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LOGIC FOR COMPUTABLE FUNCTIONS DESCRIPTION OF A MACHINE IMPLEMENTATION

Robin Milner

Stanford University

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BY

ROBIN MILNER

SUPPORTED BY NATIONAL AERONAUTICS AND SPACE ADMINISTRATION

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ABSTRACT: This paper is primarily a user's manual for LCF, a proof-checking program for a logic of computable functions proposed by Dana Scott in 1969 but unpublished by him. WS use the name LCF also for the logic itself, which is presented at the start of the paper. The proof-checking program is designed to allow the user interactively to generate formal proofs about computable functions and functionals over a variety of domains, including those of interest to the computer scientist - for example integers, lists and computer programs and their semantics. The user's task is alleviated by two features: a subgoaling facility and a powerful simplification mechanism. Applications include proofs of program correctness and in particular of compiler correctness; these applications are not discussed herein, but are illustrated in the papers referenced in the interoduction.

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1. INTROLUCTION

LCF is based on a logic of Dana Scott, proposed by him at Oxford in the Fall of 1969, for reasoning about computable functions. In Section 2 we present this logic, essentially as Scott himself presented it, but using the typed λ -calculus instead of the typed combinetors S end K, since the former is more famillar to computer scientists and is in any case easier to work with. Section 3 then describes the machine implementation of a proof-checker for the logic, we refer to both the logic and the implementation as the typed logic for computable functions, or typed LCF, or Just LCF.

The logic presupposes no special domain of computation (e.g. lists or integers). However, particular domains can be exiomatized in it : Scott gave an exiomatization for erithmetic and we suggest a partial exionetization for lists in Section 3. But many interesting results - 4.0. Equivalence of recursion equation schemate - are provable in the pure logic without any proper (non-logical) exioms.

It is hoped that a potential user of the system can, with the help of the example of Section 3.1 and with Section 4, get onto the machine without reading the whole of this document.

Further discussion of LCF and examples of its applications can be found in the following papers:

Milner,R., "Implementation and applications of Scott's logic for computable functions", Froc. ACM Conference on Proving Assertions about Programs, New Mexico State University, Las Cruces, New Mexico, Jan 5-7, 1972.

Weynrauch,R. and Milner, "Program semantics and correctness in a mechanized (cuic", Proc. USA-Japan Computer Conference, Tokyo, Oct 1972 (to appear),

Milner and Weyhrauch, "Proving compiler correctness in a mechanized logic", Machine In_telligence 7, ed. D. Michie, Edinb_{ur}gh Unive_{rs}ity Press 1972 (to appear).

Newey,M., "Axioms and Theorems for integers, lists and finite sets in LCF", forthcoming AI Memo., Computer Science Dept., Stanford University, 1972.

We give no further references here; they may be found in the above papers.

2, THE LOGIC LUF

Tynes

At bottom "tr" and "ind" are types. Further if B1 and B2 are types then (B1+B2) is a type. We adopt the convention that - associates to the right and frequently omit parentheses; thus we write B1+B2+B3 for (B1+(B2+B3)). With each term of the logic there is an unambiguously associated type. For a term t we write

1:12

to mean that the type associated with t is β . Throughout we use β , 31, β 2,... as metavariables for types.

Terms (metavariables s.t.s1,t1....)

The following are terms:

Identifiers(metavariables x,y) - sequences of upper or lower letters and digits. We assume that the type of each identifier is uniquely determined in some manner.

Applications - s(t) : 32, where s:B1+B2 and t:B1.

Conditionals - (s+t1,t2) : β , where sitr and $t1,t2:\beta$.

 λ -expressions - [λx .s] : $\beta_{1-\beta_2}$, where x: β_1 and s: β_2 .

a-expressions - [ax.s] : B , where x,s:B.

This strict syntax is relaxed in the machine implementation (see Section 3) to allow a saving of parentheses and brackets.

The intended interpretation of the α -expression [α f.s] is the minimal fixed-point of the function or functional denoted by [λ f.s]. For example:

 $[\alpha f, [\lambda x, (\rho(x) \rightarrow f(a(x)), \rho(x))]]$

denotes the function defined recursively as follows:

 $f(x) \ll if p(x) then f(a(x)) else b(x),$

Constants

The identifiers TT,FF denote truthvalues true and false. UU denotes the totally undefined object of any type: in particular, the undefined truthvalue.

Atomic well-formed formulas (avffs)

The following is an awff:

s = t

where s and t are of the same type. The intended interpretation of $s \in t$ is, roughly, that t is at least as well defined as, and consistent with, s.

Well-formed formulae (wffs) (metavariables P.Q.P1,Q1,...)

Wffs are sets of zero or more awffs, written as lists with separating commas. They are interpreted as conjunctions. We use

s = t

to abbreviate set, tes .

Sentences Sentences are implications between wffs, written

P |- Q

or, if P is empty, just

1- 0

Procfs

A proof is a sequence of sentences, each being derived from zero or more preceding sentences by a rule of inference. Inference rules

Let us write P(s/x) or t(s/x) for the result of substituting a for all free occurrences of x in P or t, after first changing bound variables in P or t so that no variable free in s becomes bound by the substitution. We have not stated conditions on the types of identifiers and terms with each rule; any consistent assignment of types is admissible.

	****	- R	ULES	*****		
INCL	 P -	3	() a	subset	of	P)
C0.4J	P - Q	1 P	1- 32	2		
		- 310 P2 P		3 د		
CUT		1 -				

***** c 9ULES *****

- $s1 \leq s2 \quad i = t(s1) \leq t(s2)$
- REFL P I = $s \in s$ P I = $s1 \in s2$ P I = $s2 \in s3$ TRANS P I = $s1 \in s3$

***** (iU QULES *****

MIN1 -----

** CONDITIONAL RULES CONDT I- TT + sit E S CONDU I- UU → s+t Ξ UU CONDE I- FF + sit E t ***** \ RULES ***** P |- sct ---- (x not free in P) ABSTR P $I = [\lambda_{X,S}] \in [\lambda_{X,T}]$ CONV $I = [\lambda x.3](t) \equiv s(t/x)$ ----- (x and y distinct) ETACONV --- $i = [\lambda x, y(x)] \equiv y$ **** TRUTH RULE ***** P, SETT I- Q P, SEUU I- Q P, SEFF I- Q CASES P |- Q **** a RULES ***** FIXP $i = [\alpha x, s] \equiv s([\alpha x, s]/x)$ P = Q(UU/x) P, Q = Q(t/x) ---- (x not free in P) INDUCT $P = \Omega([\alpha x, t]/x)$

3. THE MACHINE IMPLEMENTATION OF LCF

We now describe the machine version of the logic of Section 2, and how to use it interactively on the machine.

The user has available four groups of commands:

- Rules of Inference to generate new sentences or steps from Zero or more previous steps. (Section 3.2)
- Goal Oriented Commands to specify and attack goals and subgoals. (Section 3.3)
- Miscellaneous mainly to do with displaying or filing parts or all of the proof so far, and the goals, (Section 3.4)
- Commands for axioms and theorems to enable the user to create axiom systems, to prove and file theorems in these systems, and later to recall and instantiate those theorems. (Section 3.7)

Before describing the commands in detail, and the syntax of wffs, terms, etc., it may be helpful to see an example.

3.1 An Example

Let us introduce the machine version of LCF by a simple example which, although short, exhibits many of the features. It is a proof of a version of recursion induction, which states that if F is defined recursively and G (another function) satisfies F's recursive definition then FCG. In other words, we prove that F is the minimal fixed point of its defining equation.

After initialization (see Section 4), the system types 5 asterisks as a signal to the user to start a proof. In fact, 5 asterisks are always the signal for the user to continue his proof. Thus, ir what follows the user's contribution may be distinguished by being preceded by *****. We explain each user and machine contribution on the right of a vertical line.

*****ASSUME FELGF.FUN FJ, GEFUN G;

The user assumes a wff (a sequence of atomic wffs Iseparated by commas, where each atomic wff has \equiv or Ic Infixed between two terms). Every user Icommanc ends with a semicolon. Detailed syntax is Igiven later - but note in particular that application Imay be represented (somatimes) by juxtaposition as in I"FUN G" to gave parentheses. Note also that F occurs both Ifree and bound (by 2) without confusion.

1 $F \equiv [\alpha F \cdot F \cup N (F)]$ (1) 2 $G \equiv F \cup N (G)$ (2)

> The machine separates the assumption into two sentences, Igiving each a stepnumber. Every sentence which the Imachine generates will have a stepnumber, and will consist lof a wff followed by a list of stepnumbers of assumptions ion which the wff depends. A sentence

> > n P S

Iwnere P is a wff and S a list of stepnumbers is the lanalogue in LCF of the sentence

G |- P

iof pure LCF, where Ω is the conjunction of assumptions idesignated by S. Each of steps 1 and 2 above thus irepresents an instance of P I- P, which is a special lease of the inclusion rule of Section 2.

****GOAL FeG:

The user states his goal, but does not attack it yet. The might list several goals before attacking any of them; In each case the machine will simple give a goal number:

NEWGOAL #1 FeG

Goal numbers are distinguished from stepnumbers by #.

*****TRY 1 INDUCT 1:

The user wants to attack COAL1 using the tactic of linduction on Step 1 - which is (as it must be) a trecursive definition - i.e. $F \equiv [\alpha F, FUN(F)]$.

NEWGOAL #1#1 UUGG NEWGOAL #1#2 FUN(F1)cg ASSUME F1GG

> The machine says that the induction base and step imust be established. For the step it picks an arbitrary identifier not used previously (actually for mnemonic reasons lit ricks something which only differs from the instantiated ibound variable in its numerical suffix).

We now have two goals generated by the machine, at is lower level. The user need not - but probably will ichoose to prove #1 by proving #1#1 and #1#2.

****TRY 1;

User chooses to attack #1#1 first. He need (and must) lonly refer to the goal by the last integer in its goal number. This time he doesn't state a tactic - he knows how to prove it himself - so the machine merely steps down la level in the goal tree and waits. Actually, he could luse the SIMPL tactic (see Section 3.3), since this itactic notices instances of MIN1 and would therefore isave the user his next two commands.

****MIN1 G;

IThe user notes that the subgoal UUCG can be proved by the first minimality rule (see Section 2), so calls lit with the appropriate term - G - as parameter.

3 UUCG

The machine obediently generates the proper instance of iminimality. Notice that this sentence depends on no lassumptions.

****QED;

The user can say QED to tell the machine that he has proved exactly the goal under attack.

GOAL #1#1 PROVED. BACK UP TO GOAL #1 REMAINING SUBGOALS:

2 FUN(F1)=G ASSUME F1=G

The machine agrees. Now in general it will back up Ithe goal tree until it finds a goal some of whose subgoals have not been proved. It will remain at that level and list ithese subgoals for the user to try.

*****TRY 21

lAgain, user gives no tactic.

4 F1CG (4)

The machine makes the assumption of the goal for him (note that goal #1#1 had no assumption), and waits.

*****APPL FUN,4;

I"Apply FUN to Step 4" - an instance of application (see iSection 2).

5 $FUN(F1) \subset FUN(G)$ (4)

*****SYM 2;

ITurn step 2 around ready for an application of transitivity. 6 FUN(G)EG (2)

*****TRANS 5,6;

INote that the parameters of inference rules are always Istepnumbers or terms, separated by commas,

7 FUN(F1)=G (2 4)

****QED;

GOAL #1#2 PROVED. BACK UP TO GOAL #1. NO MORE SUBGOALS

8 FeG (2 1)

I----The machine hasn't finished yet, but note that it Imakes an explicit step to represent the proof of #1 (so Ithat the whole sequence of steps, with all the goal Istructure stripped away, shall be a formal proof). Note laiso the assumptions of step 8.

GOAL #1 PROVED. BACK UP TO TOP LEVEL. NO MORE SUBGOALS.

l(There might have been more goals listed at top level, Isince the user can list many before attacking any).

*****SHOW PROOF RECIND;

The user decides to keep his proof on a file called RECIND. The version kept is shown below. Notice that not everything which the user typed reappears: in particular, the istatement of a goal is not reproduced, only its trial.

If the user wanted instead to display his proof (at any ipoint, not just at the end) he would just type "SHOW PROOF;"

PROOF

1 $F \equiv [\alpha F, FUN(F)]$ (1) ---- ASSUME. 2 $G \equiv FUN(G)$ (2) ---- ASSUME.

ITRY #1 F C G INDUCT 1.

 $| TRY #1#2 FUN(F1) \subset G ASSUME F1 \subset G .$ $| 4 F1 \subset G (4) = --- ASSUME.$ $| 5 FUN(F1) \subset FUN(G) (4) = --- APPL 4 FUN.$ $| 6 FUN(G) \equiv G (2) = --- SYM 2.$ $| 7 FUN(F1) \subset G (4 2) = --- TRANS 5 6.$ $| 8 F \subset G (2 1) = --- INDUCT 3 7.$

3.2 Rules of Inference

Let us assume for the moment the syntax classes (wff), (awff) (atomic wff), (term). Details of these are in Section 3.6, but for now look only at the conventions given for syntax definitions at the start of that Section.

we need for the present
<stepname> ::= <integer>! ____ ! . <identifier> ?((+|-) <integer>)
<termname> ::= ?(:Gl:<stepname>) ?(:<integer>) (:Ll:R)
<range> ::= <stepname> | ?<stepname> : ?<stepname>

In a <stepname> "-" means "the last step", "--" means the last step but one, etc., and for example ",DD-1" means the step preceding that labelled DD. See Section 3.4, the LABEL command, for how to label steps.

A <termname> may appear anywhere that a term can appear = for example as a subterm of a term - and frequently saves typing long formulae. We explain termnames by a few examples (suppose the last step was numbered 15) :

:15:1:R :-:1:R :15:R -:R :R))))	all designate the term which occurs as right hand side in the first (awff) of Step 15.
:,DD:2:L		designates the lns of the second (awff) of the step labelled DD,
:G:2:R)	designate the rhs of the second (awff) of the current goal - THISGOAL (See Section 3.3)

The $\langle range \rangle s = 12$, 20:30, :40, 50: denote respectively the single step 12, the steps 20 to 30 inclusively, the steps up to and including 40, and the steps from 50 onwards.

We now list the rules, with some examples. Note that in the machine implementation there is no type-checking whatsoever. We rely on the user to use types consistently. ASSUME (WFF); Each (awff) Al in the (wff) is given a new stepnumber ni, and the steps n1 A1(n1) n2 A2(n2) are generated. Each one is a tautology, since a step P(n) means Q |- P, where Q is the (awff) at step number n. Thus the purpose of ASSUME is only to introduce references for cawff>s. See Section 3.1 for examples of ASSUME. SASSUME (wff); Like ASSUME, but every (awff) of the (wff) is henceforward treated as a simplification rule (see Section 3.5). INCL (stepname), (integer); Picks out an (awff). Example: 115 ZEF(X,Y), AEB, [XX.X](Y)c14 (13 7) |++++INCL 15,2; 116 AEB (13 7) CONJ ___, <range>,___ ; Forms conjunction of all steps in the (range)s. Example: 115 P=Q,RES (12) 117 FEG (12 4) 1+++++CONJ ---,-; 118 PCQ, RES, FEG (12 4) CUT (stepname), (stepname); If the steps referred to are P(m1,m2,...) and Q(n1,n2,...) respectively, where the m's and n's are stepnumbers, and if every (awff) referenced by the n's occurs as an (awff) in P, then the step Q(m1,m2,..) is generated. Example:

17 FEG (7) ----112 PCQ (7) 1 115 FEG, GCH (14 2) |+++++CUT 15,12; 116 PCQ (14 2) HALF <stepname>; Replaces "E" by "c" in the first (awff), and throws the rest away. Example: 16 XEG(X), YEH(Y) (1 3) 1+++++ALF 61 17 X=G(X) (1 3) SYM (stepname); Interchanges the terms in the first (awff> (provided "E" occurs) and throws the rest away. Example (continuing the previous): ++++SYM 6: 18 G(X) EX (1 3) TRANS (stephame), (stephame); Looks at the first (awff) in each (wff). If these are s1(Eic)s2, s2(Elc)s3 respectively, then s1cs3 or s1Es3 is generated, the assumptions being "unioned". Example: 112 XEY(Z), PCQ (11 4) ----1 113 Y(Z) = Y(X) (4 9 8) |++++TRANS 12,13; 114 XCY(X) (11 4 9 8) -----APPL {<stepname>, ___,<term>,___ i<term>,<stepname>}; In the first case, applies both sides of the first <awff> of <stepname> to the <term>s in sequence. In the second case, applies the (term) to both sides of the first cawffy of (stepname). Examples: 110 XEY(Z), PCQ (9 4) 1+++++APPL F,10;

111 F(X)EF(Y(7)) (9 4) 1 122 $F \equiv [\lambda X, X], P = 0$ (11 4) 1+++++AFPL 22, :=:2:R; 123 F(Q) ECXX.X3(Q) (11 4) ABSTR <stepname>, ___,<identifier>,___; Does λ -abstraction on 1st (awff). The identifiers must not occur free in any of the assumptions of the step. Example(continuing the previous): 1++++ABSTR 22.F; 124 [XF,F]E[XF,[XX,X]] (11 4) -----CASES) These are not present as inference rules, since it is less tedious to use their goal oriented versions (see INDUCTION) Section 3.3). CONV (<stepname>l<term>); Does all λ -conversions in the Sterm> or Stephame>. Example: ----114 BE[XX,X(X)][XX,X(Y)] 1+++++CONV -; 115 BEY(Y) Remark: the term in 14 violates the type structure, but the system does not chack this. ETACONV <term>; Eta-converts the $\langle term \rangle$, provided it has the form [$\lambda x.s(x)$], with x not free in the term s. Example (remember that F(X,Y) abbreviates (F(X))(Y)): |++++ETACONV [λY. F(X,Y)]; 149 [XY, F(X, Y)]=F(X) EQUIV <stepname>,<stepname>; Looks at the first (awff) in each (wff), If these are s1cs2, s2cs1 respectively, then s1Es2 is generated. Example: 116 XCY, PEQ (12)

117 YCX, HCG (1 2) | * * * * * EQUIV 16,17; 118 XEY (12 1 2) REFL1 <term>; Gives that where t is designated by the mterm. Example: 1++++REFL X(XX); 119 X(XX) = X(XX) -----REFL2 <term>1 Like REFL1, but gives tct. MIN1 <term>; Gives UUct. Example: see Section 3.1 MIN2 <torm>; Gives UU(t)EUU, Example (continuing the previous): 1++++MIN2 :L: 120 UU(X(XX)) = UU CONDT <term>: Checks that the <term> t has form TI+s1,s2 and if so generates tEs1. Example: $121 F(X) \equiv TT \rightarrow X, F(G(Y, X))$ (12) I++++CONDT :R; 122 TT+X, $F(G(Y,X)) \equiv X$ CONDF <term>; Checks that the <term> t has form FF+s1,s2 and if so generates tEs2. CONDU <term>; Checks that the mterm t has form $UU \rightarrow s1, s2$ and if so generates t E UU. FIXP <stepname>; Checks that the first (awff) is a recursive definition e.g. sE[4G.t], and generates sEt(s/G). Example:

16 1 - - - - -123 $F \equiv C \alpha G_{H}(L \lambda F_{H}G(F)))$ 1++++F1XP 23; $124 = E + (C\lambda_{F1+F}(F1))$ SUBST <stepname> ?(OCC ___, <integer>,___) IN (<stepname> | <term>); Let the first (stepname) have t1 \$ t2 as its first (awff), where 5 stands for \equiv in case (1), and for \equiv or \subseteq in case (2), Case (i). If there is an <stepname> following "IN", then t2 is substituted for all occurrences designated by the (integer)list (or all occurrences, if no list) of t1 in the (wff). Case (11). If there is a <term> s following "IN" then s \$ s' is generated, where s' is the result of substituting t2 for the appropriate occurrences (as in case (i)) of t1 in s'. Note that for t1 to occur in a term s any occurrence of a free variable in t1 must not be bound in s. Also see the caution on occurrence numbers in Section 3.6. Example: $125 = [\lambda X, F(X)] = G(F(X), F(X))$ (2 3) t t ---- $126 F(X) \equiv X (5 1)$ 1++++SURST 26 Occ 1 IN 25; $127 [\lambda X, F(X)] = G(X, F(X))$ (2 3 5 1) 1*****SURST 26 IN 125:R: $128 \quad G(F(X),F(X)) \equiv G(X,X) \quad (5 \ 1)$ (<stepname>i<term>) ?___((BYIWO) ___,<range>,___) ___ ; SIMPL In the case of an <stepname>, its <wff> is simplified (see Section 3.5) using as simplification rules those in SIMPSET together with those designated by the <range>-list following each "BY", and without those designated by the <range>=list following each "WO", A <term> t is similarly simplified, to t1 say, and t \equiv t1 is generated. The SIMPSET remains unchanged. Example, continuing the previous (Section 3.5 gives more detail): $120 [\lambda P.P \rightarrow F(X), Y](TT) \subset UU(X) \quad (10)$ 1++++SIMPL - 3Y 26; |30 XCUU (10 5 1)

This happens because CONV, CONDT, MIN2 are among the simplification rules.

3.3 Goal-Oriented Commands

Anything provable with the goal oriented commands is provable in PURE LCF, but most proofs would then be tedious (that's why we only describe the INDUCTION and CASES rules in goal-oriented form). Experience shows that with the goal-oriented commands the user has only to type a small fraction of what he would otherwise have to type.

The user may generate a subgoal structure of arbitrary depth. This structure is represented by three entities: GOALTREE: GOALLIST and THISGOAL: THISGOAL is always the goal currently under trial; all its ancestors in GOALTREE are (indirectly) also under trial; the subgoals of THISGOAL are listed in GOALLIST. Each goal has a goal number - e.g. #1#2#3 - which indicates its ancestors and (by the number of parts) its level in the tree. Here is a sample goal structure:



FIGURE 1

Each goal has a status (not shown in diagram) which is either "UNDER TRIAL" (only THISGOAL and its ancestors have this status), or "NOT TRIED" or "PROVED".

The user has five goal oriented commands available; we give first their syntax, then detailed descriptions. GOAL (WIT ? (ASSUME ISASSUME) (WIT ; TRY ?< integer> ?<tactic> ; QED ?<stepname> ; ABANDON ; SCRATCH <integer> ; <tactic> ::= CONJ I. CASES <term> | ABSTR - 1 SIMPL ?___((BYIWO) ___, <stepname>, ___) ___ | SUBST <stepname> ?(OCC ___, <integer>, ___) | INDUCT <stepname> ?(OCC ___, <integer>, ___) ł USE <identifier> ?___,<instantiation>,___ <instantiation> ::= <identifier> + <term>

The GOAL command.

GOAL specifies a new goal to be added to GOALLIST, Its effect on the goal structure of Figure 1 is as follows (Figure 2):



FIGURE 2

(Notice that the new goal isn't yet under trial)

A goal may or may not be given assumptions. The only difference between ASSUME AND SASSUME is that in the latter case, when the goal is tried, the assumption wif will be added to the set of simplification rules (See Section 3.5) for the duration of this goal's trial. Examples:

!*****GOAL FeG; INEWGOAL #1 FeG !*****GOAL F(X)EG(Y) SASSUME FEG, XEY; INEWGOAL #2 F(X)EG(Y) SASSUME FEG, XEY

The only purpose of the system's reply is to allot the goal a number.

The TRY command,

TRY specifies one of the goals of GOALLIST to be tried (if the <integer> is absent, the last goal specified is assumed). If the user gives no tactic, the new GOALLIST will be null (Figure 3),



But if the user gives a tactic, the system will set up a new GOALLIST for him, whose number of members depends on the tactic. Tactics are described inter in this section, but look at the Example following QED's description below to see what happens without them,

The QED command,

QED indicates that the <stepname> - or previous step if no <stepname> - proves THISGOAL; the user will normally say QED when he TRIED this goal with no tactic. Sometimes the user has been able to prove a contradiction, i.e. any of the <awff>s <tv> \equiv <tv>=<tv>=<tv>=<tv>=<tv>=<tv>=<tv>=<tv>=<tv>=<tv>=<tv>=<tv>=<tv>=<tv>=<tv>=<tv>=<tv>=<tv>=<tv>=<tv>=<tv>=<tv>=<tv>=<tv>=<tv>=<tv>=<tv>=<tv>=<tv>=<tv>=<tv>=<tv>=<tv>=<tv>=<tv>=<tv>=<tv>=<tv>=<tv>=<tv>=<tv>=<tv>=<tv>=<tv>=<tv>=<tv>=<tv>=<tv>=<tv>=<tv>=<tv>=<tv>=<tv>=<tv>=<tv>=<tv>=<tv>=<tv>=<tv>=<tv>=<tv>=<tv>=<tv>=<tv>=<tv>=<tv>=<tv>=<tv>=<tv>=<tv>=<tv>=<tv>=<tv>=<tv>=<tv>=<tv>=<tv>=<tv>=<tv>=<tv<tv>=<tv>=<tv<tv<tv>=<tv>=<tv<tv<tv>=<t

first (tv) is not UU. DED will accept a contradiction, since it proves anything. The affect of QED is to restore Figure 3 to Figure 2. with the difference that the status of #2si#2s3 will become "DRCVED"; further, if THISGOAL (of figure 2) was TRIED with a tactic, and all subgoals generated by this tactic are now "PROVED", the system will back further up the tree. This may continue for many steps: eventually the system will stop and tell the user which goal has now become THISGOAL, and which members of its GOALLIST remain to

The following example continues the one above, and illustrates TRY and QED:

> 1****TRY 2: 113 F = G (13)) The system makes the assumptions. 114 X E Y (14)) 1*****APPL 13,X; 15 F(X)=G(X) (13)) I ++++APPL G,14; 116 G(X)=G(Y) (14)) The user proves the goal, 1++++TRANS 15,16) 117 F(X) = G(Y) (13 14)) 1 *****QED; IGOAL #2 PROVED. BACK UP TO TOP LEVEL.) The system IREMAINING SUBGCALS:) backs up. 11 FCG

The ABANDON command.

ABANDON indicates that the User doesn't like his current trial of THISGOAL. The effect will be to restore Figure 3 to Figure 2 = but the status of #2#1#2#3 becomes again "NOT TRIED". Thus no further backing up can happen.

The SCRATCH command,

SCRATCH removes the indicated goal from GUALLIST. However, the system will refuse to scratch goals generated by tactics.

Tactics ,

We now describe the tactics available. There are six basic ones, each based on a particular inference rule; in addition the user may employ any THEOREM (see section 3.7) as a tactic.

For CONJ, the system generates a separate subgoal for each <awff> in the goal.

For CASES, if s is the (term) and P is the (wff) of the goal, the system generates the 3 subgoals P SASSUME sETT, P SASSUME sEUU, P SASSUME sEFF.

For ABSTR, the system instantiates in each (awff) in the goal for as many bound variables as are bound by the outermost λ in its left-hand side, thus generating a single new subgoal. New variables are chosen which are not free in the proof so far. For example, if the goal is $[\lambda X Y, F(Y, X)] \equiv [\lambda Z, G(Z, Z)]$, and X is already free in the proof, the new goal will be $F(Y, X1) \equiv G(X1, X1, Y)$.

For SIMPL, the system generates a new subgoal by simplifying the goal as far as possible, using a modified SIMPSET (if any "BY" or "WO" is present) as explained in Section 3.2 under the SIMPL rule. The modified SIMPSET remains in force, but the old one will be reinstated when the new goal is either proved or ABANDONed (see section 3.5). If the system discovers that all (awff>s of the new subgoal are identically true = i.e. they are all of the form ses or sets or UUes = it initiates the backing up process described under QED above instead of generating the subgoal. If some but not all of the new subgoal.

For SUBST, the system generates a new subgoal by substituting the rhs of (stephame) for the lhs of (stephame) in the goal - either throughout, or at the designated occurrences when an (integer)-list is given. (see the caution on occurrence numbers in section 3.6).

For INDUCT, let P be the (wff) of the goal. The system checks that (stephame) has the form sE[ay,t] = 1.6. that it is a recursive definition. In that case, it generates two new subgoals. The first is

P(UU/s)

and the second is

P(t(y'/y)/s) ASSUME P(y'/s)

where y' is a variable not previously used free, and where the substitution in P takes place at appropriate occurrences, exactly as for SUBST above. For USE, the Kidentifier> is a THEOREM name, The system will instantiate the THEOREM by matching its consequent to the goal, taking into account any instantiations supplied explicitly by the user, and will generate the appropriate instance of its antecedent as a new goal. See section 3.7 for a fuller discussion of THEOREMS,

We now give examples of each tactic (except CONJ, which is easy to understand). Some are realistically combined.

- 1+++++GOAL P-X, P-Y, E E P+X, Z: INEWGOAL #1 P+X.P+Y.Z = P+X.Z +I ++++TRY CASES P: INENGOAL #1#1 P+X, P+Y, Z = P+X, Z SASSUME PETT INEWGOAL #1#2 P-X, F-Y, Z = P-X, Z SASSUME PEUU INEWGOAL #143 P+X, P+Y, E E P+X, E SASSUME PEFF + + + + + + TRY 1 STMPL: 125 PETT (25)) Here SIMPL reduces goal 126 $P \rightarrow X, P \rightarrow Y, Z \equiv P \rightarrow X, Y$ (25)) #1#1 to identity, using IGUAL #1#1 PROVED. BACK UP TO GOAL #1) 25 and also an instance IREMAINING SUBGOALS:) of CONDT as simp. rules. 13 P→ - - - - - Z SASSUME P = FF + + + + + + TRY 2 STMPL: (etc.)

The example looks long, but the users contribution (shown by "->") is short, (The system keeps reminding the user of what subgoals remain.) The "hard copy" proof produced by the SHOW command will be comparatively short.

The next example illustrates the remaining tactics, and also application to a particular subject matter - lists. The first four steps are the result of SASSUME by the user. Note also the abbreviations VX Y, etc., as explained in section 3.6.

+ |++++FIXP 5; 16 AP = $EXX Y.NULL(X) \rightarrow Y, CONS(HD(X), AP(TL(X), Y))]$ (5) + [+*+*+GOAL VX.AP(X,AP(Y,Z)) = AP(AP(X,Y),Z); I NENGOAL #1 VX.AP(X,AP(Y,Z)) E AP(AP(X,Y),Z) + I+++++TRY INDUCT 5 OCC 1.4; INEWGOAL #1#1 VX.UU(X, AP(Y,Z)) E AP(UU(X,Y),Z) INE AGOAL #1#2 VX. EXX Y. NULL(X) +Y, CONS(HD(X), F1(TL(X), Y))] I(X, AP(Y,Z)) $I = AP(E \lambda X, Y, NULL(X) \rightarrow Y, CONS(HD(X), F1(TL(X), Y)) J(X, Y), Z)$ IASSUME $\forall X \cdot F1(X, AP(Y, Z)) \equiv AP(F1(X, Y), Z)$ + |++++TRY 1 ABSTR; INEWGOAL #1#1#1 UU(X, AP(Y,Z)) E AP(UU(X,Y),Z + | + + + + TRY SUBST 6 OCC 2; INEWGOAL #1#1#1#1 UU(X, AP(Y, Z)) = $[XX Y, NULL(X) \rightarrow Y, CONS(HD(X), AP(TL(X), Y))](UU(X, Y), Z)$ + I++++TRY SIMPL; 17 UU(X, AP(Y, Z)) = EXX Y, ULL(X)+Y, CONS(HD(X), AP(TL(X), Y))] (UU(X,Y),Z) (4) IGOAL #1#1#1#1 PROVED. BACKUP TO GOAL #1#1#1. NO MORE SUBGOALS 18 $UU(X, AP(Y, Z)) \equiv AP(UU(X, Y), Z)$ (4 5) IGOAL #1#1#1 PROVED. BACKUP TO GOAL #1#1. NO MORE SUBGOALS $19 \quad \forall X. UU(X, AP(Y, Z)) \equiv AP(UU(X, Y), Z) \quad (4 5)$ IGOAL #1#1 PROVED. BACKUP TO GOAL #1. IREMAINING SUBGOALS: 12 (Here follows a restatement of goal #1#2) (etc.)

Note that simplification (using the built-in simplification rules CONV and MIN2 and CONDU as well as Step 4) reduced goal #1#1#1#1 to identity, and the system generated step 7 on these grounds. In backing up, it generates an explicit final step, identical to the goal statement in its wff, to the up the proof of each goal proved.

Note also that the user's contribution (indicated by "+") is short in the above example.

Finally, here is an example of a THEOREM used as a tactic (read section 3.7 first:). It also shows how the user can make many of the inference rules into tactles - even using the same names, Of course, THEOREMS used as tactics will at least as often be substantial results previously proved and filed (consider the frequent occurrence in informal proofs of "to prove XXX it is sufficient, by Theorem AAA, to prove YYY and ZZZ"). First, to make a THFOREN out of the TRANS rule:

```
| *****ASSUME XEY, YEZ;
|51 XEY (51)
|52 YEZ (52)
|
| *****TRANS --,-;
|53 XEZ (51 52)
|
| *****THEOREM TRANS: 53
| THEOREM TRANS: XEZ ASSUME XEY,YEZ;
```

Now to use TRANS as a tactic:

I*****GOAL $F(A, X) \equiv G(X)$; INEWGOAL #1 $F(A, X) \equiv G(X)$ ITRY USE TRANS Y+H(X,A); INEWGOAL #1#1 $F(A, X) \equiv H(X, A)$ INEWGOAL #1#2 $H(X, A) \equiv G(X)$

Note that the X,Y,Z of the THEOREM are metavariables which do not conflict with the variables of the proof.

3.4 Miscellaneous Commands

The SIMPSET command,

SIMPSET ___((+|-) ___,<range>,___) ___ ;

The steps designated are adoed to or removed from the set of simplification rules (See section 3.5).

The SHOW command.

If the final (identifier) is present the material is sent to the file named, otherwise it is displayed on the console. The final (integer) if present denotes the line-width.

If a <range>- or <loentifier>-list is not present, the whole is shown. The <ldentifier>-list for AXIOMS or THEOREMS denotes the particular axioms or theorems required. The <range>-list for GOALTREE refers to levels (2 is top level), and for PROOF, STEPS, SIMPSET and LABELS refers to stepnumbers. Thus

SHOW STEPS :3, 8, 20:23, 30, 55: ;

will show steps 1,2,3,8,20,21,22,23,30 and 55 onwards of the proof, with no goal structure; SHOW PRCOF will show steps with goal structure, so is normally used with a single (range), or a whole proof. Only the stepnumbers bound to LABELS are shown.

The FETCH command.

FETCH ____, <identifler>,____;

The <identifier>-list names files. Axloms and theorems on those files will be brought in. In fact any admissible commands on these files will be treated exactly as if typed at the console - e.g. ASSUMptions may be made - so the user may prepare such files other than by SHOWING axioms or theorems. Much of what a user types is dependent on the stepnumbers that the system is generating, so the use of flies prepared offline is limited. However, this difficulty is somewhat alleviated by the LABEL command (see below). The files are expected to be simply sequences of commands, so several files may easily be concatenated without editing. The CANCEL command.

CANCEL ?<stepname> ;

This steps back through the <stepname> given, otherwise just the last step. Cancelled steps are removed from the SIMPSET. Goal trials encountered will be APANDONED. It is not possible to cancel back past any step which proves a goal.

The INFIX command.

-

INFIX ____, <identifier>,____ ;

This causes all the <identifier>s named to be treated exactly as <infix>es (see section 3.6). In particular, the user must henceforward "!" them in non-infix contexts.

The PREFIX command.

PREFIX ____ (identifier),___ ;

This revokes the infix status of all <identifier>s named. Standard <infix>es are immune from this, however.

The LABEL command,

LABEL ____ (identifier> ?<stepname>,___ }

Each (identifier) is attached as a label to the step indicated by the (stephare) if present, otherwise to the next step to be generated. Thus after "LABEL DD - I" the previous step and its predecessors and successors may be later referenced by the (stephame)s ".DD", ".DD-1",

3.5 Simplification Rules.

At any stage in a proof, there is a current set of simplification rules. Steps may be added to or removed from the simplification rule set (SIMPSET) in five ways:

- By SASSUME (See Section 3.2)
- By the SIMPSET command (See Section 3.4).
- By the goal taotic SIMPL (See Section 3.3).
- If the SIMPSET was modified by attacking a goal
- with a SASSUMption (see section 3.3) or by
- using the SIMPL taotic, then it will be automatically
- reinstated when the goal is proved or ABANDONed.
- By CANCEL (see section 3.4).

Simplification is invoked only by the SIMPL rule, (3.2) and by the SIMPL tactio (3.3). The rules are then applied repeatedly to all subterms of the appropriate awff or term until they can be applied no further.

An application of a simplification rule $s \equiv t$ consists in finding all occurrences of s and replacing them by t (so the user must be careful not to make something like $F(X) \equiv G(F(X))$ a simplification rule, or he will cause indefinite expansion;). In addition, in the case of a simplification rule $\forall x \ y \dots$, $s \equiv t$, all instances of s, gained by replacing x, y, \dots, by arbitrary terms in s, will be replaced by the appropriate instances of t.

There are five built in rules: CONV (λ -CONVERSION), MIN2 (UU(s) \equiv UU) and CONDT, CONDU, CONDF (simplification of conditionals) (see these rules of inference in 3.2). Together with the previously mentioned feature, this will allow the essumption

VX Y, HD(CONS(X, Y)) E X ,

when used as a simplification rule, to reduce

HD(CONS(s1, s2))

vla

[λX Y.X](s1,s2)

to 51.

Such formulae may usually be kept permanently in the SIMPSET. Others, notably the SASSUMptions of the CASES tactic, will come and go under system control. Still others the user will need to handle himself; a good example is the result of FIXP on a recursive definition of form $s \equiv \lfloor \alpha x, t \rfloor =$ the result has form $s \equiv t(s/x)$ and so can lead to indefinite expansion as a simplification rule, but will not do so in the case that the recursive computation, which it will carry out, terminates as a consequence of other members of SIMPSET.

3.6 Syrtax As well as the usual BNF conventions we use the following: () are for grouping syntax patterns, ? pefore a pattern means optional, ---P___ means one or more instances of the pattern P, ---- means one or nore instances of P separated by commas. <wff> ::= ___,<awff>,___ <awff> ::= ?___(V ___,<identifier>,___ | <term>::)___ <term> (Eic) <term> <term> ::= <infixterm>l<conditionalterm> <conditionalterm> ::= <infixterm> - <term> , <term> <infixterm> ::= <simpleterm> ?___(<infix><simpleterm>)___ <simpleterm> ::= <closedterm> ?___(<closedterm>) (____, <torm>, ____) }____ <closedterm> ::= <ldentifler>i<\term>l<@term>i<termname>l (<term>) <termname> ::= ?(:Gl:<stepname>) ?{ :<integer> } (:L|:R) <term> ::= [λ ___<identifier>___ . <term>] $\langle \alpha term \rangle$::= [$\alpha \langle identifier \rangle$. $\langle term \rangle$] <identlfier> ::= <word> | !<infix> | - | a <word> ::= ___(<ietter>!<digit>! _)___ <inflx> ::= any of the single characters nus |+-=+///@+52<>#=+++6 or any <word> with current INFIX status (3.4)

Spaces may occur anywhere except within a <word>, but are only necessary to separate <word>s or to separate "." from a digit (e.g. in " $\forall x$, $\forall \leq x \in TT$ "). The latter is because the MLISP2 parser takes ". ϑ " as a single element or token.

The brackets round $\langle \lambda term \rangle s$ and $\langle \alpha term \rangle s$ may be omitted when no ambiguity arises,

Examples follow, with intended interpretation:

- $P \rightarrow Q \rightarrow X, Y, R \rightarrow Y, Z$ is a <conditionalterm>, abbreviating $P \rightarrow (Q \rightarrow X, Y), (R \rightarrow Y, Z)$
- AP(AP X Y,Z) is a <simpleterm>, abbreviating

AP(AP(X,Y),Z) or AP((AP(X))Y,Z) or (AP((AP(X))Y))Z

(Thus the type which we should associate with AP is $(\beta_{\rightarrow}(\beta_{\rightarrow}\beta))$, where β is the type of individuals.)

- $\lambda X Y, NULL X \rightarrow Y, TL X_D$ is a $\langle \lambda term \rangle$, abbreviating [λX , [λY , (NULL(X) \rightarrow Y, TL(X))]]
- P:: X E Y is an <awff>, abbreviating

 $P \rightarrow X, UU \equiv P \rightarrow Y, UU$

• $\forall X$, $F(X,X) \equiv Y$ is an (awff), abbreviating

 $\lambda X,F(X,X) \equiv \lambda X,Y$

• VX Y, X=Y :: X E Y is an (awff), abbreviating

 $\lambda X Y, X = Y \rightarrow X, UU \equiv \lambda X Y, X = Y \rightarrow Y, UU$

• : $E \equiv \lambda X L$, X=HD(L)+TT, XETL(L)

illustrates the ":"-ing (which may pronounced "shrieking" or perhaps "howling") of <infixes, which is necessary whenever they are mentioned in a non-infixed context.

Many examples of <wff>s and <awff>s occur throughout this paper.

Caution: Some commands refer to occurrences of a <term> in a <wff>. Occurrences are counted from left to right after all occurrences of "::" (which is an abbreviation for legibility reasons only) have been expanded as indicated in the examples, and with <infix>es considered as prefixed.

3.7 Commands for Axions and Theorems We now describe now the usor may create, store away, and fetch axioms and theorems, so that he can build up a file of results over several sessions on the computer, and does not have to start from scratch each time. We start with a sincle example, and then describe the new commands in detail. *****AXIOM LISTS:..., XX.NULL X :: X = NIL,...; The user creates an axiom consisting of several (awff>s: the example uses only one, so the others lare represented by ---. The system lists them ifor him - as new steps - and will remember the Icollection by its name: - LISTS. AXICM LISTS 1 - - -2 - - -3 VX.NULL(X) :: X E NIL 4 - - -*****SASSUME NULL YETT; 5 NULL(Y)ETT (5) +++++APPL 3,Y; 6 EXX,NULL(X)→X,UUJ(Y) = EXX,NULL(X)→NIL,UUJ(Y) *****SIMPL 6: 7 YENIL (5) Note that the SASSUMption 5 has been used, so lit appears as a condition for 7. *****THEOREN UNIQUENULL: 7; The user wants to keep the result 7 - he will be ite able to instantiate for Y in later use, so the isystem really treats it as a metatheorem. The isystem writes it in full for him, reminding him ithat it depends on LISTS:-THEOREM(LISTS) UNIQUENULL: YENIL ASSUME NULL(Y)ETT Suppose that the user proves some more theorems, land then wants to keep his axloms (there may be lothers besides LISTS) and theorems. He says:

```
*****SHOW AXIOMS AXFILE;
 *****SHOW THEOREMS THFILE;
         He can actually select just some to be kept (3,4), Also
         ilf he omits the filename, they will not be kept
         ibut displayed.
     NOW, ON SOME LATER OCCASION: ---
. . .
        The user decides he now wants to talk about lists,
        and would like the theorems that he previously proved,
*****FETCH AXFILE, THFILE;
AXIOM LISTS
15 - - -
16 -
17 VX.NULL(X) I: X E NIL
18 -
THEOREM (LISTS) UNIQUENULL: YENIL ASSUME NULL(Y)ETT
        Remember there may have been other axioms and
        Itheorems on these flies (they should have been
        lat least represented by ---, but we didn't
        (bother).
        The crucial point is that all variables which
        lare free in the theorem, but not free in the axioms
        ion which it depends, may be instantiated, and the
        luser can force an instantiation by using the theorem
        las an inference rule. Suppose later he proves (step 23):
23 NULL(HD(2))ETT (15 18)
        He applies the theorem, as follows (and in this
        icase the only free instantiable variable is y):
*****USE UNIQUENULL 23;
24 HD(2)ENIL (15 18)
        It is possible that not all the instantiable variables
        loccur in the hypothesis of the theorem: the full
        idefinition of the USE command shows how they may
        ibe instantiated.
```

and a the densed was not an investigation of the rest of the start in the second second was done as a second

We now give the new commands which concern axioms and theorems,

The AXIOM command,

AXIOM <identifier> : ___, (<stepname>|<awff>},___ ;

The system will remember all the <awff>s, mentioned explicitly or designated by an <stepname>, by the name <identifier>; it also lists them - each with a new stepnumber. Thereafter, any THEOREMs created, and saved by the SHOW command, will be tagged as dependent on this axiom.

The THEOREM command.

THEOREM (<;dentifier> : <stepname> | ?((___,<identifier>,___)) <identifier> : <wff> ?(ASSUME <wff>) };

The first option is for naming a proved result - designated by $\langle stephane \rangle = as a theorem.$ The second option is for naming an explicit sentence - i.e. $\langle wff \rangle$? (ASSUME $\langle wff \rangle$) - as a theorem, and saying what axioms it depends on (the lists of $\langle identifier \rangle s$ is a list of axiom names).

in the first option, the system will remember the theorem by name, and tag it as dependent on all axioms present in the system.

In the second option, the system will check that the axioms mentioned are present (if not it will warn you) and in any case will remember the theorem by name, and tag it as dependent on the axioms mentioned. This option is used by the system as follows: when the user saves a THEGREM on a file using the SHOW command, what the system writes on the file is precisely an instance of the second option, so that when the user FETCHes the theorem on a later occasion he will be warned of any appropriate axioms that are not present so that he can FETCH ther, too. The USE command,

USE <identifier> ?___,<stepname>,___ ?(, ___,<instantiat'on>,___ };

<lnstantiation> ::= <identifier> + <term>

The first (identifier) must be a THEOREM name, and the system checks that all axioms on which it depends are present. The system treats the theorem as a metatheorem in that all its free variables, except those which are free in axioms on which it depends, are treated as metavariables to be instantiated. The user supplies the instantiation in part in two ways. First, the list of (stepname)e designates a list of (awff)s, and some or all of the metavariables are bound by matching this list to the anteoedent list of the theorem.

Second (since there may be metavariables, which occur only in the consequent of the theorem) the user may give a list of instantiations each of which binds a term to a metavariable.

Any metavariables not thus instantiated will just be left as they stand. After matching, the USE command will generate a new step which is simply the appropriate instantiation of the consequent of the theorem. Example:

|+****AXIOM AX1: X=Y; |AXIOM AX1 |1 X=Y | |*****THEOREM (AX1) TH1: P=Z ASSUME Z=R; |---|---|15 F(Y)=G(X,Y) (2 6) | |*****USE TH1 15, P+H(X); |16 H(X)=F(Y) (2 6) 4. HOW TO USE THE SYSTEM LCF

4.1 Initialization and Termination

R LCF

The system returns with an asterisk: you are now talking to LISP.

(INIT)

This will initialize the system, which returns with 5 asterisks: you are ready to generate a proof by the commands of Section 3. 5 asterisks is always the signal for a command. Remember, all commands end with a semicolon.

To finism a proof (after maybe preserving it on a file using SHOW) type

\$;

The system will type ENDPROOF and you are then ready to start another proof with

(INIT).

It is possible to save your core image so as to resume the proof at a later time. To do this type

+C SAVE <fliename>

and you can then either continue immediately by

START (RESUME)

or at a later time by

RUN <filename> (RESUME)

4.2 Errors and Recovery

There are three types of error message:

• If you commit a syntax error in a command, the system says SYNTAX ERROR; TRY AGAIN

• If your command is semantically suspect - for example, you try to apply TRANS (transitivity) to two steps for which it is inappropriate - you will get something like

NASTYTRANS; TRY AGAIN

• If you break the system somehow and get a LISP error, usually something like

3246 ILL MEM REF FROM ATOM

then you can try something different (your first command may yield a syntax error, in which case just repeat it); however, this should not occur and Malcolm Newey or I would like to know how it occurred.

If the system gets into a loop (the only known cause is if your SIMPSET allows indefinite expansion) then

> +C START (RESUME)

will restore you. If you thereby abort a (long or looping) simplification invoked by the SIMPL tactic you will also need to ABANDON.

5. ACKNOWLEDGEMENTS

The system is entirely based on the logic proposed by Dana Scott at Oxford in 1969 but unpublished by him.

I am grateful to Richard Weyhrauch for designing a better simplification algorithm which has proved indispensable, to Malcolm Newey for undertaking the necessary programming for corrections and improvements to the system - including the simplification algorithm and to both of them for constructive criticisms and discussions which have led to many improvements. I also thank John McCarthy for encouraging me to undertake this work.

The programming of the system was eased enormously by the MLISP2 extendible parser due to Horace Enea and David Smith, and by the help they gave me in using it. In fact, extensions to the system will be simple for the same reason.