AD736412

VIBRATIONAL DISTRIBUTION FUNCTIONS IN ANNARMONIC OSCILLATORS

> C.E. Caledonia and B.E. Contar RESEARCH REPORT 364

Contract No. F29601-70-C-0073 Project: 3326 September 1971

prepared for AIR FORCE WEAPONS LABORATORY AIR FORCE SYSTEMS COMMAND UNITED STATES AIR FORCE Kirtlend Air Force Base, New Mexice and

ADVANCED RESEARCH PROJECTS AGENCY ARPA Order No. 670

BEARCH LABORATORY

DISTRIBUTION STATEMENT A Approved for public release; Distribution Utilimited.

DIVISION OF AVED CORFORATION

Reproduced by NATIONAL FECHNICAL INFORMATION SERVICE Springheld, Va 22151

A

FEB

RESEARCH REPORT 364

and the state of the state of the second state of the

VIBRATIONAL DISTRIBUTION FUNCTIONS IN ANHARMONIC OSCILLATORS

by

G. E. Caledonia and R. E. Center

AVCO EVERETT RESEARCH LABORATORY a division of AVCO CORPORATION Everett, Massachusetts

Contract No. F29601-70-C-0073 Project: 3326

September 1971

prepared for

AIR FORCE WEAPONS LABORATORY AIR FORCE SYSTEMS COMMAND UNITED STATES AIR FORCE Kirtland Air Force Base, New Mexico

and

ADVANCED RESEARCH PROJECTS AGENCY ARPA Order No. 870

ABSTRACT

A model has been developed to calculate the steady state vibrational distribution of an anharmonic oscillator under conditions of thermal non-equilibrium. The model includes vibration-vibration and vibration-translation collisional processes as well as radiative decay. Numerical calculations are shown to be in reasonably good agreement with experimental data obtained in electrically excited N₂-CO mixing systems. It is shown that radiative decay may be the dominant loss mechanism in low pressure N₂-CO experiments.

| Unclassified | | | | | |
|--|--|--------------|----------------------------------|--|--|
| Security Classification | | | | | |
| DOCUMENT CONTROL DATA - RAD | | | | | |
| (Security closellication of title, body of abetract and index | ing annotation must be an | tered when | he overell report is classified) | | |
| Avco Everett Research Laboratory | | Unclassified | | | |
| 2385 Revere Beach Parkway | | 25 GROUP | | | |
| Everett, Massachusetts | | | | | |
| 3. REPORT TITLE | | | | | |
| VIBRATIONAL DISTRIBUTION FUNC | CTIONS IN ANH | IARMO | NIC OSCILLATORS | | |
| 4. DESCRIPTIVE NOTES (Type of report and inclusive dates, | | | | | |
| . Research Report 364 | | | | | |
| S. AUTHOR(S) (Leet name, first name, initial) | | | | | |
| Caledonia, G. E. and Center R. E. | | | | | |
| | | | | | |
| S. REPORT DATE | 70. TOTAL NO. OF PAGES 75. NO. OF REP | | 75. NO. OF REFS | | |
| September 1971 | 37 | | 19 | | |
| S. CONTRACT OR GRANT NO. | Se. ORIGINATOR'S RI | PORT NUM | BER(\$) | | |
| F29601-70-C-0073 | | | | | |
| A PROJECT NO. 2234 | Research Report 364 | | | | |
| . 5520 e. | Sh OTHER REPORT HOSE (Any other sumbers that may be resident | | | | |
| • | this report) | | | | |
| d. | | | | | |
| 10. A VAIL ABILITY/LIMITATION NOTICES | | | | | |
| Distribution of this document is unlin cleared for public release by compet | nited. This inc ent authority. | dicates | document has been | | |
| 11. SUPPLEMENTARY NOTES | 12. SPONSORING MILI | TARY ACT | VITY | | |
| | Air Force W | eapons | Laboratory, AFSC, | | |
| | USAF, Kirtla | nd AFE | , New Mexico and | | |
| IS ADETDACT | ARPA, ARFA | Uraer | NO. 8/U | | |
| | | | | | |
| A model has been developed to calculate the steady state vibrational | | | | | |
| distribution of an anharmonic oscillator under conditions of thermal ron- | | | | | |
| distribution of an annarmonic oscillator under conditions of thermal non- | | | | | |
| equilibrium. The model includes vibration-vibration and vibration-translation | | | | | |
| collisional processes as well as radi | ative decay. N | lumeric | al calculations are | | |
| shown to be in reasonably good agree | ment with expe | riment | al data obtained | | |

in electrically excited N_2^{\uparrow} -CO mixing systems. It is shown that radiative decay may be the dominant loss mechanism in low pressure N_2^{\uparrow} -CO experiments. ():

Unclassified

Give the inclusive dates when a apecific reporting period is

5. AUTHOR(S): Enter the name(a) of author(s) as shown on

or in the report. Enter last name, first name, middle initial. If military, show rank and branch of service. The name of

the principal author is an absolute minimum requirement.

6. REPORT DATE: Enter the date of the report as day,

7s. TOTAL NUMBER OF PAGES: The total page count

should follow normal pagination procedures, i.e., enter the

7b. NUMBER OF REFERENCES: Enter the total number of

8a. CONTRACT OR GRANT NUMBER: If appropriate, enter

the applicable number of the contract or grant under which

8b, 8c, & 8d. PROJECT NUMBER: Enter the appropriate military department identification, such as project number,

9a. ORIGINATOR'S REPORT NUMBER(S): Enter the offi-

9b. OTHER REPORT NUMBER(S): If the report has been

assigned any other report numbers (either by the originator

10. AVAILABILITY/LIMITATION NOTICES: Enter any limitations on further dissemination of the report, other than those

or by the sponsor), also enter this number(s).

cial report number by which the document will be identified and controlled by the originating activity. This number must

subproject number, system numbers, task number, etc.

on the report, use date of publication.

number of pages containing information.

references cited in the report.

the report was written.

month, year; or month, year. If more than one date appears

| 14. | | | LtN | LINK A | | 13 | LIN | K C |
|--|--|--|--|------------------------|---------------------------|----------------------|------------|------------------------------|
| | KEY WORDS | | HOLE | WT | ROLE | WT | HOLE | WT |
| 1. 2. 3. 4. | Vibrational distribution Anharmonic oscillator N ₂ -CO mixing laser Nonequilibrium energy transfer | | | | | | | |
| 1. ORI of the c fense a | INSTRU GINATING ACTIVITY: Enter the name and addreas contractor, subcontractor, grantes, Department of De- activity or other organization (corporate author) issuing | JCTIONS imposed t such as: (1) | by accurity | classifi | cation, ua | ing atan | dard atate | menta |
| the repo 2a. REI all accu "Reatric ance win 2b. GRU rective: the grou marking ized. 3. REF | PRT SECURITY C. ASSIFICATION: Enter the over- prity classification of the report. Indicate whether cted Data" is included. Marking is to be in accord- ith appropriate accurity regulations. OUP: Automatic downgrading is apecified in DoD Di- 5200.10 and Armed Forces Industrial Manual. Enter up number. Also, when applicable, show that optional gs have been used for Group 3 and Group 4 as author- PORT TITLE: Enter the complete report title in all interes. Titles in all cases abould be unclassified. | (2) (3) (4) (4) (4) (4) (4) (4) (4) (4) (4) (4 | report from DDC." (2) "Foreign announcement and disaemination of this report by DDC is not authorized." (3) "U. S. Government agencies may obtain copies of this report directly from DDC. Other qualified DDC users shall request through ." (4) "U. S. military agencies may obtain copies of this report directly from DDC. Other qualified users shall request through ." | | | | | is of DDC '' his |
| capital I If a mean tion, she immedia 4. DES | lettera. Titlea in all cases should be unclassified. iningful title cannot be selected without classifica- jow title classification in ali capitals in parenthesia stely following the title. iCRIPTIVE NOTES: If appropriate, enter the type of e.g., interim, progress, summary, annual, or final. | (5) | 'All distrit | oution of users shr | this repor all request | t is cont through | trolled Q | " ual- ." |

If the report has been furnished to the Office of Technical Services, Department of Commerce, for sale to the public, indicate this fact and enter the price, if known.

II. SUPPLEMENTARY NOTES: Use for additional explanatory notes.

12. SPONSORING MILITARY ACTIVITY: Enter the name of the departmental project office or laboratory aponsoring (paying for) the research and development. Include address

13. ABSTRACT: Enter an abstract giving a brief and factual summary of the document indicative of the report, even though it may also appear elsewhere in the body of the technical report. If additional apace is required, a continuation sheet shall be attached.

It is highly deairable that the abatract of classified reporta be unclassified. Each paragraph of the abstract shall end with an indication of the military security classification of the information in the paragraph, represented as (TS), (S), (C), or (U).

There is no limitation on the length of the abstract. However, the suggested length is from 150 to 225 words.

14. KEY WORDS: Key words are technically meaningful terms or short phrases that characterize a report and may be used as index entries for cataloging the report. Key words must be selected so that no security classification is required. Identifiers, such as equipment model designation, trade name, military project code name, geographic location, may be used as key words but will be followed by an indication of technical context. The assignment of links, rules, and weights is optional

be unique to this report.

covered.

TABLE OF CONTENTS

Page

and her bet Fill Statistics

| | Abstract | iii |
|------|--|-----|
| I. | INTRODUCTION | 1 |
| п. | KINETICS | 5 |
| III. | RESULTS AND DISCUSSION | 13 |
| | A. V-T Deactivation in Pure CO | 13 |
| | B. T-V and Radiative Decay in Pure CO | 20 |
| | C. Calculations for N ₂ : CO Mixtures | 24 |
| IV. | CONCLUSION | 35 |
| | Acknowledgment | 36 |
| | References | 37 |

-v-

I. INTRODUCTION

The process of vibrational relaxation under nonequilibrium conditions, such that the vibration mode is out of equilibrium with the other degrees of freedom, is of considerable importance in many physical phenomena. The upper atmosphere provides an example wherein the nitrogen vibrational energy is thought to be determined by collisions with free electrons and metastable oxygen atoms and is out of equilibrium with the low translational temperature. A similar situation arises in expanding gas flows, molecular lasers, and many chemical reactions where the vibrational temperature may be much higher than the translational temperature. In the past, analyses of such nonequilibrium conditions have been characterized by a Boltzmann distribution corresponding to a specific temperature for each degree of freedom. Recently, several theoretical analyses have been developed to avoid the restriction of a Boltzmann distribution in the vibrational mode. ^{1,2}

There are two basic collisional phenomena in the relaxation of a diatomic molecular gas from a state of vibrational nonequilibrium. These are the processes of vibration-vibration, V-V, and vibration to translation, V-T, energy exchange. In the following analysis we will assume that the translational and rotational degrees of freedom are in equilibrium so that vibration-rotation, V-R, or vibration-rotation-translation, V-R-T, energy exchange processes are indistinguishable from V-T processes. In general, the V-V relaxation rates are much faster than the V-T rates,

and under suitable conditions a gas may relax to a state of vibrational quasi equilibrium before V-T processes become important. The collisional exchanges may occur between different species, intermode exchange, or between different vibrational levels of the same species, intramode exchange. For an anharmonic oscillator the intramode exchange may be the controlling mechanism in the relaxation process.

Treanor et al have considered the relaxation behavior of anharmonic oscillators when the vibrational mode is out of equilibrium with the translational mode under conditions of V-V equilibrium with no quanta loss mechanism. For these conditions they deduced non-Boltzmann distributions in the anharmonic oscillator with the vibrational energy pumped to the closely spaced upper vibrational levels. These theoretical distributions are unrealistic in the higher levels in that they neglect energy loss processes which prevent large accumulation of energy in these levels. Bray² has treated the relaxation process allowing for V-T energy exchange and has obtained numerica. results for quasi steady state distributions in a single species. In these calculations the loss of vibrational energy via V-T processes prevents the large total inversions predicted by Treanor et al. These analyses of Treanor and Bray did not include the effects of radiative decay which can be important in low pressure systems where the effective time between energy exchange collisions becomes comparable with the radiative lifetime. Some preliminary calculations have shown that the radiative decay may be the dominant loss mechanism in the N_2 -CO mixing laser.³

-2-

The present paper describes steady state solutions for conditions of vibrational nonequilibrium in gas systems composed of diatomic molecules. Both radiative decay and V-T collisional exchange are included in the analysis which is applied to pure CO and N_2 -CO mixtures. Vibrational distribution functions are derived for a variety of conditions, and comparisons are made with existing experimental data in the electrically excited N_2 -CO system.

II. KINETICS

Consider a mixture of two diatomic molecular gases N and M. We assume that only single quantum transitions occur in the collisional exchange processes of vibration-vibration (V-V) and vibration-tranlation (V-T) as well as in the radiative decay. The intramolecular, intermolecular and radiative processes for species N are

$$N_{\mathbf{v}} + N_{\mathbf{v}'} \stackrel{=}{=} N_{\mathbf{v}_{\perp}^{+}1} + N_{\mathbf{v}'_{\perp}^{-}1} \qquad \text{intra. V-V} \qquad (1)$$

$$N_v + M_{v''} \stackrel{\Rightarrow}{=} N_{v \pm 1} + M_{v'' \pm 1}$$
 inter. V-V (2)

$$N_{v} + N_{v'} \stackrel{\sim}{=} N_{v \pm 1} + N_{v'} \qquad \text{intra. V-T} \qquad (3)$$

$$N_{v} + M_{v''} \stackrel{\neq}{=} N_{v \pm 1} + M_{v''} \qquad \text{inter. V-T} \qquad (4)$$

$$N_{\nu} \rightarrow N_{\nu-1} + h\nu$$
 rad. (5)

CARL STATISTICS

where the vibrational level is denoted by subscripts v, v', and v''. A similar set of reactions applies to species M. One can write the master vibrational rate equation for the density of molecule N in the v^{th} vibrational level

Preceding page blank

-5-

$$\frac{dN_{v}}{dt} = Z_{N-N} \sum_{v'} \left\{ P_{v',v'-1}^{v-1,v} N_{v-1} + P_{v',v'+1}^{v+1,v} N_{v+1} - \left(P_{v',v'+1}^{v,v-1} + P_{v',v'-1}^{v,v+1} \right) N_{v} \right\} N_{v} \left\{ N_{v} + Z_{N-M} \sum_{v''} \left\{ P_{v'',v'-1}^{v-1,v} N_{v-1} + P_{v'',v'+1}^{v+1,v} N_{v+1} - \left(P_{v'',v'+1}^{v,v-1} + P_{v'',v'-1}^{v,v+1} \right) N_{v} \right\} M_{v''} + Z_{N-N} \left\{ P_{v-T'}^{v-1,v} N_{v-1} + P_{v-T'}^{v+1,v} N_{v+1} - \left(P_{v-T'}^{v,v-1} + P_{v-T'}^{v,v+1} \right) N_{v} \right\} \sum_{v'} N_{v'} + Z_{N-M} \left\{ P_{v-T'}^{v-1,v} N_{v-1} + P_{v-T'}^{v+1,v} N_{v+1} - \left(P_{v-T'}^{v,v-1} + P_{v-T'}^{v,v+1} \right) N_{v} \right\} \sum_{v'} N_{v'} + Z_{N-M} \left\{ P_{v-T''}^{v-1,v} N_{v-1} + P_{v-T''}^{v+1,v} N_{v+1} - \left(P_{v-T''}^{v,v-1} + P_{v-T''}^{v,v+1} \right) N_{v} \right\} \sum_{v'} N_{v'} + Z_{N-M} \left\{ P_{v-T''}^{v-1,v} N_{v-1} + P_{v-T''}^{v+1,v} N_{v+1} - \left(P_{v-T''}^{v,v-1} + P_{v-T''}^{v,v+1} \right) N_{v} \right\} \sum_{v''} M_{v''}$$

$$(6)$$

+
$$N_{v+1/\tau_{v+1}}$$
 - N_v/τ_v

where Z_{N-N} and Z_{N-M} are the gas kinetic collision frequencies of species N colliding with species N and M respectively, at unit concentrations. v,v ± 1 v,v ± 1 The probabilities P and P refer to the V-V and V-T exchange v',v' ± 1 V-T' respectively and τ_v is the radiative lifetime of level v. The forward and reverse rate constants are related through detailed balancing and for a Maxwellian velocity distribution corresponding to the translational temperature T we obtain:

$$\begin{array}{ccc} v, v+1 & v+1, v \\ P & = P \\ v', v'-1 & v'-1, v' \end{array} \exp \left(\left[(E_{v'} - E_{v'-1}) + (E_{v} - E_{v+1}) \right] / k T \right)$$
 (7)

- 6-

 E_v is the energy of the vth vibrational level which is approximately

$$\mathbf{E}_{\mathbf{v}} = \left[\left(\mathbf{v} + \frac{1}{2} \right) \omega_{\mathbf{e}} - \left(\mathbf{v} + \frac{1}{2} \right)^2 \omega_{\mathbf{e}} \mathbf{x}_{\mathbf{e}} \right] \mathbf{hc}$$
(8)

(9)

and the molecular constants ω_e and $\omega_e x_e$ are given in Ref. 4.

The time rate of change of vibrational energy can be obtained by multiplying the "master equation" (6) by v and summing over all values of v. In the case of harmonic oscillators, the energy relaxation equation is considerably simplified. For a mixture of gases N and M it can be shown that

$$\frac{\mathrm{dE}_{\mathrm{N}}}{\mathrm{dt}} = \frac{\overline{\mathrm{E}}_{\mathrm{N}} - \mathrm{E}_{\mathrm{N}}}{\tau_{\mathrm{V-T}}} - \frac{\mathrm{E}_{\mathrm{N}}}{\tau_{\mathrm{rad}}}$$

+
$$\frac{\Psi_M e^{\Theta_M/T}}{k \tau_{V-V} \Theta_M} \left\{ E_M (\overline{E}_N - E_N) (1 - e^{-\Theta_N/T}) - E_N (\overline{E}_M - E_M) (1 - e^{-\Theta_N/T}) \right\}$$

with a similar equation for species M where use has been made of the Landau-Teller selection rules.⁵ Here ψ_{M} is the mole fraction of species M, E is the vibrational energy per molecule, E is the equilibrium vibrational energy at the translational temperature T, and Θ is the characteristic vibrational temperature, i.e. $(E_1-E_0)/k$. τ_{V-T} and τ_{V-V} are the vibrational relaxation times for V-T and V-V processes. For times t such that $\tau_{V-V} < t < \tau_{V-T}$, τ_{rad} a quasi equilibrium of the V-V process is obtained. The bracketed expression in the above equation is then zero so that

$$\frac{\Theta_{\rm N}}{T_{\rm VN}} - \frac{\Theta_{\rm M}}{T_{\rm VM}} = \frac{\Theta_{\rm N} - \Theta_{\rm M}}{T}$$
(10)

-7-

where T_{VN} and T_{VM} are the vibrational temperatures of species N and M respectively. It is clear that these temperatures must be different under conditions of thermal nonequilibrium and for $T < T_{VN}$, T_{VM} the species with the lower characteristic temperature will have the higher vibrational temperature. This has been previously derived by Teare⁶ and independently by Treanor.⁷ In the latter analysis, Treanor obtained an analytic solution to the master equations for anharmonic oscillators allowing only V-V exchanges. Their analysis exhibits a similar pumping phenomenon between different levels in the oscillator.

In the present paper, steady state solutions to the master equations are obtained numerically for conditions of thermal nonequilibrium. The equations considered are restricted to a finite number of vibrational levels whose energy cannot exceed the dissociation limit. The vibrational distribution in species N is determined by supplying a fixed source which communicates with all vibrational levels. Under many situations it is sufficient to specify a fixed temperature between the first two vibrational levels of species N. This is equivalent to the inclusion of a source term which only communicates with the first and zeroth levels. Under these conditions, the master equations may be written in the form

$$\frac{dN_0}{dt} = -F_{0,1} + D_1 - K$$
(11)

$$\frac{dN_1}{dt} = F_{0,1} - F_{1,2} - D_1 + D_2 + K$$

-8-

where K is the net rate of quanta supplied by the source. In these equations $F_{v,v+1}$ is the particle flux between levels v and v+1

$$F_{v,v+1} = Z \sum_{i} \begin{pmatrix} v,v+1 \\ P \\ i,i-1 \end{pmatrix} N_{v}N_{i} - P \\ i-1,i \end{pmatrix} N_{v+1}N_{i-1}$$
(12)

and D_{v+1} is the net quanta loss between levels v+1 and v

$$D_{v+1} = Z \begin{bmatrix} v+1, v & v, v+1 \\ P & N_{v+1} & -P & N_{v} \end{bmatrix} + N_{v+1} / \tau_{v+1}$$
(13)

These equations in combination with the remaining master equations yield the steady state identities

$$v \ge 1$$
 $F_{v, v+1} = D_{v+1}$ (14)

and it is clear that

$$K = \sum_{v=0}^{N} D_{v+1}$$
(15)

We assume a reflecting boundary so that there is no transport of quanta beyond the last level.

The numerical solutions to the master equations depend upon the choice of rate constants. This is in contrast to the case of pure V-V equilibrium treated by Treanor et al¹ in which the vibrational distribution is determined solely by detailed balancing. Unfortunately, there are few experimental data on the rate constants for V-V and V-T collisional exchange, particularly in the high vibrational levels, and one must rely on theoretical calculations. Since we are interested in comparisons of the

-9-

distribution function calculations with measurements in N₂-CO mixtures, we have restricted ourselves to collisional exchange probabilities for those gases. The probability distributions used for CO are shown in Fig. 1. The V-T probabilities were derived from experimental measurements by Millikan and White⁸ for the 1→0 transition and were applied to all levels using a correction for anharmonicity based on the S. S. H. model.⁹ This model predicts that the probability varies approximately as exp (const. $\Delta E^{2/3}$) where ΔE is the energy spacing between the adjacent vibrational levels.

For the probability of intramolecular V-V exchange in CO, a combination of two theoretical models was used. The first, developed by Sharma and Brau, ¹⁰used a long range attractive dipole-dipole potential which is important in the exchange probability in near resonant collisions. The second, due to Rapp, ¹¹employed a short range repulsive potential for the nonresonant collisions.

Because of the large nonresonance in the first levels of N_2 and CO, 188 cm⁻¹, only the short range calculation was used with an assumed steric factor of 1/3. During the course of this work, some experimental data for the V-V deactivation of CO in vibrational levels 4 to 13 were obtained by Hancock and Smith¹² in a fluorescence experiment. The intramolecular data for CO agree to better than a factor of 2 with our theoretical estimates as shown in Fig. 1. Hancock and Smith also observed deactivation of CO by $N_{2'}^{13}$ and an extrapolation of their experimental probabilities would be less than a factor of two lower than our assumed value. This is in reasonable agreement with other recent

-10-



Fig. 1 Collisional exchange probabilities for both V-V and V-T processes in CO at two translational temperatures. The data points are experimental measurements of Ref. 12. Also shown is the radiative transition probability for CO.

-11-

measurements. ^{14, 15} Also shown in Fig. 1 is the radiative transition probability for CO. These values are based on the measured v=1 to 0 probability and the matrix element calculation of Young and Eachus. ¹⁶ The variation $\tau_v \propto v (E_v - E_{v-1})^{-3}$ is a close approximation to their calculations for both a linear and a cubic dipole moment.

III. RESULTS AND DISCUSSION

In this section we present detailed numerical calculations for steady state distributions over a wide range of energy deactivation conditions. Results are first presented for vibrational distribution in pure CO and then for N₂-CO mixtures, and finally comparison is made with experimental data in N₂-CO experiments. In most cases, the vibrational temperature of the lowest levels, which we designate by $\theta_1 = (E_1 - E_0)/(k \ln N_0/N_1)$ was chosen to be 2700°K. This value is typical of the experimental conditions.

A. V-T Deactivation in Pure CO

The fundamental characteristics of distribution functions derived in the absence of radiative decay are of interest not only in high pressure CO, where the effects of radiative loss are negligible, but also are representative of solutions for homonuclear molecules in general.

Several vibrational distributions are shown in Fig. 2 for a value of Θ_1^* of 2700°K. The different curves were calculated for the V-V probability distribution described in the previous section but with a variation of 10⁺² in the magnitude of the V-T probability distribution. Also shown for comparison is the Treanor solution to the master equation for V-V equilibrium without deactivation. This latter result dependes solely on detailed balancing and is described by¹

$$\frac{N_{v}}{N_{v+1}} = \exp\left(\frac{E_{1}-E_{0}}{k\Theta_{1}} - 2v \omega_{e}x_{e} \frac{hc}{kT}\right)$$
(16)

-13-



Fig. 2 CO vibrational distribution functions, neglecting radiative decay, for $\Theta_1^* = 2700^\circ$ K and T = 325° K for various values of the parameter r, the ratio of the V-T probability used in the calculation to that shown in Fig. 1. The Treanor distribution corresponds to r = 0.

-14-

V-T collisions dominate V-V exchange in the upper vibrational levels because of the large V-T probabilities in these levels. This upper level deactivation prevents the storage of vibrational energy and precludes the artificially high inversion predicted by Eq. (16) in high vibrational levels.

At low vibrational levels where the V-T probabilities are insignificant relative to the V-V probabilities, the distribution follows that of Treanor et al up to the minimum of the latter distribution. Beyond this point, it can be seen in Fig. 2 that the distribution behaves as $vN_v =$ constant up to vibrational levels where V-T deactivation is important. From that point on, the distribution reverts to a Boltzmann distribution at the translational temperature.

The characteristic shape of the distribution function can be explained quantitatively. The constant vN_v behavior is due to the fact that, for the conditions and V-V probabilities chosen, near resonant collisions are far more effective in the transfer of vibrational quanta than nonresonant collisions. Thus, energy must be transferred up the vibrational ladder by near resonant collisions rather than go directly from the source in the first vibrational level to the deactivation region. Beyond the region of the Treanor minimum, the quanta flux supplied by the source can only be delivered from level to level by collisions with molecules in lower levels, i.e. for any level v + 1 beyond the Treanor minimum:

$$\sum_{\substack{i=1}}^{v} P^{v, v+1} N_{v} N_{i} \approx K$$
(17)

where the dominant contribution to the sum comes from levels close to v.

-15-

By the use of the Landau-Teller relations it follows that this is satisfied by

$$\mathbf{v}(\mathbf{v+1})$$
 $\mathbf{N}_{\mathbf{v}} = \mathrm{constant}\left(1 + O\left(\frac{1}{\mathbf{v}^2}\right)\right)$ (18)

in agreement with the numerical calculations. This result is only valid up to the deactivation region. The region of strong V-T deactivation occurs when the net particle flux cannot maintain the constant vN_v slope to supply the local deactivation. A representative term in the flux then v, v+1 = 2 v+1, vbecomes $P_{v,v-1} = N_v - P_{v-1,v} = N_{v+1} = N_{v-1}$ and by use of the Landau-Teller selection rules one finds that the deactivation occurs near level v' such that

$$\frac{v', v'-1}{P} = v'\left(\frac{vN_v}{N_0}\right) e^{-2\omega_e x_e hc/kT}$$
(19)
1, 2

The value of vN_v is approximately that at the minimum of the Treanor distribution so that v' may be obtained in terms of the assumed probability distributions. Although the particle flux has been underestimated in choosing only one term of the sum, the resultant value for v' is quite accurate because of the rapid variation of the V-T probability with vibrational level as shown in Fig. 1.

The quanta source, K, necessary to maintain Θ_{l}^{*} , is found to be constant for the different V-T probabilities assumed for the calculations. This is to be expected since there is no direct communication between the source and the deactivation region, and the only requirement on the

-16-

source is that it maintain the temperature in the lowest levels. Thus the source requirement will not increase until V-T probabilities are sufficiently large to affect the distribution in the lowest levels. It should be emphasized that these conclusions regarding the limitation to the quanta flux and the constant vN_v region are only applicable to situations in which near resonant collisions dominate. In fact, it is shown later that these calculations are invalid if the effective V-V collisional exchange is due to nonresonant collisions with low level molecules.

Figure 3 shows vibrational distribution calculations for a wide range of translational temperatures at the fixed value of $\Theta_1^* = 2700^{\circ}$ K. These calculations illustrate the effect of varying the relative magnitude of the near resonant to nonresonant V-V collisional processes. In the low level region controlled by detailed balancing, the population density of the higher levels decreases with increasing translational temperature as seen in Eq. (16). The typical resonant collisional terms in the quanta flux behave like $N_v^2 P_{v,v-1}^{v,v+1}$ whilst the nonresonant collisions with molev,v+1cules in the lowest levels vary as $N_v N_1 P_{1,0}^1$. Thus for high vibrational levels, the collisions with the more densely populated lower levels become more important with increasing translational temperature. This effect is enhanced by the increase in the ratio of nonresonant to near resonant probabilities with increasing translational temperature.

The results for the three lowest translational temperatures all indicate a region of constant vN_v implying that the distribution beyond the Treanor minimum is dominated by near resonant V-V collisional exchange. However, at the higher translational temperatures the nonresonant

-17-



Fig. 3 CO vibrational distribution functions, neglecting radiative decay, for $\Theta_1^* = 2700^{\circ}$ K and for various translational temperatures.

-18-

collisions become more important resulting in a total vibrational inversion at 550°K. Since the V-T probability increases with the translational temperature, collisional deactivation prevents a total inversion in the distribution at 700°K.

The possibility of a total inversion can be readily demonstrated when nonresonant collisions dominate i. e. when the most effective V-V collisions are those with the highly populated low vibrational levels. In this case the leading term in the particle flux between levels v and v+l is

$$F_{v,v+1} \simeq P_{1,0}^{v,v+1} N_{v} N_{1} - P_{0,1}^{v+1,v} N_{v+1} N_{0}$$

and this is equal to the local drain which is approximately $P_{V-T} \sim N_{v+1}$. It follows that

$$\frac{N_{v}}{N_{v+1}} \simeq \frac{\frac{P_{v-T}}{V_{v+1}}}{\frac{P_{v-T}}{N_{1}P^{v,v+1}}} + exp\left(\frac{E_{1}-E_{0}}{k\Theta_{1}} - \frac{2v\omega_{e}x_{e}}{k}\frac{hc}{kT}\right)$$
(20)

For vibrational levels beyond the Treanor minimum, the above expression v+1,v, v,v+1predicts a total inversion up to vibrational levels where $P_{V-T}/N_1P_{1,0}$ is O (1). The approximations in the above derivation are invalid near the region of the Treanor minimum because of errors resulting from small differences.

The fact that the present calculations for CO have predicted a small total inversion for only a very limited range of temperature conditions is related to the form of the V-V probability distribution used. v,v+1It is obvious that a reduction in the variation of $P_{1,0}$ with v will tend to favor the nonresonant collisions and should lead to a large inversion.

-19-

This is verified by the results in Fig. 4 where we have used the artificial probability distributions as shown which vary the ratio of near resonant to nonresonant probabilities. A strong inversion is evident at 325° translational temperature for the flat V-V probability distribution. Even for these artificial probability distributions near resonant collisions are important in the high vibrational levels. Thus the source is not linearly related to the drain and the inversion is limited by the V-V probability distribution. It should be emphasized that the V-V probabilities shown in Fig. 1 are in good agreement both in absolute magnitude and v dependence with the recent experimental data of Hancock and Smith. Bray's calculations of quasi steady state distributions in N₂ also predict a total inversion after the minimum of the Treanor distribution for a range of values of Θ_1^*/T . He assumed an approximate analytic variation of the V-V probabilities with vibrational level which is much flatter than the present probability distribution in CO.

B. T-V and Radiative Decay in Pure CO

Whereas the collisional exchange of energy between the vibrational and translational degrees of freedom is most significant at the higher vibrational levels, the decay of vibrational energy due to radiation is effective in all vibrational levels for an optically thin gas. In the present calculations the gas is assumed to be optically thin for all vibrationalrotational radiative transitions. This is valid in CO for pressures $\lesssim 0.1$ Torr at room temperature over a characteristic length of 1 cm for trapping of radiation. These conditions are typical of the electrically pumped CO lasers. The results of these calculations are relatively

- 20-



Fig. 4 CO vibrational distribution functions, neglecting radiative decay, for $\Theta_1^* = 2700^{\circ}$ K, T = 325°K and the artificial V-V probability distributions as shown. The V-T probability distribution used is that shown in Fig. 1.

-21-

insensitive to optical opacity in the first few vibrational levels because the population distribution is controlled by the fixed vibrational temperature Θ_1^{*} .

At low pressure, the radiation term in the master equation (6) becomes the dominant decay term. The effect of the radiative vs. V-T deactivation is shown in Fig. 5 as a function of the CO pressure. For the assumed conditions the distribution is controlled by radiative decay at pressures of the order of 0.1 Torr or less. Because of the distributed drain in energy due to the radiative decay, the slope of the vibrational distribution no longer varies linearly with v^{-1} after the minimum of the Treanor distribution.

The slope of the distribution in the high levels can be determined from particle flux considerations. At low pressures where the radiative decay is the dominant deactivation mechanism, the component of the flux in the forward direction becomes significantly more important than that in the backward direction and it follows that

$$\frac{N_{v}}{N_{v+1}} \simeq \frac{P_{rad}}{rad} / \sum_{i} N_{i} \frac{P_{i,i-1}}{P_{i,i-1}}$$
(21)

where the sum may be evaluated using Eq. (16) for the first few levels. Nonresonant collisions are more effective than near resonant collisions because of the low population densities in these high vibrational levels. v,v+1Thus the slope varies approximately inversely with $P_{1,0}$. It is evident from the above result that the high level population distribution depends critically on the assumed probability distribution when the radiation decay dominates.

-22-



Fig. 5 The effect of radiative decay as a function of the CO pressure on the CO vibrational distribution functions for $\theta_1^* = 2700^{\circ}$ K and T = 325°K. The curve labelled V-T would correspond to large CO pressures where radiative decay is unimportant.

C. Calculations for N₂: CO Mixtures

In the calculations discussed so far we have only considered pure CO and have determined the distribution for a fixed temperature, $\theta_1^{(3)}$, in the lowest levels. Examples of the more general case in which the source communicates with all vibrational levels are the N₂-CO mixing experiments in which the CO is a trace species and the "active" N₂ acts as an infinite source of vibrational energy. For comparison with this case we have assumed a fixed N₂ vibrational distribution and calculated the steady state distribution in CO as a result of energy transfer from the N₂ to the CO with subsequent intramode energy exchange as described in the preceding sections.

Because of the large energy difference between the first vibrational levels of N₂ and CO, (188 cm⁻¹), there is a considerable difference in their vibrational temperatures. For example, at a translational temperature of 325° K, the CO vibrational temperature of 2700° K may be maintained by a N₂ vibrational temperature of 1700° K according to Eq. (10). As a result of this low N₂ vibrational temperature, there is far less intramode pumping of vibrational quanta in N₂ than in CO. Thus, the N₂ vibrational distribution is quite close to a Boltzmann distribution at $\Theta_1^{\circ} = 1700^{\circ}$ K, 99% of the molecules and 92% of the vibrational quanta being in the first three levels. Therefore, the results are relatively insensitive to the high level N₂ distribution. For the present calculations we have used a Treanor distribution up to its minimum, this distribution being necessary to maintain V-V equilibrium between the anharmonic oscillators, ¹ followed by a constant population density in the high levels of N₂.

-24-

In Fig. 6 a comparison is made between the vibrational distribution calculated for 0.1 Torr pure CO and a mixture of N_2 :CO with partial pressures of 2.0 Torr and 0.1 Torr, respectively. In the pure CO case a vibrational temperature of 2700°K was chosen for the lowest vibrational levels whilst the mixture calculations assume a N_2 distribution which would pump CO to a temperature of 2700°K in the absence of any energy drain. In the mixture calculations, collisional communication is allowed between all levels of N_2 and CO. The mixture calculation leads to a vibrational distribution which is only slightly lower than the distribution in pure CO except at the high vibrational levels where the intramode pumping cannot keep up with the V-T energy loss.

There are two basic reasons for the difference between the two distributions. Firstly, the V-T deactivation in the high levels is larger than for the pure CO calculations because of the large N_2 faction. For the present calculations we have assumed that the probability of V-T deactivation of CO by N_2 is the same as for CO by CO as expected theoretically. To verify the effect of this increased V-T drain, we have repeated the pure CO calculation with the V-T rate increased by the mole fraction ratio. The result is shown in Fig. 6 and the effect of higher V-T rates is only evident at high levels. Secondly, the assumed N_2 vibrational temperature was obtained from the pumping formula (10) without taking the energy loss mechanism into account. It is this small but finite energy loss which reduces the population distribution and the vibrational temperature Θ_1^* at the lower vibrational levels. Thus to achieve a particular

-25-



Fig. 6 CO vibrational distribution functions for N₂-CO mixtures with a fixed N₂ distribution. ϕ_1^* is the vibrational temperature between the zeroth and first levels of the N₂ distribution. The partial pressures of CO and N₂ are 0.1 and 2.0 Torr, respectively, and T = 325° K.

vibrational temperature in the lowest levels of CO requires an N_2 vibrational temperature somewhat higher than predicted by Eq. (10).

For a harmonic oscillator model one can readily estimate the correction to the N₂ vibrational temperature as a function of the ratio τ_{V-V}/τ_{rad} when radiation is the dominant loss mechanism. This correction can be obtained from the energy relaxation Eq. (9). For time scales short compared to the V-T relaxation time, one can set the last two terms of Eq. (9) equal to zero in the steady state approximation. This is in agreement with the underlying concept in this work that the redistribution of vibrational energy occurs in time scales much shorter than the collisional deactivation, i. e. $\tau_{V-V} < \tau_{V-T}$. Then one can derive the following relationsh'p for the N₂ vibrational temperature in terms of the small parameter $\epsilon = \tau_{V-V}/\psi_{N_2}\tau_{rad}$ and the required vibrational temperature in CO

$$\frac{\Theta_{\rm CO}}{T_{\rm VCO}} - \frac{\Theta_{\rm N_2}}{T_{\rm VN_2}} + \frac{\Theta_{\rm N_2} - \Theta_{\rm CO}}{T} = \ln \left\{ 1 + \epsilon \left(1 - e^{-\Theta_{\rm N_2}/T} V N_2 \right) \right\}$$
(22)

For the sample calculation shown in Fig. 6 the correction to the N_2 vibrational temperature amounts to some 30° K. The corrected CO distribution is shown in Fig. 6 and is within a few percent of the pure CO distribution except for v > 30 where the increased V-T deactivation is important as described above.

It is evident from the similarity of the pure CO and mixture calculations, presented in Fig. 6, that the vibrational levels of N_2 communicate predominantly with the first vibrational level of CO for

- 27-

the chosen conditions and rates. This is due to the vibrational energy defect for transitions between the low levels of N_2 and higher levels in CO. The energy defect increases with vibrational level in CO. Conversely the higher levels of N_2 become more nearly resonant with the $v=1 \rightarrow v=0$ transition of CO and resonance occurs between the sixth and seventh levels of N_2 and the first and zeroth level of CO. Mixture calculations made with the restriction that the N_2 communicate only with the first and zeroth levels of CO agreed to within a few percent with the complete communication calculation for the assumed V-V rates. It should be noted that a sufficiently large increase in the N_2 -CO V-V rate would result in an increase in the high level population densities in CO as a result of some direct communication with the low levels in N_2 . However, a decrease in V-V rate with suitable correction to the N_2 temperature by means of Eq. (22) would not change the CO distribution since the N_2 would then only communicate with the lowest levels of CO.

Thus, the mixture calculations show that for typical N_2 -CO mixtures the distribution in CO is characterized mainly by the low level temperature Θ_1^* . The nonresonance in the V-V energy exchange between N_2 and CO results in the CO intramode exchange being much faster than the effective intermode exchange when corrected by the mole fraction ratio.

Comparison with Experimental Data

The currently available experimental data for the vibrational distribution in CO have been obtained in electrical N_2 -CO mixing experiments. The N_2 is first made vibrationally active by passage

-28-

through a RF discharge and subsequently undergoes V-V collisional exchange with CO which is mixed in small concentrations into the N₂ stream. In the experiments by Joeckle¹⁵ and by Horn and Oettinger, ¹⁷ the vibrational distribution in the CO is derived from the overtone emission spectrum. Legay¹⁸ obtained data for the vibrational distribution from v=7 to v=17 from gain measurements in an electrical N₂-CO mixing laser. In order to compare the experimental results with the steady state predictions, it must be assumed that quasi V-V equilibrium has been reached in the experiment. This was experimentally verified by Horn and Oettinger and is almost certainly true in the other two experiments in which the time after mixing is long compared with the CO V-V relaxation time.

All the calculations for comparison with the experimental vibrational distribution data have been obtained for pure CO with the appropriate Θ_1^* and due allowance made for the increased V-T deactivation due to the N₂. The pure CO calculation is justified in view of the mixture calculations in the preceding section which were made for similar mixtures of N₂ and CO. Furthermore, it is assumed that metastable electronic states in the excited N₂ play no role in the excitation of the CO. Figure 7 shows a comparison of the experimental data of Joeckle and the numerical results. Since Θ_1^* is not measured in the experiment, we have chosen Θ_1^* to provide the best fit of a Treanor distribution with the low level data making use of the experimentally determined translational temperature. Two numerical results are shown, the second being derived with twice the nominal V-V probability

-29-



Fig. 7 Comparison of experimental CO distribution (Ref. 15) with calculations for $\theta_1^* = 2500^{\circ}$ K, T = 350°K and $p_{CO} = 0.08$ Torr. The upper theoretical curve illustrates the effect of increasing the V-V probabilities by a factor of 2.

to demonstrate the sensitivity of low pressure data to the V-V rates. It is evident from the master equation that a change in the magnitude of the V-V probabilities is equivalent to a change in the CO pressure by the same amount. This can be significant at low pressures when the radiative decay is the dominant loss mechanism as in the present results.

The data of Horn and Oettinger are shown in Fig. 8 and correspond to lower pressures in CO and much higher vibrational temperatures. The numerical calculations have been made for two values of Θ_1^{*} for each pressure, the lower value corresponding to that determined by How and Oettinger in curve fitting a Treanor distribution to the first four vibrational levels. Their values of Θ_1^* are a lower estimate because of the low level deactivation due to the strong effect of radiative decay at the low pressures. This underestimate of Θ_1^* is evident in the improved fit of the data for the calculations at the higher values of Θ_1^* . These higher values of Θ_1^* were chosen to demonstrate the effect of low level radiative decay and are not necessarily the optimum values. The sensitivity of the calculations to the V-V probabilities is somewhat less than in the comparison in Fig. 7 because of the higher values of Θ_1^* . The experiment at $\Theta_1^* = 3500^{\circ}$ K has the largest mole fraction ratio and the highest N_2 temperature, approximately 2060[°]K in the lowest levels, of all the data considered. A mixture calculation for this extreme case agreed with the pure CO distribution calculation in the lower levels and was less than a factor of two larger in the higher levels.

Finally, we have the comparison with the measurements by Legay presented in Fig. 9. These measurements were made at a much higher

-31-



Fig. 8 Comparison of experimental CO distribution (Ref. 17) with calculations for $T = 350^{\circ}$ K. The lower curves are for values of Θ_1^{*} deduced in Ref. 17, and the upper curves show the effect of a small variation in Θ_1^{*} .

-32-



Fig. 9 CO distribution calculation compared with the experimental data of Ref. 18 for Θ_1^* = 3000°K, T = 325°K and p_{CO} = 0.3 Torr. The experimental data are limited to v≥7 as they were deduced from gain measurements in a N₂-CO laser which only operated above v=6.

-33-

CO pressure than the previous data and the calculations do not predict the high level decay which was observed experimentally. Although there is a possible large error in the estimated value of Θ_1^{*} , this will not greatly affect the high level population density variation because radiative effects are expected to be relatively small at 0.3 Torr as indicated in Fig. 5. There is no obvious explanation for the discrepancy between theory and experiment at the high vibrational levels. One possible reason for the apparent discrepancy in the high level data is the deactivation of these levels by O_2 which is present in the laser to maintain an equilibrium concentration in CO. The high vibrational levels in CO are resonant with the v=l to v=0 transition in O_2 . The experimental technique may also result in small inconsistencies in the data because it requires separate gain measurements for each pair of vibrational levels unlike the overtone spectrum which encompasses all vibrational levels. A reduction in the V-V rates would reduce the high level population, but this reduction is not suggested by the comparison with the other experimental data. It is also possible that the high level V-T probabilities have been underestimated in the present calculations.

We believe that the comparisons shown in Figs. 7 to 9 indicate reasonable agreement of the experimental data with the analytic model of vibrational energy transfer in CO and N_2 -CO mixtures.

-34-

IV. CONCLUSION

A model has been developed to calculate the steady state vibrational distribution of a diatomic gas under conditions of thermal nonequilibrium. Calculations have been made for the case of a binary gas mixture with one gas acting as an infinite source of energy for the other. It is shown that under suitable conditions the distributions may be well approximated by the assumption that the source of energy which maintains the distribution communicates only with the zeroth and first vibrational level. The model can readily be extended to predict the distributions in a binary mixture excited by an external source.¹⁹ An example of interest is the directly excited N₂-CO laser. The calculations include both collisional deactivation and radiative decay to account for the energy loss from the system. These decay processes prevent a large inversion in the upper vibrational levels which might otherwise occur as a result of intramode vibrational energy pumping due to the molecular anharmonicity. It is shown that radiative decay may be the dominant loss mechanism in low pressure N₂-CO experiments.

The numerical calculations are found to be in reasonably good agreement with the available experimental data all of which have been obtained in electrically excited N_2 -CO mixing systems. It is evident from the comparison with the experimental data that more detailed kinetic rate information is needed particularly for the high level collisional deactivation processes. Within that limitation the present model

-35-

gives a satisfactory account of the experimentally observed non Boltzmann distributions based solely on collisional exchange of vibrational energy and radiative decay.

On the basis of existing theories for the probability of collisional exchange, it would appear that near resonant intramode pumping will be an important if not the dominant mechanism for energy pumping. When near resonant collisions are the dominant intramode pumping mechanism, it has been shown that a total inversion is not possible unless the source can communicate directly with high vibrational levels by intermode pumping.

Under conditions where the near resonant collisions dominate and radiative decay is not important, some simple criteria have been derived to predict the distribution function. These predictions are based on knowledge of the translational temperature and the low level vibrational temperature which are more readily accessible to experimental measurement than the high level distributions. Similar prediction criteria have been derived for the case when radiative decay is the dominant energy loss.

Acknowledgment

The authors have enjoyed many stimulating discussions with Dr. C. A. Brau and Dr. J. D. Teare. The computation of the V-V probabilities in CO resulting from the long range dipole-dipole interaction was kindly supplied by Dr. R. D. Sharma.

-36-

REFERENCES

| 1, | C. E. Treanor, J. W. Rich, and R. G. Rehm, J. Chem. Phys. <u>48</u> , 1728 (1968). |
|-----|--|
| 2. | K. N. C. Bray, J. Phys. B: Atom. Molec. Phys. <u>1</u> , 705 (1968). |
| 3, | C. A. Brau, G. E. Caledonia, and R. E. Center, J. Chem Phys. 52 , 4306 (1970). |
| 4. | G. Herzberg, <u>Spectra of Diatomic Molecules</u> (van Nostrand, Princeton, New Jersey, 1950). |
| 5. | K. F. Herzfeld, and T. A. Litovitz, Absorption and Dispersion of Ultrasonic Waves (Academic Press, New York, 1959). |
| 6. | J. D. Teare, Seventh AGARD Colloquium (Oslo 1966). |
| 7. | C. E. Treanor, Molecular Vibrational Energy Distribution During Exchange Dominated Relaxation (Cornell Aero, Lab, Report AF-2184-A-1, 1966). |
| 8. | R. C. Millikan and D. R. White, J. Chem. Phys. <u>39</u> , 3209 (1963). |
| 9. | R. N. Schwartz, Z. Slawsky and K. F. Herzfeld, J. Chem. Phys. 20, 1591 (1952). |
| 10. | R. D. Sharma, and C. A. Brau, J. Chem. Phys. <u>50</u> , 924 (1969). |
| 11, | D. Rapp, J. Chem. Phys. <u>43</u> , 316 (1965). |
| 12. | G. Hancock and I. W. M. Smith, Chem. Phys. Letters 8, 41 (1971). |
| 13, | G. Hancock and I. W. M. Smith, Applied Optics 10, 1827 (1971). |
| 14. | N. Legay-Sommaire and F. Legay, Can. J. Phys. <u>48</u> , 1966 (1970) |
| 15, | R. Joeckle, and M. Peyron, J. Chim. Phys. <u>67</u> , 1175 (1970). |
| 16. | L. A. Young and W.J. Eachus. J. Chem. Phys. <u>45</u> , 2528 (1966). |
| 17. | K. P. Horn and P. E. Oettinger, J. Chem. Phys. <u>54</u> , 3040 (1971). |
| 18, | F. Legay, N. Legay-Sommaire and G. Taieb, Can. J. Phys. <u>48</u> , 1949 (1970). |
| 19. | R. E. Center and G. E. Caledonia, in press, App. Phys. Letters. |

- 37-