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BIOPHYSICAL MECHANISMS AND SCALING PROCEDURES APPLICABLE IN ASSESSING RESPONSES OF THE THORAX ENERGIZED BY AIR-BLAST OVERPRESSURES OR BY NON-PENETRATING MISSILES

I. G. Bowen, E. R. Fletcher, D. R. Richmond, F. G. Hirsch and C. S. White

> Technical Progress Report on Contract No. DA-49-146-XZ-372

This work, an aspect of investigations dealing with the Biological Effects of Blast from Bombs, was supported by the Defense Atomic Support Agency of the Department of Defense.

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Lovelace Foundation for Medical Education and Research Albuquerque, New Mexico

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FOREWORD

This report contains the results of efforts to understand some of the biophysical mechanisms involved in the response of mammals to air-blast overpressures and to impact of non-penetrating missiles on the chest wall. One of the important aims of these studies is a more complete understanding of the response data obtained with mammals so that reasonable predictions can be made for the response of man.

The empirical data and interpretative material included in this report represent a portion of the results of an ongoing, long-term program aimed at clarifying the biological effects of blast-induced phenomena and assessing the consequences of exposure thereto.

This report was summarized on October 6, 1966, before a symposium on the Biological Effects of Air Blast at the National Academy of Sciences sponsored by the NAS-NRC Committee on Hearing, Bioacoustics, and Biomechanics, "CHABA". On October 11, 1966, that portion of the report concerned with the effects of air-blast overpressures was presented at the New York Academy of Sciences conference on Prevention of and Protection against Accidental Explosion of Munitions, Fuels, and other Hazardous Mixtures. The entire report was submitted for inclusion in the Annals of the New York Academy of Sciences.

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ABSTRACT

A mathematical model was described which was devised to study the dynamic response of the thorax of mammals to rapid changes in environmental pressure and to non-penetrating missiles impacting the rib cage near the mid-lateral point of the right or left thorax. Scaling procedures for Similar animals were described relating, for a given degree of damage, the body mass of the animal to various parameters describing the exposure "dose."

Internal pressures computed with the model for a dog exposed at the end plate of a shock-tube were compared to those measured with a pressure transducer inserted in the esophagus down to the level of the heart.

Computed time-displacement histories of missiles following impact with the right side of the thorax were compared to those obtained experimentally by means of high-speed motion picture photography. High internal pressures predicted with the model for non-penetrating impact were compared to those obtained experimentally and theoretically for exposure to air blast.

Experimental data were presented arbitrarily assessing lung damage in animals struck by non-penetrating missiles (constant impact area) as a function of missile mass and impact velocity. These data were compared for several missile mass-velocity combinations with those computed using the mathematical model. Similarities in the dynamic responses of the thorax to air blast and to non-penetrating missiles were discussed.

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ACKNOWLEDGMENTS

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Appreciation is expressed to Robert A. Smith, E. Joyce Blaine, and Martin J. Schortje, Jr. who prepared the illustrative material; and to Betty Lee Sanders and Martha E. Mitchell for editorial and secretarial assistance.

Though the immediate work of the present study was supported by the Defense Atomic Support Agency of the Department of Defense, the experimental data for the non-penetrating missile study were obtained from a program supported by the Division of Biology and Medicine of the U. S. Atomic Energy Commission.

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BIOPHYSICAL MECHANISMS AND SCALING PROCEDURES APPLICABLE IN ASSESSING RESPONSES OF THE THORAX ENERGIZED BY AIR-BLAST OVERPRESSURES OR BY NON-PENETRATING MISSILES

I. G. Bowen, E. R. Fletcher, D. R. Richmond, F. G. Hirsch and C. S. White

Introduction

During the Second World War, Schardin (1950) in Germany showed that the mortality curves for animals exposed to air blast produced by high explosives were similar to characteristic destruction curves for window glass mounted in suitable frames and exposed to similar blast waves; i.e., he demonstrated for both glass panes and for animals that the criterion for damage due to exposure to blast waves of "short" duration is overpressure impulse, or momentum, while overpressure per se is the determining factor if the duration of the wave is "long." The destruction curve for window panes was not surprising since the panes clearly were spring-mass systems which would break at a critical deflection and the "short" and "long" describing the duration of the waves could be related to the natural period of the oscillating system. Nevertheless, Schardin was unwilling to state unequivocally that the animal body acted as a spring-mass system when exposed to blast waves. He did write that "very definite phenomena must be responsible for the killing of an animal by shock wave. " It was some time later that Clemedson and Jönsson (1961) and Richmond et al. (unpublished data)* measured intrathoracic pressures in animals subjected to air blast and thus produced experimental evidence of an oscillating system.

The mathematical model presented herein is a revision of one previously developed to simulate the thoraco-abdominal system for studies in blast biology (Bowen et al., 1965). The principal changes incorporated into the revised model are (1) individual consideration of the two lungs, each possessing a chest-wall piston and separated from each other by a piston representing the mediastinal tissue between the lungs, (2) provision for loading not only by external pressure changes but also by impact of a non-penetrating missile with one chest wall, (3) deletion of a piston representing the abdomen. The abdominal piston was omitted not just for simplicity but because reported acoustic data indicates

^{*}Data for rabbits were used in a previous report (Bowen et al., 1965).

that the motion of the abdomen is much slower than that of the chest wall, the resonant frequency of the abdomen being approximately 1/20 of that of the chest (von Gierke, 1964).

The model study can be divided into two distinct categories. The first is aimed at a more complete understanding of the gross biophysical mechanisms taking place within the thorax subjected to blast or missileproduced trauma, this being accomplished through numerical solution of the model for various situations. The second is application of the derived scaling relations (assuming similarity of mammalian species) to experimental data making it possible (1) to infer similarity or dissimilarity of various species, and (2) to project, or scale, the experimental results obtained with one or more species to be applicable to the human case.

The Mathematical Model

The mathematical model illustrated in FIGURE 1 is a modified spring-mass system designed to simulate the gross fluid-mechanical responses of the thorax to rapid changes in environmental pressure or to non-penetrating impact of a missile with the chest. Note that the motions of the three pistons (the outside ones representing the chest walls and the middle one the tissue between the lungs) are constrained by springs simulating tissue elasticity and by dash pots simulating frictional effects. The simple orifices of each of the chambers are used to simulate the more complex airways of the mammal.

Provision was made to load each of the chest-wall pistons with arbitrary pressure-time profiles, P_1 (t) and P_2 (t), and the two orifices can be loaded with a third function, P_3 ' (t). For non-penetrating missile studies, one of the chest-wall pistons can be activated with the impact of a missile with specified mass and initial velocity. The spring constant K4 and damping factor J4 associated with missile impact are actually parameters mostly determined by properties of the chest wall, e.g., area density, elasticity.

Equations describing the model were derived and programmed for solution on a digital computer, the general procedures being similar to those previously reported (Bowen et al., 1965). The new program, however, incorporates a more accurate air flow analysis and, in general, is more versatile.*

Scaling Relations

Scaling equations appropriate to blast biology were derived using the technique of dimensional analysis and the assumption of similarity of

*A more c plete description of model program will be given in a subsequent 1_{t_1} t.



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mammalian species; i.e., similarity of shape of the body structure and equivalen⁺ distributions of tissue mass and elasticity (Bowen et al., 1965). The equations are summarized below:

$\underline{\mathbf{R}} = \mathbf{K}_{\mathbf{l}}$	(1)
and	
$P/P_o = K_2$	(2)
if	
$t P_0^{1/2} / m_1^{1/3} = K_3$	(3)
and	
$P'/P_o = K_4$	(4)
Constants	
Any nondimensional index of biological response, e.g., percent mortality or percent increase in lung mass.	

- Any characteristic pressure of the pulse occurring in the lungs, such as peak pressure.
- P : Ambient pressure.

K's :

:

R

- t : Any characteristic time of blast waves of similar shape, or of the internal pressure waves; e.g., duration of the blast wave, time to reach maximum intrathoracic overpressure.
- m : Body mass of similar animals.
- P' : Any characteristic pressure of blast waves of similar shape, e.g., peak pressure.

In order to derive the simplified scaling equations listed above, it was necessary to make certain approximations — the most significant of which involves the damping factor J and the ambient pressure P_0 . The more accurate scaling requires that J be proportional to $P_0^{1/2}$ Since, in fact, J does not increase with P_0 , the ratio P'/P_0 for a given biological response would be expected to decrease as the ambient pressure increases. This effect, although not great, has been noted experimentally (Damon et al., 1963 and 1966a).

Since much of the experimental data in primary blast biology is obtained with high explosives, it is worthwhile to combine the biological and weapons scaling procedures. In conventional weapons scaling,

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$$P'/P_{o} = K_{4}$$
⁽⁴⁾

and

$$t P_0^{1/3} / W^{1/3} = K_5$$
 (5)

if

$$R P_0^{1/3} / W^{1/3} = K_6$$
 (6)

where W and R are weapon yield and range, or distance, from the explosion, respectively, and the K's are constants. Thus, combining Eqs. (3) and (5),

$$W P_0^{1/2} / m = K_3^3 / K_5^3 = K_7$$
 (7)

and combining Eqs. (3), (5), and (6),

$$R P_0^{1/2} / m^{1/3} = K_3 K_6 / K_5 = K_8.$$
 (8)

An extension of the analysis to include non-penetrating missiles results in the following:

$$v_o / P_o^{1/2} = K_g$$
 (9)

$$M/m = K_{10}$$
 (10)

$$A/m^{2/3} = K_{11}$$
 (11)

 v_0 : Impact velocity of the missile.

M : Mass of the missile.

A : Area of impact of the missile.

Measured and Computed Intrathoracic Overpressures

Parameters listed in TABLE 1 for a 10-kg dog were used in the model to simulate an experiment in which a dog was placed at the endplate of a shock tube, as illustrated in FIGURE 2, and subjected to a reflected blast wave of about 48-psi overpressure (Richmond et al., unpublished data). A pressure transducer was placed in the esophagus near the bifurcation of the trachea to measure intrathoracic pressure versus time.

Although side-on overpressure was measured near the end-plate, it was necessary for the model study to make different estimates of the pressure profiles loading the right and left chest walls. Criteria used for this purpose were obtained, in part, from a model study in which rectangular blocks were loaded with blast waves of various magnitudes (Iwanski et al., 1957). The thorax of the dog was approximated as a

TABLE 1. NOMINAL VALUES OF MODEL PARAMETERS FOR A 10-KG DOG AND BODY MASS SCALING FACTORS^{*}

		10-kg Do)g	Scaling Fa	ctors
		Blast	Missile	Blast	Missile**
A ₁ ,	cm ²	131	90	$(m/10)^{2/3}$	
Å ₂ ,	cm ²	94.6	94.6	$(m/10)^{2/3}$	$(m/10)^{2/3}$
А ₃ ,	cm ²	59.1	59.1	(m/10) ^{2/3}	$(m/10)^{2/3}$
м ₁ ,	g	168	90.5	(m/10)	$(m/10)^{1/3}$
м ₂ ,	g	122	122	(m./10)	(m/10)
м ₃ ,	g	72.4	72.4	(m/10)	(m/10)
J ₁ ,	dyne-sec/cm	1.10×10^{5}	3.09×10^4	$(m/10)^{2/3}$	$(m/10)^{2/3}$
J ₂ ,	dyne-sec/cm	8.02×10^4	8.02×10^4	$(m/10)^{2/3}$	$(m/10)^{2/3}$
J ₃ ,	dyne-sec/cm	1.56×10^4	1.56×10^4	$(m/10)^{2/3}$	$(m/10)^{2/3}$
к ₁ ,	dyne/cm	3.00×10^{5}	8.76 x 10^4	$(m/10)^{1/3}$	$(m/10)^{1/3}$
к ₂ ,	dyne/cm	2.17×10^{5}	2.17×10^{5}	$(m/10)^{1/3}$	$(m/10)^{1/3}$
к ₃ ,	dyne/cm	1.11×10^{5}	1.11×10^5	(m/10) ^{1/3}	$(m/10)^{1/3}$
v ₁ ,	cm ³	150	150	(m/10)	(m/10)
v ₂ ,	cm^3	108	108	(m/10)	(m/10)
A¦, A	$\frac{1}{2}$ cm ²	2.19×10^{-2}	2.19×10^{-2}	$(m/10)^{2/3}$	$(m/10)^{2/3}$
J ₄ ,	dyne-sec/cm		2. 27 x 10^5		$(m/10)^{2/3}$
к ₄ ,	dyne/cm ^{1.63}		5.66 x 10^5		$(m/10)^{1/3}$

m: body mass, kg

*Methods used to evaluate the model parameters are described in the Appendix.

**In the experiments the impact area of the missile was not scaled with the body mass of the dog as required by Eq. (11); thus, most of the remaining scaling relations for missile impact are uncertain.





long block 7.15 inches high and 5.84 inches wide, perpendicular and parallel to the direction of shock travel, respectively, with flow occurring over and under the block.

Note in FIGURE 2 that the right side of the thorax is assumed to be loaded initially with the full reflected overpressure which decays because of flow to the incident overpressure plus dynamic pressure. A short time later the reflected blast wave arrives from the end-plate, after which the thorax is completely engulfed in stagnated air. The left side of the thorax is assumed to be loaded instantaneously with the reflected overpressure when the incident wave reaches the end-plate.

Even though the right lung was loaded first, because of the steplike nature of the loading function the computed peak intrathoracic overpressure was lower, and later in occurring, in this lung than in the left one. This result is in agreement with observations that similarly exposed mammals received more damage in the lungs nearest the end-plate than in the other (Richmond et al., unpublished data).

The intrathoracic overpressure measured in the esophagus peaked at 257 psi in about the same time as that computed for the left lung. The peak overpressure computed for the left lung was higher (298 psi) and that for the right lung was lower (214 psi) than the measured value, pointing to uncertainties in the interpretation of intrathoracic pressures measured in the esophagus between the lungs.

Sensitivity of Computed Response to Model Parameters

Because of the complex nature of the interactions of various mechanisms involved in the implosion process, it is very difficult to predict the significance of various animal parameters on the response of the animal to blast. In the study described below, peak intrathoracic overpressures were computed for one blast situation, individually varying six sets of model parameters. The results are compared with peak intrathoracic overpressures computed, using nominal parameters, for several blast waves for which animai response has been determined experimentally.

The blast situation used is the same one described in the last section for a 10-kg dog placed at the end-plate of a shock tube. FIGURE 3 (left) contains a plot of the average computed peak intrathoracic overpressure as a function of the indicated parameters varying between 60 and 140 percent of their nominal values listed in TABLE 1. These results can be related to the intrathoracic overpressures shown on the right side of FIGURE 3 computed, using nominal animal parameters, for blast waves corresponding to various levels of 24-hr mortality (Richmond et al., 1966a).

The computed results show that intrathoracic pressures are most sensitive to changes in the damping factors; a 40 percent increase in the J parameters, representing an increased frictional loss, results in a decrease in predicted mortality from 50 to 22 percent. An increase in

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A summary and and

volume increases the distance of piston travel to achieve a given volume change, resulting in increased frictional, or viscous, losses and reduced internal pressures. Conversely, an increase in piston area reduces the distance traveled and results in an increased internal pressure. An increase in mass results in the piston attaining the same momentum at a lower velocity. Since frictional forces are proportional to velocity, the resulting internal pressures are higher.

It is noteworthy that the response of the model is practically unaffected by ± 40 percent changes in the spring constant and orifice area parameters. This would suggest (a) that the structural strength of the thorax is small relative to the forces involved in blast and (b) that insignificant amounts of air are transported to and from the lungs during the short times involved in the blast experience.

Computed Response to Free-Stream Exposure

The blast situation examined in the previous sections was that of the animal placed at the end-plate of a shock tube. Consider now the situation where the animal is in the free-stream with its right side facing the oncoming blast wave. Theoretical studies of the free-stream situation were made with the 10-kg dog parameters (TABLE 1) and blast waves of "long" and "short" duration. Loading of the right and left sides of the thorax was estimated in a manner similar to that previously described for a dog at the end-plate of the shock tube using the criteria of Iwanski et al. (1957).

Four blast waves of "long" duration (no pressure decay in the first three msec) were used whose incident overpressure plus dynamic pressure equal 47.9, 50, 52, and 54 psi (ambient pressure: 12 psi). The loading functions estimated for the 47.9-psi wave and the computed intrathoracic overpressures are plotted in FIGURE 4 (left). The peak lung pressures computed for the remaining blast waves are shown in the same graph. Note that blast waves with incident plus dynamic pressures between 52 and 54 psi result in approximately the same average peak lung pressures as that computed for 50 percent mortality (250 psi) shown in FIGURE 3. It is also noteworthy that the computed pressures for the left lung are somewhat higher than those for the right lung even though the assumed blast loading for the right lung is considerably higher.

The "short"-duration blast wave has an incident overpressure of 48 psi and duration of two msec, the shapes of the overpressure and dynamic pressue waves being determined from numerical studies of TNT blast waves (Brode, 1957). In this instance, the estimated loading for the right lung is much greater than that for the left and consequently the right lung is subjected to higher compression. The average of the peak overpressure computed for the right and left lung is 252 psi, approximately that shown in FIGURE 3 for 50 percent mortality.

No direct comparison can be made at the present time between the computed results of this section and published experimental data. However, unpublished results of free-stream experiments, referred to by



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Richmond et al. (1966a), are pertinent to the problem. Guinea pigs were exposed broadside at the end-plate of a shock tube and in various orientations in the free-stream, i.e., no end-plate. For broadside prone exposures in the free-stream, it was reported that the 50 percent lethal condition was attained if the sum of the incident overpressure and the dynamic pressure was approximately equal to the reflected overpressure killing 50 percent of the animals exposed at the end-plate. The computed results for the "long"-duration wave (FIG-URE 4, left) are approximately consistent with this criteria.

Body Mass Scaling Applied to Step-Load Data

Small animals were placed in wide-mesh cages and exposed side-on at the end-plate of a shock tube and at various distances up to 12 inches away from it (Richmond et al., 1959). An attempt was made to produce incident and reflected shock waves of constant magnitude by using approximately the same overpressure in the compression chamber. The reflected overpressures measured for the entire series of shots varied between 48 and 56 psi, the average being 52.1 psi. The corresponding average of the incident shock overpressures was between 17 and 18 psi, spanning the 17.2-psi shock that theoretically would produce a reflected wave of 52.1 psi for the conditions of perfect reflection at normal incidence and an ambient pressure of 12 psi.

Animal mortality was reported for the mouse, rat, guinea pig, and rabbit as a function of distance from the end-plate. All animals placed against the end-plate were killed but the mortality showed a decline as the distance from the end-plate was increased — the distance necessary to afford a given degree of protection being greater for the larger animals than that for the smaller ones.

The experimental mortality data are plotted in FIGURE 5 (left) as a function of time between incident and reflected shocks using the center of the animal cage as the reference point. As noted above, the magnitude of the pressure steps were, on the average, the same for the entire series of experiments; therefore, the scaling relationship expressed in Eq. (4) is satisfied. According to Eq. (3) the time scaling would be accomplished if the time between the steps were proportional to the cube root of body mass. These concepts are tested in FIGURE 5 (right) in which mortality is plotted as a function of scaled time. Although discrepancies are observed in the guinea pig response to the blast waves with the longer times between steps, the data in general can be represented by a single line instead of one for each species.

A significant result of the analysis described above is that it supplies evidence of similarity of the four species of animals insofar as response to blast exposure is concerned. However, no indication was given of the biophysical mechanisms involved in the step-load experience. A previous study (Bowen et al., 1965) indicated that the animal's response to the first step effectively establishes a higher local ambient pressure which helps to protect it from the second step. Qualitatively, this concept is in agreement with the ambient pressure scaling relation expressed in Eq. (4).

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 t_s : Time between incident (17.2 psi) and reflected (52.1 psi) shocks using the center of the animal cage as the reference point

m : Animal body mass





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Combined Biological and Explosives Scaling

The scaling relations of Eqs. (7) and (8) are graphically illustrated in FIGURE 6 for overhead charges and a given ambient pressure. Note that all linear dimensions of the second and third blast situations portrayed are increased by factors of two and three, respectively, of the corresponding ones in the first case. The peak reflected overpressures experienced by each of the three animals are the same, but the durations of the blast waves are proportional to the animal body length or to the cube root of the body mass.

To test the validity of the scaling procedures illustrated in FIG-URE 6, high-explosive mortality data (Richmond and White, 1962, and Richmond et al., unpublished data) were translated into heights of burst and TNT charge weights using blast data for Pentolite (Goodman, 1960) assuming that 1.1 lbs of TNT is equivalent to 1 lb of Pentolite. The computed charge weights and the heights of burst were then normalized to animal body mass and to the cube root of body mass, respectively. The resulting data plotted in FIGURE 7 indicate that the points for the small animals (mouse, rat, guinea pig, and rabbit) determine one line and that those for the larger animals (monkey, dog, goat, sheep, and swine) determine another.

Lines of constant scaled impulse and of constant maximum overpressure are also plotted in FIGURE 7. As noted by Schardin (1950) for single species of mammals, each of the tolerance curves for the grouped species approaches a constant scaled impulse for small charges, and durations, and approaches a constant maximum overpressure for larger charges.

The above indicates a degree of animal similarity within each of two groups. Published data (Crosfill and Widdicombe, 1961) which are plotted in FIGURE 8 present some evidence of the differences between the two groups of species. Note that the lung volumes, normalized to body mass, of the larger species (monkey, cat, dog, and man) are approximately three times as large as those for the smaller species (mouse, ra:, guinea pig, and rabbit). On the other hand, the densities of the lungs of the larger species are only about one-half those of the smaller.

Analysis of Pressure-Duration Data

Experimental data used in this study were obtained by exposing experimental animals near a reflecting surface to shock-tube and highexplosive generated blast waves (Richmond and White, 1962; Richmond et al., 1966; Damon et al., 1966b; Richmond et al., unpublished data). The reflected overpressure and wave duration data reported are applicable to 50 percent mortality in 24 hours and to an ambient pressure of 12 psi.





Figure 7. A test of the scaling procedures illustrated in FIGURE 6.



Figure 8. Average lung volume per body mass and average lung density as functions of body mass for 8 species of mammals. Data from Crosfill and Widdicombe (1961).

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Equations (3) and (4) were used to scale the individual overpressureduration combinations obtained in the experimental studies to be applicable to a 70-kg mammal and to 14.7-psi ambient pressure. The logarithm of maximum overpressure was then plotted as a function of reciprocal duration of the blast wave as illustrated in FIGURE 9. The plotted datum points tend to fall along straight lines separating the mammalian species into two groups: (1) mouse, hamster, rat, guinea pig, and rabbit; (2) cat, monkey, dog, goat, sheep, swine, and cattle. Although more species are included in the present study, the group divisions are consistent with those previously shown in FIGURE 7 for the high-explosive experiments.

Results of least-squares analysis are presented in FIGURE 9 for each group of species. Although considerable scatter appears in the data of both groups, the small-animals points show nore internal consistency than do those for the large-animal group. The standard error of estimate in overpressure is approximately 10.6 percent for the small animals and 12.4 percent for the large. Although a judgment is premature at this time, the single monkey point suggests that primates may have higher blast tolerance than other Orders of Mammalia tested.

Partial Impulse Analysis

Maximum compression of the lungs typically occurs very soon after arrival of the blast wave as illustrated in FIGURE 2 for a 10-kg dog. The question now to be investigated is whether or not the data presented in FIGURE 9 can be used to estimate a characteristic time, t_0 , during which the blast wave causes the most significant response of the thorax, maximum compression. The second and more important phase of the problem, however, is to determine an invarient parameter, and its magnitude, with which to describe the blast wave during time t_0 . As mentioned in the introduction (Schardin, 1950) and illustrated in FIGURE 7, the important blast parameter for waves of "short" duration is impulse. Thus, a reasonable procedure would be to relate the magnitude of this impulse to that of the impulse occurring during time, t_0 , for blast waves of any duration. The latter has been called partial impulse, I_0 .

The trial-and-error methods used to test the above hypothesis are not dependent on a prior knowledge of the magnitude of the impulse applicable to "short"-duration waves. It is necessary, however, to use data for a wide range of durations.

The first step in the procedure was to approximate the pressuretime relation for all blast waves with

$$P = P_{m} (1 - t / t_{\perp}) \exp(-n t / t_{\perp})$$
(12)

where P is overpressure, P_m maximum overpressure, t time, t₊ duration of the wave, and n is a function of P_m and ambient pressure. Values of n were determined from data for Pentolite (Goodman, 1960).

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near a reflecting surface to shock-tube and high-explosive generated The experimental data were obtained by exposing animals data scaled to a body mass of 70-kg and to an ambient pressure of blasi waves. 14.7 psi.

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Equation (12) was integrated to time, t_0 , to obtain partial impulse, I_0 .

 $I_{o} = P_{m} t_{+} / n^{2} \left[\left\{ exp \left(-n t_{o} / t_{+} \right) \right\} \left(n t_{o} / t_{+} + 1 - n \right) + n - 1 \right]$ (13)

The next step was trial and error in nature and consisted of using Eq. (13) to determine maximum overpressures and durations of blast waves having given values of t_0 and I_0 to determine curves similar to A and B in FIGURE 9. In general, the value of t_0 determines the slope of the curve and the value of I_0 its vertical displacement. Several trials were made until parameters were found resulting in curves A and B (FIGURE 9) which closely approximate the regression lines for the large and small mammals, respectively.

The characteristic time found using the large animal data is 2.1 msec applicable to the 70-kg mammal and 14.7-psi ambient pressure (FIGURE 9). This time scaled to a 10-kg mammal and 12-psi ambient pressure is 1.22 msec. Referring to the intrathoracic overpressure data plotted in FIGURE 2, the characteristic time of 1.22 msec is approximately the time between arrival of the blast wave at the end-plate and peak intrathoracic overpressure, 1.11 msec, and also near the time between arrival of the blast one-half animal width from the end-plate and peak intrathoracic overpressure, 1.25 msec.

The implication of the above analysis is that the response of the mammal is determined by the magnitude of the impulse occurring within a characteristic time. It should be remembered, however, that the experimental data were obtained with "fast"-rising pressure pulses in a reflecting blast situation and for 50 percent lethality. The characteristic time and partial impulse would probably be different for other levels of response and also for other blast situations, e.g., step loading or free stream.

Estimates of Man's Tolerance to Air Blast

The experimental data analyzed in the two previous sections and plotted in FIGURE 9 were scaled to an ambient pressure of 14.7 psi and to a body mass of 70 kg – approximately that of man. A question arises regarding the applicability of the data in estimating human tolerance to air blast, particularly since two sets of divergent criteria were derived – one using the small-animal, and the other the large-animal data. There is no firm assurance than man's response to blast is similar to that of either group; however, two types of evidence are available which indicate that it is probably more similar to that of the large mammals than to that of the small. The first is the physiological data (Crosfill and Widdicombe, 1961) plotted in FIGURE 8 and the second is an estimate of man's tolerance, described below, which was based on human data (Desaga, 1950).

During World War II eight individuals located in an open-topped anti-aircraft gun emplacement were exposed to air blast following the detonation of a 2000-1b bomb. All except one of the exposed men were injured, two fatally. The fatal cases were located near a corner where pressure reflection occurred. Desaga estimated the incident overpressure to be 57 psi, with reflection to a maximum of 235 psi. It is not possible now to say how much time may have elapsed between the occurence of the incident and reflected waves at the location of the fatally injured men. There may well have been a delay of the order of 2 msec, a circumstance that could have increased tolerance significantly. It was noted that one of the fatally injured individuals also suffered a fractured skull. Even so, the condition of the lungs described was typical of primary blast injury and no doubt contributed mostly to death which occurred about 45 minutes after exposure in each case.

Making use of these data, Desaga (1950) estimated that the "lethal limit" for man was 100 psi for a charge weight of 550 kg. Data presented elsewhere in the report were used to estimate a pulse duration of 6.6 msec. The point in FIGURE 9 representing these data falls on the tolerance line derived from the data for the large mammals; however, interpretation of "lethal limit" results in 100 psi being the lowest overpressure at which Desaga estimated that lethality would occur — not 50 percent lethality.

It is also instructive to consider previous estimates of man's tolerance to blast which were based on animal data. An early estimate (Fisher et al., 1941) was derived from data obtained with mice, guinea pigs, rabbits, monkeys, and goats. The animals were exposed tail-on to charges detonated at ground level. The goats were restrained with rope netting and the other animals were exposed in wire cages. Extrapolation of the experimental data to 60- and 80-kg mammals predicted 50 percent lethal overpressures of 392 and 460 psi, respectively, applicable to pulse durations ranging from 1 to 3 msec. The overpressures are plotted in the upper left corner of FIGURE 9 as dotted lines. It was not feasible to extend the lines in the chart to the appropriate duration limits; however, it is apparent that these estimates are in agreement with extrapolated tolerance line derived from the large animal data.

Next to be considered are estimates based on mouse, rat, guinea pig, rabbit, dog, and goat exposures which were similar to those of the present study (Richmond and White, 1962; White et al., 1964; White et al., 1965). Body mass extrapolation of these data to a 70-kg mammal yielded the tolerance data listed in TABLE 2. Agreement between these estimates and those of the present study is good for the "long"-duration waves; however, considerably higher tolerances were predicted for the "short"-duration waves.

Previous estimates of the tolerance of a 70-kg mammal were made by employing the same scaling techniques and the same dog and goat data as those used in the present study (Bowen et al., 1965; White et al., 1965). These estimates, listed in TABLE 2, show reasonable agreement with the current estimates derived from data obtained with seven species, the greater deviations occurring for the shorter durations; e.g., 18 percent at 1.73 msec, and 10 percent at 2 msec.

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TABLE 2.A SUMMARY OF VARIOUS ESTIMATES OFMAN'S TOLERANCE TO AIR BLAST

Tabulated overpressures in psi correspond to 50 percent mortality except where noted. Ambient pressure: 14.7 psi

Duration msec	Present study	Richmond et al., 1966b	Bowen et al., 1965	Richmond & White, 1962	Fisher et al., 1941	Desaga, 1950
1-3	416*		340*	1000*	392-469**	
2	321		290			
3	184	159-220	188	528		
5	118		120	227		
6.6	100		98	168		100***
10	84.5		79	120		
20	71.5		64	87		
30	67.6		62	78		
60	64.0		62	71		
400	61.0	58,3	62	64		

*Extrapolated to 1.73 msec.

**Lower and higher overpressures apply to 60- and 80-kg mammals, respectively.

***"Lethal limit" overpressure defined as lowest overpressure at which mortality occurs.

The other estimates to be considered were made with essentially the same data as those used in the present study (Richmond et al., 1966b). Using tolerance data for nine species of mammals varying in size from the mouse to the swine, body mass extrapolation yielded predictions of 50 percent lethal overpressures for man ranging between 130 and 180 psi for wave durations of 3 msec and an ambient pressure of 12 psi. These overpressures scaled to 14.7-psi ambient pressure, 159-220 psi, bracket the estimate of the present study, 184 psi. By similar methods, an estimate of 47.6 psi was obtained for man exposed to "long"-duration waves. This overpressure scaled to 14.7 psi ambient pressure, 58.3 psi, agrees well with the current estimate listed in TABLE 2 for a duration of 400 msec, 61.0 psi.

Non-penetrating Missile Experiments

The experimental arrangement for the non-penetrating missile studies is illustrated in FIGURE 10. The air gun used in these experiments has been described previously (Bowen et al., 1956). Note the light beams and photocells placed one foot apart near the end of the barrel. An electronic timer was started and stopped by the missile interrupting the first and second light beams, respectively. The elapsed time was used to determine the impact velocity of the missile.

A cup-shaped missile was constructed of aluminum in such a way that its mass could be varied. Impact occurred on the flat circular end whose diameter was 7 cm. The least massive missile used was a cylindrical piece of balsa wood with an aluminum plate cemented to the end impacting the dog.

A grid placed below the missile trajectory served as a background for pictures taken by a high-speed motion picture camera (Fastex) located about eight feet directly above the end of the barrel.

The pendulum-like mount for the dog had a radius of motion of about 10 ft. The underside of the dog was supported by a shallow channel about four inches wide which was contoured to fit the neck and head. The experimental animals were anesthetized and positioned so that impact would occur with the rib cage near the mid-lateral point of the right side of the thorax.

Missile Time-Displacement Study

Motion picture records made in the experiments described above were used to determine the positions of the missile on successive frames taken at the rate of 6 - 12 per msec. Readings were made just prior to impact to determine impact velocity and for several msec afterwards.

Velocity and acceleration of the missile as a function of time were determined by a moving spline method (Jordan, 1965) assuming acceleration to be constant over each time interval (.08 - .17 msec) and

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Experimental arrangement for the non-penetrating missile studies. Figure 10.

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velocity to vary linearly with time in the same interval. A second degree polynominal was fitted by least squares to the first three displacement points, forcing the curve to pass through the initial point with a predetermined initial slope (velocity). The first and second derivatives of the fitted polynominal were used to compute velocity at the end of the first time step and the average acceleration during the first interval. Moving forward one time interval, the procedure was repeated making use of the previously computed displacement and velocity to force the initial displacement and slope of the new fit. This method, while producing some smoothing of the raw data, did not obscure significant features of the time-displacement curve as did other methods which were tried.

The plotted points in FIGURES 11 and 12 illustrate graphically the results of two time-displacement analyses. Note in each case that the missile velocity decreased rapidly for about 0.4 msec corresponding to the time necessary for a section of the chest wall to attain the same velocity as the missile. The next marked decrease in missile velocity occurred from about .7 to 1.2 msec, evidently corresponding to the buildup of gaseous pressure in the right lung due to the inward motion of a portion of the right chest wall.

The experiments described above were simulated with the model using, except where noted, the model parameters listed in TABLE 1 scaled to the appropriate body mass. Two model solutions are shown in FIGURE 11 for experiment M50. The first, was made with the nominal value of lung volume for a 17.7-kg dog (457 cm³) and resulted in appreciable deviation, after one msec, of the computed displacements from the measured ones. The second solution was obtained with a lung volume 75 percent of its nominal value. In this instance, the computed displacement curve agrees well with the measured points. The method used to support the anesthetized dogs illustrated in FIGURE 10 may have caused part of the abdominal contents to move into the chest cavity, reducing the lung volume. Although information directly applicable to this situation could not be obtained, Lim and Luft (1959) measured functional residual capacities of human subjects in the supine position that were 65 - 70 percent of those measured when the subjects were standing erect.

As mentioned above, the displacements computed with a reduced lung volume are reasonably consistent with the measured points (FIG-URE 11). The computed velocity and deceleration curves agree reasonably well at the beginning and the end of the two-msec time interval, but deviate somewhat from the experimental points in the middle of the interval.

Intrathoracic overpressures computed for the same experiment (M50) are plotted in FIGURE 13 for both values of lung volume. Since impact occurs on the right side of the thorax, pressure builds up in the right lung first and later is transmitted to the left lung through motion of the tissue between the lungs, simulated in the model by the

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A comparison of computed and measured displacement parameters for non-penetrating missile experiment M50. Figure 11.



for non-penetrating missile experiments M65 and M66.

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Figure 13. Computed intrathoracic overpressures for non-penetrating missile experiment M50.

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14 4 middle piston. Note the significant difference in magnitude and time of the peak intrathoracic overpressures depending on the lung volume used in the model. For the right lung, the peak pressure occurs just prior to a peak in the computed missile deceleration curve.

Similar experimental and theoretical data are plotted in FIGURE 12 for experiments M65 and M66. The displacement data for these experiments were averaged since the impact velocities were the same and the dog and missile masses were nearly the same. The lung volume used in the model to simulate these experiments was 305 cm³, 80 percent of the nominal value. A detectable deviation of the computed displacement curve from the experimental points occurs after 1.5 msec. The computed velocity and displacement curves, however, agree reasonably well in the salient features with the experimentally determined points. As noted in the data shown in FIGURES 11 and 13, the second peak in the missile deceleration curve is associated with the peak overpressure in the right lung.

Analysis of Non-penetrating Missile Data

Results obtained in 45 non-penetrating missile experiments, previously described, are presented in TABLE 3. Among other things, the ribs fractured in each experiment are identified by number. These data indicate some variation in the location of the impact although the aiming point was always the mid-lateral region of the right side of the thorax.

The ratio of lung mass to body mass was used as an objective index of animal response. Data listed in TABLE 3 were used to plot the percent left lung mass of body mass, LL, as a function of the corresponding normalized mass of the right lung, RL, as illustrated in FIG-URE 14. The dashed line in this figure labeled "equal fractional increase in lung masses" was determined from reported relative masses of the right and left lungs of adult dogs (Schilling, 1965). If the lungs of the experimental animals had increased in mass in proportion to the original masses, the experimental points would fall along this line. However, the plotted data indicate that the response of the right lungs was always greater than that of the left as could be expected since impact always occurred on the right chest wall. The left lung response, LL, remains small until the value of RL exceeds one percent. From this point, the values of LL generally increase but at a slower rate than RL. Thus, the transition point where $RL \approx 1\%$ indicates the beginning of significant bilateral damage. In addition to impact per se the incidence of rib fracture, which sometimes occurred even at low response levels, probably also served to increase the mass of the right lung relative to that of the left.

The sums of the left and right lung masses were used in making a general analysis of the animal response data; viz., L = LL + RL = the mass of both lungs divided by body mass and expressed as a percentage. FIGURE 15 contains a plot of impact velocity, v₀, versus missile mass as a percent of dog mass, M, plotted on a reciprocal scale, with measured

Code	Dog Mass kg	Missile Mass g	Impact Velocity cm/msec.	Mass of Right Lung	Mass of Left Lung	Ribs Fractured (rib no.)	Time to Death, min.*
M.27	15.6	196.3	4. 74	92	52	0	S
M 28	14.5	196.3	6.07	272	106	4,7,8	1-2
M 29	20.4	196.3	5.49	289	122	7,8	S
M 30	13.6	196.3	5.67	300	107	4	15
M 31	21.5	196.3	3.90	159	75	0	S
M 32	18.8	196.3	3.05	89	53	0	S
M 33	23.1	381.0	4.48	184	81	4, 5, 6, 7	S
M 34	20.9	381,0	4.69	307	140	4	6
M 35	12.2	381.0	4.72	210	89	5,6	6
M 36	22.0	196.3	4.12	282	78	C	30
M 38	16.8	98.0	5.09	125	71	5	S
M 39	18.1	381.0	2.25	126	68	0	S
M 40	15.4	381.0	2.23	131	58	0	S
M 41	18.1	381.0	1.89	120	81	7	S
M 43	16.3	381.0	3.57	227	98	4 ^{**}	S
M 44	14.7	381.0	3,81	226	85	4	S
M 45	17.0	196.4	6.19	292	132	4,5	S
M 46	16.3	196.4	3.08	110	75	0	S
M 47	18.1	196.4	3.54	166	77	0	S
M 48	18.1	196.4	6.07	329	121	4,6	8-10
M 49	16.3	196.4	5.21	197	-62	0	15-17
M 50	17.7	196.4	5.76	352	148	5,6	S
M 51	18.8	196.4	6.31	282	106	6,7	40
M 52	16.8	196.4	6.07	169	50	7,8	35-40
M 53	17.7	196.4	6.04	251	74	5	S
M 54	18.1	196.4	5.91	347	109	4	26
M 55	17.5	196.4	3.50	125	69	0	S.
M 56	16.6	196.4	3.60	201	70	7	S
M 57	14.7	196.4	3.14	146	58	0	s
M 58	15.4	196.4	2.31	76	53	0	S
M 59	15.4	196.4	2.62	76	49	0	S
M 60	16.8	196.4	3.85	138	69	0	S
M 61	16.8	196.4	4.69	244	68	5	9
M 62	17.7	382.8	2.65	107	59	5	8
M 63	18.6	382.8	3.17	237	97	8	s
M 64	18.1	382.8	4.66	210	84	6.7.8	14
M 65	15.0	85.8	7.35	168	60	0	4
M 66	14.5	85.3	7.35	195	62	Ō	s
M 67	18.1	63.0	7.22	115	67	0	S
M 68	14.5	63.3	9.14	168	74	4	
M 69	20.9	86.0	6.04	193	75	4.5	8
M 70	22.2	86.0	6.22	253	127	0	8
M 71	21.5	85.6	5.61	151	77	Ō	9 8
M 72	19.1	85.6	8.02	245	96	4	8
M 73	20.2	85.6	8.66	254	133	9	9

TABLE 3. DATA FROM NON-PENETRATING MISSILE EXPERIMENTS

* s indicates sacrifice in 30 - 40 min.
** dislocated from vertebra







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values of L placed adjacent to each point. * An equation of the following form was found to fit the experimental points:

$$v_{o}L^{-a} = b + c M^{-1}$$
 (14)

where a, b, and c are constants to be determined from the experimental data. Least squares regression analyses were made to evaluate b and c for several assumed values of a. The value of a which produced the lowest standard error of estimate was 0.7 and the corresponding values of b and c were 1.915 and 1.544, respectively.

Equation (14) is plotted in FIGURE 15 for five values of L spanning the range of the experimental data. It is of interest that the impact velocity for a given L response approaches a constant value as the missile mass approaches infinity. This, of course, could not be true if the animals had been securely held in a fixed position.

The mathematical model was used to simulate the non-penetrating missile experiments using as an index of animal response the average of the maximum overpressures computed for each lung. Values of the model parameters listed in TABLE 1 for a 10-kg dog were used in this study with the exception of those for lung volumes which were assumed to be 80 percent of their nominal values. (See discussion in the last section.)

The computed iso-overpressure lines plotted in FIGURE 15 are approximately parallel to the iso-response lines determined from the experimental data. However, as was shown by the data plotted in FIGURE 13, the magnitude of the computed overpressures for a given experimental situation is dependent to a great degree upon the assumed value of the lung volume.

While direct correspondence cannot be justified, it is of interest to note that the 250-, 200-, and 100-psi iso-overpressure lines shown in FIGURE 15 would be expected to produce approximately 50, 25, and 0 percent mortality, respectively, according to the data presented in FIGURE 3 (right). It appears that the animal mortalities shown in FIG-URE 15 are somewhat higher than the above figures although insufficient data are available for a rigorous lethality analysis.

Summary

A mathematical model was devised to study the principal biophysical mechanisms of the thorax subjected to air blast or to non-penetrating missile impact with a chest wall. The salient features of the model were described and results were presented of a dimensional analysis of the model parameters. The dimensional analysis, based on similarity of

*Note that the scaling relationship expressed in Eq. (11) could not be satisfied since the impact areas were the same for all the experiments but the body masses were not. mammalian species, resulted in scaling equations relating for a given biological response the parameters of similar blast waves (e.g., maximum overpressure and duration of the wave) to the body mass of similar mammals and to the ambient pressure. The scaling relations were interpreted for high-explosive blast waves making use of the usual scaling equations for explosives. Also, the analysis was extended to include nonpenetrating missile impact with the chest — relating the mass and impact area of the missile to body mass, and the impact velocity to ambient pressure.

Estimated parameters were used in the model to simulate a blast experiment in which a dog was placed at the end-plate of a shock tube. Computed intrathoracic overpressures showed reasonable agreement with those measured.

A sensitivity study indicated that computed lung pressures decrease with increases in the magnitudes of the damping factors, lung volumes, orifice areas, and spring constants (listed in the order of importance). Increases in the masses and areas of the pistons representing the chest walls, however, result in higher computed lung pressures. These results were compared to intrathoracic pressures computed for various blast waves producing different levels of mortality.

Intrathoracic pressures were computed for dogs exposed broadside to "long"- and "short"-duration blast waves. Experimental results for guinea pigs were used to help evaluate the results obtained for the "long"duration wave.

Experimental data were used to show that approximately equivalent mortality responses were obtained for mice, rats, guinea pigs, and rabbits exposed to step loads of constant magnitude if the time between the incident and reflected shocks were proportional to the cube root of body mass.

High-explosive data were used to establish for 50 percent mortality the relationship between (height of burst/(body mass)1/3 and (mass of explosive charge)/(body mass). It was found that two relationships exist, one for small animals (mice, rats, guinea pigs, and rabbits) and another for larger animals (monkeys, dogs, goats, sheep, and swine). Possible differences in the two groups of species were discussed in terms of published physiological data.

A general analysis was presented of pressure-duration data obtained by exposing experimental animals against reflecting surfaces to blast waves generated by high explosives and shock tubes. The analysis showed approximately similar responses within each of two groups of species: (1) mouse, hamster, rat, guinea pig, and rabbit; (2) cat, monkey, dog, goat, sheep, cattle, and swine. An equation was derived for each group giving 50 percent lethal overpressure as a function of wave duration, ambient pressure, and body mass of the mammal. Further analyses showed that the blast waves producing 50 percent mortality have two approximately invarient parameters for a given body mass and ambient pressure; viz., <u>partial impulse</u> occurring within a <u>characteristic time</u>. These parameters were evaluated for each of the groups previously mentioned and the appropriate scaling functions indicated. The characteristic time was related to the time during which the most significant animal response occurs — maximum compression of the thorax.

Using appropriate scaling procedures, the analyses mentioned above were made applicable to 70-kg mammals and 14.7-psi ambient pressure. The resulting blast tolerances were compared to those previously estimated for man.

Experiments were described in which the rib cage of dogs were struck by non-penetrating missiles near the mid-lateral point of the thorax. Photographic data were used to make time-displacement analyses for the missile. The results were compared with those computed with the model and various biophysical mechanisms were discussed.

Results obtained from 45 non-penetrating missile experiments were presented in tabular form. Lung mass data were plotted to show that the lung on the side receiving the impact showed the greatest response to the impact but that significant bilateral response occurred for the more damaging impacts.

A general analysis of the non-penetrating missile data resulted in an equation describing the empirical relationship between (1) lung mass normalized to body mass, (2) impact velocity of the missile, and (3) missile mass normalized to body mass. It was found that peak intrathoracic pressures computed with the model were approximately constant for the experimental conditions producing constant normalized lung masses.

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APPENDIX

Evaluation of Model Parameters for the Dog

The average lung volume defined in FIGURE 8 was obtained from data reported for dogs (Crosfill and Widdicombe, 1961). Assuming that the volume ratio of the two lungs is the same as the measured mass ratio for dogs (Schilling, 1965), 58 percent of the total lung volume was apportioned to the right lung and 42 percent to the left. These and other model parameters scaled to a 10-kg dog are listed in TABLE 1, the subscript 1 referring to the right lung and 2 to the left. The symbols used to identify the parameters are defined in FIG-URE 1.

Spring constants, K_1 and K_2 , and orifice areas, A'_1 and A'_2 , were evaluated by methods already described (Bowen et al., 1965). The value of the spring constant applicable to missile impact, K_4 , was obtained by static tests in which force was measured as a function of displacement of the chest of a dog, the area of contact with the chest wall being the same as that of the missile. The effective mass, M₃, of the middle piston representing the tissue between the two lungs was assumed to be that reported for the heart (Rashevsky, 1959).

The initial slopes of measured missile velocity curves, $(dv/dt)_0$, were used to estimate the value of the damping factor, J4, as follows:

$$J_4 = -(M_4 / v_0) (dv/dt)_0$$
 (A1)

where M4 is the mass of the missile and v_0 is impact velocity. The value of J4 presented in TABLE 1 is the average value obtained from several time-displacement analyses.

Although initial estimates, described below, were made of the values of the remaining parameters $(A_1, A_2, A_3, M_1, M_2, J_1, J_2, J_3, and K_3)$, the estimated values were subject to adjustment in order to achieve reasonable agreement between the computed and measured responses of the animal as illustrated in FIGURES 2, 11, and 12.

Assuming negligible air flow to and from the lungs and neglecting the stiffness of the mechanical spring, the velocity resonant frequency of the chest wall can be estimated (Bowen et al., 1965) by

$$f_v = 1 / (2\pi) \sqrt{\gamma A_i^2 P / (V_i M_i)}$$
 (A2)

where f_v is the velocity resonant frequency; γ , the polytropic exponent (assumed to be 1.2); P, the average pressure in the lungs, or the local ambient pressure about which oscillations occur; and V_i, A_i, and M_i

are lung volume, piston area, and piston mass, respectively, defined in FIGURE 1. If the area density of the chest wall

$$\rho_a = M_i / A_i \tag{A3}$$

is introduced in Eq. (A2), an explicit solution can be obtained for area

$$A_{i} = 4\pi^{2} f_{v}^{2} V_{i} \rho_{a} / (\gamma P)$$
 (A4)

Initial estimates were made for the areas and masses of the chestwall pistons using in Eqs. (A3) and (A4) an area density reported for rabbits (Clemedson and Jönsson, 1964) and a resonant frequency reported for dogs (von Gierke, 1964) — both scaled to a 10-kg animal.

The effective area, A_3 , and spring constant, K_3 , of the middle piston were chosen so that the area density and spring constant per unit mass, respectively, would be approximately the same for this piston as for the others.

For a given lung volume, the damping factors along with the piston areas and masses are the most significant factors determining the response of the model to a given blast wave or missile impact. These parameters were adjusted until the magnitude and time of the computed peak intrathoracic overpressures agreed reasonably well with measured values as illustrated in FIGURE 2. The area of the right chest-wall piston for missile impact was determined by trial and error, the value determined being somewhat larger than the actual impact area of the missile but smaller than the area effective in the blast situation.

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