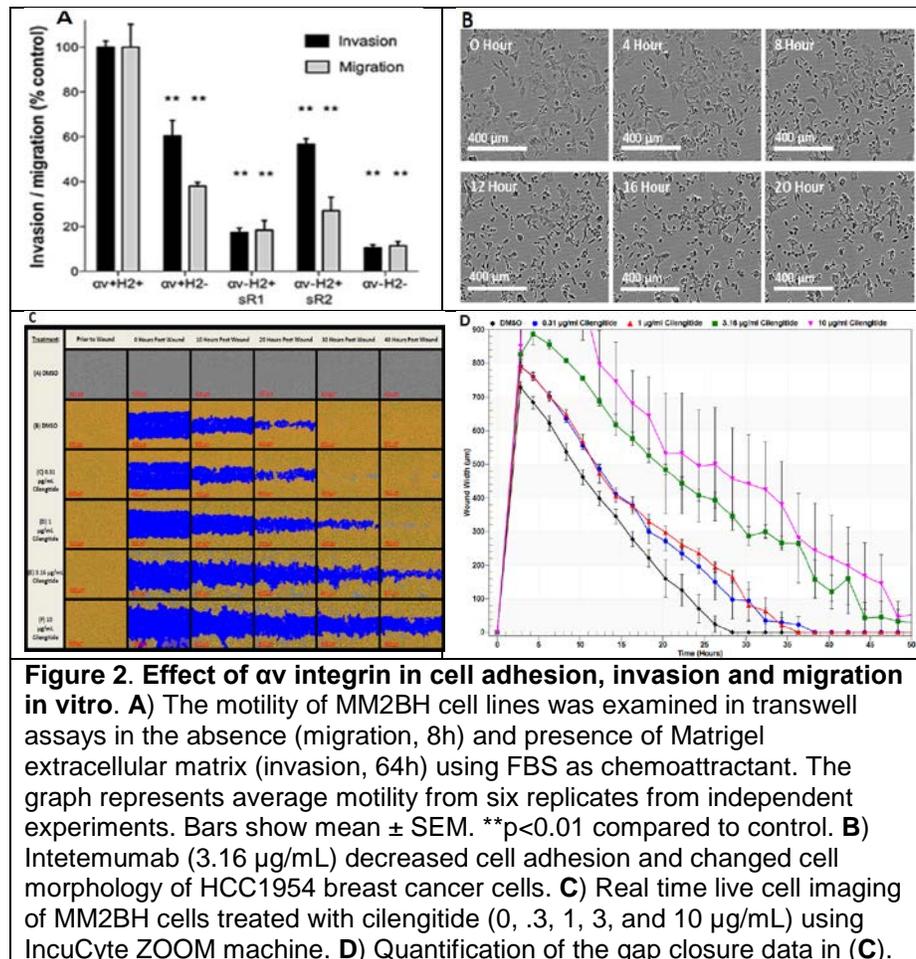
The  $\alpha v$ +H2- cells, with 21% lower  $\alpha v$ -integrin content than control cells, also showed 60% lower migration and 40% lower invasion. The most pronounced decrease in migration and invasion, 90% lower than control cells, was recorded for  $\alpha v$ -H2- cells ( $p < 0.01$ ). We found that intetumumab decreased cell adhesion and changed cell morphology of HCC1954 breast cancer cells. In addition, cilengitide inhibited MDA-MB231BR cell wound healing assay in dose- and time-dependent manner using IncuCyte ZOOM live cell imaging machine (ESSEN Bioscience; **Figure 2**).

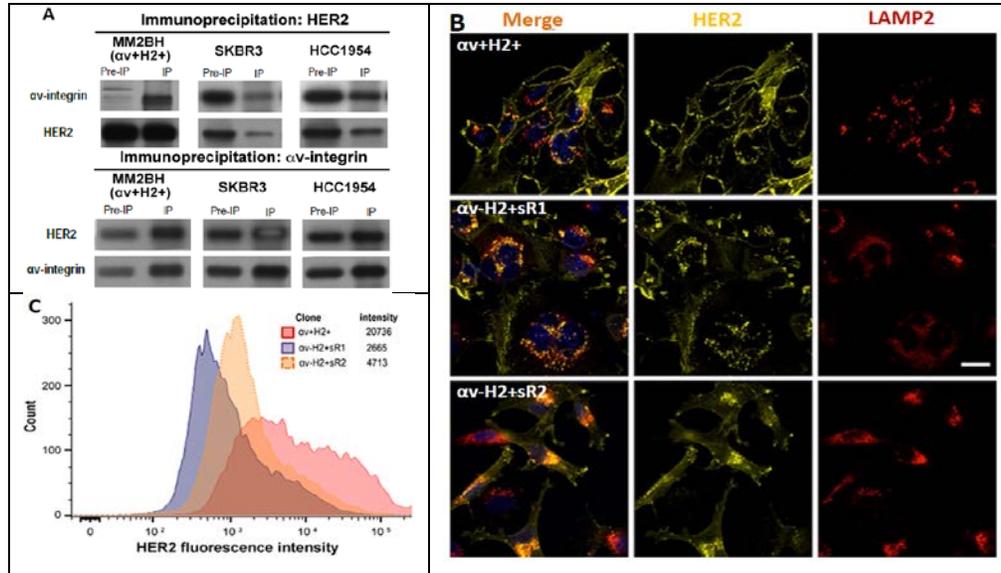
### c. Interactions of $\alpha v$ integrin and HER2 in breast cancer brain metastases.

We found that HER2 co-immunoprecipitated with  $\alpha v$  integrin in 4 breast cancer cell lines (MM2BH, HCC1954, SKBR3, and MDA361) in vitro, suggesting they physically complex in cells. Knockdown of  $\alpha v$ -integrin altered HER2 localization from its normal membrane position to a predominantly lysosomal localization (**Figure 3**). This work addresses aim 2 of the grant, and has been published: Lal et al., 2015. In addition to HER2,  $\alpha v$ -integrin also co-precipitated with EGFR of breast cancer cells in vitro. We are investigating if this observation is true in vivo as well by using intracerebral inoculation of HCC1954 human breast cancer cells in athymic rats.

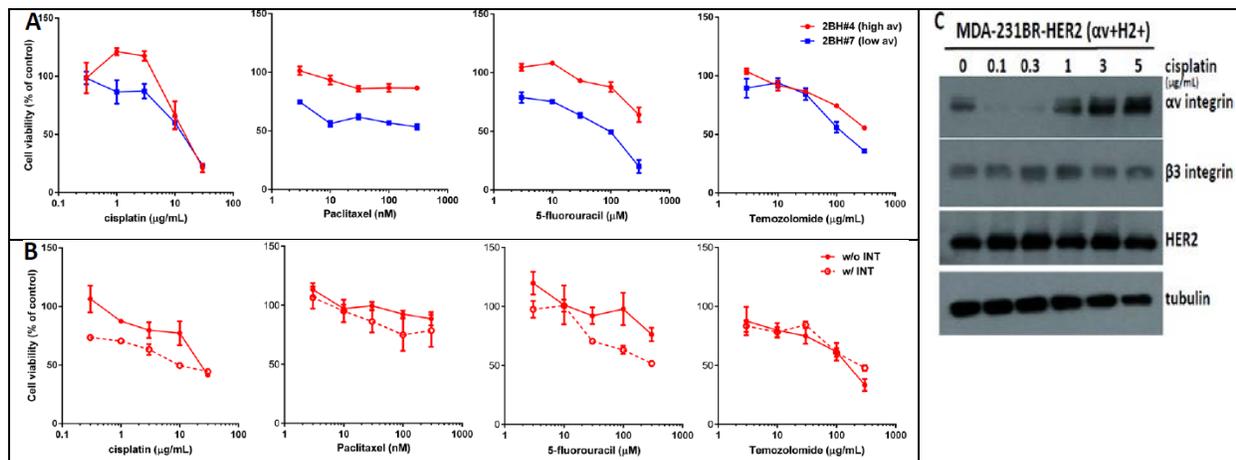
### d. Role of $\alpha v$ integrin of breast cancer cells in chemo-resistance.

To explore the potential mechanisms between  $\alpha v$ -integrin of breast cancer and brain metastases, we found that metastatic-prone:  $\alpha v$ -integrin positive of MDA-MB231BR clones are more chemo-resistant to cisplatin, 5-fluorouracil, paclitaxel, and temozolomide than  $\alpha v$ -integrin negative cells with same genetic and molecular origin. Pre-treatment of  $\alpha v$ -integrin positive MDA-MB231BR cells with intetumumab (10  $\mu\text{g}/\text{mL}$ ) for 1 hr in vitro enhanced chemo-induced cytotoxicity of cisplatin, 5-fluorouracil and paclitaxel but not temozolomide. In addition, cisplatin increased cellular  $\alpha v$ -integrin level of MDA-MB231BR cells in dose-dependent manner in vitro (**Figure 4**). This work addresses both aims of the grant. Manuscript is in preparation. However, we did not find any dose-dependent response of HER2 targeting therapies (trastuzumab, T-DM1) in either  $\alpha v$ -integrin positive or negative breast cancer cells in vitro. Neither T-DM1 (3.6 mg/kg IV) alone nor combined with intetumumab (30 mg/kg IV; 3 d prior to T-DM1) did not reduce tumor volume in both MDA-231BR-HER2 and HCC 1954 breast cancer xenograft models. We will try again in T-DM1 from different providers/vendors.





**Figure 3. Interactions of  $\alpha v$  integrin and HER2 in breast cancer brain metastases.** **A)** Co-immunoprecipitation of  $\alpha v$ -integrin and HER2 proteins in breast cancer. Breast cancer cell lysates were incubated separately with antibodies against HER2 and  $\alpha v$ -integrin. Components of the immunocomplex precipitate were analyzed by Western blotting for  $\alpha v$ -integrin and HER2 proteins. Protein levels are shown in whole lysate (pre-IP), and the immunoprecipitated protein complex (IP). **B)** Localization of HER2 in  $\alpha v$ -integrin knockdown breast cancer cells. MM2BH cell clones grown on glass coverslips were fixed, permeabilized and immunostained. HER2 co-localizes with lysosomes in  $\alpha v$ -integrin knockdown cells. Yellow: HER2, red: lysosome-associated membrane protein2 (LAMP2), blue: nucleus. The images are representative of three independent experiments. Scale bar = 20 $\mu$ m. **(C)** Flow cytometry analysis of surface HER2 protein expression in live MM2BH clones.



**Figure 4. Role of  $\alpha v$  integrin of breast cancer cells in chemo-resistance.** **A)** Chemosensitivity between  $\alpha v+H2+$  and  $\alpha v-H2+sR1$  MM2BH cells. MM2BH cells (10 cells/well) were seeded and treated with different doses of chemo drugs (cisplatin, paclitaxel, 5-fluorouracil and temozolomide). Cell viability was measured at 1 d with WST assay. **B)** Intetumumab pretreatment enhanced  $\alpha v+H2+$  MM2BH cytotoxicity induced by chemo drugs. Cells were pretreated with intetumumab (10  $\mu$ g/mL) for 1 h prior to adding chemo drugs. **C)** Cisplatin treatment increased cellular  $\alpha v$  not  $\beta 3$  integrin or HER2 protein expression. Whole cell lysate was collected and subjected to Western blotting at 1 d after treatment. Tubulin protein is used as loading control.

### **3. What opportunities for training and professional development has the project provided?**

This project has provided a learning environment for one of our laboratory's graduate student on numerous experimental methods and metastatic brain tumor biology.

### **4. How were the results disseminated to communities of interest?**

This translational preclinical research proposal addresses the overarching challenge of identifying what drives breast cancer growth and metastasis, and identifying why some breast cancers become life-threatening metastases. The proposed research moves beyond an incremental advancement and will have an impact on breast cancer patients by generating data that will allow us to move into clinical trials. These studies are not expected to directly end breast cancer, but will accelerate progress toward ending the devastating complication of brain metastases, which will improve the quality of life and extend survival in breast cancer patients.

### **5. What do you plan to do during the next reporting period to accomplish the goals?**

For Aim1, we will continue to determine the best treatment regime (timing, dose and combination) of  $\alpha v$  integrin and HER2 targeting drugs in preventing/treating breast cancer brain metastases by using HCC1954, MDA-231BR-HER2 and other transduced clones. Number of brain metastases and total tumor burden will be used to analyze the treatment efficacy. Studies will be completed for Aim2, to assess the interaction of  $\alpha v$  integrin with HER2 and related families both in vitro and in vivo. Additionally, using the clonal cell lines we established with  $\alpha v$ -integrin knockdown, we plan to assess the role of  $\alpha v$ -integrin in breast cancer cell association with cerebral vasculature in the rat brain to better understand the mechanism by which  $\alpha v$ -integrin contributes to brain tumor formation. We will perform co-immunoprecipitation and immunofluorescent staining followed by confocal microscopy on brain tumor xenografts of the breast cancer cell lines to confirm our protein interaction findings in vivo. We will also investigate if the interaction of  $\alpha v$  integrin and HER2 will be altered by adding  $\alpha v$  integrin targeting drugs (intetumumab or cilengitide) or HER2/EGFR inhibitors (trastuzumab or lapatinib) in vitro and in vivo. Additionally, the role of  $\alpha v$  integrin in development of breast cancer brain metastases via chemo-resistant pathway will be further investigated.

### **IMPACT:**

#### **1. What was the impact on the development of the principal discipline(s) of the project?**

The proposed studies will delineate mechanisms of  $\alpha v$  integrin in metastatic phenotype of breast cancer cell and its interactions with the HER2 growth factor receptor. Our investigation of  $\alpha v$  integrins and HER2 in breast cancer brain metastases will also have significant clinical impact in three major areas. First, our findings in the rat model will establish the potential role of  $\alpha v$  integrin as a biomarker for breast cancer patients who will develop brain metastases. Second, we will demonstrate the potential of targeting  $\alpha v$  integrin with the intetumumab antibody as a mechanism to decrease brain metastasis, which could provide a clinically relevant prevention strategy in breast cancer patients. Third, understanding the interactions of  $\alpha v$  integrin and HER2 may aid in the identification of those patients who could benefit from therapy and provide possible mechanisms to improve HER2-targeted therapy.

#### **2. What was the impact on other disciplines?**

The interactions of  $\alpha v$  integrin and HER2 or other growth factor receptors in breast cancer brain metastasis maybe a novel discovery for protein chemistry and biological functions. To explore the potential mechanisms between  $\alpha v$ -integrin of breast cancer and brain metastases, we also found that metastatic-prone:  $\alpha v$ -integrin positive of MDA-MB231BR clones are more resistant to chemo drugs than  $\alpha v$ -integrin negative cells with same genetic and molecular origin. Cisplatin treatment increased cellular

$\alpha$ v-integrin protein expression. These findings potentially link between chemotherapy treatment, cancer stem cells and development of distant metastases.

### **3. What was the impact on technology transfer?**

Nothing to report.

### **4. What was the impact on society beyond science and technology?**

The proposed research will lead to a solution to the overarching challenge by demonstrating that  $\alpha$ v integrin is a biomarker of likely brain metastasis, a potential therapeutic target in HER2-positive breast cancer, and a possible prophylactic agent for the prevention of breast cancer brain metastases. The findings from this research will lead to clinical trials to prevent and treat brain metastasis in breast cancer patients.

## **CHANGES/PROBLEMS:**

### **1.Changes in approach and reasons for change**

No, the major goals have not changed since the initial competing award.

### **2. Actual or anticipated problems or delays and actions or plans to resolve them**

Nothing to report.

### **3. Changes that had a significant impact on expenditures**

Nothing to report.

### **4. Significant changes in use or care of human subjects, vertebrate animals, biohazards, and/or select agents**

No, there are no significant changes in use or care of vertebrate animals, biohazards and select agents. There will be no human subjects involved in this study.

## **PRODUCTS:**

Manuscript publication: Lal S, Kersch C, Beeson KA, Wu YJ, Muldoon LL, Neuwelt EA. Interactions between  $\alpha$ v-integrin and HER2 and their role in the invasive phenotype of breast cancer cells in vitro and in rat brain. PLoS One 10(7):e0131842, 2015

Poster presentation at the Cerebral Vascular Biology meeting in Paris, France July 2015

Presentation and poster at OHSU Blood-Brain Barrier Program annual meeting, March 2015

Poster at Tumor Immunology and Immunotherapy: A New Chapter, December 2014

Presentation and poster at OHSU Blood-Brain Barrier Program annual meeting, March 2014

## **PARTICIPANTS & OTHER COLLABORATING ORGANIZATIONS**

### **1.What individuals have worked on the project?**

Name:	Edward Neuwelt
Project Role:	Principal Investigator
Researcher Identifier:	
Nearest person month worked:	12
Contribution to Project:	Dr. Neuwelt, a principal investigator, oversees the overall project.
Funding Support:	

Name:	Leslie L Muldoon
Project Role:	Project coordinator
Researcher Identifier:	
Nearest person month worked:	12
Contribution to Project:	Dr. Muldoon supervises the project and analyzes research data.

Name:	Yingjen Jeffrey Wu
Project Role:	Sr. Research Associate
Researcher Identifier:	
Nearest person month worked:	12
Contribution to Project:	Dr. Wu has performed work in the areas of both in vitro and in vivo animal studies.

Name:	Cymon Kersch
Project Role:	Graduate Student
Researcher Identifier:	
Nearest person month worked:	12
Contribution to Project:	Ms. Kerch has performed work in transducing cell lines with siRNA and immunoprecipitation.

Name:	DreeAna Morris
Project Role:	Research Assistant
Researcher Identifier:	
Nearest person month worked:	6
Contribution to Project:	Ms. Morris has performed work in preparing the tissue samples and immunohistochemistry.

**2. Has there been a change in the active other support of the PD/PI(s) or senior/key personnel since the last reporting period?**

Nothing to report.

**3. What other organizations were involved as partners?**

**Organization Name:** Janssen R&D

**Location of Organization:** 145 King of Prussia Road, Radnor, PA 19087

**Partner's contribution to the project:**

**In-kind support:** provide Intetumumab (CNTO 95; anti-human  $\alpha_v$  antibody)

**SPECIAL REPORTING REQUIREMENTS**

Not Applicable

**APPENDICES:** *Attach all appendices that contain information that supplements, clarifies or supports the text. Examples include original copies of journal articles, reprints of manuscripts and abstracts, a curriculum vitae, patent applications, study questionnaires, and surveys, etc. Reminder: Pages shall be consecutively numbered throughout the report. **DO NOT RENUMBER PAGES IN THE APPENDICES.***