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ADVANCED COMPUTER ORGANIZATION STUDY

Volume II - Appendixes

Donald L. Rohrbacher

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ADVANCED COMPUTER ORGANIZATION STUDY

Volume II - Appendixes

Donald L. Rohrbacher

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FOREWORD

This technical documentary report records the efforts and achievements on the advanced computer organization study conducted by Goodyear Aerospace Corporation, Akron, Ohio. The secondary report number assigned to this document by the company is GER-12314. This report is published in two volumes: Volume One, Advanced Computer Organization, Basic Report, and Volume Two, Advanced Computer Organization Study, Appendixes.

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Appreciation is extended to Dr. John Holland, University of Michigan, whose consulting services were extremely valuable in both the development and conception of many of the ideas presented. The major contributors to this study were D. L. Rohrbacher (project engineer), Dr. K. E. Batchler, P. A. Gilmore, and G. W. Lahue. Substantial contributions also were made by G. P. Elliott, Dr. C. C. Foster, and D. C. Gilliland.

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APPENDIX I - PARALLEL EXECUTION OF
THE DYNAMIC PROGRAMMING TECHNIQUE

1. DYNAMIC PROGRAMMING

Dynamic programming is a mathematical technique devised by Bellman^a for maximizing a function of n variables:

$$R_n(x_1, x_2, \dots, x_n) = \sum_{i=1}^n g_i(x_i), \quad (I-1)$$

where

$$g_i(0) = 0 \text{ and}$$

$$g_i(x_i) \geq 0$$

over the region

$$S_n(x) = \left\{ (x_1, x_2, \dots, x_n) \mid \sum_{i=1}^n x_i = x, x_i \geq 0 \right\}. \quad (I-2)$$

The dynamic programming technique is directly applicable to allocation problems.

Consider the x of Equation I-2 to be a resource that is to be allocated to some n activities. Let x_i denote the allocation to activity i , and $g_i(x_i)$ the resultant return from activity i . Then the total return from all n activities may be expressed by Equation I-1. The problem is to determine an optimal policy of allocation; that is, to maximize Equation I-1 and

^aBellman, R. E., and Dreyfus, S. E.: Applied Dynamic Programming. Princeton, N. J., Princeton University Press, 1962.

determine the allocations by which the maximization is effected. The dynamic programming solution to the maximization problem rests on the "discretization" of the range $[0, x]$ and the application of Bellman's principle of optimality, which may be stated: "an optimal policy has the property that whatever the initial state and initial decision are, the remaining decision must constitute an optimal policy with regard to the state resulting from the first decision."^a

In the execution of the dynamic programming technique, the following sequence is constructed:

$$f_1(x), f_2(x), \dots, f_n(x) \quad (I-3)$$

where

$$f_k(x) = \max_{S_k(x)} [R_k(x_1, x_2, \dots, x_k)] \quad (I-4)$$

with

$R_k(x_1, x_2, \dots, x_k)$ and $S_k(x)$ as defined in Equations I-1 and I-2.

Making the reasonable definition,

$$f_0(x) = 0 \quad (I-5)$$

and noting that $f_1(x) = g_1(x)$, the following recursive relation can be deduced:^a

$$f_k(x) = \max_{0 \leq x_k \leq x} [g_k(x_k) + f_{k-1}(x - x_k)] \quad (I-6)$$

thus establishing an inductive method for determining the sequence (I-3). Equation I-6 is just the mathematical expression for the principle of optimality; it allows the reduction of the problem of maximizing one function of n variables to that of maximizing n functions of one variable. In

^a ibid.

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the execution of the dynamic programming technique, the following sequence also is constructed:

$$x_1(x), x_2(x), \dots, x_n(x), \quad (I-7)$$

where $x_k(x)$ is the allocation to $g_k(x_k)$ that maximized $f_k(x)$.

The heart of the dynamic programming technique, then, is the construction of the sequences (I-3) and (I-7). As mentioned above, computational considerations require the discretizing of the range $[0, x]$, say into the partition

$$0 = t_0 < t_1 < t_2 < \dots < t_n = x \quad (I-8)$$

where $t_i = \Delta i$ for some fixed Δ . A partition such as (I-8) often is denoted compactly by "a(Δ)b," which is read "from a to b in steps of Δ ."

The calculation of the sequences (I-3) and (I-7) over the partition (I-8) requires that $\{g_i(x)\}$ be calculated over the partition. For illustration of the dynamic programming technique, consider the maximizing of the following:

$$R_6(x_1, x_2, x_3, x_4, x_5, x_6) = x_1 + x_2^2 + x_3^{\frac{1}{2}} + 2 \sin x_4 + g_5(x_5) + g_6(x_6), \quad (I-9)$$

where

$$g_5(x_5) = \begin{cases} 2x_5 & \text{if } 0 \leq x_5 \leq 1 \\ 4 - 2x_5 & \text{if } 1 \leq x_5 \leq 2. \end{cases}$$

and

$$g_6(x_6) = 2 \lfloor x_6 \rfloor.$$

subject to the constraint

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$$\sum_{i=1}^6 x_i = x, x_i \geq 0, \quad (\text{I-10})$$

with $[x]$ denoting the greatest integer in x .

This is to be done for each $x = 0(0.1)2$; that is, for x from 0 to 2 in steps of 0.1. A flow chart for a sequential dynamic programming solution of this problem is given in Figure I-1. For any solution model, it will be necessary to evaluate the set $\{g_i(x_i)\}$ at each point of some partition. For the present problem, the partition $0(0.1)2$ is chosen, and the functional values are recorded in Table I-1. From this table, the sequences $f_1(x)$, $f_2(x)$, . . . , $f_6(x)$ and $x_1(x)$, $x_2(x)$, . . . , $x_6(x)$ can be determined and recorded as in Table I-2. Recalling that $x_i(x)$ is not necessarily unique, note that Table I-1 contains the information necessary to determine all optimal policies as indicated in Table I-2.

Now consider a method for reading out an optimal policy for a given resource from Table I-2. The method is simply this: Given a resource x , ($0 \leq x \leq 2$), select $x_6(x)$, with x_6 the allocation for $g_6(x)$. Now select $x_5(x - x_6)$, which is just x_5 , the allocation for $g_5(x)$. Next select $x_4(x - x_6 - x_5)$, which is just x_4 for $g_4(x)$, and so forth until the allocations x_1 , x_2 , . . . , x_6 are determined for the activities $g_1(x_1)$, $g_2(x_2)$, . . . , $g_6(x_6)$. A flow chart for the readout method is given in Figure I-2; this chart ignores multiple solution, but all solutions are indicated in Table I-2.

As an example of the readout process, suppose that $x = 2.0$. Then from Table I-2 it can be seen that the following allocations for $(x_1, x_2, x_3, x_4, x_5, x_6)$ yield $f_6(2) = 4.12$ return: (0, 0, 0.1, 0, 0.9, 1.0), (0, 0, 0.1, 0.1, 0.8, 1.0), (0, 0, 0.1, 0.2, 0.7, 1.0), (0, 0, 0.1, 0.3, 0.6, 1.0). This multiplicity of optimal policies is a result of the nonuniqueness of $\{x_i(x)\}$.

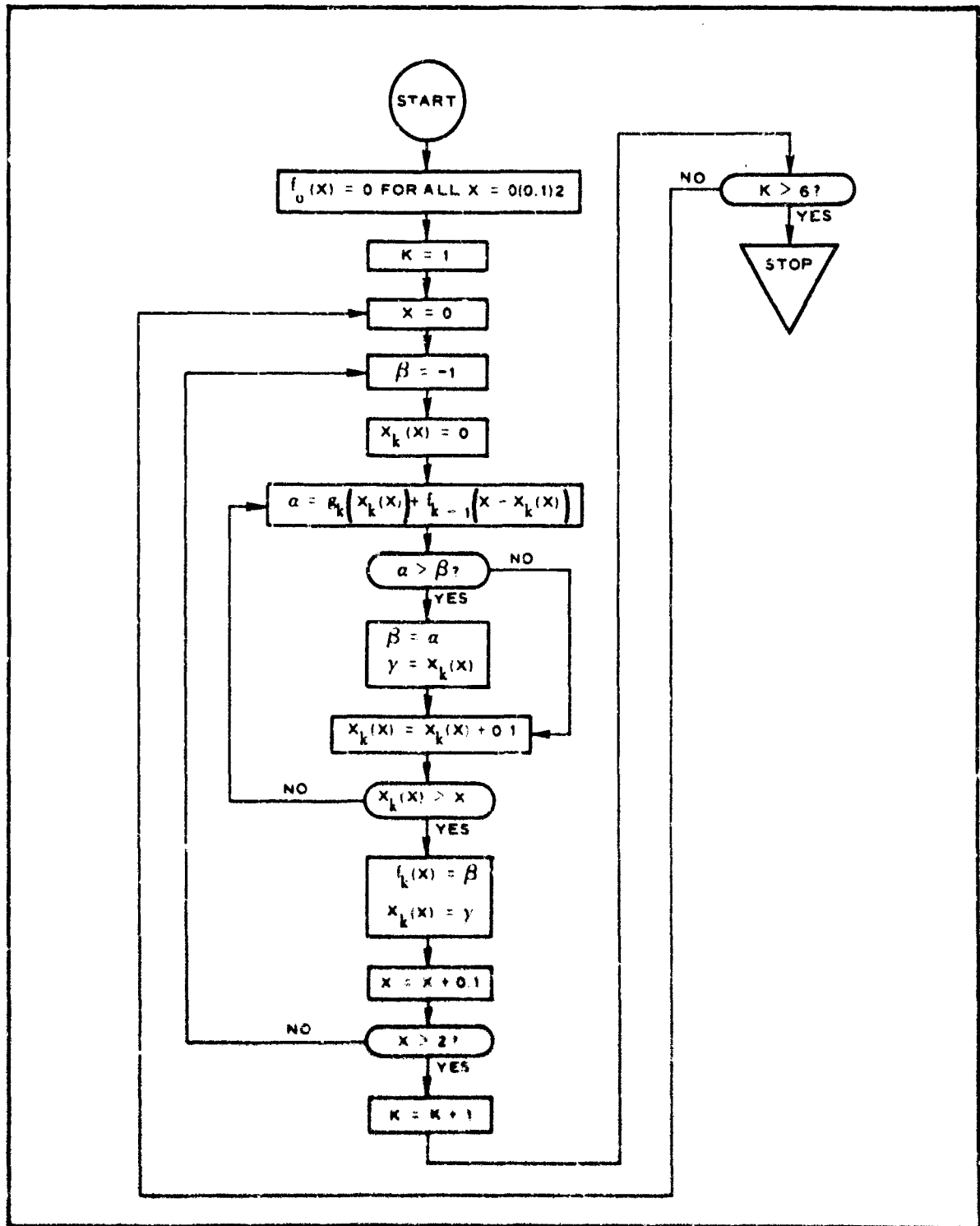


Figure 1-1 - Sequential Dynamic Programming Flow Chart

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The solution model for the dynamic programming problem considered here specified the calculation of each of the functions $f_1(x)$, $f_2(x)$, . . . , $f_6(x)$ over the range $0(0.1)2$. Hence, if only the first k activities, $k \leq 6$, are to be considered, the optimal policy for a given x can be readout out easily from Table I-2. For example, let $x = 1.5$ and $k = 4$. Now from Table I-2, $f_4(1.5) = 2.43$, which is achieved by the following allocations for (x_1, x_2, x_3, x_4) : $(0.3, 0, 0.2, 1.0)$, $(0.2, 0, 0.3, 1.0)$, $(0.2, 0, 0.2, 1.1)$, and $(0.1, 0, 0.3, 1.1)$.

The solution model described above and outlined in Figure I-1 for the solution of an optimization problem by dynamic programming is sequential in nature. It involved computing first $g_1(t)$ for $t = 0(0.1)2$, then

TABLE I-1 - ACTIVITY RETURNS FOR EQUATION I-9

x	$g_1(x) = x$	$g_2(x) = x^2$	$g_3(x) = x^{\frac{1}{2}}$	$g_4(x) = 2 \sin x$	$g_5(x) = \begin{cases} 2x, & 0 \leq x \leq 1 \\ 4 - 2x, & 1 \leq x \leq 2 \end{cases}$	$g_6(x) = 2[x]$
0.0	0.0	0.0	0.0	0.0	0.0	0.0
0.1	0.1	0.01	0.32	0.20	0.2	0.0
0.2	0.2	0.04	0.45	0.40	0.4	0.0
0.3	0.3	0.09	0.55	0.60	0.6	0.0
0.4	0.4	0.16	0.63	0.78	0.8	0.0
0.5	0.5	0.25	0.71	0.96	1.0	0.0
0.6	0.6	0.36	0.77	1.14	1.2	0.0
0.7	0.7	0.49	0.84	1.28	1.4	0.0
0.8	0.8	0.64	0.89	1.44	1.6	0.0
0.9	0.9	0.81	0.95	1.56	1.8	0.0
1.0	1.0	1.00	1.00	1.68	2.0	2.0
1.1	1.1	1.21	1.05	1.78	1.8	2.0
1.2	1.2	1.44	1.10	1.86	1.6	2.0
1.3	1.3	1.69	1.14	1.92	1.4	2.0
1.4	1.4	1.96	1.18	1.98	1.2	2.0
1.5	1.5	2.25	1.22	2.00	1.0	2.0
1.6	1.6	2.56	1.26	2.00	0.8	2.0
1.7	1.7	2.89	1.30	1.98	0.6	2.0
1.8	1.8	3.24	1.34	1.94	0.4	2.0
1.9	1.9	3.61	1.38	1.90	0.2	2.0
2.0	2.0	4.00	1.41	1.82	0.0	4.0

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$g_2(t)$ for $t = 0(0.1)2$, and so forth until $g_6(t)$ was computed. These results make up Table I-1. From this table, the sequences $f_1(x)$, $f_2(x)$, . . . , $f_6(x)$ and $x_1(x)$, $x_2(x)$, . . . , $x_6(x)$ were computed sequentially for $x = 0(0.1)2$

Finally, a readout process was specified for determining optimal allocations for a given resource. The whole solution model was sequential, but the method of dynamic programming itself is not essentially sequential in nature.

Now it will be indicated how parallel aspects of the dynamic programming method may be exploited in a solution model similar to the one described above.

TABLE I-2 - SEQUENTIAL MAXIMIZATION OF EQUATION I-9

x	$x_1(x)$	$f_1(x)$	$x_2(x)$	$f_2(x)$	$x_3(x)$	$f_3(x)$	$x_4(x)$	$f_4(x)$	$x_5(x)$	$f_5(x)$	$x_6(x)$	$f_6(x)$
0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
0.1	0.1	0.1	0.0	0.1	0.1	0.32	0.0	0.32	0.0	0.32	0.0	0.32
0.2	0.2	0.2	0.0	0.2	0.2	0.45	0.1	0.52	0.01	0.52	0.0	0.52
0.3	0.3	0.3	0.0	0.3	0.2 0.3	0.55	0.2	0.72	0.01 0.2	0.72	0.0	0.72
0.4	0.4	0.4	0.0	0.4	0.2 0.3	0.65	0.3	0.82	0.01 0.2 0.3	0.92	0.0	0.92
0.5	0.5	0.5	0.0	0.5	0.2 0.3	0.75	0.4	1.05	0.1 0.2 0.3 0.4	1.12	0.0	1.12
0.6	0.6	0.6	0.0	0.6	0.2 0.3	0.85	0.5	1.28	0.2 0.3 0.4 0.5	1.32	0.0	1.32
0.7	0.7	0.7	0.0	0.7	0.2 0.3	0.95	0.6	1.46	0.3 0.4 0.5 0.6	1.52	0.0	1.52
0.8	0.8	0.8	0.0	0.8	0.2 0.3	1.05	0.7	1.60	0.4 0.5 0.6 0.7	1.72	0.0	1.72
0.9	0.9	0.9	0.0	0.9	0.2 0.3	1.15	0.8	1.76	0.5 0.6 0.7 0.8	1.92	0.0	1.92
1.0	1.0	1.0	0.1 0	1.0	0.2 0.3	1.25	0.8	1.89	0.6 0.7 0.8 0.9	2.12	0.0	2.12
1.1	1.1	1.1	1.1	1.21	0.2 0.3	1.35	0.9	2.01	0.7 0.8 0.9 1.0	2.32	0.1 0	2.32
1.2	1.2	1.2	1.2	1.44	0.1	1.53	1.0	2.13	0.8 0.9 1.0	2.52	0.1 0	2.52
1.3	1.3	1.3	1.3	1.69	0.1	1.76	1.0 1.1	2.23	0.9 1.0	2.72	0.1 0	2.72
1.4	1.4	1.4	1.4	1.96	0.1	2.01	1.0 1.1	2.33	1.0	2.92	0.1 0	2.92
1.5	1.5	1.5	1.5	2.25	0.1	2.28	1.0 1.1	2.43	0.9	3.08	1.0	3.12
1.6	1.6	1.6	1.6	2.56	0.1	2.57	0.0	2.57	1.0	3.28	1.0	3.32
1.7	1.7	1.7	1.7	2.89	0.0	2.89	0.0	2.89	1.0	3.46	1.0	3.52
1.8	1.8	1.8	1.8	3.24	0.0	3.24	0.0	3.24	1.0	3.60	1.0	3.72
1.9	1.9	1.9	1.9	3.61	0.0	3.61	0.0	3.61	1.0	3.76	1.0	3.92
2.0	2.0	2.0	2.0	4.00	0.0	4.00	0.0	4.00	0.0	4.00	1.0	4.12

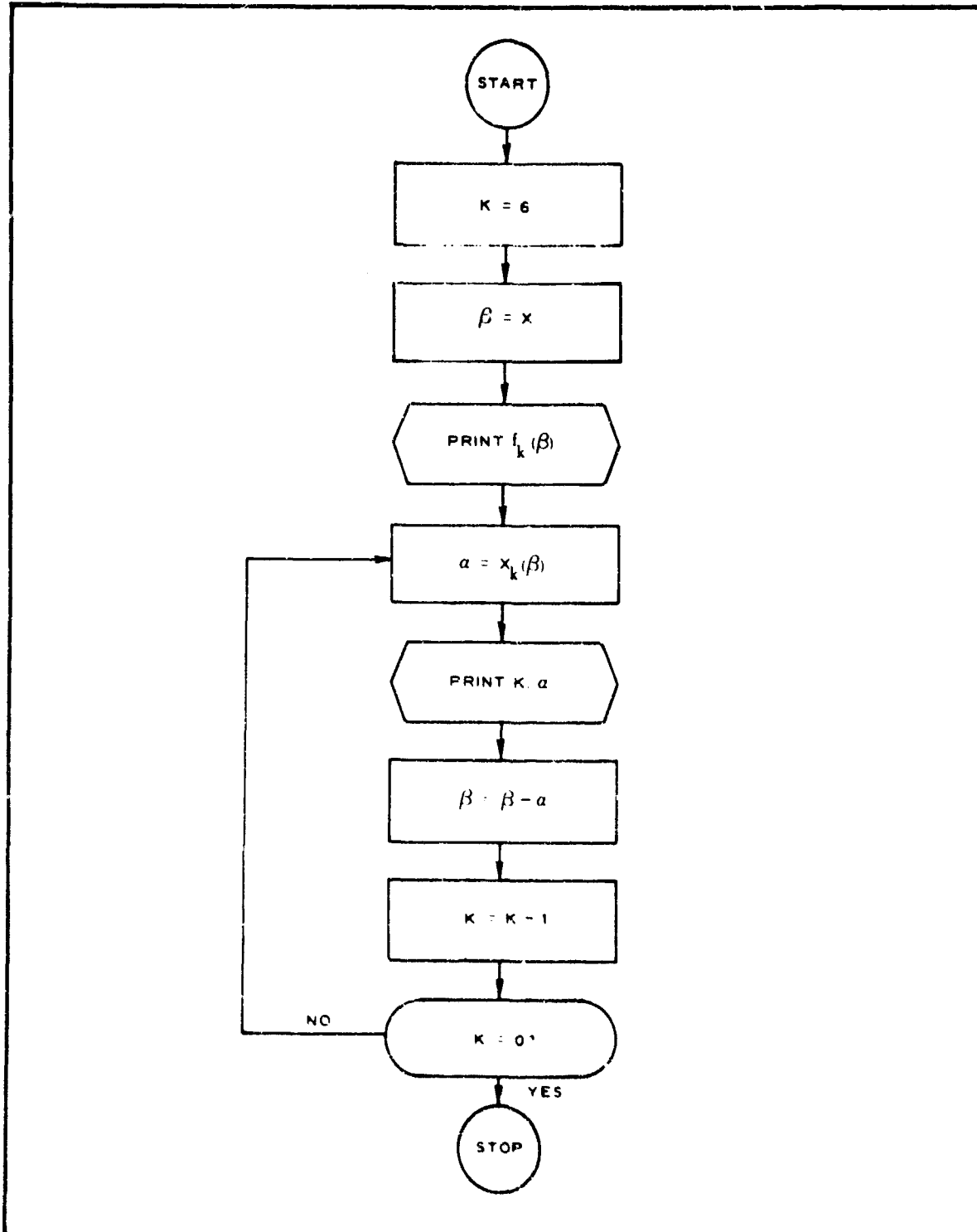


Figure 1-2 - Sequential Optimal Allocation Readout

2. PARALLEL SOLUTION MODEL

Consider the problem of maximizing, by dynamic programming techniques, the function

$$R_{2N}(x_1, x_2, \dots, x_{2N}) = \sum_{i=1}^{2N} g_i(x_i), \quad (I-11)$$

where $2N$ variables are assumed for convenience. Let

$$x_1 + x_2 + \dots + x_N = y_1 \quad (I-12)$$

$$x_{N+1} + x_{N+2} + \dots + x_{2N} = y_2 \quad (I-13)$$

with

$$y_1 + y_2 = x;$$

$$U_N(y_1) = \left\{ \begin{array}{l} \max \\ (x_1, x_2, \dots, x_N) \end{array} \left| \sum_{i=1}^N x_i = y_1 \right. \right\} [g_1(x_1) + g_2(x_2) + \dots + g_N(x_N)] \quad (I-14)$$

$$V_N(y_2) = \left\{ \begin{array}{l} \max \\ (x_{N+1}, x_{N+2}, \dots, x_{2N}) \end{array} \left| \sum_{i=N+1}^{2N} x_i = y_2 \right. \right\} [g_{N+1}(x_{N+1}) + g_{N+2}(x_{N+2}) + \dots + g_{2N}(x_{2N})] \quad (I-15)$$

Now $U_N(y_1)$ and $V_N(y_2)$ may be computed independently and thus in parallel, using Equation I-6. This equation then can be used to maximize the sum $U_N(y_1) + V_N(y_2)$ over

$$(y_1, y_2) | y_1 + y_2 = x.$$

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Since computer time is approximately proportional to the number of variables, the sequential solution time for the maximization of Equation I-11 is proportional to $2N$; the corresponding parallel solution time is proportional to $N + 2$. If 2^N variables were involved, the 2^{N-1} pairs first could be processed in parallel, then the resulting 2^{N-2} pairs, and so on. The sequential solution time for the case of 2^N variables would be proportional to 2^N ; the parallel solution time would be proportional to $2N$.

In addition to parallel aspects of the maximization of (I-11), parallelism exists at the lowest-level computations (fundamental and subroutine type computations). For example, initially the $2N$ vectors $[g_i(0), g_i(\Delta), g_i(2\Delta), \dots, g_i(x)]$ $i = 1, 2, \dots, 2N$ can be computed in parallel.

As a specific example of the injection of parallelism into a dynamic programming solution model, consider now the example problem introduced above; that is, the maximization of (I-9) under the constraint (I-10). The several activity functions of Equation I-9 are independent of one another and hence can be calculated in parallel on a parallel processor. However, efficient use of a parallel processor prohibits parallel computations that contribute little or nothing to improved solution speeds, and hence tax machine capacity unnecessarily. Since the calculation of the sequences (I-3) and (I-7) is the ultimate goal of the dynamic programming technique, values for the activity functions $\{g_i(t)\}$ need not be calculated prior to the time when the values are needed in the computation of (I-3) and (I-7).

Consider a partition $x_0(\Delta)x$ to be used in the dynamic programming maximization of a function of type (I-1).

In general,

$$f_k(x_0 + j\Delta) = \max_{0 \leq i \leq j} \left[g_k(x + i\Delta) + f_{k-1}(x + (j-i)\Delta) \right]. \quad (I-16)$$

Hence, to calculate $f_k(x_0 + j\Delta)$ only the following values must be known:

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$$\left. \begin{array}{l} \text{and} \\ f_{k-1}(x_0), f_{k-1}(x_0 + \Delta), \dots, f_{k-1}(x_0 + j\Delta) \\ g_k(x_0), g_k(x_0 + \Delta), \dots, g_k(x_0 + j\Delta) \end{array} \right\} \quad (\text{I-17})$$

and the calculation of the sequences (I-3) and (I-7) can in fact be carried on in parallel with the calculation of the activity functions $\{g_i(t)\}$. Now for the parallel execution of the dynamic programming maximization of (I-9), make the following definitions:

$$\left. \begin{array}{l} u_1(x) = \max_{0 \leq y \leq x} [g_2(y) + g_1(x - y)], \\ y_1(x) = y \text{ at which the maximum occurs;} \end{array} \right\} \quad (\text{I-18})$$

$$\left. \begin{array}{l} u_2(x) = \max_{0 \leq y \leq x} [g_4(y) + g_3(x - y)], \\ y_2(x) = y \text{ at which the maximum occurs;} \end{array} \right\} \quad (\text{I-19})$$

$$\left. \begin{array}{l} u_3(x) = \max_{0 \leq y \leq x} [g_6(y) + g_5(x - y)], \\ y_3(x) = y \text{ at which the maximum occurs;} \end{array} \right\} \quad (\text{I-20})$$

$$\left. \begin{array}{l} u_4(x) = \max_{0 \leq y \leq x} [u_2(y) + u_1(x - y)], \\ y_4(x) = y \text{ at which the maximum occurs;} \end{array} \right\} \quad (\text{I-21})$$

$$\left. \begin{array}{l} u_5(x) = \max_{0 \leq y \leq x} [u_4(y) + u_3(x - y)], \\ y_5(x) = y \text{ at which the maximum occurs.} \end{array} \right\} \quad (\text{I-22})$$

Consider the partition $0(0.1)2$ in terms of $x_0 = 0$ and $\Delta = 0.1$, and then $x_i = x_0 + i\Delta$, $i = 0, 1, 2, \dots, 20$. A chart can be constructed showing the level-by-level parallel execution of the dynamic programming

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maximization of (I-9), as in Table I-3. It will be noted that in Table I-3 a level corresponds to a new stage of computation for the activity functions $\{g_i(t)\}$ and return functions $\{u_i(t)\}$ over the partition $t = x_0(\Delta)x = 0(0.1)2$. Computation of the sequence $y_i(t)$, $i = 1, 2, \dots, 5$ has not been indicated, but the required values are an immediate consequence of the calculation of the sequence $u_i(t)$, $i = 1, 2, \dots, 5$.

As shown in Table I-3, the partition $x_0(\Delta)x$ and activity functions $g_i(x)$, $i = 1, 2, \dots, 6$ over the partition can be calculated in 21 levels through parallel computation. The same computations, performed in a sequential manner, would require 141 levels. A further indication of the parallel characteristics of the dynamic programming technique and the power of parallel processing is seen in that only three additional levels of computation allow the complete maximization of (I-9) to be effected. Sequential techniques would require 110 additional levels of computation. Hence parallel techniques offer a total advantage of 24 to 251 for the problem at hand. The difference in computational levels required by parallel and sequential models indicated here, striking as it is, only begins to point out the increased computational speed offered by parallel execution of the dynamic programming technique, since no appeal has been made to parallel execution of basic machine instructions effecting the individual computational levels.

The results of the parallel dynamic programming computation for Equation I-9 are given in Table I-4. A generalized readout process is given in Figure I-3. A specific readout for $x = 2.0$ is given in Figure I-4.

In Table I-4, the maximum possible return of $u_5(2) = 4.12$ is achieved for the following allocations to $(x_1, x_2, x_3, x_4, x_5, x_6) = (0, 0, 0.1, 0.3, 0.6, 1.0)$, $(0, 0, 0.1, 0.2, 0.7, 1.0)$, $(0, 0, 0.1, 0.1, 0.8, 1.0)$, and $(0, 0, 0.1, 0, 0.9, 1.0)$. These allocations agree, of course, with those from the sequential computation.

TABLE I-3 - PARALLEL SOLUTION MODEL

Level	Partition	$g_1(x)^*$	$g_2(x)$	$g_3(x)$	$g_4(x)$	$g_5(x)$	$g_6(x)$	$u_1(x)^*$	$u_2(x)$	$u_3(x)$	$u_4(x)$	$u_5(x)$
0	$x_1 = x_0 + \Delta$	$g_1(x_0)$	$g_2(x_0)$	$g_3(x_0)$	$g_4(x_0)$
1	$x_2 = x_1 + \Delta$	$g_1(x_1)$	$g_2(x_1)$	$g_3(x_1)$	$g_4(x_1)$	$g_5(x_0)$	$g_6(x_0)$	$u_1(x_0)$	$u_2(x_0)$
2	$x_3 = x_2 + \Delta$	$g_1(x_2)$	$g_2(x_2)$	$g_3(x_2)$	$g_4(x_2)$	$g_5(x_1)$	$g_6(x_1)$	$u_1(x_1)$	$u_2(x_1)$	$u_3(x_0)$	$u_4(x_0)$...
3	$x_4 = x_3 + \Delta$	$g_1(x_3)$	$g_2(x_3)$	$g_3(x_3)$	$g_4(x_3)$	$g_5(x_2)$	$g_6(x_2)$	$u_1(x_2)$	$u_2(x_2)$	$u_3(x_1)$	$u_4(x_1)$	$u_5(x_0)$
4	$x_5 = x_4 + \Delta$	$g_1(x_4)$	$g_2(x_4)$	$g_3(x_4)$	$g_4(x_4)$	$g_5(x_3)$	$g_6(x_3)$	$u_1(x_3)$	$u_2(x_3)$	$u_3(x_2)$	$u_4(x_2)$	$u_5(x_1)$
5	$x_6 = x_5 + \Delta$	$g_1(x_5)$	$g_2(x_5)$	$g_3(x_5)$	$g_4(x_5)$	$g_5(x_4)$	$g_6(x_4)$	$u_1(x_4)$	$u_2(x_4)$	$u_3(x_3)$	$u_4(x_3)$	$u_5(x_2)$
6	$x_7 = x_6 + \Delta$	$g_1(x_6)$	$g_2(x_6)$	$g_3(x_6)$	$g_4(x_6)$	$g_5(x_5)$	$g_6(x_5)$	$u_1(x_5)$	$u_2(x_5)$	$u_3(x_4)$	$u_4(x_4)$	$u_5(x_3)$
7	$x_8 = x_7 + \Delta$	$g_1(x_7)$	$g_2(x_7)$	$g_3(x_7)$	$g_4(x_7)$	$g_5(x_6)$	$g_6(x_6)$	$u_1(x_6)$	$u_2(x_6)$	$u_3(x_5)$	$u_4(x_5)$	$u_5(x_4)$
8	$x_9 = x_8 + \Delta$	$g_1(x_8)$	$g_2(x_8)$	$g_3(x_8)$	$g_4(x_8)$	$g_5(x_7)$	$g_6(x_7)$	$u_1(x_7)$	$u_2(x_7)$	$u_3(x_6)$	$u_4(x_6)$	$u_5(x_5)$
9	$x_{10} = x_9 + \Delta$	$g_1(x_9)$	$g_2(x_9)$	$g_3(x_9)$	$g_4(x_9)$	$g_5(x_8)$	$g_6(x_8)$	$u_1(x_8)$	$u_2(x_8)$	$u_3(x_7)$	$u_4(x_7)$	$u_5(x_6)$
10	$x_{11} = x_{10} + \Delta$	$g_1(x_{10})$	$g_2(x_{10})$	$g_3(x_{10})$	$g_4(x_{10})$	$g_5(x_9)$	$g_6(x_9)$	$u_1(x_9)$	$u_2(x_9)$	$u_3(x_8)$	$u_4(x_8)$	$u_5(x_7)$
11	$x_{12} = x_{11} + \Delta$	$g_1(x_{11})$	$g_2(x_{11})$	$g_3(x_{11})$	$g_4(x_{11})$	$g_5(x_{10})$	$g_6(x_{10})$	$u_1(x_{10})$	$u_2(x_{10})$	$u_3(x_9)$	$u_4(x_9)$	$u_5(x_8)$
12	$x_{13} = x_{12} + \Delta$	$g_1(x_{12})$	$g_2(x_{12})$	$g_3(x_{12})$	$g_4(x_{12})$	$g_5(x_{11})$	$g_6(x_{11})$	$u_1(x_{11})$	$u_2(x_{11})$	$u_3(x_{10})$	$u_4(x_{10})$	$u_5(x_9)$
13	$x_{14} = x_{13} + \Delta$	$g_1(x_{13})$	$g_2(x_{13})$	$g_3(x_{13})$	$g_4(x_{13})$	$g_5(x_{12})$	$g_6(x_{12})$	$u_1(x_{12})$	$u_2(x_{12})$	$u_3(x_{11})$	$u_4(x_{11})$	$u_5(x_{10})$
14	$x_{15} = x_{14} + \Delta$	$g_1(x_{14})$	$g_2(x_{14})$	$g_3(x_{14})$	$g_4(x_{14})$	$g_5(x_{13})$	$g_6(x_{13})$	$u_1(x_{13})$	$u_2(x_{13})$	$u_3(x_{12})$	$u_4(x_{12})$	$u_5(x_{11})$
15	$x_{16} = x_{15} + \Delta$	$g_1(x_{15})$	$g_2(x_{15})$	$g_3(x_{15})$	$g_4(x_{15})$	$g_5(x_{14})$	$g_6(x_{14})$	$u_1(x_{14})$	$u_2(x_{14})$	$u_3(x_{13})$	$u_4(x_{13})$	$u_5(x_{12})$
16	$x_{17} = x_{16} + \Delta$	$g_1(x_{16})$	$g_2(x_{16})$	$g_3(x_{16})$	$g_4(x_{16})$	$g_5(x_{15})$	$g_6(x_{15})$	$u_1(x_{15})$	$u_2(x_{15})$	$u_3(x_{14})$	$u_4(x_{14})$	$u_5(x_{13})$
17	$x_{18} = x_{17} + \Delta$	$g_1(x_{17})$	$g_2(x_{17})$	$g_3(x_{17})$	$g_4(x_{17})$	$g_5(x_{16})$	$g_6(x_{16})$	$u_1(x_{16})$	$u_2(x_{16})$	$u_3(x_{15})$	$u_4(x_{15})$	$u_5(x_{14})$
18	$x_{19} = x_{18} + \Delta$	$g_1(x_{18})$	$g_2(x_{18})$	$g_3(x_{18})$	$g_4(x_{18})$	$g_5(x_{17})$	$g_6(x_{17})$	$u_1(x_{17})$	$u_2(x_{17})$	$u_3(x_{16})$	$u_4(x_{16})$	$u_5(x_{15})$
19	$x_{20} = x_{19} + \Delta$	$g_1(x_{19})$	$g_2(x_{19})$	$g_3(x_{19})$	$g_4(x_{19})$	$g_5(x_{18})$	$g_6(x_{18})$	$u_1(x_{18})$	$u_2(x_{18})$	$u_3(x_{17})$	$u_4(x_{17})$	$u_5(x_{16})$
20	...	$g_1(x_{20})$	$g_2(x_{20})$	$g_3(x_{20})$	$g_4(x_{20})$	$g_5(x_{19})$	$g_6(x_{19})$	$u_1(x_{19})$	$u_2(x_{19})$	$u_3(x_{18})$	$u_4(x_{18})$	$u_5(x_{17})$
21	$g_5(x_{20})$	$g_6(x_{20})$	$u_1(x_{20})$	$u_2(x_{20})$	$u_3(x_{19})$	$u_4(x_{19})$	$u_5(x_{18})$
22	$u_3(x_{20})$	$u_4(x_{20})$	$u_5(x_{19})$
23	$u_5(x_{20})$

* $g_i(x)$ (with $i = 1, 2, \dots, 6$) defined as on Page 3.

* $u_i(x)$ (with $i = 1, 2, \dots, 5$) defined as on Page 11.

For a resource of $x = 1.6$, the maximum return of $u_5(1.6) = 2.57$ occurs for the allocations (0, 1.5, 0.1, 0) if only the first four activities are considered.

3. CONCLUSIONS

Dynamic programming has been introduced and illustrated by a specific example. The dynamic programming technique was examined for sequential and parallel characteristics. Parallel characteristics were noted and found to provide a basis for significant increases in processing

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TABLE I-4 - PARALLEL MAXIMIZATION OF EQUATION I-9

x	$y_1(x)$	$u_1(x)$	$y_2(x)$	$u_2(x)$	$y_3(x)$	$u_3(x)$	$y_4(x)$	$u_4(x)$	$y_5(x)$	$u_5(x)$
0.0	0.0		0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
0.1	0.0	0.1	0.0	0.32	0.0	0.2	0.1	0.32	0.1	0.32
0.2	0.0	0.2	0.1	0.52	0.0	0.4	0.2	0.52	0.2, 0.1	0.52
0.3	0.0	0.3	0.2	0.72	0.0	0.6	0.3	0.72	0.2, 0.3, 0.4	0.72
0.4	0.0	0.4	0.3	0.92	0.0	0.8	0.4	0.92	0.1, 0.2, 0.3, 0.4	0.92
0.5	0.0	0.5	0.4	1.10	0.0	1.0	0.5	1.10	0.1, 0.2, 0.3, 0.4	1.12
0.6	0.0	0.6	0.5	1.28	0.0	1.2	0.6	1.28	0.1, 0.2, 0.3, 0.4	1.32
0.7	0.0	0.7	0.6	1.46	0.0	1.4	0.7	1.46	0.1, 0.2, 0.3, 0.4	1.52
0.8	0.0	0.8	0.7	1.60	0.0	1.6	0.8	1.60	0.1, 0.2, 0.3, 0.4	1.72
0.9	0.0	0.9	0.8	1.76	0.0	1.8	0.9	1.76	0.1, 0.2, 0.3, 0.4	1.92
1.0	0, 1.0	1.0	0.8	1.89	0, 1.0	2.0	1.0	1.89	0.1, 0.2, 0.3, 0.4	2.12
1.1	1.1	1.21	0.9	2.01	1.0	2.2	1.1	2.01	0.1, 0.2, 0.3, 0.4	2.32
1.2	1.2	1.44	1.0	2.13	1.0	2.4	1.2	2.13	0.1, 0.2, 0.3, 0.4	2.52
1.3	1.3	1.69	1.0, 1.1	2.23	1.0	2.6	1.2, 1.3	2.23	0.1, 0.2, 0.3, 0.4	2.72
1.4	1.4	1.96	1.1	2.33	1.0	2.8	1.2, 1.3, 1.4	2.33	0.1, 0.2, 0.3, 0.4	2.92
1.5	1.5	2.25	1.1, 1.2	2.41	1.0	3.0	1.2, 1.3, 1.4	2.43	0.1, 0.2, 0.3, 0.4	3.12
1.6	1.6	2.56	1.1, 1.2	2.49	1.0	3.2	0.1	2.57	0.1, 0.2, 0.3, 0.4	3.32
1.7	1.7	2.89	1.2	2.57	1.0	3.4	0.0	2.89	0.1, 0.2, 0.3, 0.4	3.52
1.8	1.8	3.24	1.2, 1.3	2.63	1.0	3.6	0.0	3.24	0.1, 0.2, 0.3, 0.4	3.72
1.9	1.9	3.61	1.2	2.70	1.0	3.8	0.0	3.61	0.1, 0.2, 0.3, 0.4	3.92
2.0	2.0	4.00	1.3	2.76	1.0, 2.0	4.0	0.0	4.00	0.1, 0.2, 0.3, 0.4	4.12

time. The results indicate that construction of efficient solution models for parallel processors depends heavily on analysis of the problem and machine at hand, so that machine capacity is not unnecessarily taxed in parallel computations that improve solution speeds very little if at all.

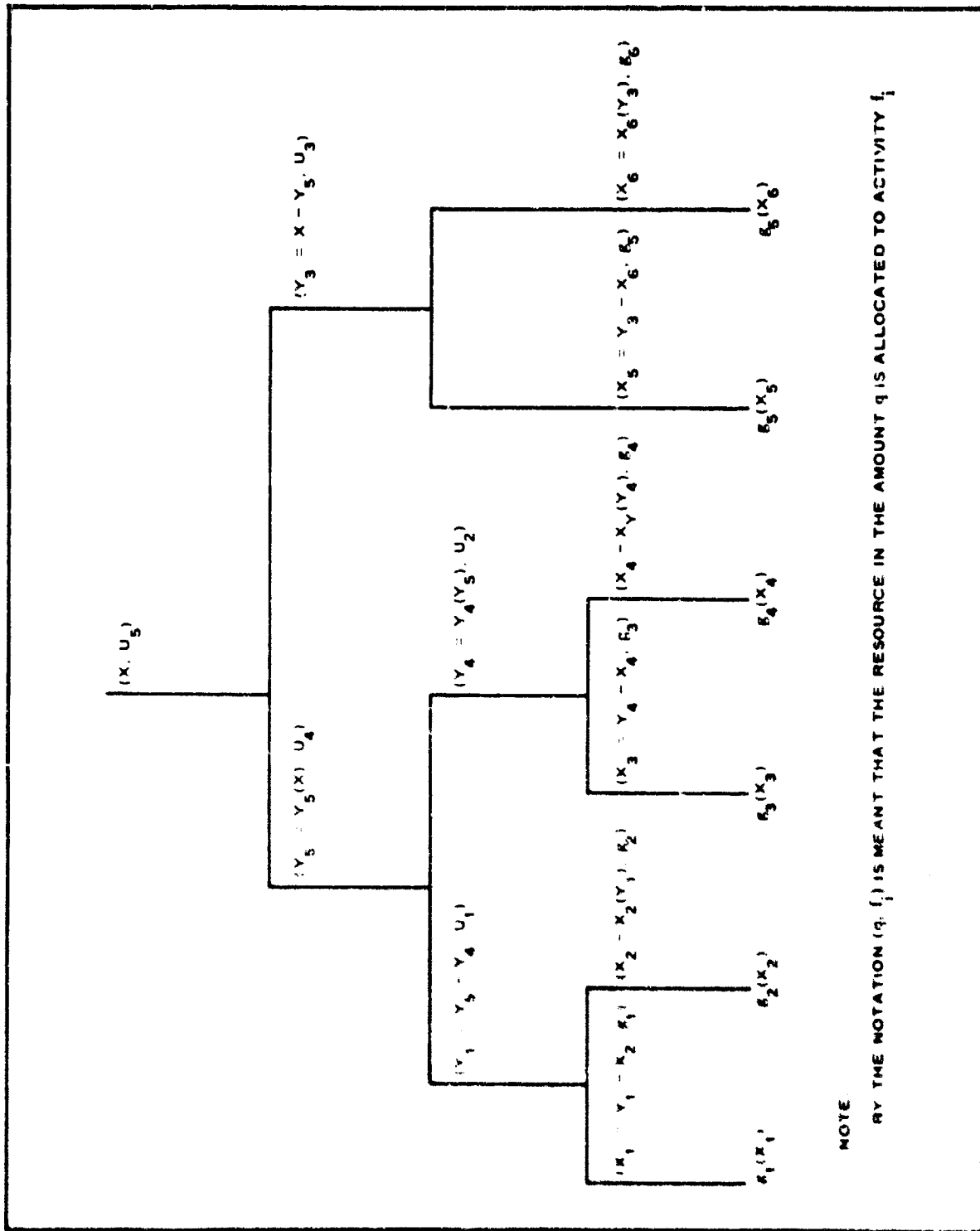


Figure I-3 - Readout Process for Parallel Solution Model

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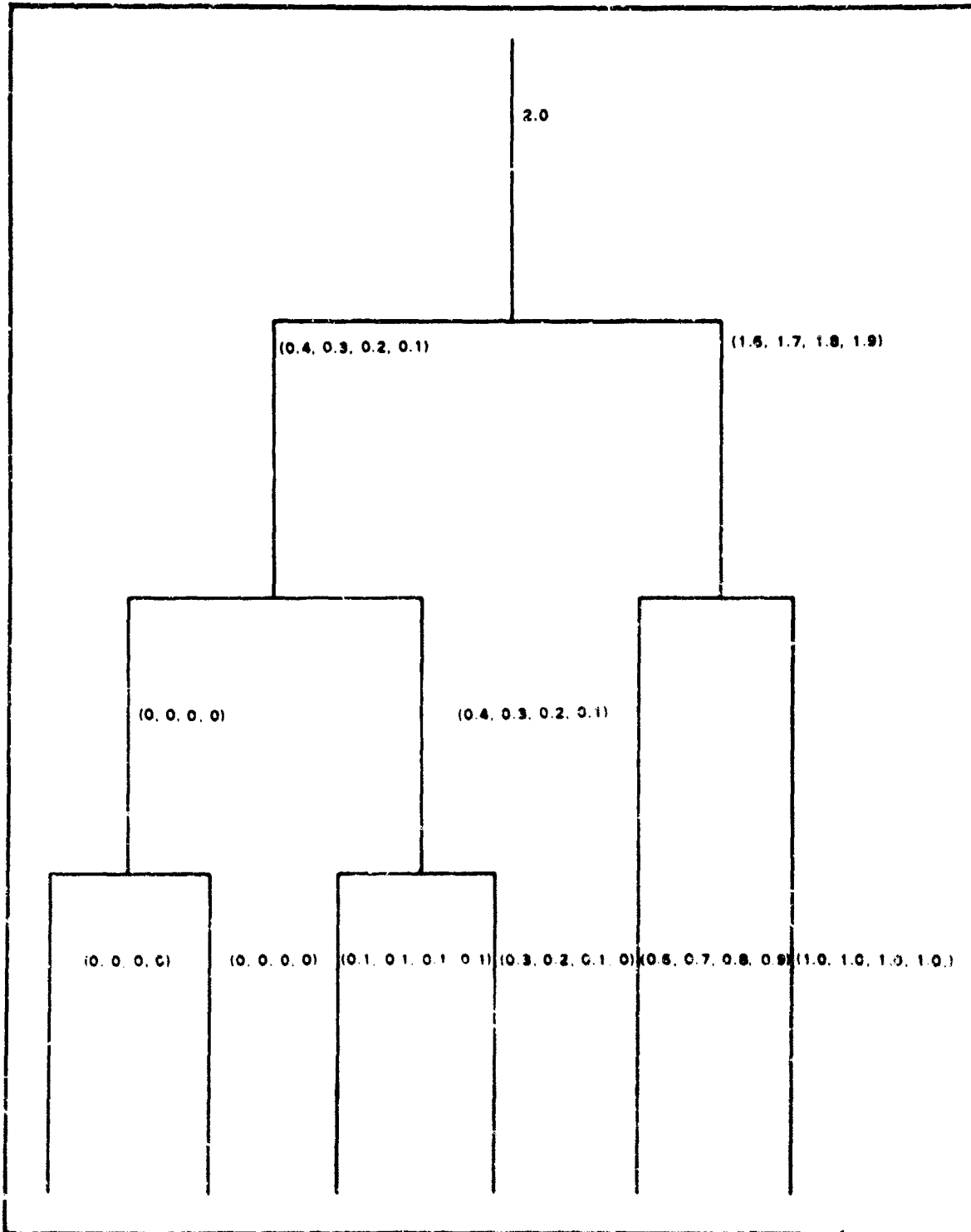


Figure I-4 - Readout Process for $x = 2.0$

APPENDIX II - PROGRAMMING OF THE DYNAMIC PROGRAMMING
TECHNIQUE FOR THE IBM 7090 (SEQUENTIAL)

1. INTRODUCTION

A dynamic programming problem was programmed for a standard general-purpose computer and for Machine I to compare the operation of the two computers. The IBM 7090 was chosen as the standard.

In programming the problem, no input-output operations are performed. The program is assumed to be available in storage. The results are stored in tables according to the table layout diagram (see page 42). To obtain a recommended resource assignment for a given number of activities, the input data (N), the number of activities to be considered, and the quantity of resource to be assigned (X_0), are assumed to be in storage prior to starting the lookup routine. After the lookup routine is executed, the recommended resource assignment to each activity is found in the θ output table.

Minimum and maximum program execution times are listed. These were determined from the quoted minimum and maximum instruction execution times in the IBM 7090 Programmers Reference Manual.

The activity function program controls the execution of the individual activity functions. In this problem, 21 returns from each of the following six activity functions are calculated:

$$g_1(x) = x,$$

$$g_2(x) = x^2,$$

$$g_3(x) = \sqrt{x},$$

$$g_4(x) = 2 \sin x ,$$

$$g_5(x) = 2x \text{ if } 0 \leq x \leq 1 ,$$

$$= 4 - 2x \text{ if } 1 \leq x \leq 2 ,$$

$$g_6(x) = 2[x] .$$

The returns for each function are stored in a table. When the returns for all activity functions have been obtained, the maximization routine is executed. The maximization routine examines the return table for each activity function and a table of maximum returns for all previous activity functions. Then, given some resource, the returns from all resource combinations as applied to the current activity and to all previous activities are calculated. The greatest return is obtained and stored. The quantity of resource that generated this maximum return also is stored. When all quantities of resource have been tested against all activities, the result is a series of best policy tables.

The best policy tables contain for a given quantity of resource the portion of that resource that should be assigned to the respective activity. The remainder of the resource is then to be allotted to the remaining activities in the same manner.

The lookup routine has as an input the quantity of resource to be assigned to the given activities. The best policy table for the higher-order activity is examined. The entry in the table corresponding to the resource to be assigned is examined and a recommended assignment obtained. The remaining resource is then applied to the lower-order activities in the same manner. The result is a recommended assignment of resource to the activities that will generate a maximum return.

2. ACTIVITY FUNCTION RETURNS

a. General

The following are initialized: x_{\max} , N , and Δ , with x_{\max} the maximum

APPENDIX II

resource that can be allocated to any activity, N the number of activity functions, in this case six, and Δ the resource increment, in this case 0.1.

The activity counter, k , is set to 1 and the returns for resource allocations varying from 0 to 2 in steps of 0.1 are calculated. When the assigned resource, x , reaches the maximum resource available, x_{\max} , the activity counter is increased by 1 and returns for the second activity are calculated.

When the activity counter has reached $N = 6$, the returns from all activities have been computed and stored in tables $g_k(x)$.

b. Activity Function 1

The return from activity function 1, $g_1(x) = x$, for a resource assignment of x is simply x .

c. Activity Function 2

The return from activity function 2, $g_2(x) = x^2$, for a resource assignment of x is the square of the assigned resource, x^2 .

d. Activity Function 3

The return from activity function 3, $g_3(x) = \sqrt{x}$, for a resource assignment of x is the square root of the assigned resource, \sqrt{x} . The resource is represented in the computer as a floating point number. An initial guess for the square root is made,

$$G_k = \frac{1}{2}(f + 1),$$

where f is the fractional part of the floating point number. This guess is the input to the iterative portion of the routine.

After three iterations where successive approximations are obtained from

$$G_{k+1} = \frac{1}{2} \left(\frac{f}{G_k} + G_k \right),$$

the exponent of the floating point number is tested to determine the exponent of the square root. Program control is then returned to the activity function program.

e. Activity Function 4

The return from activity function 4, $g_4(x)$, for a resource assignment of x is $2 \sin x$. The input to the series is R , the residue of $x \bmod 2\pi$. The sign of the sine is determined and quadrant correction of R is performed. Then

$$a = \frac{2}{\pi}R$$

is calculated and used as input to the series; a and a^2 are calculated and stored. The nested series approximation,

$$a(C_1 + a^2(C_3 + a^2(C_5 + a^2C_7))) ,$$

is computed, the sign added, and the result stored. Program control is transferred back to the activity function program.

f. Activity Function 5

The return from activity function 5, $g_5(x)$, for a resource assignment of x is $2x$ for $0 \leq x \leq 1$ and $4 - 2x$ for $1 \leq x \leq 2$.

g. Activity Function 6

The return from activity function 6, $g_6(x) = 2[x]$, for a resource assignment of x is twice the largest integer equal to or less than x .

3. MAXIMIZATION

The activity counter k is initially set to 1. The $f_{k-1}(x)$ table is initially zeroed since the maximized returns from activity 0 are zero. The resource to be maximized, x , is initially assigned at 0. A storage location, β , containing intermediate maximum returns, is initially set at a maximum negative value to enable acceptance of the first return. X_k is the

resource to be assigned, under the problem constraints, to activity k and to prior activities whose maximized returns for an assigned resource are the entries of the $f_{k-1}(x)$ table.

Index $i = x_k/\Delta$ is used to determine the return from an assignment of resource x_k to activity k . Index $j = x - i/\Delta$ is used to determine the return from an assignment of resource $x - x_k$ to prior activities.

The sum of the returns, α , is stored and compared with any previous return for the given resource, β . If the current return is larger than the previous maximum return, β is replaced by α and the allocated resource that generated this return is stored in γ . If the current return is equal to or less than the previous maximum return, β and γ remain unchanged.

Then x_k is incremented by Δ and if it is less than or equal to the current maximum resource to be tested, a new set of indices, i and j , are calculated and the above process repeated.

When x_k is greater than x , indicating that all combinations of resource x_k subject to the constraints have been used to determine the maximum return, then the value of the maximum return and the amount of resource that generates this return are stored in the $f_k(x)$ return table and $x_k(x)$ policy table, respectively.

Then x is increased by Δ and tested against x_{\max} . If x is equal to or less than x_{\max} , all combinations of x resource allocations consistent with the problem constraints are tested. If x is greater than x_{\max} , then the $f_k(x)$ table is moved to the $f_{k-1}(x)$ table, and k is increased by 1 and tested. If k is equal to or less than N , then the maximizing process is repeated. If k is greater than N , the procedure stops.

At this time, return tables and policy tables are available in storage.

4. LOOKUP

In the lookup routine, k is initialized with N , the number of activities. The resource to be allocated is stored in x . The x^{th} entry of the policy

table for the k^{th} activity is obtained and stored in table θ . X is decreased by the amount that is assigned to activity k . The diminished x is then applied to the $k - 1$ activity and a recommended policy obtained. This process is repeated for the remaining activities. The end result is a table of recommended resource assignments to the k activities.

5. CONCLUSIONS

The sequential execution of the sample dynamic programming problem takes 0.151 to 0.224 sec when programmed for an IBM 7090 (see Appendix III for Machine I parallel execution). Approximately 570 words of memory are used for program location and table storage.

6. FLOW CHARTS AND PROGRAM TABLES

Figures II-1 through II-5 are the flow charts for the activity functions. Figure II-6 is the flow chart for maximization and Figure 7 is the lookup function flow chart.

Table II-1 shows the execution time for the dynamic programming technique on the IBM 7090. Tables II-2 through II-5 show, respectively, the programs for activity function control, maximization, the activity functions, and lookup. Table II-6 shows the common storage.

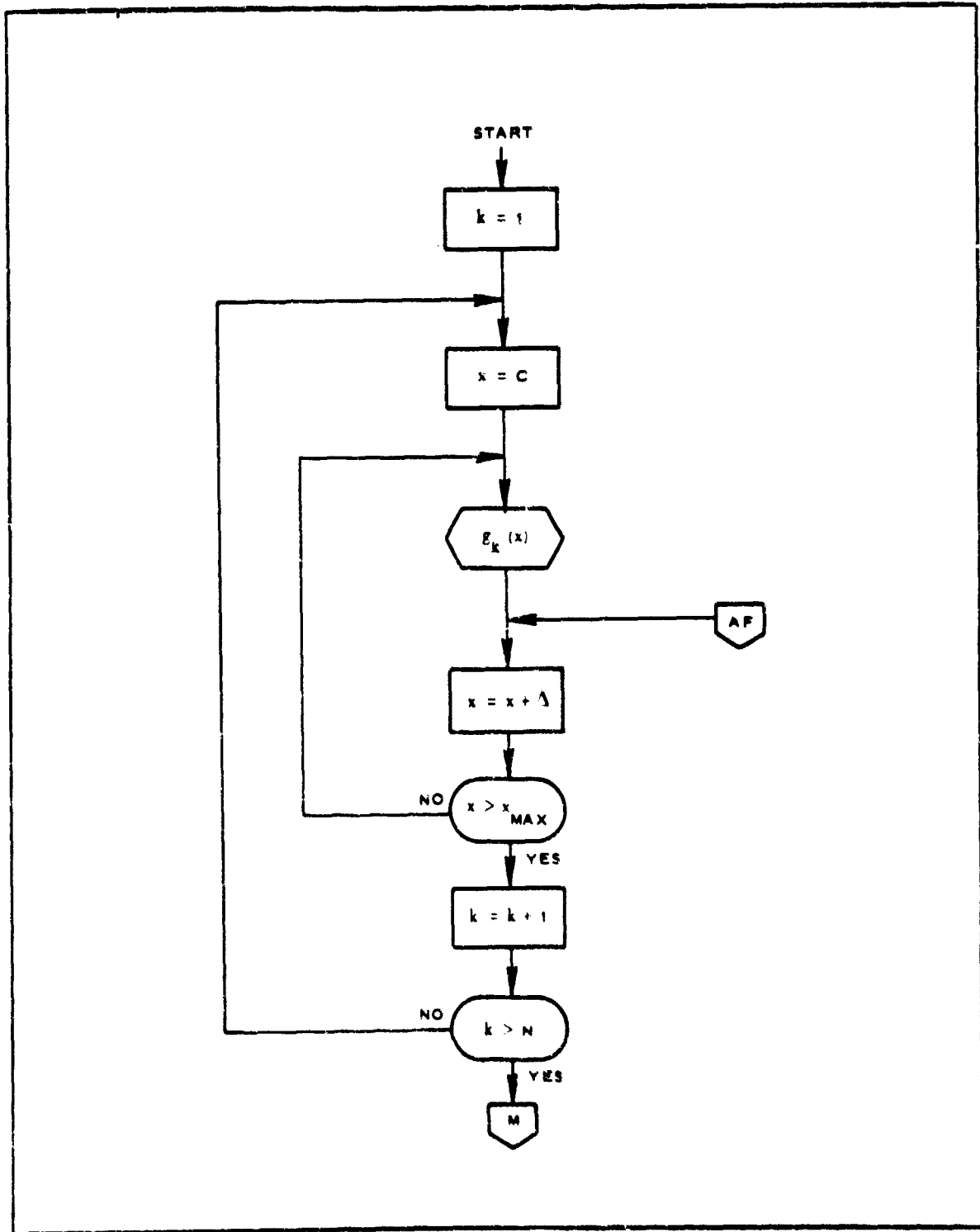


Figure II-1 - Activity Function Control Flow Chart, IBM 7090

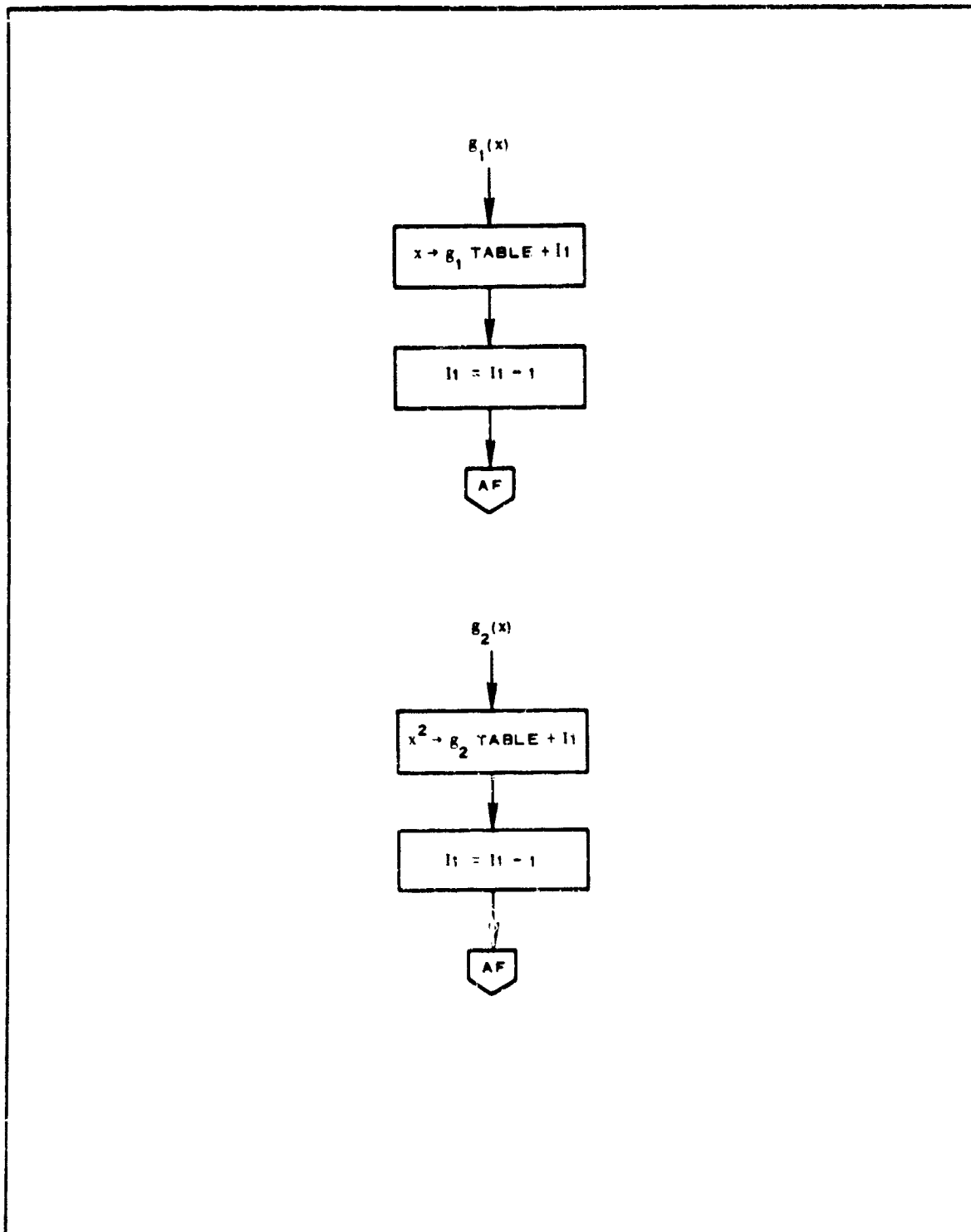


Figure II-2 - Activity Functions 1 and 2 Flow Chart, IBM 7090

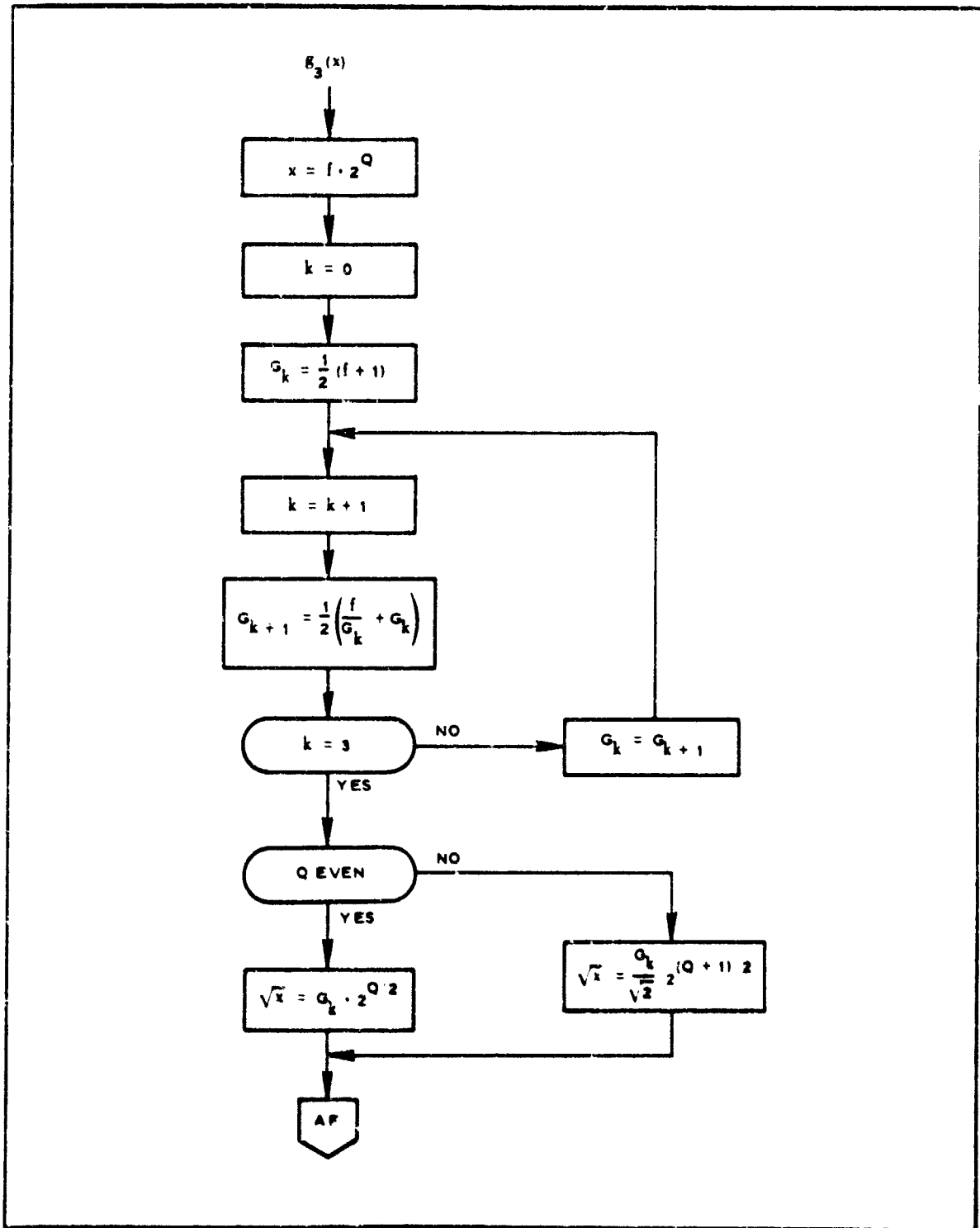


Figure II-3 - Activity Function 3 Flow Chart, IBM 7090

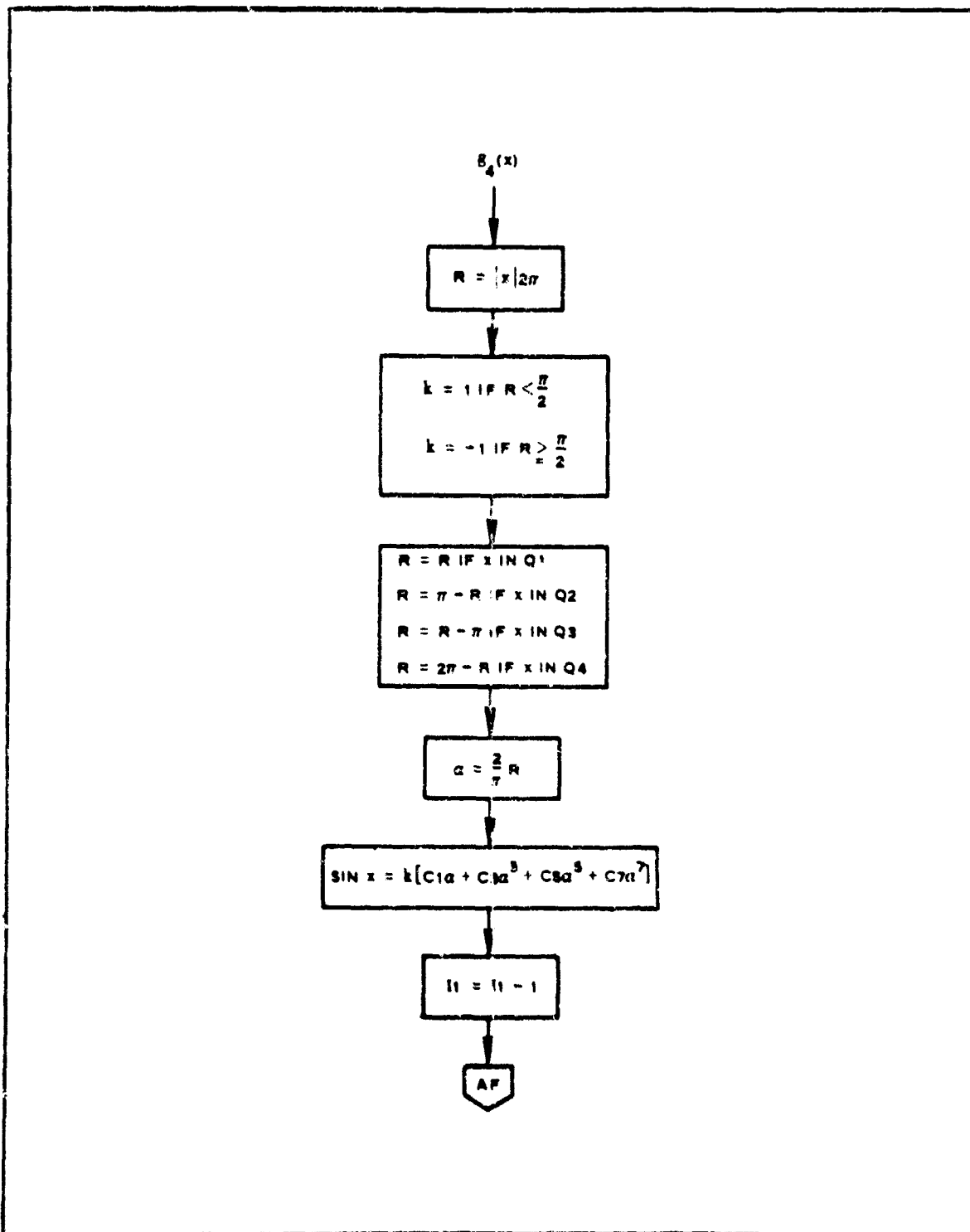


Figure II-4 - Activity Function 4 Flow Chart, IBM 7090

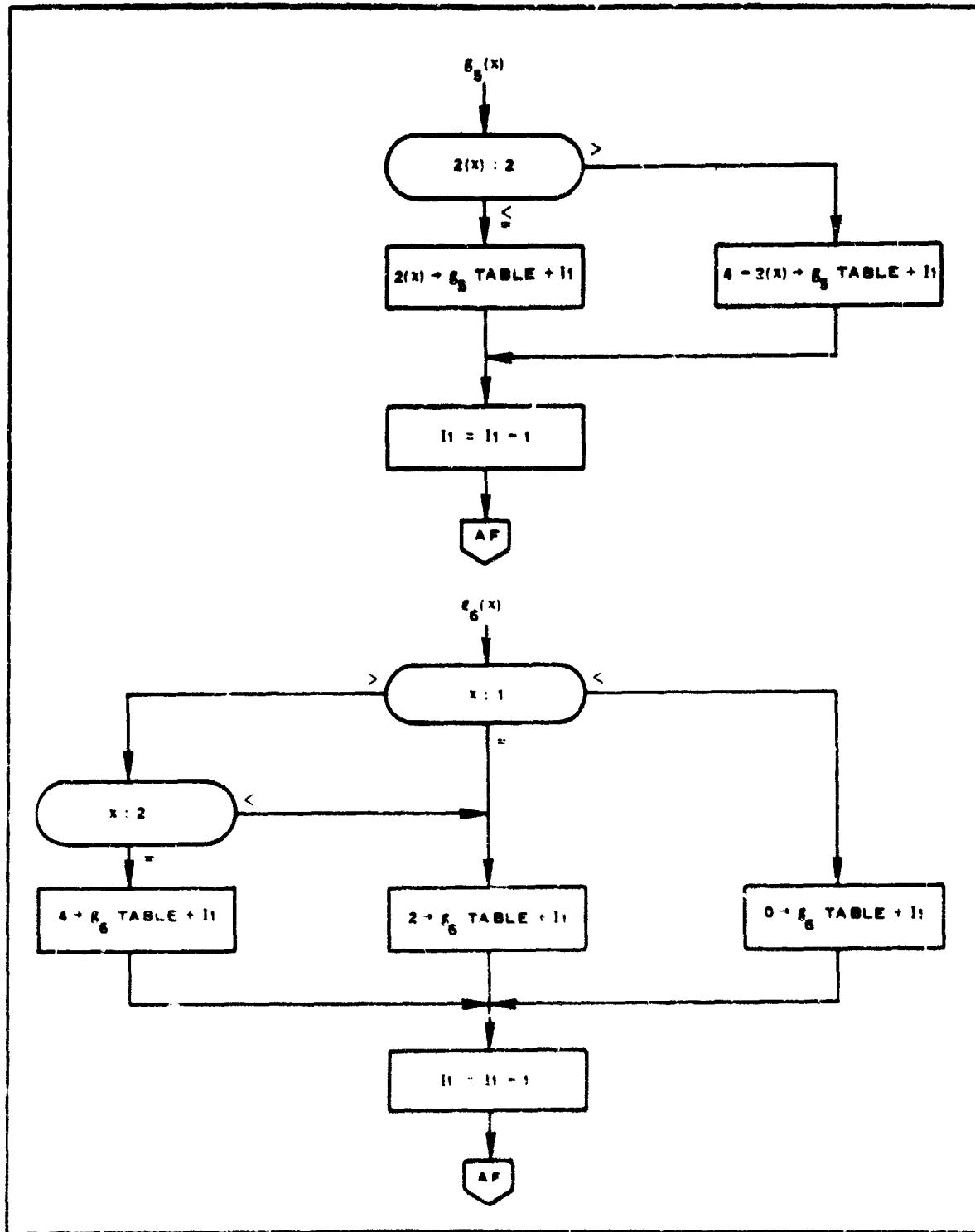


Figure II-5 - Activity Functions 5 and 6 Flow Chart, IBM 7090

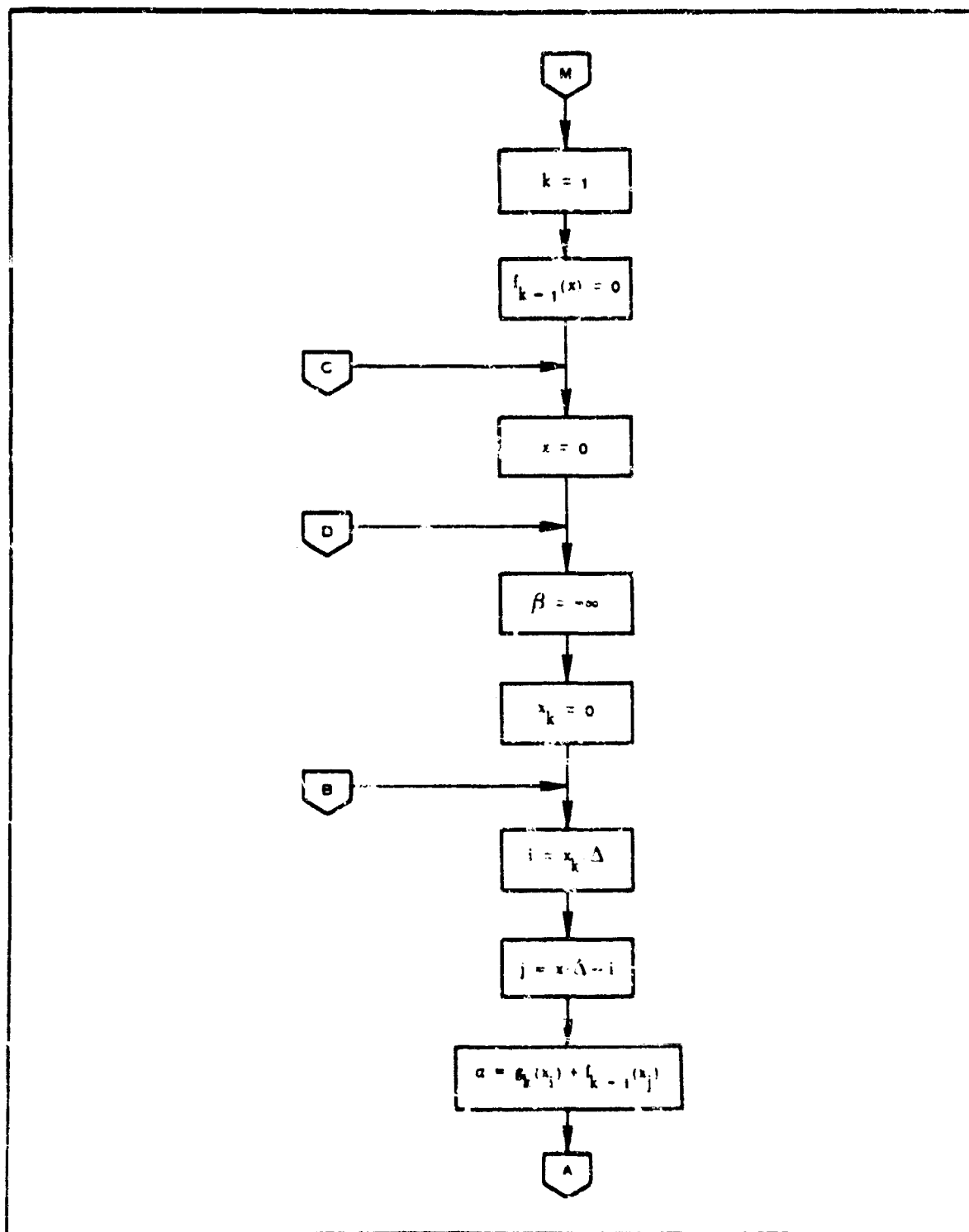


Figure II-6 - Maximization Function Flow Chart, IBM 7090 (Sheet 1)

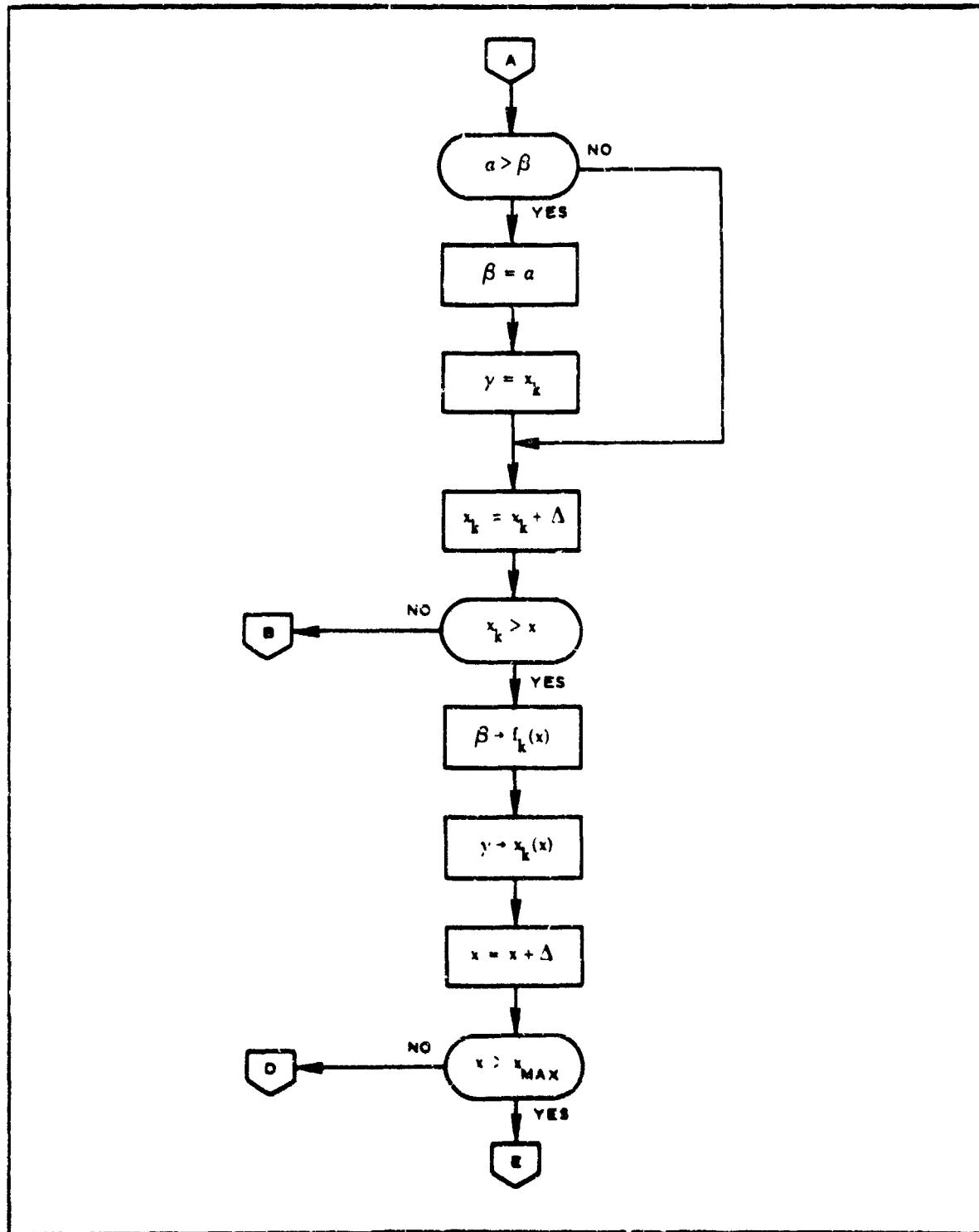


Figure II-6 - Maximisation Function Flow Chart, IBM 7090 (Sheet 2)

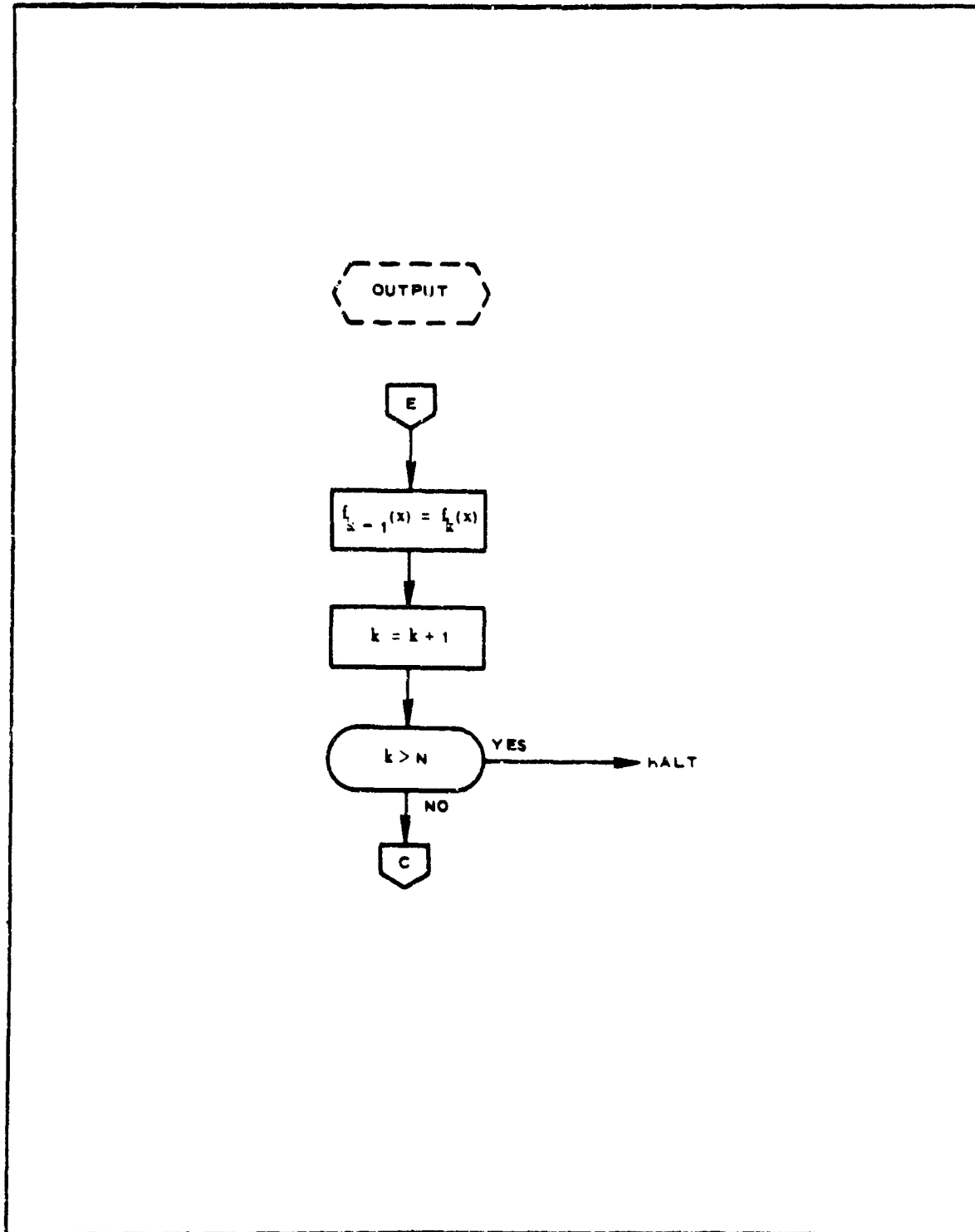


Figure II-6 - Maximisation Function Flow Chart, IBM 7090 (Sheet 3)

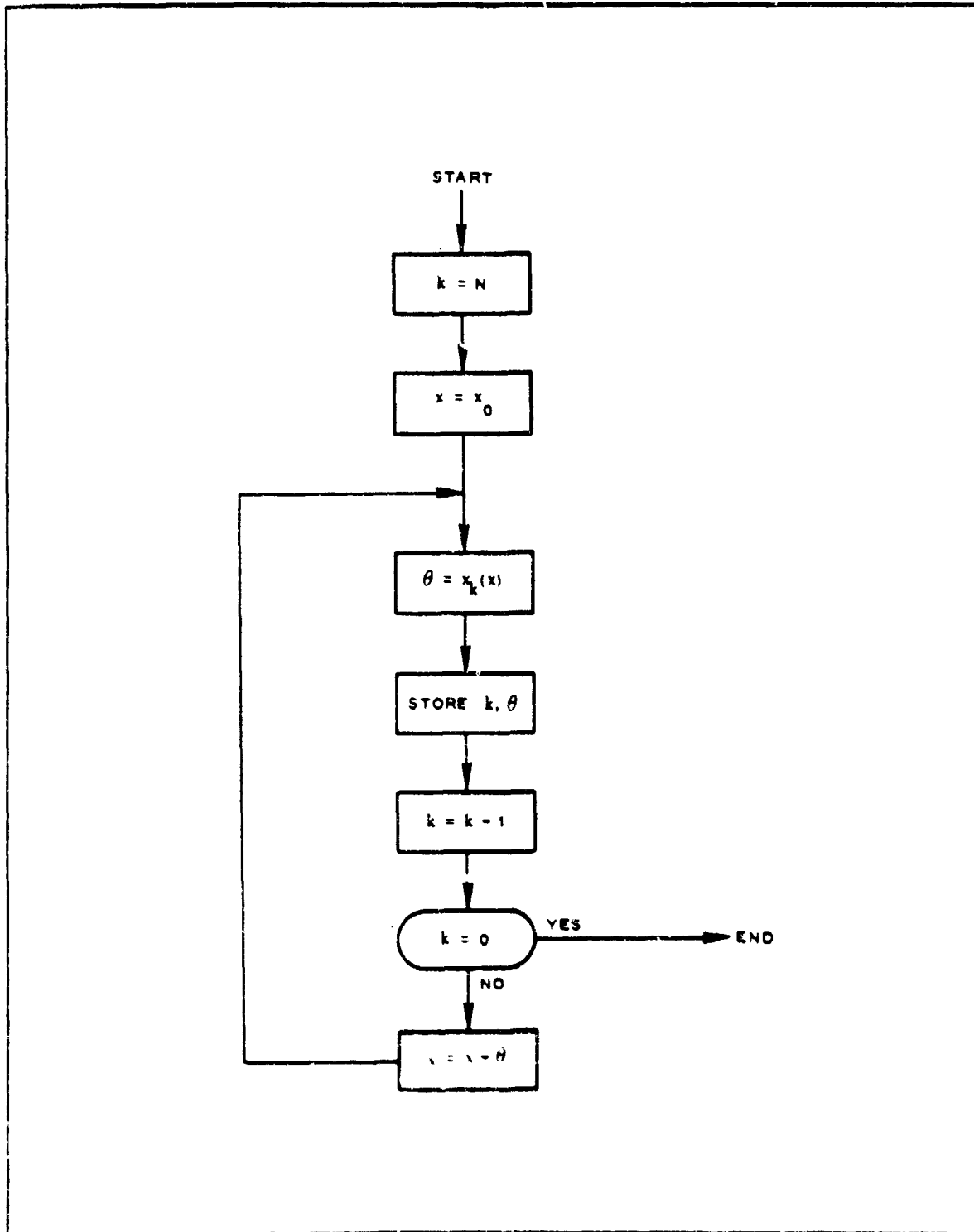


Figure II-7 - Lookup Function Flow Chart, IBM 7090

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TABLE II-1 - IBM 7090 EXECUTION TIME FOR
DYNAMIC PROGRAMMING PROBLEM

Item	Machine cycles	
	Minimum	Maximum
Activity function	1,056	1,056
$g_1(x)$	127	127
$g_2(x)$	169	400
$g_3(x)$	1,723	3,130
$g_4(x)$	1,369	1,831
$g_5(x)$	313	544
$g_6(x)$	154	212
Maximization	64,398	95,430
Lookup	176	176
Total machine cycles	69,485	102,906
Microseconds per machine cycle	2.18	2.18
Total microseconds	151,477	224,335
Total seconds	0.151	0.224

TABLE II-2 - ACTIVITY FUNCTION CONTROL
PROGRAM, IBM 7090

Item	Instruction	Remarks	Machine cycles
INIT	LXA 2 +SIX	(T3) = Δ	2
INIT6	LXA 1 TWONE	(T1) = $2I_D$	2
INIT2	CLA T4	(T4) = c	2
INIT5	STO x	(x) = C or $x + \Delta$	2
	IRA 2 ADDR		1
INIT3	CLA x	$x = x + \Delta$	2
	ADD Δ	T3	2
	CAS x_{max}		3
	IRA INIT4	>	1
	IRA INIT5	=	1
	IRA INIT5	<	1
INIT4	IIX 2,1 INIT6	12 12 - 1	2

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TABLE II-3 - MAXIMIZATION FUNCTION PROGRAM, IBM 7090

Item	Instruction		Remarks	Machine cycles
	CLA	ONE		2
	STO	k	= 1 activity number	2
	LXA	2 TWONE	(I2) = + 21	2
RET1	STZ	2 $f_{k-1}(x)$ TAB	$0 \rightarrow f_{k-1}(x)$ table	2
	TIX	2, 1 RET1		2
RET9	STZ	x	$0 \rightarrow x$ resource	2
RET2	CLA	$-\infty$	77 77 77 77 77 77	2
	STO	β		2
	STZ	x_k		2
RET5	CLA	x_k		2
	DVH	Δ	$x^k/\Delta = i$	3 - 14
	STQ	IND1	= i	2
	CLA	x		2
	DVH	Δ	x/Δ	3 - 14
	XCA		(AC) = x/Δ	1
	SUB	IND1		2
	STO	IND2	= j	2
	LXA	2 IND1	(I1) = k	2
	LXA	4 IND2	(I4) = j	2
	CLA	2 g_k TAB		2
	ADD	4 f_{k-1} TAB	(AC) = α	2
	CAS	β		3
	TRA	RET3	>	1
	TRA	RET4	=	1
	TRA	RET4	<	1
RET3	STO	β	(β) = α	2
	CLA	x_k		2
	STO	γ	(γ) = x_k	2
RET4	CLA	x_k		2

APPENDIX II

TABLE II-3 - MAXIMIZATION FUNCTION PROGRAM, IBM 7090 (Continued)

Item	Instruction		Remarks	Machine cycles
		ADD Δ		2
		STO x_k	$x_k = x_k +$	2
		CAS x		3
		TRA RET6	>	1
		TRA RET5	=	1
		TRA RET5	<	1
RET6		CLA β	Best returns	2
	2	STO $f_k(x)$ TAB		2
		CLA γ		2
	2	STO $x_k(x)$ TAB		2
		CLA x		2
		ADD Δ		2
		STO x	$x = x + \Delta$	2
		CAS x_{max}		3
		TRA OUT	>	1
		TRA RET2	=	1
		TRA RET2	<	1
OUT		"OUTPUT"		
	2	LXA +TWOONE	=21	2
RET7	2	CLA $f_k(x)$ TAB		2
	2	STA $f_{k-1}(x)$ TAB		2
	2,1	TIX RET7		2
		CLA k		2
		ADD ONE		2
		CAS N		3
		TRA RET9	>	1
		TRA RET8	=	1
RET8		STO k	<	2
		TRA RET9		1

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TABLE II-4 - ACTIVITY FUNCTIONS PROGRAM, IBM 7090

Item	Instruction		Remarks	Machine cycles
$g_1(x)$		CLA (x)		2
		STO 1 GITAB*	$x \rightarrow GITAB^* + (I1)$	2
		TIX 1, 1 INIT3		2
		TRA INIT3		1
$g_2(x)$		LDQ (x)		2
		FMP (x)	x^2	2 - 13
		STO 1 G2TAB*	$x^2 \rightarrow G2TAB^* + (I1)$	2
		TIX 1, 1 INIT3	$T1 = I1 - 1$	2
$g_3(x)$		TRA INIT3		1
		SSP 3		2
		TZE C9-1		2
		STO COMMON		2
		ANA C9		3
		LRS 1		2 - 7
		ADD COMMON		2
		LRS 1		2 - 7
		ADD C10		2
		LXA $g_3(x)$		2
STO		STO COMMON + 1		2
		CLA COMMON		2
		FDH COMMON + 1		3 - 13
		STQ COMMON + 2		2
		CLA COMMON + 2		2
		FAD COMMON + 1		6 - 15
		SUB C9		2
		TIX 4, 1 STO		2
		TIX 1, 1 INIT3		2
		TRA INIT3		1

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TABLE II-4 - ACTIVITY FUNCTIONS PROGRAM, IBM 7090 (Continued)

Item	Instruction		Remarks	Machine cycles
C9	OCT	001 000 000 000		
C10	OCT	100 400 000 000		
	SXA	1 TEM		2
	SXA	2 TEM + 1		2
$g_4(x)$	CLA	x		2
B	SUB	2π		2
	CAS	2π		3
	TRA	B	>	1
	TRA	B	=	1
	STO	R	$R < 2\pi$	2
	CAS	TT		3
	TXI	1,1 E	$-, I1 = 1$	2
C	TXI	1,1 E		2
	CAS	$\pi/2$		3
	TRA	D	π/R	1
	TRA	D	π/R	1
	TRA	SER	$R = R$	1
E	CAS	$3\pi/2$		3
	TRA	G	>	1
	TRA	G	=	1
F	CLA	R	$R - \pi$	2
	SUB	π		2
H	STO	R	$R = R - \pi$	2
	TRA	SER		1
G	CLA	2π		2
I	SUB	R	$2\pi - R$	2
	TRA	H		1
D	CLA	π	$\pi - R$	2

APPENDIX II

TABLE II-4 - ACTIVITY FUNCTIONS PROGRAM, IBM 7090 (Continued)

Item	Instruction		Remarks	Machine cycles
SER		TRA I		1
		LDQ $2/\pi$		2
		FMP R		2 - 13
		STO a		2
		LDQ a		2
		FMP a		2 - 13
		STO $a2$	$a2$	2
		LXA 2 3		2
		LDQ 2 C1		2
		FMP $a2$		2 - 13
DE		TIX 2, 1 DE		2
		FAD 2 C1		6 - 15
		STO TEM		2
		LDQ TEM		2
		TIX 2, 0 DF		2
		FMP a		2 - 13
		STO SIN (x')		2
		TIX 1, 0 SN		2
		TRA SN + 2		1
		CLA -0		2
SN		SBN SIN (x')		2
SN + 2		LXA 1 TEM		2
		LXA 2 TEM + 1		1
$g_5(x)$		STO 1 G4TAB*		2
		TIX 1, 1 INIT3		2
		TRA INIT3		1
		LDQ x		2
		FMP TWO		2 - 13

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TABLE II-4 - ACTIVITY FUNCTIONS PROGRAM, IBM 7090 (Continued)

Item	Instruction		Remarks	Machine cycles
	CAS	TWO		3
	TRA	A2	>	1
	TRA	A1	=	1
A1	STO	1 G5TAB*	<	2
	TIX	1, 1 INIT3		2
	TRA	INIT3		1
A2	STO	TEM		2
	CLA	FOUR		2
	SUB	TEM		2
	TRA	A1		1
g ₆ (x)	CLA	x		2
	CAS	ONE		3
	TRA	B1	>	1
	TRA	B3	=	1
	STZ	1 G6TAB*	<	2
B4	TIX	1 INIT3		2
	TRA	INIT3		1
B1	CAS	TWO		3
	HLT		>	
	TRA	B2	=	1
B3	CLA	TWO	<	2
B5	STO	1 G6TAB*		2
	TRA	B4		1
B2	CLA	FOUR		2
	TRA	B5		1

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TABLE II-5 - LOOKUP FUNCTION PROGRAM, IBM 7090

Item	Instruction		Remarks	Machine cycles
LOK3	CLA	N	Highest activity number	2
	STO	k		2
	CLA	x		2
	STO	x		2
	LXA	2 k		2
	CLA	2 ADDR _k (x)		2
	STA	LOK1		2
LOK1	LXA	4 x	Best allocation for k th activity	2
	CLA	4 x _k (x)TAB		2
	STO	0TAB		2
LOK2	CLA	k		2
	SUB	1		3
	CAS	ZERO		1
	TRA	LOK2	>	1
	HLT	END	=	2
	HLT		<	2
	STO	k		2
	CLA	x		2
	SUB	2 0TAB		2
	STO	x		1
TRA	LOK3			

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TABLE II-6 - COMMON STORAGE

Item	Data	Remarks
T4	C	
T3	Δ	
T2	N	
T1	x_{max}	
+6	TRA $g_1(x)$	
+5	TRA $g_2(x)$	
+4	TRA $g_3(x)$	
+3	TRA $g_4(x)$	
+2	TRA $g_5(x)$	
+1	TRA $g_6(x)$	
ADDR		
S'X		
TWONE		
ONE		
k		
$-\infty$		
β		
x_k		
IND1		
IND2		
ADDR $x_k(x)$		
	ADDRESS $x_1(x)$ TAB	
	ADDRESS $x_2(x)$ TAB	
	ADDRESS $x_3(x)$ TAB	
	ADDRESS $x_4(x)$ TAB	
	ADDRESS $x_5(x)$ TAB	
	ADDRESS $x_6(x)$ TAB	
X0		

APPENDIX II

TABLE II-6 - COMMON STORAGE (Continued)

Item	Data	Remarks
Y		
ZERO		
COMMON		
COMMON + 1		
COMMON + 2		
COMMON + 3		
C9		
C10		
TEM		
TEM + 1		
C7	400.002310715	-0.00467377
C5	000.050632127	0.07968968
C3	400.512567405	-0.64596371
C1	001.444176646	1.57079630
$2/\pi$	000.505746037	0.6366198
3		
R		
2π	006.220773230	6.2831853
$3\pi/2$	004.554574363	4.7123889
π	003.110325514	3.1415927
$\pi/2$	001.444176646	1.5707963
SINX'		
-0		
a		
a2		
TWO		
FOUR		

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$f_k(x)$	$f_{k-1}(x)$	$f_6(x)$	$f_5(x)$	$f_4(x)$	$f_3(x)$	$f_2(x)$	$f_1(x)$	θ TAB	$x_6(x)$	$x_5(x)$	$x_4(x)$	$x_3(x)$	$x_2(x)$	$x_1(x)$
----------	--------------	----------	----------	----------	----------	----------	----------	--------------	----------	----------	----------	----------	----------	----------

Figure II-8 - Table Layout, IBM 7090

APPENDIX III - PROGRAMMING OF THE DYNAMIC PROGRAMMING
TECHNIQUE FOR MACHINE I (PARALLEL)

1. INTRODUCTION

Described in this appendix is the sample problem that was programmed for Machine I using the dynamic programming technique. The objectives were to develop parallel solutions and programming techniques and to determine what difficulties might arise in programming for Machine I. Hence, the sample problem was kept small and no attempt was made to extract maximum parallelism and speed.

The narratives and programs for the Machine I sample-problem programming are detailed under Item 2; this description revolves around six activity functions, a maximization function, and a lookup function. The Machine I programming results are presented under Item 3. Under Item 4, these results are compared with those resulting from the programming of the same problem for a sequential computer.

2. NARRATIVES AND PROGRAMS

a. Activity Function 1

The flow chart for activity function 1, $g_1(x) = x$, is shown in Figure III-1; the program, in Table III-1; and the data vector format, in Table III-2.

This function is started by storing an ENB1 p_1 , JMP G1 instruction in the WAIT LIST. A free processor takes this instruction, enters g_1 into index register 1, and jumps to G1, which is the address of the first instruction of the $g_1(x)$ activity function.

Index register 2 is initialized with zero, and index register 3 is loaded with n , which is the number of times $g_1(x)$ is to be calculated. The

APPENDIX III

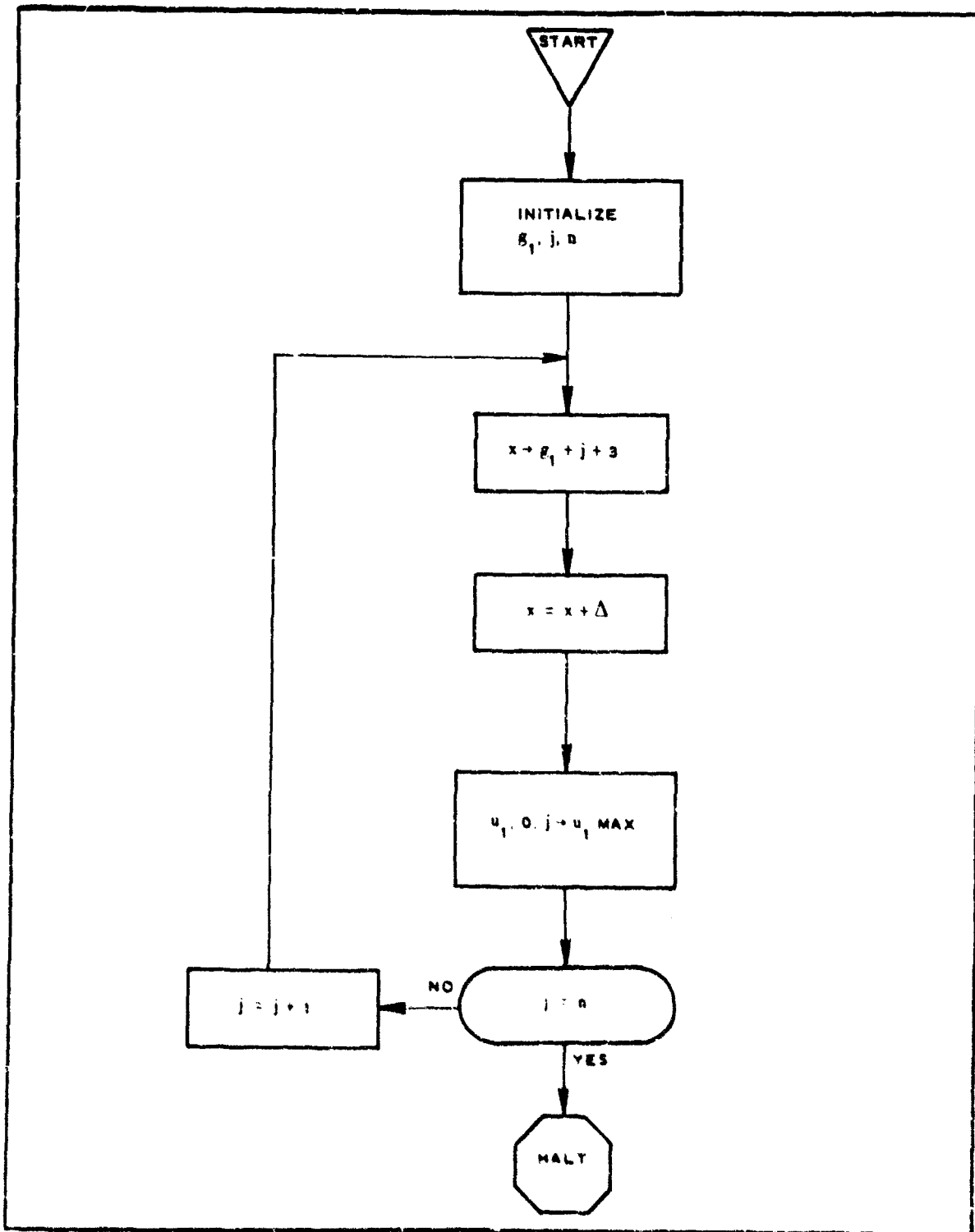


Figure III-1 - Activity Function 1 Flowchart, Machine I

APPENDIX III

TABLE III-1 - ACTIVITY FUNCTION 1 PROGRAM,
MACHINE I

Item	Instruction			Remarks	Time (μsec)
	ENB1		g ₁		30
	JMP		G1		
G1	ENB2		∅		30
	LDB3	1	2	n	
	LDA	1	∅		30
	NOP				
G1 + 2	STA	1 2	3		30
	ADD	1	1		
	LRS		72		30
	LDA		GIM		
	INAL	2	3		30
	STA	2	MTEM		
	LDA		GIM + 1	LDI ___, JMP MAX	30
	BGN	2	MTEM		
	LLS		72		30
	ISK2	3	∅		
	JMP		G1 + 2		30
	HLT				
GIM	u		0		
GIM + 1	0		j		
	LDI				
	JMP		MAX		

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TABLE III-2 - ACTIVITY FUNCTIONS 1 AND 2 DATA VECTOR
FORMATS, MACHINE I

Function $g_1(x)$		Function $g_2(x)$			
Address	Data	Address	Data	Address	Data
g_1	x	g_2	x	$g_2 + 24$	TEMP x
$g_1 + 1$	Δ	$g_2 + 1$	Δ	$g_2 + 25$	TEMP $x + 1\Delta$
$g_1 + 2$	n	$g_2 + 2$	n	$g_2 + 26$	TEMP $x + 2\Delta$
$g_1 + 3$	x_0	$g_2 + 3$	x_0^2	$g_2 + 27$	TEMP $x + 3\Delta$
$g_1 + 4$	x_1	$g_2 + 4$	x_1^2	$g_2 + 28$	TEMP $x + 4\Delta$
$g_1 + 5$	x_2	$g_2 + 5$	x_2^2	$g_2 + 29$	TEMP $x + 5\Delta$
$g_1 + 6$	x_3	$g_2 + 6$	x_3^2	$g_2 + 30$	TEMP $x + 6\Delta$
$g_1 + 7$	x_4	$g_2 + 7$	x_4^2	$g_2 + 31$	TEMP $x + 7\Delta$
$g_1 + 8$	x_5	$g_2 + 8$	x_5^2	$g_2 + 32$	TEMP $x + 8\Delta$
$g_1 + 9$	x_6	$g_2 + 9$	x_6^2	$g_2 + 33$	TEMP $x + 9\Delta$
$g_1 + 10$	x_7	$g_2 + 10$	x_7^2	$g_2 + 34$	TEMP $x + 10\Delta$
$g_1 + 11$	x_8	$g_2 + 11$	x_8^2	$g_2 + 35$	TEMP $x + 11\Delta$
$g_1 + 12$	x_9	$g_2 + 12$	x_9^2	$g_2 + 36$	TEMP $x + 12\Delta$
$g_1 + 13$	x_{10}	$g_2 + 13$	x_{10}^2	$g_2 + 37$	TEMP $x + 13\Delta$
$g_1 + 14$	x_{11}	$g_2 + 14$	x_{11}^2	$g_2 + 38$	TEMP $x + 14\Delta$
$g_1 + 15$	x_{12}	$g_2 + 15$	x_{12}^2	$g_2 + 39$	TEMP $x + 15\Delta$
$g_1 + 16$	x_{13}	$g_2 + 16$	x_{13}^2	$g_2 + 40$	TEMP $x + 16\Delta$
$g_1 + 17$	x_{14}	$g_2 + 17$	x_{14}^2	$g_2 + 41$	TEMP $x + 17\Delta$
$g_1 + 18$	x_{15}	$g_2 + 18$	x_{15}^2	$g_2 + 42$	TEMP $x + 18\Delta$
$g_1 + 19$	x_{16}	$g_2 + 19$	x_{16}^2	$g_2 + 43$	TEMP $x + 19\Delta$
$g_1 + 20$	x_{17}	$g_2 + 20$	x_{17}^2	$g_2 + 44$	TEMP $x + 20\Delta$
$g_1 + 21$	x_{18}	$g_2 + 21$	x_{18}^2		
$g_1 + 22$	x_{19}	$g_2 + 22$	x_{19}^2		
$g_1 + 23$	x_{20}	$g_2 + 23$	x_{20}^2		

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contents of the first location of the g_1 vector, which is x , is then stored in the third word of the vector. Here x is the initial value of the vector from which all subsequent values of the activity function are calculated; it is increased by Δ , then shifted into Q , and a series of instructions is executed to enable the starting of processors to operate on the maximization routine.

The maximization routine needs the beginning address of the vector and the value of the resource for which activity function returns are currently available. For every return calculated for the $g_1(x)$ activity function, a processor is started. The information transferred to this processor is u_1 , o , and j .

Inserted into the address field of the instruction at $GIM + 1$ is the location where the contents of the index registers are stored. The instruction is then stored in the WAIT LIST for an available processor. The contents of Q are now shifted back into A . Index 2 is tested to determine if all iterations have been completed. If not completed, index 2 is incremented, and the loop is repeated. When index 2 equals 21, the operation is halted.

Successive values of the function are stored in successive locations of the vector. Each location has a unique name as determined by $B1 + B2 + 3$; $B1$ equals g_1 ; $B2$ is incremented by 1 for each iteration. Successive names of elements of the vector are $g_1 + 0 + 3$, $g_1 + 1 + 3$, $g_1 + 2 + 3 + \dots$

b. Activity Function 2

The flow chart for the $g_2(x) = x^2$ activity function is shown in Figure III-2; the program in Table III-3, and the data vector format, in Table III-2 along with the $g_1(x)$ format.

This function is started in the same manner as activity function $g_1(x)$. When there is an available processor and the JMP G2 is executed, zero is

APPENDIX III

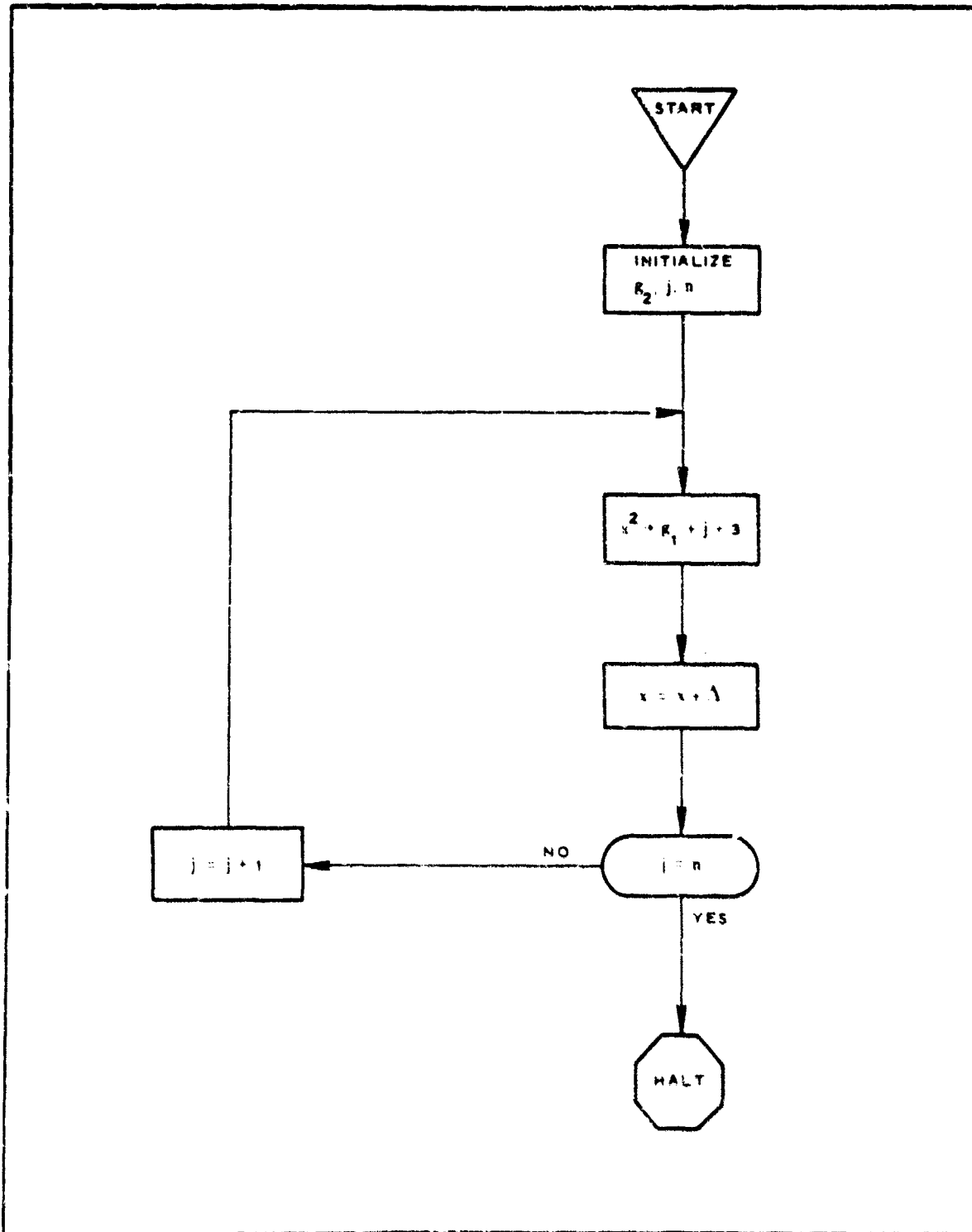


Figure III-2 - Activity Function 2 Flow Chart, Machine I

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TABLE III-3 - ACTIVITY FUNCTION 2 PROGRAM,

MACHINE I

Item	Instruction				Remarks	Time (μ sec)
	ENB1			B_2		30
	JMP			G2		
G2	ENB2			ϕ		30
	LDB3	1		2	n	
	LDA	1		ϕ		30
	NOP					
G2B	STA	1	2	3	3	60
	FMP	1	2	3	3	
	STA	1	2		3	30
	SEH	1	2	3	3	
	LDA	1	2	3	3	30
	FAD	1			1	
	NOP					30
	ISK2			3	ϕ	
	JMP				G2B	30
	HLT					

entered into index 2, and $B1 + 2 = n$ is entered into index 3. The initial value of the data vector, x , is stored temporarily in an address equal to $B1 + B2 + B3 + 3$. On the first execution of the routine, this address is equal to $g_2 + 0 + n + 3$. In subsequent executions, $B2$ is incremented up to a maximum of $n = B3$. Hence, for each execution there is a unique temporary storage address where x_1 is stored prior to forming x_1^2 . Then x_1^2 is stored in the data vector at the address equal to $B1 + B2 + 3$. Now x_1 is erased, and this location becomes an available word of memory capable of being named and used by another routine. This routine is repeated n times until all values of the activity function have been calculated. Activity functions $g_1(x)$ and $g_2(x)$ are quite simple. Except for the method of starting the processors, they could be run on a sequential machine.

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c. Activity Function 3

The flow chart for the $g_3(x) = \sqrt{x}$ activity function is shown in Figure III-3; the program, in Table III-4; and the data vector format in Table III-5.

This function is started by transferring indices $g_3, 2,$ and 0 to an available processor and jumping to the subprogram, INIT. Indices $g_3, 2,$ and 0 are in the $\beta 1$ table, and the LDI $\beta 1,$ JMP I instruction is stored in the WAIT LIST to start an available processor.

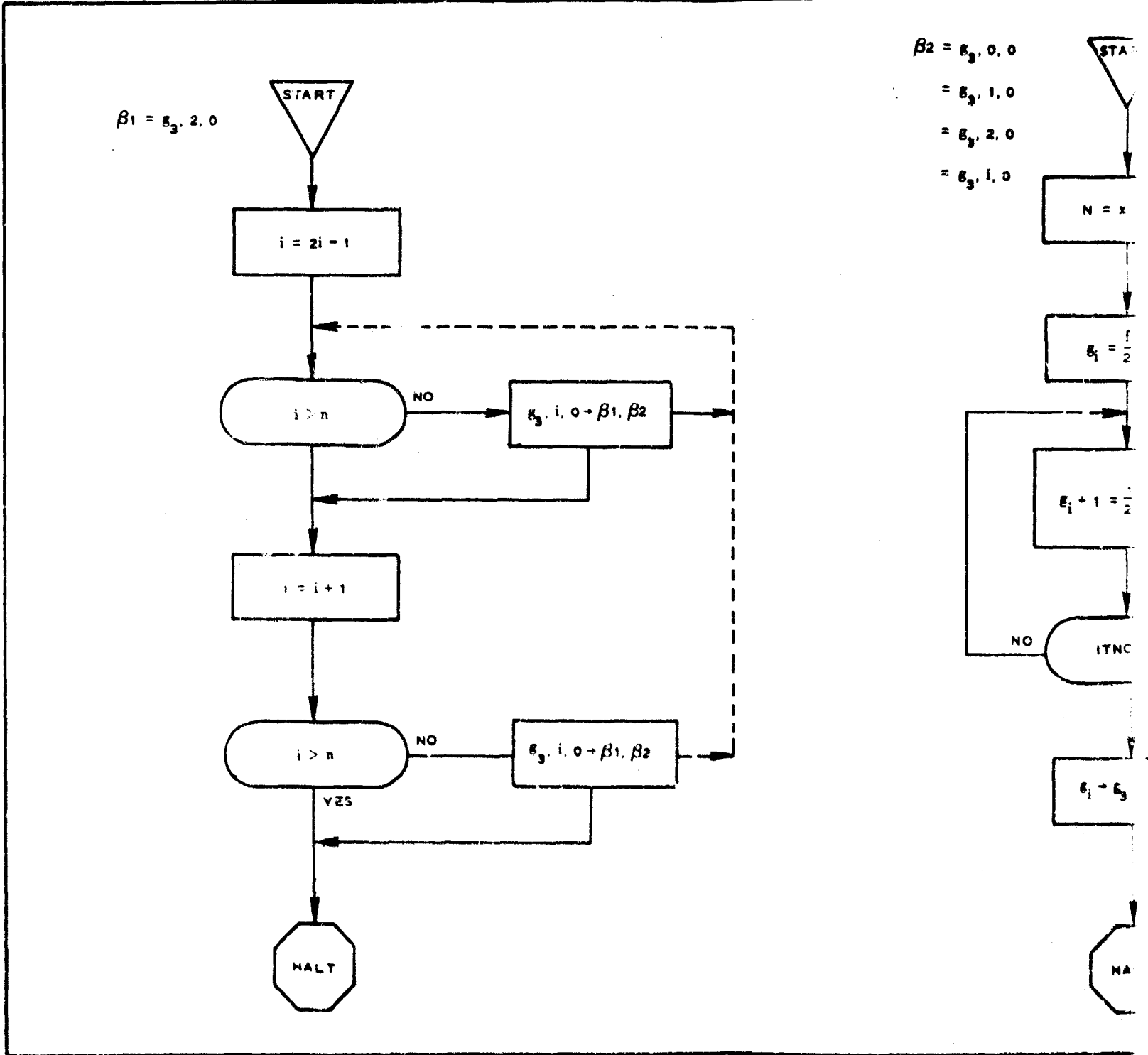
The term, $\beta 1,$ designates index values that are used as inputs to the I subprogram; and $\beta 2$ designate index values used as inputs to the LOOP and Q subprograms. Each time one of these indices is stored, an instruction is also stored in the WAIT LIST. This instruction has the pertinent β and subprogram address to enable the processor to acquire the index values and to jump to the appropriate subprogram. Since the index transfer operation is complete at the time of the jump to the subprogram, and since the index value in the β table is no longer of use, this information is erased from the β table.

Three more processors are started with indices $g_3, 0,$ and $0;$ $g_3, 1, 0;$ $g_3, 2, 0.$ These indices are stored in $\beta 2$ and are used to start the subprogram LOOP. Each time a processor is started on LOOP, another one is started on subprogram Q.

The $g_3, 2,$ and 0 indices sent to the I program were the beginning of a tree of indices generated to permit parallel calculation of the square roots. With an index of $i = 2$ as input to the I program, $2i$ and $2i - 1$ are generated and used as inputs to the I program and to the LOOP program; $2i$ and $2i - 1$ in turn generate $4i, 4i - 1, 4i - 2,$ and $4i - 3.$ Eventually, a calculated index exceeds the vector size and index calculation halts.

The LOOP subprogram calculates the square root using Newton's iteration. The Q program determines whether the exponent is even or odd, to determine the exponent of the square root.

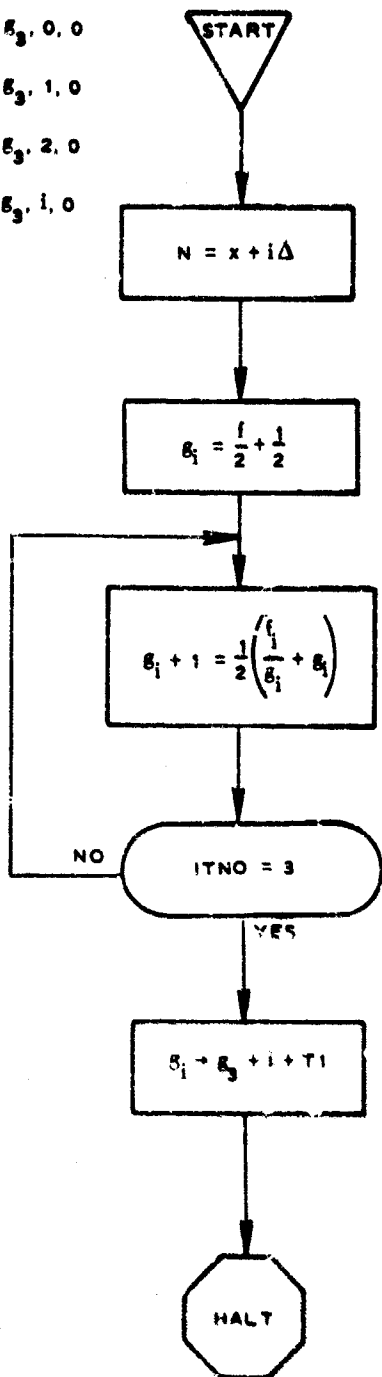
In addition to the data vector generated by the program, three other



A

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$\beta_2 = \epsilon_3, 0, 0$
 $= \epsilon_3, 1, 0$
 $= \epsilon_3, 2, 0$
 $= \epsilon_3, i, 0$



$\beta_3 = \epsilon_3, 0, 0$
 $= \epsilon_3, 1, 0$
 $= \epsilon_3, 2, 0$
 $= \epsilon_3, i, 0$

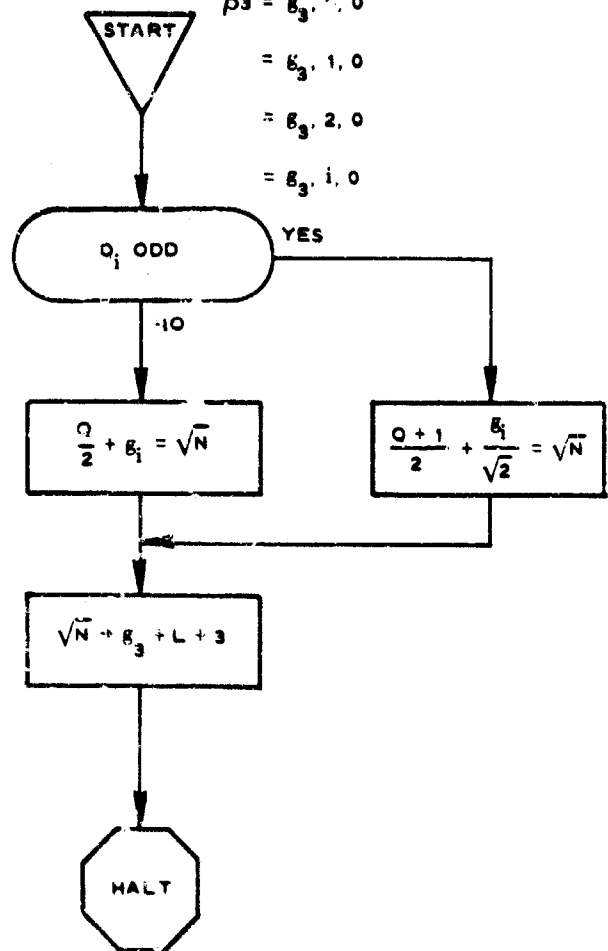


Figure III-3 - Activity Function 3 Flow Chart, Machine I

B

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TABLE III-4 - ACTIVITY FUNCTION 3 PROGRAM,

MACHINE I

Item	Instruction	Remarks	Time (μsec)
	LDI β1		30
	JMP INIT		
INIT	LDA L1	β1 B1, B2, B3	30
	BGN β1	g ₃ , 2, 0	
	ENB2 ϕ		30
	ENB4 2		
INIT 1	STI β2		30
	LDA Lβ2		
	BGN β2		30
	INB2 1		
	BJP4 INIT 1		30
	HLT		
	LDI β1		30
	JMP I		
		β1 B1, B2, B3 g ₃ , 2, 0	
i	SEH β1		30
	LDI β1		
	INB2 2 -1	i = 2i - 1	30
	ENA 2 ϕ		
	COM 1 2	2i - 1:n	30
	HLT	2	
	STI β1	2	30
	LDA Lβ1		
	BGN β1	β1	30
	STI β2	B1, B2, B3	

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TABLE III-4 - ACTIVITY FUNCTION 3 PROGRAM,
MACHINE I (Continued)

Item	Instruction	Remarks	Time (μsec)
	LDA Lβ2	$g_3, 2i - 1, 0$	30
	BGN β2		
	ENA 1	$i = 2i$	
	COM 1 2	$2i:n$	
	HLT	\geq	30
	STI β1	\leq	
	LDA Lβ1	β1	30
	BGN β1	B1, B2, B3	
	STI β2	$g_3, 2i, 0$	30
	LDA Lβ2		
	BGN β2		30
	HLT		
Lβ1	LDI . . .		
	JMP I		
Lβ2	LDI . . .		
	JMP LOOP		
	LDI β2		30
	JMP LOOP		
		β2	
		B1, B2, B3	
		$g_3, 0, 0$	
LOOP	SEH β2	$g_3, 1, 0$	30
	LDI β2	$g_3, 2, 0$	
	STI β2	$g_3, 1, 0$	30
	LDA Lβ3		

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TABLE III-4 - ACTIVITY FUNCTION 3 PROGRAM,
MACHINE I (Continued)

Item	Instruction	Remarks	Time (μsec)
	BGN β3		30
	ENA 2 ϕ		
	FMP 1 1	iΔ	60
	FAD 1 ϕ		
	STA 1 2 T2	ni	30
	AND f MASK		
	STA 1 2 T3	f	30
	ARS 1		
	ADD 1/2		30
	STA 1 2 T1		
	ENB4 2		30
	NOD		
LOOP 1	LDA 1 2 T3		60
	FDV 1 2 T1	g	
	SEH 1 2 T1		60
	FAD 1 2 T1		
	FDV 2		60
	STA 1 2 T1		
	BJP4 LOOP 1		30
	HLT		
L3	LDI . . .		30
	JMP Q		
	LDI β3		30
	JMP Q		
Q	SEH β3		30
	LDI β3		
	LDA 1 2 T2		30
	AND QIMSK Exponent Bit 1		

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TABLE III-4 - ACTIVITY FUNCTION 3 PROGRAM,
MACHINE I (Continued)

Item	Instruction	Remarks	Time (μsec)
QB	JNZA QA	Q1 ≠ 0	30
	LDA 1 2 T2	Q1 ≠ 0	
	AND QMSK	Exponent	30
	NOP		
	ARS 1		30
	ADD 1 2 T1	Fraction	
	STA 1 2 2	\sqrt{N}	30
	HLT		
	LDA 1 2 T2		30
	AND QMSK		
	ADD Q1		30
JMP QB			

temporary vectors. T1, T2, and T3, are generated to hold (1) the initial calculated guess of the square root, (2) the number itself, and (3) the fractional part of the number.

Temporary storage appears to be sizeable. The temporary addresses are actually reserved addresses not necessarily occupied. Only a portion of this block would be filled at any one time, since processors operating on the program are continually started and stopped as data are entered, used, and erased. The addresses when occupied are not available for use by other processors. However, when the data is erased the location is then free.

In a conventional memory, temporary storage is defined as a certain block of words occupied at a certain time. This area cannot be used otherwise to store instructions or data.

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TABLE III-5 - ACTIVITY FUNCTION 3 DATA VECTOR
FORMAT, MACHINE 1

Function $g_3(x)$		Temporary vectors		
Address	Data	T1	T2	T3
B_3	x			
$B_3 + 1$	Δ			
$B_3 + 2$	n			
$B_3 + 3$	$\sqrt{x_0}$	B_0	N_0	f_0
$B_3 + 4$	$\sqrt{x_1}$	B_1	N_1	f_1
$B_3 + 5$	$\sqrt{x_2}$	B_2	N_2	f_2
$B_3 + 6$	$\sqrt{x_3}$	B_3	N_3	f_3
$B_3 + 7$	$\sqrt{x_4}$	B_4	N_4	f_4
$B_3 + 8$	$\sqrt{x_5}$	B_5	N_5	f_5
$B_3 + 9$	$\sqrt{x_6}$	B_6	N_6	f_6
$B_3 + 10$	$\sqrt{x_7}$	B_7	N_7	f_7
$B_3 + 11$	$\sqrt{x_8}$	B_8	N_8	f_8
$B_3 + 12$	$\sqrt{x_9}$	B_9	N_9	f_9
$B_3 + 13$	$\sqrt{x_{10}}$	B_{10}	N_{10}	f_{10}
$B_3 + 14$	$\sqrt{x_{11}}$	B_{11}	N_{11}	f_{11}
$B_3 + 15$	$\sqrt{x_{12}}$	B_{12}	N_{12}	f_{12}
$B_3 + 16$	$\sqrt{x_{13}}$	B_{13}	N_{13}	f_{13}
$B_3 + 17$	$\sqrt{x_{14}}$	B_{14}	N_{14}	f_{14}
$B_3 + 18$	$\sqrt{x_{15}}$	B_{15}	N_{15}	f_{15}
$B_3 + 19$	$\sqrt{x_{16}}$	B_{16}	N_{16}	f_{16}
$B_3 + 20$	$\sqrt{x_{17}}$	B_{17}	N_{17}	f_{17}
$B_3 + 21$	$\sqrt{x_{18}}$	B_{18}	N_{18}	f_{18}
$B_3 + 22$	$\sqrt{x_{19}}$	B_{19}	N_{19}	f_{19}
$B_3 + 23$	$\sqrt{x_{20}}$	B_{20}	N_{20}	f_{20}

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In the Machine I memory a portion of each word is used to denote the name or address of the word. Any word can have any name that is representable in the 24 bits of the name field. In addition, any unnamed word is available for use by any program at any time.

It is possible through indiscriminate naming to have common names in a number of programs, which situation may be undesirable. Hence, where a large number of programs are running, a portion of the name field, the prefix, should be used to isolate names to a particular program. Such a prefix name is unique to a particular program. The original name plus the prefix constitute a unique name for the individual program.

During the study, the unique prefix names were carried in index register 1. The other indices and address fields of the instructions were used to generate the suffix names.

d. Activity Function 4

The flow chart for the $g_4(x) = 2 \sin x$ activity function is shown in Figure III-4; the program, in Table III-6; and the data vector format, in Table III-7.

This function is composed of six subprograms: HSIN, SER, $\text{SIN}(i + j)\Delta$, $\text{COS}(i + j)\Delta$, $\text{SIN}(x + j\Delta)$ and $\text{COS}(x + j\Delta)$. HSIN computes a and a^* for the input, x , and also δ and δ^* for the input, Δ ; a , a^* , δ , and δ^* are inputs to SER for the calculation of $\sin x$, $\cos x$, $\sin \Delta$, and $\cos \Delta$.

$\sin 2\Delta$ and $\cos 2\Delta$ are calculated, and a tree is started to generate indices that permit calculation of $\sin i\Delta$, $\cos i\Delta$, $\sin(i - 1)\Delta$, and $\cos(i - 1)\Delta$ for $i > 2$. For each incremental angle the $\sin x + i\Delta$ and $\cos x + i\Delta$ are computed and stored in the output data vector.

To start the SIN routine, index $g_4 = 0, 0$ and index $g_4 + 1, 5, 0$ are transferred to two available processors. These units execute the HSIN subprogram, one working with x and the other working with Δ . After a , a^* , δ , and δ^* are calculated, four processors are started, each executing the SER subprogram. The outputs are $\sin x$, $\cos x$, $\sin \Delta$ and $\cos \Delta$. The

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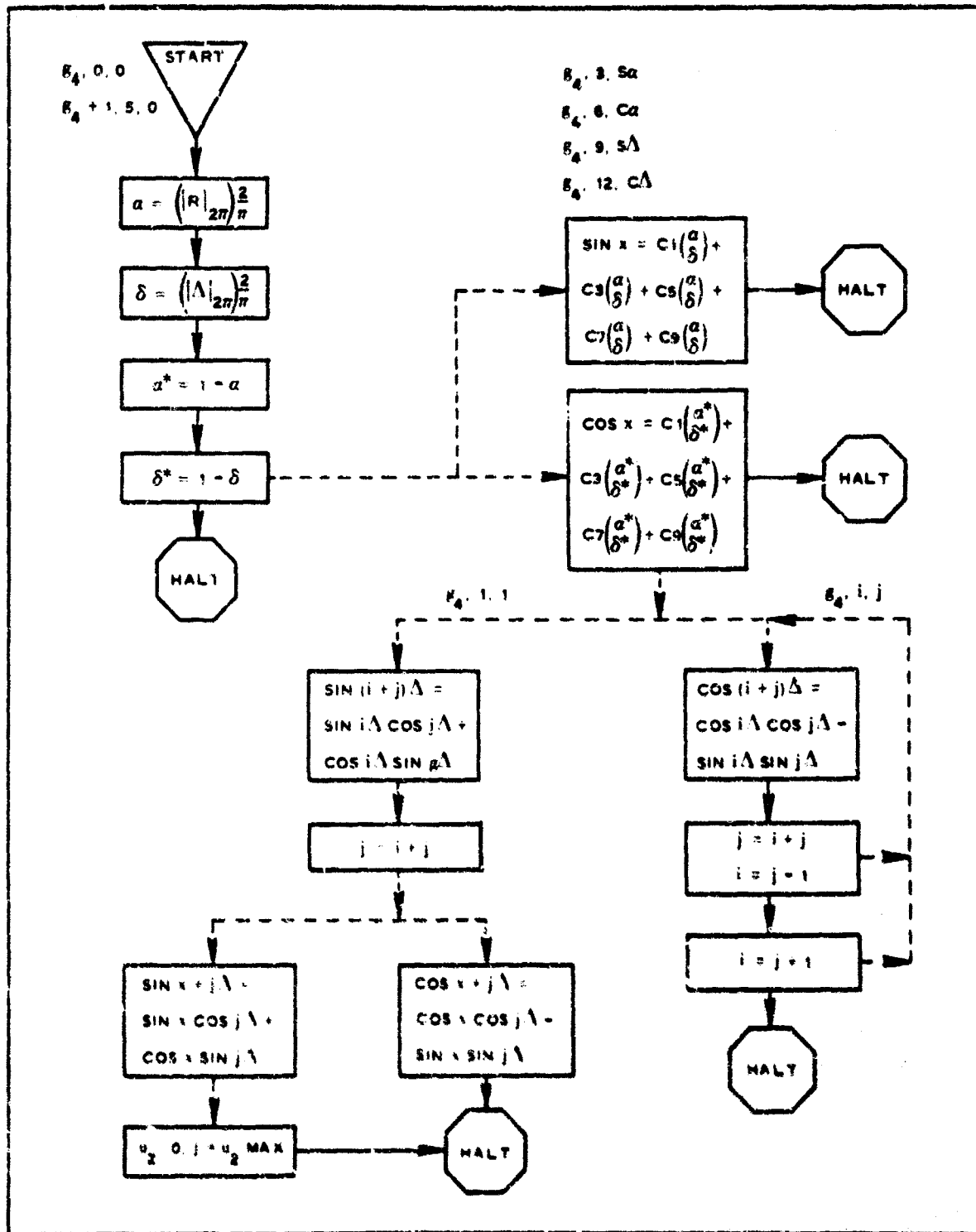


Figure III-4 - Activity Function 4 Flow Chart, Machine I

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TABLE III-6--ACTIVITY FUNCTION 4 PROGRAM, MACHINE I

Item	Instruction			Remarks	Time (μsec)
	LDI		ρ	ρ	30
	JMP		HSIN	B1, B2, B3 $B_4, 0, 0$ $B_4 + 1, 5, 0$	
HSIN	SEH		ρ		30
	LDI		ρ		
	LDA	1	ρ	x or Δ	30
	NOP				
HS	FSU		2π		60
	NOP				
	COM		2π		30
	JMP		HS		
	STA	1 2	3	R or r	30
	NHA		γ		
	ARS		1	γ A	30
	STQ	1 2	5	$\pm \sin x, \pm \sin \Delta$	
	LRS		1		30
	STQ	1 2	8	$\cos x, \pm \cos \Delta$	
HS2	SEH	1 2	3		30
	LDA	1 2	3	R or r	
	FMP		$2/\pi$		60
	STA	1 2	3	α or δ	
	LAC	1 2	3		30
	STA	1 2	6	α^* or δ^*	
	BJP2		HLT		30
	NOP				
HS1	ENB4		3		30
	NOP			β output	

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TABLE III-6 - ACTIVITY FUNCTION 4 PROGRAM, MACHINE I (Continued)

Item	Instruction			Remarks	Time (μsec)
HS3	LDI	4	k	B1, B2, B3	30
	STI		β	k, S ₄ , 3, S _a	
	LDA		L	+1, S ₄ , 6, C _a	30
	BGN		β	+2, S ₄ , 9, S _Δ	
	BJP4		HS3	+3, S ₄ , 12, C _Δ	30
	HLT				
L	LDI		...		
	JMP		SER		
Y			2π		
Y			3π/2	+-	
Y			π	-+	
Y			π/2	++	
2π					
π/2					
	LDI		β		30
	JMP		SER	β B1, B2, B3 S ₄ , 3, S	
SER	SEH		β	S ₄ , 6, C	30
	LDI		β	S ₄ , 9, S	
	LDA	1	2	β	60
	FMP	1	2	β	
	STA	1	2	1	60
	FMP			C9	
	FAD			C7	60
	FMP	1	2	1	
	FAD			C5	60
	FMP	1	2	1	

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TABLE III-6 - ACTIVITY FUNCTION 4 PROGRAM, MACHINE I (Continued)

Item	Instruction			Remarks	Time (μ sec)
	FAD		C3		60
	FMP	1 2	1		
	FAD		C1		60
	FMP	1 2	ϕ		
	STA	1	3 ϕ		30
	LDA	1 2	2		
	AND		SMSK		30
	SEH	1	3 ϕ		
	OR	1	3 ϕ		30
	STA	1	3 ϕ	$\pm \sin x, \cos x,$ $\sin \Delta, \cos \Delta$	
	INB2		-12		30
	BJP2		SER1		
	HLT				30
	NOP				
SER1	ENB2		1	START SIN (i + j) Δ	30
	ENB3		1	COS (i + j) Δ	
	STI		m		30
	STI		n		
	LDA		N		30
	BGN		m		
SER2	LDA		S ϕ		30
	NP2		SER2		
	SEH		S ϕ		30
	FAD		S ϕ		
	STA		S ϕ		30
	NOP				
SER3	LDA		C ϕ		30
	NPJ		SER3		

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TABLE III-6 - ACTIVITY FUNCTION 4 PROGRAM, MACHINE I (Continued)

Item	Instruction			Remarks	Time (μ sec)
	SEH		Ca		30
	FAD		Ca		
	STA		Ca		30
	LDA		$N + 1$		
	BGN		n		30
	ENB2		ϕ		
	STI		g		30
	LDA		P	START	
	BGN		g	$SIN(x + j\Delta), j = 1$	30
	STI		h	$COS(x + j\Delta), j = 1$	
	LDA		$P + 1$		30
	BGN		h		
	HLT				30
	NOP				
N	LDI		...		
	JMP		$SIN(i + j)\Delta$		
N + 1	LDI		...		
	JMP		$COS(i + j)\Delta$		
	LDI		m		30
	JMP		$SIN(i + j)\Delta$		
$SIN(i + j)\Delta$	SEH		m		30
	ADD		m	$B1, B2, B3$	
S_{ijA}	LDA	1 2	$S\Delta$	g_4, i, j	30
	NPJ		S_{ijA}		
S_{ijB}	FMP	1 3	$C\Delta$		60
	NPJ		S_{ijB}		
	STA	1 2 3	$S\Delta T$	$(i + j)TEMP$	30
	NOP				
S_{ijC}	LDA	1 3	$S\Delta$		30

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TABLE III-6 - ACTIVITY FUNCTION 4 PROGRAM, MACHINE I (Continued)

Item	Instruction	Remarks	Time (μsec)
SijD	NPJ	SijC	
	FMP 1 2	CΔ	60
	NPJ	SijD	
	SEH 1 2 3	SΔT	30
	ADD 1 2 3	SΔT	
	STA 1 2 3	SΔ	30
	INB3 2	ϕ	
	STI	g	g or table
	LDA	P	
	BGN	g	B1, B2, B3
	STI	L	g ₄ , 0, j
	LDA	P + 1	
	BGN	h	
	HLT		
	NOP		
P	LDI	...	g
	JMP	SIN (x + jΔ)	
P + 1	LDI	...	h
	JMP	COS (x + jΔ)	
	LDI	n	30
	JMP	COS (i + j)Δ	
		B1, B2, B3	
		g ₄ , i, j	
COS (i + j)Δ	SEH	n	30
	ADD	n	
	LDA 1 2	SΔ	SIN iΔ
	NPJ		
	FMP 1 3	SΔ	SIN jΔ
	NPJ		60

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TABLE III-6 - ACTIVITY FUNCTION 4 PROGRAM, MACHINE I (Continued)

Item	Instruction				Remarks	Time (usec)	
	STA	1	2	3	CΔT	(i + j)TEMP	30
	LDA	1	2		CΔ		
	NPJ						60
	FMP	1		3	CΔ		
	NPJ						30
	SEH	1	2	3	CΔT		
	SUB	1	2	3	CΔT		30
	STA	1	2	3	CΔ		
	INB3		2		∅	i + j	30
	ENB2			3	-1	i + j - 1	
	LAC	1			2	-n	30
	INA		2	3	∅		
	JNGA				CA		30
	JNZA				HLT		
CA	STI				e		30
	LDA				M		
	BGN				e	LDI ___, JMP, SijA	30
	STI				f		
	LDA				m + 1		30
	BGN				f	LDI ___, JMP, CijΔ	
	INB2				1		30
	LAC	1			2	-n	
	INA		2	3	∅		30
	JNGA				CB		
	JNZA						30
	NOP						
CB	STI				e		30
	LDA				M	LDI ___, JMP SijΔ	
	BGN				e		30

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TABLE III-6 - ACTIVITY FUNCTION 4 PROGRAM, MACHINE I (Continued)

Item	Instruction		Remarks	Time (μsec)
SIN ($x + j\Delta$)	STI	f		
	LDA	m + 1		30
	BGN	f	LDI ___, JMP Cij Δ	
	HLT			30
	NOP			
	LDI	g		
	JMP	SIN x + j Δ		
	SEH	g	B1, B2, B3	30
	ADD	g	g ₄ , 0, j	
	LDA	S α		30
	FMP	1 3 C Δ	COS j Δ	
	NPJ			30
	STA	1 3 S α T		
	LDA	C α		60
	FMP	1 3 S Δ		
	SEH	1 3 S α T		30
	ADD	1 3 S α T		
	STA	1 3 S α	SIN x + j Δ	30
	LDA	GAM		
	INAL	3 3		30
STA	3 mteml			
LDA	GIM + 1	START u ₂ MAX	30	
BGN	3 mteml			
HLT			30	
NOP				
G4m	u ₂	0		
	0			
	LDI	h		30

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TABLE III-6 - ACTIVITY FUNCTION 4 PROGRAM, MACHINE I (Continued)

Item	Instruction			Remarks	Time (μ sec)
COS (x + j Δ)	JMP	COS (x + j Δ)			30
	SEH	h			30
	ADD	h			
	LDA	Sa			60
	FMP	1	3 S Δ	sin j Δ	
	STA	1	3 SaT		30
	LD	Ca			
	FMP	1	3 C Δ	cos j Δ	60
	NPJ				
	SEH	1	3 SaT		30
	SUB	1	3 SaT		
	STA	1	3 Ca	COS (x + j Δ)	30
	HLT				

indices transferred to the SER processors are $g_4, 3, Sa; g_4, 6, Ca; g_4, 9, S\Delta$; and $g_4, 12, C\Delta$; g_4 is the prefix name; 3, 6, 9, and 12 are data vector addresses, relative to g_4 , of the input variables $a, a^*, \delta,$ and δ^* ; $Sa, Ca, S\Delta,$ and $C\Delta$ are initial addresses of the output data vectors.

The SER subprogram generates one set of indices to start the SIN (i + j) Δ and COS (i + j) Δ subprograms and also generates one index set to start the calculation of sin x + Δ and cos x + Δ . The index set that starts the SIN (i + j) Δ and COS (i + j) Δ subprograms is used to generate the tree of indices that are, in turn, inputs to the SIN (i + j) $\Delta,$ COS (i + j) $\Delta,$ SIN (x + j Δ), and COS (x + j Δ) subprograms.

The indices transferred to the processor executing the HSIN subprogram are erased, and x or Δ is then normalized mod 2π . The normalized quantity is next compared to the four quadrant angles, each of which has stored in its lower two bits the correct signs of sines and cosines of angles

TABLE III-7 - ACTIVITY FUNCTION 4 DATA VECTOR FORMAT, MACHINE I

Address	Data	Function $g_4(x)$										Temporary vectors				
		Address	Data	Address	Data	Address	Data	Address	Data	Address	Data	T1	T2	T3		
S_4	x	S_4	$\sin a$	C_4	$\cos a$	S_4	$\sin a$	S_4	$\sin a$	C_4	$\cos a$	C_4	\dots	T	ST	CT
$S_4 + 1$	Δ	$S_4 + 1$	$\sin a + \Delta$	$C_4 + 1\Delta$	$\cos a + \Delta$	$S_4 + 1$	$\sin a + \Delta$	$S_4 + 1$	$\sin a + \Delta$	$C_4 + 1$	$\cos a + \Delta$	$C_4 + 1$	$\cos \Delta$	T	ST	CT
$S_4 + 2$	n	$S_4 + 2$	$\sin a + 2\Delta$	$C_4 + 2\Delta$	$\cos a + 2\Delta$	$S_4 + 2$	$\sin a + 2\Delta$	$S_4 + 2$	$\sin 2\Delta$	$C_4 + 2$	$\cos 2\Delta$	$C_4 + 2$	$\cos 2\Delta$	T	ST	CT
$S_4 + 3$	a^2	$S_4 + 3$	$\sin a + 3\Delta$	$C_4 + 3\Delta$	$\cos a + 3\Delta$	$S_4 + 3$	$\sin a + 3\Delta$	$S_4 + 3$	$\sin 3\Delta$	$C_4 + 3$	$\cos 3\Delta$	$C_4 + 3$	$\cos 3\Delta$	T	ST	CT
$S_4 + 4$	a^2	$S_4 + 4$	$\sin a + 4\Delta$	$C_4 + 4\Delta$	$\cos a + 4\Delta$	$S_4 + 4$	$\sin a + 4\Delta$	$S_4 + 4$	$\sin 4\Delta$	$C_4 + 4$	$\cos 4\Delta$	$C_4 + 4$	$\cos 4\Delta$	T	ST	CT
$S_4 + 5$	SIGN	$S_4 + 5$	$\sin a + 5\Delta$	$C_4 + 5\Delta$	$\cos a + 5\Delta$	$S_4 + 5$	$\sin a + 5\Delta$	$S_4 + 5$	$\sin 5\Delta$	$C_4 + 5$	$\cos 5\Delta$	$C_4 + 5$	$\cos 5\Delta$	T	ST	CT
$S_4 + 6$	a^2	$S_4 + 6$	$\sin a + 6\Delta$	$C_4 + 6\Delta$	$\cos a + 6\Delta$	$S_4 + 6$	$\sin a + 6\Delta$	$S_4 + 6$	$\sin 6\Delta$	$C_4 + 6$	$\cos 6\Delta$	$C_4 + 6$	$\cos 6\Delta$	T	ST	CT
$S_4 + 7$	a^2	$S_4 + 7$	$\sin a + 7\Delta$	$C_4 + 7\Delta$	$\cos a + 7\Delta$	$S_4 + 7$	$\sin a + 7\Delta$	$S_4 + 7$	$\sin 7\Delta$	$C_4 + 7$	$\cos 7\Delta$	$C_4 + 7$	$\cos 7\Delta$	T	ST	CT
$S_4 + 8$	SIGN	$S_4 + 8$	$\sin a + 8\Delta$	$C_4 + 8\Delta$	$\cos a + 8\Delta$	$S_4 + 8$	$\sin a + 8\Delta$	$S_4 + 8$	$\sin 8\Delta$	$C_4 + 8$	$\cos 8\Delta$	$C_4 + 8$	$\cos 8\Delta$	T	ST	CT
$S_4 + 9$	δ	$S_4 + 9$	$\sin a + 9\Delta$	$C_4 + 9\Delta$	$\cos a + 9\Delta$	$S_4 + 9$	$\sin a + 9\Delta$	$S_4 + 9$	$\sin 9\Delta$	$C_4 + 9$	$\cos 9\Delta$	$C_4 + 9$	$\cos 9\Delta$	T	ST	CT
$S_4 + 10$	δ^2	$S_4 + 10$	$\sin a + 10\Delta$	$C_4 + 10\Delta$	$\cos a + 10\Delta$	$S_4 + 10$	$\sin a + 10\Delta$	$S_4 + 10$	$\sin 10\Delta$	$C_4 + 10$	$\cos 10\Delta$	$C_4 + 10$	$\cos 10\Delta$	T	ST	CT
$S_4 + 11$	SIGN	$S_4 + 11$	$\sin a + 11\Delta$	$C_4 + 11\Delta$	$\cos a + 11\Delta$	$S_4 + 11$	$\sin a + 11\Delta$	$S_4 + 11$	$\sin 11\Delta$	$C_4 + 11$	$\cos 11\Delta$	$C_4 + 11$	$\cos 11\Delta$	T	ST	CT
$S_4 + 12$	δ^2	$S_4 + 12$	$\sin a + 12\Delta$	$C_4 + 12\Delta$	$\cos a + 12\Delta$	$S_4 + 12$	$\sin a + 12\Delta$	$S_4 + 12$	$\sin 12\Delta$	$C_4 + 12$	$\cos 12\Delta$	$C_4 + 12$	$\cos 12\Delta$	T	ST	CT
$S_4 + 13$	δ^2	$S_4 + 13$	$\sin a + 13\Delta$	$C_4 + 13\Delta$	$\cos a + 13\Delta$	$S_4 + 13$	$\sin a + 13\Delta$	$S_4 + 13$	$\sin 13\Delta$	$C_4 + 13$	$\cos 13\Delta$	$C_4 + 13$	$\cos 13\Delta$	T	ST	CT
$S_4 + 14$	SIGN	$S_4 + 14$	$\sin a + 14\Delta$	$C_4 + 14\Delta$	$\cos a + 14\Delta$	$S_4 + 14$	$\sin a + 14\Delta$	$S_4 + 14$	$\sin 14\Delta$	$C_4 + 14$	$\cos 14\Delta$	$C_4 + 14$	$\cos 14\Delta$	T	ST	CT
$S_4 + 15$		$S_4 + 15$	$\sin a + 15\Delta$	$C_4 + 15\Delta$	$\cos a + 15\Delta$	$S_4 + 15$	$\sin a + 15\Delta$	$S_4 + 15$	$\sin 15\Delta$	$C_4 + 15$	$\cos 15\Delta$	$C_4 + 15$	$\cos 15\Delta$	T	ST	CT
$S_4 + 16$		$S_4 + 16$	$\sin a + 16\Delta$	$C_4 + 16\Delta$	$\cos a + 16\Delta$	$S_4 + 16$	$\sin a + 16\Delta$	$S_4 + 16$	$\sin 16\Delta$	$C_4 + 16$	$\cos 16\Delta$	$C_4 + 16$	$\cos 16\Delta$	T	ST	CT
$S_4 + 17$		$S_4 + 17$	$\sin a + 17\Delta$	$C_4 + 17\Delta$	$\cos a + 17\Delta$	$S_4 + 17$	$\sin a + 17\Delta$	$S_4 + 17$	$\sin 17\Delta$	$C_4 + 17$	$\cos 17\Delta$	$C_4 + 17$	$\cos 17\Delta$	T	ST	CT
$S_4 + 18$		$S_4 + 18$	$\sin a + 18\Delta$	$C_4 + 18\Delta$	$\cos a + 18\Delta$	$S_4 + 18$	$\sin a + 18\Delta$	$S_4 + 18$	$\sin 18\Delta$	$C_4 + 18$	$\cos 18\Delta$	$C_4 + 18$	$\cos 18\Delta$	T	ST	CT
$S_4 + 19$		$S_4 + 19$	$\sin a + 19\Delta$	$C_4 + 19\Delta$	$\cos a + 19\Delta$	$S_4 + 19$	$\sin a + 19\Delta$	$S_4 + 19$	$\sin 19\Delta$	$C_4 + 19$	$\cos 19\Delta$	$C_4 + 19$	$\cos 19\Delta$	T	ST	CT
$S_4 + 20$		$S_4 + 20$	$\sin a + 20\Delta$	$C_4 + 20\Delta$	$\cos a + 20\Delta$	$S_4 + 20$	$\sin a + 20\Delta$	$S_4 + 20$	$\sin 20\Delta$	$C_4 + 20$	$\cos 20\Delta$	$C_4 + 20$	$\cos 20\Delta$	T	ST	CT

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within that quadrant. The NHA γ instruction obtains the smallest quadrant angle larger than the argument in the accumulator and replaces the contents of the accumulator. The sign bits are shifted into Q and stored.

Now a^* and δ^* are computed, and the indices to be transferred to the SER program are stored prior to starting the four SER processing units. The SER program computes $\sin x$, $\cos x$, $\sin \Delta$, and $\cos \Delta$ from inputs a , a^* , δ , and δ^* using the Hastings Sine series. The processing unit that computes $\cos \Delta$ generates indices and starts instructions for the processors to begin executing the $\text{SIN}(i + j)\Delta$, $\text{COS}(i + j)\Delta$, $\text{SIN}(x + j\Delta)$, and $\text{COS}(x + j\Delta)$ subprograms.

The $\text{SIN}(i + j)\Delta$ subprogram gets its input indices from a temporary table, after which the indices are erased. The product $\sin i\Delta \cos j\Delta$ is found and added to $\sin j\Delta \cos i\Delta$ and stored. Nonpresence jump instructions are used to be certain that the words fetched from memory are the exact ones requested. The $\text{SIN}(i + j)\Delta$ subprogram also generates indices, which are transferred to processors assigned to the $\text{SIN}(x + j\Delta)$ and $\text{COS}(x + j\Delta)$ subprograms.

The $\text{COS}(i + j)\Delta$ subprogram gets its index inputs from a temporary table in a similar manner to the $\text{SIN}(i + j)\Delta$ subprogram. While the computation is similar to that for the $\text{SIN}(i + j)\Delta$ subprogram, the $\text{COS}(i + j)\Delta$ program generates indices that are used by the $\text{SIN}(i + j)\Delta$ and $\text{COS}(i + j)\Delta$ subprograms to generate more branches of the tree $x + j\Delta$. For each i, j input, 2 sets of indices are generated: $j = i + j, i = j - 1$ and $j = i + j, i = j$. Hence for $i = 1$, the inputs are $j = 1$ and the output sets are $i = 1, j = 2$ and $i = 2, j = 2$. These in turn generate $i = 2, j = 3, i = 3, j = 3$ and $i = 3, j = 4, i = 4, j = 4$.

For each set of indices sent to the $\text{SIN}(i + j)\Delta$ subprogram, the sum $j = i + j$ is sent to the $\text{SIN}(x + j\Delta)$ and $\text{COS}(x + j\Delta)$ subprograms. For each additional $\text{SIN}(x + j\Delta)$ subprogram generated, there is a processing unit assigned to the u_2 maximization program.

e. Activity Function 5

The flow chart for the $g_5(x)$ activity function is shown in Figure III-5; the program, in Table III-8; and the data vector format, in Table III-9. The returns calculated from this function are

$$g_5(x) = \begin{pmatrix} 2x \text{ if } 0 \leq x \leq 1 \\ 4 - 2x \text{ if } 1 \leq x \leq 2 \end{pmatrix}.$$

The $g_5(x)$ function is executed by transferring the address of the g_5 data vector to a processing unit and jumping to G5. Index registers 2 and 3 are initialized with 0 and n ; x is obtained from the data vector and stored in (1) a temporary word at the end of the data vector and (2) the first word of the output portion of the data vector.

The iterative portion of the program begins by fetching and erasing the temporary word = x , adding Δ to it, and storing $x + \Delta$ back in the temporary location. The incremented value in A is now doubled and tested. If $0 \leq X \leq 1$, then the doubled value is stored in the data vector at the address $B1 + B2 + 4$. If $1 \leq X \leq 2$, then $4 - 2x$ is stored.

Index 2 is now compared to index 3. Index 2 carries the current iteration number, while index 3 carries the maximum number of iterations to be performed. If the maximum has not been exceeded, the program is repeated with index 2 incremented. If the maximum has been reached, the processing unit halts.

f. Activity Function 6

The flow chart for activity function $g_6(x) = 2(x)$ is shown in Figure III-6; the program, in Table III-10; and the data vector format, in Table III-9, along with the $g_5(x)$ format.

This function is started by transferring the address of the g_6 data vector to a processing unit and jumping to G6; x is obtained from the first word of the vector and stored in a temporary location. If x is less than 1, zero is stored in the data vector; if x is equal to or greater than 1 but

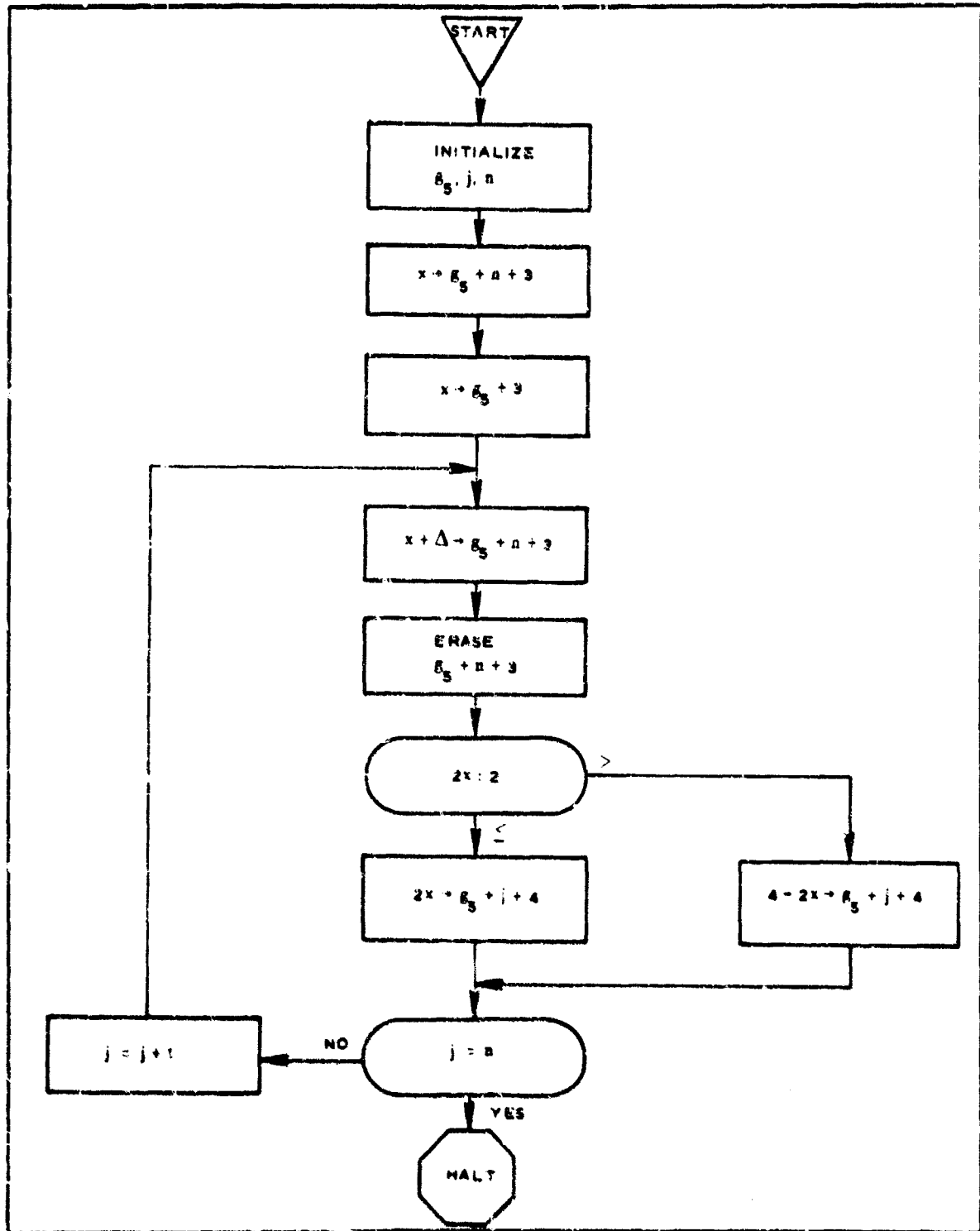


Figure III-5 - Activity Function 5 Flow Chart, Machine I

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TABLE III-8 - ACTIVITY FUNCTION 5 PROGRAM, MACHINE I

Item	Instruction				Remarks	Time (μsec)
G5	ENB1				G5	30
	JNP				G5	
	ENB2				ϕ	30
		LDB3	1		2	
		LDA	1		ϕ	30
		STA	1	3	3	
		STA	1		3	30
G5B	NOP					
		SEH	1	3	3	30
		LDA	1	3	3	
		ADD	1		1	30
		STA	1	3	3	
		ALS			1	30
		INA			-2	
		JNGA			G5A	30
		JNZA			G5A	
		INA			2	30
G5C		STA	1	3	4	
		ENA			4	30
		SEH	1	3	4	
		SUB	1		4	30
		STA	1	2	3	4
		ISK2		3	ϕ	30
		JNP			G5B	
		HLT				30
		NOP				
	G5A		INA			2
		STA	1	2	4	
		JMP			G5C	30
		NOP				

TABLE III-9 - ACTIVITY FUNCTIONS 5 AND 6
DATA VECTOR FORMATS, MACHINE I

Function $g_5(x)$		Function $g_6(x)$	
Address	Data	Address	Data
g_5	x	g_6	x
$g_5 + 1$	Δ	$g_6 + 1$	Δ
$g_5 + 2$	n	$g_6 + 2$	n
$g_5 + 3$	x_0	$g_6 + 3$	x_0
$g_5 + 4$	x_1	$g_6 + 4$	x_1
$g_5 + 5$	x_2	$g_6 + 5$	x_2
$g_5 + 6$	x_3	$g_6 + 6$	x_3
$g_5 + 7$	x_4	$g_6 + 7$	x_4
$g_5 + 8$	x_5	$g_6 + 8$	x_5
$g_5 + 9$	x_6	$g_6 + 9$	x_6
$g_5 + 10$	x_7	$g_6 + 10$	x_7
$g_5 + 11$	x_8	$g_6 + 11$	x_8
$g_5 + 12$	x_9	$g_6 + 12$	x_9
$g_5 + 13$	x_{10}	$g_6 + 13$	x_{10}
$g_5 + 14$	x_{11}	$g_6 + 14$	x_{11}
$g_5 + 15$	x_{12}	$g_6 + 15$	x_{12}
$g_5 + 16$	x_{13}	$g_6 + 16$	x_{13}
$g_5 + 17$	x_{14}	$g_6 + 17$	x_{14}
$g_5 + 18$	x_{15}	$g_6 + 18$	x_{15}
$g_5 + 19$	x_{16}	$g_6 + 19$	x_{16}
$g_5 + 20$	x_{17}	$g_6 + 20$	x_{17}
$g_5 + 21$	x_{18}	$g_6 + 21$	x_{18}
$g_5 + 22$	x_{19}	$g_6 + 22$	x_{19}
$g_5 + 23$	x_{20}	$g_6 + 23$	x_{20}
$g_5 + 24$	TEMP	$g_6 + 24$	TEMP
$g_5 + 25$	TEMP · 1	$g_6 + 25$	TEMP · 1

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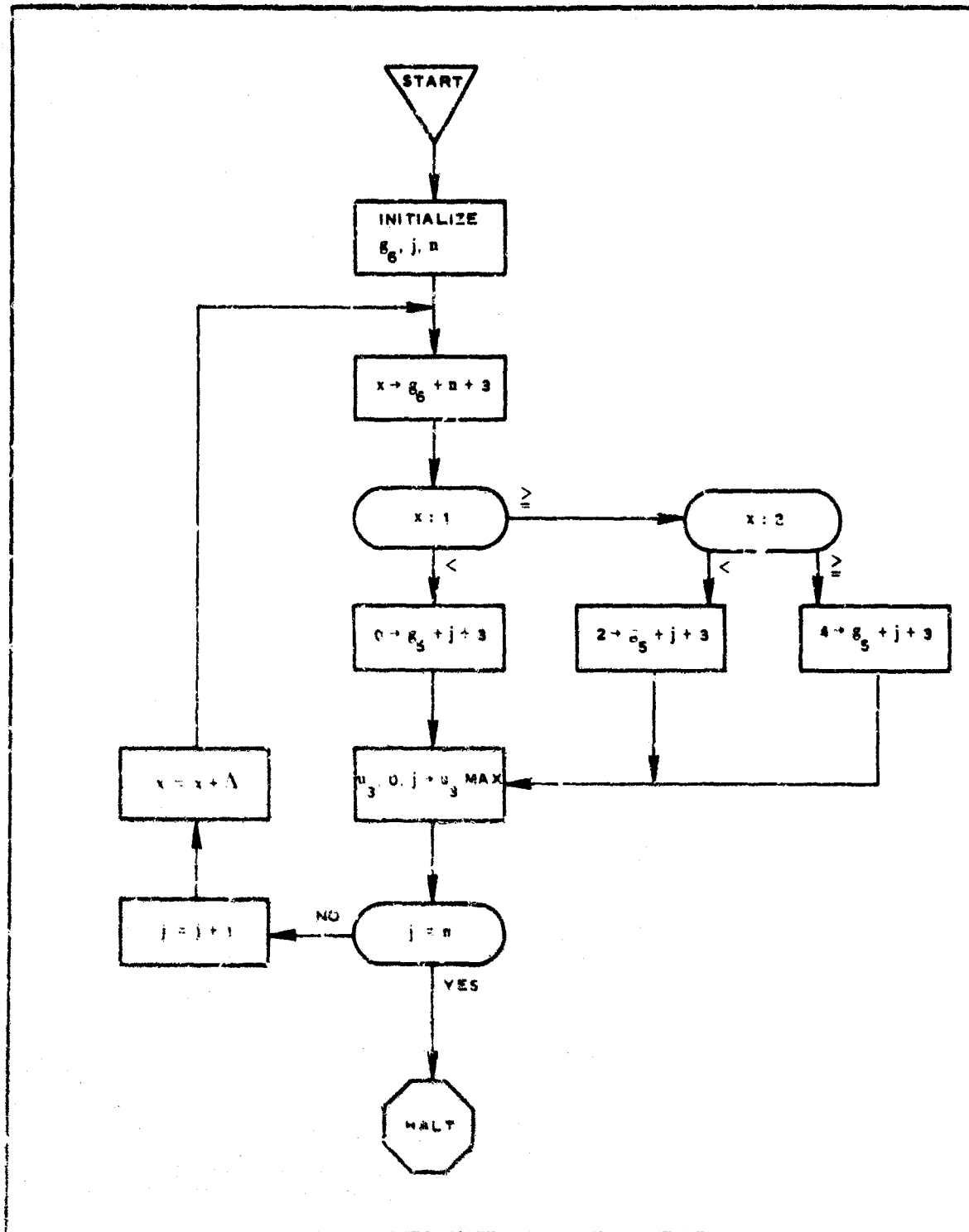


Figure III-6 - Activity Function 6 Flow Chart, Machine I

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TABLE III-10 - ACTIVITY FUNCTION 6 PROGRAM, MACHINE I

Item	Instruction			Remarks	Time (μsec)
G6	ENB1		86		30
	JMP		G6		
	ENB2		ϕ		30
	LDB3	1	2	n	
G6D	LDA	1	ϕ		30
	JMP		G6E		
	LDA	1	3 3		30
G6E	ADD	1	1		
	STA	1	3 3		30
G6B	COM		1		
	JMP		G6A		30
	LDA		ϕ		
	STA	1 2	3		30
	LDA		G6M		
	INAL	2	3		30
	STA	2	MTEM2		
	LDA		GIM + 1	LDI ___, JMP MAX	30
	BGN		MTEM + 2		
	ISK2	3	ϕ		30
G6A	JMP		G6D		
	HLT				30
	NOF				
	COM		2		30
	JMP		G6C		
G6C	ENA		2		30
	JMP		G6B		
	ENA		4		30
	JMP		G6B		
	u ₃		0		
	0		1		

less than 2, then 2 is stored in the data vector; and if x is equal to or greater than 2, then 4 is stored in the vector.

For each value stored in the data vector, a processor is started on the u_3 maximization program. The resource for which the value of the activity function has just been calculated is transferred to the u_3 maximization program. This enables u_3 to begin the maximization process to determine what allocation of this resource will result in the maximum return.

g. Maximization Function

The flow chart for the maximization function is shown in Figure III-7; the program, in Table III-11; and the data vector format, in Table III-12.

The maximization routine is started by storing an LDI____, JMP MAX instruction in the jump table. The address of the LDI instruction is the address where the indices to be transferred are stored. These addresses - namely, $MTEM + B3$, $MTEM 1 + B3$, $MTEM 2 + B3$, $MTEM 3 + B3$, and $MTEM 4 + B3$ - contain, respectively, the indices for execution of the maximization for function u_1 , u_2 , u_3 , u_4 and u_5 . Index register 1 is used to hold address u_1 , u_2 , u_3 , u_4 , or u_5 ; index register 2 is initially zero; and index register 3 carries j , which indicates the maximum value of resource for which returns are currently available. As the function returns are being calculated, processors are being started with the index information in the MTEM tables.

Index register 4 is loaded with the address located in the second word of the data vector whose address is in index register 1. The contents of index register 1 can be u_1 , u_2 , u_3 , u_4 , or u_5 . Correspondingly, word 1 of these data vectors contain the address of the first return in data vectors g_1 , g_3 , g_5 , u_1 , or u_4 . Word 2 of each u vector contains the address of the first return in data vectors g_2 , $g_4 + 15$, g_6 , u_3 , or u_2 . Index register 5 is loaded with one of these corresponding addresses.

The contents of the address are the sum of the contents of index registers 3 and 5. If this address is present in the memory, the contents are loaded into A. If $B5 = g_2$ and $B3 = 5 = j + 3$, then the return from function g_2

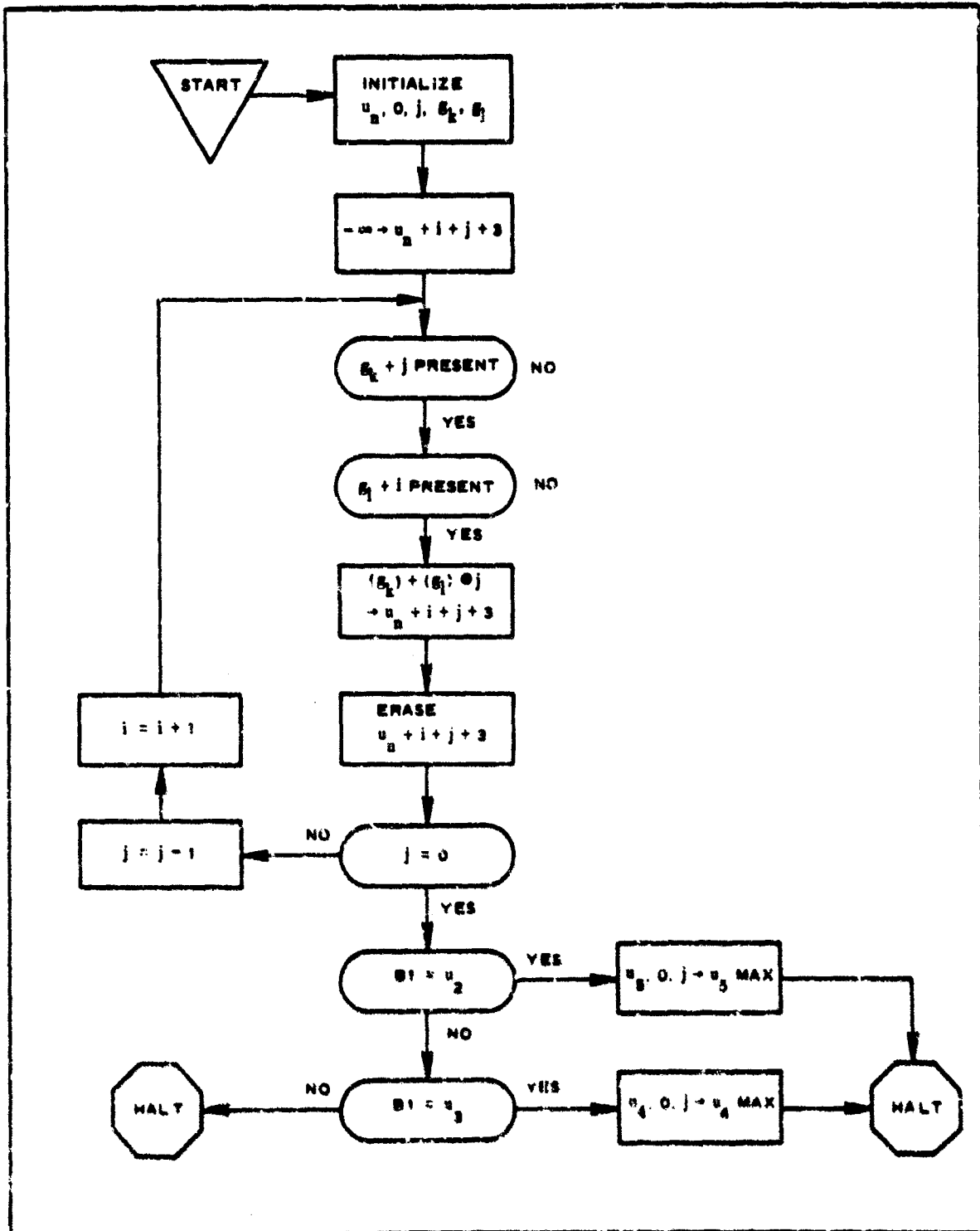


Figure III-7 - Maximisation Function Flow Chart, Machine I

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TABLE III-11 - MAXIMIZATION FUNCTION PROGRAM, MACHINE I

Item	Instruction					Remarks	Time (μ sec)
	LDI						30
	JMP					MAX	
MAX	LDB4	1			1	Inputs	30
	LDB5	1			2	B1, B2, B3	
	LDA				$-\infty$	$u_1, 0, j$	30
	STA	1	2	3	3	$u_2, 0, j$	
MAXA	LDA		3	5	ϕ	$u_3, 0, j$	30
	NPJ				MAXA	$u_4, 0, j$	
MAXC	ADD		2	4	ϕ	$u_5, 0, j$	30
	NPJ				MAXC		
	AND				LS24		30
	INA			3	ϕ		
	STA	1	2	3	3		30
	SEH	1	2	3	3		
	ADD	1	2	3	3		30
	BJP3				MAXB		
	ENB3		2		ϕ		30
	ENA				u_2		
	INA	1			ϕ		30
	JNZA				MAXE		
	JMP				MAXD	DO u_5	30
	NOP						
MAXE	ENA				u_3		30
	INA	1			ϕ		
	JNZA				HLT		30
	LDB1				u_4	DO u_4	
	ENB2				ϕ		30

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TABLE III-11 - MAXIMIZATION FUNCTION PROGRAM, MACHINE I(Continued)

Item	Instruction	Remarks	Time (μsec)
MAXD	STI 3	MTEM3	30
	LDA	GIM + 1 LDI MTEM3 + B3, JMP MAX	
	BGN 3	MTEM3	
	HLT		
	NOP		
MAXB	LDB1	u ₅	30
	ENB2 ∅		
	STI 3	MTEM4	30
	LDA	GIM + 1 LDI MTEM4 + B3, JMP MAX	
	BGN 3	MTEM4	30
ML	HLT		
	INB2	1	30
MTEM	JMP	MAXA	
	LDI		
MTEM1	JMP	MAX	
	u ₁	0	
MTEM2	0	j	
	u ₂	0	
MTEM3	0	j	
	u ₃	0	
MTEM4	0	j	
	u ₄	0	
MTEM4	0	j	
	u ₅	0	
	0	j	

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TABLE III-12 - MAXIMIZATION FUNCTION DATA VECTOR FORMAT

MACHINE I

Function u_1	Function u_2	Function u_3	Function u_4	Function u_5
u_1	u_2	u_3	u	u_5
g_1	g_3	g_5	u_1	u_4
g_2	$g_4 + 15$	g_6	u_3	u_2
$u_1(0): y_1(0)$	$u_2(0): y_2(0)$	$u_3(0): y_2(0)$	$u_4(0): y_4(0)$	$u_5(0): y_5(0)$
$u_1(1): y_1(1)$	$u_2(1): y_2(1)$	$u_3(1): y_2(1)$	$u_4(1): y_4(1)$	$u_5(1): y_5(1)$
$u_1(2): y_1(2)$	$u_2(2): y_2(2)$	$u_3(2): y_2(2)$	$u_4(2): y_4(2)$	$u_5(2): y_5(2)$
$u_1(3): y_1(3)$	$u_2(3): y_2(3)$	$u_3(3): y_2(3)$	$u_4(3): y_4(3)$	$u_5(3): y_5(2)$
$u_1(4): y_1(4)$	$u_2(4): y_2(4)$	$u_3(4): y_2(4)$	$u_4(4): y_4(4)$	$u_5(4): y_5(4)$
$u_1(5): y_1(5)$	$u_2(5): y_2(5)$	$u_3(5): y_2(5)$	$u_4(5): y_4(5)$	$u_5(5): y_5(5)$
$u_1(6): y_1(6)$	$u_2(6): y_2(6)$	$u_3(6): y_2(6)$	$u_4(6): y_4(6)$	$u_5(6): y_5(6)$
$u_1(7): y_1(7)$	$u_2(7): y_2(7)$	$u_3(7): y_2(7)$	$u_4(7): y_4(7)$	$u_5(7): y_5(7)$
$u_1(8): y_1(8)$	$u_2(8): y_2(8)$	$u_3(8): y_2(8)$	$u_4(8): y_4(8)$	$u_5(8): y_5(8)$
$u_1(9): y_1(9)$	$u_2(9): y_2(9)$	$u_3(9): y_2(9)$	$u_4(9): y_4(9)$	$u_5(9): y_5(9)$
$u_1(10): y_1(10)$	$u_2(10): y_2(10)$	$u_3(10): y_2(10)$	$u_4(10): y_4(10)$	$u_5(10): y_5(10)$
$u_1(11): y_1(11)$	$u_2(11): y_2(11)$	$u_3(11): y_2(11)$	$u_4(11): y_4(11)$	$u_5(11): y_5(11)$
$u_1(12): y_1(12)$	$u_2(12): y_2(12)$	$u_3(12): y_2(12)$	$u_4(12): y_4(12)$	$u_5(12): y_5(12)$
$u_1(13): y_1(13)$	$u_2(13): y_2(13)$	$u_3(13): y_2(13)$	$u_4(13): y_4(13)$	$u_5(13): y_5(13)$
$u_1(14): y_1(14)$	$u_2(14): y_2(14)$	$u_3(14): y_2(14)$	$u_4(14): y_4(14)$	$u_5(14): y_5(14)$
$u_1(15): y_1(15)$	$u_2(15): y_2(15)$	$u_3(15): y_2(15)$	$u_4(15): y_4(15)$	$u_5(15): y_5(15)$
$u_1(16): y_1(16)$	$u_2(16): y_2(16)$	$u_3(16): y_2(16)$	$u_4(16): y_4(16)$	$u_5(16): y_5(16)$
$u_1(17): y_1(17)$	$u_2(17): y_2(17)$	$u_3(17): y_2(17)$	$u_4(17): y_4(17)$	$u_5(17): y_5(17)$
$u_1(18): y_1(18)$	$u_2(18): y_2(18)$	$u_3(18): y_2(18)$	$u_4(18): y_4(18)$	$u_5(18): y_5(18)$
$u_1(19): y_1(19)$	$u_2(19): y_2(19)$	$u_3(19): y_2(19)$	$u_4(19): y_4(19)$	$u_5(19): y_5(19)$
$u_1(20): y_1(20)$	$u_2(20): y_2(20)$	$u_3(20): y_2(20)$	$u_4(20): y_4(20)$	$u_5(20): y_5(20)$

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for a resource allocation of 0.2 is loaded into A. Similarly the return for a zero resource assignment to function g_1 is obtained via B4 and B2 and added to the previous contents of the accumulator. The least significant 24 bits of the sum are replaced by $(B3) = j$. The contents of A, bits 24 to 71, are now the sum of the returns from activity function g_2 and g_1 for resource assignments of 0.2 to g_2 and 0 to g_1 . The J, representing the assignment of 0.2 units to g_2 , is stored in the least significant 24 bits; A contents are stored in data vectors u_1 at the address that is the sum of the contents of index registers 2 and 3 plus the contents of the address position of the instruction; or $B1 + B2 + B3 + 3 = u_1 + 0 + 2 + 3$.

For a resource of 0.2, there are now 2 more possible allocation sets: 0.1 to g_2 and 0.1 to g_1 , and 0 to g_2 and 0.2 to g_1 . Returns for these two assignments are found as indicated earlier, and they are stored in words with the same address (name). For example, the sum of the g_2 and g_1 returns for a resource allocation of 0.2 to g_2 and 0 to g_1 is stored in a word with an address equal to $B1 + B2 + B3 + 3$, or $u_1 + 0 + 2 + 3$. The returns for other permissible combinations of resource allocation - such as 0.1 to g_2 and 0.1 to g_1 ; and 0 to g_2 and 0.2 to g_1 - are stored in memory with the same name. The name is derived from the contents of index register 1 and the quantity of resource that is to be allocated. Since a large negative value was stored with this name upon entry to the maximization routine, the first larger entry stored with this name will be located above the large negative value. The next instruction after the store (STA 123 3) is a single erase high (SEH 123 3) followed by a fetch type instruction. The result of this execution is to erase the word where the name equal to the contents of the sum of B1, B2, B3, and 3 and where magnitude is smallest. In this case, after one storage instruction two words are in memory have the same name:

Name	Data
$u_1 + 5$	some + value
$u_1 + 5$	large - value

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After the SEH instruction, the word with the large negative value is erased. With this sequence of instructions, successive values of a function could be stored in the memory; and after a machine cycle, one word of the data vector could be erased, always leaving the largest value in the vector at the top of the vector. Index register 3, in combination with index register 2, determines the combinations of allowable resource allocations. Initially, index 3 has the maximum current resource, and index 2 has the minimum compatible resource to be allocated. In the process of finding the maximum return for a given resource assignment, index 3 is decremented and index 2 is incremented; for each combination, the appropriate activity returns are found, added together, and stored in the data vector where the smallest element of the vector is erased and the largest element of the vector retained.

Each input to the maximization function results in the formation of a segment of one of the maximized return vectors. The transfer of index data u_1 , 0, and j to a processing unit results in $j + 1$ pieces of data being stored in vector u_1 , all with names $u_1 + j$. When the calculation of the $j + 1$ pieces of data is completed, only the largest is retained, and it is located in j th position of the u_1 vector.

In this example problem, there are 105 possible combinations of inputs to this single routine. This means that a possible 105 processing units are operating on one program. Five vectors are to be generated - u_1 , u_2 , u_3 , u_4 , u_5 - and j can range between 0 and 20 in steps of 1.

There is even more parallelism in this section of the problem. Each possible resource combination could have been assigned to an arithmetic unit. In this sample problem, there are 21 possible resource allocations to the 5 vectors for a total of 1155 possible combinations of resource to be maximized. Each combination could have been assigned to a processor unit. This approach, however, would entail more calculation to specify the input values of the indices. In the present method, only the maximum value, j , of the resource is transferred, and a loop is executed $j + 1$ times.

The remainder of this program sets up the indices to start processing units calculating the u_4 and u_5 vectors. Whenever work is being done on vectors u_2 or u_3 and the largest value has been found for some resource, j , then j and the u_4 or u_5 indices are transferred to a new processing unit to start work on the u_4 or the u_5 vector.

h. Lookup Function

The flow chart for the lookup function is shown in Figure III-8; and the program, in Table III-13.

The input to the lookup function is the quantity of resource x to be allocated to the 6 activity functions. The resource is used in combination with the name of a maximized data vector to search the vector for the recommended resource assignment. When the recommended assignment is found, the remainder is assigned to the next maximized vector; and so on.

The search word is made up of the NHA instruction and the contents of B1, which in turn is equal to the name of the data vector and the quantity of resource to be allocated. This search word is used to find the element of the vector that has the recommended assignment for that quantity of resource. The recommended assignments are stored in the 0 list of Table III-13.

3. RESULTS

a. Activity Functions 1 and 2

The timing charts for the $g_1(x)$ and the $g_2(x)$ activity functions are shown in Figures III-9 and III-10, respectively. Since these functions were relatively simple expressions, it was felt that little would be gained in time by attempting to compute their various values in parallel. Hence, one processing unit was assigned to each function, and an iterative program was written that evaluates the function over the range of the argument. Each function turns out a new value of the function about every 180 usec. For each new functional value calculated, the $g_1(x)$

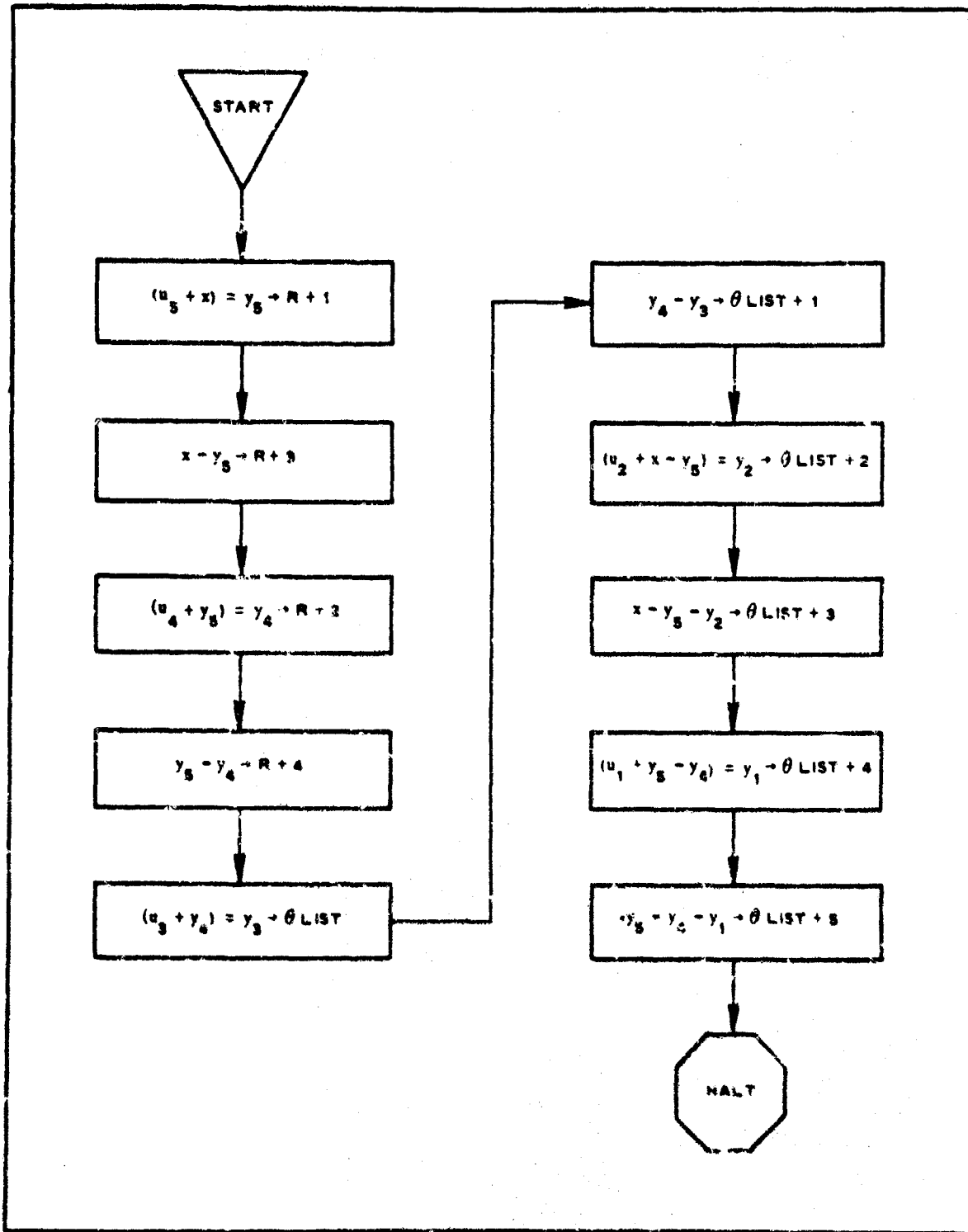


Figure III-8 - Lookup Function Flow Chart, Machine I

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TABLE III-13 - LOOKUP FUNCTION PROGRAM, MACHINE I

Item	Instruction		
LOOKUP	JMP		LOOKUP
	LDB1		IN ADD
	INB1		R
	NHA	1	∅
	ENB4		LA
	STB4		R + 1
	LDA		R
	SUB		R + 1
	STA		R + 3
	INB2		1
	LDB1	2	IN ADD
	INB1		R + 1
	NHA	1	∅
	ENB4		LA
	STB4		R + 2
	LDA		R + 1
	SUB		R + 2
	STA		R + 4
	ENB3		2
	ENB5		1
LUI	INB2		1
	LDB1	2	IN ADD
	INB1		5 R + 2
	NHA	1	∅
	ENB4		LA
	STB4	3	∅ list
	LDA		5 R + 2
	SUB	3	∅ list
STA	3	∅ list + 1	

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TABLE III-13 - LOOKUP FUNCTION PROGRAM, MACHINE I

(Continued)

Item	Instruction	
	INB3	2
	ISK5	3
	JMP	LUI
	HLT	
IN ADD	NOF	
	u_5	
	u_4	
	u_3	
	u_2	
	u_1	
R	x	
	y_5	
	y_4	
	$x - y_5$	
	$y_5 - y_4$	
θ LIST	θ_6	y_3
	θ_5	$y_4 - y_3$
	θ_4	y_2
	θ_3	$x - y_5 - y_2$
	θ_2	y_1
	θ_1	$y_5 - y_6 - y_1$

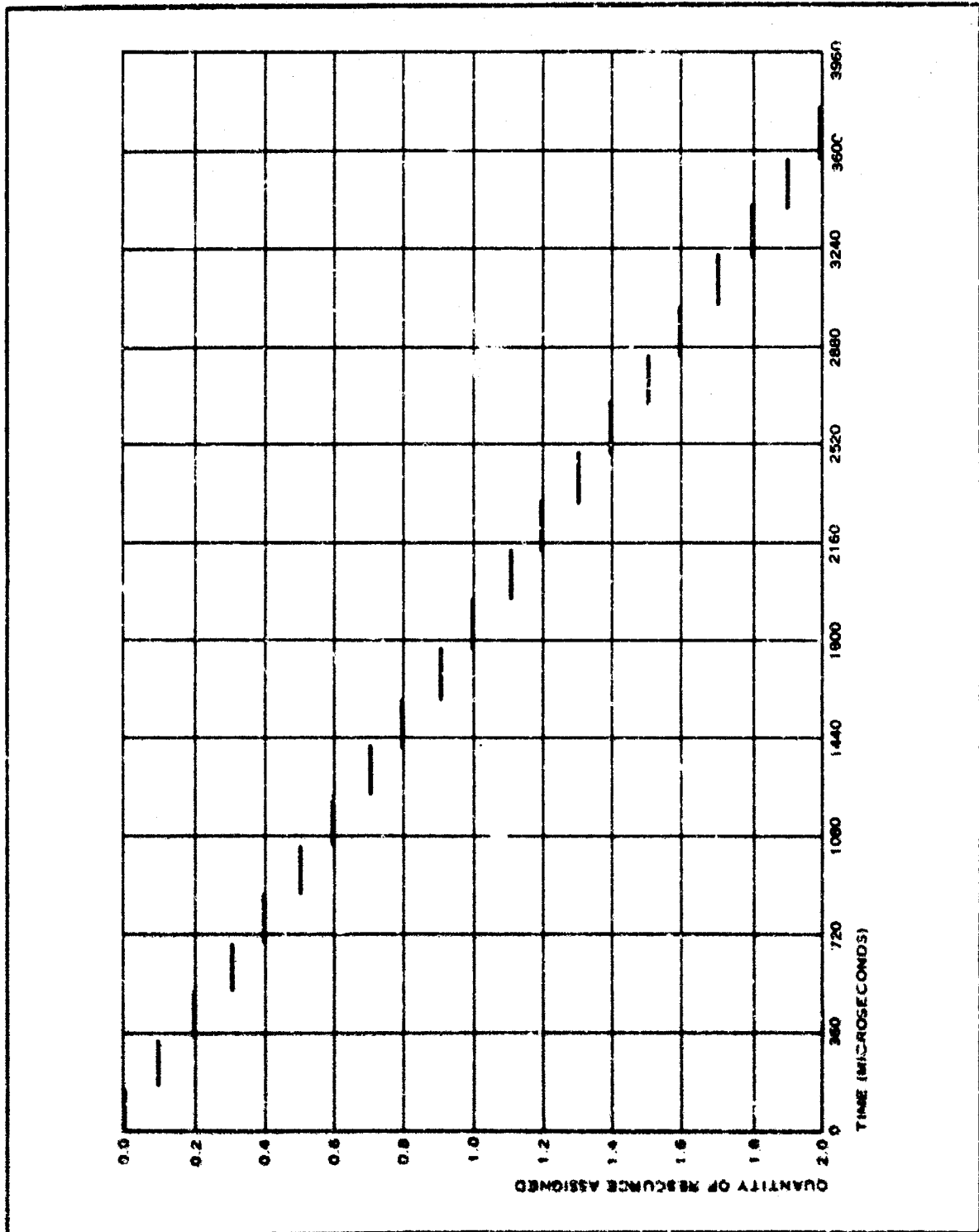


Figure III-9 - Activity Function 1 Timing Chart, Machine 1

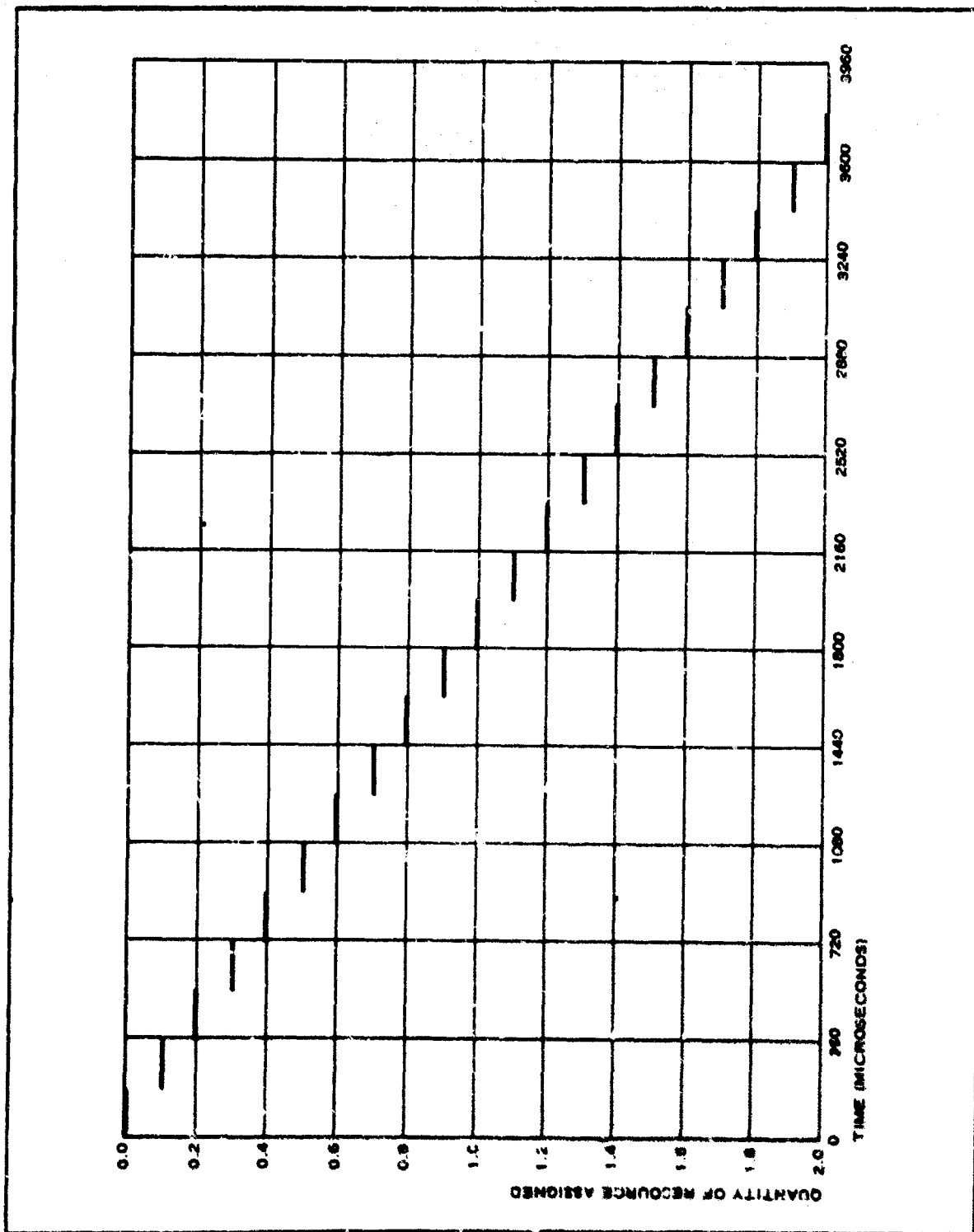


Figure III-10 - Activity Function 2 Timing Chart, Machine I

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function generates the start instructions and indices for the u_1 maximization program. In this manner maximization continues in parallel but slightly behind the activity function calculation. The u_1 maximization program uses as its inputs the functional values of the $g_1(x)$ and $g_2(x)$ programs, which use 1 processor each and calculate in about 3780 μ sec.

b. Activity Function 3

The timing chart for the $g_3(x)$ activity function is shown in Figure III-11. This function displays more parallelism than the $g_1(x)$ and $g_2(x)$ function. For each input to the program, three processors are activated. One processor calculates indices to maintain the treeing operation, one executes the iterative loop, and another tests the exponent of the floating joint input number. The number of processors in use varies from 1 to 35, and total time is about 1950 μ sec.

The treeing operation is maintained by calculating from the input indices two more sets of indices, which in turn result in the calculation of four sets of indices. Each level of index calculation results in a number of index sets equal to a power of two. The quantity of data being generated increases exponentially, while the time to generate the data increases linearly.

The approximate minimum and maximum output-data times at the second through eighth levels of indexing are shown in Table III-14; note that the minimum increase is 180 μ sec, and the maximum increase 300 μ sec, from one level to the next. It is possible to predict the calculation of a large number of square roots; for example, 256 in a time equal to 3090 μ sec.

c. Activity Function 4

The timing chart for the $g_4(x)$ activity function is shown in Figure III-12. This function computes the sines and cosines of 42 angles in about 4300 μ sec, using a maximum of 26 processors. Except for initial calculations, four processors operate on each value of the input argument. Two

**TABLE III-14 - ACTIVITY FUNCTION 3 MINIMUM AND
MAXIMUM OUTPUT-DATA TIMES, MACHINE I**

Level	Output-data time (μsec)		
	Minimum	Maximum	Data elements
2	1170	1290	2
3	1350	1590	4
4	1530	1890	8
5	1710	2190	16
6	1890	2490	32
7	2070	2790	64
8	2250	3090	128

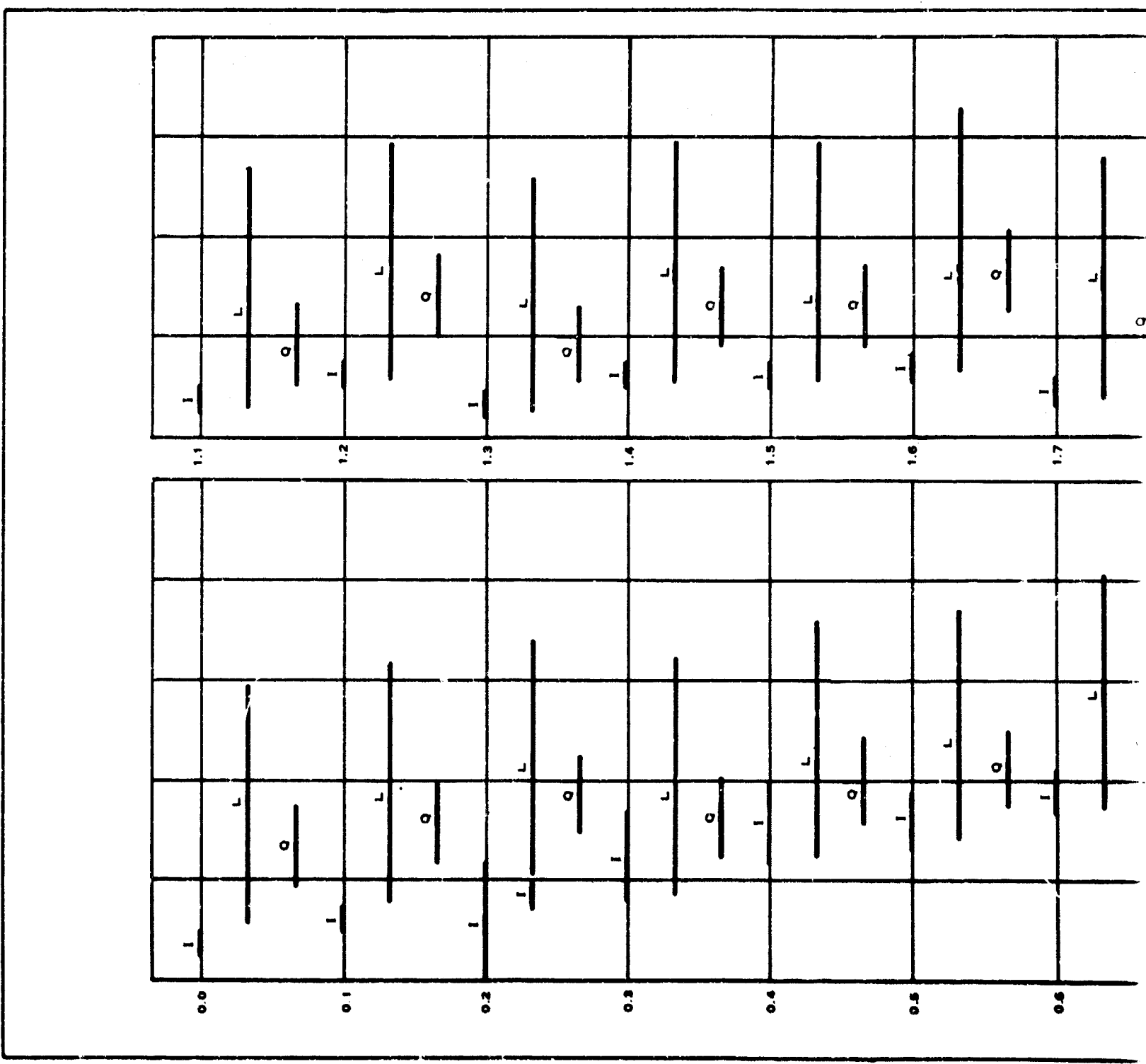
processors calculate the sine and cosine of the incremental angle; two more calculate the sine and cosine of the base angle, plus the incremental angle, while index treeing calculations are being performed by the previous processors.

In this routine, the minimum and maximum times between index levels increase at a linear rate; while the quantity of data being generated increases exponentially for a linear increase in time.

The approximate minimum and maximum output-data times at the second through fifth levels of indexing are shown in Table III-15; note that the minimum increase is $510 \mu\text{sec}$, and the maximum increase $690 \mu\text{sec}$, from one level to the next. It is possible to generate 256 sets of sines and cosines in about $6650 \mu\text{sec}$.

d. Activity Functions 5 and 6

The timing charts for the $g_5(x)$ and the $g_6(x)$ activity functions are shown in Figures III-13 and III-14, respectively. These functions are similar to the $g_1(x)$ and $g_2(x)$ function in that one processor was assigned to each function because of the low inherent parallelism.



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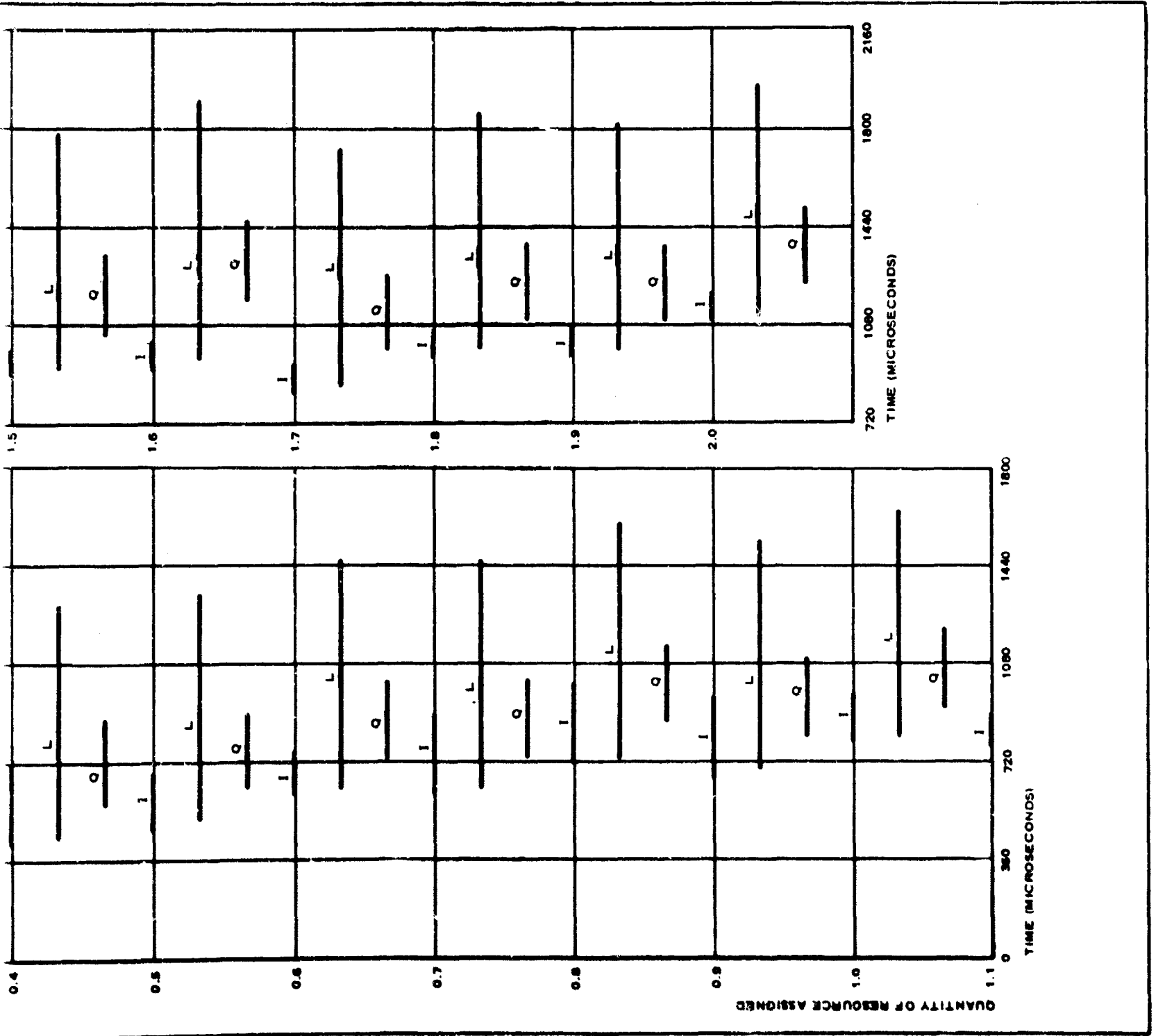


Figure III-11 - Activity Function 3 Timing Chart, Machine 1

B

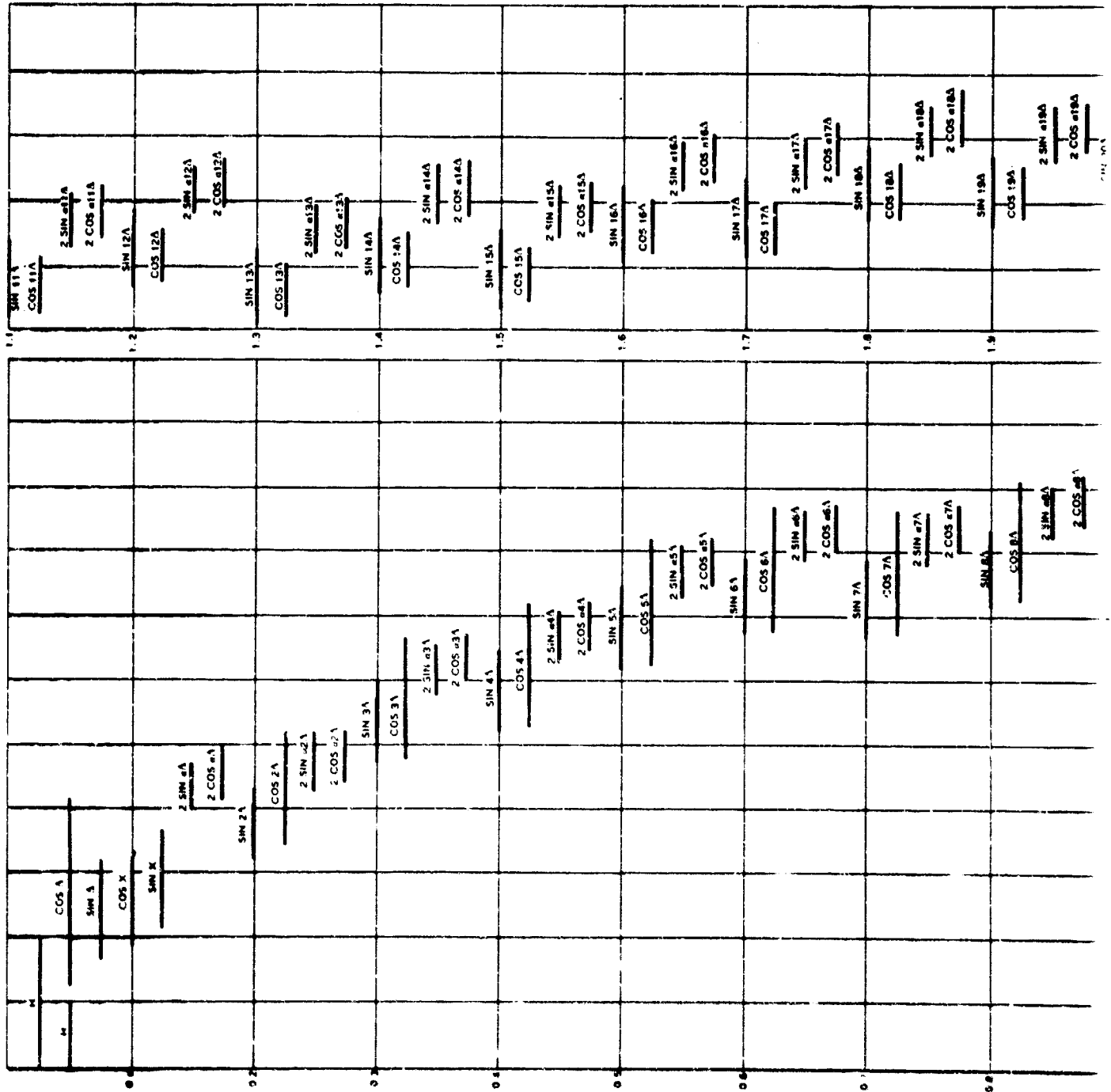


Figure I(1)-12 .

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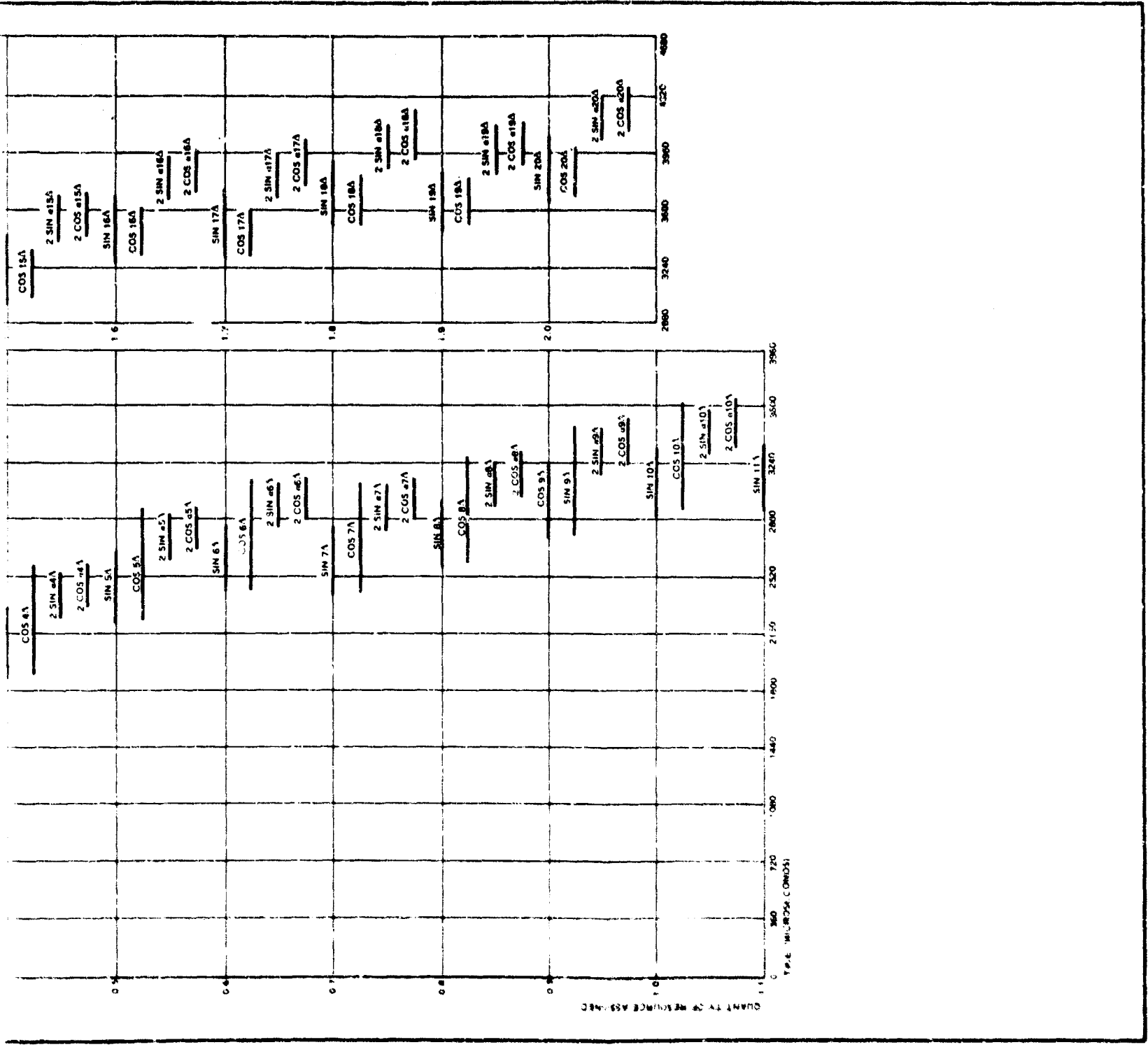


Figure III-12 - Activity Function 4 Timing Chart, Machine 1

B

TABLE III-15 - ACTIVITY FUNCTION 4 MINIMUM AND
MAXIMUM OUTPUT-DATA TIMES, MACHINE I

Level	Output-data time (μsec)		
	Minimum	Maximum	Data elements
2	2340	2500	2
3	2850	3200	4
4	3360	3890	8
5	3870

About 450 μsec are required to generate a new element of the output data vector. The total output vector for $g_5(x)$ is available at 4560 μsec ; and for $g_6(x)$, at 4710 μsec . In addition, the $g_6(x)$ generates indices to be transferred to the u_3 maximization program.

e. Maximization Function

The data flow diagram for the maximization function is shown in Figure III-15. It should be noted that the inputs to the maximization function are sets of indices that allow generation of the maximized return vectors u_1 through u_5 , referred to here as maximization programs. The timing chart for the u_1 maximization program is shown in Figure III-16; for the u_2 program, in Figure III-17; for the u_3 program, in Figure III-18; for the u_4 program, in Figure III-19; and for the u_5 program, in Figure III-20.

The u_1 program of the maximization function gets its input indices from the $g_1(x)$ activity function. Each input allows the maximization program to compute all possible combinations of returns from $g_1(x)$ and $g_2(x)$ for the given resource to determine what resource allocation will give the maximum return. Each time the $g_1(x)$ activity function generates a set of indices for the maximization program, a processor is started. As the resource to be maximized increases, the time required to maximize

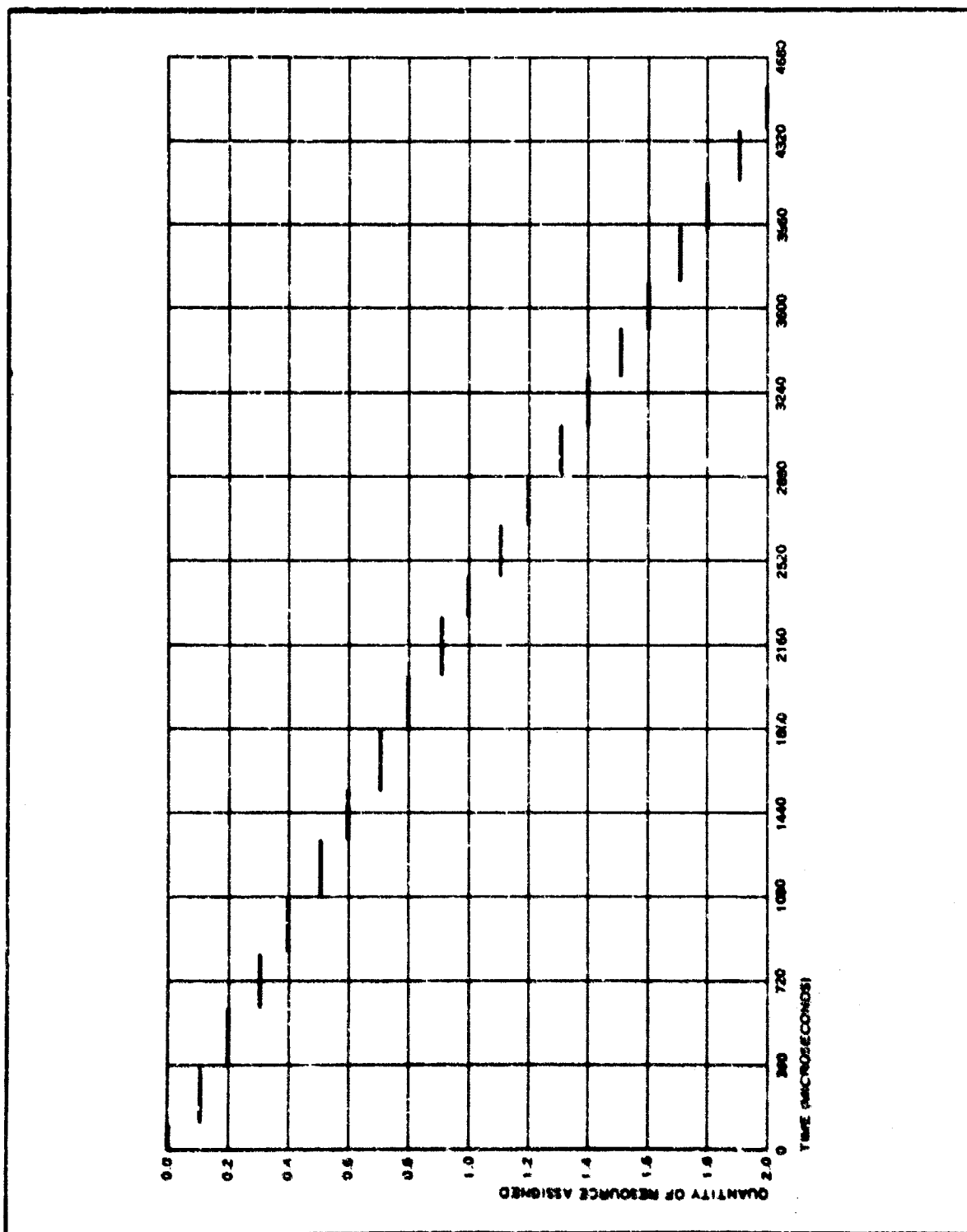


Figure III-13 - Activity Function 5 Timing Chart, Machine I

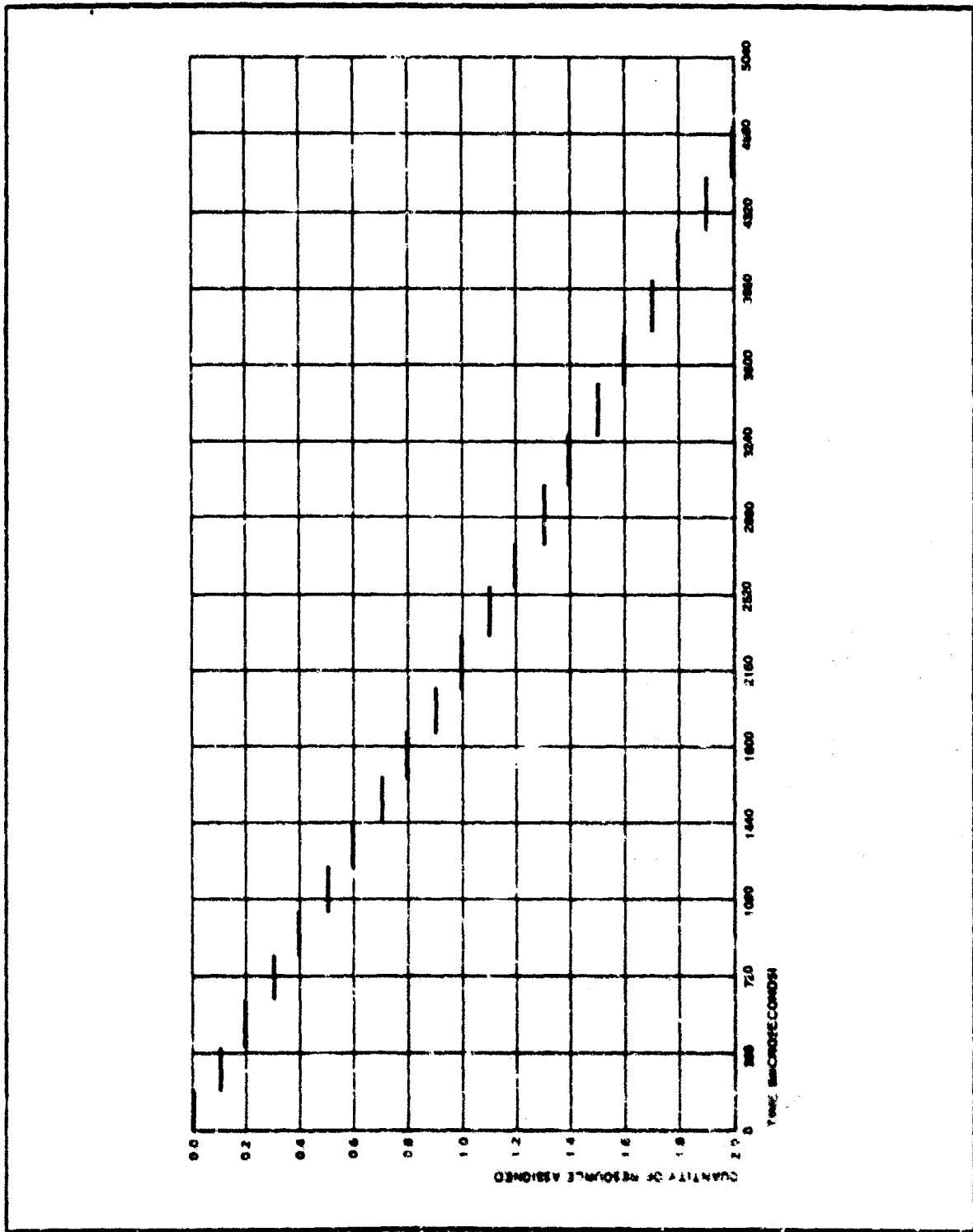


Figure III-14 - Activity Function 6 Timing Chart, Machine I

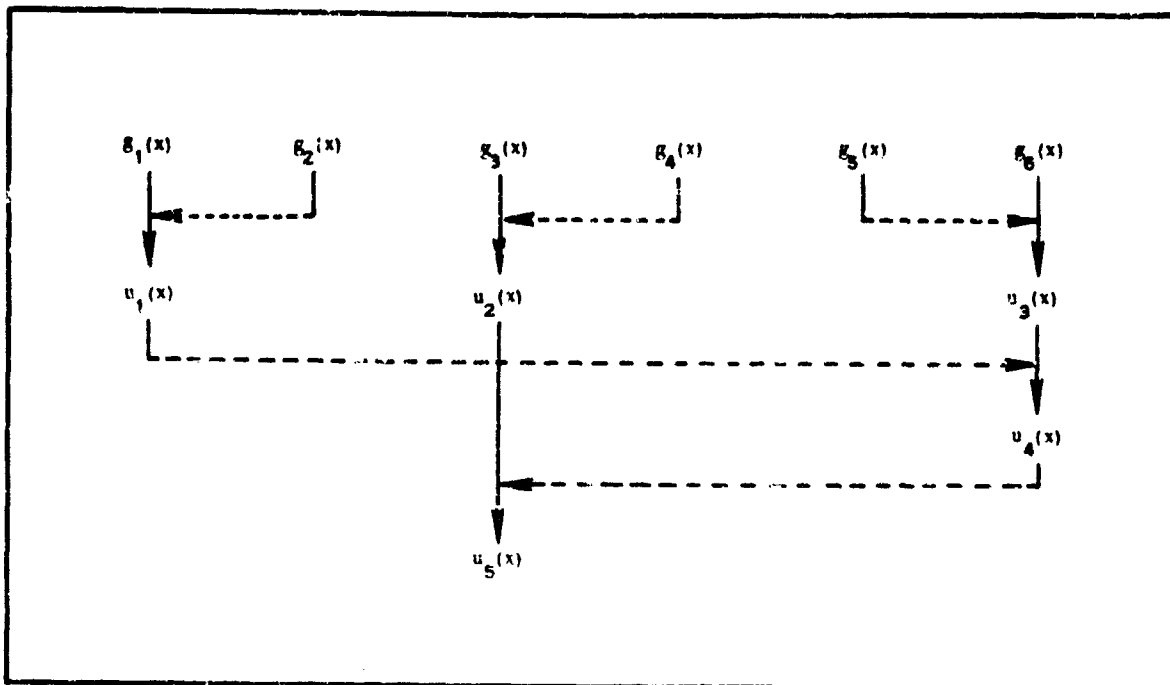


Figure III-15 - Maximization Programs Data Flow Diagram, Machine I

the return for this resource increases; for the u_1 program, this time amounts to 7500 μ sec (see Figure III-16).

The u_2 and u_3 programs acquire their inputs from the $g_3(x)$ and $g_4(x)$ activity functions, respectively. The u_4 and u_5 programs are started from the u_3 and u_2 programs, respectively.

The maximization programs are started as soon as data are generated that a program can operate on. (The dashed lines on the u_5 timing chart (Figure III-20) represent idle (wheel spinning) time where the arithmetic unit is looking for a piece of data yet to be generated. Until the data are generated by the u_4 program, the u_5 processor is not constructively useful.

As an explanation of the u_5 wheel spinning time, the u_2 program generates indices to start the u_5 program, and there is a disparity between (1) the time the u_2 program generates data for the start of u_5 and (2) the time that u_4 has corresponding data ready for u_5 . A solution to the

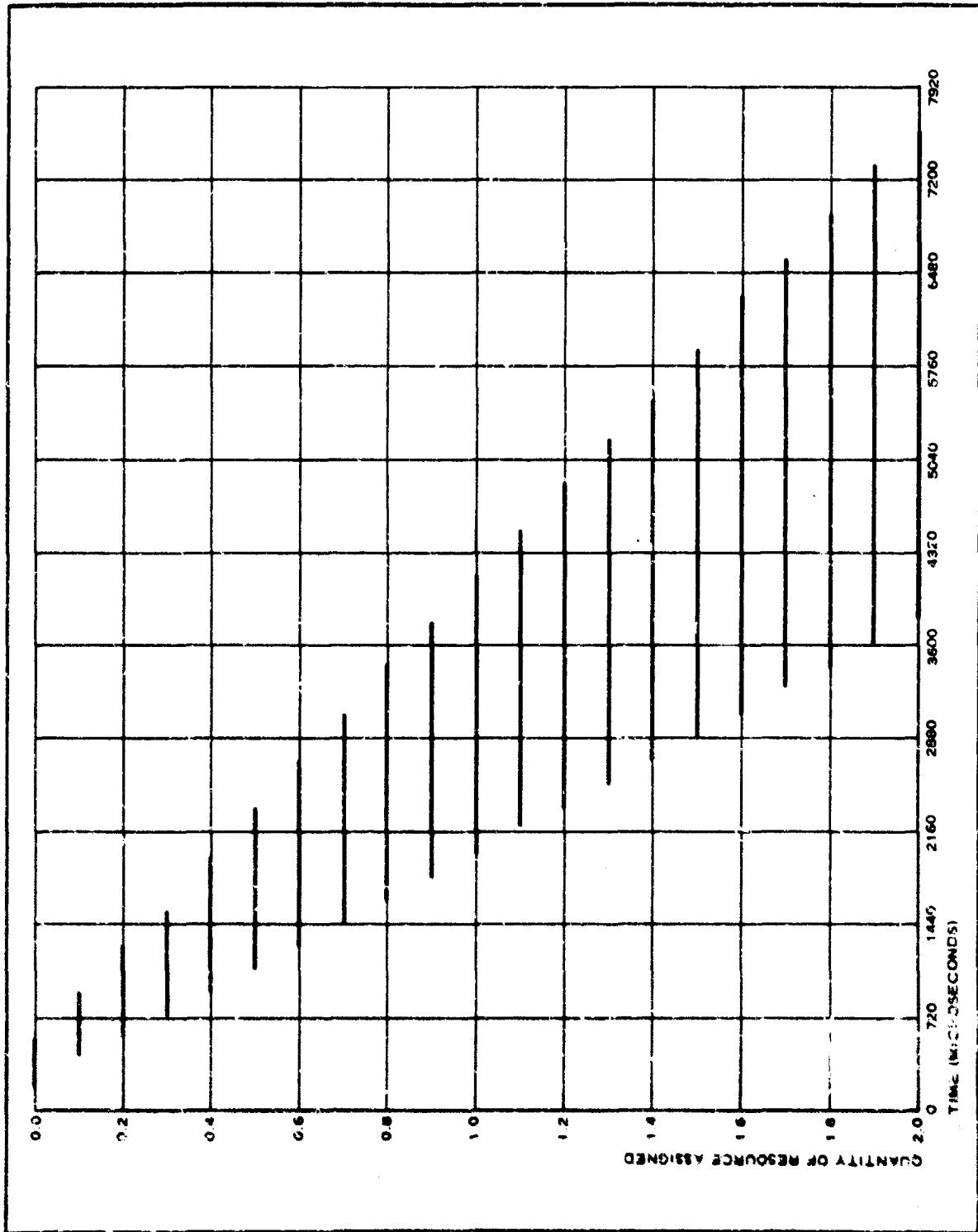


Figure III-16 - Maximization Program I Timing Chart, Machine I

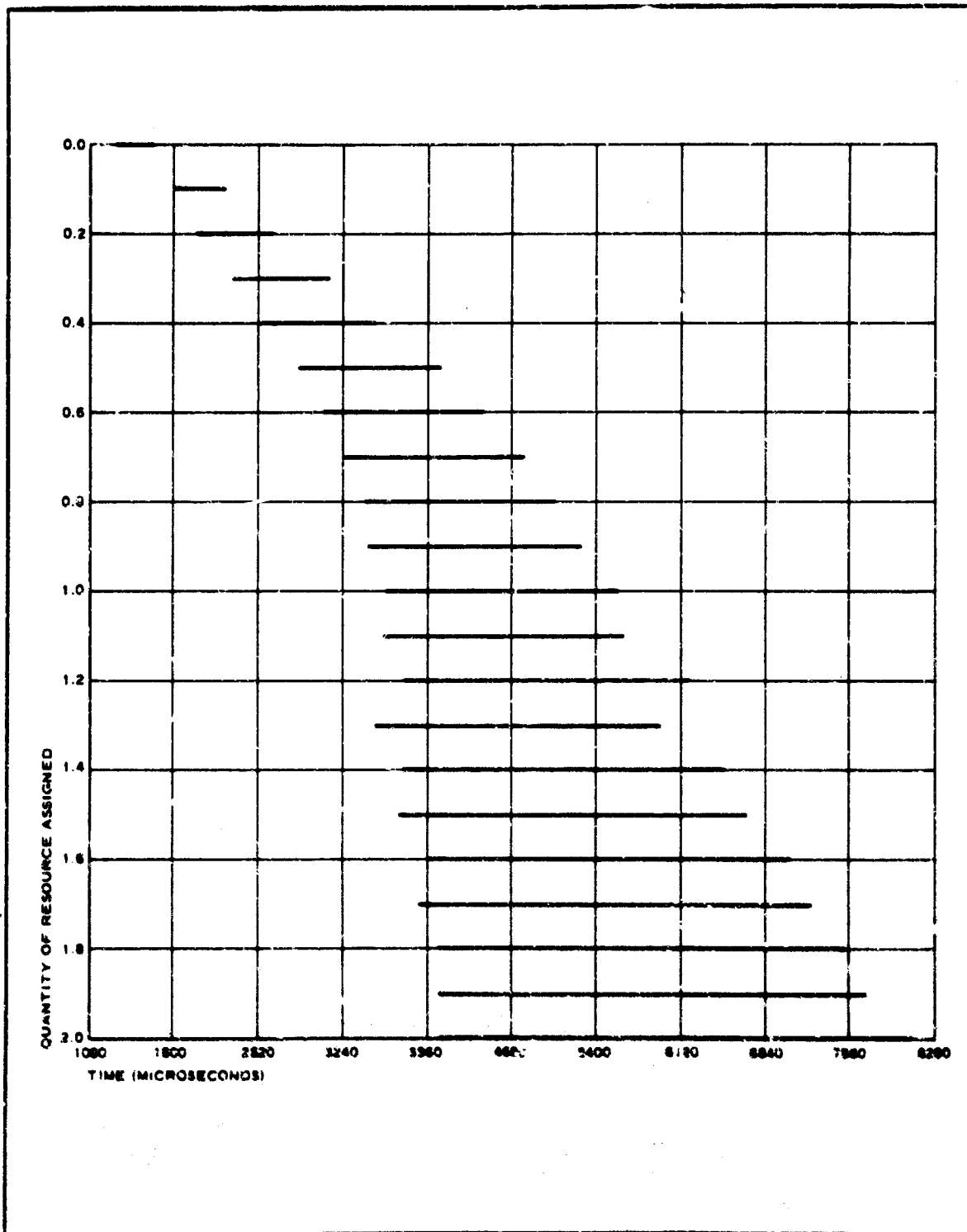


Figure III-17 - Maximisation Program 2 Timing Chart. Machine I

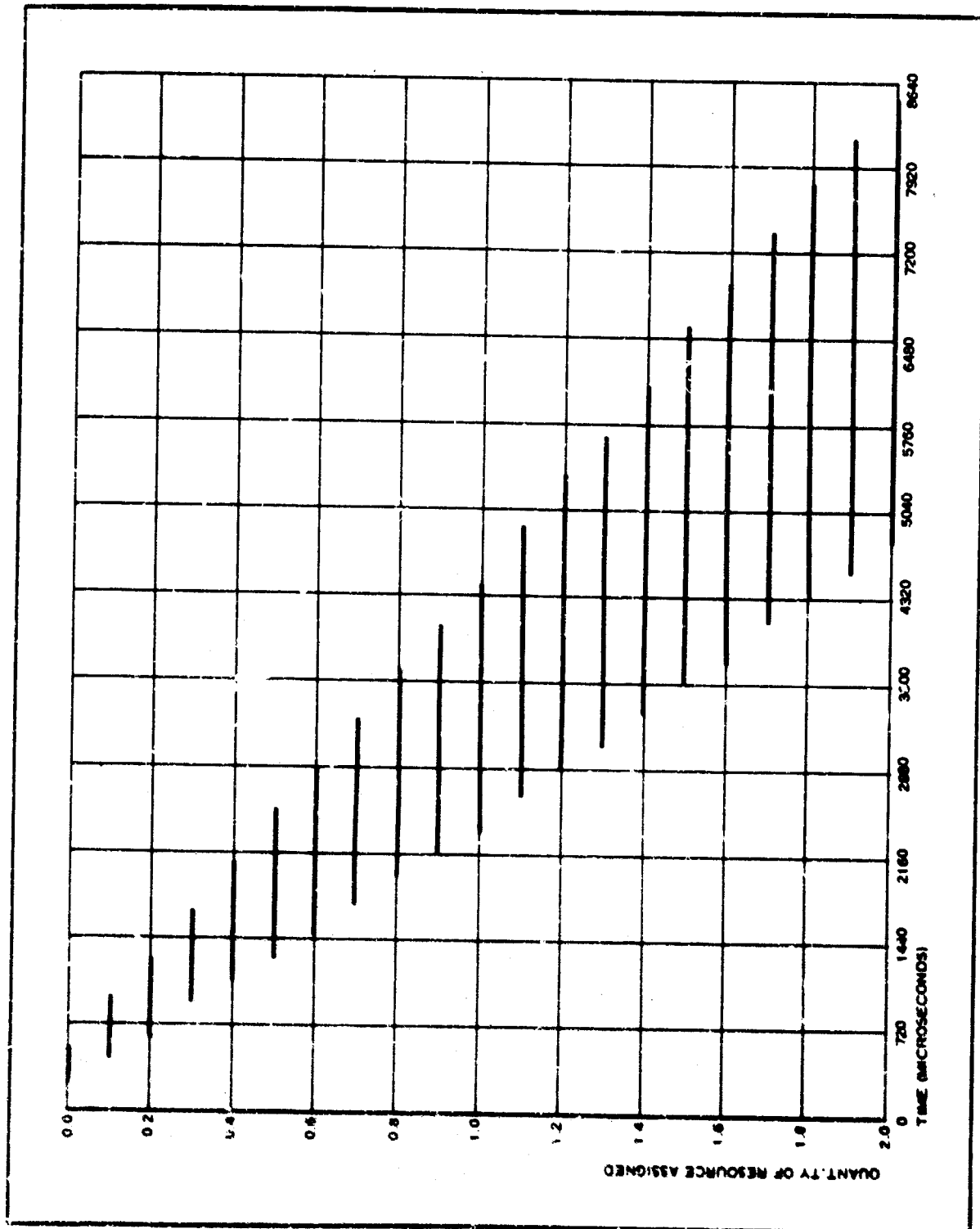


Figure III-18 - Maximization Program 3 Timing Chart, Machine I

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problem would be to let u_4 generate the index sets for u_5 . Less processor time would be wasted, although there would be a small amount of time at the beginning of u_4 and u_5 where data was available but no processor operating on it.

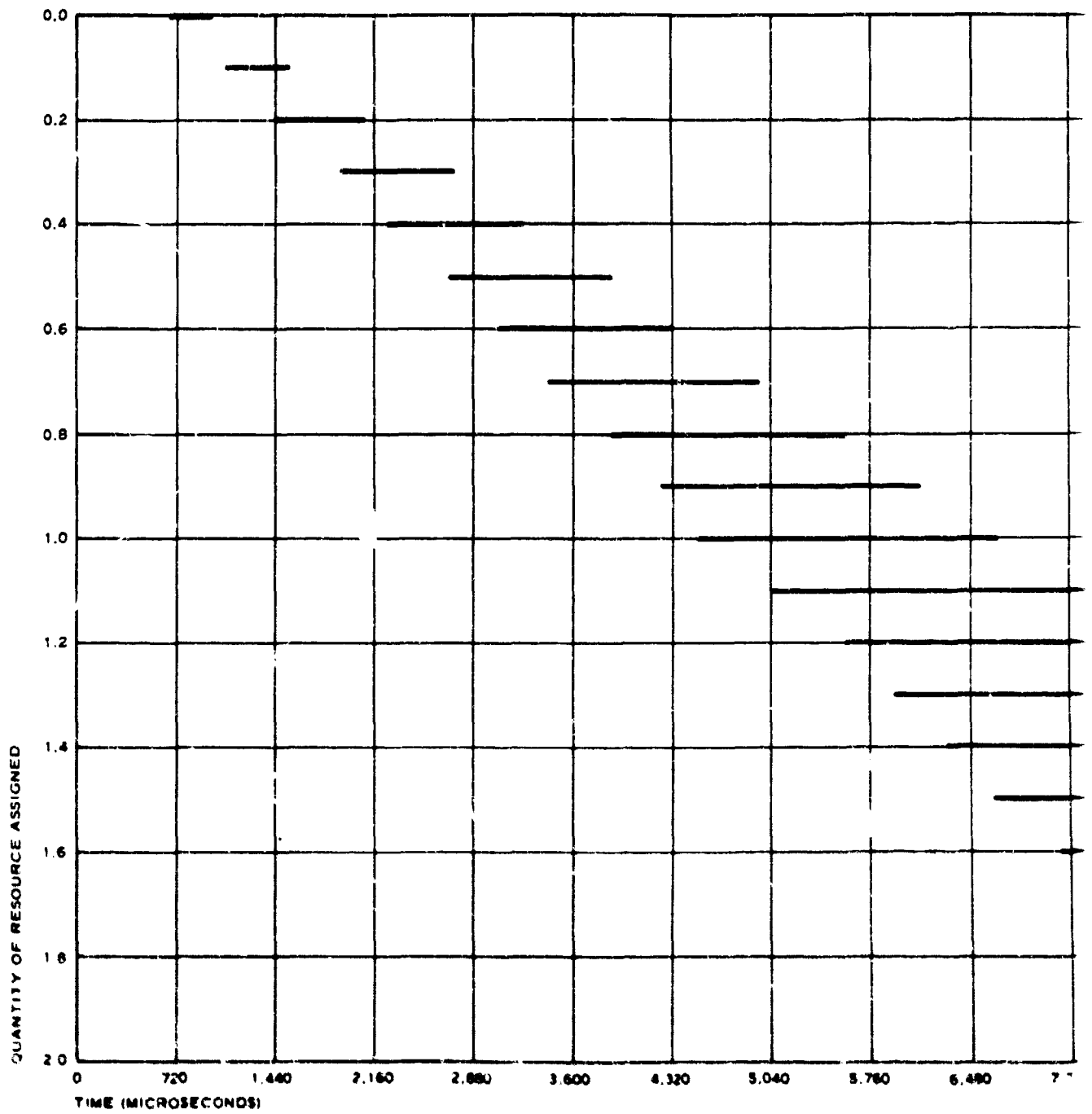
4. COMPARISONS AND CONCLUSIONS

The processors used for Machine I are charted in Figure III-21. Table III-16 compares the IBM 7090 sequential (Appendix II) and the Machine I parallel execution times for the dynamic programming problem.

Although the Machine I execution times for functions $g_1(x)$, $g_2(x)$, $g_5(x)$, and $g_6(x)$ are longer than for the 7090, it should be realized that these routines were not tree'd.

TABLE III-16 - COMPARISON OF IBM 7090 AND MACHINE I
EXECUTION TIMES, DYNAMIC PROGRAMMING PROBLEM

Function	7090 sequential time (μ sec)		Machine I parallel time (μ sec)
	Minimum	Maximum	
$g_1(x)$	276	276	3,780
$g_2(x)$	368	872	3,780
$g_3(x)$	3,756	6,823	1,980
$g_4(x)$	2,984	3,993	4,320
$g_5(x)$	682	1,185	4,560
$g_6(x)$	335	462	4,710
Maximization	140,387	208,037	16,460
Lookup	383	383	510
Total	0.151 (sec)	0.224 (sec)	0.016 (sec)
Storage required	570	...	734



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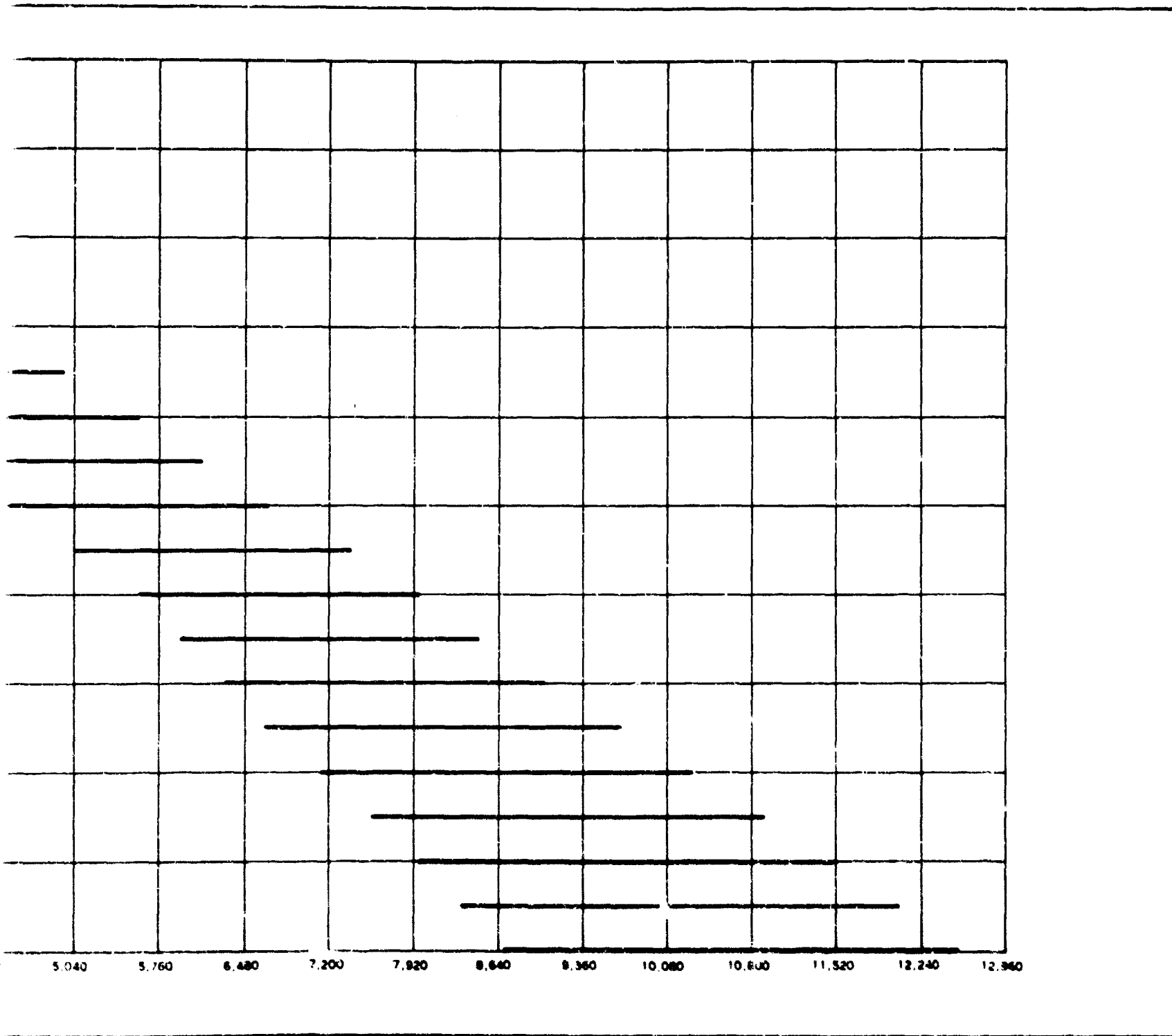
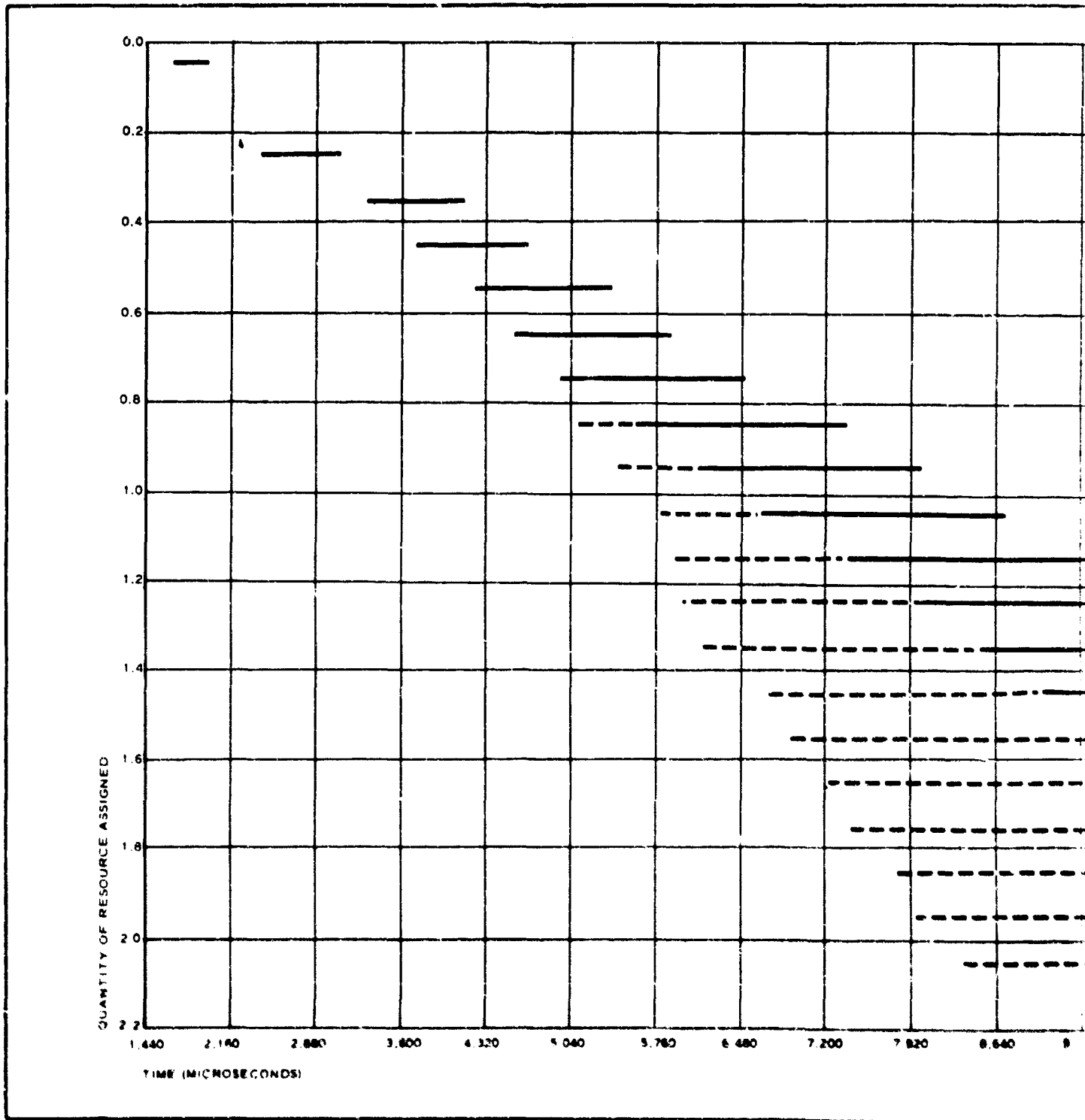


Figure III-19 - Maximization Program 4 Timing Chart, Machine I

B



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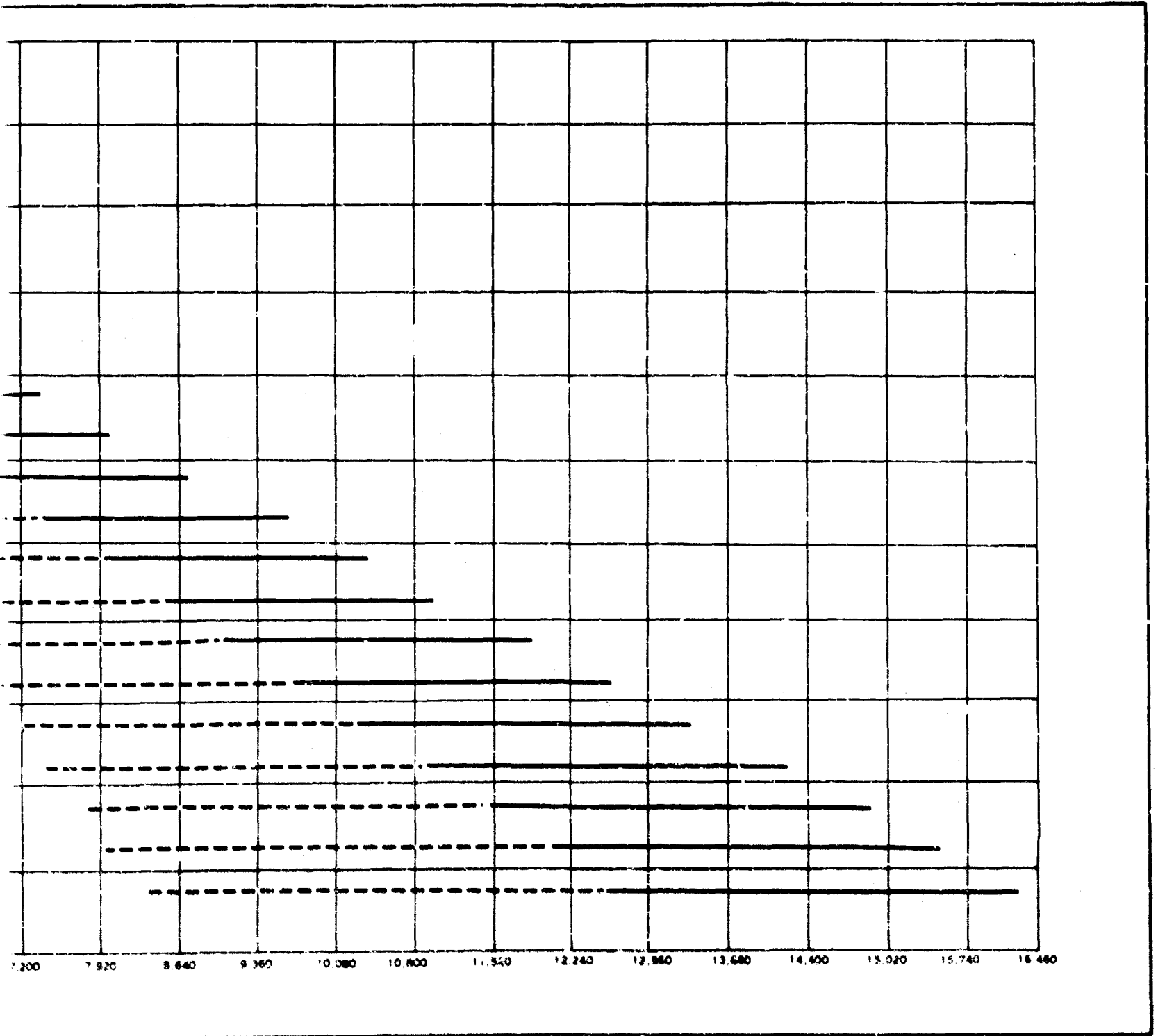
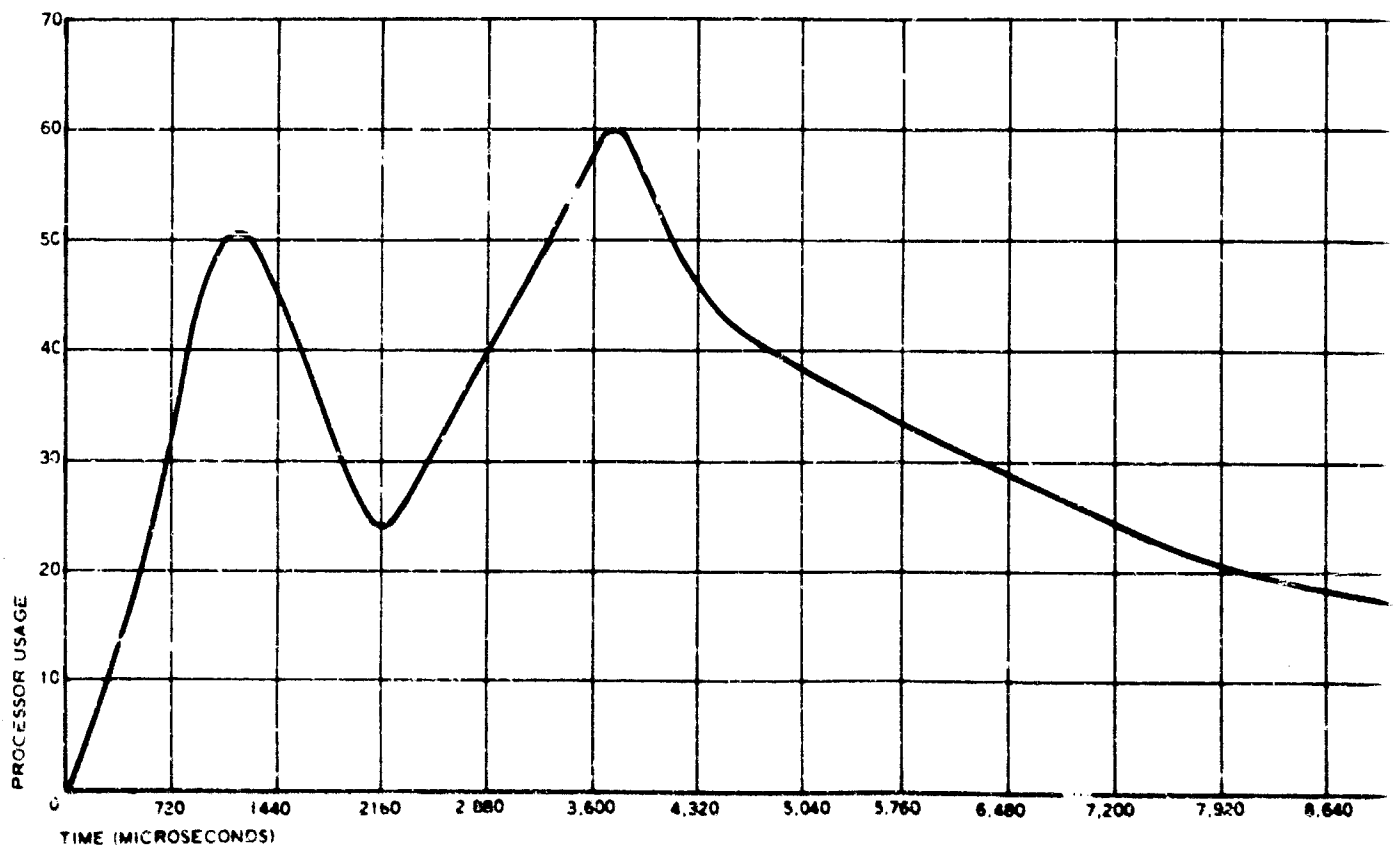


Figure III-20 - Maximization Program 5 Timing Chart. Machine I

B



A

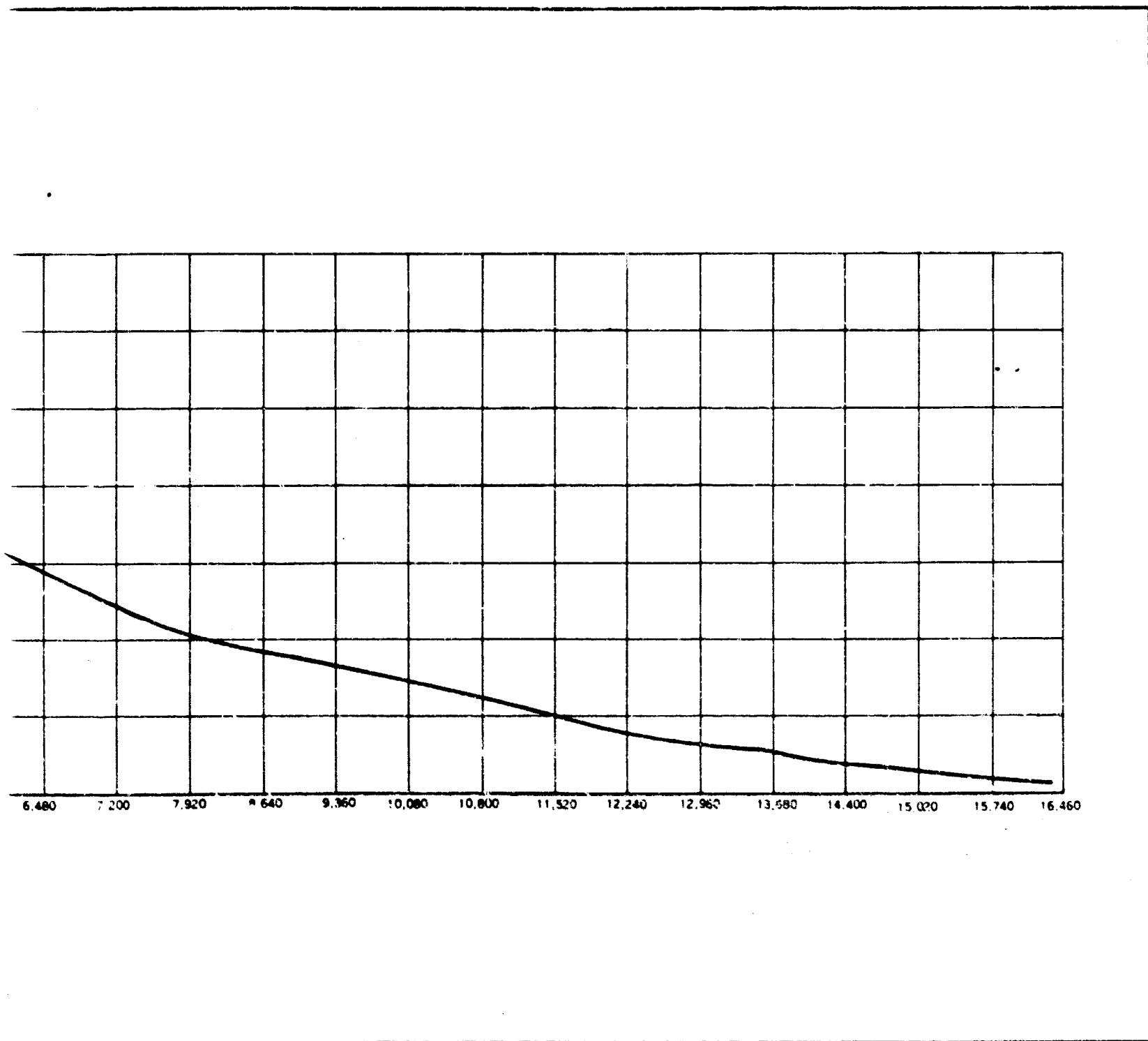


Figure III-21 - Processor-Usage Chart, Machine I

B

The square root and sine routines were more complex and exhibited parallelism that was extracted. In addition, these two functions were tree'd. The sine routine generated about four times the data that the same routine generated on the sequential machine.

The maximization function takes significantly less time with Machine I than the 7090. The reason is that the Machine I memory is used as the maximizing mechanism. The various combinations of returns are calculated and then sorted by the memory with the largest floating to the top and being retained.

It is relatively easy with Machine I to use a large number of processors on even a small problem. There is a significant amount of parallelism in many problems capable of computer solution. Any iterative sequence where successive loops are independent can be assigned to a group of processors for parallel execution. Independent programs can be executed in parallel and can in turn start any dependent programs as suitable data is generated keeping active processor time to a minimum.

Machine I has an estimated 512 processing units. All processors were assumed to have (1) a program counter, (2) an accumulator, (3) a quotient register, (4) six index registers, and (5) an instruction register.

Each processor has the capability of content addressing any word in memory and can execute interregister transfers. Data can be transferred between processors only via the memory. Machine I fetches and executes two instructions in 30 μ sec. The 7090 requires about 8.72 μ sec for the same operations. Hence, the 7090 is 3.44 faster than Machine I.

The total time for the solution of the dynamic programming problem on the 7090 ranged from 150 to 220 msec. The total time for problem solution on Machine I was 16 msec. Hence, the Machine I solution time was 9 to 14 times faster than the 7090. Furthermore this particular problem required a maximum of only 60 of the available 512 processors

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at any one time. An average of only 22 processors was used. Had the problem been large enough to use the full 512 processors at the peak period, Machine I would then have had a speed advantage of

$$\frac{512}{60} \times (9 - 14) = 76 - 119 \text{ to } 1 .$$

In addition, many processors would have been available at nonpeak times for other uses such as compiling.

APPENDIX IV - PROGRAMMING MANUAL FOR MACHINE I

1. MACHINE ORGANIZATION FOR MACHINE I

The Machine I parallel processor organization used in this study is composed of 512 logical processing units and 32,768 words of memory. Each processing unit has the following registers: program counter, instruction register, accumulator, quotient register, and six index registers. The program counter is a 24-bit register that is stepped sequentially to generate the addresses of the instructions in the program.

The instruction register is 72 bits long. It is divided into two 36-bit sections, upper and lower, each holding a single 36-bit instruction. In most cases, an instruction can be located in either the upper or lower half of an instruction word; in a few cases, the instruction must be located in the upper half of the word.

The accumulator is a 72-bit register that is considered as one register for most instructions; in some cases, it can be treated as two 36-bit registers, upper and lower.

The quotient register also is a 72-bit register; it is treated either as one register or two, depending on the instruction being executed.

Any combination of three of the six index registers included in each processing unit may be used. Their contents may be added together with the contents of the address field of the instruction to obtain an operand address, an operand, or a shift count.

If the indices are 1, 2, and 3, there is no reduction in the size of the address field of the instruction. If the indices to be added include 4, 5, or 6, then the address field is reduced by 6 bits and bit 28 is set to 1. The 6-bit reduction still leaves an address field of 18 bits. The original 3-bit

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index designator field plus two more 3-bit fields are used to contain the 3-bit codes of the specified index registers.

2. INSTRUCTIONS

a. Instruction Classes

The Machine I parallel processor instructions may be grouped in four classes.

Class 1 instructions treat the contents of the address field as the operand. These instructions can be indexed with any combination of three index registers. The operand is the result of the addition of the contents of the specified index registers and the contents of the address field of the instruction.

Class 2 instructions treat the contents of the address field as an operand address. These instructions can be indexed with any combination of three index registers. The final operand address is the result of the addition of the contents of the specified index registers and the contents of the address field of the instruction. The word received by the processor after a fetch type operation is the word next higher than the request word. When a processor is requesting a word that may or may not be currently available in memory, an NPJ instruction following the fetch will enable a comparison of the names of the requested and received words for an exact match. If they match, the program continues; if they do not, then a jump is made to the fetch instruction. A number of desirable operations in this class require execution of two instructions. This subclass is composed of single- and multiple-erase instructions that require a fetch type instruction following the erase instruction.

Class 3 instructions treat the contents of the address field as a shift count. These instructions can be indexed with any combination of three index registers. The final shift count is the result of the

addition of the contents of the specified index registers and the contents of the address field of the instruction.

Class 4 instructions are special instructions that allow processor unit interregister transfers.

b. Instructions

ENA $B^n y$ Enter A Lower

The 24-bit operand Y is entered into A lower. The most significant bit of Y is extended in A. Bits 25 to 72 of A are replaced with bit 24 of the operand Y. $Y = y + B^n$. Y is the sum of y and the contents of any combination of three index registers.

ENAU $B^n y$ Enter A Upper

The 24-bit operand Y ($Y = y + B^n$) is entered into A upper, bits 37 to 60. The most significant bit of Y is extended in A upper, bits 61 to 72. The contents of A lower are unchanged.

ENQ $B^n y$ Enter Q Lower

The 24-bit operand Y ($Y = y + B^n$) is entered into Q lower. Bits 25 to 72 of Q are replaced with bit 24 of the operand Y.

ENBX $B^n y$ Enter Index Register X

The 24-bit operand Y ($Y = y + B^n$) is entered into the specified index register, X, with X = 1, 2, 3, 4, 5, 6. This instruction permits the transfer of data from the address field of the instruction to the specified index register or the transfer of the contents of any group of index registers with y added or not to the specified index register.

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Example 1:

$$(B1) = 2$$

$$(B2) = 3 \quad B^n = (B2) + (B3)$$

$$(B3) = -4$$

$$\text{ENB1 } B2, B3 \quad 6$$

The contents of B1 are replaced by 5:

$$(B2) + (B3) + y = 3 - 4 + 6 = 5 .$$

Example 2:

$$\text{ENB1 } B1, B2, B3 \quad 6$$

The contents of B1 are replaced by 7:

$$(B1) + (B2) + (B3) + y = 2 + 3 - 4 + 6 = 7 .$$

INA

B^n y Increase A Lower

The 24-bit operand Y ($Y = y + B^n$) is added to the least significant 24 bits of A lower. No addition takes place beyond bit 24 of A. The contents of any combination of three index registers can be added to the A register, bits 1 to 24.

INAU

B^n y Increase A Upper

The 24-bit operand Y ($Y = y + B^n$) is added to the least significant 24 bits of A upper, bits 37 to 60. No addition takes place beyond bit 60 of A.

INAE

B^n y Increase A Lower with Extended Addition

The 24-bit operand Y ($Y = y + B^n$) is added to the contents of the A register. If the contents of the A register lower is an instruction with an address in its address field, an INAE instruction may result in alteration of instruction operation code and

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tag field. Similar changes may occur in A upper if a carry propagates into A upper.

INAUE B^n y Increase A Upper with Extended Addition

The 24-bit operand Y ($Y = y + B^n$) is added to the contents of A upper. The restrictions on INAE also apply to INAUE.

INBX B^n y Increase Index Register X

The 24-bit operand Y ($Y = y + B^n$) is added to the contents of the specified index register, X, with $X = 1, 2, 3, 4, 5, 6$.

Example 1:

$$(B1) = 2$$

$$(B2) = 3$$

$$(B3) = -4$$

$$\text{INB1 } B2, B3 \ 6$$

The contents of B1 are increased by 5:

$$Y = (B2) + (B3) + y = 3 - 4 + 6 = 5.$$

Example 2:

$$\text{INB1 } B1, B2, B3 \ 6$$

The contents of B1 are increased by 7:

$$Y = (B1) + (B2) + (B3) + 6 = 2 + 3 - 4 + 6 = 7.$$

ISKBX B^n y Index X Skip

$$X = 1, 2, 3, 4, 5, 6$$

The contents of the specified index register, X, with $X = 1, 2, 3, 4, 5, 6$, are compared with Y. If the two quantities are equal, the specified index

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register is cleared and a full exit is performed. If the quantities are unequal, the contents of the specified index register are increased by one, and a half exit is performed. Normally, this instruction occupies the upper half of an instruction word. A half exit then results in execution of the instruction in the lower half of the instruction word. A full exit is accomplished by incrementing the program counter by 1 and executing the upper instruction of this new instruction word.

LDA $B^n M$ Load A

The contents of A are replaced by the 72-bit operand contained in storage location M, with $M = (m + (B^n))$. M, the operand address, is obtained by adding the contents of the indicated index registers to m.

LAC $B^n m$ Load A Complement

The contents of A are replaced by the complement of the 72-bit operand contained in storage location M, with $M = (m + (B^n))$.

LDQ $B^n m$ Load Q

The contents of Q are replaced by the 72-bit operand contained in storage location M, with $M = (m + (B^n))$.

LDBX $B^n m$ Load Index Register X

The contents of the specified index register X, with $X = 1, 2, 3, 4, 5, 6$, are replaced by the least significant 24 bits of M, with $M = (m + (B^n))$.

Example:

$$(B1) = 2$$

$$(B2) = 3$$

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(B3) = -4

0006

0007 000000000000000000001013

0010

LDB1 B1, B2, B3 6

The contents of B1 are 00001013.

$$M = (m + (B1) + (B2) + (B3)) = (6 + 2 + 3 - 4) = (7) .$$

ADD B^n m Add

The 72-bit operand contained in location M is added to the contents of the A register. $M = (m + (B^n))$.

SUB B^n m Subtract

The 72-bit operand in location M is subtracted from the contents of A. $M = (m + (B^n))$.

MLY B^n m Multiply

The contents of storage location M are multiplied by the contents of the A register. The 144-bit product is contained in AQ.

DVD B^n m Divide

The contents of AQ are divided by the contents of storage location M. The quotient is left in A and the remainder in Q.

FAD B^n m Floating Add

The sum of two 72-bit floating point operands is formed. The floating point operand in M is added to the floating point operand in A. The result is normalized and rounded.

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FSB	B^n_m Floating Subtract
	The difference of two 72-bit floating point operands is formed. The contents of storage address M are subtracted from the contents of A. The result is rounded and normalized.
FMP	B^n_m Floating Multiply
	The floating point contents of storage location M are multiplied by the floating points contents of the A register. The product is rounded and normalized in A.
FDV	B^n_m Floating Divide
	The floating point contents of A are divided by the floating point contents of storage location M. The floating point quotient is retained in A.
*STA	B^n_m Store A

Note

The asterisk indicates a significantly new instruction.

The contents of the A register are re-created in a memory word with address M. Every store instruction results in the creation of a new word in memory with an address of M. It is possible that a number of words in memory may have the same address and be ordered according to the contents of their data fields. If this situation cannot be tolerated then (1) care should be exercised to assign each word stored in a unique address or (2) all words in memory with this address should be erased before the new word is created. The

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ability to store a number of words with identical addresses is desirable since after the next machine cycle the vector of words will be sorted according to their data fields, smallest to the largest.

***STQ** B^n_m Store Q

The contents of the Q register are re-created in a memory word with address M (see +STA instruction above).

***STBX** B^n_m Store Index 1

X = 1, 2, 3, 4, 5, 6

The contents of the specified index register, X, with X = 1, 2, 3, 4, 5, 6, are re-created in a memory word with address M. The 24-bit contents of the index register are stored in the least significant 24 bits of the lower portion of the word.

Example:

(B1) = 2

(B2) = 5

(B3) = -4

STBI B1, B2, B3 6

The contents of index register 1 are stored in location 7:

0007 000000000000000000000002

If there had been other words in memory with an address of 0007 then the newly created word may have been another element of the vector of words with address 0007:

0007 000000000000000000000000

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0007 00000000000000000000000000000002

0007 00000000000000000000000000000006

ARS B^n_k A Right Shift

The contents of A are shifted right K places, with $K = k + (B^n)$. The sign is extended and the lower bits discarded.

QRS B^n_k Q Right Shift

The contents of Q are shifted right K places. The sign is extended and the lower bits discarded. $K = k + (B^n)$

LRS B^n_k Long Right Shift

The contents of AQ are shifted right K places. The sign of A is extended and the lower-order bits of A replace the higher order bits of Q. The lower order bits of Q are discarded. $K = k + (B^n)$

ALS B^n_k A Left Shift

The contents of A are shifted left circular K places. The higher-order bits of A replace the lower order bits. $K = k + (B^n)$

QLS B^n_k Q Left Shift

The contents of Q are shifted left circular K places. The higher order bits of Q replace the lower order bits. $K = k + (B^n)$

LLS B^n_k Long Left Shift

The contents of AQ are shifted left circular K places. The higher-order bits of A replace the lower-order bits of Q. The higher-order bits of Q replace the lower order bits of A. $K = k + (B^n)$

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SCA	B^n_k Scale A	The contents of A are shifted left circularly until the most significant bit is to the right of the sign bit or until $k = 0$. Shift count k is reduced by one for each shift and terminates when $k = 0$, or when the most significant bit is to the right of the sign bit. Upon termination, the count (scale factor) is entered in the specified order register. $K = k + (B^n)$
SCQ	B^n_k Scale Q	The contents of AQ are shifted left circularly until the most significant bit is to the right of the sign bit of A. Shift count k is reduced by one for each shift. The operation terminates when $k = 0$ or when the most significant bit is to the right of the sign bit. Upon termination the count (scale factor) is entered in the specified index register. $K = k + (B^n)$
AND	B^n_m Logical AND	The contents of A are replaced by the logical AND of Q and the contents of M. $M = m + (B^n)$
OR	B^n_m Logical OR	The contents of A are replaced by the logical OR of Q and the contents of M. $M = m + (B^n)$
EOR	B^n_m Exclusive OR	The contents of A are replaced by the exclusive OR of the contents of Q and the contents of M. $M = m + (B^n)$

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- JMP** B^n m Jump
- Program control is transferred to location M. Normally, the program counter is incremented by one for each instruction word executed. In the case of a jump instruction, the contents of the program counter are replaced by M and the instruction at this address is executed next. $M = m + (B^n)$
- JNGA** B^n m Jump if A Is Negative
- Program control is transferred to location M if the sign of A is negative. If the sign of A is positive the next sequential instruction is executed. $M = m + (B^n)$
- JNZA** B^n m Jump if A Is Nonzero
- Program control is transferred to location M if the contents of A are not zero. If the contents of A are zero, the next sequential instruction is executed. $M = m + (B^n)$
- JNGQ** B^n m Jump if Q Is Negative
- Program control is transferred to location M if the contents of Q are negative. If the contents of Q are positive, the next sequential instruction is executed.
- JNZQ** B^n m Jump if Q Is Nonzero
- Program control is transferred to location M if the contents of Q are not zero. If the contents of Q are zero, the next sequential instruction is executed.

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COM	<p>B^nm Compare</p> <p>The contents of A are compared with the contents of M. If (A) are equal to or greater than (M), a half exit is performed. If (A) are less than (M), a full exit is performed. The compare instruction normally is an upper instruction.</p>												
<u>BJPX</u>	<p>B^nm Index X Jump</p> <p>If the contents of the specified index register X, with X = 1, 2, 3, 4, 5, 6, are not zero, the quantity is reduced by one and a jump is executed to location M. If the contents of the specified index register are zero, the next sequential instruction is executed. $M = m + (B^n)$</p>												
*NPJ	<p>B^nm Nonpresence Jump</p> <p>A jump to location M is executed if the address of the word obtained from storage is not the same as the operand address in the instruction. $M = m + (B^n)$</p>												
* <u>LDBX</u>	<table border="0" style="width: 100%;"> <tr> <td style="padding-right: 40px;">UA</td> <td style="padding-right: 20px;">Load Index X</td> <td>Upper A</td> </tr> <tr> <td>LA</td> <td></td> <td>Lower A</td> </tr> <tr> <td>UQ</td> <td></td> <td>Upper Q</td> </tr> <tr> <td>LQ</td> <td></td> <td>Lower Q</td> </tr> </table> <p>The least significant 24 bits of the upper or lower halves of A or Q are loaded into the specified index register, X, with X = 1, 2, 3, 4, 5, 6.</p>	UA	Load Index X	Upper A	LA		Lower A	UQ		Upper Q	LQ		Lower Q
UA	Load Index X	Upper A											
LA		Lower A											
UQ		Upper Q											
LQ		Lower Q											
* <u>ADBX</u>	<table border="0" style="width: 100%;"> <tr> <td style="padding-right: 40px;">UA</td> <td style="padding-right: 20px;">Store Index X</td> <td>Upper A</td> </tr> <tr> <td>LA</td> <td></td> <td>Lower A</td> </tr> <tr> <td>UQ</td> <td></td> <td>Upper Q</td> </tr> <tr> <td>LQ</td> <td></td> <td>Lower Q</td> </tr> </table>	UA	Store Index X	Upper A	LA		Lower A	UQ		Upper Q	LQ		Lower Q
UA	Store Index X	Upper A											
LA		Lower A											
UQ		Upper Q											
LQ		Lower Q											

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The contents of the specified index register, X, with X = 1, 2, 3, 4, 5, 6, are stored in the least significant 24 bits of the upper or lower half of A or Q.

*SEH α Single Erase High
FETCH β Fetch type instruction

The contents of the location which is next larger than the lower limit word β are fetched and then erased from memory. α and β are the upper and lower limit words that bracket a block of data in memory. The data field of word α is maximum positive while the data field of word β is zero. The word fetched and erased is the word next larger than the lower limit word β .

$$\alpha \geq \beta \quad \alpha = (m + (B^n)), \beta = (m + (B^n))$$

*SEA Single Erase A
FETCH

*SEQ Single Erase Q
FETCH

The upper limit word α has its contents set to the contents of A or Q. The operation is the same as for SEH.

*MEH Multiple Erase High
FETCH

The execution of this instruction is the same as for SEH except that all words between the limits α and β are erased.

*MEA Multiple Erase A
FETCH

*MEQ Multiple Erase Q
FETCH

The execution of these instruction is the same as

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for SEA and SEQ except that all words between the limits α and β are erased.

*SEHA
FETCH

Single Erase High A

*SEHQ
FETCH

Single Erase High Q

The execution of these instructions is the same as for SEH except that the lower limit word is the same as the contents of A or Q.

*MEH A
FETCH

Multiple Erase High A

*MEAQ
FETCH

Multiple Erase High Q

The execution of these instructions is the same as for MEH except that the lower limit word is the same as the contents of A or Q.

*SEAA
FETCH

Single Erase AA

*SEQQ
FETCH

Single Erase QQ

The execution of these instructions is the same as for SEA except that the lower limit word is the same as the contents of A or Q.

*SEQA
FETCH

Single Erase between limits QA

*SEAQ
FETCH

Single Erase between limits AQ

The execution of these instructions is the same as for a SEH except that the upper limit word α is the same as the contents of Q or A and the lower limit word β is the same as the contents of A or Q.

*MEAA
FETCH

Multiple Erase between limits AA

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*MEQQ FETCH	Multiple Erase between Limits QQ The execution of these instructions is the same as for a SEAA, SEQQ except that all words are erased between the limit words.
*MEQA FETCH	Multiple Erase between Limits QA
*MEAQ FETCH	Multiple Erase between Limits AQ The execution of the instructions is the same as for SEQA, SEAQ except that all words are erased between the limit words.
*NHA FETCH	Next Higher than A
*NHQ FETCH	Next Higher than Q The word next higher than the lower limit word β with contents equal to contents of A or Q is fetched from memory.
*BGN B^n_y	Begin Y Y is added to (A) upper. The contents of A are then stored in the WAIT LIST. This instruction is used to start arithmetic units. Prior to the BGN instruction, A has been loaded with an LDI <u> </u> , JMP <u> </u> PROG instruction. Execution of the BGN results in the addition of Y to the LDI instruction and the storage of this instruction pair in the WAIT LIST. The address that is added is the address of the location where the indices to be transferred are stored.

3. NUMBER REPRESENTATION

A fixed point number consists of a sign bit and coefficient. The upper bit

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of a fixed point number designates the sign of the coefficient. If bit 71 is 1, the quantity is negative; a 0 sign bit signifies a positive coefficient. The coefficient may be an integer or fraction. The binary point, in the case of an integer, is assumed to be immediately to the right of the lowest order bit; for a fraction, the point is put to the right of the sign bit.

Floating point numbers are represented by a coefficient and an exponent. The coefficient consists of a 60-bit fraction in the lower 60 positions of the floating point word. The coefficient is a normalized fraction equal to or greater than $1/2$ but less than 1. The highest order position, bit 71, is the sign of the coefficient. If the sign bit is 0, the coefficient is positive; if the sign bit is 1, the fraction is negative and in ONE's complement form.

The floating point exponent is an 11-bit quantity with a value ranging from 0000_8 to 3777_8 . It is formed by adding a true positive exponent and a bias of 2000_8 or a true negative exponent and a bias of 1777_8 . This results in a range of biased exponents as shown below.

True positive exponent	Biased exponent	True negative exponent	Biased exponent
+0	2000	-0	2000
+1	2001	-1	1776
+2	2002	-2	1775
+1776	3776	-1776	0001
+1777 ₈	3777	-1777	0000

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APPENDIX V - BI-TONIC SORTING

1. INTRODUCTION

In a previous company report,^a a new internal sorting method was discussed. Discussed here is another method that, while not as efficient as the referenced method, has certain advantages in parallel processors. To sort 2^n words, the method presented here (bi-tonic sorting) requires $n(n+1)2^{n-2}$ comparisons (with exchanges) while that of the referenced method requires only $(n^2 - n + 4)2^{n-2} - 1$ comparisons.

2. BI-TONIC SEQUENCES

Definition: If

$$A = a_1, a_2, \dots, a_n$$

and

$$B = b_1, b_2, \dots, b_n$$

are sequences of numbers, then B is a circular permutation of A if and only if there exists an integer, k, with $0 \leq k \leq n - 1$, so that

$$b_i = a_{i+k}$$

for all i's satisfying

$$1 \leq i \leq n - k,$$

and

$$b_i = a_{i+k-n}$$

^aGER-11759. A New Internal Sorting Method. Akron, Ohio, Goodyear Aerospace Corporation, 29 September 1964.

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for all i 's satisfying

$$n - k + 1 \leq i \leq n$$

Definition:

$$A = a_1, a_2, \dots, a_n$$

is said to be a bi-tonic sequence if there exists a circular permutation of A , $B = b_1, b_2, \dots, b_n$, and an integer i , with $1 \leq i \leq n$, so that

$$b_1 \geq b_2 \geq \dots \geq b_{i-1} \geq b_i \leq b_{i+1} \leq \dots \leq b_{n-1} \leq b_n.$$

It is easy to see that any monotonic sequence is bi-tonic, as is the concatenation of any ascending sequence with any descending sequence.

Theorem 1: Any subsequence of a bi-tonic sequence is bi-tonic. Proof: Let A be any bi-tonic sequence and A' any subsequence of A . The theorem need only be proved for any circular permutation of A . Letting $B = b_1, b_2, \dots, b_n$ be a circular permutation of A where

$$b_1 \geq b_2 \geq \dots \geq b_{i-1} \geq b_i \leq b_{i+1} \leq \dots \leq b_{n-1} \leq b_n,$$

it can be seen that any subsequence of B is bi-tonic. This concludes the proof.

Definition: If

$$A = a_1, a_2, \dots, a_{pq},$$

where p and q are integers and $1 \leq i \leq p$, then the sequence $A_{i, p}$ is defined

$$A_{i, p} = a_i, a_{i+p}, a_{i+2p}, \dots, a_{i+(q-1)p}.$$

Definition: If

$$A = a_1, a_2, \dots, a_{pq},$$

then the sequence $A'_{i, p}$ is defined as the sequence $A_{i, p}$ rearranged into ascending order.

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Definition: If

$$A = a_1, a_2, \dots, a_{pq},$$

the derived sequence $A^{(j, q)}$ for $1 \leq j \leq q$ is defined as a sequence of p terms whose i th term is the j th term of $A'_{i, p}$.

Example: Let $p = 4$, $q = 3$, and $A = 7, 9, 13, 20, 17, 15, 10, 8, 4, 1, 3, 5$. When A is written as terms of a 3-by-4 matrix (across the first row, then across the second row, etc.):

$$\begin{pmatrix} 7 & 9 & 13 & 20 \\ 17 & 15 & 10 & 8 \\ 4 & 1 & 3 & 5 \end{pmatrix}.$$

then the first column is the sequence $A'_{1, 4} = 7, 17, 4$, the second column is the sequence $A'_{2, 4} = 9, 15, 1$, etc. If each column of this matrix is rearranged into ascending order:

$$\begin{pmatrix} 4 & 1 & 3 & 5 \\ 7 & 9 & 10 & 8 \\ 17 & 15 & 13 & 20 \end{pmatrix}.$$

then $A'_{1, 4} = 4, 7, 17$ is the first column, $A'_{2, 4} = 1, 9, 15$ is the second column, etc., and $A^{(1, 3)} = 4, 1, 3, 5$ is the first row, $A^{(2, 3)} = 7, 9, 10, 8$ is the second row, etc.

In the above example, A is bi-tonic since one circular permutation of A is $20, 17, 15, 10, 8, 4, 1, 3, 5, 7, 9, 13$. As predicted by theorem 1, the subsequences $A'_{1, 4} = 7, 17, 4$; $A'_{2, 4} = 9, 15, 1$; $A'_{3, 4} = 13, 10, 3$, and $A'_{4, 4} = 20, 8, 5$, also are bi-tonic. In the example, the derived sequences $A^{(1, 3)} = 4, 1, 3, 5$, $A^{(2, 3)} = 7, 9, 10, 8$, and $A^{(3, 3)} = 17, 15, 13, 20$, are bi-tonic and, furthermore, $A^{(1, 3)}$ has the least four members of A .

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$A^{(2, 3)}$ has the middle four, and $A^{(3, 3)}$ has the greatest four members of A . Hence, to reorganize A into ascending order, it is sufficient to rearrange each of the three bi-tonic-derived sequences into ascending order and concatenate them. Theorem 2 shows this is true for any bi-tonic sequence.

Theorem 2: If

$$A = a_1, a_2, \dots, a_{pq}$$

is bi-tonic, then each derived sequence $A^{(j, q)}$, where $1 \leq j \leq q$, is bi-tonic and

$$\begin{aligned} \max [A^{(1, q)}] &\leq \min [A^{(2, q)}], \\ \max [A^{(2, q)}] &\leq \min [A^{(3, q)}], \\ &\cdot \\ &\cdot \\ &\cdot \\ \max [A^{(q-1, q)}] &\leq \min [A^{(q, q)}]. \end{aligned}$$

Proof: Consider A written in matrix form:

$$\begin{pmatrix} a_1 & a_2 & a_3 & \dots & a_p \\ a_{p+1} & a_{p+2} & a_{p+3} & \dots & a_{2p} \\ a_{2p+1} & a_{2p+2} & a_{2p+3} & \dots & a_{3p} \\ \cdot & & & & \\ \cdot & & & & \\ \cdot & & & & \\ a_{(q-1)p+1} & a_{(q-1)p+2} & a_{(q-1)p+3} & \dots & a_{pq} \end{pmatrix}$$

and observe that a circular permutation of A is equivalent to a circular permutation of the columns plus a circular permutation within each column. The effect of a circular permutation within any column is cancelled when the column is rearranged into ascending order. Hence, a circular permutation of A causes a circular permutation within each derived sequence; this does not affect the bi-tonic property and maximums and minimums. It is concluded that it is sufficient to prove the theorem for any circular permutation of A.

Pick a circular permutation of A, $B = b_1, b_2, \dots, b_{pq}$ for which there is an integer j, with $1 \leq j \leq pq$, so that

$$b_1 \geq b_2 \geq \dots \geq b_{j-1} \geq b_j \leq b_{j+1} \leq \dots \leq b_{pq-1} \leq b_{pq}$$

Let r and s be the integers defined by

$$rp + s = j \text{ and } 1 \leq s \leq p.$$

B in matrix form is

$$\begin{pmatrix} b_1 & b_2 & \dots & b_s & \dots & b_p \\ b_{p+1} & b_{p+2} & \dots & b_{p+s} & \dots & b_{2p} \\ \cdot & & & & & \\ \cdot & & & & & \\ \cdot & & & & & \\ b_{rp+1} & b_{rp+2} & \dots & b_{rp+s} & \dots & b_{(r+1)p} \\ \cdot & & & & & \\ \cdot & & & & & \\ \cdot & & & & & \\ b_{(q-1)p+1} & b_{(q-1)p+2} & \dots & b_{(q-1)p+s} & \dots & b_{pq} \end{pmatrix}$$

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It is easy to see that for any k , with $1 \leq k \leq p$, $\max [b_k, b_{p+k}, b_{2p+k}, \dots, b_{(q-1)p+k}] = \max [b_k, b_{(q-i)p+k}]$, so that after each column is rearranged into ascending order each term of row q the derived sequence $B^{(q, q)}$ comes from row 1 or row q . The proof is divided into three cases; $0 < r < q - 1$, $r = 0$, and $r = q - 1$.

For $0 < r < q - 1$, the inequalities

$$b_1 \geq b_2 \geq b_3 \geq \dots \geq b_p$$

and

$$b_{(q-1)p+i} \leq b_{(q-1)p+2} \leq \dots \leq b_{pq}$$

hold, so if $b_k \leq b_{(q-1)p+k}$ for some k , where $1 \leq k \leq p$, then $b_{k+1} \leq b_{(q-1)p+k+1}$. This, together with $B^{(q, q)} = \max [b_1, b_{(q-1)p+1}, \dots, \max [b_2, b_{(q-1)p+2}], \dots, \max (b_p, b_{pq})]$ implies that for some integer t , where $0 \leq t \leq p$, $B^{(q, q)} = b_1 \cdot b_2 \cdot \dots \cdot b_{t-1} \cdot b_t \cdot b_{(q-1)p+t+1} \cdot b_{(q-1)p-t+2} \cdot \dots \cdot b_{pq}$.

For $1 \leq t \leq p - 1$, $B^{(q, q)}$ is bi-tonic and its minimum is

$$\min [b_t, b_{(q-1)p-t+1}]$$

Let $C = b_{t+1} \cdot b_{t+2} \cdot \dots \cdot b_{(q-1)p+t}$; then C is bi-tonic with a maximum of

$$\max [b_{t+1}, b_{(q-1)p-t}]$$

and

$$b_t \geq b_{t+1}$$

$$b_t \geq b_{(q-1)p+t}$$

$$b_{(q-1)p+t+1} \geq b_{t+1}$$

and

$$b_{(q-1)p+t+1} \geq b_{(q-1)p+t}$$

are established so $\min [B^{(q, q)}] \geq \max (C)$. If $t = 0$ or $t = p$, $B^{(q, q)}$ is monotonic, hence bi-tonic, C is bi-tonic, and $\min [B^{(q, q)}] \geq \max (C)$.

The $r = 0$ case can be reduced to the case $r = q - 1$ by inverting the order of the terms of B ; this operation inverts each derived sequence and does not affect the bi-tonic property, maximums and minimums.

For $r = q - 1$,

$$\begin{aligned} b_1 &\geq b_2 \geq \dots \geq b_p \geq \dots \geq b_{(q-1)p+1} \geq b_{(q-1)p+2} \geq \dots \\ &\geq b_{(q-1)p+s-1} \geq b_{(q-1)p+s} \leq b_{(q-1)p+s+1} \leq \dots \\ &\leq b_{pq-1} \leq b_{pq}. \end{aligned}$$

If $b_{pq} \leq b_p$, then $B^{(q, q)} = b_1, b_2, \dots, b_p$, which is monotonic, hence bi-tonic, with a minimum of b_p . $C = b_{p+1}, b_{p+2}, \dots, b_{pq}$ is bi-tonic with a maximum of $\max (b_{p+1}, b_{pq})$, so $\min [B^{(q, q)}] \geq \max (C)$.

If $b_{pq} > b_p$, then there is an integer t , $s \leq t \leq p - 1$, so that $b_t \geq b_{(q-1)p+t}$ and $b_{t+1} \leq b_{(q-1)p+t+1}$. $B^{(q, q)} = b_1, b_2, \dots, b_t, b_{(q-1)p+t+1}, b_{(q-1)p+t+2}, \dots, b_{pq}$ is bi-tonic with a minimum of

$$\min [b_t, b_{(q-1)p+t+1}].$$

$C = b_{t+1}, b_{t+2}, \dots, b_{(q-1)p+t}$ is bi-tonic with a maximum of $\max [b_{t+1}, b_{(q-1)p+t}]$ and again $\min [B^{(q, q)}] \geq \max (C)$. This concludes the case $r = q - 1$.

In all three cases, $B^{(q, q)}$ is bi-tonic and if $C = B - B^{(q, q)}$, C is bi-tonic and $\min [B^{(q, q)}] \geq \max (C)$. C has $(q - 1)p$ terms. It is not hard to see that the derived sequences $C^{(1, q-1)}, C^{(2, q-1)}, \dots, C^{(q-1, q-1)}$ of C will be circular permutations of $B^{(1, q)}, B^{(2, q)}, \dots, B^{(q-1, q)}$, respectively. Hence, the above proof could be carried out on C to show

that $C^{(q-1, q-1)}$ [and therefore $B^{(q-1, q)}$] is bi-tonic and $\min [C^{(q-1, q-1)}] \geq \max [C - C^{(q-1, q-1)}]$. Therefore, $\min [B^{(q-1, q)}] \geq \max [B - B^{(q, q)} - B^{(q-1, q)}]$. Iteration of this process proves theorem 2.

3. BI-TONIC SORTING OPERATORS

For any integer $n > 1$, let N_n be an operator that, when applied to any bi-tonic sequence of length n , causes the terms of it to be rearranged into ascending order. Theorems 1 and 2 show that for any integers $p > 1$ and $q > 1$, N_{pq} can be constructed from p applications of N_q and q applications of N_p . The operator equation is

$$N_{pq}(A) = N_q(A_{1,p})N_q(A_{2,p}) \dots N_q(A_{p,p})N_p[A^{(1,q)}]N_p[A^{(2,q)}] \dots N_p[A^{(q,q)}].$$

Or, using \prod notation,

$$N_{pq}(A) = \prod_{i=1}^p N_q(A_{i,p}) \cdot \prod_{j=1}^q N_p[A^{(j,q)}].$$

A special case is when $p = q^{t-1}$,

$$N_{q^t}(A) = \prod_{i=1}^{q^{t-1}} N_q(A_{i, q^{t-1}}) \cdot \prod_{j=1}^q N_{q^{t-1}}[A^{(j,q)}].$$

Repeated application of this equation allows construction of N_{q^t} from N_q operators. When $q = 2$,

$$N_{2^t}(A) = \prod_{i=1}^{2^{t-1}} N_2(A_{i, 2^{t-1}}) \cdot N_{2^{t-1}}[A^{(1,2)}] \cdot N_{2^{t-1}}[A^{(2,2)}].$$

The N_2 operator is a comparison of two numbers with an exchange if they are in the wrong order (N_2 is the same as Q_2 in GER-11759).^a The N_{2^t} operator will have $t2^{t-1}$ N_2 operators.

^aIbid.

A bi-tonic sequence can be formed from any two ascending sequences by inverting one sequence and concatenating them. The bi-tonic sequence then can be sorted by means of an N operator; the result is the merge of the ascending sequences. Hence, the operator N_n is equivalent to $M_{m, k}$ of the referenced report for any m, k where $m + k = n$.

In general, N_{m+k} uses more Q_2 operators than $M_{m, k}$. This is the price to obtain a merge operator that is dependent only on $m + k$ and not on m and k separately; for example, in the referenced report, $M_{2^t-1, 2^t-1}$ uses $(t-1)2^{t-1} + 1$ operators but N_{2^t} has the advantage that it can be used to merge any two ascending sequences whose combined length is 2^t where $M_{2^t-1, 2^t-1}$ can only be used when both sequences have the same length, $2^t - 1$.

If a sort of 2^n numbers is conducted using N operators for merging, the sort will use $(n^2 + n)2^{n-2}$ Q_2 operators whereas, in the referenced report, only $(n^2 - n + 4)2^{n-2} - 1$ Q_2 operators are required using M operators.

4. CONCLUSIONS

This report indicates how a merge operator N_{2^t} can be constructed from Q_2 operators that will merge any two ascending sequences whose combined length is 2^t . N_{2^t} is more versatile than $M_{m, 2^t-m}$ of the referenced report because of this but it uses slightly more Q_2 operators.

APPENDIX VI - BASIC ORGANIZATION OF MACHINE I

1. INTRODUCTION

This report describes a computer organization consisting of several arithmetic units and several I/O channels interconnected by a multiaccess self-sorting memory. All arithmetic units and I/O channels can access the memory at the same time with no conflict, even when two or more units access the same word. The sorting capability of the memory allows fast sorting and searching of tables and a form of content addressing. This capability, together with the parallel arithmetic capability, gives the processor a fast processing speed on most classes of problems.

2. THE PROBLEM OF ACCESSING DATA IN COMPUTER ORGANIZATIONS

Initially, higher processing speeds in digital computers were obtained mostly by using faster components. Later, higher speeds were obtained mostly by doing operations simultaneously that previously were done one at a time; for example, the first computers suspended computations during I/O operations while later machines do both simultaneously. The latest large-scale processors, such as the IBM Stretch and the CDC-6600, allow several I/O and arithmetic operations to take place simultaneously.

In the future, computers with a large number of simultaneously operating arithmetic units (ALU's) and I/O channels, perhaps in the hundreds, can be expected. The major problem in such a computer is that of giving all units fast access to the data that they need.

This problem exists in present-day computers where memories are divided into several banks so that while one channel is accessing one memory bank other channels can be accessing other banks. When two or more channels need access to the same memory bank, one of the channels is

permitted access and the others wait. It can be expected that the frequency of these conflicts will be very high if there are hundreds of channels, and thus this method does not appear to be promising. This is especially true if data are retrieved by content rather than by address, since a given item might be in any memory bank and a channel must look in all the memory banks for the item. In this case, dividing the memory into banks does little to increase the overall processing speed.

When the class of problems to be solved by a particular machine is restricted, the machine can be tailored to prevent memory conflicts. An example of this is the SOLOMON computer^{1, a} where each processing element is allowed access only to its four neighbors (right, left, up, down). On certain problems with a rectangular structure (matrices, partial-difference equations, etc.), the SOLOMON computer achieves a fast processing speed because each processing element only needs access to its four neighbors while for other problems the time spent in shuffling operands to the elements needing them will slow the processing speed drastically.

The above discussion exhibits the need for a memory capable of performing hundreds of accesses simultaneously without conflicts. Ideally, no conflicts should arise even when several channels want the same word. This situation exists if several ALU's jump simultaneously to a common subroutine such as a square root subroutine.

3. A MULTIACCESS SELF-SORTING MEMORY ORGANIZATION

a. Introduction

One way to build a memory with m words and n access lines is to use a matrix or crossbar switch with m rows and n columns. The amount of equipment in such an arrangement is proportional to mn , a prohibitive

^a Superior numbers in the text refer to references listed under Subhead 6 on page 162.

number for memories of reasonable size. Another disadvantage of the matrix is the fan-out and fan-in required of some of its elements; for example, there is a gate for each word in memory loading down each access line. The fan-out and fan-in can be reduced by "treeing" but this increases the amount of equipment even more.

Fortunately, other networks of elements exist that perform the same function as the m -by- n crossbar; these use only $m/2 \log_2 n$ elements (approximately) and the fan-in and fan-out required of each element is constant regardless of m and n . These networks are based on the sorting and merging techniques discussed in GER-11759² and GER-11869³ and described below.

b. The Comparison Element

Each element in the sorting and merging networks has two inputs, A and B, and two outputs, L and H, as shown in Figure VI-1. When two items of data are presented on the inputs, the element compares the two items as if they are numbers and presents the lower of the two on output L, and the higher on output H. If the two items are equal, the element presents their common value on both outputs. Either bit-serial or bit-parallel or a serial-parallel form of data transmission is possible; however, serial transmission should be done most-significant bit first.

Figure VI-2 shows a 13-NOR comparison element through which data are transmitted one bit at a time, most-significant bit first. Basically, this element operates as follows. The $B > A$ and $A > B$ flip-flops are reset by the reset input and then the data items are presented on A and B serially, most-significant bit first, interspersed with clock pulses on the clock input. With the flip-flops reset, the L output is the logical product (AND) of A and B, while H is the logical union (OR). If $A = B$, the clock pulse has no effect. If $A = 1$ and $B = 0$, the $A > B$ flip-flop is set. If $A = 0$ and $B = 1$, the $B > A$ flip-flop is set. If the $B > A$ flip-flop is set, it remains so until the next reset pulse. It changes the operation of the circuit

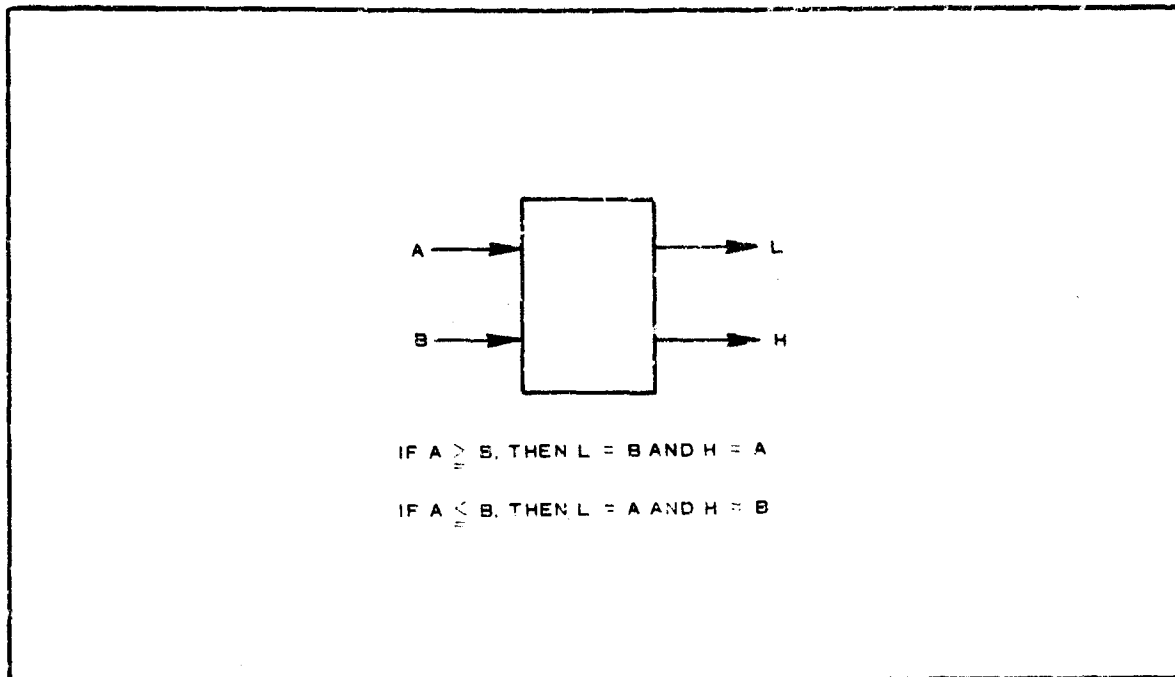


Figure IV-1 - Symbol for a Comparison Element

so that $L = A$ and $H = B$ and it inhibits the setting of the other flip-flop. The operation is similar if the $A > B$ flip-flop is set.

Comparison elements that compare more than one bit of each item at a time also are possible. Also, it is possible to add a shift-register stage to each input or to each output so that the element has a temporary storage function as well as a comparison function.

In the networks to be described, the L and H output of each element will be connected to an A or B input of another element, hence the load on the L and H outputs is fixed. This should make it possible to construct these elements economically; for instance, the logic of Figure VI-2 could be put on one integrated circuit chip so that the elements could be fabricated in batches.

c. $M_{m,n}$ Merging Networks

The comparison elements can be combined to form a network that can merge an ordered set of m items with an ordered set of n items to form

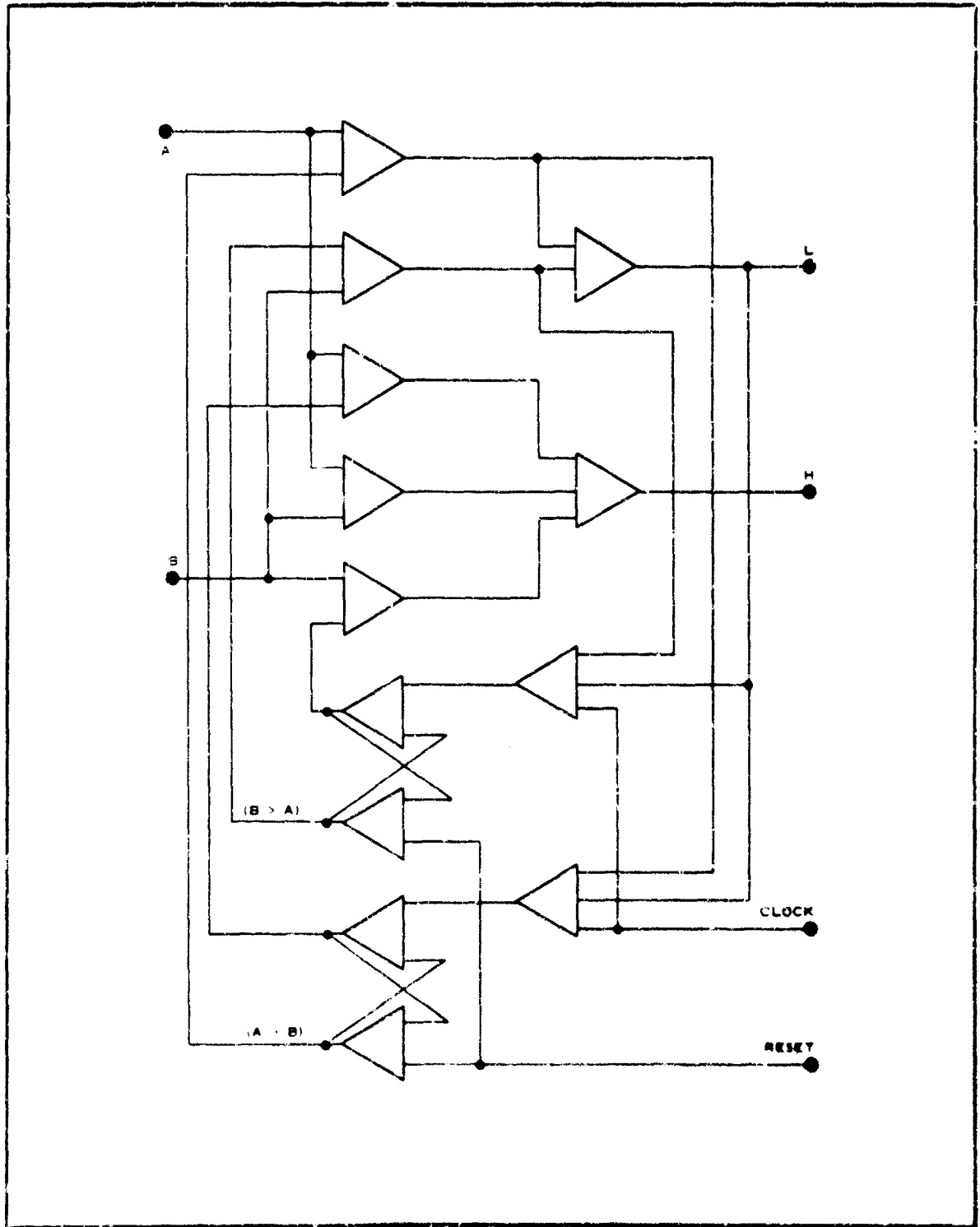


Figure VI-2 - A 13-NOR Comparison Element

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an ordered set of $m + n$ items (Figure VI-3); that is, if m items arrive over input lines a_1, a_2, \dots, a_m and n items arrive over b_1, b_2, \dots, b_n simultaneously and if $a_1 \leq a_2 \leq \dots \leq a_m$ and $b_1 \leq b_2 \leq \dots \leq b_n$, then the $m + n$ items will be sent out on c_1, c_2, \dots, c_{m+n} reordered so that $c_1 \leq c_2 \leq \dots \leq c_{m+n}$. This is called an $M_{m, n}$ merging network.

The construction of an $M_{m, n}$ merging network is based on the merging technique described in Reference 2. Basically, the network merges the set a_1, a_3, a_5, \dots with the set b_1, b_3, b_5, \dots in one subnetwork while merging the set a_2, a_4, a_6, \dots with the set b_2, b_4, b_6, \dots in another subnetwork. The outputs of the two subnetworks are combined to form the output c_1, c_2, c_3, \dots . The subnetworks in turn each consist of two subnetworks combined the same way, etc.

The construction is made more explicit in Figure VI-4, which shows how two subnetworks are combined to form the larger merging network, $M_{m, n}$.

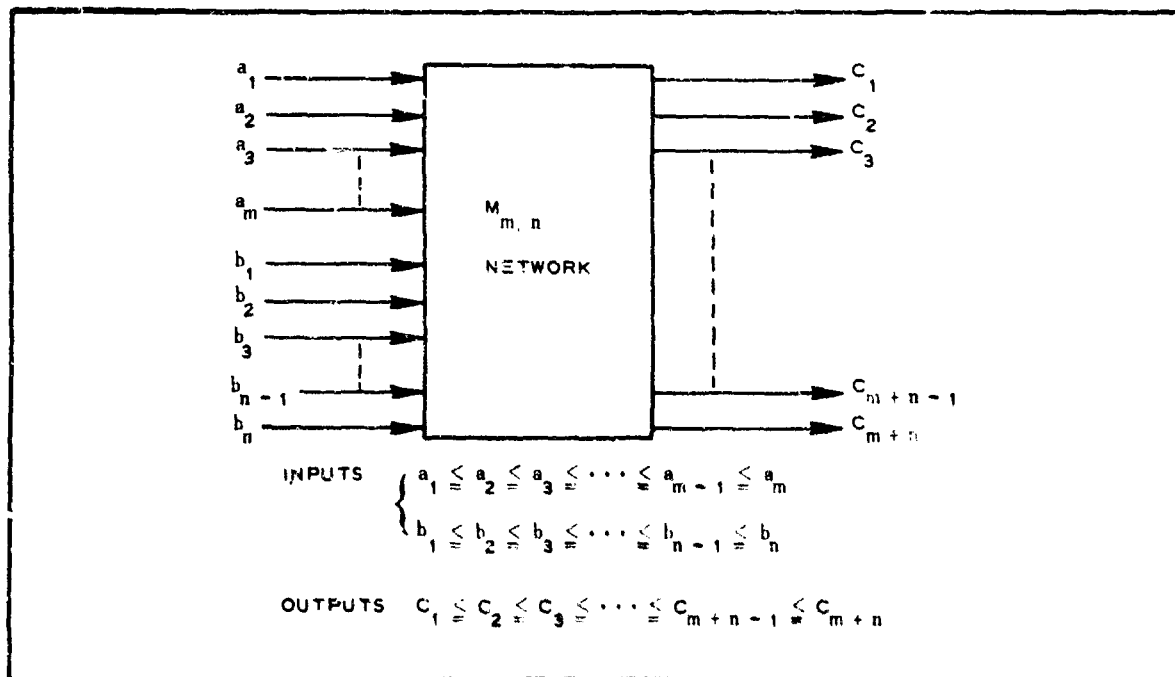


Figure VI-3 - Symbol for an $M_{m, n}$ Merging Network

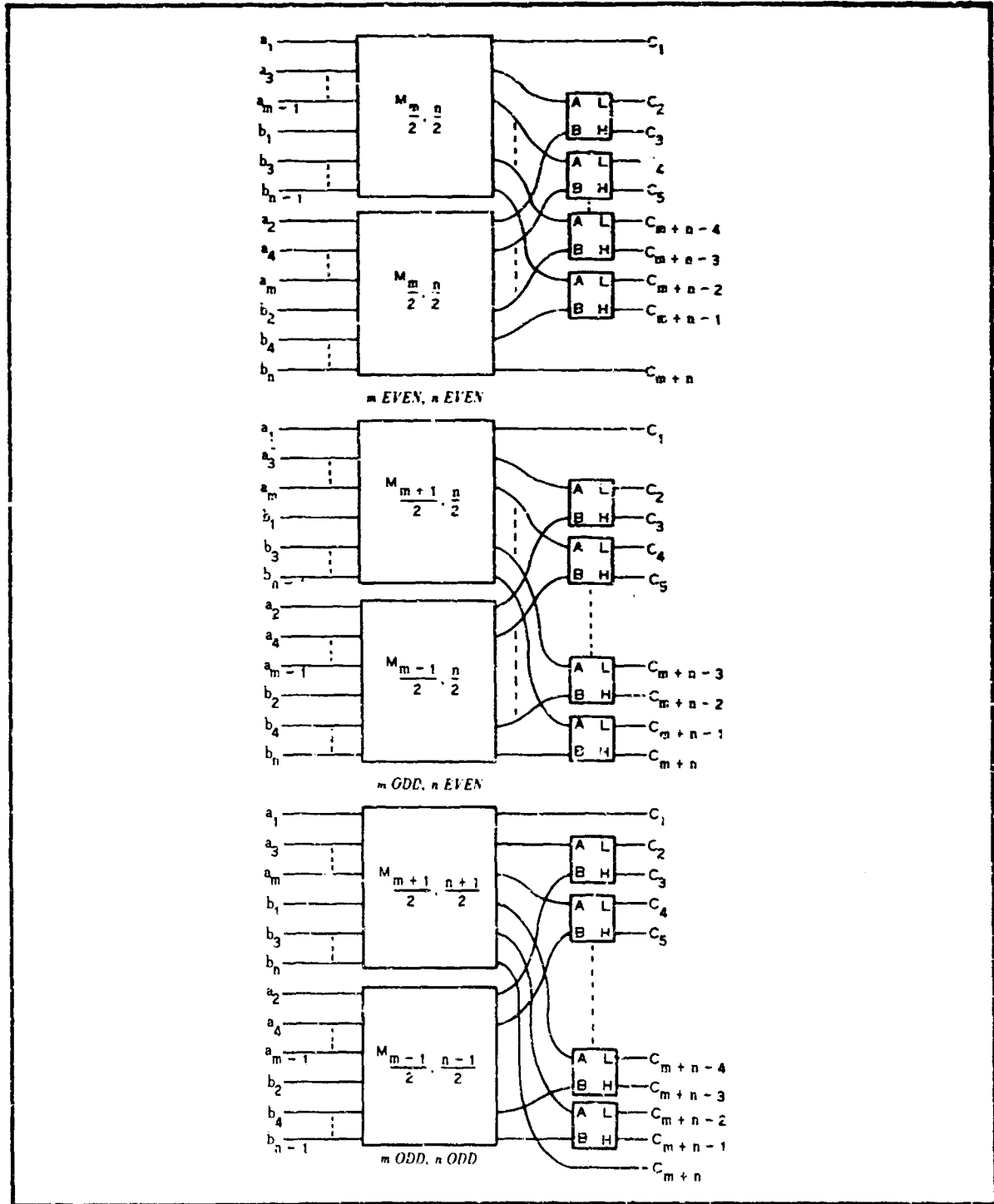


Figure VI-4 - Construction of $M_{m,n}$ from Two Subnetworks and a Set of Comparison Elements

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There are four cases depending on the odd-even character of the numbers m and n . The case where m is even and n is odd is obtained from the case where m is odd and n is even simply by interchanging the two input sets; hence, only three cases are illustrated. In all three cases, one subnetwork receives all the even-indexed items of the input sets while the other subnetwork receives the odd-indexed items. The respective outputs of the two subnetworks are compared by a set of comparison elements (one or two of the subnetwork outputs bypass this stage as indicated in Figure VI-4) and the outputs of these elements are the outputs of $M_{m, n}$.

By applying the same procedure to the subnetworks and then to the sub-subnetworks, etc., the construction is reduced to a set of $M_{p, i}$, $M_{1, p}$ merging networks. An $M_{p, 1}$ network can be built by means of the familiar binary search technique; that is, the item b_i that is to be merged with a_1, a_2, \dots, a_p is compared first with $a_{p/2}$ (or thereabouts) and the lower of the two is merged with $a_1, a_2, \dots, a_{p/2 - 1}$ while the higher is merged with $a_{p/2 + 1}, \dots, a_{p-1}, a_p$. Figure VI-5 shows $M_{10, 1}$ constructed this way as an example.

Another example, $M_{12, 4}$, is shown in Figure VI-6 with the subnetworks and sub-subnetworks identified by the dotted boxes. A proof that the above merging networks do in fact "merge" is given in Reference 2. (An $M_{m, n}$ network corresponds to the $M_{m, n}$ operator of this reference.)

Let $h(m, n)$ be the number of comparison elements in $M_{m, n}$. An exact expression for $h(m, n)$ is hard to obtain but an idea of how fast it grows is indicated by $h(2^p, 2^q - 2^p) = (p + 2)2^q - 1 - 2^{p+1} + 1$ (for $q > p \geq 0$). Other special cases of $h(m, n)$ are given in Reference 2.

As can be seen from Figure VI-4, doubling the size of $M_{m, n}$ adds one level of comparison elements to the network; hence, the longest path through the network is proportional to the logarithm of the size of the network; for example, the longest path in $M_{2^p, 2^q - 2^p}$ goes through q comparison elements.

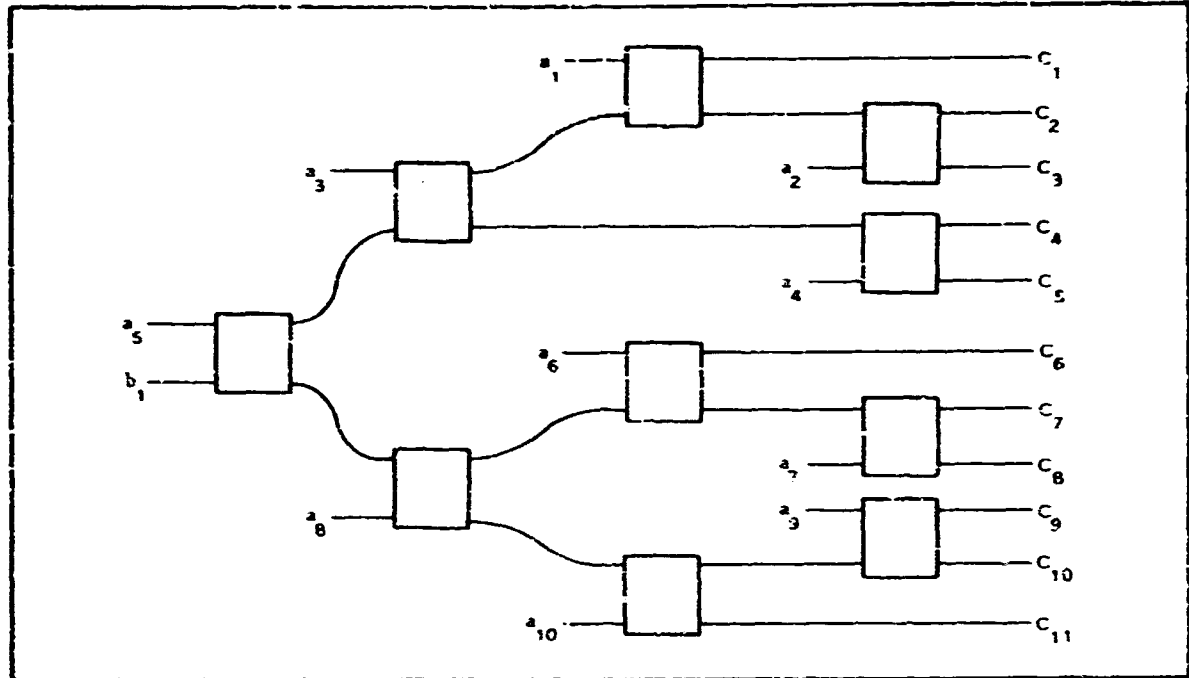


Figure VI-5 - $M_{10, 1}$ Merging Network

When the comparison elements include flip-flops for storage of data, there is a one clock-interval delay in each element and therefore it is necessary to add extra delays in the shorter paths of the network to equalize the delay in all paths. Delay elements or extra "waste" comparison elements can be used for this purpose. As an example, an $M_{2^p, 2^q - 2^2}$ network then would have q levels, with each level having 2^{q-1} comparison elements.

d. Bi-Tonic Merging Networks (N_{2^q})

One disadvantage of $M_{m, n}$ is that it can merge only m items with n items and thus, for instance, cannot fulfill a need to merge $m + 1$ items with $n - 1$ items. There is another class of merging networks that has the capability of merging lists of items, regardless of the number of items in each list, subject only to the constraint that the total number of items to be merged is a power of two and remains constant.

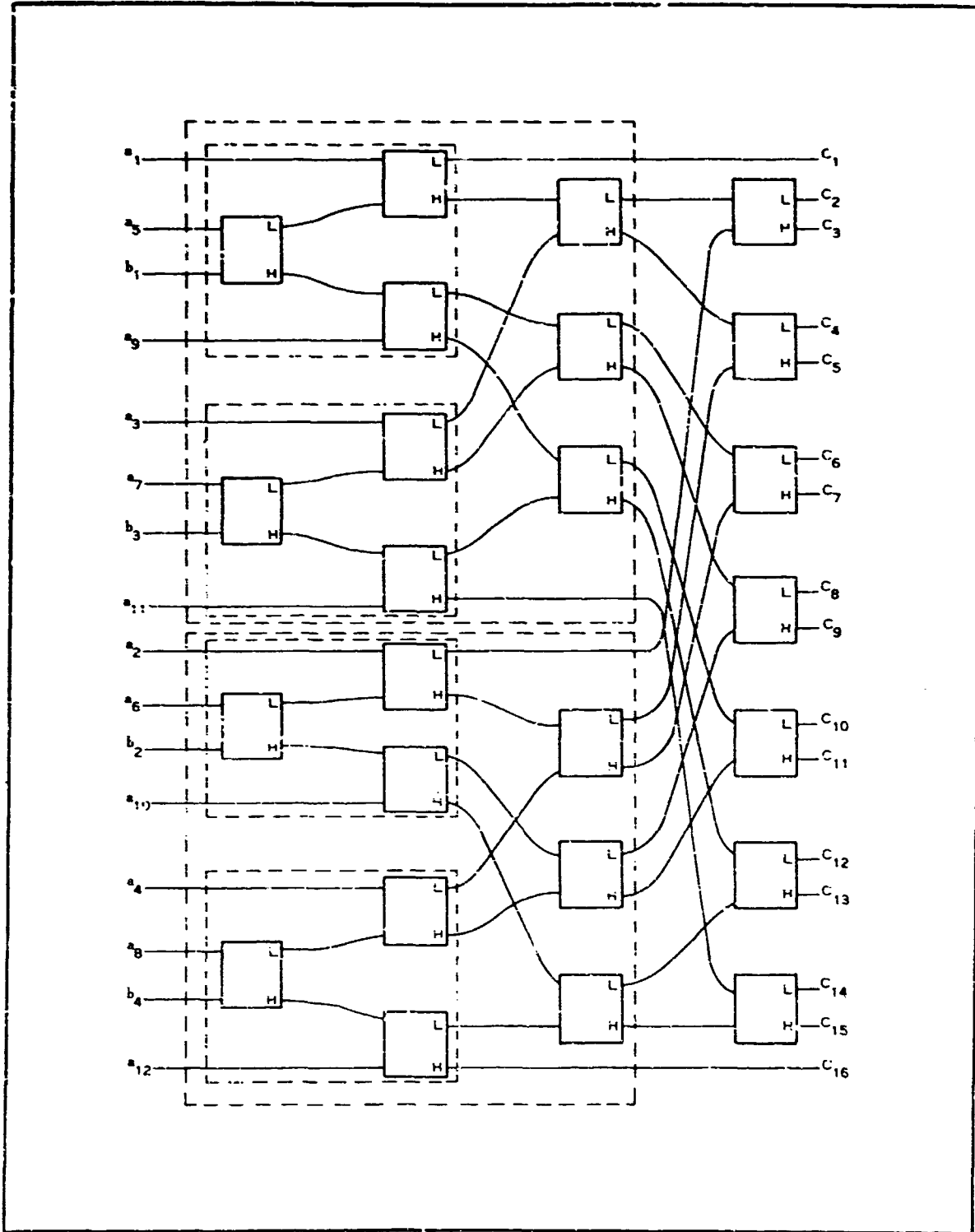


Figure VI-6 - $M_{12, 4}$ Merging Network

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A sequence of numbers, a_1, a_2, \dots, a_{2^q} is bi-tonic if it is monotonic or if it consists of two monotonic sequences, one ascending the other descending, placed side by side (it does not matter whether the ascending sequence precedes the descending sequence or follows it). An N_{2^q} bi-tonic merging network such as shown in Figure VI-7 can rearrange any bi-tonic sequence a_1, a_2, \dots, a_{2^q} into an ascending sequence.

For any $q \geq 1$, N_{2^q} can be constructed as follows. If $q = 1$, N_2 is simply one comparison element; if $q > 1$, N_{2^q} consists of two $N_{2^{q-1}}$ networks and 2^{q-1} comparison elements connected as shown in Figure VI-8. A proof that these networks function as stated is given in Reference 3.

N_{2^q} will have q levels and each level will have 2^{q-1} comparison elements. All paths traverse q elements so there is no need to add extra "waste" elements to equalize path lengths. To use N_{2^q} as a merging network, one of the input sets should enter N_{2^q} in ascending order and the other in descending order so that the total input set a_1, a_2, \dots, a_{2^q} is a bi-tonic sequence.

e. Sorting Networks

The merging networks of Items 3, c and 3, d above can be used to construct sorting networks by means of the well-known sorting-by-merging technique; for example, a network to sort 2^q items consists of 2^{q-1} comparison elements to arrange the items into 2^{q-1} ordered sequences of length q followed by 2^{q-2} , $M_{2,2}$ or N_4 networks to merge these sequences into sequences of length 4, etc.

The total number of levels in a sorting network for 2^q items is $1/2 q(q+1)$. If M-merging networks are used, the total number of elements is $(q^2 - q + 4)2^{q-2} - 1$, while if N-merging networks are used the number of elements is $q(q+1)2^{q-2}$.

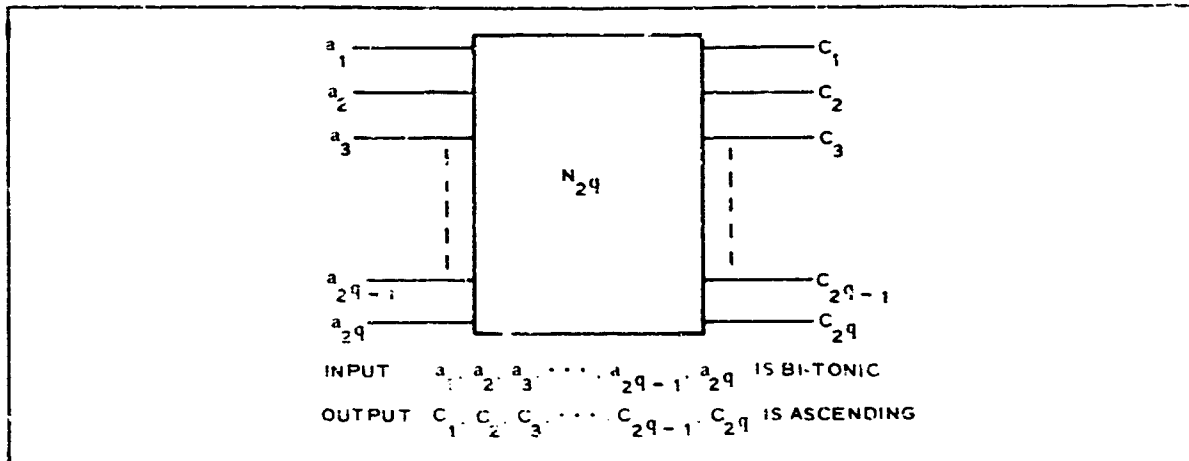


Figure VI-7 - Bi-Tonic Merging Network

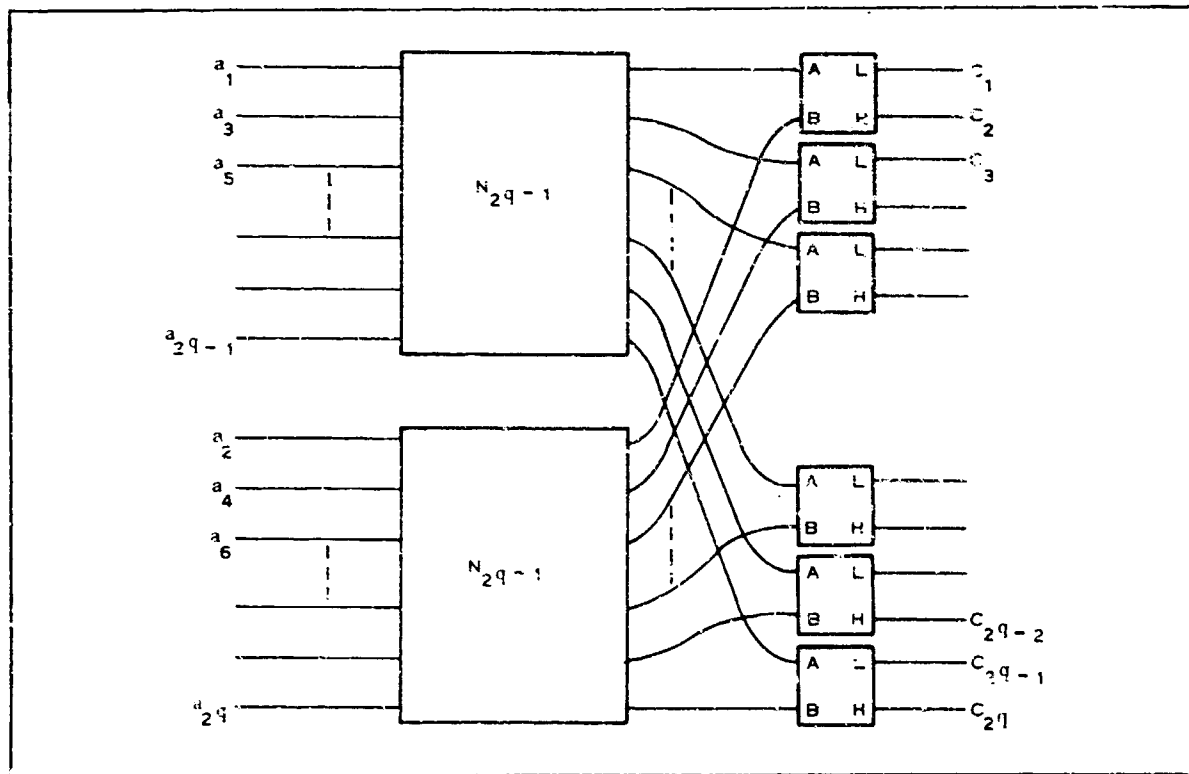


Figure VI-8 - Construction of N_{2^q} from Two $N_{2^{q-1}}$ Networks and 2^{q-1} Comparison Elements

i. Separating Networks (\tilde{N}_{2^q})

A merging network combines two ordered sets of items into one ordered set. It is also desirable to have a network for the inverse operation; that is, a network that can separate an ordered set of items into two ordered sets (each item is marked with a flag bit to indicate the ordered set to which it belongs).

\tilde{N}_{2^q} (for $q \geq 1$) is defined as a bi-tonic separating network. That is, if 2^q items d_1, d_2, \dots, d_{2^q} are presented over its inputs with $d_1 \leq d_2 \leq \dots \leq d_{2^q}$ and if k of these items are flagged ($0 \leq k \leq 2^q$), then \tilde{N}_{2^q}

presents the k flagged items on outputs e_1, e_2, \dots, e_k ordered so that $e_1 \geq e_2 \geq \dots \geq e_k$, and presents the $2^q - k$ unflagged items on $e_{k+1}, e_{k+2}, \dots, e_{2^q}$ ordered so that $e_{k+1} \leq e_{k+2} \leq \dots \leq e_{2^q}$.

\tilde{N}_{2^q} can be constructed by an iterative process that is analogous to the process used for constructing N_{2^q} . Observe from Figure VI-8 that each element in the last level receives an item from the set $a_1, a_3, a_5, \dots, a_{2^q-1}$ on its A input and an item from the set $a_2, a_4, a_6, \dots, a_{2^q}$ on its B input. This suggests that each element in the first level of \tilde{N}_{2^q} should decide which of its two inputs needs an odd index in the final output, e_1, e_2, \dots, e_{2^q} , and which needs an even index.

From the definition of \tilde{N}_{2^q} , the following rules (where $1 \leq i \leq 2^q$) can be established:

1. If i is even and if the set $\{d_i, d_{i+1}, \dots, d_{2^q}\}$ contains an even number of flagged items, then the item d_i belongs in the set $E = \{e_2, e_4, e_6, \dots, e_{2^q}\}$.

2. If i is even and if the set $\{d_i, d_{i+1}, \dots, d_{2^q}\}$ contains an odd number of flagged items, then the item d_i belongs in the set $0 = \{e_1, e_3, e_5, \dots, e_{2^q-1}\}$.
3. If i is odd, then d_i belongs in the complement to the set containing d_{i+1} ; that is, $d_i \in E$ if $d_{i+1} \notin 0$ and $d_i \notin 0$ if $d_{i+1} \in E$.

To establish these rules, any integer i is considered as $1 \leq i \leq 2^q$. Let t be the number of flagged items in $d_i, d_{i+1}, \dots, d_{2^q}$. From the definition of \tilde{N}_{2^q} , $d_i \rightarrow e_t$ if d_i is flagged and $d_i \rightarrow e_{i+t}$ if d_i is unflagged.

Therefore, if i is even, d_i belongs to E or 0 depending on whether t is even or odd, respectively. This establishes rules 1 and 2. The case of i odd is divided into four subcases, all satisfied by rule 3:

1. If d_i is flagged and d_{i+1} flagged, then $d_i \rightarrow e_t$ and $d_{i+1} \rightarrow e_{t-1}$.
2. If d_i is unflagged and d_{i+1} unflagged, then $d_i \rightarrow e_{i+t}$ and $d_{i+1} \rightarrow e_{i+t+1}$.
3. If d_i is flagged and d_{i+1} unflagged, then $d_i \rightarrow e_t$ and $d_{i+1} \rightarrow e_{i+t}$.
4. If d_i is unflagged and d_{i+1} flagged, then $d_i \rightarrow e_{i+t}$ and $d_{i+1} \rightarrow e_t$.

An \tilde{N}_{2^q} network (Figure VI-9) can be built from two \tilde{N}_{2^q-1} networks preceded by a set of separating elements. An \tilde{N}_2 network is simply one separating element. Each of the separating elements in the first level of \tilde{N}_{2^q}

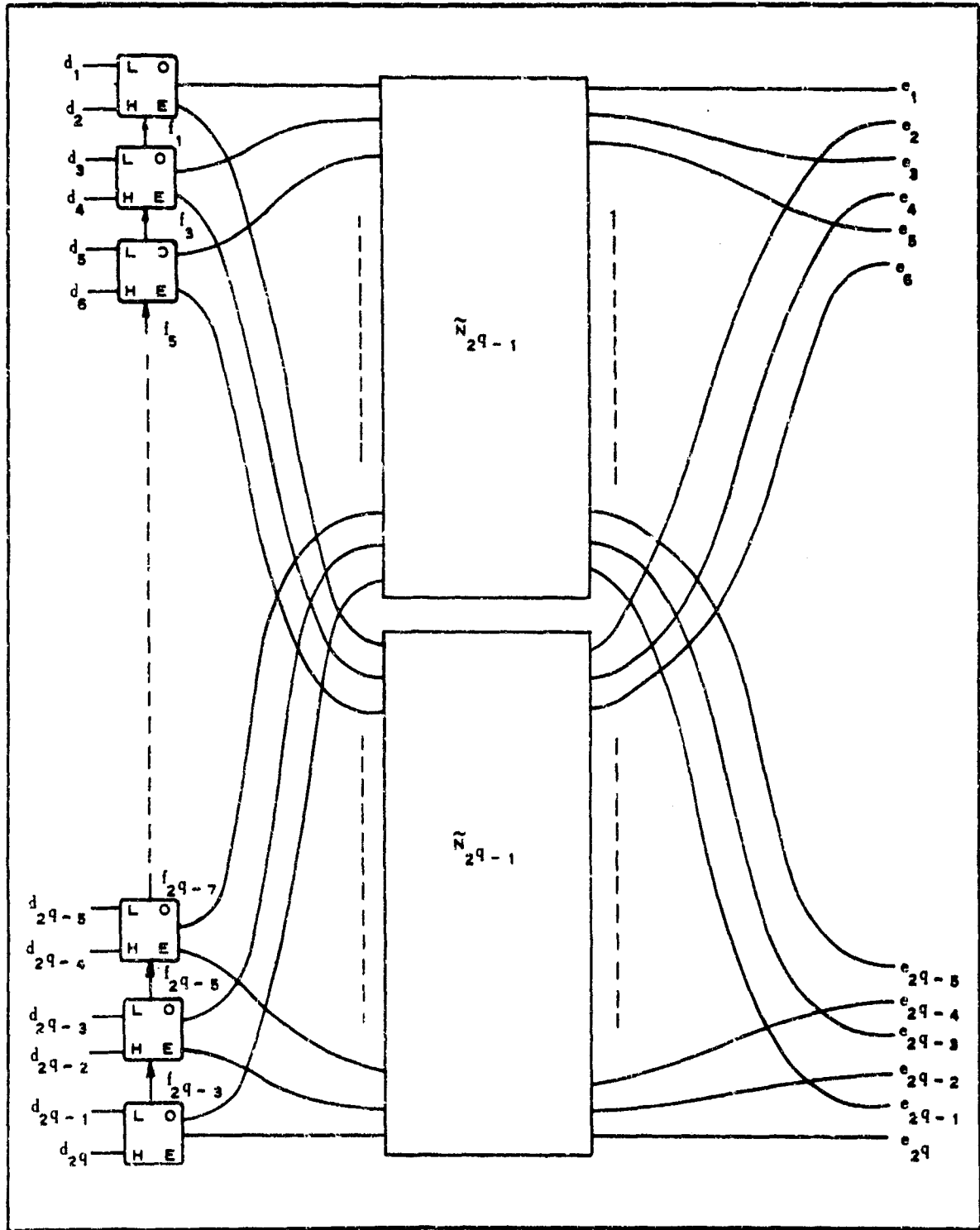


Figure VI-9 - Construction of \bar{N}_{29}

receives two items of data (d_i and d_{i+1}) over its L and H inputs (the first bit received in each item is its flag bit). It also receives an indication (f_i) of whether the number of flagged items in the set $\{d_{i+2}, d_{i+3}, \dots, d_{2^q}\}$ is odd or even. From rules 1, 2, and 3 it decides whether $d_i \in 0$ and $d_{i+1} \in E$ or $d_i \in E$ and $d_{i+1} \in 0$ and presents d_i and d_{i+1} on its 0 and E outputs accordingly.

The f_i signals are generated in a network of exclusive-or circuits that receive the flag bits. Long ripple times can be avoided by using a "look-ahead" structure similar to the carry-look-ahead structures of MacSorley.⁴

g. A Multiaccess Memory Using Merging and Separating

The networks described in Items 3, c, d, e, and f above can be combined to form a multiaccess memory (Figure VI-10). The elements in the N_{2^q} and \tilde{N}_{2^q} networks incorporate shift-register stages that store the bits of data in memory. The memory words recirculate the most-significant bits first through the N_{2^q} and \tilde{N}_{2^q} networks via the paths a, b, and c in Figure VI-10. Each memory word has an address field in its most-significant portion followed by a data field followed by a control field of $p + 2$ ONEs. The words in memory are kept in ascending order; that is, the word with the highest address field is at the top of memory, etc. Words with equal address fields are in adjacent locations ordered by their data fields (in order that words be in algebraic order, a ONEs or TWOs complement system is used with the sign-bit complemented). Empty words have zeroes in all digits so that they fill the bottom portion of memory. The order is kept in memory by sorting all new words each cycle and merging them with the memory words in N_{2^q} .

There are q levels in N_{2^q} , q levels in \tilde{N}_{2^q} , and one level in the transfer network so the recirculation paths have a delay of $2q + 1$ clock pulses. The word length is a multiple of $2q + 1$ and transmission is done in serial-parallel form. Words will recirculate twice per basic memory cycle and

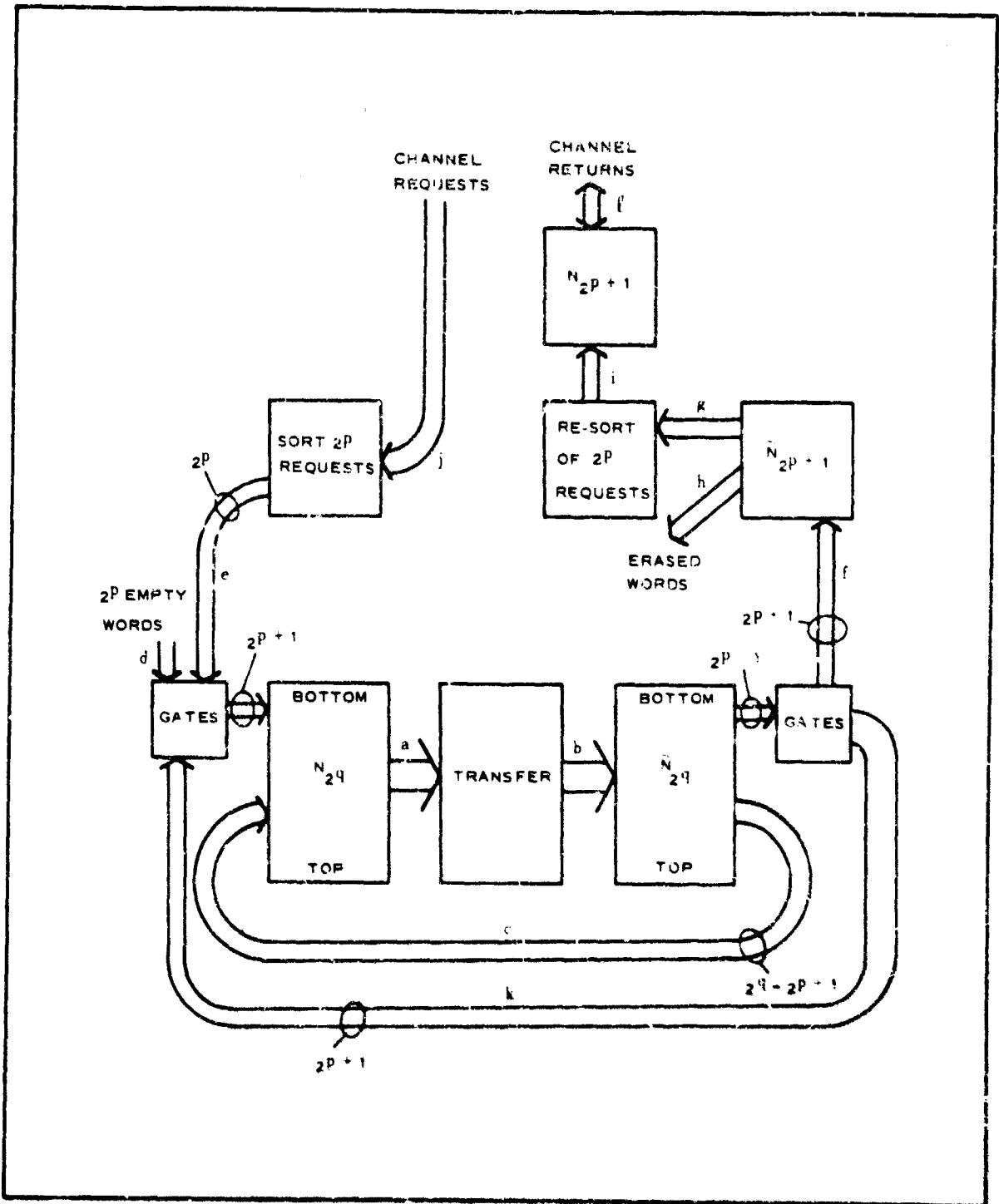


Figure VI-10 - A Multiaccess Memory with $2^q - 2^p + 1$ Words and 2^p Requests

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thus the memory cycle time is $4q + 2$ clock pulses. In operation, requests arrive over the 2^P input channels (j in Figure VI-10). These have the same format as the memory words. There are three types of requests: WRITE, READ, and READ AND ERASE. A WRITE request has ones in its control field and has the address and data of the word to be written in its address and data fields. A write request does not overwrite old data but rather creates a new word. A READ request has the bits $01xx \dots xx$ in its control field where $xx \dots xx$ is the channel number. A READ AND ERASE request has the bits $10xx \dots xx$ in its control field where $xx \dots xx$ is the channel number. The address and data fields of a READ or READ AND ERASE request indicate the word to be read. If there is a word in memory whose address and data fields agree with that of the request, that word is read; otherwise, the memory word that is immediately higher than the request is read. As examples, if the data field of a request is all zeros and if there is one memory word whose address agrees with the request, that memory word is read; if several words have addresses agreeing with the request, the one with the least data field is read, etc. A READ AND ERASE request will erase a memory word after reading it. Any inactive channel will have a request word of all zeros.

A sorting network orders the requests with the address field taking precedence over the data field, etc. The ordered requests are presented to N_{2^q} over the e lines (Figure VI-10) with the highest request entering the bottom of memory, the next lower request entering the next higher word, etc. Concurrently, the memory words enter N_{2^q} over c in ascending order. The 2^P empty words enter on the d lines. The input to N_{2^q} is a bi-tonic sequence (Item 3, d above) and therefore q clock pulses later the merged requests and memory words start leaving N_{2^q} in ascending order.

Merging continues until the control field starts to enter the transfer network. Meanwhile, the address and data fields circulate through \tilde{N}_{2^q} and

back into N_{2^q} via the k and c lines. The \tilde{N}_{2^q} network is set so that no reordering of the words occurs. Also, the comparison elements of N_{2^q} are reset as the words re-enter N_{2^q} so that the ascending order is preserved.

At this point in the memory cycle, the first two bits of each control field are residing in the transfer network. These bits are 00 for empty words, 01 for READ requests, 10 for READ AND ERASE requests, and 11 for memory words. The transfer network remembers this information and changes these two bits to the code:

- 01 for unerased memory words
- 10 for erased memory words and empty words
- 11 for READ and READ AND ERASE requests

The code change is mechanized easily. An erased memory word can be distinguished from an unerased memory word since it is located immediately above a READ AND ERASE request. The first bit of the new code will be a flag for \tilde{N}_{2^q} to indicate the words to be removed from memory.

During the next few clock pulses the remainders of the control fields are fed through the transfer network with no change. As the address and data fields are fed through the transfer network, the address and data fields of each READ and READ AND ERASE request are overwritten with the address and data fields of the first memory word above the request.

As the words proceed through \tilde{N}_{2^q} , the flagged words are separated from the unflagged and exit at the bottom of \tilde{N}_{2^q} . The $2^q - 2^{p+1}$ topmost words enter N_{2^q} again via the c lines and a new memory cycle starts.

The 2^{p+1} bottommost words contain all requests, all erased words and some empty words. They are put into $\tilde{N}_{2^{p+1}}$ via the f lines. $\tilde{N}_{2^{p+1}}$

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uses the second control bit as a flag to separate requests from erased words.

The requests enter a sorting network (now with the control field in the most-significant place), which resorts the requests by channel numbers. The sorted requests enter an $N_{2^p + 1}$ network (via i) along with the channel numbers (via ℓ). In this network, the comparison elements contain reverse paths along with forward paths. The comparisons are performed on numbers in the forward paths but the switching action of each element affects both the forward paths and the reverse paths. By this means, the request can be fed back on the correct channel via ℓ .

Assuming logic elements with 10-nsec propagation delays, a 30,000-word memory should have a memory cycle time of 8 μ sec and an access time of 16 μ sec (the access time is longer than the cycle time because of the time spent in sorting and re-sorting the requests and because it includes the time to transmit the full requested word). These times assume 1024 request channels. Because 1024 requests can be processed every 8 μ sec, an effective cycle time of 8 nsec is obtained.

4. PARALLEL COMPUTER ORGANIZATION

The multiaccess self-sorting memory of Item 3, g above can be used in a parallel computer organization. An example parallel processor might have 300 arithmetic units, each with its own accumulator, quotient register, index registers, instruction register, program counter, and request channel to the memory. Because these arithmetic units can be mass produced, it is expected that each would be considerably cheaper than an arithmetic unit of a normal computer.

The instruction set of each arithmetic unit is similar to that of a normal computer: LOAD A, LOAD Q, STORE A, STORE Q, ADD, SUB, MULTIPLY, DIVIDE, SHIFT, JUMP, etc. There are a few differences, however:

1. Each instruction that reads an operand from memory has two modifying bits. One bit indicates whether the operand should be erased from memory or not; that is, whether the read request sent to memory should be READ or READ AND ERASE. The other bit indicates whether the address of the operand accepted from memory should agree with the requested address or not; that is, since the memory always returns an operand for each read request, the operand address will be different from the requested address if no word in memory has the requested address. If this occurs, the second bit indicates whether to reinitiate the request or whether to use the operand received from memory. Some cases require both modes.
2. Each store instruction creates a new word in memory instead of overwriting an old word. This makes it possible to store several words in memory with the same address (a set of words can be ordered simply by giving each item the same address).
3. Most instructions that read an operand from memory will fetch the minimum item if there is more than one item with the same address. This is because the data field of the READ request to memory contains zero. It is also useful to be able to fetch a word in the middle of a list of items stored with the same address. Thus, there should be some fetch operations that use the contents of the accumulator for the data field of the read request. These operations are similar to threshold searches in a normal computer, such as the CDC-1604, except that

they require only one memory access time for execution regardless of the length of the table being searched.

4. Indirect addressing capability will be useful. Some programs can be executed faster if one arithmetic unit computes "addresses" while another refers to these by indirect addressing.
5. Indexable jumps are useful since there will be cases where several arithmetic units may be executing the same subroutine and the return addresses have to be stored in the arithmetic units themselves.
6. Interrupting capability is useful so one "master" arithmetic unit can control the others easily. It also allows an arithmetic unit that is waiting for data to be interrupted and started on a new program.
7. A "skip" on the presence or nonpresence of an address in memory is useful for synchronizing arithmetic units.

The example processor might have hundreds of I/O channels, each with its own request channel to memory. There may also be a large backup store to main memory that uses several request channels so that large blocks of data can be moved in and out in parallel. With a large, fast-access backup store, a large main memory is not needed. The I/O equipment can be controlled by reserving certain addresses for I/O control-word storage.

This example parallel processor will be able to perform a large class of programs very fast. The sorting and searching capability allows fast data retrieval while the parallel arithmetic units allow programs to be executed in parallel. For example, in the processing of a list structure, the structure can be gone through in parallel, with a different arithmetic unit processing each branch and subbranch, etc. The parallel I/O channels allow fast data input and output, multiconsole arrangements, fast-access backup

stores, etc. With error-detecting capability in the arithmetic units and interrupt, it is possible to bypass failed arithmetic units without halting computation, greatly reducing machine down time.

5. CONCLUSIONS

This report shows how a fast multiaccess self-sorting memory can be constructed. It also gives an example of a parallel processor organization (Machine I) using this memory. Besides the parallel arithmetic capability, this organization has the following features:

1. The sorting capability in memory allows fast sorting and table searching.
2. The parallel I/O channels allow fast data input and output.
3. The organization utilizes content-addressing.
4. It is possible to bypass failed arithmetic units without halting computation. This will result in greatly reduced downtime.
5. A full complement of arithmetic units are not required for operation of the machine. Additional arithmetic units can be added later without changing the programs. As the number of arithmetic units increase the machine time (assuming sufficient parallelism in the program) will decrease.
6. The programmer does not need to assign tasks to each arithmetic unit. A list of tasks to be performed is stored in memory, each with the same address. The arithmetic unit(s) take the top item(s) from this list.

The organization of the example parallel processor may be modified if

programming studies indicate the need for other features. The merging networks in this paper may have an application in any communication switching problem. They can be made to resemble large crossbar switches but they have fewer elements.

6. REFERENCES

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APPENDIX VII - PARALLEL MERGING-SEPARATING MEMORIES

1. INTRODUCTION

In a multiprocessor using a sorting memory as a multiaccess memory, the full sorting capability of the memory is not needed since most of the memory words remain in the same order from one cycle to the next. Only new additions, read requests, and erasures cause changes and these are a small fraction of all the words in memory. This leads to the concept of using a merging-separating memory in place of a complete sorting memory. The cycle time of a sorting memory of 2^n words is $1/2n(n+1)$ steps while that for a merging-separating memory of 2^n words is $2n+2$ steps. Thus, a faster cycle time should be expected in a merging-separating memory (some of its steps will be longer but there still will be a time advantage).

2. FUNCTIONAL DESCRIPTION OF A MERGING-SEPARATING MEMORY

A merging-separating memory cycle has four phases: merging, flagging, separating, and exchanging (see Figure VII-1). At the beginning of a merging phase, the set of memory words is divided into two parts, the higher containing the words of memory left from previous cycles arranged in numerical order, and the lower containing new additions and read requests arranged in order. In the merging phase, these two parts are merged so the old memory words, new additions, and read requests form one ordered list in memory. In the flagging phase, the contents of the requested memory words are transferred to the read requests, the read requests are flagged, and the memory words to be erased (which are associated with read and erase requests) are flagged.

In the separating phase, the flagged words are separated from the unflagged, the unflagged memory words left in the higher part of memory

are arranged in order, and the flagged read requests and erasures left in the lower part are arranged in order. In the exchanging phase, equipment external to the memory (not shown in Figure VII-1) reads the read requests and erasures and replaces the lower part of memory with a new ordered list of new additions and read requests for the next cycle.

The merging and separating phases are most easily realized if the lower lists are arranged in an order opposite that of the upper lists. A bi-tonic merge performs the merging and the separating is done by an "inverse" network (see Appendix VI).

Let the 2^n words of the memory be indexed with $0, 1, 2, \dots, 2^n - 1$ with 0 the index of the word at the low end and $2^n - 1$ the index of the word at the high end. In each step of the merging phase, the 2^n words are formed into 2^{n-1} pairs. The two words in each pair are compared and if the word with the lower index is higher in magnitude than the word with higher index, the two words are exchanged; otherwise, the pair is left alone.

The pairing rule is explained easily if the indices are considered as written in binary form, the bits of the indices are indexed by $1, 2, 3, \dots, n$ (1, the most-significant bit and n , the least-significant bit), and the steps of merging phase are indexed $1, 2, \dots, n$ in sequential order. The pairing rule is: "On step k , word i is paired with word j if and only if bit k of word i is not equal to bit k of word j and all other corresponding bits of i and j are equal."

As an example, in a 16-word merging-separating memory, the first merging step treats the following eight pairs:

(0, 8) (1, 9) (2, 10) (3, 11) (4, 12) (5, 13) (6, 14) (7, 15).

The second merging step treats these pairs:

(0, 4) (1, 5) (2, 6) (3, 7) (8, 12) (9, 13) (10, 14) (11, 15).

The third merging step treats these pairs:

(0, 2) (1, 3) (4, 6) (5, 7) (8, 10) (9, 11) (12, 14) (13, 15).

The fourth merging step, these pairs:

(0, 1) (2, 3) (4, 5) (6, 7) (8, 9) (10, 11) (12, 13) (14, 15).

In each step of the separating phase, the 2^n words also are formed into 2^{n-1} pairs. The pairing rule is the reverse of that for the merging phase; that is, the first separating step works with the same pairs as those considered in the last merging step, the second separating step corresponds to the next-to-the-last merging step, etc. The two words in each pair may or may not be exchanged. The exchange rule is explained as follows. For

$$0 \leq i \leq 2^n - 1 \text{ and } 0 \leq k \leq n - 1,$$

let

$$S_{i, k} = \{j: i < j \leq 2^n - 1\}$$

and the least-significant k bits of j equal the corresponding least-significant k bits of i .

As an example, if $n = 3$, then

$S_{0, 0} = \{1, 2, 3, 4, 5, 6, 7\}$	$S_{0, 1} = \{2, 4, 6\}$	$S_{0, 2} = \{4\}$
$S_{1, 0} = \{2, 3, 4, 5, 6, 7\}$	$S_{1, 1} = \{3, 5, 7\}$	$S_{1, 2} = \{5\}$
$S_{2, 0} = \{3, 4, 5, 6, 7\}$	$S_{2, 1} = \{4, 6\}$	$S_{2, 2} = \{6\}$
$S_{3, 0} = \{4, 5, 6, 7\}$	$S_{3, 1} = \{5, 7\}$	$S_{3, 2} = \{7\}$
$S_{4, 0} = \{5, 6, 7\}$	$S_{4, 1} = \{6\}$	$S_{4, 2} = \phi$
$S_{5, 0} = \{6, 7\}$	$S_{5, 1} = \{7\}$	$S_{5, 2} = \phi$
$S_{6, 0} = \{7\}$	$S_{6, 1} = \phi$	$S_{6, 2} = \phi$
$S_{7, 0} = \phi$	$S_{7, 1} = \phi$	$S_{7, 2} = \phi$

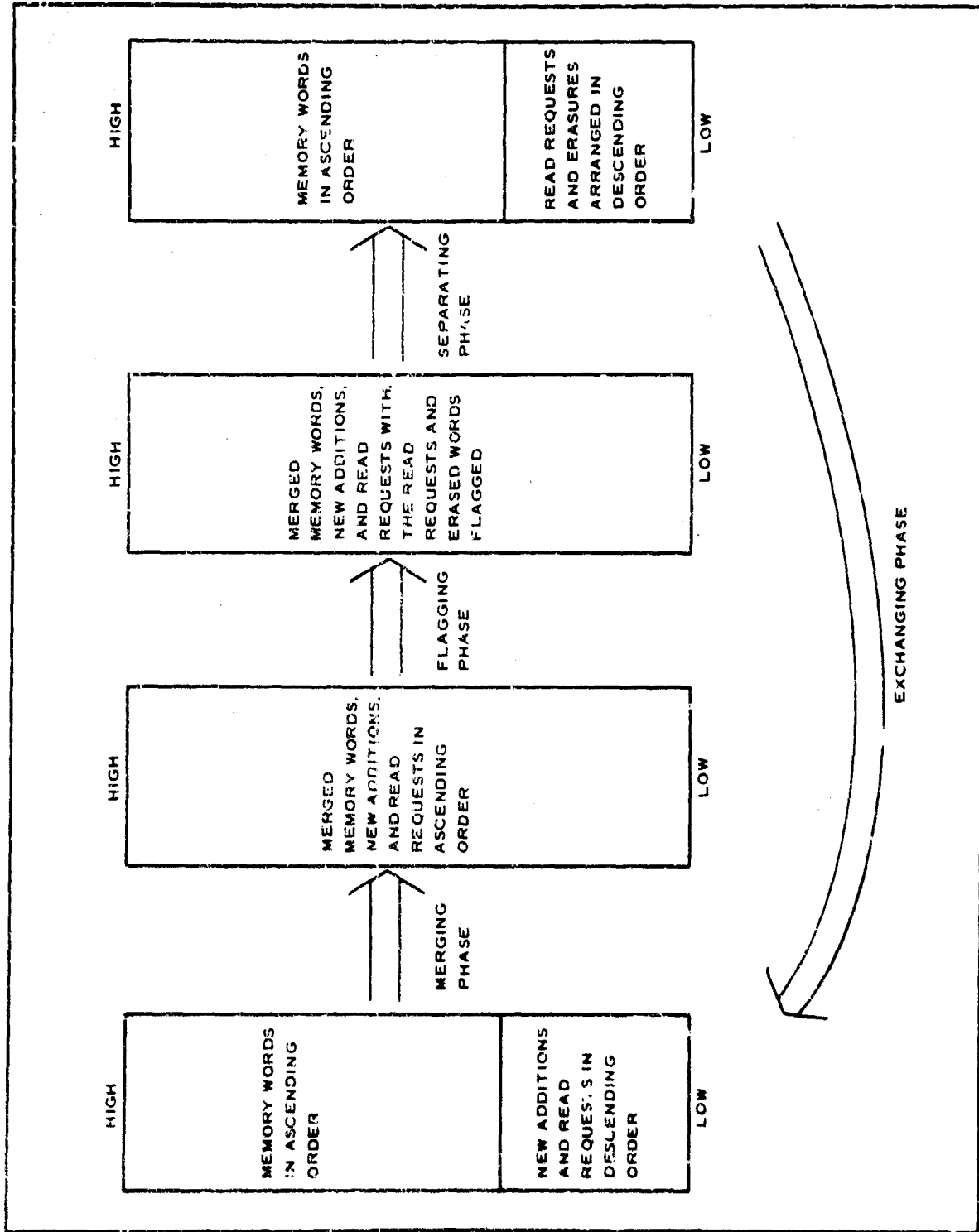


Figure VII-1 - Merging-Separating Memory Cycle

where ϕ denotes an empty set. Let $t_{i, k}$ denote the number of flagged words with indices in $S_{i, k}$ (if $S_{i, k}$ is empty, $t_{i, k} = 0$). The exchange rule for the pair of words with indices m and $m + 2^{k-1}$ at separating step k is: "Exchange m and $m + 2^{k-1}$ if and only if $t_{m, k-1}$ is odd."

As an example, suppose words 3, 6, and 7 are flagged in an eight-word memory. Then $t_{0, 0}$, $t_{1, 0}$, $t_{2, 0}$, and $t_{6, 0}$ are odd and $t_{3, 0}$, $t_{4, 0}$, $t_{5, 0}$, and $t_{7, 0}$ are even. In the first separating step, the words in pairs (0, 1), (2, 3), and (6, 7) are exchanged and the words in pair (4, 5) are unchanged. The flagged words are now in 2, 6, and 7 so $t_{1, 1}$, $t_{2, 1}$, $t_{3, 1}$, $t_{4, 1}$, and $t_{5, 1}$ are odd and $t_{0, 1}$, $t_{6, 1}$ and $t_{7, 1}$ are even.

In the second separating step, the words in pairs (1, 3), (4, 6), and (5, 7) are exchanged and the words in pair (0, 2) are unchanged. The flagged words are now in 2, 4, and 5 so $t_{0, 2}$ and $t_{1, 2}$ are odd, $t_{2, 2}$, $t_{3, 2}$, $t_{4, 2}$, $t_{5, 2}$, $t_{6, 2}$ and $t_{7, 2}$ are even. In the third separating step, the words in pairs (0, 4) and (1, 5) are exchanged and the words in pairs (2, 6) and (3, 7) are unchanged. Figure VII-2 shows the interchanges performed (underlined indices indicate the flagged words). In this example, the three flagged words were moved to the low end of memory with their order reversed and the unflagged words were moved to the high end with their order preserved.

3. PARALLEL MEMORY

In the parallel form of a merging-separating memory, there are half as many word stores as words. Each word store contains storage for two words plus the logic for the comparing, flagging, and separating functions.

In the n merging + n separating steps of a 2^n -word memory, words shift between the word stores so that each of the desired pairs is formed. The words can be arranged in memory so that the same wires can be used between each pair of consecutive steps of the merge. An example is shown in Figures VII-3 and VII-4. In Figure VII-3, the eight pairs in each of the

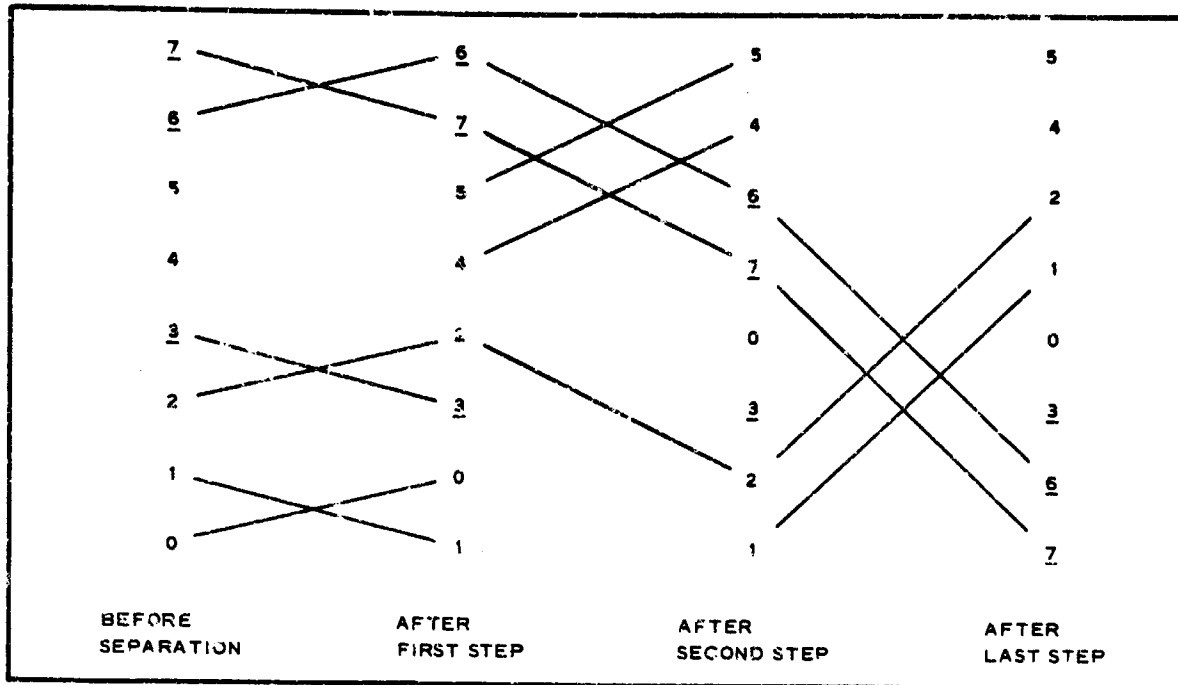


Figure VII-2 - Example of Word Interchanges

four steps of a 16-word merge are arranged so that the wiring patterns between all pairs of consecutive steps are identical; in Figure VII-4, 8 elements are shown interconnected so that they can be used to perform the same function as the 32 elements of Figure VII-3.

If the words in a 2^n -word memory are indexed by $0, 1, 2, \dots, 2^n - 1$ and if each location is given the same index as the word it contains in the last merging step, then the location of any word at any step is given by the rule: "Word i is in location j at step k if the n -bit binary representation of j is the binary representation of i shifted right end-around $n - k$ places."

The wiring rule between the locations is: "Location i feeds location j if the n -bit binary representation of j is the same as that for i shifted left one place end-around." This shows the wiring necessary for the merging phase.

Since the steps of the separating phase are in the reverse order, the wires

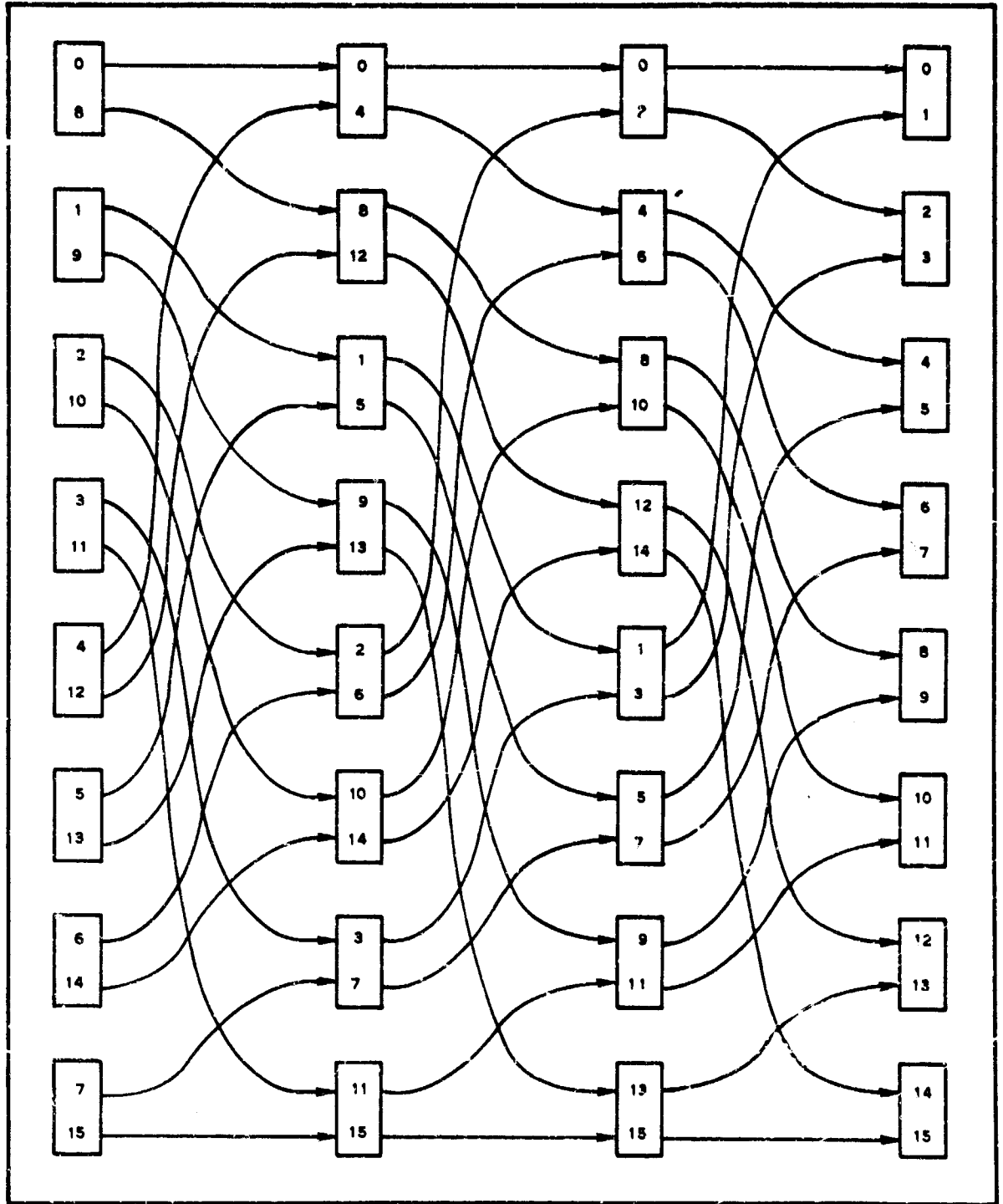


Figure VII-3 - Sixteen-Word Merge Arranged for Same Wiring Pattern between Each Pair of Levels

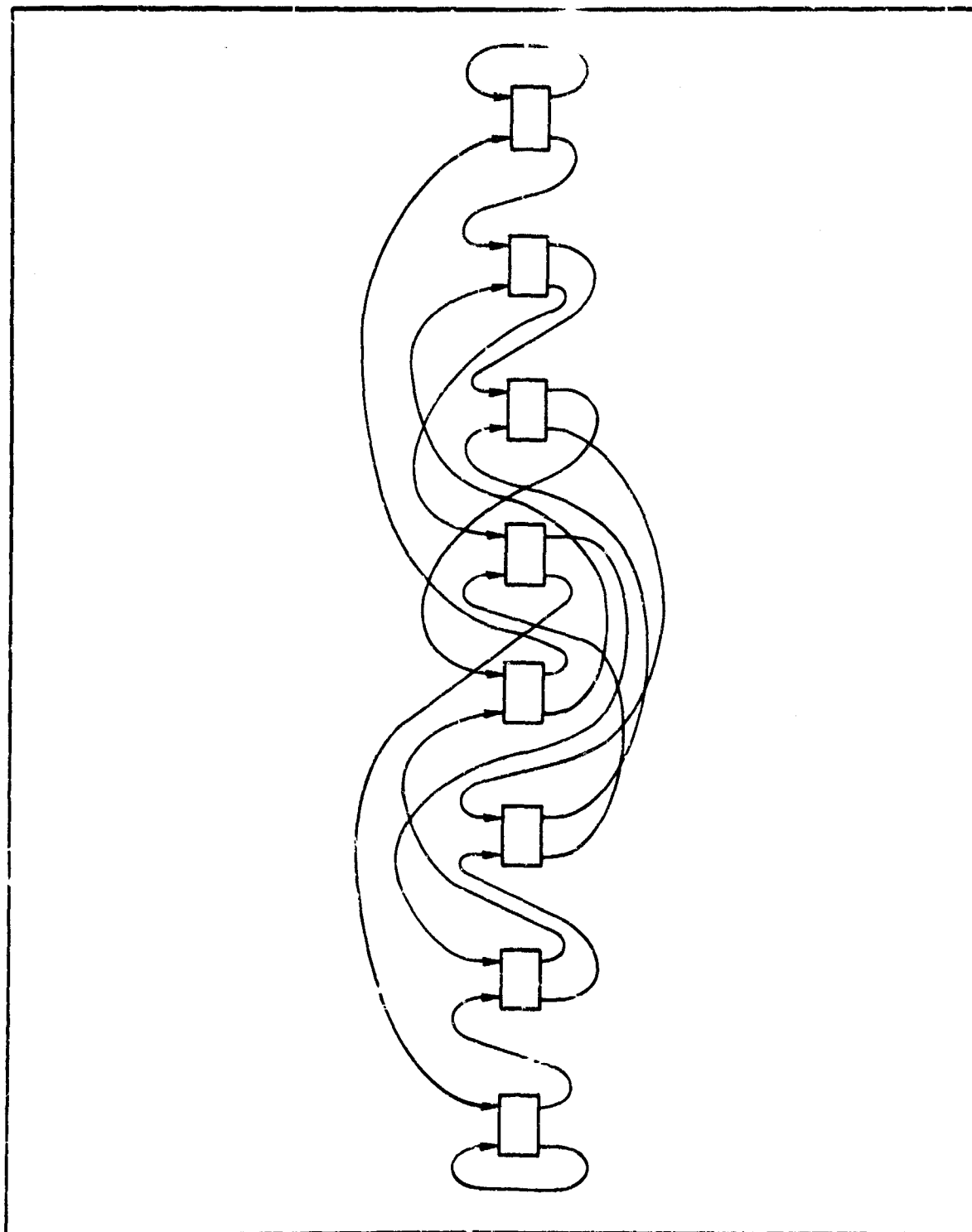
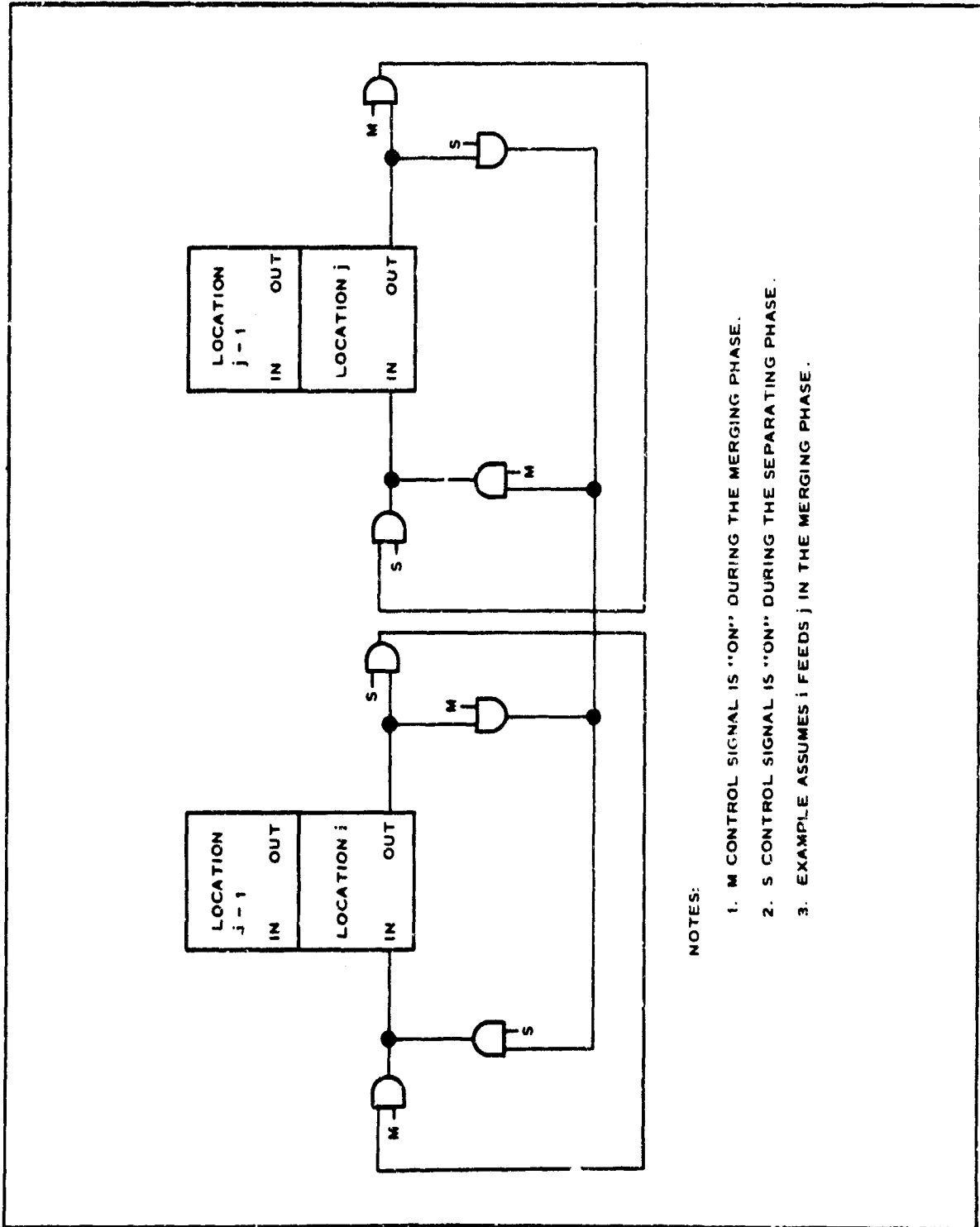


Figure VII-4 - Eight-Element Version of 16-Word Merge



NOTES:

1. M CONTROL SIGNAL IS "ON" DURING THE MERGING PHASE.
2. S CONTROL SIGNAL IS "ON" DURING THE SEPARATING PHASE.
3. EXAMPLE ASSUMES i FEEDS j IN THE MERGING PHASE.

Figure VII-5 - Example of Use of Same Wires for Merging and Separating

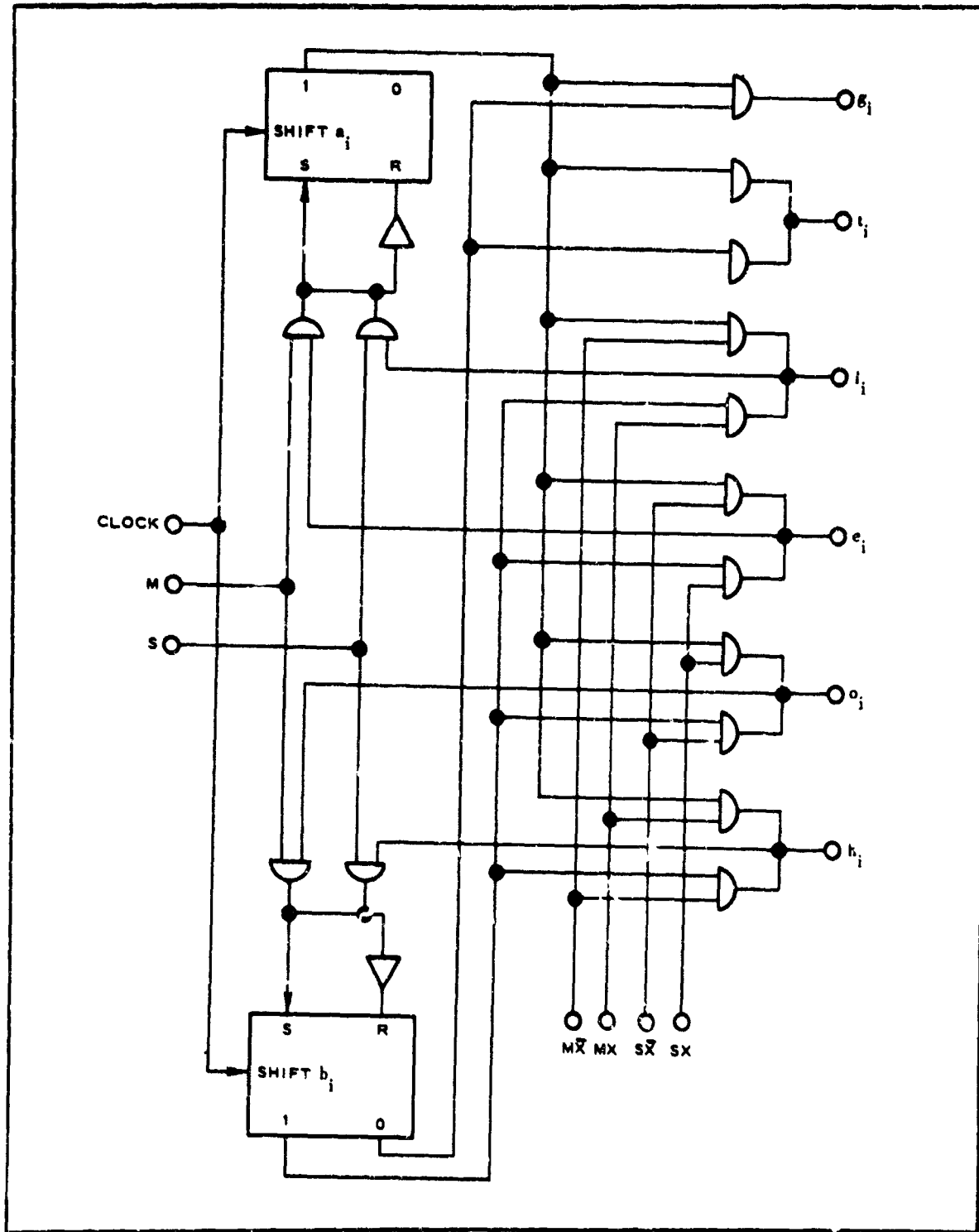


Figure VII-6 - Digit Store (i^{th} Digits in an Element)

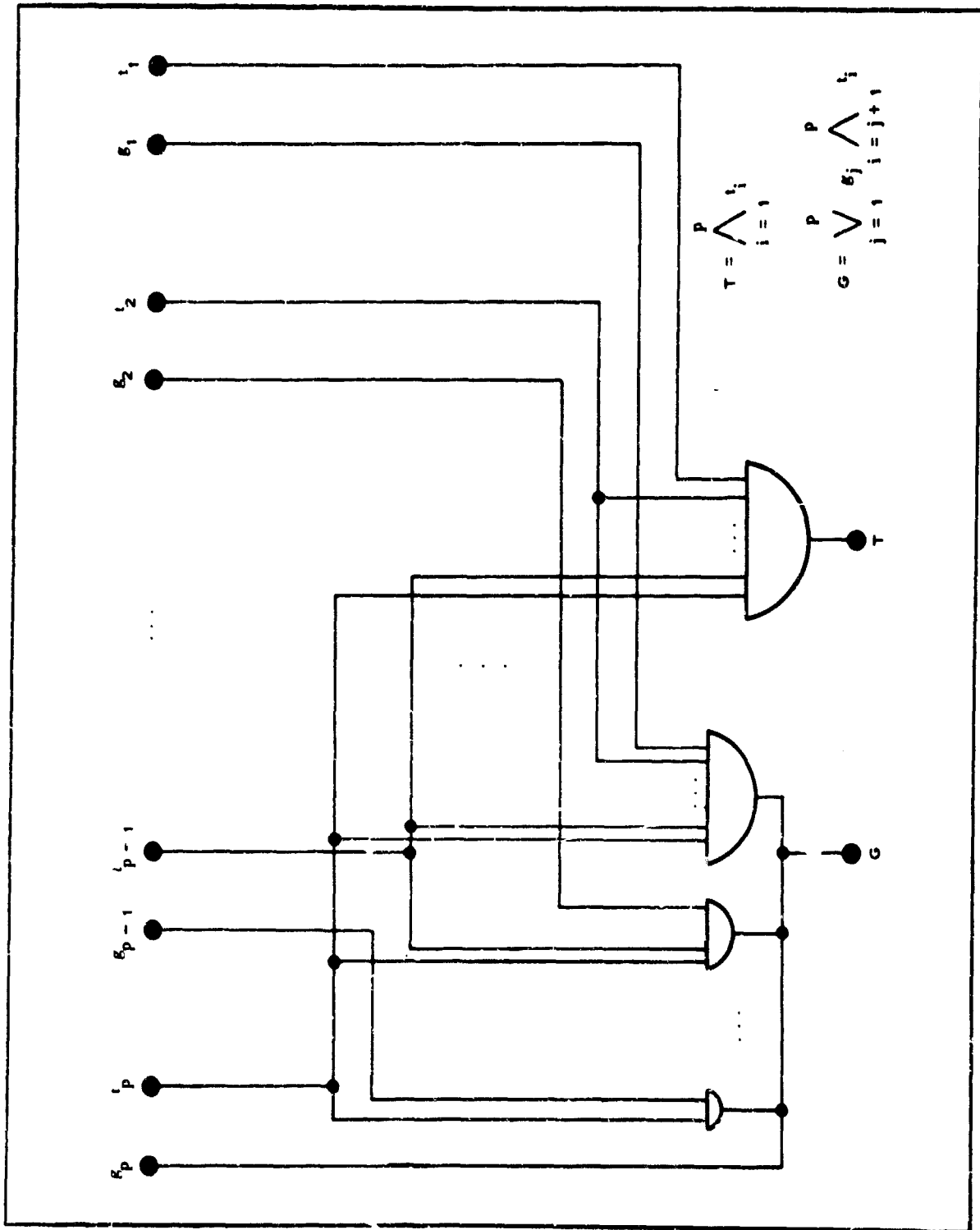


Figure VII-7 - p-Group for the Comparison Circuit

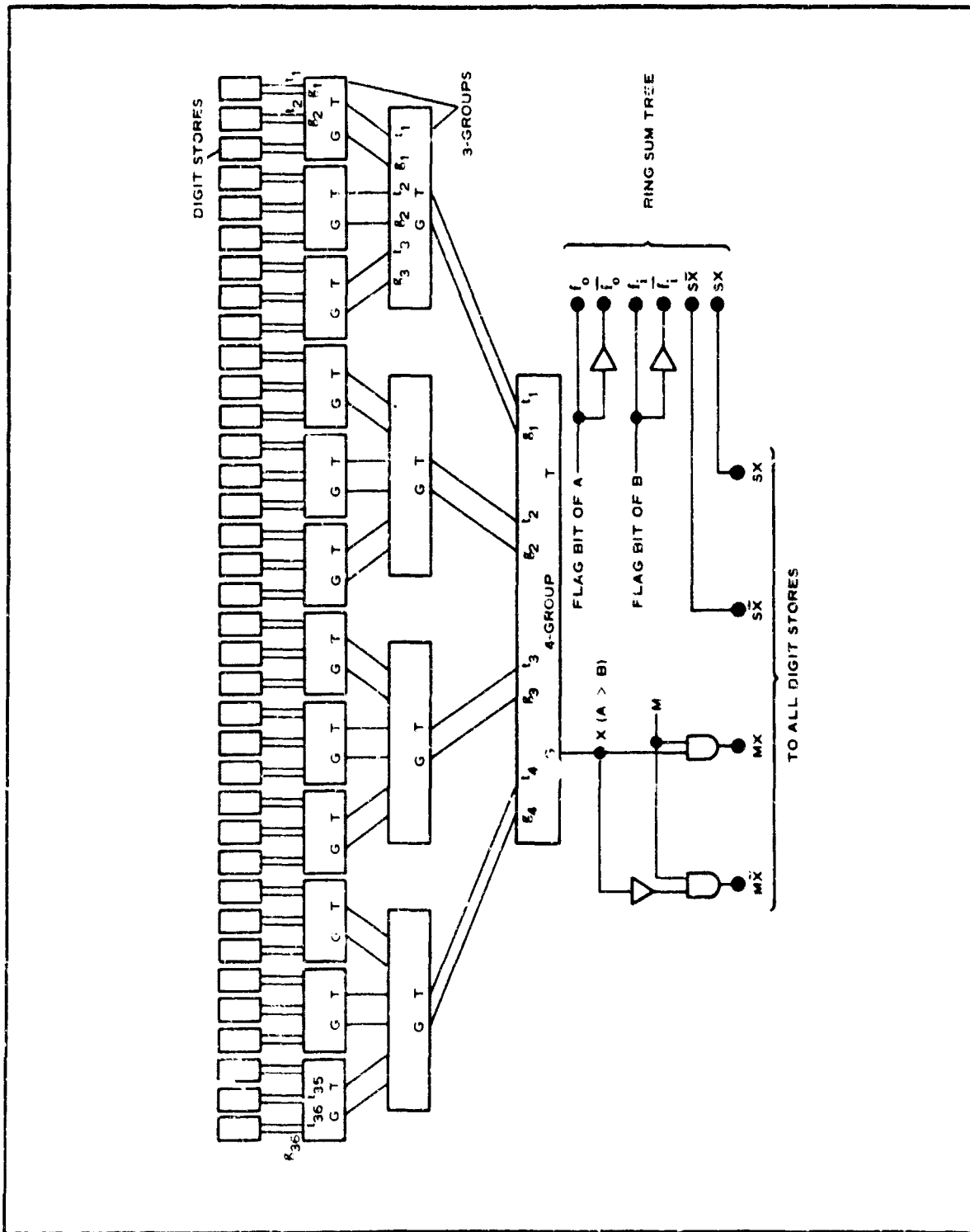


Figure VII-8 - Word Store for 36-Bit Words (3-Level Cascade)

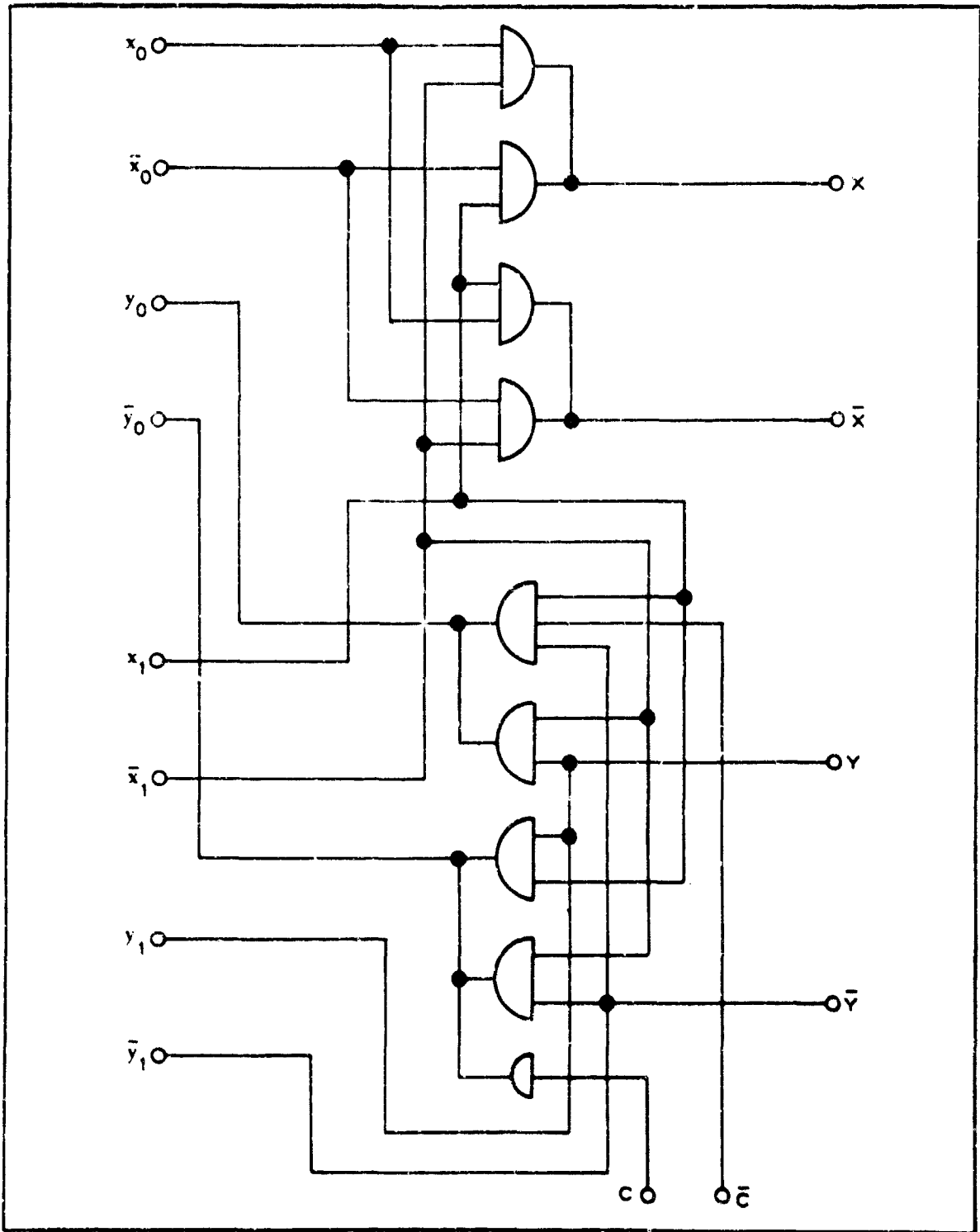


Figure VII-9 - Ring-Sum Element

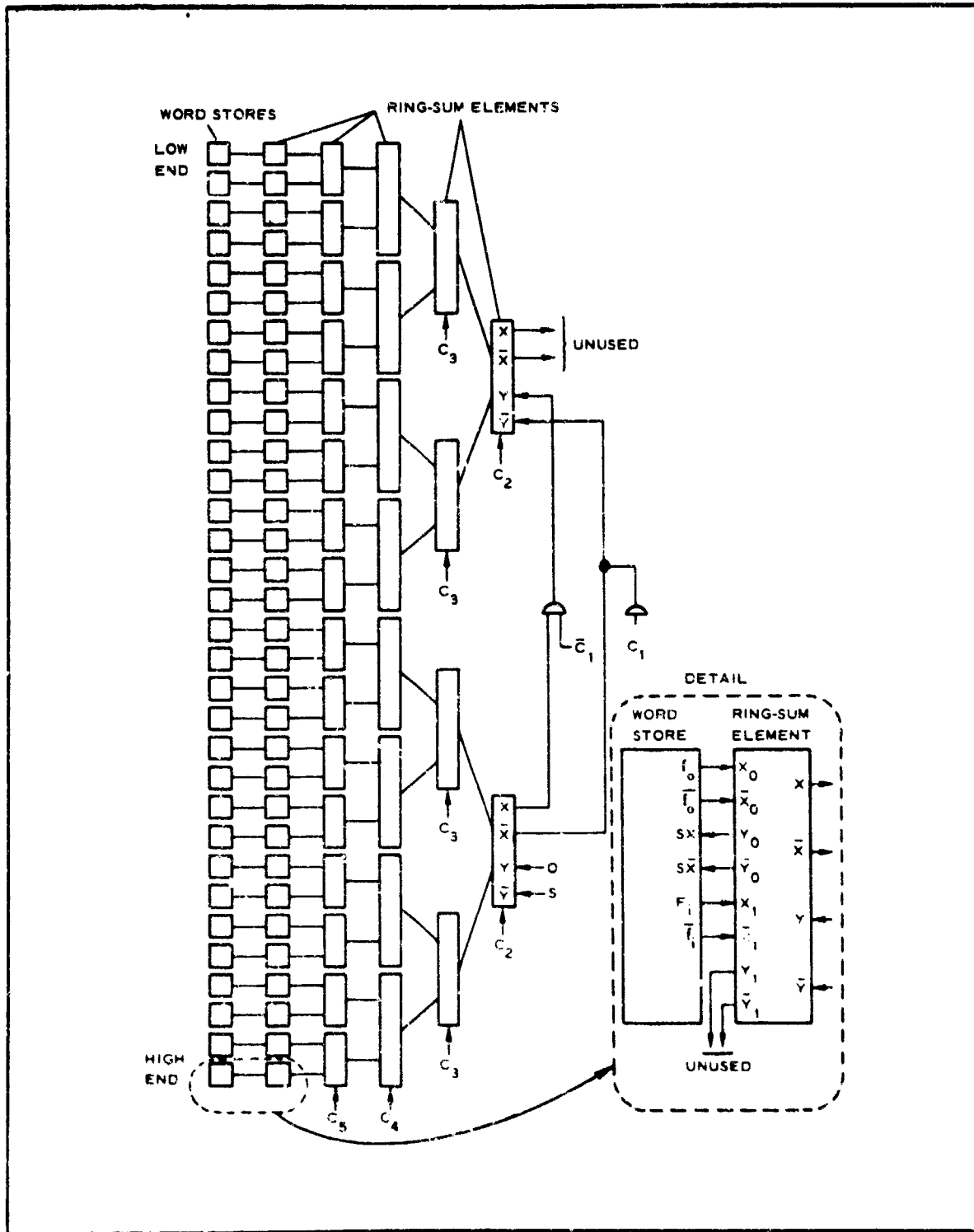


Figure VII-10 - Ring-Sum Tree for 64-Word Memory

for separating are given by: "Location i feeds location j if the n -bit representation of j is the same as that for i shifted right one place end-around." These would be the same wires as those for merging except information travels through them in the reverse direction. To simplify wiring, the same wires may be used for both phases, with the correct input and output gates being turned on to direct the information correctly (Figure VII-5). For parallel word transfer, the single wires in Figure VII-5 actually are busses.

Each word store consists of a number of digit stores plus interconnecting logic. A digit store is shown in Figure VII-6. It stores one digit of each number, a_i and b_i , respectively, in shift register stages. The outputs $g_i = a_i \bar{b}_i$ and $t_i = a_i \vee \bar{b}_i$ are used in the comparison logic of the element. The combined input-outputs l_i , e_i , o_i and h_i connect to the corresponding input-outputs of other elements. These connections are shown in the following rules (the elements are numbered $0, 1, 2, \dots, 2^n - 1$):

1. If k is even, then e_i of element k connects to l_i of element $1/2k$ and o_i of element k connects to l_i of $1/2k + 2^{n-2}$.
2. If k is odd, then e_i of element k connects to h_i of element $1/2(k-1)$ and o_i of element k connects to h_i of element $1/2(k-1) + 2^{n-2}$.
3. If $k < 2^{n-2}$, then l_i of element k connects to e_i of element $2k$ and h_i of element k connects to e_i of element $2k + 1$.
4. If $k \geq 2^{n-2}$, then l_i of element k connects to o_i of element $2k - 2^{n-1}$ and h_i of element k connects to o_i of element $2k - 2^{n-1} + 1$.

The other variables in Figure VII-6 are M , which is "on" in the merging phase; S , which is "on" in the separating phase; X , which is "on" if the two words should be exchanged (for example, $X = 1$ if $A > B$ in the merging phase); and \bar{X} , which is "on" if the words should not be exchanged.

The comparison logic and control signals M, S, MX, SX, and SX \bar{X} interconnect the digit stores of a particular element. A fast comparison circuit can be realized with a "look-ahead" circuit. This technique is similar to the "carry look-ahead" technique^a and consists of a grouping of the logic in 2-groups or 3-groups, etc. For a comparison circuit, a p-group (for any $p \geq 2$) is shown in Figure VII-7. It requires p "and" gates with a total of $2 + 3 + \dots + p + p = 1/2(p^2 + 3p - 2)$ gate inputs. These groups are cascaded to form the comparison logic; Figure VII-8 shows an example of the cascade for 36-bit words. The G output of the 4-group is "on" if and only if $A > B$. When it is "on," it causes an exchange of A and B on the outputs (during the merging phase) by means of MX; if it is "off," MX is "on" (during merging) to cause an output with no exchange. The T outputs of the 4-group and the right-most 3-groups on each level can be eliminated as they are not used. This is also true of t_1 in the first digit store.

In the separating phase, the flag bits of A and B in each word store are fed into a ring-sum tree. The ring-sum tree generates the control signals SX \bar{X} and SX \bar{X} for each word store. It consists of $2^n - 2$ ring-sum elements (Figure VII-9), each of which consists of two exclusive-or circuits, each generating a true and complement output. The logical equations (for $c = 0$) are:

$$X = x_0 \oplus x_1,$$

$$y_0 = x_1 \oplus Y, \text{ and}$$

$$y_1 = Y.$$

When $C = 1$, $Y = 0$ and the logic is changed to force $y_0 = 0$. C is a control signal used to break the ring-sum tree into smaller pieces. An example ring-sum tree for a 64-word memory is shown in Figure VII-10.

^aMacSorley, O. E.: "High-Speed Arithmetic in Binary Computers." Proc. IRE, Vol 49, No. 1, January 1961.

In some places on the figure, the complementary signals are not indicated; in all connections between ring-sum elements, the X and \bar{X} outputs feed x_0 and \bar{x}_0 of another element, respectively, or they feed x_1 and \bar{x}_1 of another element. Similarly, the y_0 and \bar{y}_0 (y_1 and \bar{y}_1) outputs feed Y and \bar{Y} of another element, respectively. During the first separating step, S is turned on and $C_1, C_2, C_3, C_4,$ and C_5 are left off. In the second step, C_1 is turned on (S is left on); this disconnects the ring-sum tree into two parts. In the third step, $C_1, C_2,$ and S are "on," disconnecting the tree into four parts. In the fourth step, $C_1, C_2, C_3,$ and S are "on" and the tree is in eight parts. $C_1, C_2, C_3, C_4,$ and S are "on" in the fifth step, disconnecting the tree into 16 parts. In the last step, $C_1, C_2, C_3, C_4, C_5,$ and S are "on" and the tree is in 32 parts. The way words are transferred between the steps and the way control signals are turned on causes each word store to receive the correct exchange signals, SX and $S\bar{X}$ (see the separating phase discussion in Item 2 above).

On the first separating step, the longest path in the ring-sum tree for 2^n words goes through $2n - 1$ logic elements; on the second step, it goes through $2n - 3$ elements; on the third step it goes through $2n - 5$ elements, etc. To decrease the cycle time to a minimum, a special clock with a long interval can be used during the first separating step, a shorter interval during the second separating step, etc.

In the flagging phase, the words to be separated are flagged and the contents of words are transferred to the read requests. There may be several read requests bunched reading the same word. It would take an inordinate amount of logic to transfer the word in parallel to all such requests so in this situation only the topmost read request (the request just below the word being read) receives the data. After the separating phase, all such read requests will still be together and the topmost request can then send the data to all the others.

A control field in the low-order bits of each word identifies the word as a memory word, a read request, a read and erase request, or an erase

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limit. For read requests and read and erase requests, the control field also identifies the particular output channel involved. It is desirable to arrange the control field codes so that for erase limits they are above (when read as binary numbers) those for memory words which in turn are above those for the read requests and the read and erase requests.

A good control field code then is:

C_1	C_2	C_3	C_n		
1	1	x	x	x	x	Erase limit
1	0	x	x	x	x	Memory word
0	1	Channel number								Read and erase request
0	0	Channel number								Read request

In the flagging phase, the following are to be flagged:

1. Erase limits
2. Read and erase requests
3. Read requests
4. Memory words just above read and erase requests.

If C_2 is picked for the flag, then the substitution for the flag bit of the i^{th} word during flagging is:

$$C_2^{(i)} \leftarrow C_2^{(i)} \vee \bar{C}_1^{(i)} \vee [C_1^{(i-1)} C_2^{(i-1)}]$$

C_1 is left alone so that it can be used to separate the requests from the erasures and erase limits after all these words have been separated from the other memory words.

The memory words can be transferred to read requests by writing the whole memory word (except its control field) into the read request, leaving the read request control field alone (the read request is just below the

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memory word). Parallel transfer gates from the A word of each word store to the B word of the same word store and gates from the B word of each word store to the A word of the next lower word store are needed for this. This involves much wiring.

The flagging phase takes one time step. The exchange phase consists of one time step during which all separated words are transferred out of memory and replaced with new requests, memory words, or blank words. Checks are made to inhibit writing over any memory word.

4. CONCLUSIONS

A parallel merging-separating memory has been described. It has the advantage over a complete sorting memory of taking less time steps. Its operation is faster than a serial memory because whole words are treated at once; this time advantage is about 2 to 1. The wiring will be more complex than in a serial memory and the cost will be higher because of this and also because there are many more different kinds of elements than in a serial memory.

APPENDIX VIII - PROBLEM SELECTION FOR A PARALLEL PROCESSOR

1. INTRODUCTION

This appendix presents some of the analytical results obtained in the selection of problems for implementation on a parallel processor (see Appendix VI). Parallel execution of the following mathematical methods is discussed: Jacobi's method of eigenvalue determination, relaxation solution of a system of linear algebraic equations, and numerical solution of Laplace's equation.

2. JACOBI'S METHOD

a. Discussion

(1) General

Jacobi's method is a mathematical technique for finding the eigenvalues and eigenvectors of a real symmetric matrix. The method is based on the following well-known theorem from matrix algebra.

(2) Theorem 1

Let $A = (a_{ij})$ be an $n \times n$ real symmetric matrix. Then there exists an orthogonal matrix U such that

$$\begin{aligned} U'AU &= D(\lambda_1, \lambda_2, \dots, \lambda_n) \\ &= D, \end{aligned} \tag{1}$$

where U' denotes the transpose of U ; $D = D(\lambda_1, \lambda_2, \dots, \lambda_n)$ denotes a diagonal matrix; and $\{\lambda_i\}$ ($i = 1, 2, \dots, n$) are the eigenvalues of A . Since in (1) U is orthogonal,

$$AU = UD \tag{2}$$

and hence the columns of U are the eigenvectors of A .

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Jacobi's method specifies the construction of a sequence of orthogonal matrices T_1, T_2, \dots, T_k such that

$$T_k' T_{k-1}' \dots T_1' A T_1 T_2 \dots T_k = C, \quad (3)$$

where C is an $n \times n$ matrix whose off-diagonal elements are arbitrarily close to zero and whose diagonal elements are arbitrarily close to the eigenvalues of A . The columns of the matrix $T_1 T_2 \dots T_k$ are then arbitrarily close to the eigenvectors of A .

The sequence of matrices T_1, T_2, \dots, T_k is constructed as follows.

(3) Construction of T_1

From the elements above the main diagonal of A select the one of largest magnitude, say a_{ij} . Then define

$$\tan 2\theta = \frac{-a_{ij}}{\frac{1}{2}(a_{ii} - a_{jj})}. \quad (4)$$

Letting

$$\left. \begin{array}{l} c = \cos \theta \\ \text{and} \\ s = \sin \theta \end{array} \right\}, \quad (5)$$

T_1 is defined as

$$T_1 = (t_{pq}), \text{ where } t_{pq} = \begin{cases} c & \text{if } p = q = i \text{ or } p = q = j \\ s & \text{if } p = i, q = j \\ -s & \text{if } p = j, q = i \\ 1 & \text{if } p = q \neq i \text{ or } j \\ 0 & \text{otherwise} \end{cases} \quad (6)$$

More simply,

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$$T_1 = \begin{pmatrix} & & & i & & & j & & & \\ & & & & & & & & & \\ & & & & & & & & & \\ & & & & & & & & & \\ & & & & & & & & & \\ & & & & c & & s & & & i \\ & & & i & & & & & & \\ & & & & l & & & & & \\ & & & & & & & & & \\ & & & & & & & & & \\ & & & -s & & & c & & & \\ & & & & & & & & & j \\ & & & & & & & & & \\ & & & & & & & & & \\ & & & & & & & & & \\ & & & & & & & & & \end{pmatrix} \quad (7)$$

where the unindicated terms are zeros.

If in (4) the following are set:

$$\left. \begin{aligned} \text{and } -a_{ij} &= \lambda \\ \frac{1}{2} (a_{ii}^2 - a_{jj}^2) &= \mu \end{aligned} \right\} \quad (8)$$

and ω is defined

$$\omega = \text{sgn}(\mu) \frac{\lambda}{\sqrt{\lambda^2 + \mu^2}} \quad (9)$$

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$$\text{where } \text{sgn}(x) = \begin{cases} 1 & \text{if } x > 0 \\ 0 & \text{if } x = 0 \\ -1 & \text{if } x < 0 \end{cases}$$

then one can write:

$$s = \sin \theta = \frac{\omega}{\sqrt{2(1 + \sqrt{1 - \omega^2})}}$$

and

(10)

$$c = \cos \theta = \sqrt{1 - \sin^2 \theta}$$

Hence, the computation of s and c involves only algebraic relationships and no computation of trigonometric functions is required.

(4) Construction of T_{k+1}

Assuming T_1, T_2, \dots, T_k have been computed, define

$$A_k = T_k' T_{k-1}' \dots T_1' A T_1 T_2 \dots T_k. \quad (11)$$

Then select from the elements above the main diagonal of A_k , the one of largest magnitude, and calculate the elements of T_{k+1} in the fashion specified by (4) through (10).

That matrices T_i of the type (7) are orthogonal is easily seen by forming $T_i' T_i = I$. It is also evident that $T_{k+1}' A_k T_{k+1}$ is a real symmetric matrix if A_k is, for if it is assumed that A is real and symmetric, $T_1' A T_1$ is obviously real. Further,

$$(T_1' A T_1)' = T_1' A' T_1 = T_1' A T_1, \quad (12)$$

and hence $T_1' A T_1$ is symmetric. The general case follows by induction.

It is easily seen that premultiplying a matrix A_k by T_{k+1}' results in a matrix $T_{k+1}' A_k$ that is identical to A_k except in the i^{th} and j^{th} rows,

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i and j being determined by the above diagonal term of A_k having the largest magnitude. Similarly, postmultiplying a matrix $T'_{k+1} A_k$ by T_{k+1} results in a matrix $T'_{k+1} A_k T_{k+1} = A_{k+1}$ that is identical to $T'_{k+1} A_k$ except in the i^{th} and j^{th} columns. A little arithmetic will show that the i, j and j, i elements of A_{k+1} are zero. It may be, of course, that the " i, j " and " j, i " spots previously zeroed out in forming A_k no longer will be zero in A_{k+1} .

However, if $t^2(A)$ is defined as the sum of the squares of the off-diagonal terms of the matrix A , it can be shown^{1, a} that

$$t^2(A_{k+1}) < t^2(A_k) \quad (13)$$

and hence the sequence A, A_1, A_2, \dots, A_k generated by the Jacobi method converges to $D = D(\lambda_1, \lambda_2, \dots, \lambda_n)$, the diagonal matrix of the eigenvalues of A , and that the columns of $T_1 T_2 \dots T_k$ converge to the eigenvectors of A .

b. Parallel Execution

The method of Jacobi, as outlined above, lends itself well to parallel computation. Matrix operations are, of course, well suited for parallel computation. As an example, consider the product $C = AB$ of two matrices $A = (a_{ij})$, $B = (b_{ij})$. Now in C , the i, j element is

$$c_{ij} = \sum_{k=1}^n a_{ik} b_{kj} \quad (14)$$

That is, the element in the i, j spot of C is just the dot product of the i^{th} row of A and the j^{th} column of B . Clearly, given A and B , each of the elements of C may be calculated independently of the others. And for each element of C the multiplications involved in the corresponding dot product

^aSuperior numbers in the text refer to references under Subhead 7 on Page 220.

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may be done in parallel and the summing involved may be freed. The extensive matrix operations involved in the Jacobi method are then well suited to parallel computation.

There are two computational aspects of the Jacobi method for which capabilities resident in parallel processors having sorting memories are ideally suited (see Appendix VI). These aspects are (1) the determination for a matrix A of the above diagonal element of largest magnitude, and (2) the test for convergence, namely,

$$t^2(A_i) < \epsilon \quad (15)$$

for some given epsilon.

The test (15) for convergence may be replaced by requiring that the magnitude of the largest off-diagonal element of A_i be less than some given epsilon.

Since each of the two computational aspects cited above involves the determination of the largest member of a given set of elements, the rapid sort capability of Machines I or II (Appendixes VI and XV) may be profitably brought to bear in their execution.

3. THE RELAXATION TECHNIQUE

a. Discussion

Relaxation is a term originally applied by R. V. Southwell to a class of iterative methods for solving a system of linear equations. The term has since come to connote a broad class of methods for the approximate reformulation of physical problems in terms of systems of linear equations to be solved. An example of this expanded use of the term relaxation is offered under Item 4 below where a numerical solution to Laplace's equation is discussed. In the strict sense, the relaxation technique provides a method for solving a system of linear algebraic equations expressed in matrix form as

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$$AX = B, \quad (16)$$

where A is an $n \times n$ coefficient matrix of known constants, $X = (x_1, x_2, x_3, \dots, x_n)$ is a column vector of unknowns, and $B = (b_1, b_2, \dots, b_n)$ is a column vector of known constants.

The relaxation technique is an iterative procedure that specifies a sequence X_1, X_2, \dots, X_k where $X_i = (x_1^i, x_2^i, \dots, x_n^i)$ of approximations that converge to the solution vector X . Discussions of necessary and sufficient conditions for convergence may be found in references 1 through 4. The technique assumes an initial guess, X_1 , and computes successively vectors $R_i = (r_1^i, r_2^i, \dots, r_n^i)$ of "residuals" defined as

$$R_i = B - AX_i \quad (17)$$

for $i = 1, 2, \dots, k$.

The residual vector R_i provides a measure of the closeness of the approximation X_i to X . Based on a residual vector R_i , the relaxation technique specifies a new approximation X_{i+1} . The process continues until the elements of the residual vector are sufficiently close to zero to satisfy a pre-established convergence criterion such as $R_i \cdot R_i < \epsilon$ or

$$\max_k \left[|r_k^i| \right] < \epsilon$$

Given a residual vector $R_i = (r_1^i, r_2^i, \dots, r_n^i)$, the relaxation procedure specifies a new approximation X_{i+1} of the form

$$X_{i+1} = X_i + \lambda_p U_p \quad (18)$$

where U_p is the p^{th} coordinate vector, namely

$$U_p = (\delta_{1p}, \delta_{2p}, \dots, \delta_{np}) \quad (19)$$

and λ_p is a constant to be chosen such that the p^{th} element, r_p^{i+1} , of the residual vector $R_{i+1} = B - AX_{i+1}$ is zero. P may be specified in a

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cyclic order, for example in terms any permutation of the integers 1, 2, . . . , n (n being the order of the matrix A), or according to some pre-determined criterion. The process of choosing $X_{i+1} = X_i + \lambda_p U_p$ in terms of a cyclic determination of p is known as the Gauss-Seidel iteration.⁵

More rapid convergence of the relaxation technique is obtained if λ_p is chosen so that

$$|\lambda_p| = \max_i |\lambda_i| \quad (20)$$

rather than specifying p in a cyclic fashion.

It is possible to determine λ_p , where $p = 1, 2, \dots, n$, as follows: For a given p and present approximation $X_i = (x_1^i, x_2^i, \dots, x_n^i)$, the requirement that $r_p^{i+1} = 0$ means that if the residual vector $R_{i+1} = (r_1^{i+1}, r_2^{i+1}, \dots, r_n^{i+1})$ then the dot product $R_{i+1} \cdot U_p = 0$.

Now $R_{i+1} = B - AX_{i+1}$ and $X_{i+1} = X_i + \lambda_p U_p$.

Hence $(B - AX_{i+1}) \cdot U_p = 0$ and

$$\lambda_p = \frac{b_p - \sum_{k=1}^n a_{pk} x_k^i}{a_{pp}} \quad (21)$$

Letting

$$\lambda = (\lambda_1, \lambda_2, \dots, \lambda_n), \quad (22)$$

then

$$\lambda = (r_1^i/a_{11}, r_2^i/a_{22}, \dots, r_n^i/a_{nn}). \quad (23)$$

At each stage of the relaxation iteration, a new approximation to the solution vector X is specified in terms of the last approximation and the element of (23) having maximum magnitude.

The rate of convergence of the relaxation process may be increased by modifying the value of λ_p used in the iteration. If λ_p is replaced by

$$\lambda_p^* = w\lambda_p, \quad (24)$$

it is known that for

$$0 < w < 2 \quad (25)$$

convergence of a relaxation iteration is preserved. The factor w is called an acceleration parameter or relaxation factor. The term under [over] relaxation is applied to the case where $0 < w < 1$ [$1 < w < 2$]. (It must be stressed here that the acceleration parameter w is used to accelerate, not establish, convergence of a relaxation iteration.) The central problem associated with the use of an acceleration parameter w is to determine the optimal value, w_{opt} , for w ; that is, the value of w for which the convergence rate of the relaxation iteration is maximized. The theoretical determination of w_{opt} for the relaxation solution of a system of equations expressed in matrix form as

$$AX = B \quad (26)$$

proceeds as follows. Let the matrix A be represented as

$$A = \begin{pmatrix} & & F \\ E & D & \end{pmatrix} \quad (27)$$

where E , D , and F are lower triangular, diagonal, and upper triangular matrices. Defining a matrix H as

$$H = -(D + E)^{-1}F \quad (29)$$

and denoting by $S(H)$ the spectrum of H , then compute

$$\mu = \max [S(H)]. \quad (30)$$

That is, μ is the eigenvalue of H having the largest magnitude. If the relaxation iteration is convergent for the system (26), then $|\mu| < 1$ (ref 2) and w_{opt} may be computed as

$$w_{\text{opt}} = \frac{2}{1 + (1 - \mu)^{1/2}} \cdot \quad (31)$$

Observe that implementation of the derivation of w_{opt} presented above involves the solution of an eigenvalue problem that may be at least as difficult as the original problem. Forsythe³ makes the discouraging observation that no generally acceptable technique for accurately approximating w_{opt} as the relaxation iteration proceeds is known. Householder⁶ recently confirmed this observation.

b. Parallel Execution

The relaxation method outlined above involves the repeated execution of the operations of matrix multiplication and addition, multiplication of a vector by a scalar, and searching a set for the element of largest magnitude. As was pointed out under Item 2, b above, these operations are well suited to parallel execution, and the operation of finding in a set the element of largest magnitude may be rapidly accomplished on a parallel processor having sorting capability.

4. NUMERICAL SOLUTION TO LAPLACE'S EQUATION

a. Discussion

The numerical solution of Laplace's equation over a rectangular region R with boundary ΓR is discussed here. Assume that R is partitioned by an equally spaced rectangular mesh and that Dirichlet boundary conditions are specified. Given a function $u(x, y)$ for which Laplace's equation obtains over R , write

$$\frac{\partial^2 u}{\partial x^2} + \frac{\partial^2 u}{\partial y^2} = 0. \quad (32)$$

Letting the interval for the mesh over R be denoted by Δ , the partial derivatives for $u(x, y)$ may be approximated by

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$$\left. \begin{aligned}
 \frac{\partial u}{\partial x} &= \frac{u(x + \Delta, y) - u(x, y)}{\Delta} \\
 \frac{\partial u}{\partial y} &= \frac{u(x, y + \Delta) - u(x, y)}{\Delta} \\
 \frac{\partial^2 u}{\partial x^2} &= \frac{u(x + \Delta, y) - 2u(x, y) + u(x - \Delta, y)}{\Delta^2} \\
 \frac{\partial^2 u}{\partial y^2} &= \frac{u(x, y + \Delta) - 2u(x, y) + u(x, y - \Delta)}{\Delta^2}
 \end{aligned} \right\} \quad (33)$$

and then the difference equation counterpart of (32) may be written as

$$u(x, y) = \frac{1}{4} [u(x + \Delta, y) + u(x - \Delta, y) + u(x, y + \Delta) + u(x, y - \Delta)]. \quad (34)$$

Equation (34) approximates $u(x, y)$ at each interior mesh point of R by the average of "north, south, east, west neighbors." Other difference equation approximations to $u(x, y)$ at interior points of R are

$$\begin{aligned}
 u(x, y) = \frac{1}{4} [&u(x + \Delta, y + \Delta) + u(x + \Delta, y - \Delta) + u(x - \Delta, y + \Delta) + \\
 &u(x - \Delta, y - \Delta)] \quad (35)
 \end{aligned}$$

and

$$\begin{aligned}
 u(x, y) = \frac{1}{5} [&u(x + \Delta, y) + u(x - \Delta, y) + u(x, y + \Delta) + u(x, y - \Delta)] + \\
 \frac{1}{20} [&u(x + \Delta, y + \Delta) + u(x + \Delta, y - \Delta) + u(x - \Delta, y + \Delta) + u(x - \Delta, y - \Delta)].
 \end{aligned} \quad (36)$$

Approximations (34) and (35) are often referred to as "five-point" formulas and (36) as a "nine-point" formula. It is easily seen that approximations (34), (35), and (36) represent $u(x, y)$ in terms of +, X, and \square patterns of neighbors, respectively, and they are referred to here as approximations A, B, and C.

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An easily established relation between approximations A, B, and C [(34), (35), and (36)] is given by

$$C = \frac{2}{3}A + \frac{1}{3}B. \quad (37)$$

Iterative solutions to Laplace's equation based on approximations A, B, or C converge³ and often are called "relaxation solutions." A sequential iterative solution would proceed by ordering the interior mesh points of a region R and cyclicly applying one of the approximations A, B, or C over the ordering until some specified convergence criterion is met. In a sequential pass over the ordered interior mesh points of R, two possibilities for updating the values for $u(x, y)$ at each interior mesh point are available: (1) as each new approximation to $u(x, y)$ is generated at a point, it is made available for subsequent calculations in the pass, and (2) each pointwise approximation to $u(x, y)$ made in a given pass uses only point values available at the end of the preceding pass. The former [latter] method of updating often is called the method of successive [simultaneous] displacements.

If the interior mesh points are ordered, say as p_1, p_2, \dots, p_k , and at each point p_i the value of $u(x, y)$ is regarded as a variable x_i to be determined, then each of the methods A, B, or C of approximating $u(x, y)$ over R may be written in matrix form as

$$P X = Q \quad (38)$$

where $X = (x_1, x_2, \dots, x_k)$ is a vector of unknown corresponding to the values of $u(x, y)$ at the interior mesh points of R, P is a coefficient matrix of known constants determined by the type of approximation (A, B, or C) being used, and Q is a vector of known constants determined by the approximation being used and known boundary values for $u(x, y)$. The system (38) may be solved by relaxation methods discussed under Item 3 above.

If for a given method of approximation to $u(x, y)$ over the interior of R, the corresponding matrix [as cited in (38)] is constructed and w_{opt} (see

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Item 3) is calculated, then w_{opt} may be used to increase the rate of convergence for the method of successive displacements described above.

For approximation A, the procedure would be as follows. For the function $u(x, y)$, a residual $r(x, y)$ is defined at each interior mesh point of R as

$$r(x, y) = u(x + \Delta, y) + u(x - \Delta, y) + u(x, y + \Delta) + u(x, y - \Delta) - 4u(x, y). \quad (39)$$

Then specify a new approximation, say $u'(x, y)$, as

$$u'(x, y) = u(x, y) + \frac{w_{opt}}{4} r(x, y). \quad (40)$$

Note the correspondence of (40) and (18). Similar modifications of approximations B and C are readily specified. Although modification of the method of successive displacements by the use of w_{opt} in the fashion of (40) increases the convergence rate, the use of w_{opt} in conjunction with the method of simultaneous displacements is of no profit.³

The numerical solution to Laplace's equation over a rectangular region partitioned by an equally spaced rectangular mesh is specified easily in terms of approximations A, B, or C and the methods of simultaneous or successive displacements. An immediate question arises as to which of the available techniques offers the most rapid convergence. To compare the relative merits of the techniques outlined above, code ITEST was written in FORTRAN IV for the IBM 1410. ITEST will solve Laplace's equation over a 9-by-9 square mesh of equal mesh spacings using approximations A, B, or C (or combinations) in conjunction with simultaneous or successive displacements. Table VIII-1 lists some results obtained using ITEST. For each of the three runs listed, $u(x, y)$ was specified to be zero on the boundary. The true solution for $u(x, y)$ was then $u(x, y) = 0$ in all cases. In run 1, $u(x, y)$ initially was specified to be zero at each interior mesh point except at the "center" point, which was specified as 1.0. In runs 2 and 3, $u(x, y)$ was specified as 1.0 at

TABLE VIII-1 - RESIDUES AFTER TWELVE
ITERATIONS FOR RUNS 1, 2, AND 3

Approximation sequence	Residues after 12 iterations		
	Run 1	Run 2	Run 3
A, A, A, . . .	0.506	15.482	6.458
B, B, B, . . .	0.218	5.989	1.071
C, C, C, . . .	0.506	12.856	4.567
A, B, A, B, . . .	0.380	9.602	2.746
A, C, A, C, . . .	0.554	14.105	5.436
B, C, B, C, . . .	0.346	8.757	2.285
A, B, C, A, B, C, . . .	0.418	10.581	3.267

each interior mesh point. For each of the three runs, each of seven different combinations of approximations A, B, and C was used for 12 iterative passes over the mesh. The seven combinations of A, B, and C are listed in column 1 of Table VIII-1. In runs 1 and 2, the method of simultaneous displacements was used while run 3 employed successive displacements.

For each iterative pass over the mesh, a "residue" term was calculated. The residue term is just the sum of the absolute value of the errors in the approximation to $u(x, y)$ at the interior mesh points. Columns 2, 3, and 4 of Table VIII-1 list the residue term computed after the twelfth iterative pass for each of the seven combinations of A, B, and C for runs 1, 2, and 3, respectively. Figures VIII-1 through VIII-9 contain the pointwise approximations to $u(x, y)$ obtained after 12 iterative passes over the 9-by-9 mesh on runs 1, 2, and 3 using successive approximations A, A, A, B, B, B,, and C, C, C,

Inspection of the table and figures cited above reveals that for the methods tested, the most rapid convergence is obtained by using the method of successive displacements and approximation B, (X). The convergence rate

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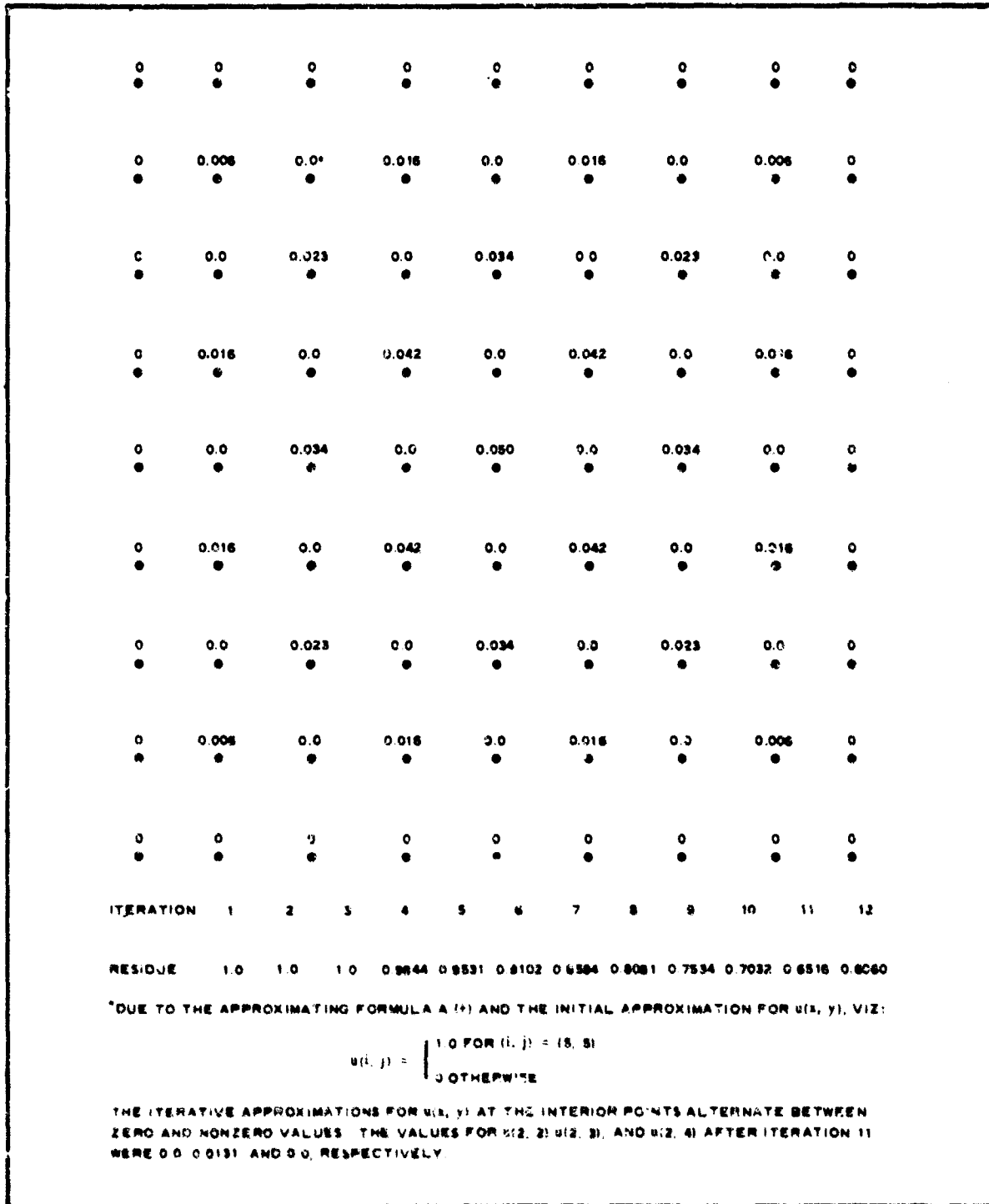


Figure VIII-1 - Run 1, Simultaneous Displacements, Approximation A (+), 12 Iterations

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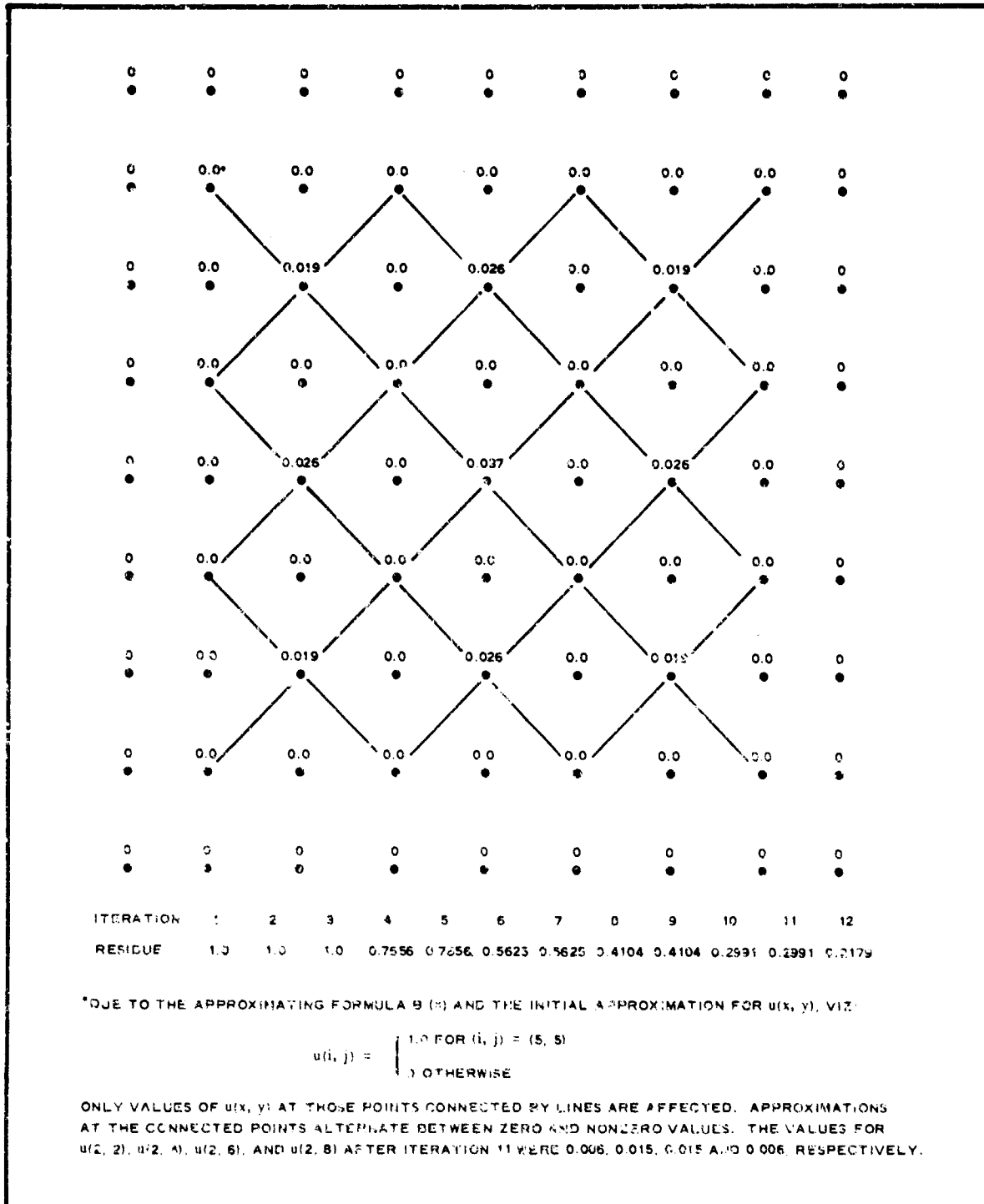


Figure VIII-2 - Run 11 - Simultaneous Displacements, Approximation B (X), 11 iterations

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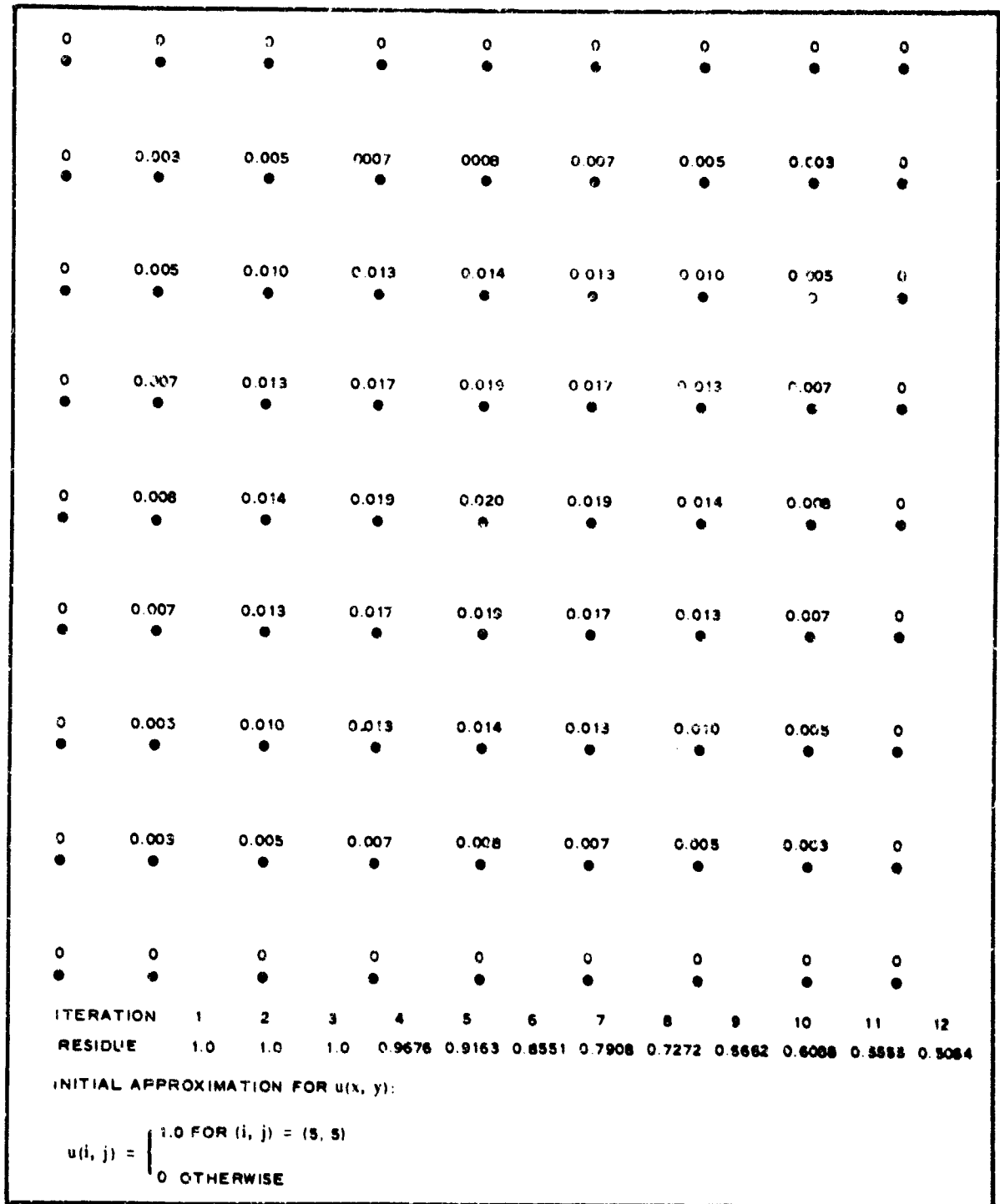


Figure VIII-3 - Run 1, Simultaneous Displacements, Approximation C (□).
12 Iterations

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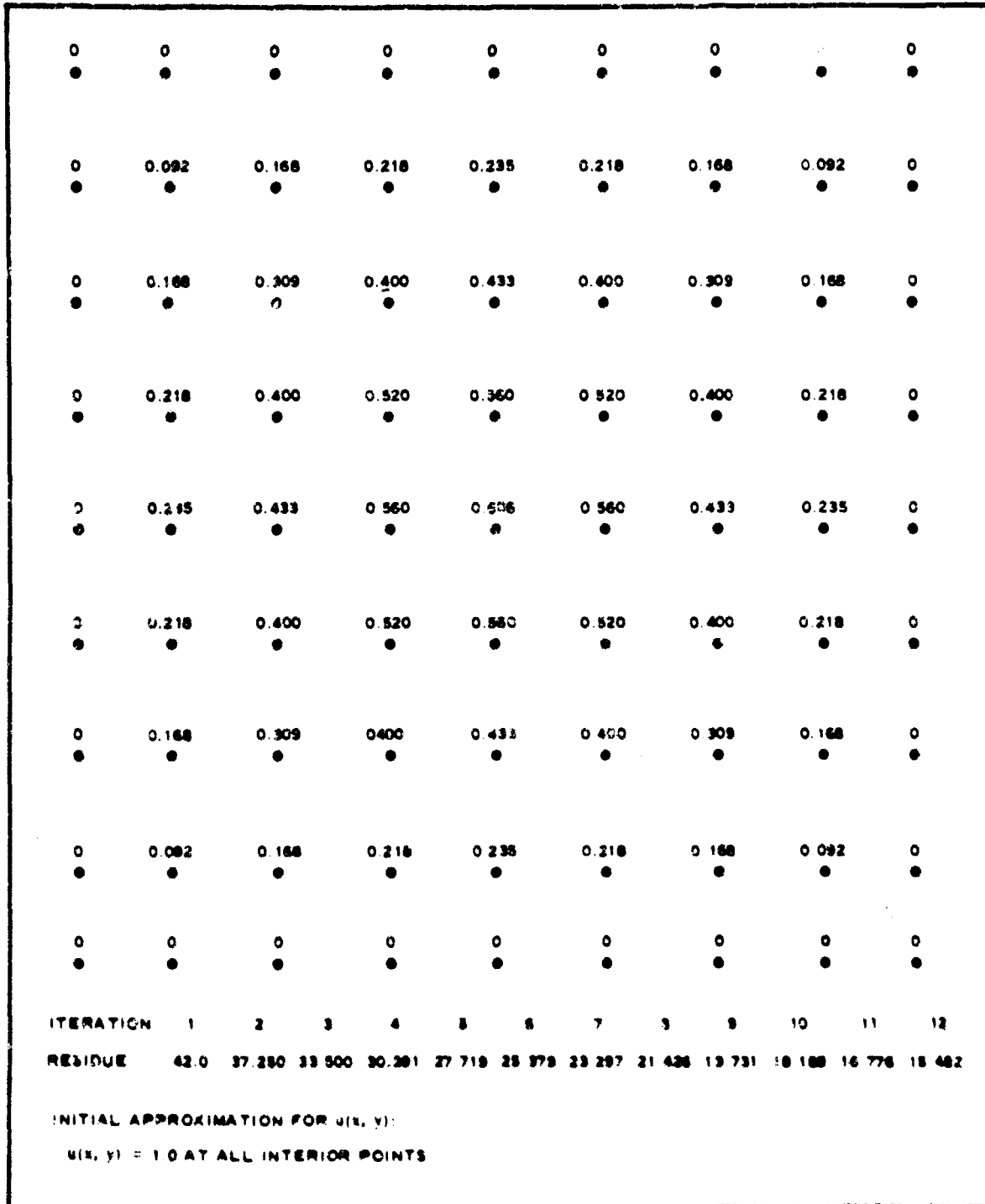


Figure VIII-4 - Run 2, Simultaneous Displacements, Approximation A (*), 12 Iterations

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0	0	0	0	0	0	0	0	0	0			
0	0.017	0.064	0.090	0.090	0.090	0.064	0.037	0				
0	0.044	0.109	0.154	0.154	0.154	0.109	0.064	0				
0	0.090	0.154	0.218	0.218	0.218	0.154	0.090	0				
0	0.090	0.154	0.218	0.218	0.218	0.154	0.090	0				
0	0.030	0.154	0.218	0.218	0.218	0.154	0.090	0				
0	0.064	0.109	0.154	0.154	0.154	0.109	0.064	0				
0	0.017	0.064	0.090	0.090	0.090	0.064	0.037	0				
0	0	0	0	0	0	0	0	0				
ITERATION	1	2	3	4	5	6	7	8	9	10	11	12
RESIDUAL	26.0	20.290	28.000	21.391	18.062	15.904	12.141	11.298	9.871	6.221	0.979	8.969
INITIAL APPROXIMATION FOR u_1, v_1												
1.0, 1.0 AT ALL INTERIOR POINTS												

Figure VIII-5 - Run 2. Simultaneous Displacements, Approximation B (X), 12 Iterations

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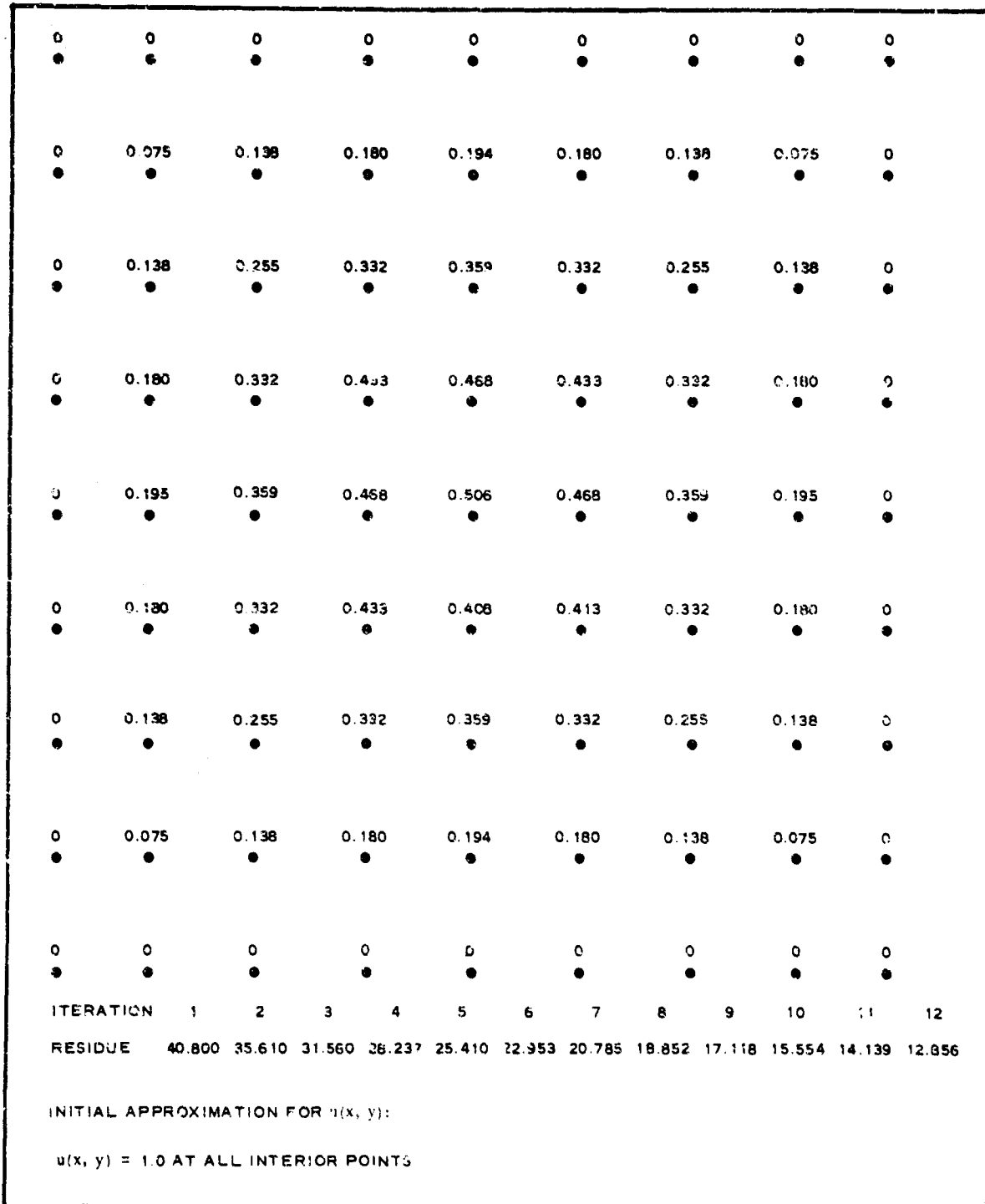


Figure VIII-6 - Run 2, Simultaneous Displacements, Approximation C (□), 12 Iterations

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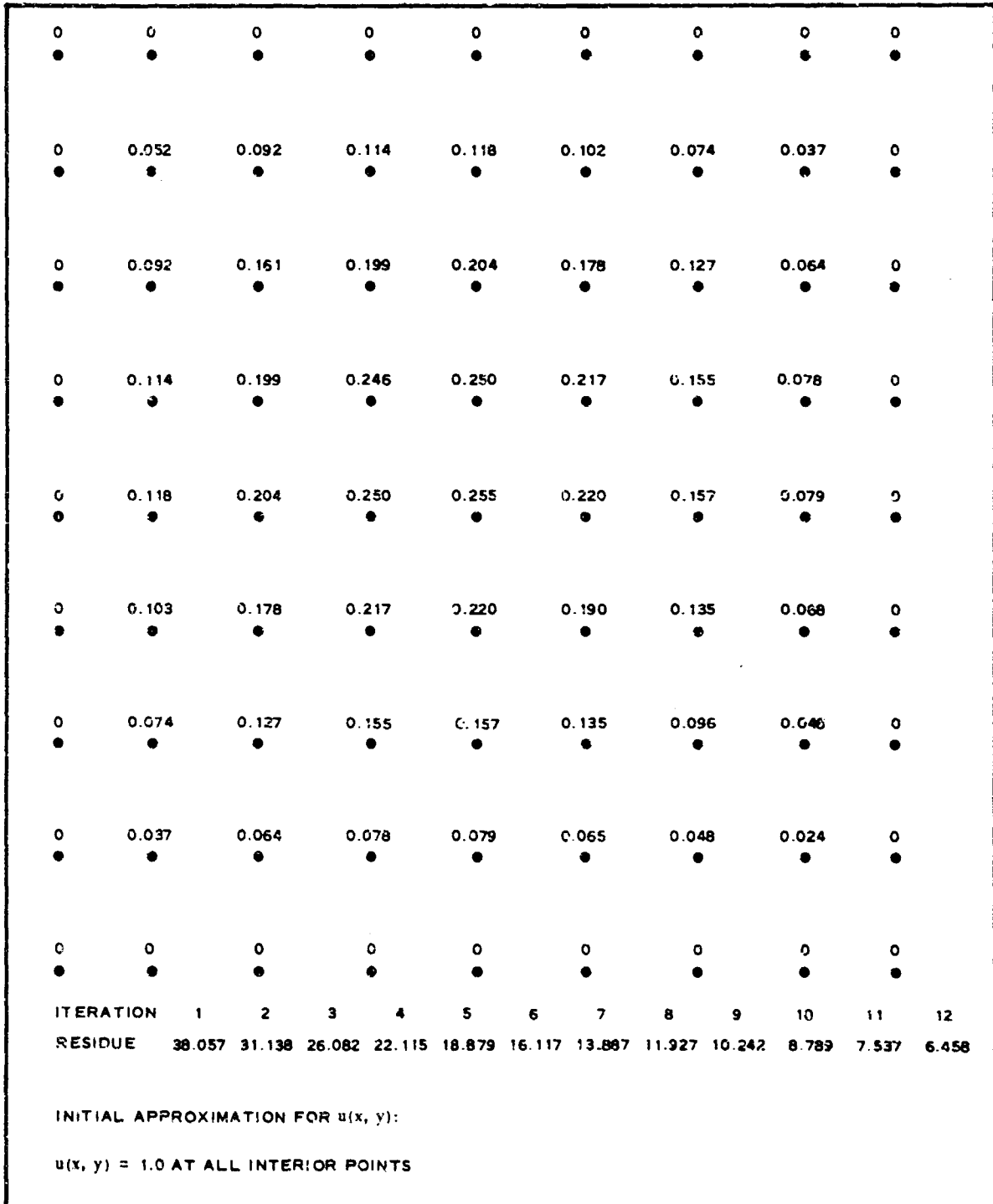


Figure VIII-7 - Run 3, Successive Displacements, Approximation A (+), 12 Iterations

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0	0	0	0	0	0	0	0	0	0	0	0	
0	0.010	0.018	0.023	0.025	0.023	0.015	0.010	0	0	0	0	
0	0.015	0.028	0.036	0.040	0.036	0.028	0.015	0	0	0	0	
0	0.017	0.031	0.041	0.044	0.041	0.031	0.017	0	0	0	0	
0	0.016	0.029	0.038	0.041	0.038	0.029	0.016	0	0	0	0	
0	0.012	0.023	0.030	0.032	0.030	0.023	0.012	0	0	0	0	
0	0.008	0.015	0.019	0.021	0.019	0.015	0.008	0	0	0	0	
0	0.004	0.007	0.009	0.010	0.010	0.007	0.004	0	0	0	0	
0	0	0	0	0	0	0	0	0	0	0	0	
ITERATION	1	2	3	4	5	6	7	8	9	10	11	12
RESIDUE	31.081	22.520	16.682	12.471	9.323	6.930	5.124	3.763	2.754	2.012	1.468	1.071
INITIAL APPROXIMATION FOR $u(x, y)$:												
$u(x, y) = 1.0$ AT ALL INTERIOR POINTS												

Figure VIII-8 - Run 3, Successive Displacements, Approximation B (X), 12 Iterations

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0	0	0	0	0	0	0	0	0	0	0	0	
•	•	•	•	•	•	•	•	•	•	•	•	
0	0.030	0.068	0.085	0.088	0.077	0.056	0.028	0	0	0	0	
•	•	•	•	•	•	•	•	•	•	•	•	
0	0.067	0.117	0.145	0.148	0.130	0.094	0.048	0	0	0	0	
•	•	•	•	•	•	•	•	•	•	•	•	
U	0.081	0.142	0.175	0.180	0.157	0.113	0.058	0	0	0	0	
•	•	•	•	•	•	•	•	•	•	•	•	
0	0.081	0.142	0.175	0.179	0.156	0.112	0.057	0	0	0	0	
•	•	•	•	•	•	•	•	•	•	•	•	
0	0.069	0.121	0.149	0.152	0.132	0.095	0.048	0	0	0	0	
•	•	•	•	•	•	•	•	•	•	•	•	
0	0.049	0.085	0.104	0.106	0.092	0.066	0.034	0	0	0	0	
•	•	•	•	•	•	•	•	•	•	•	•	
0	0.024	0.042	0.052	0.052	0.046	0.033	0.017	0	0	0	0	
•	•	•	•	•	•	•	•	•	•	•	•	
0	0	0	0	0	0	0	0	0	0	0	0	
•	•	•	•	•	•	•	•	•	•	•	•	
ITERATION	1	2	3	4	5	6	7	8	9	10	11	12
RESIDUE	36.514	29.078	23.756	19.640	16.392	13.646	11.395	9.511	7.930	6.604	5.484	4.567
INITIAL APPROXIMATION FOR $u(x, y)$:												
$u(x, y) = 1.0$ AT ALL INTERIOR POINTS												

Figure VIII-9 - Run 3, Successive Displacements, Approximation C (\square), 12 Iterations

for B could have been accelerated by the use of w_{opt} . It will be noted that in solving Laplace's equation over a mesh by methods A, B, or C, the sum of errors in the approximation of $u(x, y)$ at interior points will remain a constant until the iterative procedure successively spreads the error at a point(s) to the boundary; it is only when boundary values are brought to bear that the total pointwise error in the interior of the mesh can be reduced. The method of simultaneous displacements could be implemented easily on the parallel processor described in Appendix VI. However, the slow rate of convergence obtained using simultaneous displacements and the difficulty of obtaining applicable acceleration parameters make the method somewhat unattractive, even for parallel processors.

b. Mesh Fill In

Iterative numerical solutions to Laplace's equation over a mesh begin with the assumption of some initial values for $u(x, y)$ at interior points. Clearly, the greater the accuracy of the initial approximations, the more rapid should be the convergence. A method is described here for filling the interior of a mesh rapidly with accurate initial approximations to $u(x, y)$ based on known boundary values. This will be confined to the 9-by-9 grid previously cited. Extension of the method to any $(2^n + 1)$ by $(2^n + 1)$ mesh is immediate.

Let the value of $u(x, y)$ be denoted at points of the 9-by-9 mesh as $u(i, j)$, with i and j being determined as in matrix notation. Since $\{u(5, 1), u(5, 9), u(1, 5), u(9, 5)\}$ are known, $u(5, 5)$ may be approximated by A. Knowing $u(5, 5)$, $\{u(3, 3), u(3, 7), u(7, 3), u(7, 7)\}$ may be approximated by B, then $\{u(5, 3), u(5, 7), u(3, 5), u(3, 7)\}$ by A, etc. For a 9-by-9 mesh, five such passes are required for complete fill-in of interior points. Figure VIII-10 illustrates how the fill-in proceeds for each pass. The numbers above the points in the mesh of this illustration indicate the pass in which corresponding approximations to $u(x, y)$ were made. The approximations made during each pass depend only on boundary values or results of the previous passes, or both; hence, they are amenable to parallel

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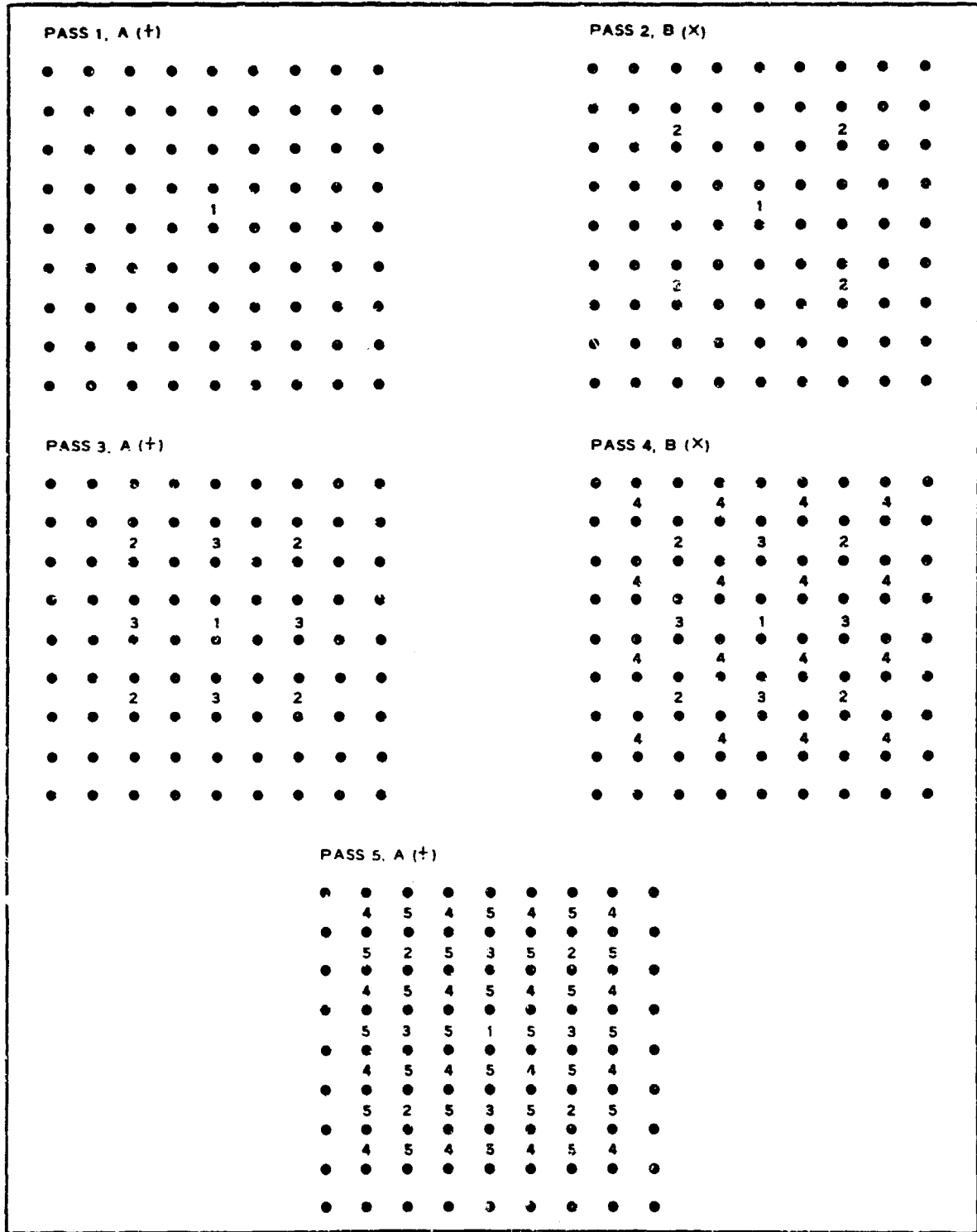


Figure VIII-10 - Parallel Fill-In

computation. Accordingly, the above method of mesh fill-in is referred to as parallel fill-in (PFI). PFI can be executed readily on parallel processors where rapid, universal communication between processing elements is available.

To check the accuracy of PFI, code FILIN was written in FORTRAN IV for the IBM 1410. Given values for $u(x, y)$ on the boundary of a 9-by-9 rectangular mesh of equal spacing, FILIN calculates initial approximations on $u(x, y)$ at interior points by PFI. FILIN was run for 11 different sets of boundary conditions. Figures VIII-11 through VIII-21 give the boundary conditions and resultant fill-in based on PFI for the 11 runs. Inspection of these figures reveals the excellent results achieved by PFI for the boundary conditions specified. Although the implementation of PFI is more suitable to parallel processors, its accuracy is such that it is to be recommended for use on sequential machines.

c. Parallel Execution

The numerical solution to Laplace's equation over a mesh by simultaneous displacements is structurally well suited to parallel computation. For a parallel processor of sufficient size, a processing unit could be assigned to each interior mesh point. Each unit then would compute and store, in an iterative fashion, approximations to $u(x, y)$ at its assigned point. The communication capabilities of Machines I or II (Appendixes VI and XV) would allow the use of any combination of the approximations A, B, or C. In the event that the number of interior mesh points exceeded the number of processing units, each unit could be assigned a block of interior mesh points and the iteration could proceed "parallel by block and sequential by point within a block." However, although the method of simultaneous displacements is structurally well suited to parallel execution, its low rate of convergence makes it somewhat unattractive.

The method of successive displacements, while apparently unsuited from a structural point of view, is in fact quite attractive for parallel computation. The rate of convergence for the method of successive displacements

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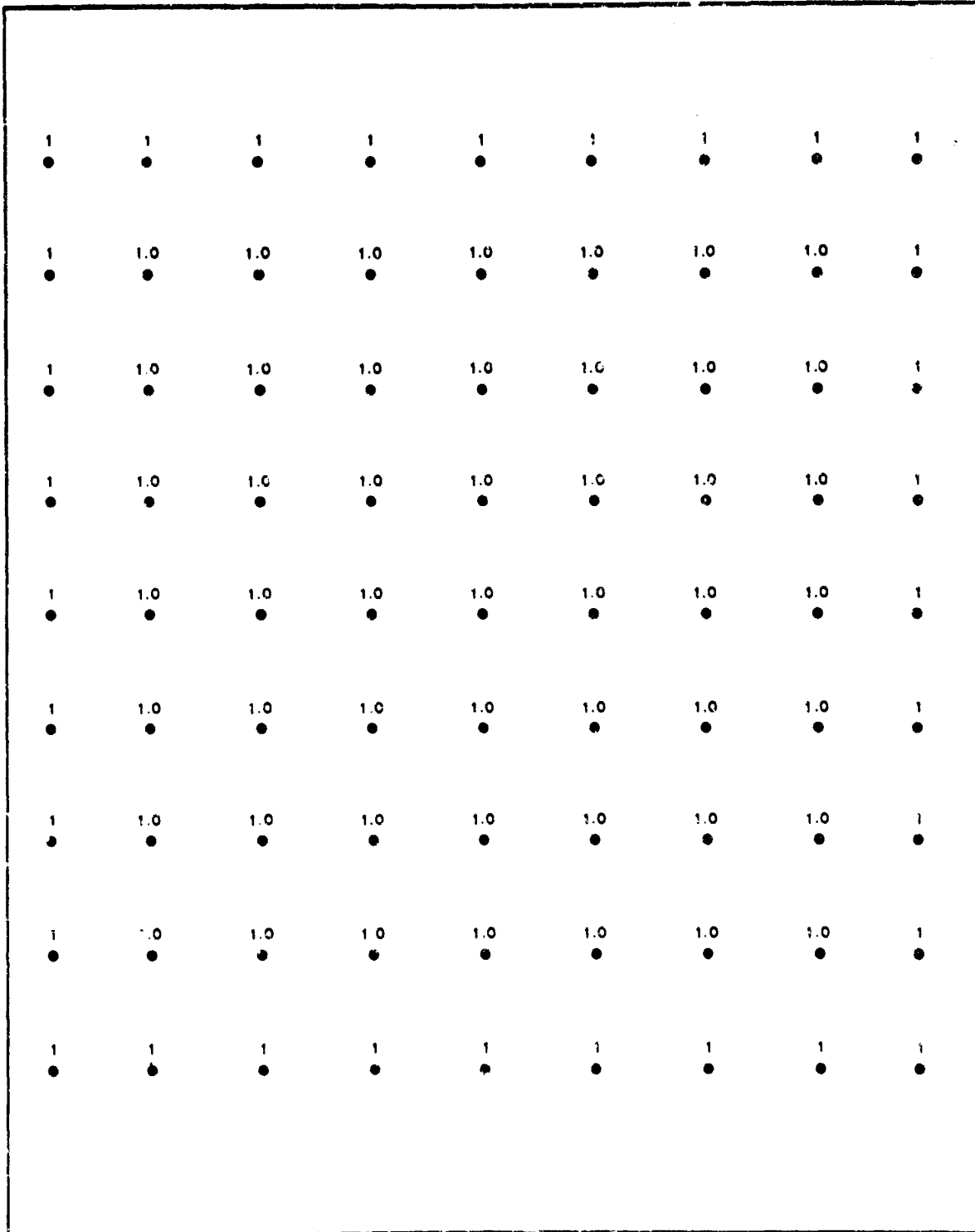


Figure VIII-11 - Parallel Fill-In, Run 1

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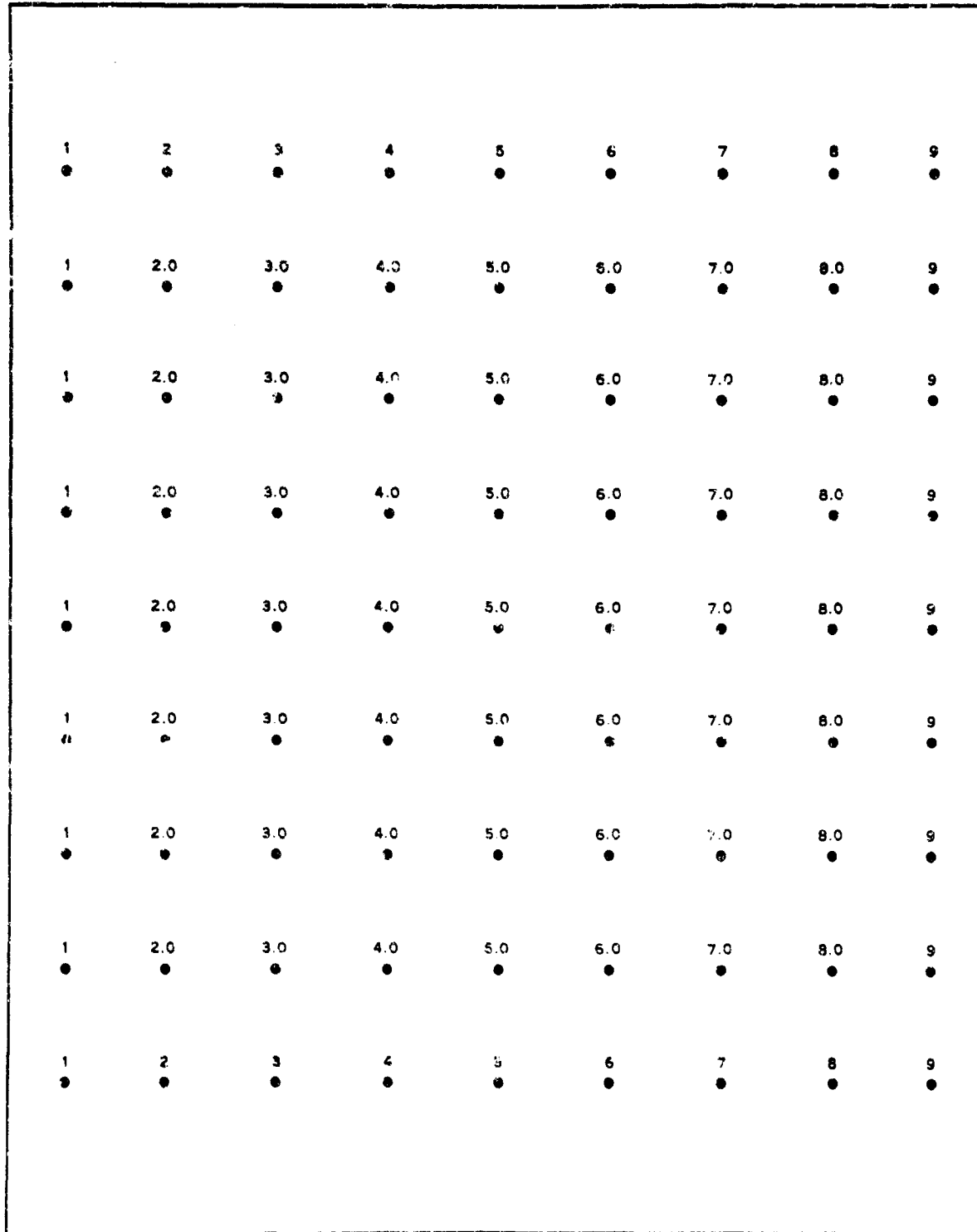


Figure VIII-12 - Parallel Fill-In, Run 2

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9	9	9	9	9	9	9	9	9
●	●	●	●	●	●	●	●	●
8	8.12	8.25	8.38	8.50	8.52	8.75	8.88	9
●	●	●	●	●	●	●	●	●
7	7.25	7.50	7.75	8.00	8.25	8.50	8.75	9
●	●	●	●	●	●	●	●	●
6	6.38	6.75	7.12	7.50	7.88	8.25	8.62	9
●	●	●	●	●	●	●	●	●
5	5.50	6.00	6.50	7.00	7.50	8.00	8.50	9
●	●	●	●	●	●	●	●	●
4	4.62	5.25	5.88	6.50	7.12	7.75	8.38	9
●	●	●	●	●	●	●	●	●
3	3.75	4.50	5.25	6.00	6.75	7.50	8.25	9
●	●	●	●	●	●	●	●	●
2	2.88	3.75	4.52	5.50	6.38	7.25	8.12	9
●	●	●	●	●	●	●	●	●
2	2.88	3.75	4.62	5.50	6.38	7.25	8.12	9
●	●	●	●	●	●	●	●	●
1	2	3	4	5	6	7	8	9
●	●	●	●	●	●	●	●	●

Figure VIII-13 - Parallel Fill-In, Run 3

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9	8	7	6	5	4	3	2	1
●	●	●	●	●	●	●	●	●
8	7.25	6.50	5.75	5.00	4.25	3.50	2.75	2
●	●	●	●	●	●	●	●	●
7	6.50	6.00	5.50	5.00	4.50	4.00	3.50	3
●	●	●	●	●	●	●	●	●
6	5.75	5.50	5.25	5.00	4.75	4.50	4.25	4
●	●	●	●	●	●	●	●	●
5	5.00	5.00	5.00	5.00	5.00	5.00	5.00	5
●	●	●	●	●	●	●	●	●
4	4.25	4.50	4.75	5.00	5.25	5.50	5.75	6
●	●	●	●	●	●	●	●	●
3	3.50	4.00	4.50	5.00	5.50	6.00	6.50	7
●	●	●	●	●	●	●	●	●
2	2.75	3.50	4.25	5.00	5.75	6.50	7.25	8
●	●	●	●	●	●	●	●	●
1	2	3	4	5	6	7	8	9
●	●	●	●	●	●	●	●	●

Figure VIII-14 - Parallel Fill-In, Run 4

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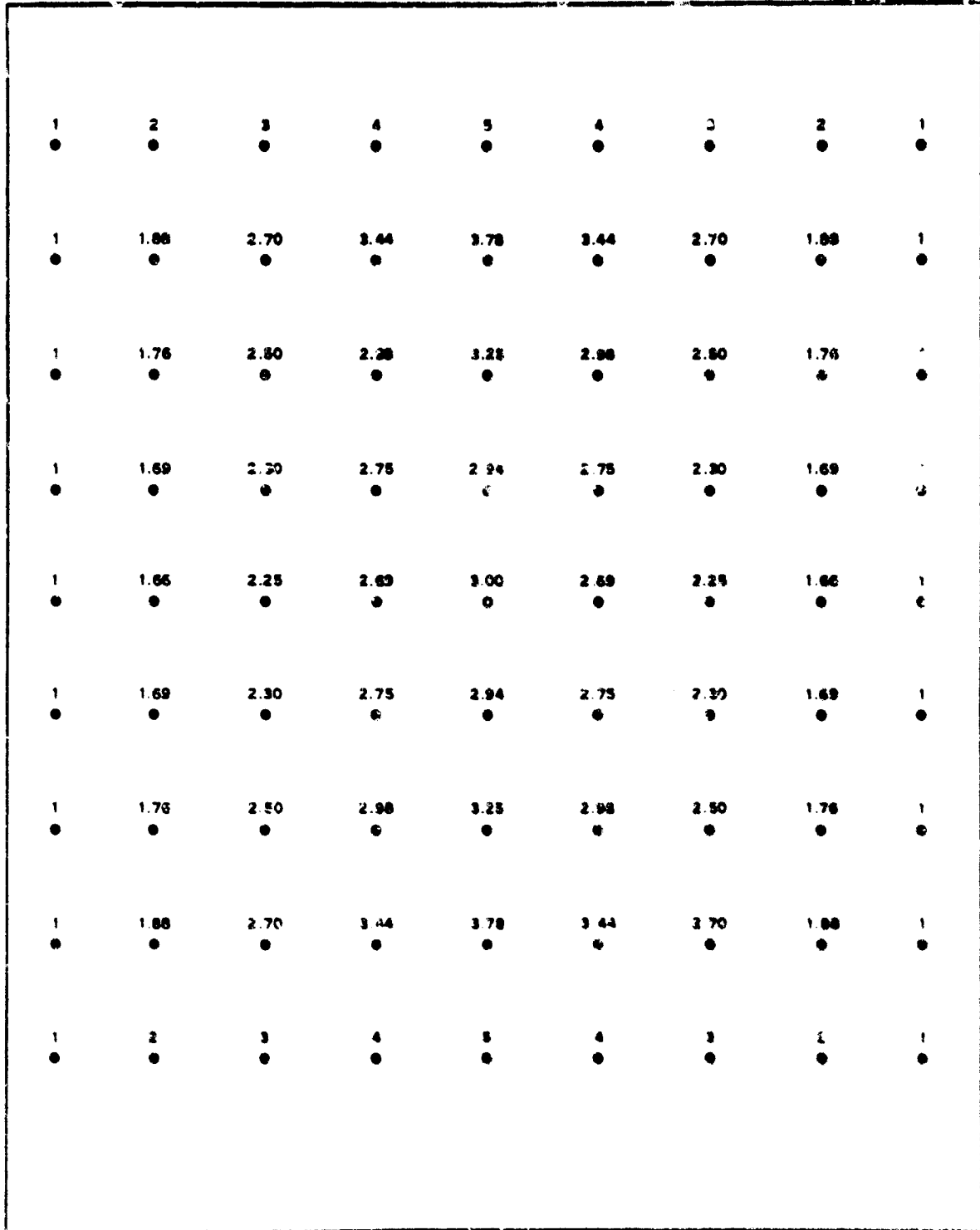


Figure VIII-15 - Parallel Fill-In. Run 5

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1	$\sqrt{2}$	$\sqrt{3}$	$\sqrt{4}$	$\sqrt{5}$	$\sqrt{6}$	$\sqrt{7}$	$\sqrt{8}$	3
1	1.33	1.64	1.92	2.17	2.40	2.61	2.81	3
1	1.30	1.59	1.87	2.13	2.37	2.59	2.80	3
1	1.29	1.58	1.85	2.11	2.35	2.58	2.79	3
1	1.29	1.57	1.85	2.12	2.35	2.57	2.79	3
1	1.29	1.58	1.85	2.11	2.35	2.58	2.79	3
1	1.30	1.59	1.87	2.13	2.37	2.59	2.80	3
1	1.33	1.64	1.92	2.17	2.40	2.61	2.81	3
1	$\sqrt{2}$	$\sqrt{3}$	$\sqrt{4}$	$\sqrt{5}$	$\sqrt{6}$	$\sqrt{7}$	$\sqrt{8}$	3

Figure VIII-16 - Parallel Fill-In, Run 6

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1	$\sqrt{2}$	$\sqrt{3}$	$\sqrt{4}$	$\sqrt{5}$	$\sqrt{4}$	$\sqrt{3}$	$\sqrt{2}$	1
•	•	•	•	•	•	•	•	•
1	1.30	1.57	1.78	1.87	1.78	1.57	1.30	1
•	•	•	•	•	•	•	•	•
1	1.24	1.46	1.62	1.70	1.62	1.46	1.24	1
•	•	•	•	•	•	•	•	•
1	1.21	1.40	1.54	1.60	1.54	1.40	1.21	1
•	•	•	•	•	•	•	•	•
1	1.20	1.39	1.52	1.62	1.52	1.39	1.20	1
•	•	•	•	•	•	•	•	•
1	1.21	1.40	1.54	1.60	1.54	1.40	1.21	1
•	•	•	•	•	•	•	•	•
1	1.24	1.46	1.62	1.70	1.62	1.46	1.24	1
•	•	•	•	•	•	•	•	•
1	1.30	1.57	1.78	1.87	1.78	1.57	1.30	1
•	•	•	•	•	•	•	•	•
1	$\sqrt{2}$	$\sqrt{3}$	$\sqrt{4}$	$\sqrt{5}$	$\sqrt{4}$	$\sqrt{3}$	$\sqrt{2}$	1
•	•	•	•	•	•	•	•	•

Figure VIII-17 - Parallel Fill-In, Run 7

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1	1	1	1	1	1	1	1	1
V2	1.28	1.20	1.14	1.10	1.07	1.04	1.02	1
V3	1.53	1.39	1.27	1.19	1.13	1.08	1.04	1
V4	1.73	1.52	1.37	1.26	1.17	1.10	1.05	1
V5	1.92	1.58	1.40	1.31	1.19	1.12	1.05	1
V4	1.73	1.52	1.37	1.26	1.17	1.10	1.05	1
V3	1.53	1.39	1.19	1.19	1.13	1.08	1.04	1
V2	1.28	1.20	1.14	1.10	1.07	1.04	1.02	1
1	1	1	1	1	1	1	1	1

Figure VIII-18 - Parallel Fill-In, Run 8

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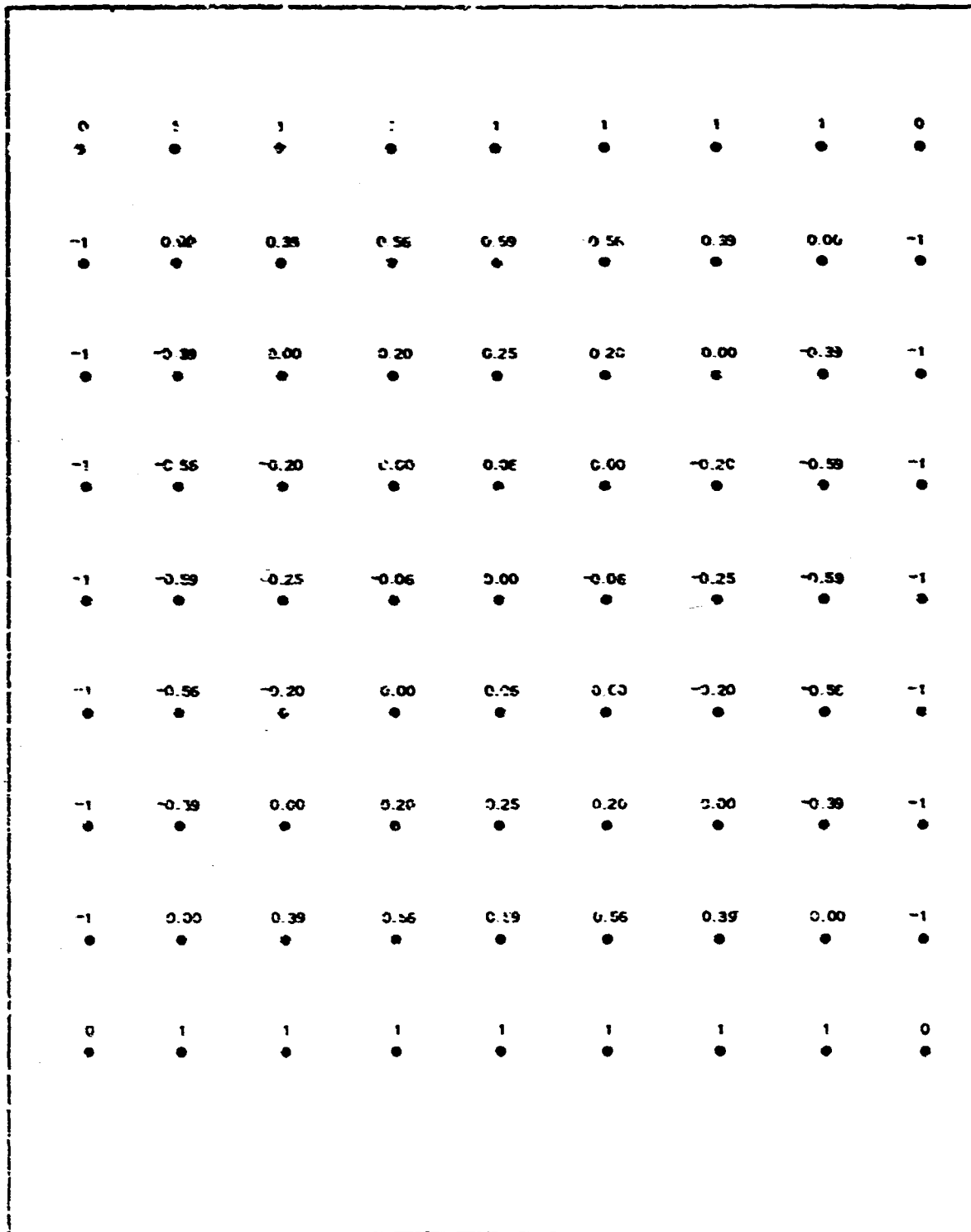


Figure VIII-19 - Parallel Fill-In, Run 9

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1.5	2	2	2	2	2	2	2	2.5
●	●	●	●	●	●	●	●	●
1	1.53	1.76	1.91	2.00	2.09	2.23	2.47	3
●	●	●	●	●	●	●	●	●
1	1.36	1.62	1.83	2.00	2.17	2.38	2.64	3
●	●	●	●	●	●	●	●	●
1	1.30	1.57	1.80	2.00	2.20	2.43	2.70	3
●	●	●	●	●	●	●	●	●
1	1.29	1.56	1.79	2.00	2.20	2.44	2.71	3
●	●	●	●	●	●	●	●	●
1	1.30	1.57	1.80	2.00	2.20	2.43	2.70	3
●	●	●	●	●	●	●	●	●
1	1.36	1.62	1.83	2.00	2.17	2.38	2.64	3
●	●	●	●	●	●	●	●	●
1	1.53	1.76	1.91	2.00	2.09	2.23	2.47	3
●	●	●	●	●	●	●	●	●
1.5	2	2	2	2	2	2	2	2.5
●	●	●	●	●	●	●	●	●

Figure VIII-20 - Parallel Fill-In, Run 10

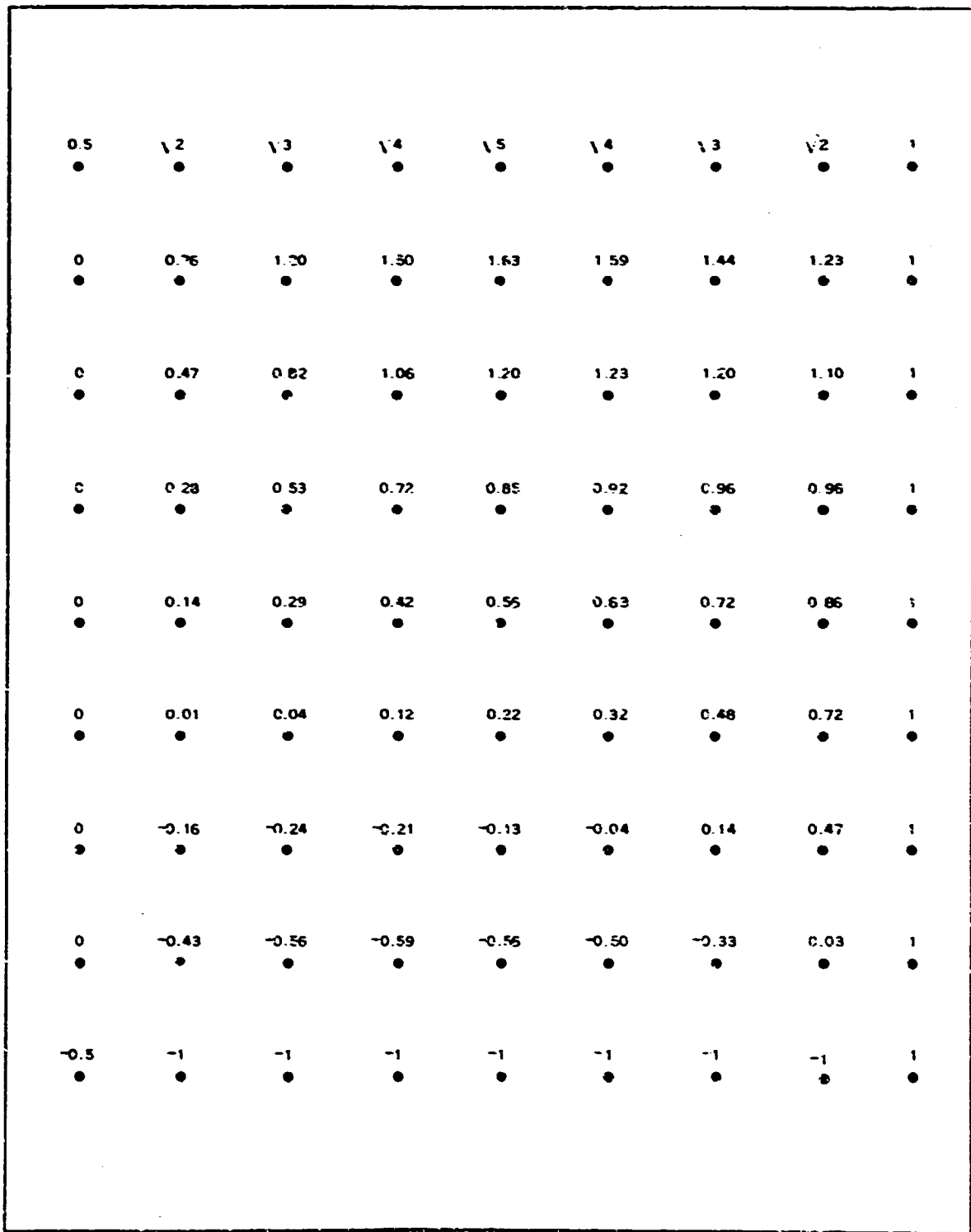


Figure VIII-21 - Parallel Fill-In, Run 11

can be improved by the use of acceleration parameters. Further, since in practice the number of internal mesh points involved in the solution of Laplace's equation will greatly exceed the number of processing units available on a parallel processor, a "parallel by block, sequential by point within a block" type iteration must be used and such an iteration is well suited to the method of successive displacements.

The PFI method for obtaining initial approximations to Laplace's equation over the interior points of a mesh is ideally suited to parallel execution.

A test for convergence based on maximum pointwise change in approximation values between successive iterations could be accomplished readily on Machines I or II due to the rapid sort capability.

5. CONCLUSIONS

This appendix has reviewed several mathematical techniques and analyzed their suitability for parallel execution. These techniques are Jacobi's method for the determination of eigenvalues of real symmetric matrices, the relaxation solution to a system of linear algebraic equations, numerical solution to Laplace's equation, and mesh fill in. Each technique was seen to be amenable to parallel execution. It was further seen that each technique involved searching a set for the element of maximum magnitude, a process well suited to a machine having sorting capability.

The inherent parallelism resident in each of the techniques provides a suitable basis for a study to determine optimal methods of parallel execution.

6. REFERENCES

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APPENDIX IX - MACRO INSTRUCTIONS FOR A PARALLEL PROCESSOR

1. INTRODUCTION

Concurrent with efforts directed toward the design and efficient utilization of parallel processors has been the realization that computational capabilities resident in parallel processors give rise to new ways of thinking about problems, their fundamental structure, and appropriate solution models. It is therefore desirable that macro machine instructions, in fact a programming language, be developed that allow and indeed promote ease of conceiving and expressing the structure of parallel solution models. This appendix presents a brief list of instructions capable of compactly representing operations within a parallel solution model. Computational examples are given along with a suggestion for expanding and generalizing the instructions into a programming language.

2. DEFINITIONS

Let the Greek letters $\alpha, \beta, \gamma, \dots$ denote vectors of the form

$$\alpha = (\alpha_1, \alpha_2, \dots, \alpha_n) \quad (1)$$

where α_i ($i = 1, 2, \dots, n$) is a real number unless otherwise specified. In expressions such as

$$\alpha = (\alpha_1, \alpha_2, \dots)_n, \quad (2)$$

the subscript n means that α is to be considered an n vector.

3. INSTRUCTIONS

a. General

In the following instructions, α, β, γ are as defined in (1) and f denotes a

real number. The elements a_i , $i = 1, 2, \dots, n$ of a vector $\alpha = (a_1, a_2, \dots)_n$ correspond to real numbers stored in a parallel processor. In general, an instruction will specify the execution by the parallel processor of some rule of assignment, Γ , that associates with the vector(s) α a vector γ . For example:

1. Suppose there is a vector $\alpha = (a_1, a_2, \dots, a_n)$ and the vector $\gamma = 2\alpha = (2a_1, 2a_2, \dots, 2a_n) = (\gamma_1, \gamma_2, \dots, \gamma_n)$ is desired. Then an instruction is specified directing the parallel processor to effect the following rule of assignment:

$$\Gamma: \alpha \rightarrow 2\alpha = \gamma.$$

2. Suppose there are vectors $\alpha = (a_1, a_2, \dots, a_n)$ and $\beta = (\beta_1, \beta_2, \dots, \beta_n)$ and the vector $\gamma = \alpha + \beta = (a_1 + \beta_1, a_2 + \beta_2, \dots, a_n + \beta_n) = (\gamma_1, \gamma_2, \dots, \gamma_n)$ is desired. Then an instruction is specified directing the parallel processor to effect the following rule of assignment:

$$\Gamma: (\alpha, \beta) \rightarrow \alpha + \beta = \gamma.$$

b. List of Instructions

A list of instructions follows. They are designed primarily to specify the parallel execution of common arithmetic operations frequently encountered in computational procedures.

1. Shift right/left: $(\rightarrow, t)(\alpha)/(\leftarrow, t)(\alpha)$. This instruction operates on a single vector, $\alpha = (a_1, a_2, \dots, a_n)$, to produce a vector $\gamma = (\gamma_1, \gamma_2, \dots, \gamma_n)$ under the rule of assignment:

$$\gamma_i = \begin{cases} 0 & \text{for } 1 \leq i \leq t \\ a_{i-t} & \text{for } t < i \leq n \end{cases} \quad \text{for } (\rightarrow, t)(\alpha),$$

and

$$\gamma_i = \begin{cases} 0 & \text{for } (n-t) < i \leq n \\ a_{i+t} & \text{for } 1 \leq i \leq (n-t) \end{cases} \quad \text{for } (\leftarrow, t) (a).$$

Note: a is unchanged;^a any overflow is lost.

Example: Let $a = (1, 3, 5, 7)$. Then

$$\gamma = (\leftarrow, 2) (a) = (0, 0, 1, 3),$$

$$\gamma = (\leftarrow, 1) (a) = (3, 5, 7, 0).$$

2. Shift right/left one: $(\leftarrow, t) (a) / (\leftarrow-1, t) (a)$. This instruction operates on a single vector, $a = (a_1, a_2, \dots, a_n)$, to produce a vector $\gamma = (\gamma_1, \gamma_2, \dots, \gamma_n)$ under the rule of assignment:

$$\gamma_i = \begin{cases} 1 & \text{for } 1 \leq i \leq t \\ a_{i-t} & \text{for } t < i \leq n \end{cases} \quad \text{for } (\leftarrow, t) (a),$$

and

$$\gamma_i = \begin{cases} 1 & \text{for } (n-t) < i \leq n \\ a_{i+t} & \text{for } i < i \leq (n-t) \end{cases} \quad \text{for } (\leftarrow-1, t) (a).$$

Note: a is unchanged; any overflow is lost.

Example: Let $a = (7, 9, 1, 8, 5)$. Then

$$\gamma = (\leftarrow, 3) (a) = (1, 1, 1, 7, 9),$$

$$\gamma = (\leftarrow-1, 4) (a) = (5, 1, 1, 1, 1).$$

3. Spread right/left: $\langle j \leftarrow, t \rangle (a) / \langle \leftarrow-j, t \rangle (a)$. This instruction operates on a single vector, $a = (a_1, a_2, \dots, a_n)$, to produce a vector $\gamma = (\gamma_1, \gamma_2, \dots, \gamma_n)$ under the following rule of assignment:

^aIn this and the following instructions where " a is unchanged," if $a = \gamma$ [for example, $a = (\leftarrow, t) (a)$], the positions of a are assigned new values under the rule of assignment for the instruction.

$$\gamma_i = \begin{cases} a_i & \text{for } 1 \leq i < j \\ a_j & \text{for } j \leq i \leq (j+t) \\ a_i & \text{for } (j+t) < i \leq n \end{cases} \quad \text{for } \langle j \rightarrow, t \rangle (a),$$

and

$$\gamma_i = \begin{cases} i & \text{for } 1 \leq i < (j-t) \\ j & \text{for } (j-t) \leq i \leq j \\ i & \text{for } j < i \leq n \end{cases} \quad \text{for } \langle \leftarrow j, t \rangle (a).$$

Note: a is unchanged; any overflow is lost.

Example: Let $a = (7, 9, 1, 8, 5)$. Then

$$\gamma = \langle 2 \rightarrow, 2 \rangle (a) = (7, 9, 9, 9, 5),$$

$$\gamma = \langle \leftarrow 3, 4 \rangle (a) = (1, 1, 1, 8, 5).$$

Note: overflow occurs in the above example.

4. Rotate right/left: $(RR, t) (a) / (RL, t) (a)$. This instruction operates on a single vector, $a = (a_1, a_2, \dots, a_n)$, altering it as follows. The elements of a are shifted right/left t positions. Overflow cut the right/left is added in on the left/right.

Example: Let $a = (7, 9, 1, 8, 5)$. Then

$$(RR, 2) (a) = (8, 5, 7, 9, 1) \rightarrow a,$$

$$(RL, 3) (a) = (8, 5, 7, 9, 1) \rightarrow a.$$

5. Set sign plus/minus: $[SSP] (a) / [SSM] (a)$. This instruction operates on a single vector, $a = (a_1, a_2, \dots, a_n)$, altering it as follows. Each element a_i of a is set to $|a_i| / -|a_i|$. Example: Let $a = (-1, 0, 7, -4, 12)$. Then

$$\boxed{\text{SSP}}(a) = (1, 0, 7, 4, 12) \rightarrow a,$$

$$\boxed{\text{SSM}}(a) = (-1, 0, -7, -4, -12) \rightarrow a.$$

6. Scalar add/subtract/multiply/divide: $\boxed{+, f} / \boxed{-, f} / \boxed{x, f} / \boxed{\div, f}(a)$. This instruction operates on a single vector, $a = (a_1, a_2, \dots, a_n)$, to produce a vector $\gamma = (\gamma_1, \gamma_2, \dots, \gamma_n)$ under the rule of assignment:

$$\gamma_i = (a_i + f) / (-f) / (a_i \times f) / (a_i / f).$$

Note: a is unchanged.

Example: Let $a = (7, 9, 1, 8, 5)$, $f = 3$. Then

$$\gamma = \boxed{+, f}(a) = (10, 12, 4, 11, 8),$$

$$\gamma = \boxed{-, f}(a) = (4, 6, -2, 5, 2),$$

$$\gamma = \boxed{x, f}(a) = (21, 27, 3, 24, 5),$$

$$\gamma = \boxed{\div, f}(a) = (7/3, 3, 1/3, 8/3, 5/3).$$

7. Vector add/subtract/multiply/divide: $\boxed{\oplus} / \boxed{\ominus} / \boxed{\otimes} / \boxed{\oslash}(a, \beta)$. This instruction operates on an ordered pair of vectors (a, β) :

$$a = (a_1, a_2, \dots, a_n)$$

$$\beta = (\beta_1, \beta_2, \dots, \beta_n).$$

to produce a vector

$$\gamma = (\gamma_1, \gamma_2, \dots, \gamma_n)$$

under the following rule of assignment:

$$\gamma_i = (a_i + \beta_i) / (a_i - \beta_i) / (a_i \beta_i) / (a_i / \beta_i)$$

Note: a and β are unchanged.

Example: Let $\alpha = (7, 9, 1, 8, 5)$, $\beta = (2, -3, 1/3, -16, 1)$. Then

$$\oplus (\alpha, \beta) = (9, 6, 4/3, -8, 6) ,$$

$$\ominus (\alpha, \beta) = (5, 12, 2/3, 24, 4) ,$$

$$\otimes (\alpha, \beta) = (14, -27, 1/3, -128, 5) ,$$

$$\odot (\alpha, \beta) = (7/2, -3, 3, -1/2, 5) ,$$

8. Sum: $\Sigma(\alpha)$. This instruction effectively is a sub-routine. It operates on a single vector, $\alpha = (a_1, a_2, \dots, a_n)$, to produce a 1-vector $\gamma = (\gamma_1)$ under the following rule of assignment:

$$\gamma_1 = \sum_{i=1}^n a_i .$$

Note: α is unchanged.

Example: Let $\alpha = (7, 9, 1, 8, 5)$. Then

$$\gamma = \Sigma(\alpha) = (30) .$$

9. Chain: $\pi(\alpha)$. This instruction effectively is a sub-routine. It operates on a single-vector $\alpha = (a_1, a_2, \dots, a_n)$ to produce a 1-vector $\gamma = (\gamma_1)$ under the following rule of assignment:

$$\gamma_1 = \prod_{i=1}^n a_i .$$

Note: α is unchanged.

Example: Let $\alpha = (7, 9, 1, 8, 5)$. Then

$$\gamma = \pi(\alpha) = (2520) .$$

10. Create: $C(a, n) (a_1, a_2, \dots, a_n)$. This instruction causes a vector of length n , called a , to be stored in the parallel processor with elements a_i specified with the instruction.

Example:

$$C(a, 7)(0, 1, 0, 0, 3\frac{1}{2}, 0, 0) \rightarrow a.$$

11. If: $IF(a, \epsilon)r, s, t$. This instruction specifies a transfer of program control according to the following rules:

- Let $a = (a_1, a_2, \dots, a_n)$ and $\epsilon = (\epsilon_1, \epsilon_2, \dots, \epsilon_n)$ be n vectors.
- Let r, s, t specify locations to which program control can be transferred.
- Then program control will be transferred to r, s , or t according to whether $a_i < \epsilon_i, a_i = \epsilon_i, a_i > \epsilon_i$ for all $i = 1, 2, \dots, n$.

4. SAMPLE PROGRAMS

a. General

Some sample programs written in terms of the instruction list are exhibited below. The existence of a "DO LOOP" type instruction is assumed.

b. Program 1

Given X_0, Δ, n

Construct $V = (X_0, X_0 + \Delta, X_0 + 2\Delta, \dots, X_0 + n\Delta)_{n+1}$

Define: $L = [In_2(n-1)]$ (by $[X]$ is meant the greatest integer in X)

Procedure:

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$$C(V, n + 1)(0, \Delta, 0, 0, \dots, 0)$$

DO M k = 0, L

$$V^* = (\rightarrow, 2^k)(V)$$

$$V^{**} = \langle 2^k + 1 \rightarrow, 2^k \rangle (V)$$

$$M \quad V = \oplus(V^*, V^{**})$$

$$V = [+ , X_0](V)$$

Then

$$V = (X_0, X_0 + \Delta, X_0 + 2\Delta, \dots, X_0 + n\Delta) .$$

Example: Let n = 8. Then $L = \lceil \ln_2(7) \rceil = 2$.

The program would proceed as follows:

$$C(V, 9)(0, \Delta, 0, 0, 0, 0, 0, 0, 0)$$

Going through the DO Loop would give:

K = 0

$$V^* = (\rightarrow, 1)(V) = (0, 0, \Delta, 0, 0, 0, 0, 0, 0)$$

$$V^{**} = \langle 2 \rightarrow, 1 \rangle (V) = (0, \Delta, \Delta, 0, 0, 0, 0, 0, 0)$$

$$V = \oplus(V^*, V^{**}) = (0, \Delta, 2\Delta, 0, 0, 0, 0, 0, 0)$$

K = 1

$$V^* = (\rightarrow, 2)(V) = (0, 0, 0, \Delta, 2\Delta, 0, 0, 0, 0)$$

$$V^{**} = \langle 3 \rightarrow, 2 \rangle (V) = (0, \Delta, 2\Delta, 2\Delta, 2\Delta, 0, 0, 0, 0)$$

$$V = \oplus(V^*, V^{**}) = (0, \Delta, 2\Delta, 3\Delta, 4\Delta, 0, 0, 0, 0)$$

K = 2

$$V^* = (\rightarrow, 4)(V) = (0, 0, 0, 0, 0, \Delta, 2\Delta, 3\Delta, 4\Delta)$$

$$V^{**} = \langle 5 \rightarrow, 4 \rangle (V) = (0, \Delta, 2\Delta, 3\Delta, 4\Delta, 4\Delta, 4\Delta, 4\Delta, 4\Delta)$$

$$V = \oplus(V^*, V^{**}) = (0, \Delta, 2\Delta, 3\Delta, 4\Delta, 5\Delta, 6\Delta, 7\Delta, 8\Delta)$$

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and finally

$$V = [+, X_0] (V) = (X_0, X_0 + \Delta, \dots, X_0 + 8\Delta)$$

Program 2

Given: $\alpha = (\alpha_1, \alpha_2, \dots, \alpha_n)$, $\beta = (\beta_1, \beta_2, \dots, \beta_n)$

Construct: $\gamma = \alpha \cdot \beta =$ the scalar product of α and β

Procedure:

$$V = \otimes(\alpha, \beta)$$

$$V = \Sigma(V)$$

Then $V = (\gamma_1)$, where

$$\gamma_1 = \sum_{i=1}^n \alpha_i \beta_i$$

Example: Let $\alpha = (1, 3, 5)$, $\beta = (2, 4, 6)$.

Then

$$V = \otimes(\alpha, \beta) = (2, 12, 30)$$

$$V = \Sigma(V) = (44)$$

Program 3

Given: $\alpha = (\alpha_1, \alpha_2, \dots, \alpha_n)$, $\epsilon = (\epsilon_1, \epsilon_2, \dots, \epsilon_n)$

where $\alpha_i > 0$, $\epsilon_i > 0$ for $i = 1, 2, \dots, n$

Construct: $\gamma = (\gamma_1, \gamma_2, \dots, \gamma_n)$ where $\gamma_i = \sqrt{\alpha_i}$, and ϵ_i is the convergence criterion for a Newton iteration

Comments: A Newton iteration for finding \sqrt{x} proceeds as follows:

$$g_{i+1} = \frac{1}{2} \left(\frac{x}{g_i} + g_i \right),$$

where g_i denotes the i^{th} approximation to \sqrt{x} . The manner of determining

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the initial guess, g_0 , depends on the range of x and, in computer solutions, the manner in which a number x is stored in the machine. In the program to follow, $x/2$ is used as an initial guess to \sqrt{x} .

	<u>Procedure</u>	<u>Corresponds to</u>
	$G = [x, 0.5] (\alpha)$	$g_i = x/2$, initial guess
m	$B = \oplus(\alpha, G)$	x/g_i
	$\theta = \oplus(B, G)$	$x/g_i + g_i$
	$\gamma = [x, 0.5] (\theta)$	$g_{i+1} = \frac{1}{2} \left(\frac{x}{g_i} + g_i \right)$
	$\delta = \ominus(\gamma, G)$	$g_{i+1} - g_i$
	$\delta = [SSP] (\delta)$	$ g_{i+1} - g_i $
	IF $(\delta, \epsilon) r, r, t$	$ g_{i+1} - g_i < \epsilon ?$
t	$G = \gamma$	No, $(i+1) \rightarrow i$
	Go to m	Iterate again
r	Continue	Yes, $g_{i+1} = \sqrt{x}$

and then $\gamma = (\gamma_1, \gamma_2, \dots, \gamma_n)$ where $\gamma_i = \sqrt{a_i}$.

5. OBSERVATIONS

Some of the properties of the instructions listed above are as follows:

1. Instructions 1 through 4 involve essentially a shifting right or left of the elements of a vector $\alpha = (\alpha_1, \alpha_2, \dots, \alpha_n)$ with options of dropping overflow with corresponding fill-in by 0's, 1's, or end-around carry. The resulting vector is an n -vector $\gamma = (\gamma_1, \gamma_2, \dots, \gamma_n)$ with elements from $\{0, 1, \{a_i\} (i = 1, 2, \dots, n)\}$
2. Instructions 5 and 6 involve a specified arithmetic

operation on each of the elements of a vector $\alpha = (\alpha_1, \alpha_2, \dots, \alpha_n)$. The resulting vector is an n -vector $\gamma = (\gamma_1, \gamma_2, \dots, \gamma_n)$ with elements specified in terms of $\{\alpha_i\}$, $i = 1, 2, \dots, n$ and a common arithmetic operation.

3. Instruction 7 involves an ordered pair of vectors (α, β) , $\alpha = (\alpha_1, \alpha_2, \dots, \alpha_n)$, $\beta = (\beta_1, \beta_2, \dots, \beta_n)$ and a specified arithmetic operation for each of the couples (α_i, β_i) , $i = 1, 2, \dots, n$. The resulting vector is an n -vector $\gamma = (\gamma_1, \gamma_2, \dots, \gamma_n)$ with elements specified in terms of the couples (α_i, β_i) , $i = 1, 2, \dots, n$ and a common arithmetic operation.
4. Instructions 8 and 9 involve a specified arithmetic operation applied to the set of elements $\{\alpha_i\}$, $i = 1, 2, \dots, n$ of a vector $\alpha = (\alpha_1, \alpha_2, \dots, \alpha_n)$. The resulting vector $\gamma = (\gamma_1)$ is a 1-vector whose single element is specified in terms of the set $\{\alpha_i\}$, $i = 1, 2, \dots, n$ and an arithmetic operation.
5. Instruction 10 creates a new vector with elements specified by the programmer.
6. Instruction 11 specifies a transfer of control, based on the results of a test.

The above observations suggest that further study of these and other instructions, yet to be defined, will produce new insights into the nature of problems, possible solution models, and notations in terms of which solution models may be written. Experience has, in fact, already shown this to be true. The instructions discussed in this report were the results of an effort to determine arithmetic operations that would be frequently encountered in machine computation, and instructions that would

compactly specify parallel execution of such operations. The list of instructions is quite short. Efforts to express parallel solution models in terms of these instructions can be expected to produce changes in instruction form and definition, suggest new instructions, and lead to the formulation of a FORTRAN type language. The execution on a parallel processor of programs written in such a language would require the construction of a compiler to translate instructions of the type listed into an efficient program of micro instructions acceptable to the processor.

6. CONCLUSIONS

Attempts to write parallel solution models and to express the operations involved in a compact notation have led to the development of a preliminary list of macro instructions for a parallel processor. Experience gained in constructing parallel solution models and writing programs for them could provide a basis for modifying presently proposed instructions and defining new ones.

The definition and modification of instructions is essentially an effort to express compactly the operations characterizing a problem and structuring possible methods of solution. Hence, it is hoped that further development of instructions will suggest new conceptual modes in which problems and possible solutions may be analyzed, and provide insights into the nature and significance of parallelism within a problem and methods for exploiting it by new computational procedures.

APPENDIX X - PARALLEL COMPILATION

1. INTRODUCTION

Investigations into machine structure and parallel execution of coded routines led logically to the problem of compiling a source program in parallel. In other words, given a sequence of statements written in, say, MAD (Michigan algorithm decoder) or FORTRAN (IBM formula translating system), how can a parallel processor be used to compile the entire set of statements in parallel?

In this appendix, an algorithm for parallel compilation is developed, a method of simulation on a sequential machine is described, and the results of a simulation for a small set of replacements are presented. It is assumed that the language statements are written in MAD and that the precedence hierarchy is that of Arden, Galler, and Graham.^{a, b} The MAD language was chosen because documentation on its structure is readily available and because techniques developed through MAD can be extended to other languages.

2. PARALLEL COMPILATION

a. General

During the process of compilation, a sequence of statements written in a higher language such as MAD is translated into a sequence of machine language statements. The compilation process usually decomposes higher language statements into a matrix form of triples and then, from the matrix, establishes a set of machine language statements. Included in

^aUniversity of Michigan Computing Center: Michigan Algorithm Decoder. Ann Arbor, Michigan, June 1963.

^bArden, B.; Galler, B.; and Graham, R.: "An Algorithm for Translating Boolean Expressions," Journal of the ACM, April 1962; 9:222-239.

the compilation process is the handling of such considerations as dimension, mode, and storage allocation.

The compilation algorithm developed here deals only with the decomposition of higher language statements into triples. The statements are restricted to replacement types involving nonsubscripted variables. The previously cited precedence hierarchy is limited to the set of operators given in Table X-1.

TABLE X-1 - PRECEDENCE HIERARCHY

Operator	Description	Precedence
.ABS.	Absolute value	highest ↓ Lowest
.P.	Exponentiation	
-u	Unary minus	
*, /	Multiplication, division	
+, -	Plus, minus	
=	Equals (substitution)	
†, †, (,)	Begin statement, end statement, open parenthesis, close parenthesis	

It is further assumed that the replacement statements are stored, symbol by symbol, in an ordered list. For example, the MAD statement

$$F = A + B * .ABS. (C + D) \quad (1)$$

is assumed to be stored in a list as:

<u>Index, i</u>	<u>Item_i</u>	
0	†	(2)
1	F	
2	=	
3	A	

<u>Index, i</u>	<u>Item_i</u>	
4	+	
5	B	
6	*	
7	.ABS.	
8	((2)
9	C	
10	+	
11	D	
12)	
13	+	

Later it is shown that the set of triples corresponding to (2) is just:

	Triples	
C	+	D
O	.ABS.	R ₁
B	*	R ₂
A	+	R ₃
F	=	R ₄

(3)

In (3), R_i denotes the resultant from the ith triple (row). Now (3) is read, row by row, as:

$$\begin{aligned}
 R_1 &= C + D \\
 R_2 &= .ABS. (R_1) \\
 R_3 &= B + R_2 \\
 R_4 &= A + R_3 \\
 F &= R_4 \\
 &= A + B * ABS. (C + D)
 \end{aligned}$$

Note that the final reading is just (1).

b. Compilation Algorithm

In parallel compilation, one tries in successive passes to examine simultaneously many statements such as (1), stored in the fashion of (2), and to form on each pass all possible triples and simplifications for the entire set of statements.

An algorithm for effecting parallel compilation is shown in Figure X-1. On each pass, the tests (operations) indicated in Figure X-1 are applied to a list such as (2). Sequences of items taken 3, 4, or 5 at a time are sought that meet certain conditions (blanks are ignored). If the indicated conditions obtain, triples are formed and/or statements are simplified as indicated.

As the structure of the flow chart in Figure X-1 indicates, the four operations may be executed concurrently; and the algorithm is capable of decomposing, in parallel, all the substitution statements of a source-language (MAD) program into a string of triples ready for final assignment (machine language). Several passes through the loop may be required, the number depending on the size and complexity of the program to be compiled.

The operations indicated in Figure X-1 proceed as follows:

1. Operation 1 looks for quadruples ABCD, where A is an operator; B is either a "-u" or an ".ABS."; C is a variable; D is an operator such that $P(D) \leq P(B)$, where $P(X)$ denotes the precedence of X as given in Table X-1. It is assumed that B is the q^{th} item on the input list. Variable C is removed, and B is replaced by the variable R_q . A triple is formed of O, B, and C, and its resultant is stored in R_q .
2. Operation 2 looks for all quintuples ABCDE, where A, C, and E are operators; B and D are variables;

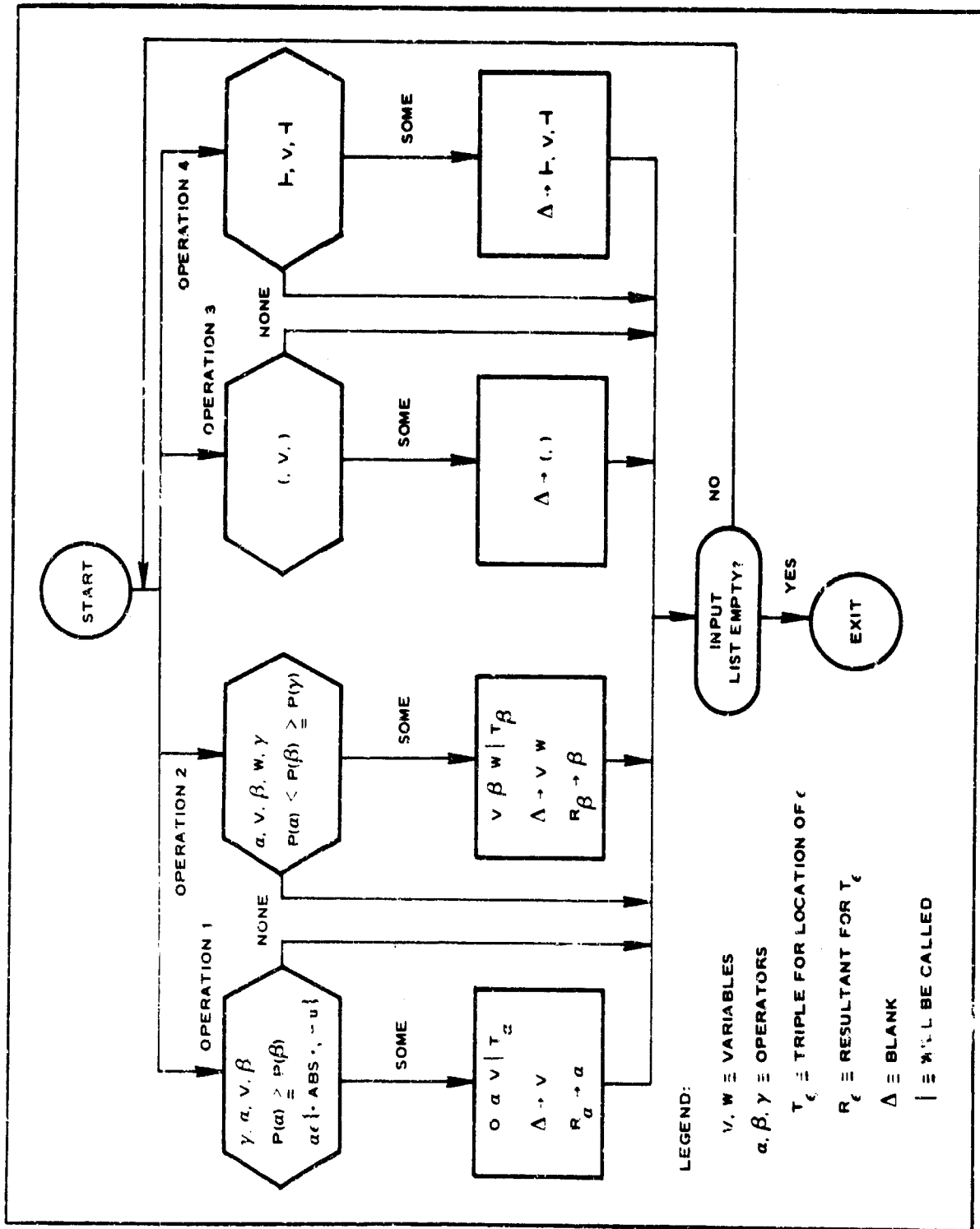


Figure X-1 - Parallel Compilation Algorithm

and $P(A) < P(C) \cong P(E)$. It is assumed that C is the β^{th} item on the input list. Variable B and D are removed, C is replaced by R_β , and a triple is formed of B, C, and D, with a resultant R_β .

3. Operation 3 removes the parentheses surrounding single variables.
4. Operation 4 removes all sequences $\vdash A \vdash$, where A is a variable.

Subsequent to the execution of these four operations, control returns to Operation 1 if the input list is not empty. Otherwise there is an exit.

In seven successive passes noted under Items c through i, below, the compilation algorithm is applied to the statement (1), as stored in the list (2), and the set of triples is developed. In each of these passes, the procedure is to work through the scheme detailed in Figure X-1.

c. Pass 1

For Operation 1, the quadruples α, V, β do not exist, so that $P(\alpha) \cong P(\beta)$, $\alpha \in \{.ABS., -u\}$.

For Operation 2, the quintuple (C + D) fulfills the requirements of $\alpha, V, \beta, W, \gamma$, where $P(\alpha) < P(\beta) \cong P(\gamma)$. Hence, + is replaced by R_{10} , where R_{10} is the triple C + D. Now C and D are removed from the list.

For Operation 3, there exists no triple (α, V, β).

For Operation 4, there exists no triple \vdash, V, \vdash .

After Pass 1, the list (2) reads as follows (where Δ denotes a blank):

<u>Index, i</u>	<u>Item_i</u>
0	\vdash
1	F
2	=
3	A

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<u>Index, i</u>	<u>Item_i</u>
4	+
5	B
6	*
7	.ABS.
8	{
9	Δ
10	R ₁₀
11	Δ
12	}
13	+

d. Pass 2

Only the condition specified by Operation 3, obtains, namely $\langle R_{10} \rangle$. The parentheses are removed from the list. After Pass 2, the list (2) reads:

<u>Index, i</u>	<u>Item_i</u>
0	+
1	F
2	=
3	A
4	+
5	B
6	*
7	.ABS.
8	Δ
9	Δ
10	R ₁₀
11	Δ
12	Δ
13	+

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e. Pass 3

Only the condition specified by Operation 1 obtains for *, .ABS., R_{10} , +.

Hence, .ABS. is replaced by R_7 , where R_7 is the triple O.ABS. R_{10} .

Now, R_{10} is removed from the list. After Pass 3, the list (l) reads:

<u>Index, i</u>	<u>Item_i</u>
0	+
1	F
2	=
3	A
4	+
5	B
6	*
7	R_7
8	Δ
9	Δ
10	Δ
11	Δ
12	Δ
13	+

f. Pass 4

Only the condition specified by Operation 2 obtains for +, B, *, R_7 , +.

Hence, * is replaced by R_6 , where R_6 is the triple $B*R_7$. Now B and R_7

are removed from the list. After Pass 4, the list (l) reads:

<u>Index, i</u>	<u>Item_i</u>
0	+
1	F
2	=
3	A

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<u>Index, i</u>	<u>Item_i</u>
4	+
5	Δ
6	R_6
7	Δ
8	Δ
9	Δ
10	Δ
11	Δ
12	Δ
13	+

g. Pass 5

Only the condition specified by Operation 2 obtains for =, A, +, R_6 , +. Hence, + is replaced by R_4 , where R_4 is the triple A + R_6 . Now A and R_6 are removed from the list. After the Pass 5, the list (1) reads:

<u>Index, i</u>	<u>Item_i</u>
0	+
1	F
2	=
3	Δ
4	R_4
5	Δ
6	Δ
7	Δ
8	Δ
9	Δ
10	Δ
11	Δ
12	Δ
13	+

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h. Pass 6

Only the condition specified by Operation 2 obtains for \vdash , F , $=$, R_4 , \dashv . Hence, $=$ is replaced by R_2 , where R_2 is the triple $F = R_4$. Now F and R_4 are removed from the list. After Pass 6, the list (1) reads:

<u>Index, i</u>	<u>Item_i</u>
0	\vdash
1	Δ
2	R_2
3	Δ
4	Δ
5	Δ
6	Δ
7	Δ
8	Δ
9	Δ
10	Δ
11	Δ
12	Δ
13	\dashv

i. Pass 7

Only the condition specified by Operation 4 obtains for \vdash , R_2 , \dashv . The list is emptied. By now the compilation scheme has generated the following triples in the order indicated:

<u>Index</u>	<u>Triples</u>		
R_{10}	C	\vdash	D
R_7	O	.ABS.	R_{10}
R_6	B	*	R_7
R_4	A	\dashv	R_6
R_2	F	=	R_4

This is effectively (3) and is read as:

$$\begin{aligned} F &= R_4 \\ &= A + R_6 \\ &= A + B * R_7 \\ &= A + B * .ABS. R_{10} \\ &= A + B * .ABS. (C + D) \end{aligned}$$

j. Conclusion

In this example, only one replacement statement was compiled. The compilation scheme is intended to compile many replacement statements simultaneously, and the relative speed advantage of parallel over sequential compilation increases, within machine capacity, with the number (and complexity) of statements to be compiled.

3. SIMULATION MODEL AND RESULTS

The compiler algorithm developed under Item 2 is designed to be implemented on a parallel processor. Since no such machine is available for checking out the algorithm on a sample problem, simulation of parallel compilation must be effected on a sequential machine. To effect the simulation, code PARCOM (parallel compilation) was written in FORTRAN IV for the IBM 1410. Code PARCOM executes the compilation algorithm in an effectively parallel fashion on a given set of replacement statements.

Code PARCOM operates as follows:

1. A sequence of replacement statements, such as (1), is read into the machine.
2. The symbols comprising the statements are examined and classified as variables, operators, or blanks; and precedences are assigned to the operators.

3. Tests specified by operations 1, 2, 3, and 4 in Figure X-1 are applied, in an effectively parallel fashion, to each of the replacement statements.
4. Based on the results of the tests, triples are formed and/or statement simplifications are made.
5. Steps 3 and 4 are repeated as necessary.

After each pass through the set of statements, code PARCOM prints out the triples formed during the pass and the resultant set of statements.

To check the algorithm, the following set of seven replacement statements was selected:

<u>Number</u>	<u>Statement</u>	
1	$F = D + X * [C + X * (B + A * X)] + R. P. . ABS. - S$	
2	$G = -B + (B * B - 4 * A * C). P. 2 / (2 * A)$	
3	$H = (A + B). P. [C * (D + E * P / . ABS. X)]$	
4	$I = A + B + C - D * E$	(4)
5	$U = F + G$	
6	$V = H * I$	
7	$W = -. ABS (U. P. V)$	

The results of applying the compilation algorithm to the set (4) are presented in Tables X-2 through X-13. These tables show (4) initially in the form of the list (2) and the results of successive passes. Table X-14 shows the entire list of triples generated.

As an example of how the triples represent the replacement statements, selected from Table X-14 are those triples into which Statement 1 was decomposed, namely:

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Index	Triple		
1	A	*	X
2	O	-	S
15	B	+	R ₁
16	O	.ABS.	R ₂
22	R	.P.	R ₁₆
27	X	*	R ₁₅
30	C	+	R ₂₇
35	X	*	R ₃₀
37	D	+	R ₃₅
40	R ₃₇	+	R ₂₂
42	F	=	R ₄₀

These combine as

$$\begin{aligned}
 F &= R_{40} \\
 &= R_{37} + R_{22} \\
 &= D + R_{35} + R. P. R_{16} \\
 &= D + X * R_{30} + R. P. . ABS. R_2 \\
 &= D + X * (C + R_{27}) + R. P. . ABS. - S \\
 &= D + X * (C + X * R_{15}) + R. P. . ABS. - S \\
 &= D + X * [C + X * (B + R_1)] + R. P. . ABS. - S \\
 &= D + X * [C + X * (B + A * X)] + R. P. . ABS. - S
 \end{aligned}$$

The last statement is Statement 1 from the set (4).

The triples generated on each pass correspond to basic arithmetic operations that can be performed at the time of the pass. Hence, the compilation algorithm generates triples suitable for parallel execution and provides a first approach to the recognition of low level parallelism within a source program.

TABLE X-2 - REPLACEMENT STATEMENT SET

Index	Resulting statement						
	1	2	3	4	5	6	7
1	+	+	+	+	+	+	+
2	F	G	H	I	U	V	W
3	=	=	=	=	=	=	=
4	D	((A	F	H	-
5	+	-	A	+	+	*	.ABS.
6	X	B	+	B	G	I	(
7	*	+	B	+	+	+	U
8	(()	C			.P.
9	C	B	.P.	-			V
10	+	*	(D)
11	X	B	C	*			+
12	*	-	*	E			
13	(4	(+			
14	B	*	D				
15	+	A	+				
16	A	*	E				
17	*	C	*				
18	X)	P				
19)	.P.	/				
20)	2	.ABS.				
21	+)	X				
22	R	/)				
23	.P.	()				
24	.ABS.	2	+				
25	-	*					
26	S	A					
27	+)					
28		+					

TABLE X-3 - RESULTS AFTER PASS 1

Index	Triples			Contributing statement
1	A	*	X	1
2	O	-	S	1
3	O	-	B	2
4	B	*	B	2
5	4	*	A	2
6	2	*	A	2
7	A	+	B	3
8	E	*	P	3
9	O	.ABS.	S	3
10	A	+	B	4
11	D	*	E	4
12	F	+	G	5
13	H	*	I	6
14	U	.P.	V	7

Index	Resulting statements						
	1	2	3	4	5	6	7
1	-	-	-	-	-	-	-
2	F	G	H	I	U	V	W
3	*	*	*	*	*	*	*
4	D	((Δ	Δ	Δ	-
5	+	R ₃	Δ	R ₁₀	R ₁₂	R ₁₃	.ABS.
6	X	Δ	R ₇	Δ	Δ	Δ	(
7	*	+	Δ	+	*	-	Δ
8	(()	C	-	-	R ₁₄
9	C	Δ	.P.	-	-	-	Δ
10	+	R ₁₄	(Δ	-	-)
11	X	Δ	C	R ₁₁	-	-	-
12	*	-	*	Δ	-	-	-
13	(Δ	(*	-	-	-
14	B	R ₃	D	-	-	-	-
15	*	Δ	+	-	-	-	-
16	Δ	*	Δ	-	-	-	-
17	R ₁	C	R ₈	-	-	-	-
18	Δ)	Δ	-	-	-	-
19)	.P.	/	-	-	-	-
20)	2	R ₉	-	-	-	-
21	+)	Δ	-	-	-	-
22	R	/)	-	-	-	-
23	.P.	()	-	-	-	-
24	.ABS.	Δ	-	-	-	-	-
25	R ₂	R ₅	-	-	-	-	-
26	Δ	Δ	-	-	-	-	-
27	-)	-	-	-	-	-
28	-	-	-	-	-	-	-

NOTE: Δ denotes blank.

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TABLE X-4 - RESULTS AFTER PASS 2

Index	Triples			Contributing statement
15	B	+	R ₁	1
16	O	.ABS.	R ₂	1
17	R ₅	*	C	2
18	R ₈	/	R ₉	3
19	R ₁₀	+	C	4
20	U	=	R ₁₂	5
21	V	*	R ₁₃	6

Index	Resulting statements						
	1	2	3	4	5	6	7
1	▷	▷	▷	▷	▷	▷	▷
2	F	G	H	I	Δ	Δ	W
3	=	=	=	=	R ₂₀	R ₂₁	=
4	D	(Δ	Δ	Δ	Δ	-
5	+	R ₃	Δ	Δ	Δ	Δ	.ABS.
6	X	Δ	R ₇	Δ	Δ	Δ	Δ
7	*	+	Δ	R ₁₉	-	-	Δ
8	((Δ	Δ			R ₁₄
9	C	Δ	.P.	-			Δ
10	+	R ₄	(Δ			Δ
11	X	Δ	C	R ₁₁			-
12	*	-	+	Δ			
13	(Δ	(-			
14	Δ	Δ	D				
15	R ₁₅	Δ	+				
16	Δ	R ₁₇	Δ				
17	Δ	Δ	Δ				
18	Δ)	Δ				
19)	.P.	R ₁₈				
20)	2	Δ				
21	+)	Δ				
22	R	/)				
23	.P.	Δ)				
24	R ₁₆	Δ	-				
25	Δ	R ₆					
26	Δ	Δ					
27	-	Δ					
28		-					

NOTE: Δ denotes blank.

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TABLE X-5 - RESULTS AFTER PASS 3

Index	Triples			Contributing statement
22	R	. P.	R ₁₆	1
23	R ₄	-	R ₁₇	2
24	D	+	R ₁₈	3
25	R ₁₉	-	R ₁₁	4
26	O	. ABS.	R ₁₄	7

Index	Resulting statements						
	1	2	3	4	5	6	7
1	▷	▷	▷	▷	Δ	Δ	▷
2	F	G	H	I	Δ	Δ	W
3	=	=	=	=	Δ	Δ	=
4	D	(Δ	Δ	Δ	Δ	-
5	+	R ₃	Δ	Δ	Δ	Δ	R ₂₆
6	X	Δ	R ₇	Δ	Δ	Δ	Δ
7	*	+	Δ	Δ	Δ	Δ	Δ
8	((Δ	Δ			Δ
9	C	Δ	. P.	R ₂₅			Δ
10	+	Δ	(Δ			Δ
11	X	Δ	C	Δ			Δ
12	*	R ₂₃	*	Δ			Δ
13	Δ	Δ	(Δ			Δ
14	Δ	Δ	Δ				Δ
15	R ₁₅	Δ	R ₂₄				Δ
16	Δ	Δ	Δ				Δ
17	Δ	Δ	Δ				Δ
18	Δ)	Δ				Δ
19	Δ	. P.	Δ				Δ
20)	-	Δ				Δ
21	+)	Δ				Δ
22	Δ	/)				Δ
23	R ₂₂	Δ)				Δ
24	Δ	Δ	Δ				Δ
25	Δ	R ₆					Δ
26	Δ	Δ					Δ
27	Δ	Δ					Δ
28	Δ	Δ					Δ

NOTE: Δ denotes blank.

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TABLE X-6 - RESULTS AFTER PASS 4

Index	Triples			Contributing statement
	X	*	R ₁₅	
27	X	*	R ₁₅	1
28	I	=	R ₂₅	4
29	O	-	R ₂₆	7

Resulting statements							
Index	1	2	3	4	5	6	7
1	+	-	-	+	Δ	Δ	+
2	F	G	H	Δ	Δ	Δ	W
3	=	=	=	R ₂₈	Δ	Δ	=
4	D	(Δ	Δ	Δ	Δ	R ₂₉
5	+	R ₃	Δ	Δ	Δ	Δ	Δ
6	X	Δ	R ₇	Δ	Δ	Δ	Δ
7	*	+	Δ	Δ	Δ	Δ	Δ
8	(Δ	Δ	Δ			Δ
9	C	Δ	.P.	Δ			Δ
10	+	Δ	(Δ			Δ
11	Δ	Δ	C	Δ			Δ
12	R ₂₇	R ₂₃	*	Δ			Δ
13	Δ	Δ	Δ	Δ			Δ
14	Δ	Δ	Δ	Δ			Δ
15	Δ	Δ	R ₂₄	Δ			Δ
16	Δ	Δ	Δ	Δ			Δ
17	Δ	Δ	Δ	Δ			Δ
18	Δ	Δ	Δ	Δ			Δ
19	Δ	.P.	Δ	Δ			Δ
20)	2	Δ	Δ			Δ
21	+)	Δ	Δ			Δ
22	Δ	/	Δ	Δ			Δ
23	R ₂₂	Δ)	Δ			Δ
24	Δ	Δ	Δ	Δ			Δ
25	Δ	R ₆	Δ	Δ			Δ
26	Δ	Δ	Δ	Δ			Δ
27	Δ	Δ	Δ	Δ			Δ
28	Δ	Δ	Δ	Δ			Δ

NOTE: Δ denotes blank.

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TABLE X-7 - RESULTS AFTER PASS 5

Index	Triples			Contributing statement
30	C	+	R ₂₇	1
31	R ₂₃	.P.	2	2
32	C	*	R ₂₄	3
33	W	=	R ₂₉	7

Index	Resulting statements						
	1	2	3	4	5	6	7
1	▷	▷	▷	Δ	Δ	Δ	▷
2	F	G	H	Δ	Δ	Δ	Δ
3	=	=	=	Δ	Δ	Δ	R ₃₃
4	D	(Δ	Δ	Δ	Δ	Δ
5	+	R ₃	Δ	Δ	Δ	Δ	Δ
6	X	Δ	R ₇	Δ	Δ	Δ	Δ
7	*	+	Δ	Δ	Δ	Δ	Δ
8	(Δ	Δ	Δ			Δ
9	Δ	Δ	.P.	Δ			Δ
10	R ₃₀	Δ	(Δ			Δ
11	Δ	Δ	Δ	Δ			Δ
12	Δ	Δ	R ₃₂	Δ			
13	Δ	Δ	Δ	Δ			
14	Δ	Δ	Δ				
15	Δ	Δ	Δ				
16	Δ	Δ	Δ				
17	Δ	Δ	Δ				
18	Δ	Δ	Δ				
19	Δ	R ₃₁	Δ				
20)	Δ	Δ				
21	+)	Δ				
22	Δ	/	Δ				
23	R ₂₂	Δ)				
24	Δ	Δ	Δ				
25	Δ	R ₆	Δ				
26	Δ	Δ	Δ				
27	Δ	Δ	Δ				
28	Δ	Δ	Δ				

NOTE: Δ denotes blank.

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TABLE X-8 - RESULTS AFTER PASS 6

Index	Triples			Contributing statement			
	R ₃	+	R ₃₁	2			
Resulting statements							
Index	1	2	3	4	5	6	7
1	▷	▷	▷	Δ	Δ	Δ	Δ
2	F	G	H	Δ	Δ	Δ	Δ
3	=	=	=	Δ	Δ	Δ	Δ
4	D	(Δ	Δ	Δ	Δ	Δ
5	+	Δ	Δ	Δ	Δ	Δ	Δ
6	X	Δ	R ₇	Δ	Δ	Δ	Δ
7	*	R ₃₄	Δ	Δ	Δ	Δ	Δ
8	Δ	Δ	Δ	Δ			Δ
9	Δ	Δ	.P.	Δ			Δ
10	R ₃₀	Δ	Δ	Δ			Δ
11	Δ	Δ	Δ	Δ			Δ
12	Δ	Δ	R ₃₂	Δ			
13	Δ	Δ	Δ	Δ			
14	Δ	Δ	Δ				
15	Δ	Δ	Δ				
16	Δ	Δ	Δ				
17	Δ	Δ	Δ				
18	Δ	Δ	Δ				
19	Δ	Δ	Δ				
20	Δ	Δ	Δ				
21	+)	Δ				
22	Δ	/	Δ				
23	R ₂₂	Δ	Δ				
24	Δ	Δ	Δ				
25	Δ	R ₆					
26	Δ	Δ					
27	+	Δ					
28		Δ					

NOTE: Δ denotes blank.

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TABLE X-9 - RESULTS AFTER PASS 7

Index	Triples			Contributing statement			
	X	*	R ₃₀	1			
35	X	*	R ₃₀	1			
36	R ₇	.F.	R ₃₂	3			
Resulting statements							
Index	1	2	3	4	5	6	7
1	r	r	r	Δ	Δ	Δ	Δ
2	F	G	H	Δ	Δ	Δ	Δ
3	=	=	=	Δ	Δ	Δ	Δ
4	D	Δ	Δ	Δ	Δ	Δ	Δ
5	+	Δ	Δ	Δ	Δ	Δ	Δ
6	Δ	Δ	Δ	Δ	Δ	Δ	Δ
7	R ₃₅	R ₃₄	Δ	Δ	Δ	Δ	Δ
8	Δ	Δ	Δ	Δ			Δ
9	Δ	Δ	R ₃₆	Δ			Δ
10	Δ	Δ	Δ	Δ			Δ
11	Δ	Δ	Δ	Δ			Δ
12	Δ	Δ	Δ	Δ			
13	Δ	Δ	Δ	Δ			
14	Δ	Δ	Δ				
15	Δ	Δ	Δ				
16	Δ	Δ	Δ				
17	Δ	Δ	Δ				
18	Δ	Δ	Δ				
19	Δ	Δ	Δ				
20	Δ	Δ	Δ				
21	+	Δ	Δ				
22	Δ	/	Δ				
23	R ₂₂	Δ	Δ				
24	Δ	Δ	.				
25	Δ	R ₆					
26	Δ	Δ					
27	.	Δ					
28		.					

NOTE: Δ denotes blank.

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TABLE X-10 - RESULTS AFTER PASS 8

Index	Triples			Contributing statement			
37	D	+	R ₃₅	1			
38	R ₃₄	/	R ₆	2			
39	H	=	R ₃₆	3			
Resulting statements							
index	1	2	3	4	5	6	7
1	▷	▷	▷	Δ	Δ	Δ	Δ
2	F	G	Δ	Δ	Δ	Δ	Δ
3	=	=	R ₃₉	Δ	Δ	Δ	Δ
4	Δ	Δ	Δ	Δ	Δ	Δ	Δ
5	R ₃₇	Δ	Δ	Δ	Δ	Δ	Δ
6	Δ	Δ	Δ	Δ	Δ	Δ	Δ
7	Δ	Δ	Δ	Δ	Δ	Δ	Δ
8	Δ	Δ	Δ	Δ			Δ
9	Δ	Δ	Δ	Δ			Δ
10	Δ	Δ	Δ	Δ			Δ
11	Δ	Δ	Δ	Δ			Δ
12	Δ	Δ	Δ	Δ			
13	Δ	Δ	Δ	Δ			
14	Δ	Δ	Δ				
15	Δ	Δ	Δ				
16	Δ	Δ	Δ				
17	Δ	Δ	Δ				
18	Δ	Δ	Δ				
19	Δ	Δ	Δ				
20	Δ	Δ	Δ				
21	+	Δ	Δ				
22	Δ	R ₃₈	Δ				
23	R ₂₂	Δ	Δ				
24	Δ	Δ	-				
25	Δ	Δ					
26	Δ	Δ					
27	-	Δ					
28		-					

NOTE: Δ denotes blank.

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TABLE X-11 - RESULTS AFTER PASS 9

Index	Triples			Contributing statement
	40	R ₃₇	+	R ₂₂
41	G	=	R ₃₈	2

Resulting statements							
Index	1	2	3	4	5	6	7
1	r	r	Δ	Δ	Δ	Δ	Δ
2	F	Δ	Δ	Δ	Δ	Δ	Δ
3	=	R ₄₁	Δ	Δ	Δ	Δ	Δ
4	Δ	Δ	Δ	Δ	Δ	Δ	Δ
5	Δ	Δ	Δ	Δ	Δ	Δ	Δ
6	Δ	Δ	Δ	Δ	Δ	Δ	Δ
7	Δ	Δ	Δ	Δ	Δ	Δ	Δ
8	Δ	Δ	Δ	Δ	Δ	Δ	Δ
9	Δ	Δ	Δ	Δ	Δ	Δ	Δ
10	Δ	Δ	Δ	Δ	Δ	Δ	Δ
11	Δ	Δ	Δ	Δ	Δ	Δ	Δ
12	Δ	Δ	Δ	Δ	Δ	Δ	Δ
13	Δ	Δ	Δ	Δ	Δ	Δ	Δ
14	Δ	Δ	Δ	Δ	Δ	Δ	Δ
15	Δ	Δ	Δ	Δ	Δ	Δ	Δ
16	Δ	Δ	Δ	Δ	Δ	Δ	Δ
17	Δ	Δ	Δ	Δ	Δ	Δ	Δ
18	Δ	Δ	Δ	Δ	Δ	Δ	Δ
19	Δ	Δ	Δ	Δ	Δ	Δ	Δ
20	Δ	Δ	Δ	Δ	Δ	Δ	Δ
21	R ₄₀	Δ	Δ	Δ	Δ	Δ	Δ
22	Δ	Δ	Δ	Δ	Δ	Δ	Δ
23	Δ	Δ	Δ	Δ	Δ	Δ	Δ
24	Δ	Δ	Δ	Δ	Δ	Δ	Δ
25	Δ	Δ	Δ	Δ	Δ	Δ	Δ
26	Δ	Δ	Δ	Δ	Δ	Δ	Δ
27	-	Δ	Δ	Δ	Δ	Δ	Δ
28	-	-	Δ	Δ	Δ	Δ	Δ

NOTE: Δ denotes blank

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TABLE X-12 - RESULTS AFTER PASS 10

Index	Triples			Contributing statement			
	F	=	R ₄₀	1			
	Resulting statements						
Index	1	2	3	4	5	6	7
1	▷	Δ	Δ	Δ	Δ	Δ	Δ
2	Δ	Δ	Δ	Δ	Δ	Δ	Δ
3	R ₄₂	Δ	Δ	Δ	Δ	Δ	Δ
4	Δ	Δ	Δ	Δ	Δ	Δ	Δ
5	Δ	Δ	Δ	Δ	Δ	Δ	Δ
6	Δ	Δ	Δ	Δ	Δ	Δ	Δ
7	Δ	Δ	Δ	Δ	Δ	Δ	Δ
8	Δ	Δ	Δ	Δ			Δ
9	Δ	Δ	Δ	Δ			Δ
10	Δ	Δ	Δ	Δ			Δ
11	Δ	Δ	Δ	Δ			Δ
12	Δ	Δ	Δ	Δ			
13	Δ	Δ	Δ	Δ			
14	Δ	Δ	Δ				
15	Δ	Δ	Δ				
16	Δ	Δ	Δ				
17	Δ	Δ	Δ				
18	Δ	Δ	Δ				
19	Δ	Δ	Δ				
20	Δ	Δ	Δ				
21	Δ	Δ	Δ				
22	Δ	Δ	Δ				
23	Δ	Δ	Δ				
24	Δ	Δ	Δ				
25	Δ	Δ					
26	Δ	Δ					
27	Δ	Δ					
28		Δ					

NOTE: Δ denotes blank

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TABLE X-13 - RESULTS AFTER PASS 11

Index	Triples			Contributing statement			
None	None			None			
Resulting statements							
Index	1	2	3	4	5	6	7
1	Δ	Δ	Δ	Δ	Δ	Δ	Δ
2	Δ	Δ	Δ	Δ	Δ	Δ	Δ
3	Δ	Δ	Δ	Δ	Δ	Δ	Δ
4	Δ	Δ	Δ	Δ	Δ	Δ	Δ
5	Δ	Δ	Δ	Δ	Δ	Δ	Δ
6	Δ	Δ	Δ	Δ	Δ	Δ	Δ
7	Δ	Δ	Δ	Δ	Δ	Δ	Δ
8	Δ	Δ	Δ	Δ			Δ
9	Δ	Δ	Δ	Δ			Δ
10	Δ	Δ	Δ	Δ			Δ
11	Δ	Δ	Δ	Δ			Δ
12	Δ	Δ	Δ	Δ			
13	Δ	Δ	Δ	Δ			
14	Δ	Δ	Δ				
15	Δ	Δ	Δ				
16	Δ	Δ	Δ				
17	Δ	Δ	Δ				
18	Δ	Δ	Δ				
19	Δ	Δ	Δ				
20	Δ	Δ	Δ				
21	Δ	Δ	Δ				
22	Δ	Δ	Δ				
23	Δ	Δ	Δ				
24	Δ	Δ	Δ				
25	Δ	Δ					
26	Δ	Δ					
27	Δ	Δ					
28		Δ					

NOTE: Δ denotes blank.

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TABLE X-14 - TRIPLE SUMMARY

Index	Triples			Contributing statement
1	A	*	X	1
2	O	-	S	1
3	O	-	B	2
4	B	*	B	2
5	4	*	A	2
6	2	*	A	2
7	A	+	E	3
8	E	*	P	3
9	O	.ABS.	X	3
10	A	+	B	4
11	D	*	E	4
12	F	+	G	5
13	H	*	I	6
14	U	.P.	V	7
15	B	+	R ₁	1
16	O	.ABS.	R ₂	1
17	R ₅	*	C	2
18	R ₈	/	R ₉	3
19	R ₁₀	+	C	4
20	U	=	R ₁₂	5
21	Y	=	R ₁₃	6
22	R	.P.	R ₁₆	1
23	R ₄	-	R ₁₇	2
24	D	+	R ₁₈	3
25	R ₁₉	-	R ₁₁	4
26	O	.ABS.	R ₁₄	7
27	X	*	R ₁₅	1
28	I	=	R ₂₅	4
29	O	-	R ₂₆	7
30	C	+	R ₂₇	1
31	R ₂₃	.P.	3	2
32	C	*	R ₂₄	3
33	W	*	R ₂₉	7
34	R ₃	+	R ₃₁	2
35	X	*	R ₃₀	1
36	R ₇	.P.	R ₃₂	3
37	D	+	R ₃₅	1
38	R ₃₄	/	R ₆	2
39	H	=	R ₃₆	3
40	R ₃₇	*	R ₂₂	1
41	O	*	R ₃₈	2
42	F	*	R ₄₀	1

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The compilation algorithm may generate triples involving variables not available at the time the triples are formed. For example, Pass 1 generated these triples:

<u>Index</u>	<u>Triple</u>		
12	F	+	G
13	H	*	I
14	U	.P.	V

Now the resultant for Triple 12 [13] cannot be calculated until Statements 1 and 2 [3 and 4] are executed. Similarly, the resultant for Triple 14 cannot be calculated until Statements 5 and 6 are executed.

This "premature" generation of triples should pose no problem in the parallel processor, since Machine I (Appendix III) provides a "compute on availability" option. That is, if the quantity $A + B$ is to be computed, the machine will delay the computation until such time as A and B are available.

APPENDIX XI - FURTHER NOTES ON PARALLEL COMPILATION

1. INTRODUCTION

The notion of parallel compilation is discussed and an algorithm for effecting it is presented in Appendix X. Subsequent attempts to implement this algorithm on a parallel processor (Appendices VI and XV) revealed the desirability of modifying it because implementation of the algorithm in its present form may require the initiation of an excessive number of parallel processor "tasks" (Appendixes XIV and XV) and lead to extremely cumbersome control programs.

In this appendix, three modifications of the parallel compilation algorithm are suggested. The first involves the translation of MAD^{1, a} statements into reverse Polish notation² and testing for triple formation in parallel with input operations. In the second, the tests for triple formation are selectively applied as compilation progresses. In the third the form of the algorithm is changed.

Throughout this appendix, the class of MAD statements considered is restricted to replacement type statements involving nonsubscripted variables.

For a review of parallel compilation, see Appendix X.

2. PROBLEMS OF IMPLEMENTATION

The parallel compilation algorithm described in Appendix X specifies a sequence of passes in which the concurrent application of a set of tests to a list of replacement statements written in the MAD language results in all possible triple formations and/or statement simplifications. The algorithm, as presented in Figure X-1 of Appendix X, suggests that application of all the tests to all possible sequences of contiguous items

^a Superior numbers in the text refer to items in the List of References, Item 5, Page 283.

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taken 3, 4, and 5 at a time. Investigation, however, reveals that in a computer implementation of the algorithm such a procedure would be wasteful of machine capacity. As a case in point, consider the expression

$$F = A + B * .ABS. (C + D) . \quad (1)$$

On the first pass over (1), the algorithm would combine C, +, D into a triple, say R_1 . Clearly, on the next pass it is futile to look at items preceding the sequence (, R_1 ,) in the hope of obtaining triple formations and/or statement simplifications. Hence, to assign machine capacity to such testing is wasteful.

While this potentially wasteful testing is easily recognized, its remedy is not. Just how one might program a parallel processor to test those (and only those!) contiguous sequences of items where the specified conditions may obtain is a problem dealt with in Item 3, below.

Yet another problem incident to the implementation of the algorithm stems from the fact that the several tests, being of different complexity, require different amounts of time for machine execution.

This disparity of execution time leads to some rather severe programming problems associated with triple formation and/or statement simplification and maintenance of a valid item list. These programming problems should not be attributed entirely to the form of the parallel compilation algorithm; much of the difficulty of program construction is due to the fact that both the parallel processor and its associated programming language are radically new and there exists but little experience on which to draw in the construction of programs.

As a means of obviating the problems mentioned above, the following possibilities were considered: preliminary translation of input statements to reverse Polish notation, and innovations in the utilization of the parallel processor programming language. Both possibilities were investigated with fruitful results. An unexpected result of the investigation was the

development of a completely new form of the compilation algorithm. The results are detailed in Item 3.

3. SUGGESTED MODIFICATIONS

a. General

In this section, two methods of implementing the parallel compilation algorithm on the parallel processor are discussed. The first method involves the conversion of MAD statements into reverse Polish notation (RPN); the second involves programming innovations. Subsequently, a restructuring of the parallel compilation algorithm that offers greatly increased speed of execution is presented.

b. Reverse Polish Notation (RPN)

Polish notation refers to a method of representing logical formulas developed by a Polish mathematician, J. Lukasiewicz.³ The notation provides an unambiguous sequential specification of the order of execution of logical and arithmetic operations without the use of parentheses. The particular form of Polish notation used here is RPN. In RPN, operators are written after the operands on which they operate. A necessary condition for the use of RPN is that each operator be associated with a definite number of operands. For example, $A + B$ would be written in RPN as $AB+$ where $+$ would be understood to operate on the two operands immediately preceding it (A and B). Operands may in fact be the results of operations. For example, the expression $A+B*C$ is written in RPN as $ABC*+$ where $*$ operates on B and C , giving $B*C$, and the $+$ operates on A and $BC*$, giving $A+B*C$. The ability of RPN to obviate the use of parentheses is seen by considering the expression $(A + B)*C$, which would be written as $AB + C*$.

Implementation of the parallel compilation algorithm may be facilitated by first translating MAD statements into RPN and then constructing triples from the RPN representation. The MAD statement (1):

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$$F = A + B * . ABS. (C + D) ,$$

would appear in RPN as

$$FABCD + . ABS. * + = \tag{2}$$

which, for purposes of compilation, would be interpreted as

R_1	$CD+$	$C + D$
R_2	$R_1 . ABS.$	$0 . ABS. R_1$
R_3	BR_2^*	$B * R_2$
R_4	AR_3^+	$A + R_3$
R_5	$FR_4^=$	$F = R_4$

which is read as

$$\begin{aligned}
 F &= R_4 \\
 &= A + R_3 \\
 &= A + B * R_2 \\
 &= A + B * . ABS. R_1 \\
 &= A + B * . ABS. (C + D)
 \end{aligned}$$

which is just (1).

Figure XI-1 gives a flow chart that specifies a method of translating MAD statements into RPN. The method depends upon the specification of precedences for operators and the use of a stack. Table XI-1 gives the required precedences. Note that these precedences differ slightly from those of Table I in Appendix X in that it includes operators allowing the translation of statements involving logical operations.

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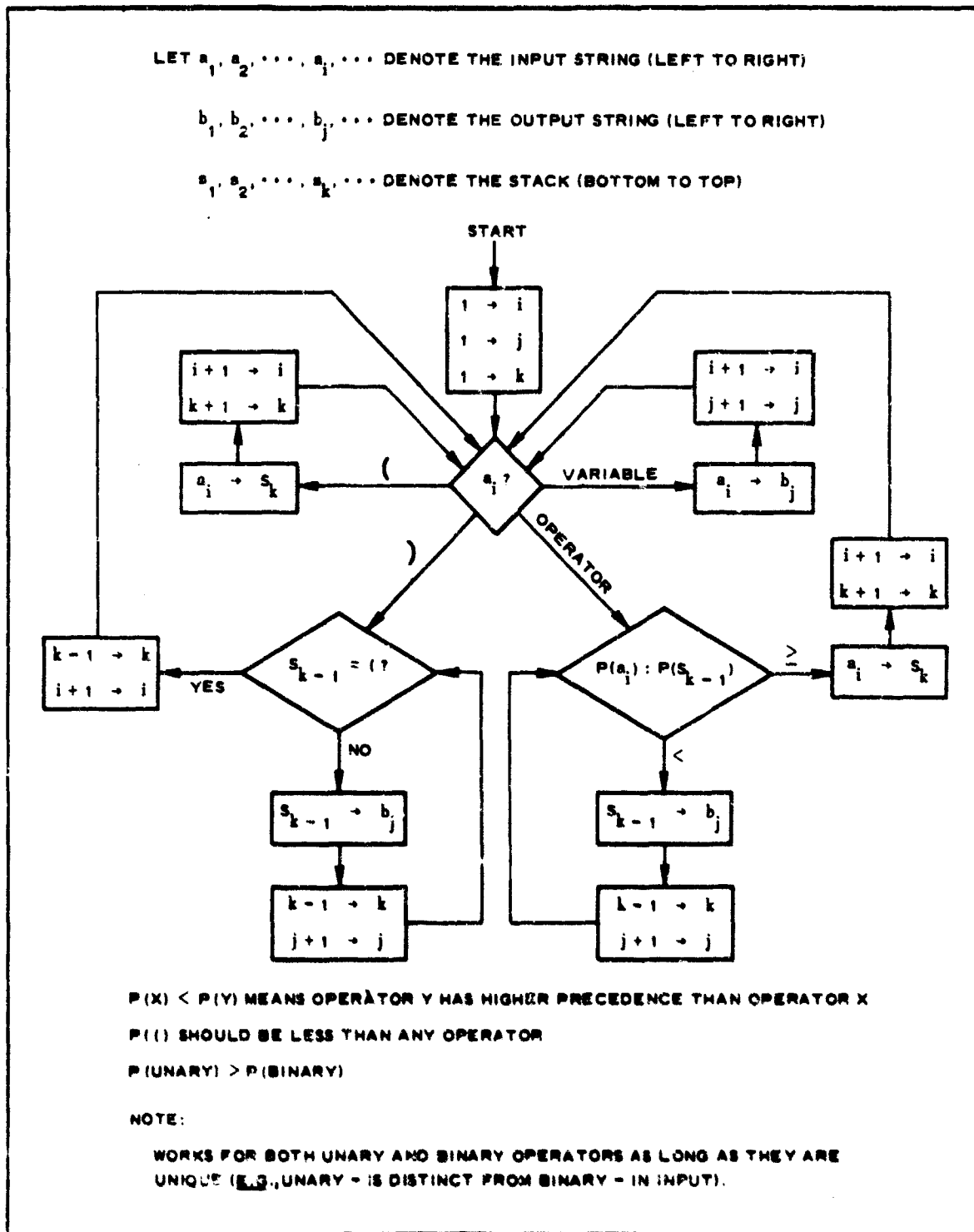


Figure XI-1 - Translation from MAD to Reverse Polish Notation

**TABLE XI-1 - PRECEDENCE HIERARCHY FOR
RPN TRANSLATION**

Operator	Description	Precedence
.ABS., -u	Absolute value, unary minus	Highest ↓ Lowest
.P.	Exponentiation	
*, /	Multiplication, division	
+, -	Addition, subtraction	
<, ≤, >, ≥, ≠, =	Relations (with usual interpretations)	
⌈	Not	
∧	And	
∨	Or	
:=	Equals (substitution)*	
⌈, ⌋, (,)	Begin statement, and statement, open parenthesis, close parenthesis	

* In the accompanying discussion only := occurs and is written as =

The translation proceeds basically as follows. Items are taken, left to right, from the input string. If an item is a variable, it is added, in a left-to-right fashion, to the output string. If an item is an operator, its precedence is compared with the precedence of the topmost item on the stack. If the precedence of the input item is greater than or equal to the precedence of the stack item, the input item is added to the top of the stack; otherwise, the input item "sinks" down the stack until it encounters a stack item whose precedence is no greater than that of the input item. As an input item sinks in the stack, those stack items whose precedences exceed that of the input item are removed from the top of the stack and added to the output string.

Parentheses require special comment. An open parenthesis, when encountered, is placed on the top of the stack. A close parenthesis, when encountered, sinks in the stack until a stacked open-parenthesis is encountered at which point both are removed from further consideration. Those stack items past which a close parenthesis sinks are added to the output string.

Certain comments are also in order regarding relative precedences of unary and binary operators involved in the translation process. It is necessary, first of all, for unary operators to have higher precedence than binary operators. Consider the following cases. The string $a \cdot P \cdot b \cdot P \cdot c$ may be interpreted either as a^{bc} or $a^b{}^c$, the choice being determined by the direction of scan (left to right or right to left) of the input string and the placement of the equality sign on the paths out of the precedence test box for operators (see Figure XI-1). But the string $a + B^* \cdot ABS \cdot C$ admits of only one interpretation, namely $a + (b^*(\cdot ABS \cdot (c)))$. With the precedence of unary operators greater than those of binary operators, the expression $a + b^* \cdot ABS \cdot C$ would translate, correctly, into RPN as $abc \cdot ABS \cdot *+$. However, if the unary operation had lower precedence, the translation would produce, incorrectly, $abc^* + \cdot ABS$, which implies $\cdot ABS \cdot (a + b^*c)$.

Further, all unary operators should be of equal precedence. Suppose one had to translate an input string $\dots B_1 U_1 U_2 \dots U_n V B_2 \dots$ where the B's represent binary operators, the U's unary operators, and V a variable. (Many unary operators are possible; for example, $\sqrt{\quad}$, $\cdot ABS$, \sin , \log , etc.) Correct translation requires that the output string appear in RPN as $\dots V U_n U_{n-1} \dots U_1 \dots$ which, in turn, requires that the unary operators have equal precedence.

The process of parallel compilation utilizes RPN in the following fashion. As MAD statements are read into the parallel processor, they are converted into RPN. As soon as an operator is inserted into the RPN string, the parallel processor begins the formation of the

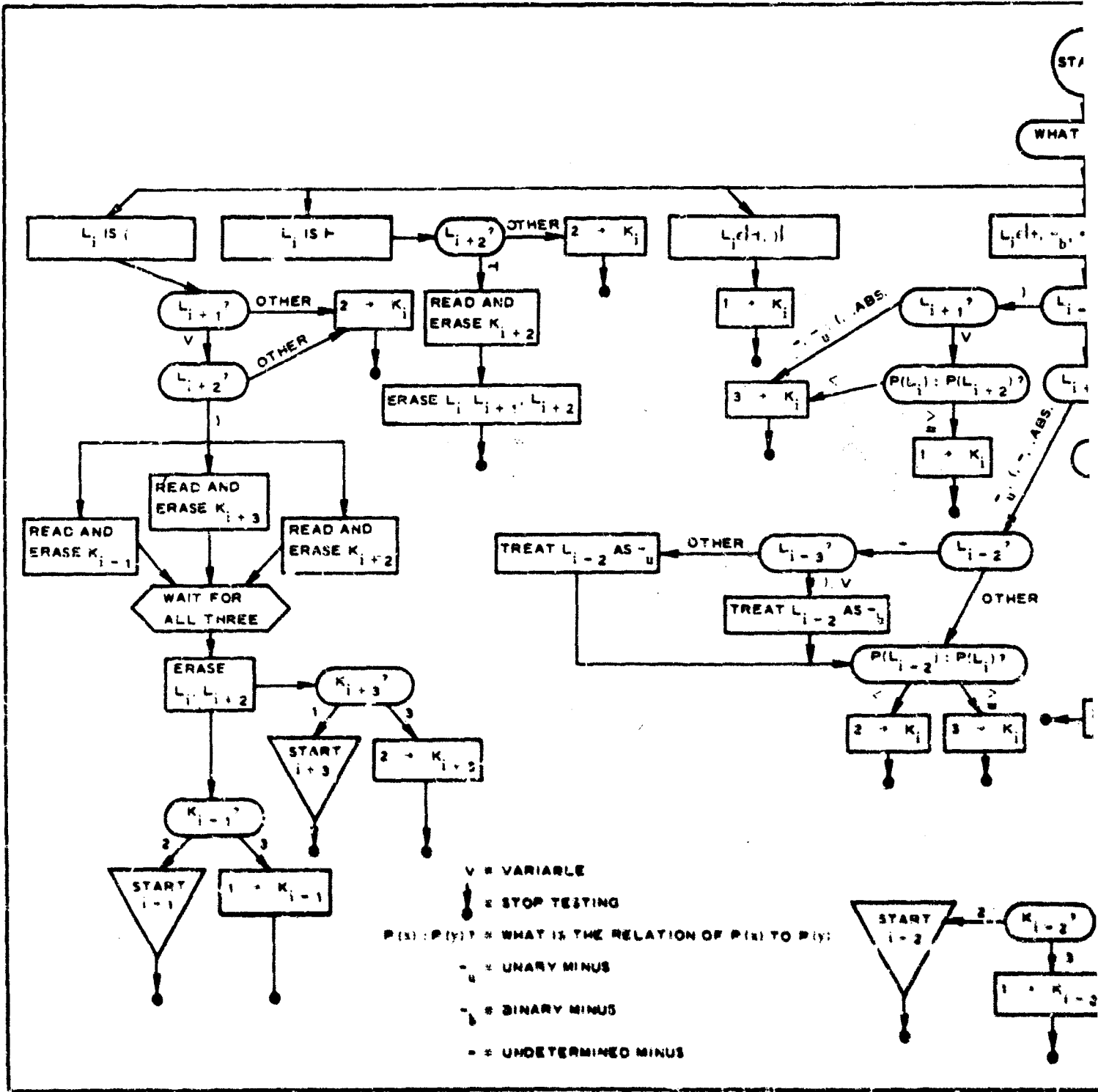
corresponding triple while continuing the read-in process. This procedure allows compilation to proceed in parallel with input.

The use of RPN in the compilation procedure, as outlined above, greatly simplifies the task of the programmer in implementing the compilation algorithm on a parallel processor. However, the use of RPN in the implementation of the compilation algorithm seems unavoidably to result in a parallel processor machine program that is rather slow when applied to a single statement. The slowness of a program using RPN is due mainly to the sequential nature of the translation process and the well-known inefficiency accompanying the constraint of the parallel processor to a single sequential mode of operation. Although the effects of this can be mitigated by the concurrent compilation of many statements on the parallel processor, further efforts were made to discover a simple, easily programmed method of implementing the compiler algorithm that would be free of slowness due to inherent sequential characteristics. Some results of these efforts follow.

c. Programming Innovations

As pointed out in Item 2 above, initial attempts to implement the parallel compilation algorithm met with severe programming problems which in turn led to the use of RPN in the compilation process. But the use of RPN was not wholly satisfactory. Hence, renewed efforts were made to construct an efficient compiler program free of the sequential limitations inherent in the use of RPN. The result was an implementation whose flow diagram appears in Figure XI-2.

The implementation of the compilation algorithm as given in this illustration proceeds as follows. Reading left to right, the i^{th} item in a MAD replacement statement is denoted by L_i ; with each L_i is associated a number, K_i , which may be 1, 2, or 3. To each L_i is assigned a parallel processor task (the tasks proceed in parallel) that determines whether or not L_i may be used in triple formation or statement



A

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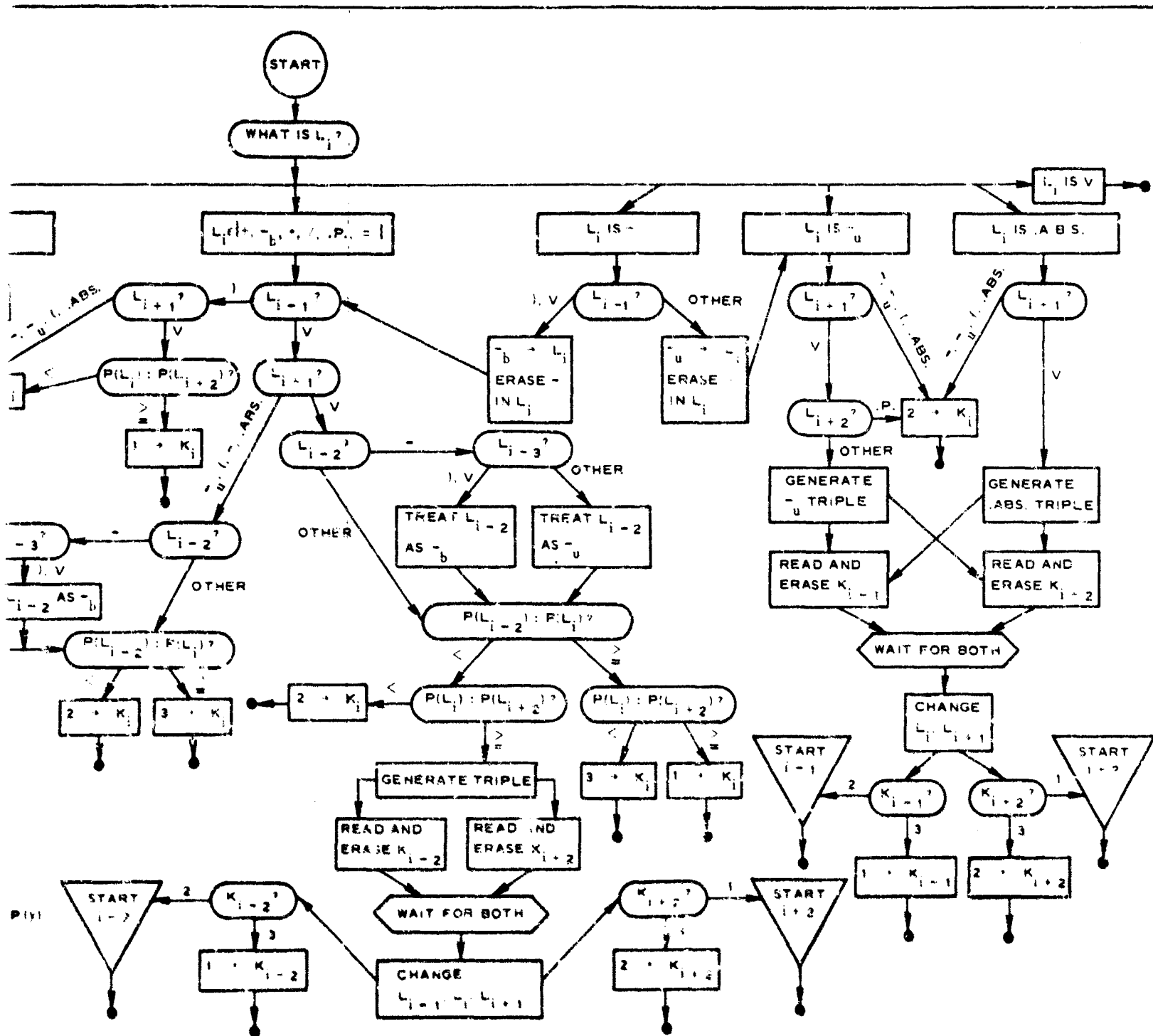


Figure XI-2 - Flow Chart for Parallel Compilation

B

simplification, tasks assigned to variables or resultants of triples are suspended; tasks assigned to operators form triples or statement simplifications if test conditions are met. If test conditions fail to be met, the task detects whether failure is due to inappropriate items on the left side, the right side, or both sides. This information is stored in K_i and used to re-initiate testing whenever changes are made in items immediately to the left, right, or both sides. $K_i = 1, 2,$ or 3 indicates blocking on the left, right, or both, respectively.

NOTE: In Figure XI-2, the precedences of Table XI-1 are used.

$L_{i \pm j}$ denotes the item j places to the right (+) or left (-) of L_i ; that is, "blanks" generated by triple formation and/or statement simplification are ignored.

The implementation of the parallel compiler algorithm specified by Figure XI-2 offers a solution to a problem posed in Item 2, namely: How does one program a parallel processor to test those and only those contiguous sequences of items where specified test conditions may obtain. This, of course, allows economical use of machine capacity in that all futile testing is avoided and tasks are assigned only to those items where triple formation and/or statement simplification is occurring. Further, the control features given in Figure XI-2 (for example, the "wait for both" boxes) allow the maintenance of a valid and unambiguous item list throughout compilation.

Although the method of Figure XI-2 appears to offer an efficient, easily programmed implementation of the parallel compilation algorithm, preliminary timing estimates indicate that it is not significantly faster than the RPN method.

d. Restructuring of the Algorithm

An examination of the compiler algorithm reviewed in Appendix X reveals that total parallelism of compilation has not been achieved. The reason is as follows: Although the algorithm specifies on each pass

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the concurrent execution of all possible triple formations and/or statement simplifications, the procedure is limited in that it examines only contiguous sequences of items taken 3, 4, or 5 at a time. Hence, several sequential passes of the algorithm are necessary to complete compilation. This limitation is also present in the modifications described above.

The question arises as to whether or not an algorithm can be developed that will concurrently examine each item of a list in terms of all other items with which it may ultimately be associated in the compilation process, and specify triple formation and/or statement simplification in a fashion that achieves optimal compilation speeds. This question is now considered.

Consider the MAD statement,

$$Z = (A + B)*C + D*((E*F + G)*H + I) , \quad (3)$$

and the precedence assignment

<u>Symbol</u>	<u>Precedence</u>
Variable	3 + 4N
*	2 + 4N
+	1 + 4N
=	0 + 4N

(4)

where N denotes the number of parentheses sets enclosing the symbol.

Using the effective precedence due to parentheses inclusion, (3) can be written in a list as

<u>Symbol</u>	<u>Precedence</u>	<u>Symbol</u>	<u>Precedence</u>
Z	3	+	5
=	0	B	7
A	7	*	2

(5)

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<u>Symbol</u>	<u>Precedence</u>	<u>Symbol</u>	<u>Precedence</u>
C	3	+	9
+	1	G	11
D	3	*	6
*	2	H	7
E	11	+	5
*	10	I	7
F	11		

(5)

The list (5) may be interpreted in graphical form as shown in Figure XI-3. It will be noted in this graph how precedence modification, due to parentheses inclusion, separates groups of symbols on the basis of parenthetical grouping and obviates the need of further retention of parentheses. The beginning and end points of the statement are arbitrarily assigned a precedence of $-\infty$.

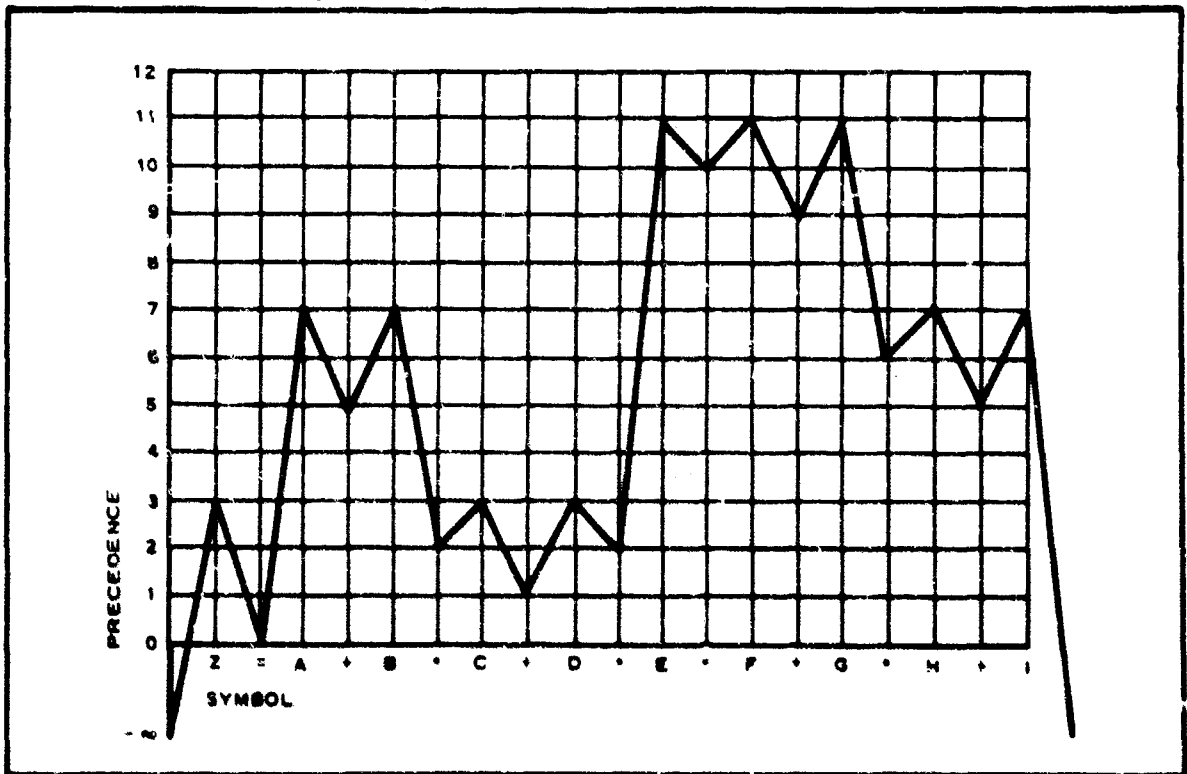


Figure XI-3 - Graphical Interpretation of List (5)

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In the process of compilation, each triple is formed from two ordered variables (or resultants) and a binary operator (one variable or resultant and a unary operator). Consider a single variable that is preceded, and followed, by binary operators. In triple formation, this variable will be included in the triple corresponding to whichever of the two binary operators is of higher precedence. For example, from the statement (3) select $(A + B)*C$ which is stored in the list (5) as

<u>Symbol</u>	<u>Precedence</u>
A	7
+	5
B	7
*	2
C	3

Select the variable B that is preceded by + and followed by *. Because of parentheses inclusion, the effective precedence of + is 5 which is greater than 2, the precedence of *, and thus B is to be used in the triple corresponding to +. B will be used in the right side of the triple corresponding to + since + is on the left side of B.

As shown in Figure XI-3, B is at a peak on the graph as are all variables. To find the operator in whose triple a variable will be included is quite simple. One simply "looks down the slopes" to find the "nearest" (numerically greatest) operator, with which the variable is then associated. In the event that a variable has operators of equal precedence on either side, the right operator will be considered as having greater precedence. This convention conforms to the required interpretation of precedences in a concatenation of unary operators.

Now, since each operator will generate a triple, consideration must be given to the placement of the corresponding resultant in other triples. An examination of Figure XI-3 will quickly suggest how

resultants can be combined into triples. Each operator corresponds to a "valley" (perhaps "plateau" in the case of concatenated unary operators). But each operator also represents a triple and corresponding resultant that must be treated as a variable. Hence, for each operator one must search the graph, both to the right and the left, until on each side an operator of lesser precedence is encountered. The resultant is then associated with the triple corresponding the operator of higher precedence (rightmost operator in the case of equality).

Basically, then, triple formation proceeds in a leveling process that consists of combining variable "peaks" of a graph such as that given in Figure XI-3 into "valley" triples whose resultants are then treated as variables and the process iterated. Parallelism is injected into the procedure by concurrently associating each variable and resultant with the triple in which it will ultimately be located.

The method of compiling MAD statements into triples outlined above involves searches for items of lesser precedence both to the right and left of a given item from a list such as (5). These searches can be accomplished more easily if such a list is stored as a part of an expanded list defined as follows:

1. Let n symbols, such as those in the list (5), be indexed $1, 2, \dots, n$

2. Let p be the integer such that $2^{p-1} < n + 2 \leq 2^p$. Construct a list of items indexed

$2, 3, 4, \dots, 2^p, 2^p + 1, 2^p + 2, \dots,$

$2^p + n, 2^p + n + 1$

(6)

where n denotes the number of symbols from a list such as (5) and $2^p + i$ denotes the index for symbol i from a list such as (5). The other indices of (6) denote dummy items that will be

assigned a precedence defined below. These dummy items will be of value in treeing the searches for items to the left or right of a given item that are of lesser precedence. Figures XI-4 and XI-5 present methods for the requisite searches.

3. Using the precedences given in (7), or in some similar but more comprehensive list, denote by $M(j)$ the precedence of the j^{th} item of (6). For $2^P + 1 \leq j \leq 2^P + n$, $M(j) = M(2^P + i)$, the precedence of the i^{th} symbol from a list such as (7).
4. Let $M(2^P) = M(2^P + n + 1) = -\infty$. That is, drive the endpoints of a graph such as that given in Figure 4 to minus infinity.
5. For items of the list (8) indexed j , $2 \leq j < 2^P$, define precedence as follows:
 - a. If $M(2j)$ and $M(2j + 1)$ are defined, let $M(j) = \min [M(2j), M(2j + 1)]$
 - b. If only $M(2j)$ is defined, let $M(j) = M(2j)$
 - c. If neither $M(2j)$ or $M(2j + 1)$ is defined, then $M(j)$ is undefined

Undefined items will not affect the search procedures specified in Figures 7 and 8.

Table XI-2 illustrates the compilation procedure described above. The statement (3) in the list form (5) is used as an example.

An example of precedence determination for an expanded list such as (6) is given in Table XI-3. Again the statement (3) is used as an example. The number of symbols in statement (3), excluding parentheses, is $n = 19$, hence for p such that $2^{p-1} < n + 2 \leq 2^p$, $p = 5$. This accounts for the expanded list index running from 2 through 52 in Table XI-3.

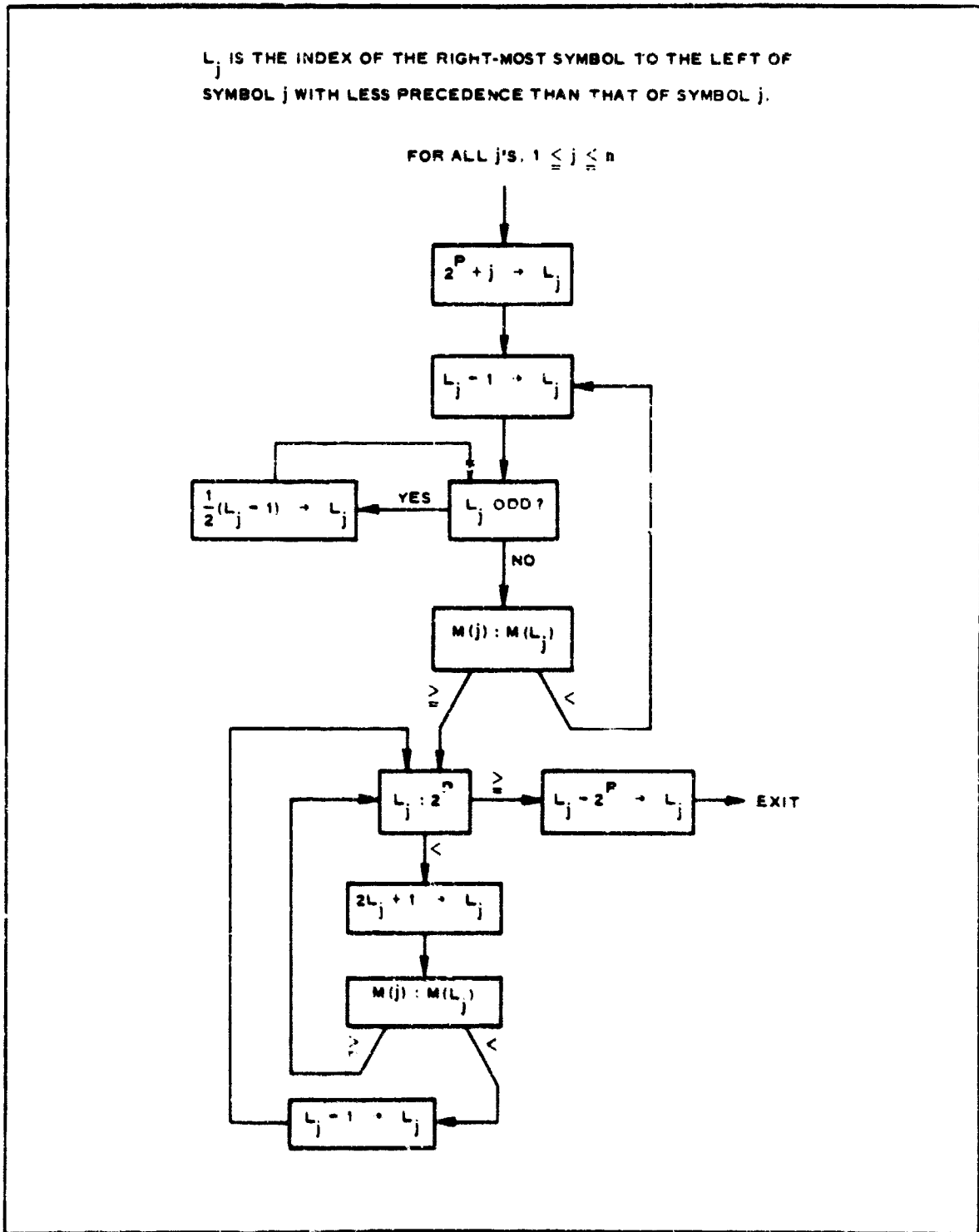


Figure XI-4 - Subroutine for Finding L_j

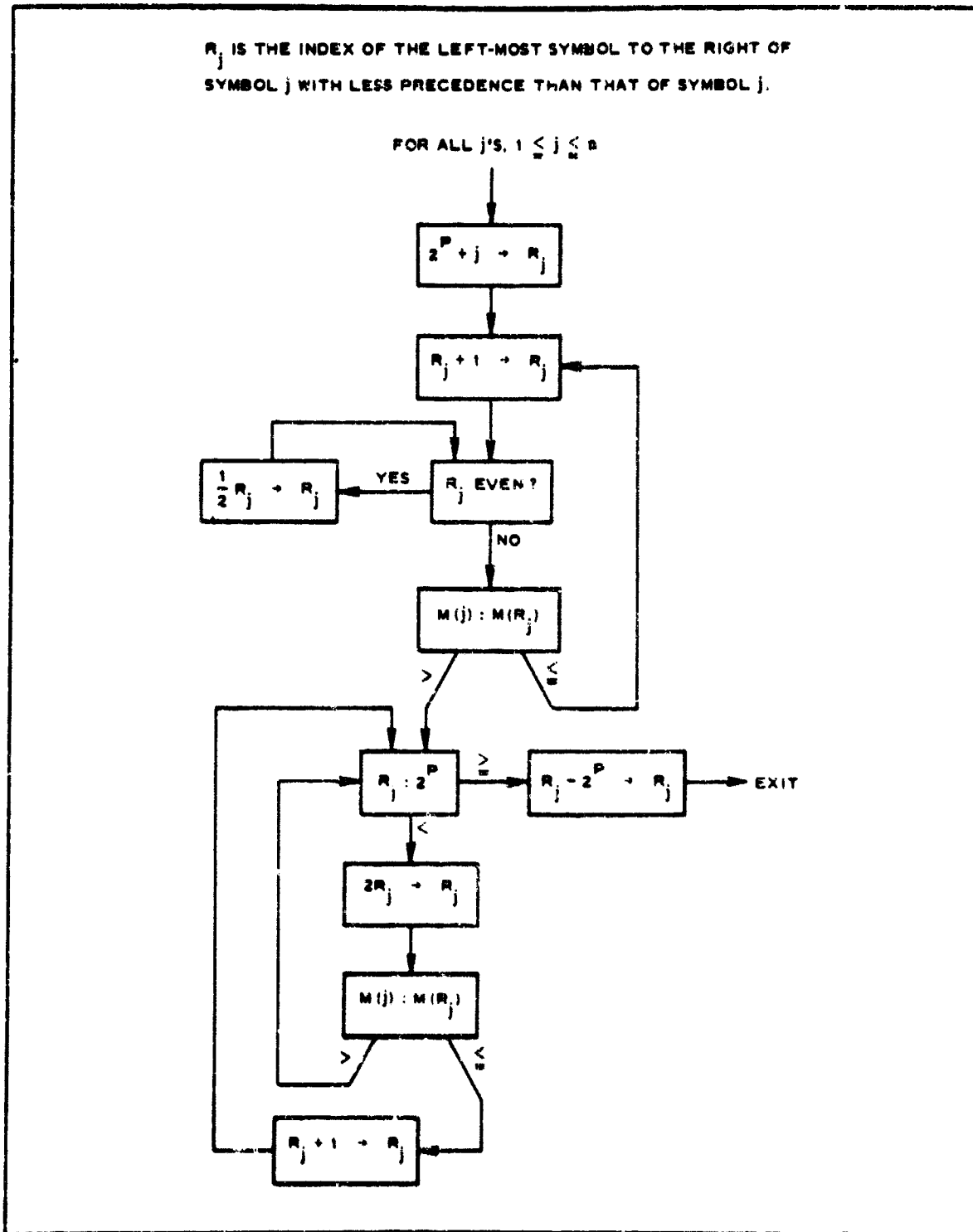


Figure XI-4 - Subroutine for Finding R_j

TABLE XI-2 - EXAMPLE OF THE COMPILATION

PROCEDURE FOR STATEMENT (3)

Index j	Symbol j	Precedence of symbol j	Lj	Rj	Higher pre- cedence item of Lj and Rj	Triple
1	Z	3	0	2	2	Z
2	=	0	0	20	20	(1) = (8)
3	A	7	2	4	4	A
4	+	5	2	6	6	(3) + (5)
5	B	7	4	6	4	B
6	*	2	2	8	8	(4) * (7)
7	C	3	6	8	6	C
8	+	1	2	20	2	(6) + (10)
9	D	3	8	10	10	D
10	*	2	8	20	8	(9) * (18)
11	E	11	10	12	12	E
12	*	10	10	14	14	(11) * (13)
13	F	11	12	14	12	F
14	+	9	10	16	16	(12) + (15)
15	G	11	14	16	14	G
16	*	6	10	18	18	(14) * (17)
17	H	7	16	18	16	H
18	+	5	10	20	10	(16) + (19)
19	I	7	18	20	18	I

NOTE: Lj[Rj] denotes the rightmost [leftmost] symbol to the left [right] of symbol j having precedence less than that of symbol j.

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TABLE XI-3 - EXAMPLE OF LIST EXPANSION AND PRECEDENCE DETERMINATION FOR STATEMENT (3)

Statement

$$Z = (A + B)*C + D*((E*F + G)*H + I) \quad (3)$$

Precedence table

<u>Symbol</u>	<u>Precedence</u>	
Variable	3	} Add 4 for every set of parentheses enclosing a symbol
*	2	
+	1	
=	0	

$$n = 19, 2^{p-1} < n + 2 \leq 2^p \Rightarrow p = 5$$

i	M(i)	i	M(i)	i	M(i)
2	$-\infty$	19	2	36	5 +
3	$-\infty$	20	1	37	7 B)
4	$-\infty$	21	2	38	2 *
5	1	22	10	39	3 C
6	$-\infty$	23	9	40	1 +
7		24	6	41	3 D
8	$-\infty$	25	5	42	2 *
9	2	26	$-\infty$	43	11 ((E
10	1	27		44	10 *
11	9	28		45	11 F
12	5	29		46	9 +
13	$-\infty$	30		47	11 G)
14		31		48	6 *
15		32	$-\infty$	49	7 H
16	$-\infty$	33	3 Z	50	5 -
17	0	34	0 =	51	7 I)
18	5	35	7 (A	52	$-\infty$

Figures XI-4 and XI-5, respectively, present flow charts of search procedures for finding L_j and R_j , where $L_j[R_j]$ denotes the rightmost [leftmost] symbol to the left [right] of symbol j having precedence less than that of symbol j . These search procedures can be executed concurrently in approximately $2 \ln_2 n$ steps where n is the number of symbols (less parentheses) from a statement such as (3).

4. CONCLUSIONS

In this appendix, three modifications of the parallel compilation algorithm have been presented. The first involved preliminary translation of replacement statements into reverse Polish notation; the second involved innovations in the use of the parallel processor programming language; the third specified a restructuring of the algorithm.

The first two modifications were easily programmable but did not result in significant speed advantages. The restructuring offered by the third modification appears to provide a maximal utilization of parallelism inherent in the compilation process. The restructured compilation algorithm has not yet been programmed for a parallel processor nor has it been subjected to a detailed review. It is recommended that further study of the restructured parallel compilation algorithm be carried out.

5. LIST OF REFERENCES

1. University of Michigan Computing Center: Michigan Algorithm Decoder. Ann Arbor, Mich., June 1963.
2. Wagner, P. (editor): Introduction to System Programming. New York, N. Y., Academic Press, 1964.
3. Arden, B., Galler, B., and Graham, R.: "An Algorithm for Translating Boolean Expressions." Journal of the ACM, April 1962.

APPENDIX XII - PROGRAMMING OF THE SEQUENTIAL
COMPILATION ALGORITHM FOR THE IBM 7090

1. INTRODUCTION

This work was performed under Contract AF30(602)-3550, Advanced Computer Organization Study. A sequential algorithm for compiling substitution expressions was written so that a comparative analysis to a parallel machine could be made. The IBM 7090 computer was chosen as the sequential computer on which to make the comparisons.^a

Reference was first made to a paper by Arden, Galler, and Graham.^b An extensive analysis was made of the compilation of a general complex substitution expression, and further investigation led to a general derivation of a timing equation for compiling simplified expressions.

The comparative analysis of sequential versus parallel compilation is made in Appendix XIII.

2. DESCRIPTION OF ALGORITHM

a. General

In this IBM 7090 compiler algorithm, reasonable assumptions have been made as to what will be the format of the input string or substitution expressions. Due to the hierarchy of the operators, the operands are considered as having a zero level of hierarchy. The operators

^aIBM Reference Manual, 7090 Data Processing System. Poughkeepsie, N. Y., International Business Machines Corporation, August 1961.

^bArden, B. W.; Galler, B. A.; and Graham, R. M.: An Algorithm for Translating Boolean Expressions. Ann Arbor, Mich., University of Michigan, October 1961.

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listed below constitute an unalterable basic set whose meaning (semantic content) is used in the decomposition of expressions. Boolean expressions will not be considered here but it is easily seen that to include them one would merely extend the limits of the algorithm. All arithmetic operators except the exponential will be generated into an object program in single precision arithmetic. The overall program package will require input/output routines and an exponential subroutine (EXP) that is available in the MAD compiler. The symbol "-" is used in statements to indicate both the unary (one operand) and the binary (two operands) operator; the context indicates which is intended.

Certain arithmetic operations must be compiled first in order to execute the object program correctly. It is for this reason that a certain level of hierarchy is assigned to each of the input string of items. In this algorithm, the chosen hierarchy (or precedence) is as shown in Table XII-1.

TABLE XII-1 - HIERARCHY OF INPUT ITEMS

Item	Definition	Precedence	
.ABS.	Absolute Value	12	} Operators
-u	Unary minus	11	
{	Exponentiation	10	
*	Multiplication	9	} Operators
/	Division	8	
-	Binary minus	7	
+	Plus	6	
=	Substitution	5	
(Left parenthesis	4	} Operators
)	Right parenthesis	3	
⊢	Left terminator	2	} Operators
⊣	Right terminator	1	
v	Constant or variable	0	Operand

If the input items used in an expression are compiled in accordance with this hierarchy, there will result an object program in 7090 code that when executed will accomplish the desired arithmetic operations.

Throughout the discussion, the following symbols are used:

$PREC(\delta_j)$ = Precedence of current operator

$PREC(\delta_{j-1})$ = Precedence of previous operator

S_β = Current referenced input string item

L_α = Current referenced intermediate string item

β = Input string index

α = Intermediate string index

R_i = Current triple resultant pointer

M_{i1} = First generated instruction of a triple

M_{i2} = Second generated instruction of a triple

M_{i3} = Third generated instruction of a triple

M_{i4} = Fourth generated instruction of a triple

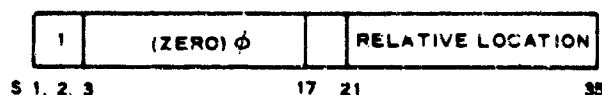
The compiler discussed here involves an input pass that will assign the constant/variable machine locations and the correct hierarchy to the input string. Once the input string is in this form the various items are placed on a list (SLIST). The major function of the algorithm involves a single scanning of the expression from right to left (up the SLIST) and retaining operands, operations, relations, etc. on an intermediate list L (LLIST) until an operation or relation (δ_j) occurs which is of lower precedence than the immediately preceding operation on the list (δ_{j-1}).

When such an operation or relation as δ_j is encountered, δ_{j-1} is compiled. Except for the case of exponentiation the compilation consists of creating object coding of three instructions (M_{i1} , M_{i2} , and M_{i3}).

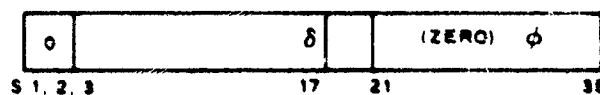
The three instructions created for $a + b$ are $M_{i1} = \text{CLA } a$, $M_{i2} = \text{FAD } b$, and $M_{i3} = \text{STOR}_i$, where R_i is the current location of the result of performing the operation $a + b$ which will be called a triple for this discussion.

b. Input String Discussion

The input routine must be capable of reading a statement into the 7090 and assigning relative addresses to the various constants and variables (that is, count the different operands). If a variable or constant (operand) is detected, the sign bit of the machine word referencing its location (bits 21 to 35) is made one (bit 0) and the decrement (bits 3-17) is zero. An operand format is sketched below.



To execute the various arithmetic operations in the proper sequence, a certain level of hierarchy is assigned to each operator (see Page 284). In the case of operators, only the hierarchy mentioned on Page 284 is necessary to generate the correct object code and it must be contained in the decrement (bits 3 to 17) field of each item word. An operator format is sketched below.



For example, should there be a complex expression such as

$$Z = (A*B + C*D) * (E|F + .ABS.I) + (J|K - L/M)/(-P + Q*R),$$

the input routine would be expected to produce the array shown in Figure XII-1 (see Appendixes I and III).

c. Input Data Discussion

After the object program has been generated, the DATIN subroutine

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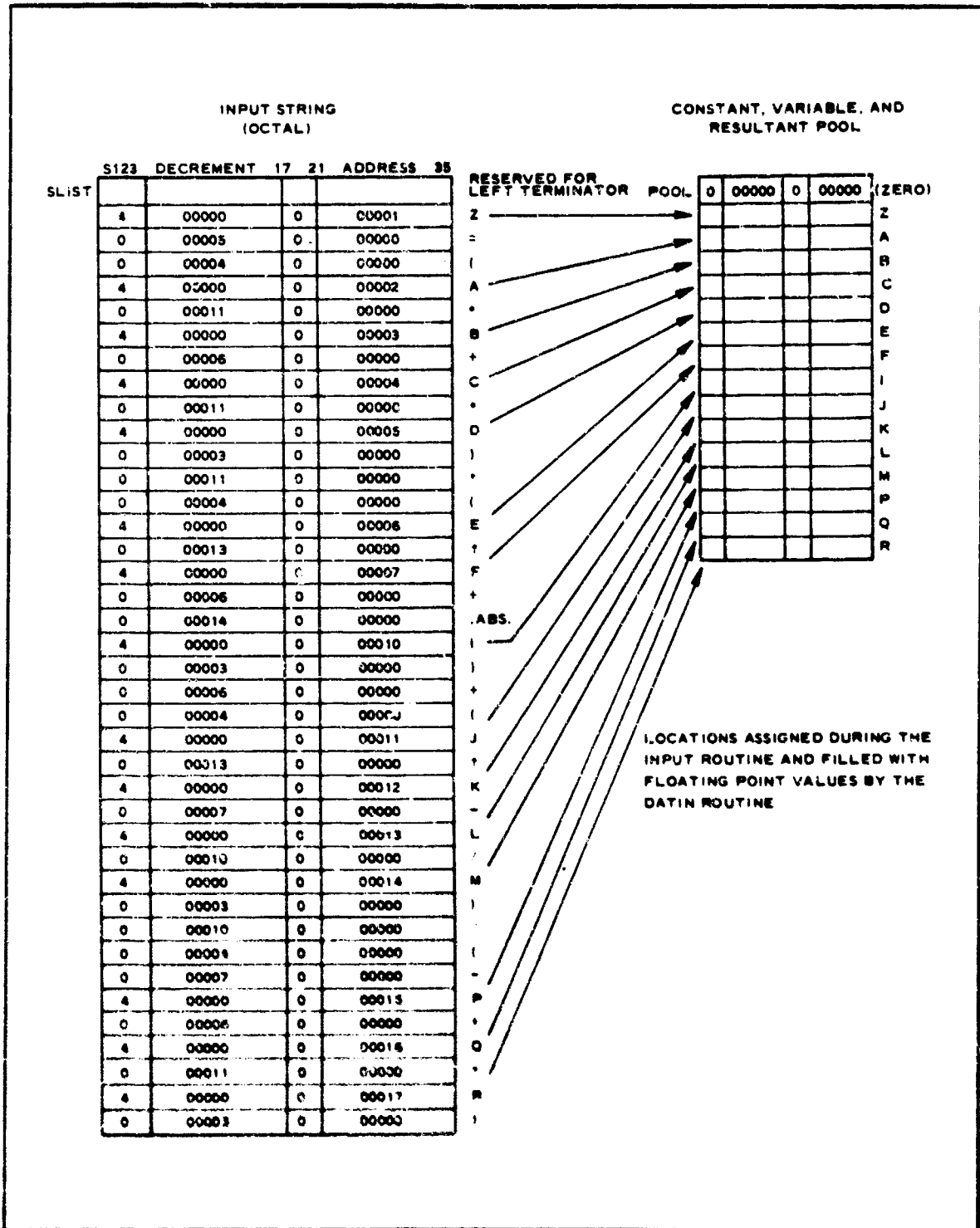


Figure XII-1 - Format of an Input String of Items

must be capable of storing floating point values in the memory locations selected by the INPUT subroutine. These values will start at POOL+1, etc. The first location POOL is zero. If the input data are in integer form, provision must be made to convert it to floating point numbers.

d. Flow Diagram General Description

In addition to the terminology used in the general discussion of this section, the following additional symbology pertains to the flow diagrams:

→ means "is transferred to"

$R_i + 1 \rightarrow R_i$ means " R_i is increased by one"

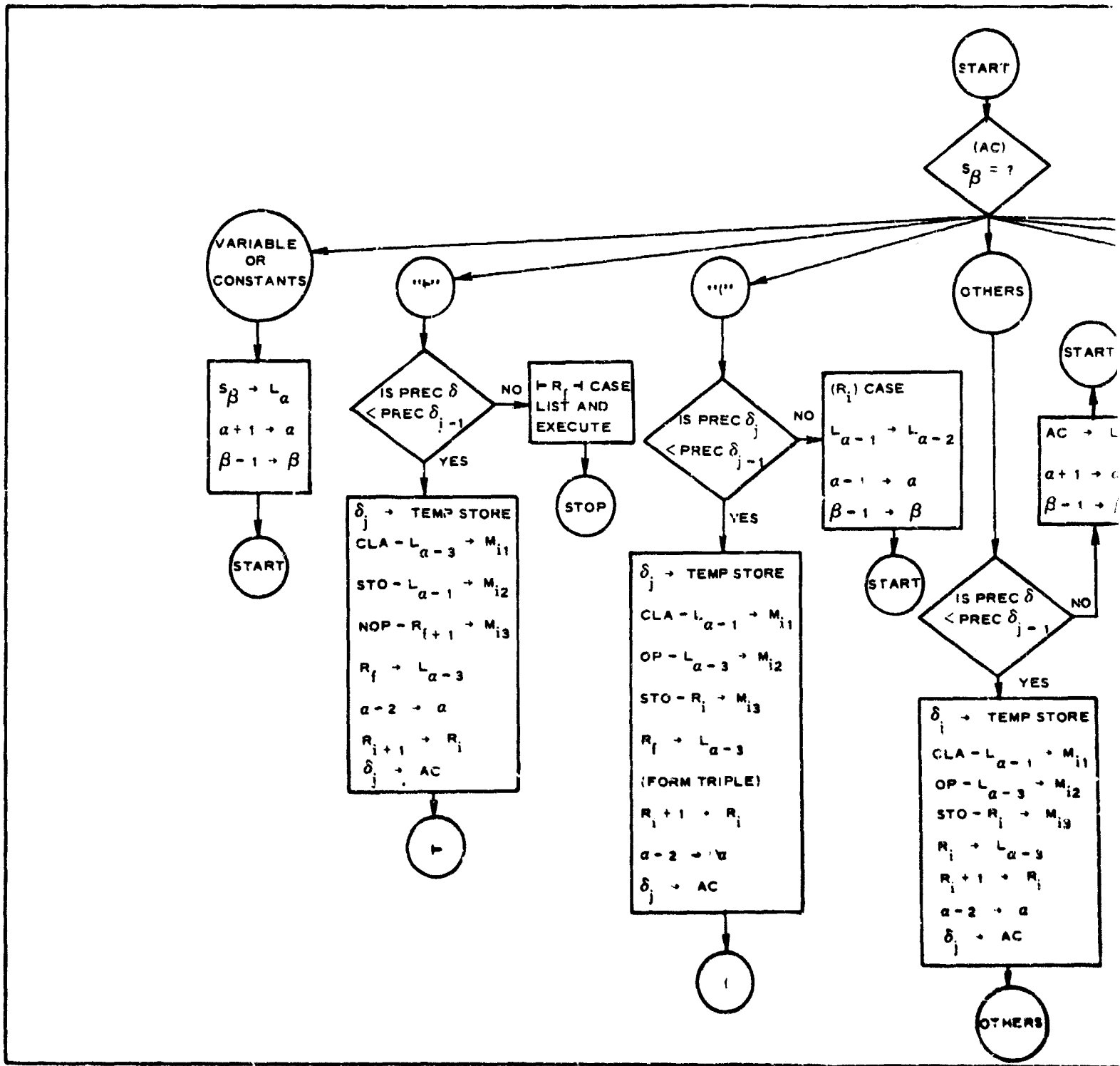
The flow diagram in Figure XII-2 is by no means complete from a systems standpoint as mentioned above. The operational system will require input/output and, if desired, object program listing routines.

e. Subroutine Descriptions

(1) Absolute Value

The absolute value may be detected by (1) the initial scan, or (2) if preceded by f-triple. If a twelve is detected in the decrement field of an item on the input string (SLIST) during the initial scan, the subroutine SCOPEM + 2 is entered. This subroutine will produce three instructions: (1) CLA POOL, which makes the AC register equal to zero when executed; (2) FAM $L_{\alpha-1}$, which adds to the AC register the magnitude of the contents of the previous item on the L list, providing it is not an operator; and (3) STO R_i , which stores the final result of the operation, .ABS. $L_{\alpha-1}$.

The location of the result after executing these instructions is put on the L list to keep account of the intermediate steps. The result pointer is increased by one and the program returns to the start to



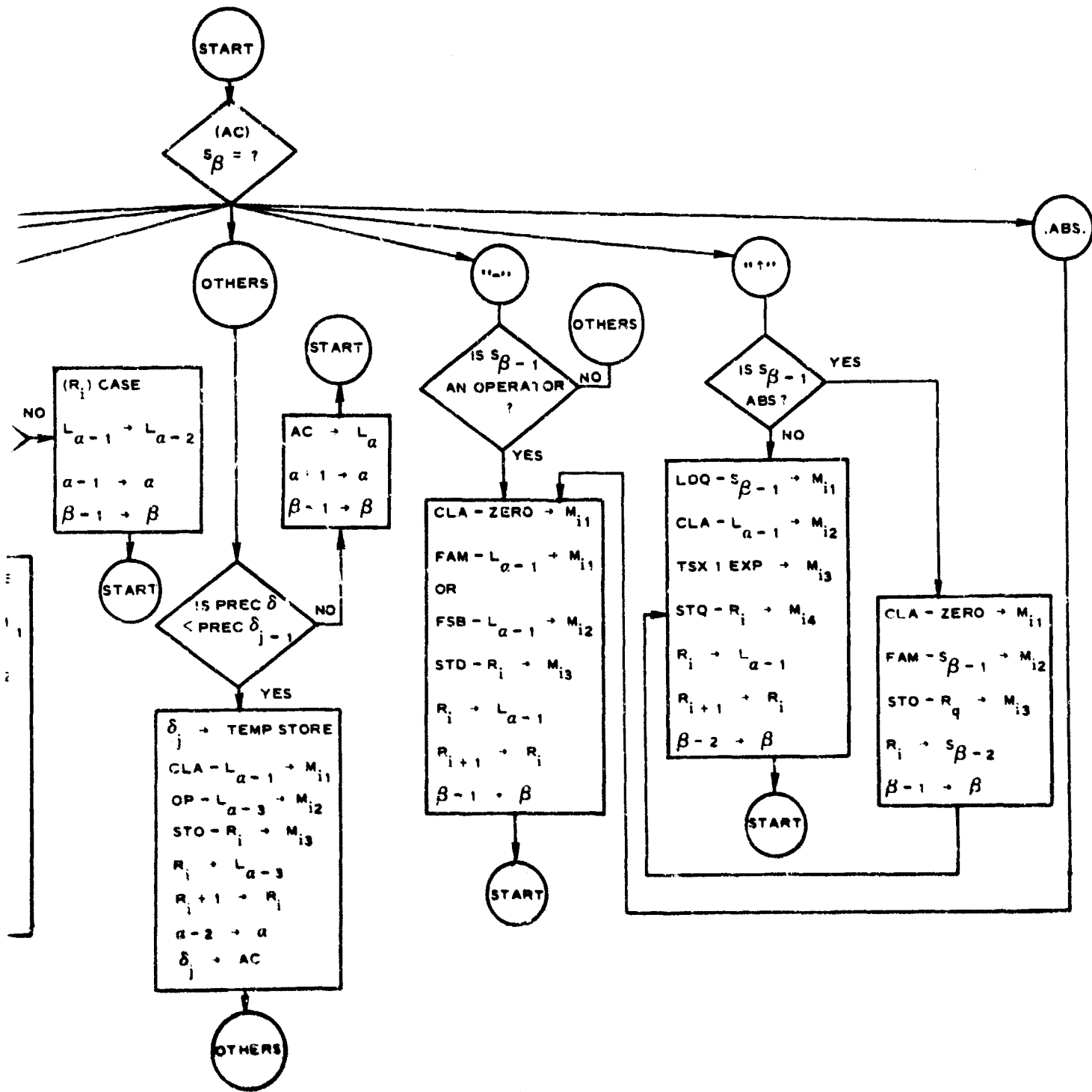


Figure XII-2 - Compiler General Flow Diagram

B

examine the next item. If the absolute value is detected while examining an \uparrow -triple, then the tree mentioned instructions are formed before the \uparrow -triple instructions.

(2) Unary/Binary Minus

If a seven is detected in the decrement field of an item on the input string (SLIST) during the initial scan, the subroutine MINUS is entered. This subroutine will check the next item on the list ($S_{\beta-1}$) to see if it is an operator. If the next item ($S_{\beta-1}$) is not an operator, then the minus operation is binary and program control goes to the OTHERS subroutine.

If the next item ($S_{\beta-1}$) is an operator, then the minus is a unary operation and three instructions are formed: (1) CLA POOL, which makes the contents of the AC register equal to zero once this instruction is executed at object time; (2) FSB $L_{\alpha-1}$, which will subtract from zero (AC register) the contents of $L_{\alpha-1}$; and (3) STO R_i , which will store the result of the unary operation in an intermediate location.

Then α and β are set to examine the next input item and compiler control returns to START.

(3) Exponentiation

If an eleven is detected in the decrement field of an item on the input string (SLIST) during the initial scan, the POWERS subroutine is entered. This subroutine checks the next operator ($S_{\beta-1}$) and if it is an absolute value operator performs a compilation of an absolute value operation first.

After the absolute value compilation or if $S_{\beta-1}$ is not an absolute value operator, four instructions are generated for EXP subroutine calling sequences:

1. LDQ $S_{\beta-1}$ - the value to be raised to a power is placed in the MQ register

2. CLA $L_{\alpha-1}$ - the exponent to raise a value to a power is placed in the AC register
3. TSX 1 EXP - transfer and set index 1 to the current object program address and go to subroutine EXP
4. STQ R_i - store the result of EXP in an intermediate location.

Then α and 1 are set to examine the next item on the S list and compiler control returns to START.

(4) Parentheses

Once the operator "(" is detected (a four in the decrement field), compiler control stays in the LFTPRN loop until all operations within the parenthesis have been compiled. The loop stop code is of course ")" (a three in the decrement field), and at this time compiler control goes to RTPRN and sets α and β to examine the next input item. The final resultant within the parenthesis (R_p) is moved up one position on the L list by the RTPRN routine to completely eliminate the parenthesis. For an illustration of this case, see Page 316.

(5) Terminators

Once the - operator is detected (a two in the decrement field), compiler control stays in the TERM loop until all the operations of the expression have been compiled. The loop stop code for this routine is + (a one in the decrement field). Once the condition $\uparrow R_f \uparrow$ exists and there are no more expressions to be compiled, control goes to list and/or execute the program. For an illustration of this case, see Page 316.

(6) Others

The OTHERS subroutine is the most general subroutine in the

compiler and effectively the input string could be defined in such a manner that OTHERS would be the only subroutine necessary for the compiler. In the next section, the point is brought out as to how expressions could be written that require extensive use of the OTHERS routine. This subroutine first will compare the precedence of the current operator with the precedence of the previous operator; that is, $PREC(\delta_j) < PREC(\delta_{j-1})$. If the current operator precedence is less than the previous operator precedence, a set of object code instructions using the previous operator is formed. If precedence of the current operator is not less than the precedence of the previous operator, it is added to the intermediate (L) list and the next item on the S list is examined.

3. A SIMPLIFIED APPROACH TO COMPILING SUBSTITUTION EXPRESSIONS

a. General

In writing substitution statements, many compilers try to reduce the complexity of compilation by placing restrictions on the programmer. Sometimes, these restrictions are a set of programming rules that will discourage the use of parentheses or encourage the writing of unary operations in a prescribed manner. By using the expression on Page 288, the programmer could have conceivably written:

$$V = A * B + C * D \quad (1)$$

$$W = E \uparrow F + \phi . ABS. I \quad (2)$$

$$X = J K - L / M \quad (3)$$

$$Y = Q * R - P \quad (4)$$

$$Z = V * W + X / Y \quad (5)$$

Where ϕ is a location containing zero. It is noted that each simplified expression contains an odd number of items. As a general rule, when writing expressions in the above, simplified manner, the compilation

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time is thought to be reduced considerably. Such is not the case when using this algorithm for compilation. The reason for this is due to the shorter loops (see Page 290) for

Unary minus - 44 cycles ,

↑(POWERS) - 45 cycles ,

Normal absolute value - 37 cycles ,

whereas the general OTHERS loop requires 50 cycles. The OTHERS routine as discussed in greater detail on Page 294 is used for a general timing equation derivation later in this section.

b. Compilation of Complex Expressions

A complete simulation of the compilation of the expression given on Page 288 is illustrated in great detail beginning on Page 316. The overall compilation time for the complex expression is found to be 1270 cycles or

$$\begin{aligned} T_{(\text{total})} &= 1270(2.18^a) \text{ usec} \\ &= 2.3686 \text{ msec} . \end{aligned}$$

The reason for such detail is to give the reader an insight into what is involved in order to do a compilation.

c. Derivation of a General Timing Equation for Compiling Simplified Expression.

From the simplified expressions on Page 295 and the flow diagram on Page 297, it can be noted that there are $(n - 1)/2$ operators, $(n - 1)/2$ operands, and $(n - 1)/2$ triples, where n is the number of items in the expression. It is seen from the general timing equations beginning on Page 316 that the compiler requires 11 cycles to acknowledge and transfer an operand from the input string to the intermediate list so the time (in cycles) to transfer operands is

^aThe 7000 cycle time is 2.18 usec per cycle.

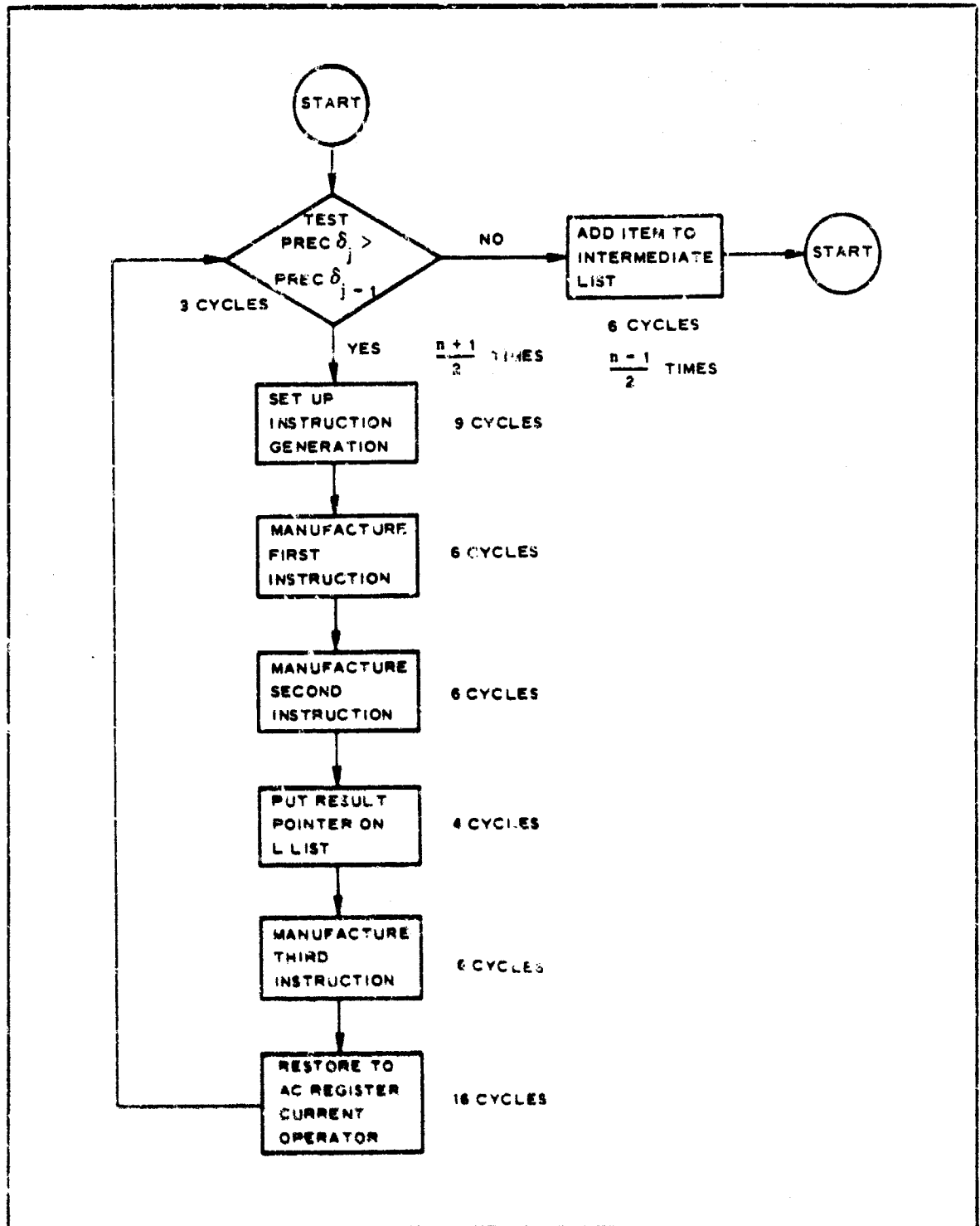


Figure XII-3 - An Arithmetic Operation General Flow Diagram

$$t_{(\text{operands})} = 11 \frac{n+1}{2} . \quad (6)$$

Using the data from the timing equations, it follows that there is generally

$$t_{(\text{triple})} = 50 \frac{n-1}{2} \quad (7)$$

cycles per simplified expression.

The timing equations show that 15 cycles per operator is required or

$$t_{(\text{operators})} = \frac{15(n-1)}{2} . \quad (8)$$

Using the three equations, the total time for each expression is

$$\begin{aligned} t_{(\text{total})} &= t_{(\text{operands})} + t_{(\text{triples})} + t_{(\text{operators})} \\ &= \frac{11(N+1)}{2} + \frac{50(N-1)}{2} + \frac{15(N-1)}{2} = 38N - 27 \text{ cycles} . \quad (9) \end{aligned}$$

If $N = 9$ as in the simplified expressions 1, 2, 3, or 5 on Page 295, then

$$t_{(\text{total})}^{(1)} = 38(9) - 27 = 315 \text{ cycles} .$$

In simplified expression (4) on Page 293, $N = 7$ and

$$t_{(\text{total})}^{(4)} = 38(7) - 27 = 239 \text{ cycles}$$

so the overall time required to compile the simplified expressions is:

$$\begin{aligned} T_{(\text{total})} &= t_{(\text{total})}^{(1)} + t_{(\text{total})}^{(2)} + t_{(\text{total})}^{(3)} + t_{(\text{total})}^{(4)} + t_{(\text{total})}^{(5)} \\ &= 315 + 315 + 315 + 239 + 315 = 1479 \text{ cycles} . \end{aligned}$$

4. CHARTS, ASSEMBLY LISTING, AND TIMING EQUATIONS

The compiler flow charts, an assembly listing of a compiler, the general timing equations, and a simulation of a compilation are presented on the following pages.

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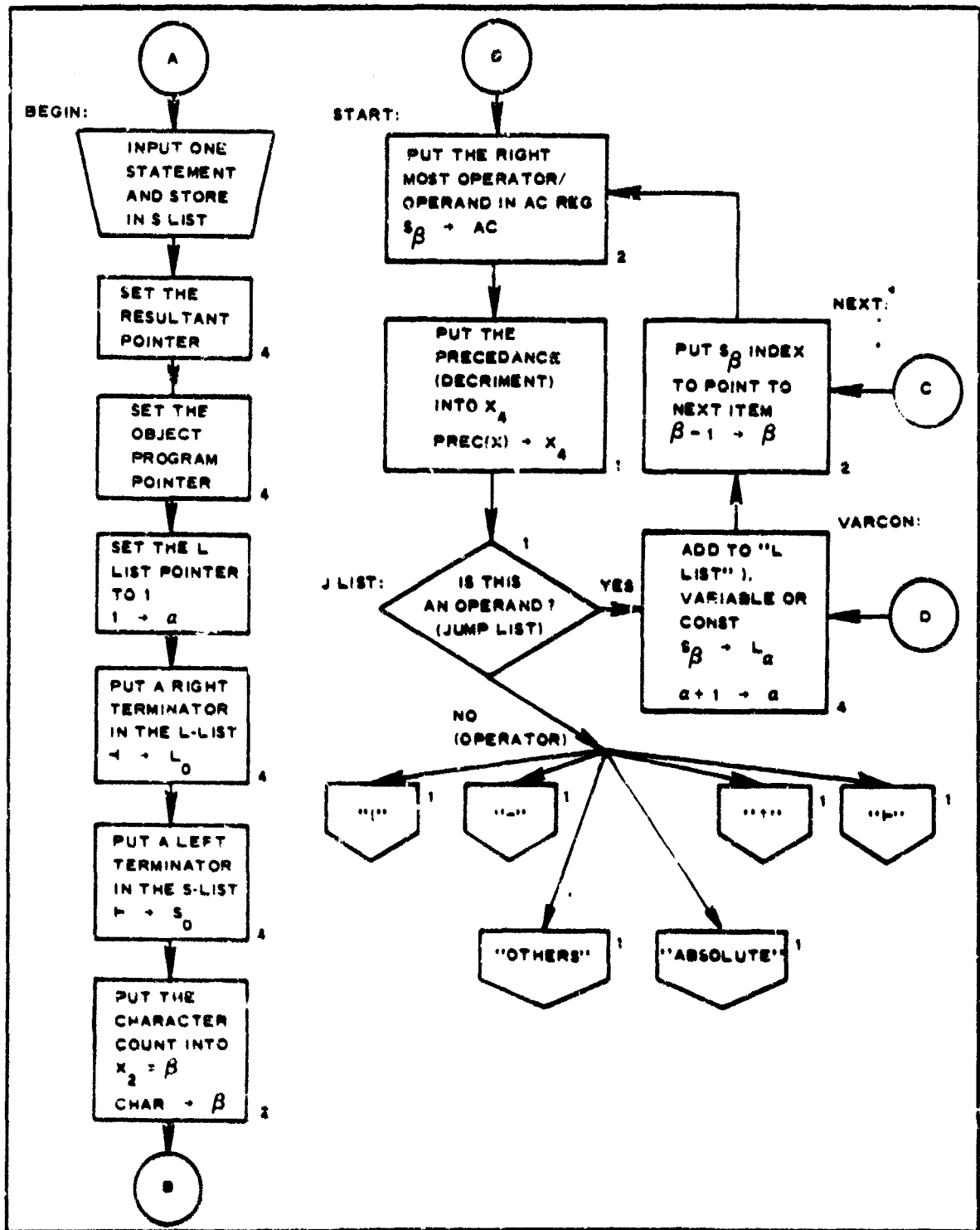


Figure XII-4 - Compiler Flow Chart

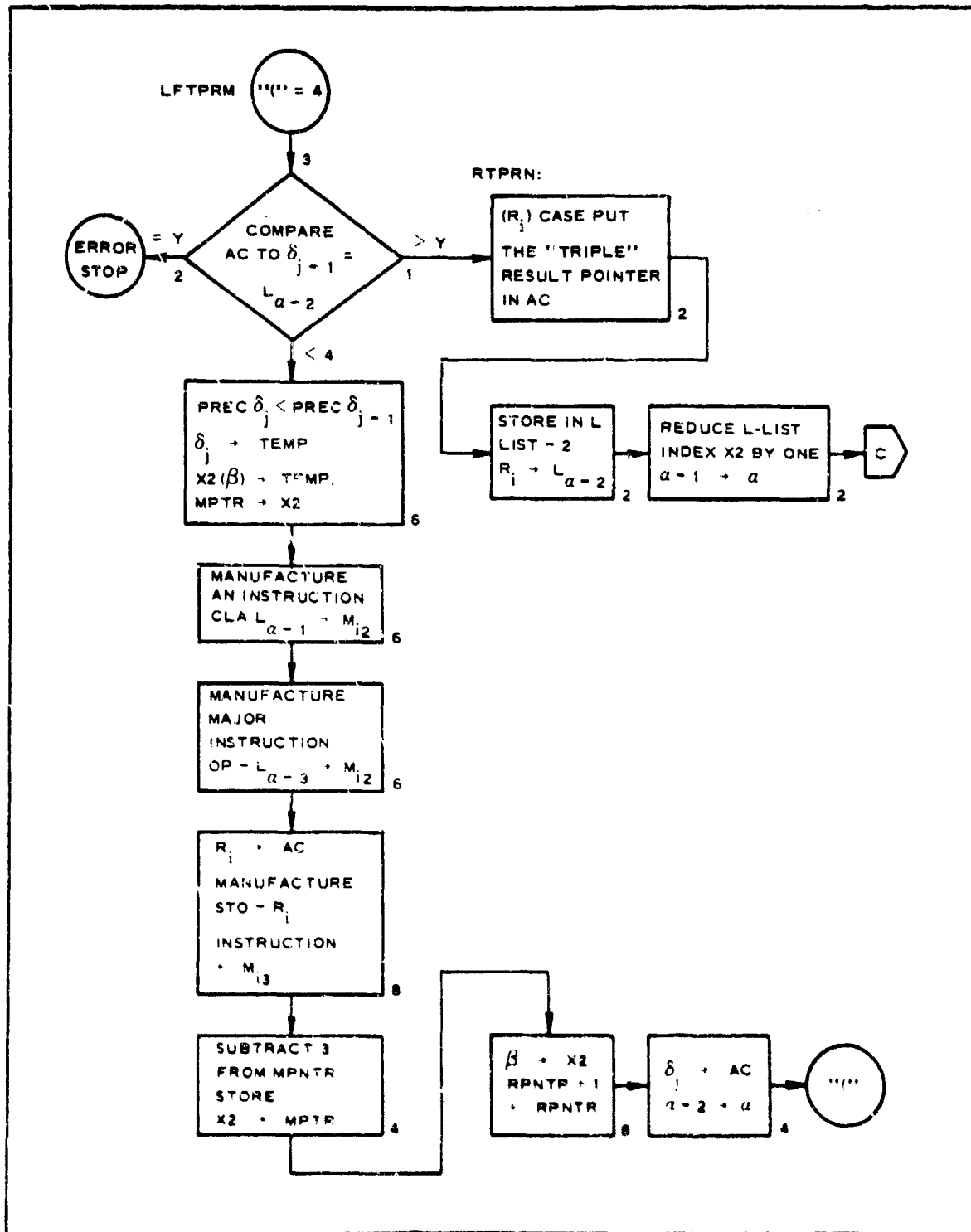


Figure XII-4 - Compiler Flow Chart (Continued)

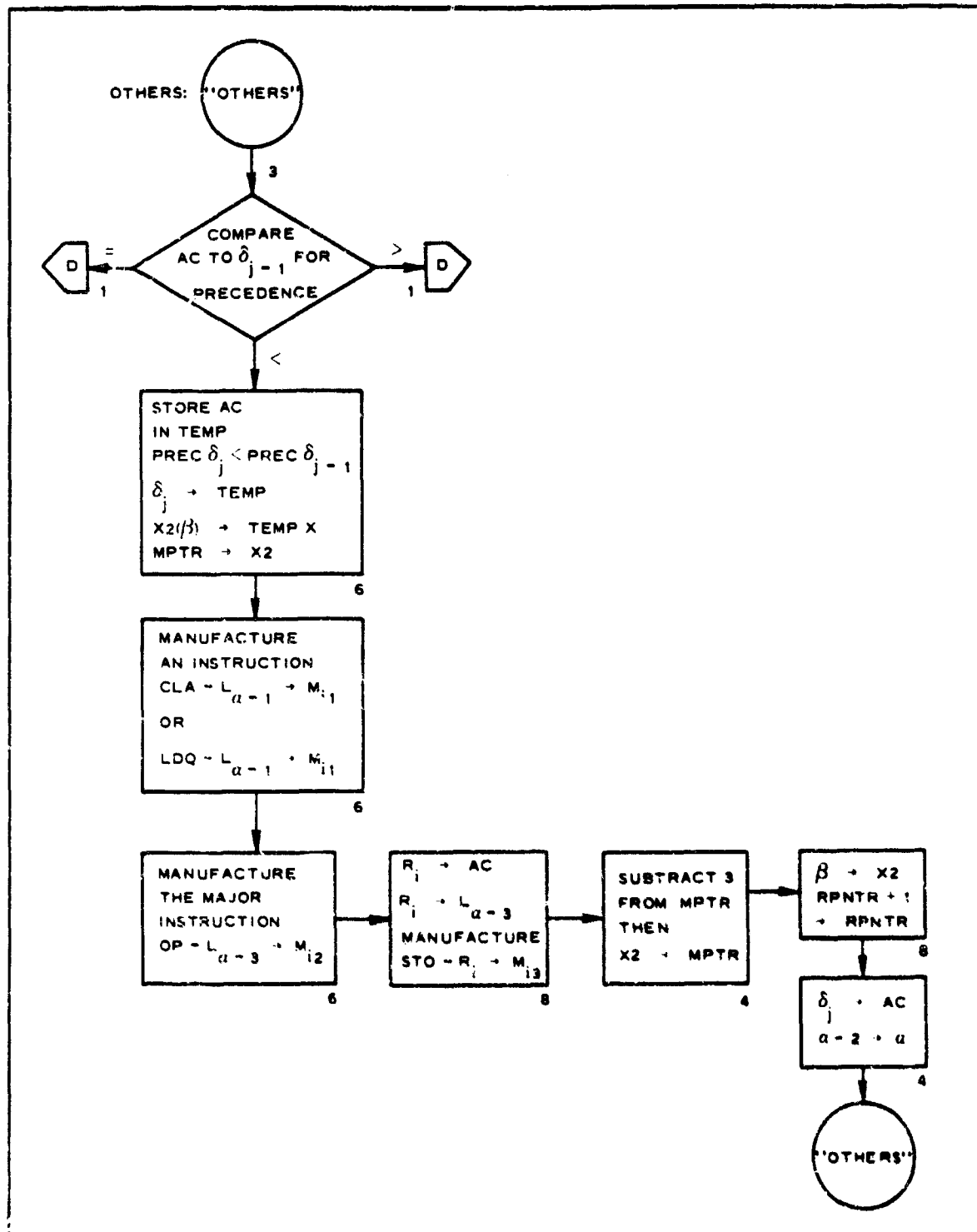


Figure XII-4 - Compiler Flow Chart (Continued)

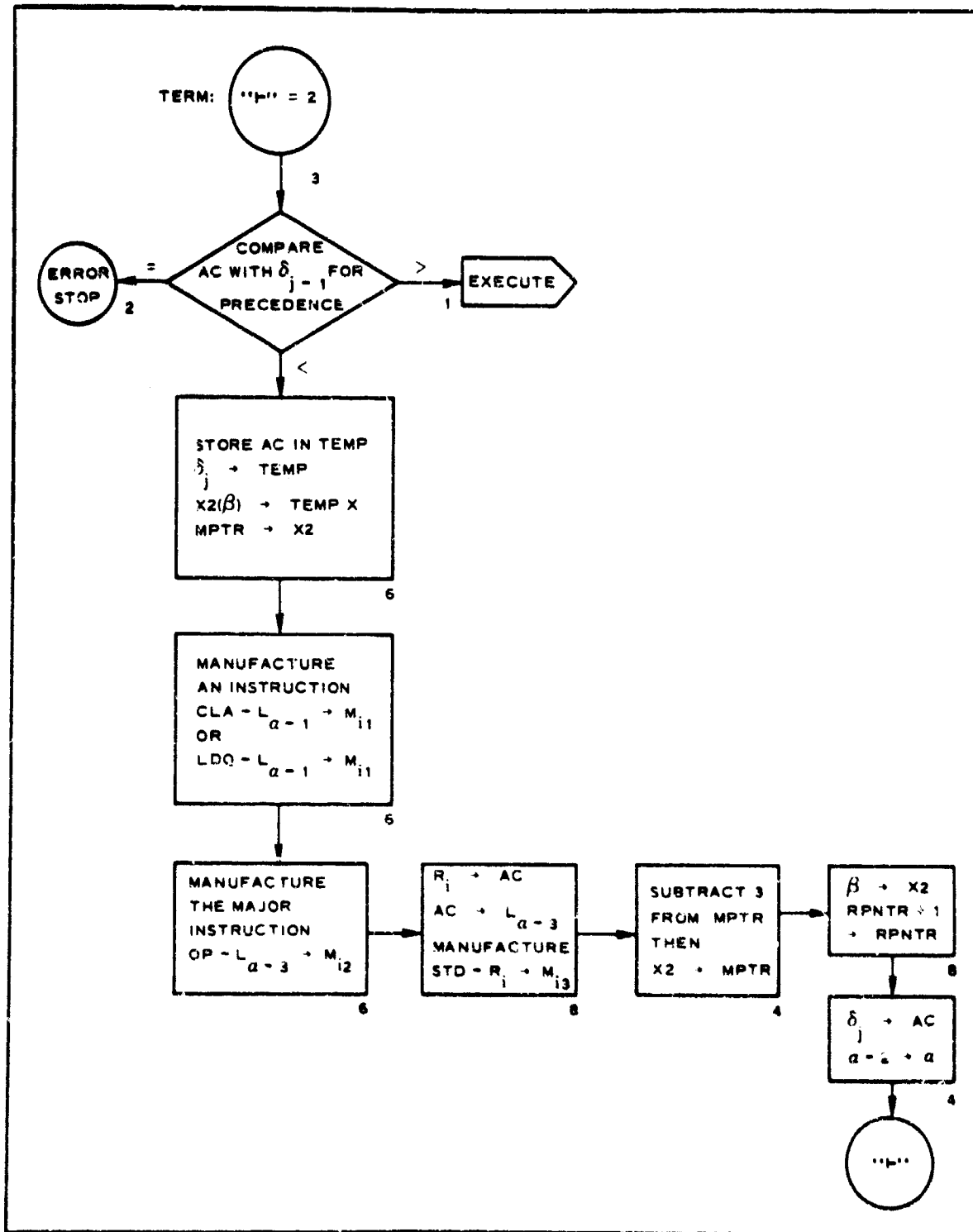


Figure XII-4 - Compiler Flow Chart (Continued)

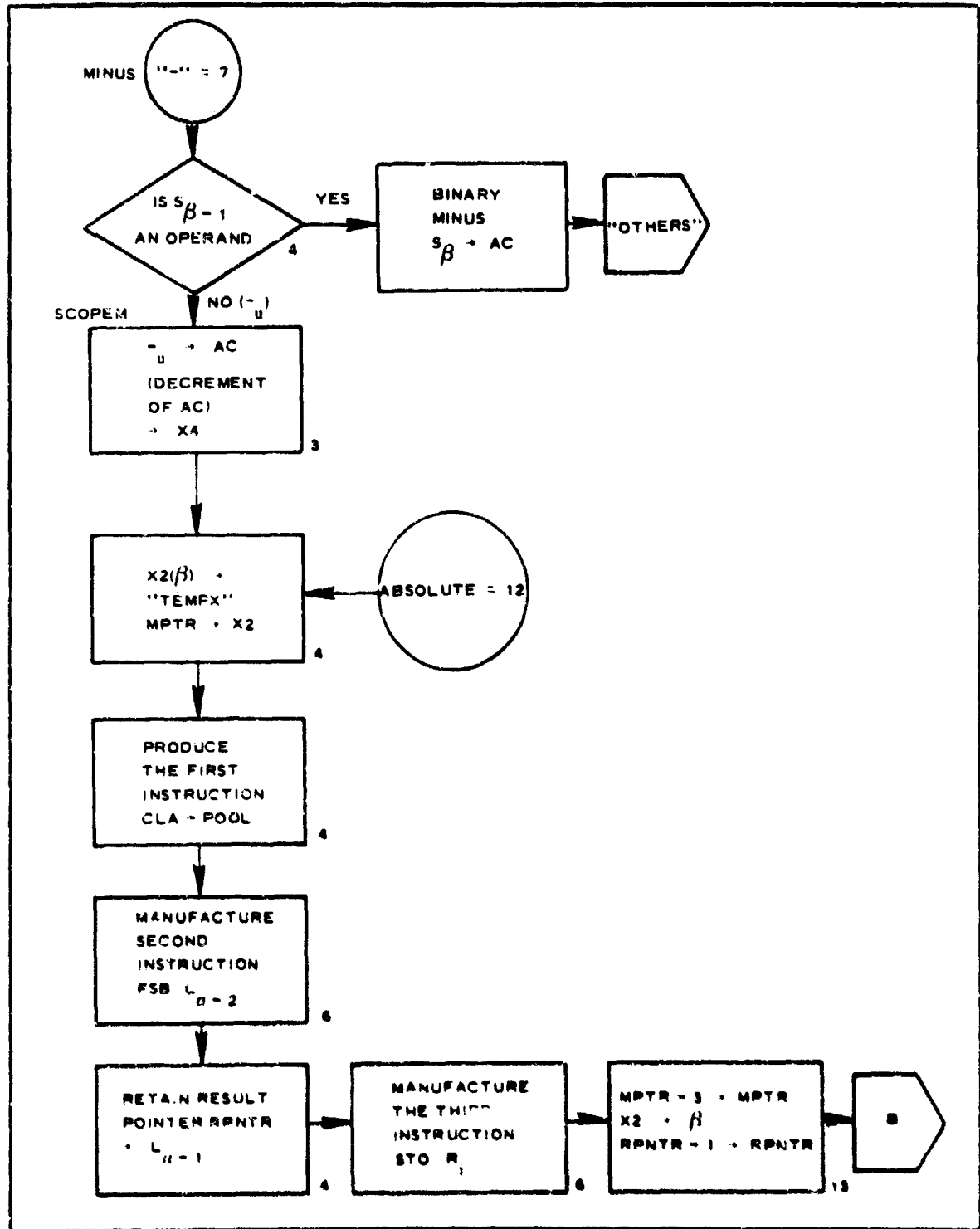


Figure XII-4 - Compiler Flow Chart (Continued)

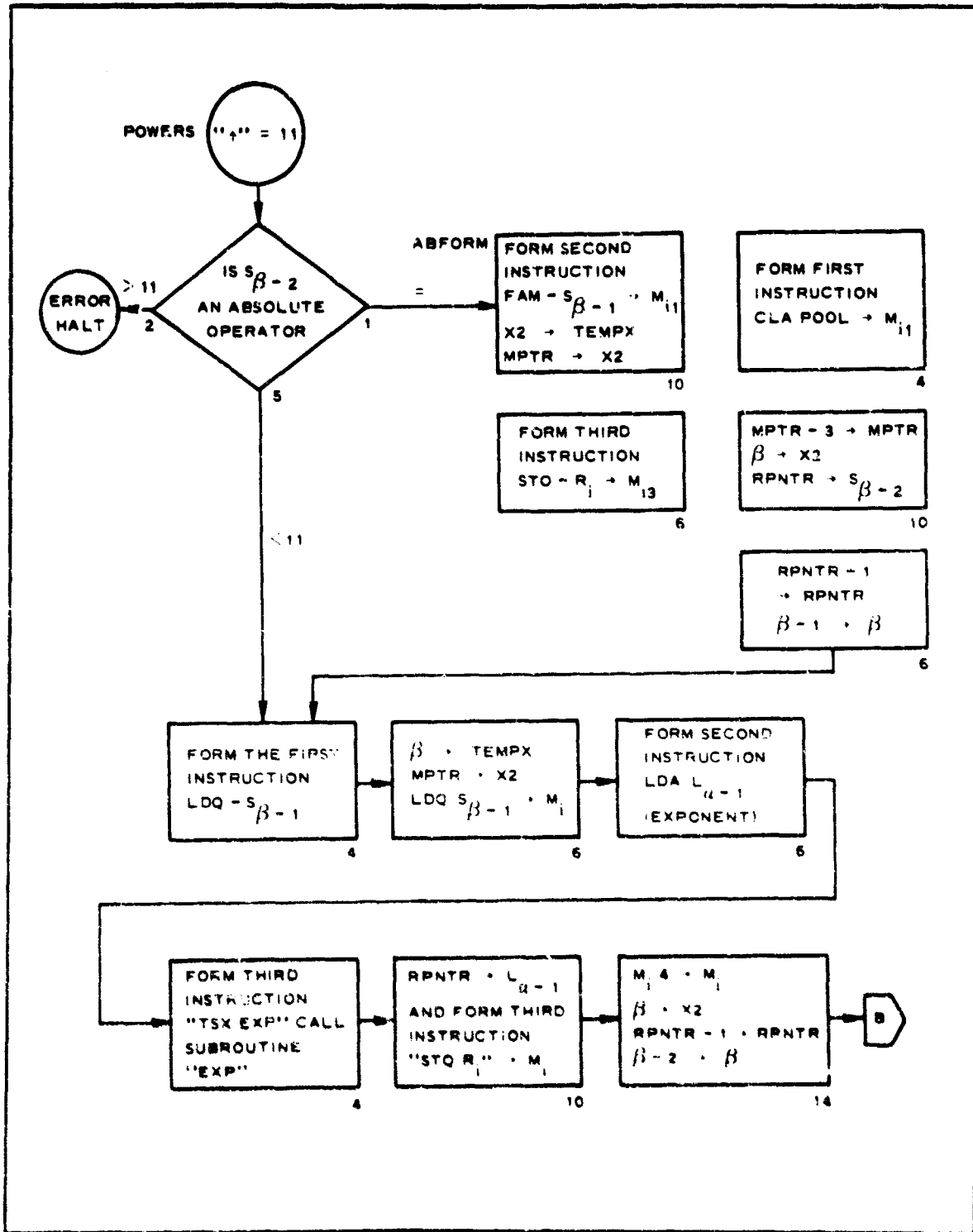


Figure XII-4 - Compiler Flow Chart (Continued)

ASSEMBLY LISTING OF COMPILER

	OP	ADDRESS, TAG, DECREMENT		COMMENTS	
BEGIN	CLA	RST	2	SET	00010
	STO	RPNTR	2	RESULT POINTER	00020
	LAC	IST, 1	2	SET OBJECT	00030
	SXA	MPTR	2	PROGRAM POINTER	00040
SET	TSX	INPUT, 1	1	INPUT ONE STATEMENT	00050
	AXC	1, 1	1	1 (COMPLEMENT) $\rightarrow \alpha = X1$	00060
	CLA	RTERM	2		00070
	STO	LLIST	2	4 $\rightarrow L_0$	00080
	CLA	LTERM	2		00090
	STO	SLIST	2	$t \rightarrow S_0$	00100
	LAC	CHAR, 2	2	CHAR COUNT (COMPLEMENT) $\rightarrow \beta$	00110
START	CLA	SLIST, 2	2		00120
	PDC	$\delta, 4$	1	PREC(X) (COMPLEMENT) $\rightarrow X4$	00130
	TRA	JLIST, 4	1		00140
JLIST	TRA	VARCON	1	VARIABLE OR CONSTANT	00150
	TRA	VARCON	1	4 = 1) ₁₀ (DECREMENT)	00160
	TRA	TERM	1	t = 2) ₁₀ (DECREMENT)	00170
	TRA	VARCON	1) = 3) ₁₀ (DECREMENT)	00180
	TRA	LFTPRN	1	(= 4) ₁₀ (DECREMENT)	00190
	TRA	OTHERS	1	= = 5) ₁₀ (DECREMENT)	00200
	TRA	OTHERS	1	+ = 6) ₁₀ (DECREMENT)	00210
	TRA	MINUS	1	- = 7) ₁₀ (DECREMENT)	00220
	TRA	OTHERS	1	/ = 8) ₁₀ (DECREMENT)	00230
	TRA	OTHERS	1	* = 9) ₁₀ (DECREMENT)	00240
	TRA	POWERS	1	1 = 11) ₁₀ $-u = 10)_{10}$	00250
	TRA	SCOPEM+2	1	.ABS. = 12) ₁₀ (DECREMENT)	00260
VARCON	STO	LLIST, 1	2	$S_3 \rightarrow L_a$	00270
	TXI	NEXT, 1, -1	2	$a + 1 \rightarrow a$	00280
NEXT	TXI	START, 2, 1	2	$\beta - 1 \rightarrow \beta$	00290
LFTPRN	CAS	LLIST -2, 1	3	PREC(δ_j) < PREC(δ_{j-1})	00300
	TRA	RTPRN	1		00310
	HTR	BEGIN	2		00320
	STO	TEMP	2	$\delta_j \rightarrow TEMP$	00330
	CLA	LLIST -2, 1	2	$\delta_{j-1} \rightarrow AC$	00340
	PDC	$\delta, 4$	1		00350
	SXA	TEMPX, 2	2	X2 $\rightarrow TEMPX$	00360
	LXA	MPTR, 2	2	MPTR $\rightarrow X2$	00370
	CLA	DUMMYA, 4	2		00380
	ADM	LLIST -1, 1	2	MANUFACTURE AN	00390
	STO	$\delta, 2$	2	INSTRUCTION	00400
	CLA	DUMMYB, 4	2		00410
	ADM	LLIST -3, 1	2	MAIN INSTRUCTION	00420
	STO	1, 2	2		00430
	CLA	RPNTR	2	$R_1 \rightarrow L_{a-1}$	00440
	STO	LLIST -1, 1	2		00450
	SSP	3	2	MAKE(AC) PLUS	00460
	ADD	DUMMYA, 4	2		00470
	STO	2, 2	2	STO - $R_1 \rightarrow M_{1,1}$	00480

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ASSEMBLY LISTING OF COMPILER (Continued)

	OP	ADDRESS, TAG, DECREMENT		COMMENTS	
	TXI	**1, 2, 3	2	M + 3 → M	00490
	SXA	MPTR, 2	2	X2 → MPTR	00500
	LXA	TEMPX, 2	2	β → X2	00510
	CLA	RPNTR	2		00520
	SUB	ONE	2	-R ₁ - 1 = -(R ₁ + 1)	00530
	STO	RPNTR	2		00540
	CLA	TEMP	2		00550
	TXI	LFTPRN, 1, 2	2	a - 2 → a	00560
RTPRN	CLA	LLIST -1, 1	2	(R ₂) CASE	00570
	STO	LLIST -2, 1	2		00580
	TXI	NEXT, 1, 1	2	a - 1 → a	00590
OTHERS	CAS	LLIST -2, 1	3		00600
	TRA	VARCON	1	AC > Y GO ADD	00610
	TRA	VARCON	1	AC = Y TO LIST	00620
	STO	TEMP	2	β _j → TEMP	00630
	CLA	LLIST -2, 1	2		00640
	PDC	β, 4	1	AC (DECREMENT COMPLEMENT) X4	00660
	SXA	TEMPX, 2	2	X2(β) → TEMPX	00670
	LXA	MPTR, 2	2	MPTR → X2	00680
	CLA	DUMMYA, 4	2		00690
	ADM	LLIST -1, 1	2	MANUFACTURE AN	00700
	STO	β, 2	2	INSTRUCTION	00710
	CLA	DUMMYB, 4	2	MAIN	00720
	ADM	LLIST -3, 1	2	INSTRUCTION	00730
	STO	1, 2	2		00740
	CLA	RPNTR	2		00750
	STO	LLIST -3	2	R ₁ → L _{a-3}	00760
	SSP	3	2	MAKE AC-	00770
	ADD	DUMMYR, 4	2	STO - R ₁ → M ₁₃	00780
	STO	2, 2	2		00790
	TXI	**1, 2, -3	2	X2-3 → X2	00800
	SXA	MPTR, 2	2		00810
	LXA	TEMPX, 2	2		00820
	CLA	RPNTR	2		00830
	SUB	ONE	2	-R ₂ - 1 = -(R ₂ + 1)	00840
	STO	RPNTR	2		00850
	CLA	TEMP	2	β _j → AC	00860
	TXI	OTHERS, 1, 2	2	a - 2 → a	00870
TERM	CAS	LLIST -2, 1	3	TEST PREC	00880
	TRA	EXECUT	1	LIST AND/OR EXECUTE → R ₁	00890
	NTR	BEGIN	2	ERROR 1 > 5	00900
	STO	TEMP	2	β _j → TEMP	00910
	CLA	LLIST -2, 1	2		00920
	PDC	β, 4	1		00930
	SXA	TEMPX, 2	2	X2(β) → TEMPX	00940
	LXA	MPTR, 2	2	MPTR → X2	00950
	CLA	DUMMYA, 4	2		00960
	ADM	LLIST -3, 1	2	MANUFACTURE	00970
	STO	β, 2	2		00980

ASSEMBLY LISTING OF COMPILER (Continued)

OP	ADDRESS, TAG, DECREMENT	COMMENTS		
CLA	DUMMYB, 4	2	00990	
ADM	LLIST -1, 1	2 6	STORE FINAL RESULT IN	
STO	1, 2	2	SUBSCRIPTED VARIABLE	
CLA	RPNTR	2	01010	
STO	LLIST -3	2 4	$R_2 - L_{a-3}$	
SSP	3	2	01030	
ADD	DUMMYR, 4	2 6	MAKE AC+	
STO	2, 2	2	01040	
TXI	*+1, 2, -3	2	SAVE NEXT R_1 LOCATION	
SXA	MPTR, 2	2	FOR THE NEXT EXPRESSION	
LXA	TEMPX, 2	2	$X2 - 3 \rightarrow X2$	
CLA	RPNTR	2 16	$X2 \rightarrow MPTR$	
SUB	ONE	2	$\beta \rightarrow X2$	
STO	RPNTR	2	01090	
CLA	TEMP	2	INCREASE R_2 POINTER	
TXI	TERM, 1, 2	2	01110	
MINUS	CLA	SLIST -1, 2	2	$\beta_j - AC$
TPL	SCOPEM	2	$a - 2 \rightarrow a$	
CLA	SLIST, 2	2 5	$\beta_{j-1} - AC$	
TRA	OTHERS	1	$M^{(*)}$ OPERAND $M^{(*)}$ OPERATOR	
SCOPEM	CLA	UNMIN	2	$\beta_j - AC$
PDC	$\beta, 4$	1 7	PREC - β - AC	
SXA	TEMPX, 2	2	DEC - β - $X4$	
LXA	MPTR, 2	2	$X1(\beta) - TEMPX$	
CLA	CLEAR	2 4	MPTR - $X1$	
STO	$\beta, 2$	2	01220	
CLA	DUMMYB, 4	2	01230	
ADM	LLIST -1, 1	2 6	CLA-POOL - M_{1L}	
STO	1, 2	2	01240	
CLA	RPNTR	2	01250	
STO	LLIST -1, 1	2 4	FEB $L_{a-1} - M_{12}$	
SSP	3	2	01260	
ADD	DUMMYR, 4	2 6	$R_1 - AC$	
STO	2, 2	2	$R_1 - L_{a-1}$	
TXI	*+1, 2, -3	2	01290	
SXA	MPTR, 2	2	STO - $R_1 - M_{13}$	
LXA	TEMPX, 2	2	01310	
CLA	RPNTR	2 13	$X1 - MPTR$	
SUB	ONE	2	01330	
STO	RPNTR	2	INCREASE R_1 POINTER	
TXI	START, 2, 1	1	01370	
POWERS	CLA	SLIST -2, 2	2	$\beta - 1 - \beta$
CAS	ABEVAL	3	$\beta_{j+1} - AC$	
HTR	BEGIN	3	TEST .ABE	
TRA	ABFORM	1	01410	
CLA	DUMMYA, 4	2 4	ERROR HALT	
ADM	SLIST -1, 2	2	01420	
SXA	TEMPX, 2	2	01430	
LXA	MPTR, 2	2 6	$X1(\beta) - TEMPX$	
STO	$\beta, 2$	2	MPTR - $X2$	
		2	01470	
		2	LDD - $\beta_{j-1} - M_{11}$	
		2	01480	

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ASSEMBLY LISTING OF COMPILER (Continued)

OP	ADDRESS, TAG, DECREMENT	COMMENTS	
CLA	DUMMYB. 4	2	01490
ADM	LLIST -1, 1	2 6	01500
STO	1, 2	2	01510
CLA	TRANSX	2 4	01520
STO	2, 2	2	01530
CLA	RPNTR	2 4	01540
STO	LLIST -1, 1	2	01550
SSP	3	2	01560
ADD	DUMMYR. 4	2 6	01570
STO	3, 2	2	01580
TXI	**1, 2, 4	2	01590
SXA	MPTR, 2	2	01600
LXA	TEMPX, 2	2	01610
CLA	RPNTR	2 4	01620
SUB	ONE	2	01630
STO	RPNTR	2	01640
TXI	STAPT, 2, 2	2	01650
ABFORM	CLA DUMMYB. +12	2	01660
ADM	SLIST -1, 2	2	01670
SXA	TEMPX, 2	2 10	01680
LXA	MPTR, 2	2	01690
STO	1, 2	2	01700
CLA	CLEAR	2 4	01710
STO	2, 2	2	01720
OP	DUMMYR. +12	2	01730
	RPNTR	2 6	01740
STO	2, 2	2	01750
TXI	**1, 2, -3	2	01760
SXA	MPTR, 2	2	01770
LXA	TEMPX, 2	2 10	01780
CLA	RPNTR	2	01790
STO	SLIST -2, 2	2	01800
SUB	ONE	2	01810
STO	RPNTR	2	01820
TXI	POWERS +4, 2, 1	2	01830
EXECUT	TSX DATAIN, 1		01840
	TRA MLIST		01850
MLIST	BSS 200		01860
RST	OCT -100		01870
RPNTR	BSS		01880
IST	MLIST		01890
MPTR	BSS		01900
RTERM	OCT 1 OP, 1		01910
DUMMYA	NOP POOL		01911
	NOP POOL		01912
	NOP POOL		01913
	NOP POOL		01914
	NOP POOL		01915
	CLA POOL		01916

ASSEMBLY LISTING OF COMPILER (Continued)

	OP	ADDRESS, TAG, DECREMENT	COMMENTS	
	CLA	POOL	+ POOL + L _{g-1}	01917
	CLA	POOL	-	01918
	CLA	POOL	/	01919
	LDQ	POOL	*	01920
	CLA	POOL	- _u	01930
	LDQ	POOL	↑	01940
	CLA	POOL	.ABS.	01950
DUMMYB	NOP	POOL	VARIABLE/CONSTANT	01960
	!NOP	POOL	-	01970
	NOP	POOL	+	01980
	NOP	POOL)	01990
	NOP	POOL	(02000
	STO	POOL	=	02010
	FAD	POOL	+ POOL + L _{g-3}	02020
	FSB	POOL	-	02030
	FPP	POOL	/	02040
	FMP	POOL	*	02050
	FSB	POOL	- _u	02060
	LDA	POOL	↑	02070
	FAM	POOL	.ABS.	02080
DUMMYR	NOP	POOL	VARIABLE/CONSTANT	02090
	NOP	POOL	-	02100
	NOP	POOL	+	02110
	NOP	POOL)	02120
	NOP	POOL	(02130
	NOP	POOL	- POOL + 100(R ₁)	02140
	STO	POOL	+	02150
	STO	POOL	-	02160
	STQ	POOL	/	02170
	STQ	POOL	*	02180
	STQ	POOL	- _u	02190
	STQ	POOL	↑	02200
	STO	POOL	.ABS.	02210
LIST	BSS	100	INTERMEDIATE STORAGE	02220
LTERM		> OP # 2	LEFT TERMINATOR OPERATOR	02230
SLIST	BSS	100	INPUT STRING	02240
CHAR	BSS		CHARACTER COUNT	02250
TEMP	BSS		TEMPORARY STORAGE	02260
TEMPX	BSS		INDEX STORAGE	02270
ONE	GCT	1		02280
UNMIN		- _u OP # 10	UNARY OPERATOR	02290
CLEAR	CLA	POOL		02300
POOL	ZRO		ZERO	02310
	BSS	100	CONSTANT/VARIABLE/RESULTS	02320
ARVAL		.ABS OP # 12		02330
TRANSX	TSX	EXP	TRANSFER TO SUBROUTINE	02340
INPUT	RFM	INPUT ONE STATEMENT	ROUTINE	02350
DATAIN	RFM	READ DATA ROUTINE		02370
	TRA	1	GO TO L = 1 (MAIN PROGRAM)	02380

GENERAL TIMING EQUATIONS

Initialization

Time to set the triple resultant pointer and object program pointer:

$$\text{BEGIN}_{00010} - \text{BEGIN}_{00040} = 8 \text{ cycles}$$

Time to set the program to compile one expression:

$$\text{SET}_{00050} - \text{SET}_{00110} = 12 \text{ cycles}$$

Time to acknowledge one item:

$$\text{START}_{00120} - \text{JLIST}_{00150-00260} = 5 \text{ cycles}$$

Variable or Constant

Time to transfer a variable or constant from the SLIST to the LLIST:

$$\text{VARCON}_{00270} - \text{NEXT}_{00290} = 6 \text{ cycles}$$

Time to discover $\text{PREC}("(") < \text{PREC}(\delta_{j-1})$

Left Parenthesis

$$\text{LFTPRN}_{00300} = 3 \text{ cycles}$$

Time to manufacture first instruction:

$$\text{LFTPRN}_{00330} - \text{LFTPRN}_{00400} = 15 \text{ cycles}$$

Time to manufacture second instruction:

$$\text{LFTPRN}_{00410} - \text{LFTPRN}_{00430} = 6 \text{ cycles}$$

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Time to STORE resultant pointer in LLIST -3

$$\text{LFTPRN}_{00440} - \text{LFTPRN}_{00450} = 4 \text{ cycles}$$

Time to manufacture third instruction:

$$\text{LFTPRN}_{00460} - \text{LFTPRN}_{00480} = 6 \text{ cycles}$$

Time to increase R_i pointer by one, object program counter by three, and decrement α by two:

$$\text{LFTPRN}_{00490} - \text{LFTPRN}_{00560} = 16 \text{ cycles}$$

Time to form a triple set of instructions:

$$\Sigma \text{LFTPRN}_{00300} - \text{LFTPRN}_{00560} = 50 \text{ cycles}$$

Time to put R_i at $L_{\alpha-1}$ back to $L_{\alpha-2}$ for (R_i) case

$$\text{RTPRN}_{00570} - \text{RTPRN}_{00590} = 11 \text{ cycles}$$

Others

Time to discover $\text{PREC}(\delta_j) < \text{PREC}(\delta_{j-1})$:

$$\text{OTHERS}_{00600} = 3 \text{ cycles} \quad \text{if yes}$$

$$4 \text{ cycles} \quad \text{if no}$$

Time to manufacture the first instruction:

$$\text{OTHERS}_{00630} - \text{OTHERS}_{00710} = 15 \text{ cycles}$$

Time to manufacture the second instruction:

$$\text{OTHERS}_{00720} - \text{OTHERS}_{00740} = 6 \text{ cycles}$$

Time to store resultant pointer in LLIST -3:

$$\text{OTHERS}_{00750} - \text{OTHERS}_{00760} = 4 \text{ cycles}$$

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Time to manufacture the third instruction:

$$\text{OTHERS}_{00770} - \text{OTHERS}_{790} = 6 \text{ cycles}$$

Time to increase R_1 pointer by one, object program pointer by three, and decrement q by two

$$\text{OTHERS}_{00800} - \text{OTHERS}_{00870} = 16 \text{ cycles}$$

Time to form a triple set of instructions

$$\Sigma \text{OTHERS}_{00600} - \text{OTHERS}_{00870} = 50 \text{ cycles}$$

Terminator

Time to test $\text{PREC}(-) < \text{PREC}(\delta_{j-1})$:

$$\text{TERM}_{00880} = 3 \text{ cycles}$$

Time to manufacture the first instruction:

$$\text{TERM}_{00910} - \text{TERM}_{00980} = 15 \text{ cycles}$$

Time to manufacture the second instruction:

$$\text{TERM}_{00990} - \text{TERM}_{01010} = 6 \text{ cycles}$$

Time to put the R_1 pointer into LLIST -3:

$$\text{TERM}_{01020} - \text{TERM}_{01030} = 4 \text{ cycles}$$

Time to manufacture the third instruction:

$$\text{TERM}_{01040} - \text{TERM}_{01060} = 6 \text{ cycles}$$

Time to increase R_1 pointer by one, object program pointer by three, and decrement q by two:

$$\text{TERM}_{01070} - \text{TERM}_{01140} = 16 \text{ cycles}$$

Time to form a triple set of instructions:

$$\Sigma \text{TERM}_{00880} - \text{TERM}_{01140} = 50 \text{ cycles}$$

Minus

Time to discover binary minus

$$\text{MINUS}_{01150} - \text{MINUS}_{01180} = 7 \text{ cycles}$$

Time to form binary minus triple set of instructions:

$$\text{MINUS}_{01150} - \text{OTHERS}_{00870} = 50 + 7 = 57 \text{ cycles}$$

Time to discover unary minus

$$\text{MINUS}_{01150} - \text{MINUS}_{01160} = 4 \text{ cycles}$$

Time to manufacture the first instruction:

$$\text{SCOPEM}_{01190} - \text{SCOPEM}_{01240} = 11 \text{ cycles}$$

Time to manufacture the second instruction:

$$\text{SCOPEM}_{01150} - \text{SCOPEM}_{01270} = 6 \text{ cycles}$$

Time to put the resultant point into LLIST -1:

$$\text{SCOPEM}_{01280} - \text{SCOPEM}_{01290} = 4 \text{ cycles}$$

Time to manufacture the third instruction:

$$\text{SCOPEM}_{01300} - \text{SCOPEM}_{01320} = 6 \text{ cycles}$$

Time to increase R_i pointer by one, object program counter by three, and decrement β by one:

$$\text{SCOPEM}_{01330} - \text{SCOPEM}_{01390} = 13 \text{ cycles}$$

Time to form a unary minus triple set of instructions:

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$$\Sigma \text{MINUS}_{01150} - \text{SCOPEM}_{01390} = 44 \text{ cycles}$$

Powers

Time to test $S_{\beta-2}$ for absolute value:

$$\text{POWERS}_{01400} - \text{POWERS}_{01410} = 5 \text{ cycles}$$

Time to manufacture the first instruction:

$$\text{POWERS}_{1440} - \text{POWERS}_{01480} = 10 \text{ cycles}$$

Time to manufacture the second instruction:

$$\text{POWERS}_{01490} - \text{POWERS}_{01510} = 6 \text{ cycles}$$

Time to manufacture the third instruction:

$$\text{POWERS}_{1520} - \text{POWERS}_{1530} = 4 \text{ cycles}$$

Time to put the R_i pointer on LLIST -1:

$$\text{POWERS}_{01560} - \text{POWERS}_{0158} = 6 \text{ cycles}$$

Time to increase the R_i pointer by one, the program pointer by four, and decrease β by two:

$$\text{POWERS}_{01590} - \text{POWERS}_{01650} = 14 \text{ cycles}$$

Time to form a calling sequence of four instructions:

$$\Sigma \text{POWERS}_{01400} - \text{POWERS}_{01550} = 45 \text{ cycles}$$

.ABS. V ↑ V Condition

Time if $S_{\beta-2} = .ABS.:$

$$\text{POWERS}_{01400} - \text{POWERS}_{01430} = 6 \text{ cycles}$$

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Time to form the first instruction:

$$\text{ABFORM}_{01650} - \text{ABFORM}_{01700} = 10 \text{ cycles}$$

Time to form the second instruction:

$$\text{ABFORM}_{01710} - \text{ABFORM}_{01720} = 4 \text{ cycles}$$

Time to form the third instruction:

$$\text{ABFORM}_{01730} - \text{ABFORM}_{01750} = 6 \text{ cycles}$$

Time to put R_i on SLIST -2 and increase the object program counter by three:

$$\text{ABFORM}_{01760} - \text{ABFORM}_{01800} = 10 \text{ cycles}$$

Time to increase R_i pointer by one and decrease β by one:

$$\text{ABFORM}_{01810} - \text{ABFORM}_{01830} = 6 \text{ cycles}$$

Time to form a triple set of instructions for this case of absolute value:

$$\Sigma \text{POWERS}_{01400} - \text{ABFORM}_{01830} = 42 \text{ cycles}$$

Normal Absolute Value

$$\Sigma \text{SCOPEM}_{01210} - \text{SCOPEM}_{01390} = 37 \text{ cycles}$$

SIMULATION OF A COMPILATIONStatus of SList

S_{β}	Accumulative time to reference item (cycles)	S_{β}	Accumulative time to reference item (cycles)
†	1216)	597
Z	1205	+	532
=	1090	(465
(973	J	420
A	962	†	411
*	947	K	400
B	936	-	328
+	871	L	317
C	860	/	302
*	845	M	291
D	834)	280
)	823	/	265
*	808	(198
(741	-	149
E	696	P	138
†	687	+	73
F	676	Q	62
*	661	°	47
ABS.	619	R	36
I	608)	25

Status of LList at Various Intervals

Accumulative time (cycles)								
α	L_α	$S_\beta \rightarrow L_\alpha$	α	L_α	$S_\beta \rightarrow L_\alpha$	α	L_α	$S_\beta \rightarrow L_\alpha$
0	┆	20	0	┆	20	0	┆	20
1)	31	1)	31	1)	31
2	R	42	2	R ₁	101	2	R ₁	101
3	*	57	3	⊕		3	+	133
4	Q	68				4	P	144
5	⊕					5	⊖	

PREC(+)
Q*R → R₁

PREC(+)
PREC(")")

S_{β-1} is an operator
∴ -P → R₂

α	L_α	$S_\beta \rightarrow L_\alpha$	α	L_α	$S_\beta \rightarrow L_\alpha$	α	L_α	$S_\beta \rightarrow L_\beta$
0	┆	20	0	┆	20	0	┆	20
1)	31	1)	31	1	R ₃	260
2	R ₁	101	2	R ₃	226	2	/	275
3	+	133	3	⊕		3)	286
4	R ₂	174				4	M	297
5	⊕					5	/	312
						6	L	323
						7	⊖	

PREC(")")
R₂ + R₁ → R₃

L_{α-1} → L_{α-2}

PREC(-) ≠ -u < PREC(/)
L/M → R₄

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Status of LList at Various Intervals (Continued)

α	L_α	$S_\beta \rightarrow L_\alpha$	α	L_α	$S_\beta \rightarrow L_\alpha$	α	L_α	$S_\beta \rightarrow L_\alpha$
0	+	20	0	+	20	0	+	20
1	R_3	260	1	R_3	260	1	R_3	260
2	/	275	2	/	275	2	/	275
3)	286	3)	286	3)	286
4	R_4	363	4	R_4	363	4	R_6	493
5	-	395	5	-	395	5	(1)	
6	K	406	6	R_5	440			
7	(1)		7	(1)				

$S_{\beta-2} \neq .ABS.$
 $\therefore JIK \rightarrow R_5$

$PREC(") < PREC(-)$
 $\therefore R_5 - R_4 \rightarrow R_6$

$(R_1) CASE$
 $\therefore L_{\alpha-1} \rightarrow L_{\alpha-2}$

α	L_α	$S_\beta \rightarrow L_\alpha$	α	L_α	$S_\beta \rightarrow L_\alpha$	α	L_α	$S_\beta \rightarrow L_\alpha$
0	+	20	0	+	20	0	+	20
1	R_3	260	1	R_7	560	1	R_7	560
2	/	275	2	+	592	2	+	592
3	R_6	527	3)	603	3)	603
4	(+)		4	1	614	4	R_8	637
			5	(ABS)		5	+	671
						6	F	682
						7	(1)	

$PREC(+) < PREC(/)$
 $\therefore R_6/R_3 \rightarrow R_2$

$.ABS. 1 \rightarrow R_8$

$S_{\beta-2} \neq .ABS.$
 $\therefore EIF \rightarrow R_9$

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Status of LList at Various Intervals (Continued)

a	L_a	$S_{\beta \rightarrow L_a}$	a	L_a	$S_{\beta \rightarrow L_a}$	a	L_a	$S_{\beta \rightarrow L_a}$
0	+	20	0	+	20	0	+	20
1	R_7	560	1	R_7	560	1	R_7	560
2	+	592	2	+	592	2	+	592
3)	603	3)	603	3	R_{10}	803
4	R_8	637	4	R_{10}	769	4	*	818
5	+	671	5	⓪		5)	829
6	R_9	716				6	D	840
7	⓪					7	*	855
						8	C	866
						9	f	

PREC(") < PREC(+)
 $\therefore R_9 + R_8 \rightarrow R_{10}$

(R_1) CASE
 $\therefore L_{a-1} \rightarrow L_{a-2}$

PREC(+) < PREC(*)
 $\therefore C+D \rightarrow R_{11}$

a	L_a	S_{β}	L_a	a	L_a	S_{β}	L_a	a	L_a	S_{β}	L_a
0	+		20	0	+		20	0	+		20
1	R_7		560	1	R_7		560	1	R_7		560
2	+		592	2	+		592	2	+		592
3	R_{10}		803	3	R_{10}		803	3	R_{10}		803
4	*		818	4	*		818	4	*		818
5)		829	5)		829	5)		829
6	R_{11}		899	6	R_{11}		899	6	R_{13}		1051
7	.		931	7	+		931	7	⓪		
8	B		942	8	R_{12}		1001				
9	*		957	9	⓪						
10	A		968								
11	(

PREC(") < PREC(*)
 $\therefore A+B \rightarrow R_{12}$

PREC(") < PREC(+)
 $R_{12} + R_{11} \rightarrow R_{13}$

(R_2) CASE
 $L_{a-1} \rightarrow L_{a-2}$

APPENDIX XII

Status of LList at Various Intervals (Continued)

α	L_α	$S_\beta \rightarrow L_\alpha$	α	L_α	$S_\beta \rightarrow L_\alpha$	α	L_α	$S_\beta \rightarrow L_\alpha$
0	+	20	0	+	20	0	+	20
1	R_7	560	1	R_7	560	1	R_{15}	1168
2	+	592	2	+	592	2	=	1200
3	R_{10}	803	3	R_{14}	1118	3	Z	1211
4	*	818	4	\ominus		4	\oplus	
5	R_{13}	1085						
6	\ominus							

PREC(=) < PREC(*)
 $R_{13} * R_{10} \rightarrow R_{14}$

PREC(=) < PREC(+)
 $R_{14} + R_7 \rightarrow R_{15}$

"TERM" CASE
 $Z = R_{15} \rightarrow R_{16}$

α	L_α	$S_\beta \rightarrow L_\alpha$
0	+	1270
1	R_{16}	1244
2	\oplus	

Object Program

MNEMONIC	TAG	ADDRESS	
MLIST	LDQ	POOL + 14	91 C(Q) \rightarrow MQ
	FMP	POOL + 15	97 C(Q) * C(R) \rightarrow MQ
	STQ	POOL + 100	107 MQ \rightarrow R_1
	CLA	POOL	164 ZERO \rightarrow AC
	FSB	POOL + 13	170 C(AC) - C(P) \rightarrow AC
	STO	POOL + 101	180 AC \rightarrow R_2
	CLA	POOL + 101	216 C(R_2) \rightarrow AC
	FAD	POOL + 100	222 C(R_2) + C(R_1) \rightarrow AC
	STO	POOL + 102	232 C(AC) \rightarrow R_3
	CLA	POOL + 11	353 C(L) \rightarrow AC

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Object Program (Continued)

FDP		POOL + 12	359	$C(L)/C(M) \rightarrow MQ$
STQ		POOL + 103	369	$C(Q) \rightarrow R_4$
LDQ		POOL + 9	426	$C(J) \rightarrow MQ$
CLA		POOL + 10	432	$C(K) \rightarrow AC$
TSX	1	EXF	436	GO TO SUBROUTINE "EXP"
STQ		POOL + 104	446	RETURN HERE $C(X1) + 1$
CLA		POOL + 104	483	$C(R_5) \rightarrow AC$
FSB		POOL + 103	489	$C(R_5) - C(R_4) \rightarrow AC$
STO		POOL + 105	499	$C(AC) \rightarrow R_6$
CLA		POOL + 105	550	$C(R_5) \rightarrow AC$
FDP		POOL + 102	556	$C(R_6)/C(R_3) \rightarrow MQ$
STQ		POOL + 106	566	$C(MQ) \rightarrow R_7$
CLA		POOL	627	ZERO $\rightarrow AC$
FAM		POOL + 8	633	$ C(I) \rightarrow AC$
STO		POOL + 107	643	$AC \rightarrow R_8$
LDQ		POOL + 6	702	$C(E) \rightarrow MQ$
CLA		POOL + 7	708	$C(F) \rightarrow AC$
TSX	1	EXP	712	GO TO SUBROUTINE "EXP"
STQ		POOL + 108	722	RETURN $C(MQ) \rightarrow R_9$
CLA		POOL + 108	759	$C(R_9) \rightarrow AC$
FAD		POOL + 107	765	$C(R_9) + C(R_8) \rightarrow AC$
STO		POOL + 109	775	$C(AC) \rightarrow R_{10}$
LDQ		POOL + 4	889	$C(C) \rightarrow MQ$
FMP		POOL + 5	895	$C(C) * C(D) \rightarrow MQ$
STQ		POOL + 110	905	$MQ \rightarrow R_{11}$
LDQ		POOL + 2	991	$C(A) \rightarrow MQ$
FMP		POOL + 3	997	$C(A) * C(B) \rightarrow MQ$
STQ		POOL + 111	1007	$MQ \rightarrow R_{12}$
CLA		POOL + 111	1011	$R_{12} \rightarrow AC$

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Object Program (Continued)

MLIST (cont)	FAD	POOL + 110	1047	$C(R_{12}) + C(R_{11}) \rightarrow AC$
	STO	POOL + 112	1057	$AC \rightarrow R_{13}$
	LDQ	POOL + 112	1108	$C(R_{13}) \rightarrow MQ$
	FMP	POOL + 109	1114	$C(R_{13}) * C(R_{10}) \rightarrow MQ$
	STQ	POOL + 113	1124	$MQ \rightarrow R_{14}$
	CLA	POOL + 113	1158	$C(R_{14}) \rightarrow AC$
	FAD	POOL + 106	1164	$C(R_{14}) + C(R_7) \rightarrow AC$
	STO	POOL + 114	1174	$AC \rightarrow R_{15}$
	CLA	POOL + 114	1234	$C(R_{15}) \rightarrow AC$
	STO	POOL + 1	1240	$C(AC) \rightarrow Z$
	NOP	POOL + 115	1250	$R_f + 1$

POOL	00	00	00	00	00	00	ZERO
	00	00	00	00	00	00	Reserved for Z
	00	00	00	00	00	00	Reserved for A
	00	00	00	00	00	00	Reserved for B
	00	00	00	00	00	00	Reserved for C
	00	00	00	00	00	00	Reserved for D
	00	00	00	00	00	00	Reserved for E
	00	00	00	00	00	00	Reserved for F
	00	00	00	00	00	00	Reserved for I
	00	00	00	00	00	00	Reserved for J
	00	00	00	00	00	00	Reserved for K
	00	00	00	00	00	00	Reserved for L
	00	00	00	00	00	00	Reserved for M
	00	00	00	00	00	00	Reserved for P
	00	00	00	00	00	00	Reserved for Q
	00	00	00	00	00	00	Reserved for R

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POOL + 100	00	00	00	00	00	00	Result of	$Q * R = R_1$
	00	00	00	00	00	00		$\phi - P = R_2$
	00	00	00	00	00	00		$R_2 + R_1 = R_3$
	00	00	00	00	00	00		$L / M = R_4$
	00	00	00	00	00	00		$J \uparrow K = R_5$
	00	00	00	00	00	00		$R_5 - R_4 = R_6$
	00	00	00	00	00	00		$R_6 / R_3 = R_7$
	00	00	00	00	00	00		$.ABS. I = R_8$
	00	00	00	00	00	00		$E \uparrow F = R_9$
	00	00	00	00	00	00		$R_9 + R_8 = R_{10}$
	00	00	00	00	00	00		$C * D = R_{11}$
	00	00	00	00	00	00		$A * B = R_{12}$
	00	00	00	00	00	00		$R_{12} + R_{11} = R_{13}$
	00	00	00	00	00	00		$R_{13} * R_{10} = R_{14}$
	00	00	00	00	00	00		$R_{14} + R_7 = R_{15}$

5. CONCLUSIONS

When comparing the compilation time of the simplified expressions to that of its equivalent complex expression, it is readily seen that the writing of complex expressions is to a marked advantage. For most compilers, however, this is not the case and the programmer can usually compile simplified expressions with greater speed. The compiler size could be reduced considerably if only the simplified type of expressions is to be compiled but, of course, this would mean a sacrifice of compilation time. If the generated object program (Appendix D) is examined, it is readily seen that this is not an optimum object program. To generate an optimum object code, additional tests could be included in the compiler but these changes would increase compilation time. Many of today's compilers are designed with the desire of generating optimum object program in mind. In order to accomplish this, the substitution expressions are scanned several times looking for:

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1. Repetitive triples, i. e. :

$$x = a + b ,$$

$$y = C + D*(a + b) ,$$

where $a + b$ is the repetitive triple

2. Commutative triples, i. e. :

$$x = a + b ,$$

$$y = C + D*(b + a) ,$$

where $a + b = b + a$, etc.

3. Redundant object code, i. e. :

$$\text{STO } R_i \qquad \text{AC} \rightarrow R_i$$

$$\text{CLA } R_i \qquad R_i \rightarrow \text{AC}$$

In the present algorithm, none of the above considerations was employed. For large programs and programs that are developed with long-range use in mind, the above features should be considered in the writing of a compiler. Such a compiler would be useful in a production-type computer environment. Such features sometimes would result in long compilation times to accomplish short object program execution times. If the object program is to be used only once or twice, it sometimes becomes absurd to use as much as twice the object program execution time in order to accomplish a compilation.

The accumulative compilation time for compiling the expression

$$Z = (A*B + C*D)*(E!F + .ABS. I) + (J!K - L/M)/(-P + Q*R)$$

was found to be 2.37 msec and for an equivalent set of simplified expressions (using a more general subroutine), 3.27 msec. The algorithm consists of 184 IBM 7090 instructions and 654 locations for constants and working storage.

APPENDIX XIII - MACHINE II PROGRAMMING

1. INTRODUCTION

This is the programming report for the compilation problem programmed for Machine II as a portion of the work performed under Contract AF30-(602)-3550. The compilation problem was the programming of a portion of the Michigan Algorithm Decoder (MAD). The section of MAD chosen for demonstration was the compilation of substitution statements. Substitution statements are composed of variables and operators whose values are substituted or made equal to some variable.

When grouped, the elements of the statement fall into sets of triples, two operands and an operator, that can be used to generate an object program for machine execution. The keys to statement decomposition and object generation are operator precedence, the order of execution when a statement is composed of various operators, and a statement scanner.

Item 2 contains a discussion of the programs. Item 3 contains results of the programming, a comparison with the IBM 7090 program, and the compiler flow charts and programs. Item 4 contains a discussion of the object program generated and the object program.

2. DISCUSSION OF THE PROGRAM

a. Statement

The statement selected for demonstration is

$$Z = (A \cdot B + C \cdot D) + (E \uparrow F + \text{ABS } I) + \\ (J \uparrow K - L/M)/(\text{NEG } P + Q \cdot R)$$

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Each variable is represented as a single alphabetic character, although a maximum of six are recognized in the MAD translator. It is assumed that the decoding process has been completed and a table (the L list) generated with single-word entries corresponding to each variable and each operator in the statement. The entries in the L list are in the same order as the elements in the substitution statement. The L list is scanned from left to right and, depending on the tests that are satisfied, an output list (the P list) and a temporary list (S list, or stack) are generated.

Operands are transferred immediately from the L list to the P list. Operators are transferred to the top of the S list if their precedence is equal to or greater than the current operator on the top of this list. Termination and grouping symbols require special handling. Left parentheses are unconditionally put on the S list. Right parentheses cause the removal of all elements from the S list and transfer to the P list with the parentheses, both right and left, then removed. The right termination symbol causes the transfer of all remaining operators in the S list to the P list.

The substitution statement is assumed to have less than 256 elements so that it can be considered as occupying at most one block of memory.

The object program also is assumed to require at most one block of memory. These restrictions are not necessarily fixed but could be removed with minor programming changes.

When an operator is added to the P list, the preceding two operands with the operator are sent to a generator program to produce a segment of the object program. The resultant, R_i , is then entered in the P list and the scanning continued.

Table XIII-1 shows the L list. Table XIII-2 shows the P list status and the compiled triples.

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TABLE XIII-1 - L LIST

Element (no.)	Element	Element (no.)	Element	Element (no.)	Element
1	Z	14	E	27	L
2	=	15	†	28	/
3	(16	F	29	M
4	A	17	+	30)
5	•	18	ABS	31	/
6	B	19	I	32	(
7	+	20)	33	NEG
8	C	21	+	34	P
9	•	22	(35	+
10	D	23	•	36	Q
11)	24)	37	•
12	•	25	K	38	R
13	(26	-	39)

TABLE XIII-2 - P LIST STATUS AND
COMPILED TRIPLES

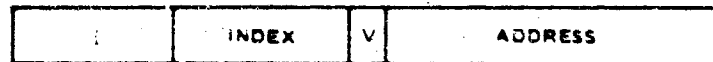
P list status	Triples compiled
Z A B •	$R_5 = A \cdot B$
Z R_5 C D •	$R_9 = C \cdot D$
Z R_5 R_9 +	$R_7 = R_5 + R_9$
Z R_7 E F	$R_{15} = E \cdot F$
Z R_7 R_{15} † ABS	$R_{18} = ABS \cdot I$
Z R_7 R_{15} R_{18}	$R_{17} = R_{15} \cdot R_{18}$
Z R_7 R_{17} •	$R_{12} = R_7 \cdot R_{17}$
Z R_{12} J K †	$R_{24} = J \cdot K$
Z R_{12} R_{24} L M /	$R_{28} = L/M$
Z R_{12} R_{24} R_{28} •	$R_{26} = R_{24} \cdot R_{28}$
Z R_{12} R_{26} P NEG	$R_{33} = NEG \cdot P$
Z R_{12} R_{26} R_{33} Q •	$R_{37} = Q \cdot R$
Z R_{12} R_{26} R_{33} R_{37} •	$R_{35} = R_{33} \cdot R_{37}$
Z R_{12} R_{26} R_{35} /	$R_{31} = R_{26} \cdot R_{35}$
Z R_{12} R_{31} •	$R_{21} = R_{12} \cdot R_{31}$
Z R_{21} •	

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A flow chart depicting the process of starting a generator program is shown in Figure XIII-1 (the illustrations begin on Page 335). The * routines have found that an operator is to be transferred to the P list. When the transfer has been accomplished, an instruction starts the SP block; this in turn starts either SPU or SPB. When the required data have been transferred to the generator programs, G1, G2, G3, or G4 BRING instructions are executed in SP and G*, I*, or K*, enabling starting of subsequent blocks of the program. The BRING instructions request a result that is generated in a block started by the block in which the BRING resides. The BRING is executed when the result is generated. Hence, a block is not completely executed until the BRING is executed. If subsequent STARTs are dependent on a BRING, there will be a delay in them until execution of the BRING.

b. Assumptions

A variable in the L list or P list has the format shown below.



The address of the whole word as it is contained in a block is i. Index is a pointer to a list location containing the symbolic name of the variable. V is a bit, equal to a one, indicating that the word corresponds to a variable. Address is the memory address of the variable that is assumed as input after L list generation.

An operator in the L, S, or P list has the format shown below.



The address of the whole word as it appears in a block is i. The precedence of the operator is contained in the PREC field. V is a bit equal to 0, indicating that the element is an operator. Code is the operation code.

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The operations considered were floating point arithmetic, absolute value, exponentiation, and equality.

c. Program Techniques

The program is a left-to-right statement scanner that allows generation of triples as soon as an operation can be transferred from the S list to the P list. In the flow diagram of Figure XIII-2, the ABLE and CHARLIE loop transfers operands from the L list to the P list. If an element is an operator and its precedence is equal to or greater than the top element of the S list, the S list is pushed down and the element is put on top of the S list. If the operator has less precedence than the top element of the S list, then the top element of the S list is put on the P list. When an operator is placed on the P list, a generator program is started that produces the corresponding portion of the object program using this operator and the two preceding operands on the P list. A resultant address is calculated and entered in the P list as a variable.

The detection of a left parenthesis results in the transferral of the element to the top of the stack. A right parenthesis causes all operators up to the first left parenthesis on the S list to be transferred, in order, to the P list. Again, each operator transferred to the P list has a corresponding generator program started that produces a portion of the object program corresponding to the operator transferred. The parentheses are then dropped from the S list and are not transferred to the P list.

When a right termination is detected, all remaining operators on the S list are transferred to the P list in order. For each operator transferred, a corresponding generator program is started to produce a portion of the object program.

A triple is detected each time an operator is transferred from the S list to the P list. The operands associated with the operator and

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comprising the triple are immediately ahead of the operator on the P list. The resultant address is calculated as the first word address of the generated object program segment. This resultant address is then placed in the P list as a variable. It will in turn be considered as an element of some triple.

The generator programs produce a segment of the object program. The resultant is always left in the first word of the object program segment or, in the case of a double precision operation, in the first two words of the segment. The initial address of a segment is calculated easily by considering the maximum size of all possible object program segments. In this example, the size is indicated in the program as \otimes . The index of the operator in the L list is used to determine $i \otimes$, which is then added to the object program base address and results in $OBI + I \otimes$, the initial address of the object program segment and the location of the resultant of the triple.

Parallelism exists both within any block of the program and between blocks of the program and the generator programs. The algorithm is sequential in nature; attempts to scan the substitution statement in parallel results in invalid intermediate forms of the statement. Attempts to correct this uncovered a processor control problem. Figure XIII-1 depicts the sequence of a program started when an operator is detected. The solid lines indicate the sequence executed for a block start. The dashed lines indicate the path followed while the initiating block is waiting for an answer from the initiated block. The initiated block in turn tests the operator and starts other blocks.

The $G^* G^*$ loop in Figure XIII-1 starts the SP block which tests the operator to determine if it is a unary or binary operator. If it is unary, the SPU block is started and a return to the G is accomplished by the BRING instruction in G. If the operator is binary, the SPB block is started. The SPB block tests the operator and if it is not an exponentiation, the GENO 1 block is started; if it is exponentiation,

the GENO 3 is started. The SPB block is tested also by the BRING instruction in G and when it is satisfied the GJ loop is reinitiated.

Some characteristics of Machine II make it a desirable machine. The storage of results in the word occupied by the generating instruction simplifies programming in that no explicit store instruction is required for MPC storage. However, a store instruction is required for a memory store operation. The variety of conditional starts is necessary to enable branching from a block. To execute a two-way branch from a block, two conditionals are required in that block, both testing the same word. In some respects, this is undesirable in that extra instructions are required over what would be required in a sequential machine. However, no extra time is required because the two tests are performed in parallel as soon as the test word is available.

The ability to acquire data from neighboring blocks is necessary for program continuity. Data from a previous block can be addressed relative to the previous starting instruction or by absolute block address. Data from a subsequent block can be retrieved by absolute address within the started block. A BRING and an M WAIT instruction for a block to be started should not be used in the same block. The M WAIT instruction waits until all instructions have been executed before starting a new block while the BRING attempts to bring back a piece of data generated by that block. However, a conditional or an unconditional start and a BRING instruction are compatible.

Data in memory can be found with the READ MEMORY, READ MEMORY INDIRECT, and THRESHOLD instructions. The READ MEMORY is an absolute address instruction, the READ INDIRECT permits an indexed access, and the THRESHOLD instruction permits a next-higher-than threshold search.

A disadvantage is the quantity of data that must be passed from one block to another. In the problem, as many as 8 to 10 words passed

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through a series of blocks. Timewise, this was not detrimental since all the data were passed in parallel. However, each transfer required an instruction. In some cases, where a word was being used as an index, the shift instruction is placed elsewhere in the program and the index augmented with the result left in its proper relative location ready for transferral to the next block. Considerable time is spent in laying out data transfers, especially when attempting to implement a program loop.

The instruction-erase option allows the programmer to maintain a minimum of MPC storage. As results are used, they can be erased, allowing MPC storage of other data. In the problem, however, it was found that because of the amount of branching and looping the task of erasing data or maintaining data and letting subsequent blocks erase it where there was alternate subsequent blocks, became difficult and time consuming. One way to surmount the problem would be to alter the erase instructions so that the instruction would not be executed until all data had been transferred to the new block. Then the previous block could be wiped out completely. The instruction would be a combination of ERASE and a modified M WAIT where the wait would be dependent on the transferral of all data requested by the new block of program.

Programming of Machine II has been relatively easy in some respects and difficult in others. It is easy to program in a straightforward manner with no attempt at finesse. However, the lack of indexing seriously hampers any attempt to reduce the size of a program by using loops. The ability to converse between blocks using the SHIFT and BRING instructions partly resolves the difficulty, but the necessity for time consuming and tedious program layout of data to be transferred between blocks still remains when operation is restricted to the MPC. It is possible that even this difficulty can be removed by maintaining these data in memory, but here again

the tradeoff sacrifices the speed of obtaining a result from the MPC for obtaining a result from the memory. The former is obtained while executing an instruction requesting the result while the latter requires a READ MEMORY instruction and then an operating instruction to acquire the same result.

3. RESULTS AND COMPARISON

The results looked for in the programming portion of the study are time to execute the problem, processors used during execution, ease or difficulty in programming, comparison with the results of programming a similar problem on a sequential machine, and an extrapolation of times for the two machines and subsequent comparison.

The algorithm finally used in the problem was more sequential in nature than others examined but did not display the control problems inherent in some of the others when programmed. The result was a very low average load on the processors. The average loading was 1.17 processors per machine cycle for the total problem execution time. Peak loading is estimated to be no more than 10 processors in any machine cycle. Another algorithm or a slightly longer or shorter program block could change the peak, either increasing it or leveling it.

The time required to execute the algorithm for the translation of the substitution statement and generation of the corresponding object program was 53.585 msec. The speed ratio of the sequential machine to Machine II is N to 27, where N is the number of statements to be compiled. If it is assumed that there is more than one statement to be translated, then the sequential machine will translate faster until the number of statements is 27. The fact that there are numerous processors and that the processor loading is small allows Machine II to process numerous statements in parallel. When the statement loading exceeds 27, the sequential time required for translating will continue to increase linearly while the Machine II time will remain relatively fixed at 54 msec. Assuming availability of

256 processors, then Machine II can average about $256/1.17 = 219$ statements every 54 msec. This would give Machine II a speed advantage over the IBM 7090 of approximately $219/27$ or 8 to 1. The actual time required for processing, of course, depends upon the sequence of operators and the amount of grouping within the substitution statement.

4. OBJECT PROGRAM

The object program generated is shown in Table XIII-4. Segments of the object program block are generated each time an operator is detected and transferred to the P list. Each segment is then stored in memory in the block assigned to the object program. Addresses within the block are determined by the index of the operand in the L list and the predetermined maximum size of any object program segment.

Some variables in the segments reside in memory and some reside in the MPC. Each generator determines where a variable is located and generates the appropriate instructions, either READ MEMORY or SHIFT THIS BLOCK. Upon execution, the variable replaces the instruction and the triple is executed with the resultant then occupying the first two words of the segment.

The object program can be executed in the same manner as the translator. It should be noted that the execution could be done simultaneously with translation so that the statement resultant would be available only shortly after object generation.

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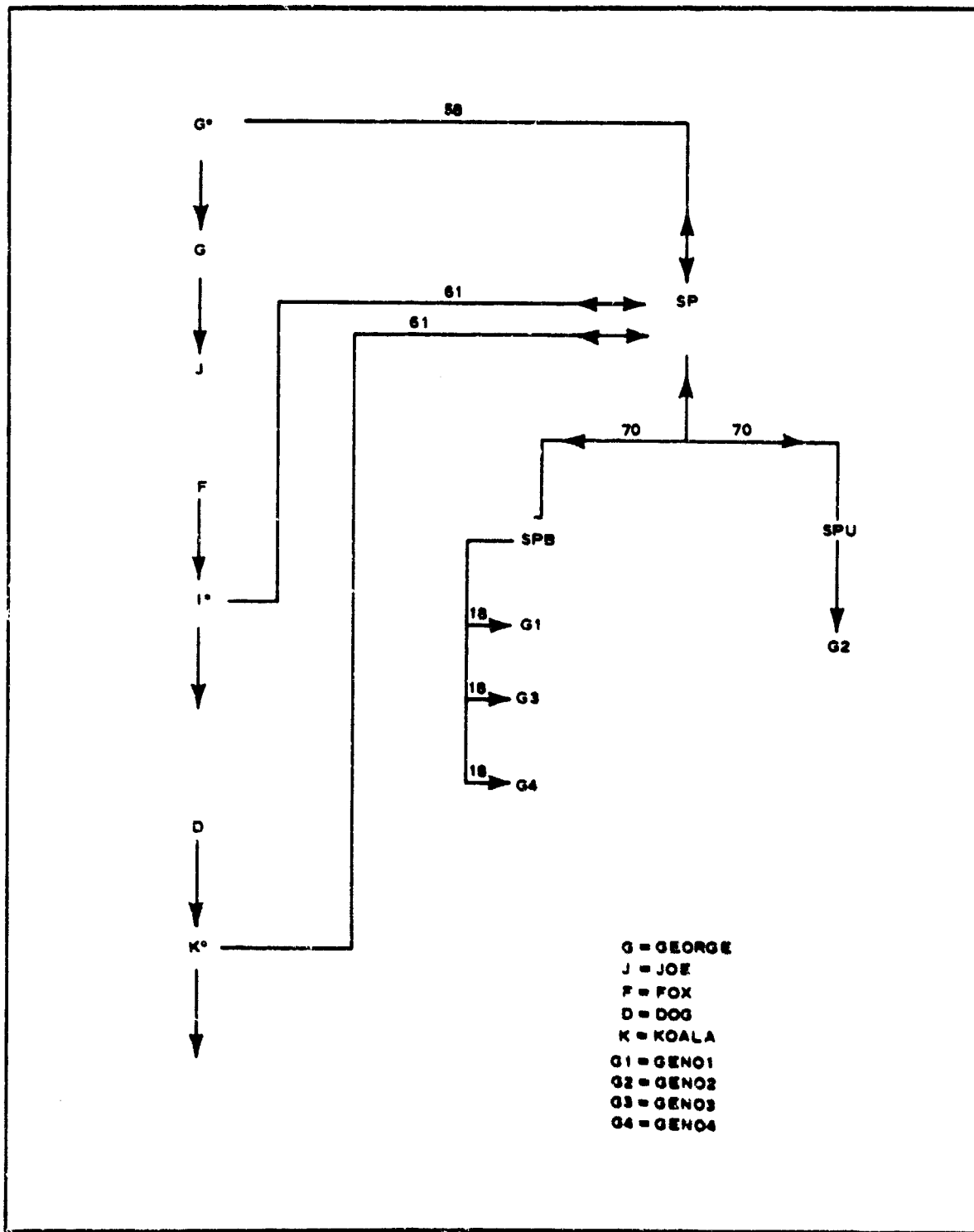


Figure XIII-1 - Flow Chart for Triple Generation Process

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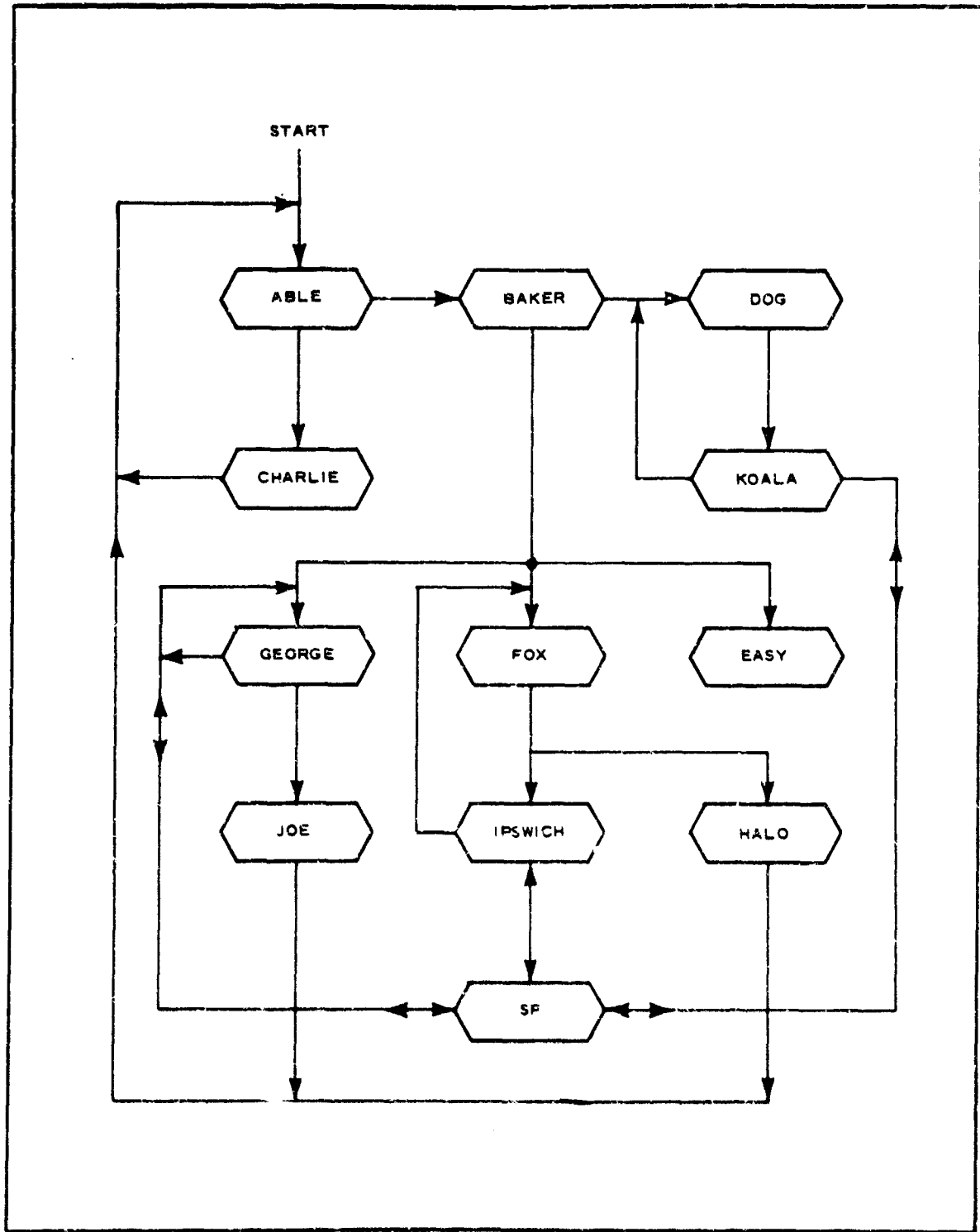


Figure XIII-2 - Master Flow Diagram

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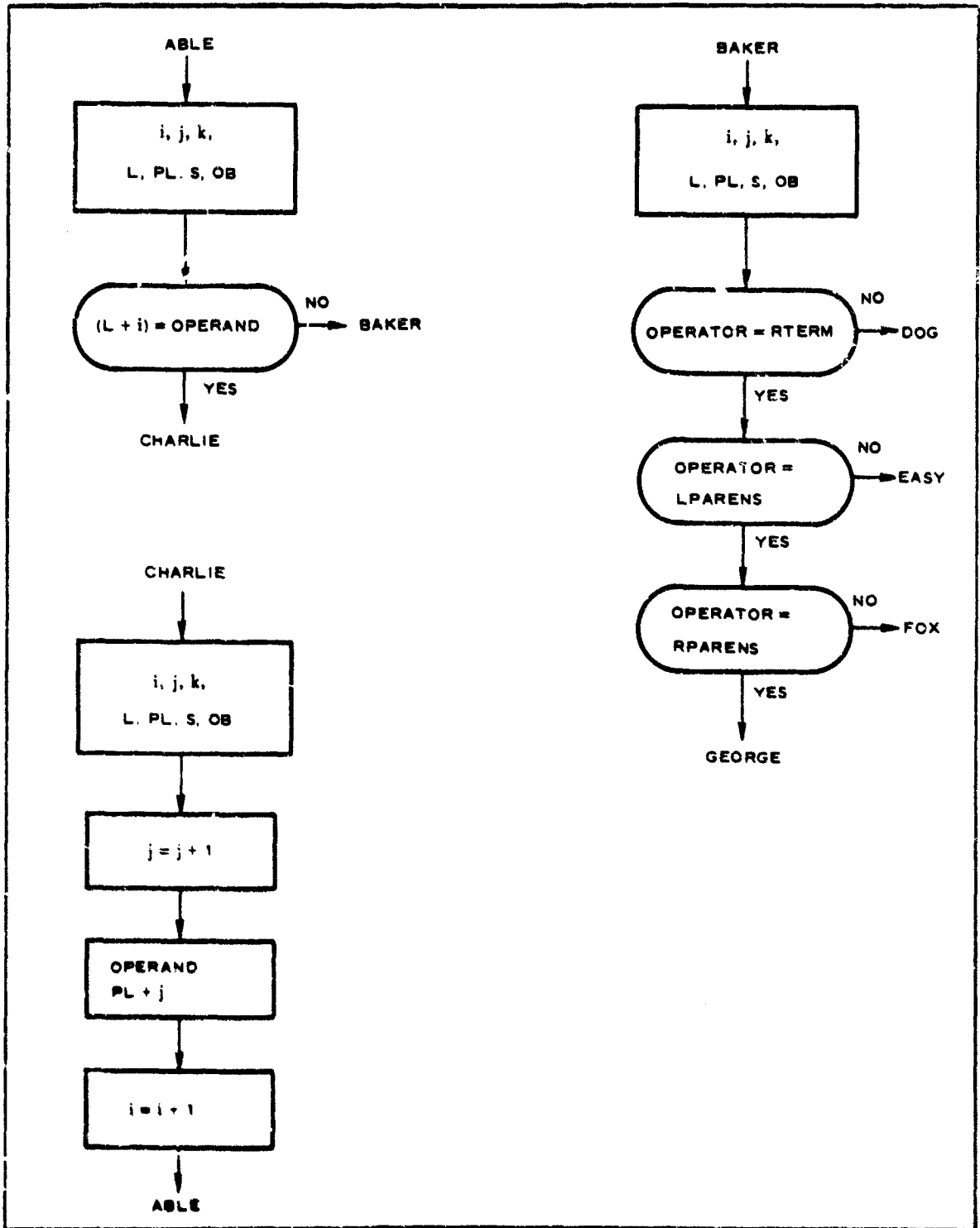


Figure XIII-3 - Able, Baker, Charlie Subroutines

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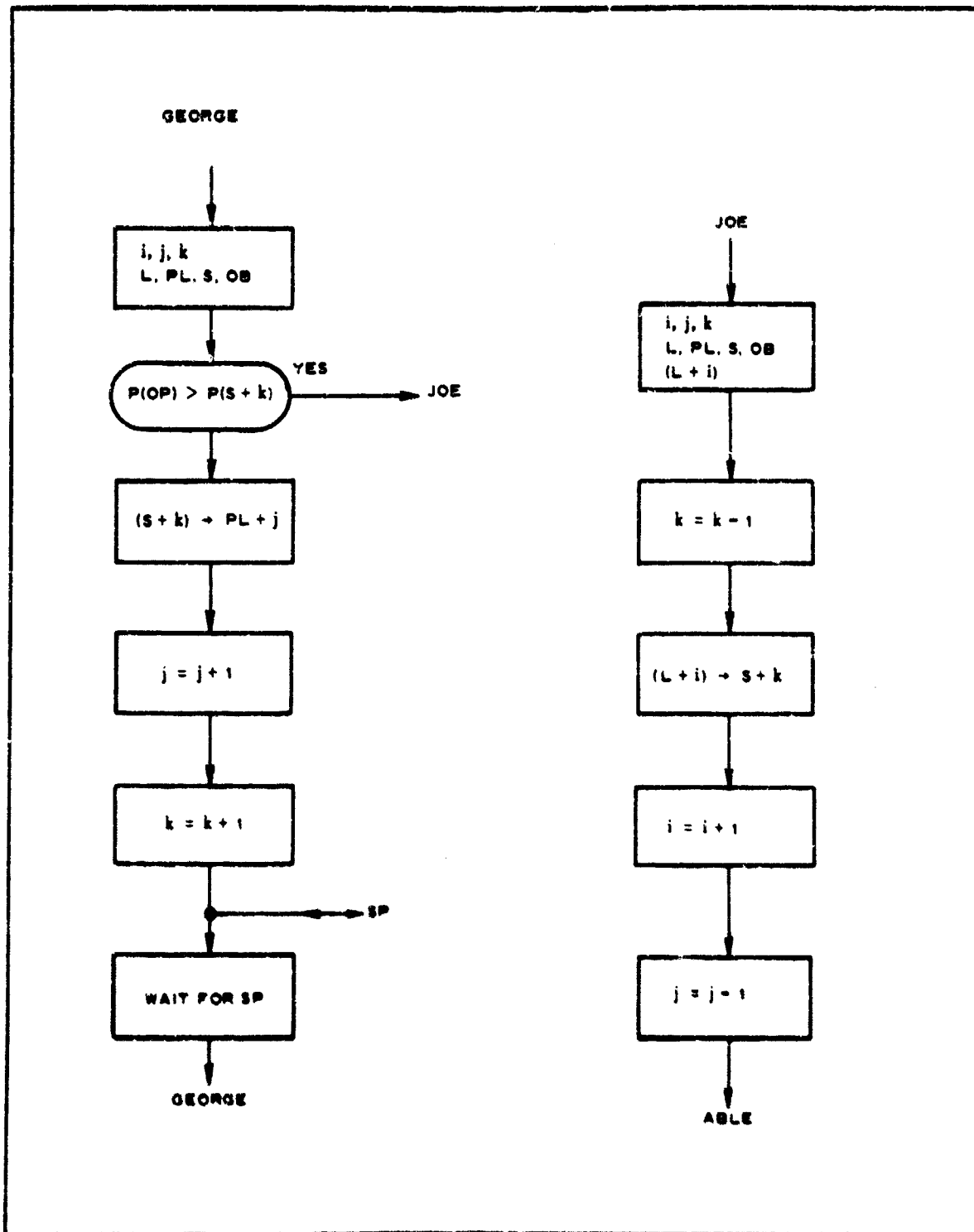


Figure XIII-4 - George, Joe Subroutines

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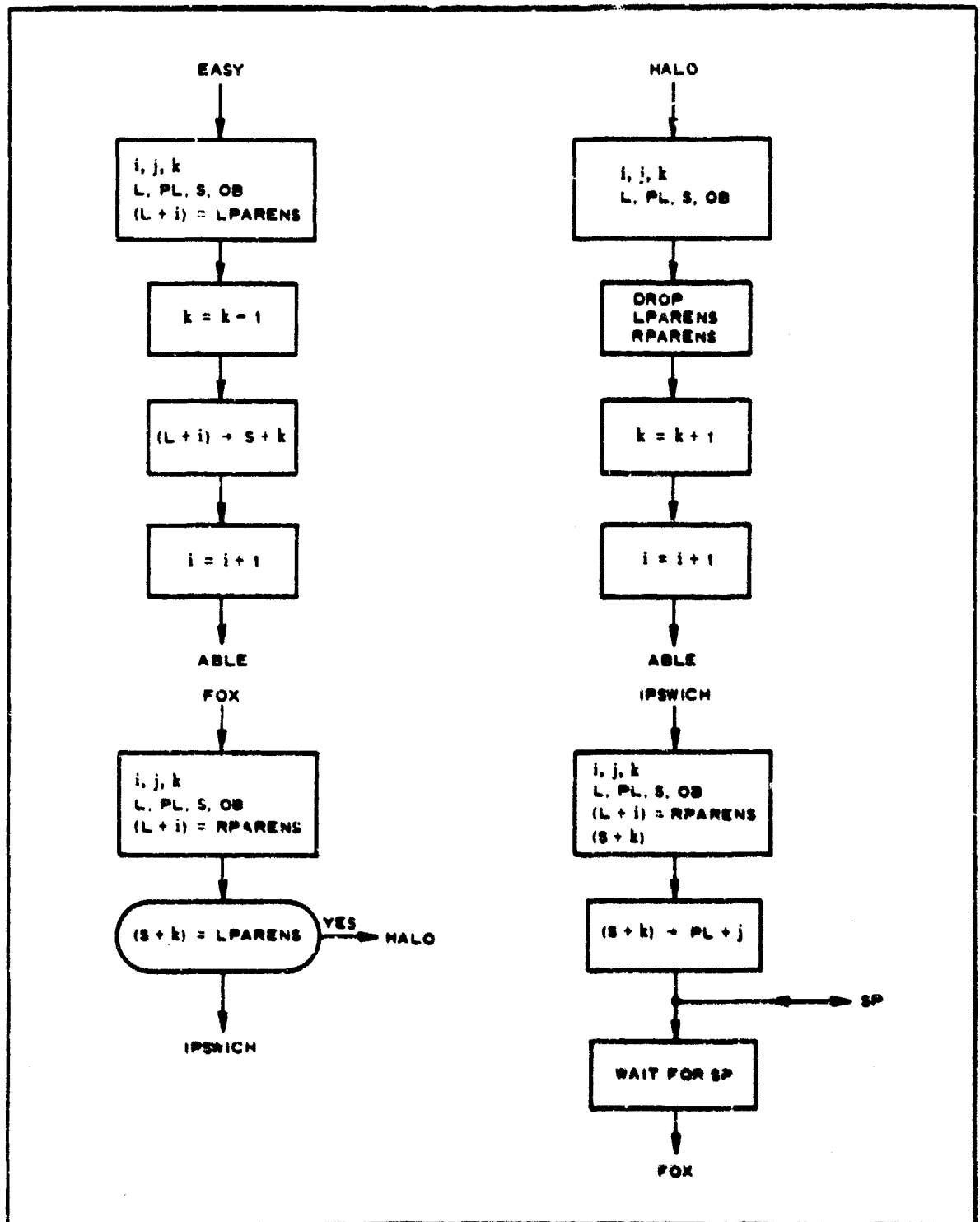


Figure XIII-5 - Easy, Fox, Halo, Ipswich Subroutines

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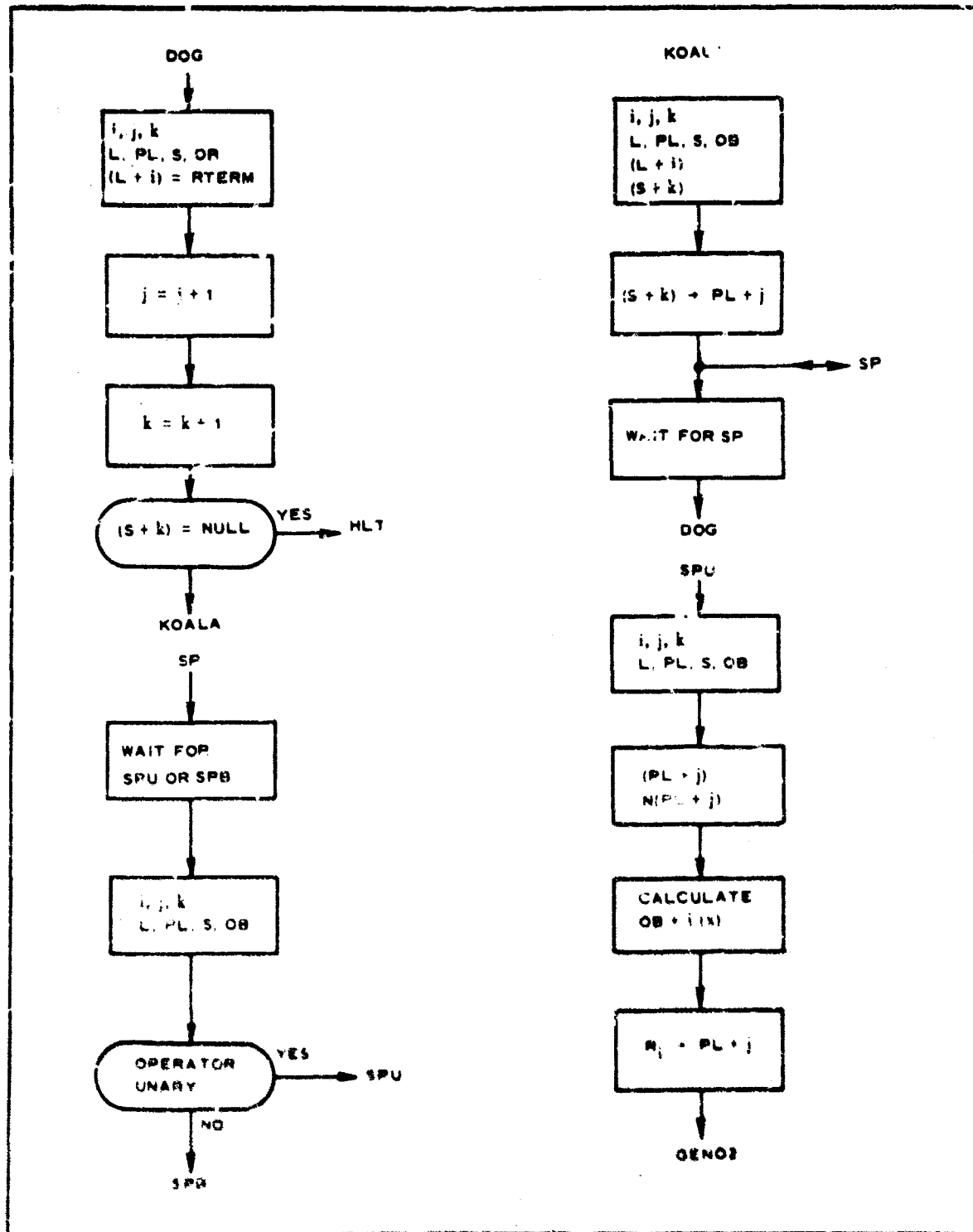


Figure XIII-6 - Dog, Koala, SP, SPU Subroutines

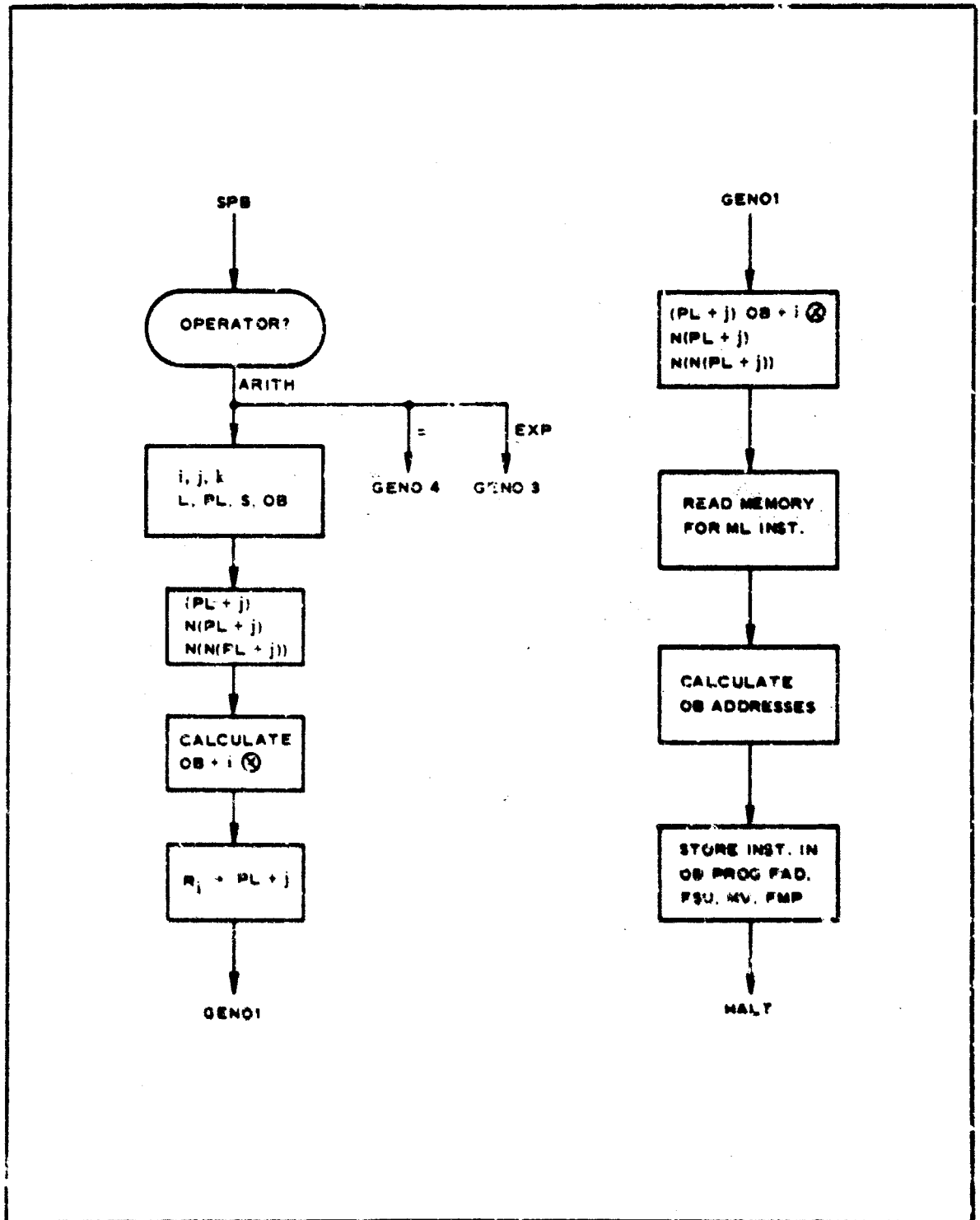


Figure XIII-7 - SPB, GENO1 Subroutines

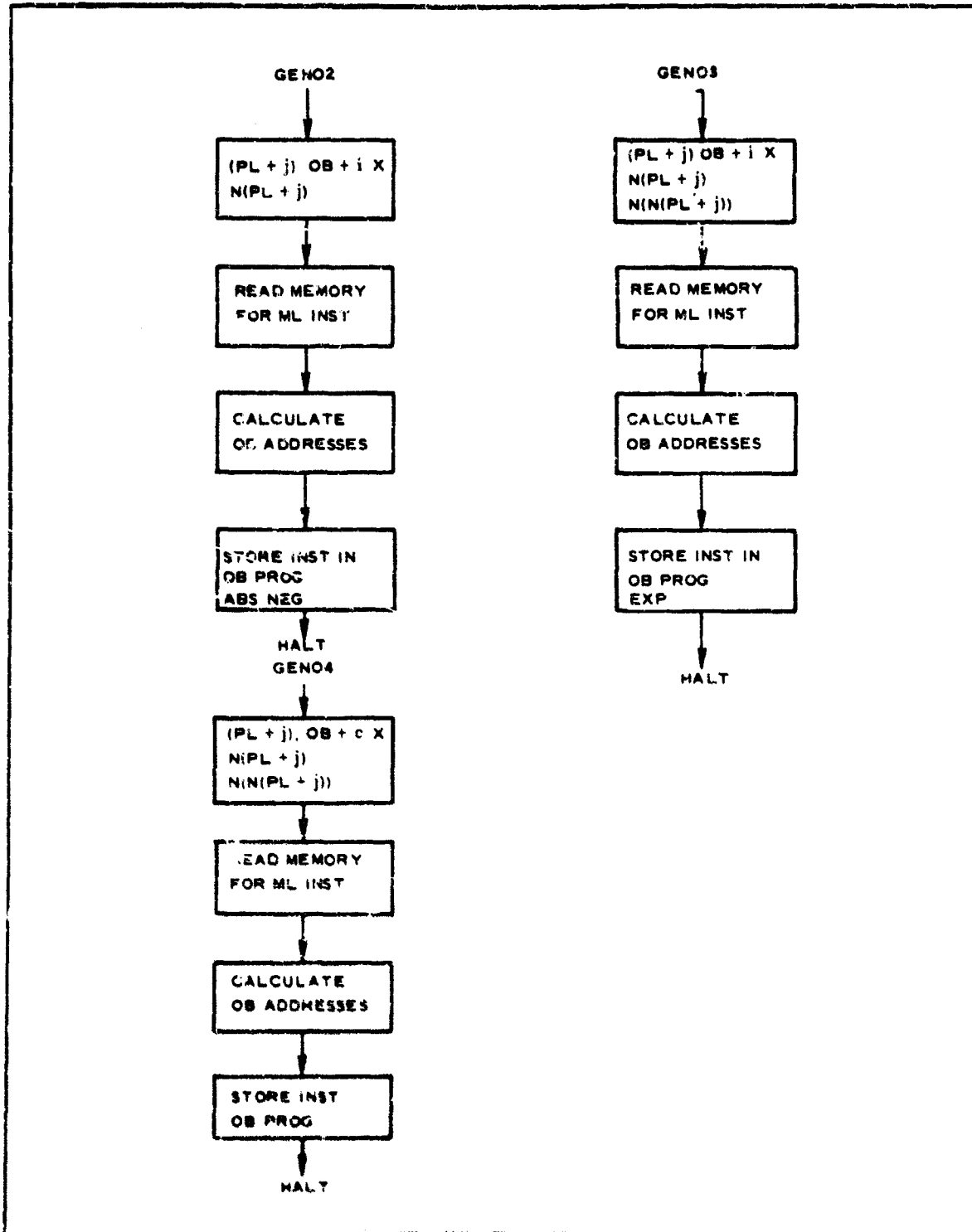


Figure XIII-8 - GENO2, GENO3, GENO4 Subroutines

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TABLE XIII-3 - COMPILER PROGRAM

Item	Instruction	Remarks	Time	
			P	R
ABLE	α SPB 0 1	i a - - i	6	7
	+1 SPB 0 2	j a - - j	6	7
	+2 SPB 0 3	k a - - k	6	7
	β SPB 0 4	L address a - L -	6	7
	+1 SPB 0 5	PL address a - PL -	6	7
	+2 SPB 0 6	S address a - S -	6	7
	+3 SPB 0 7	OB address a - OB -	6	7
	$\gamma + 1$ THS, M α β	(L + i)	10	11
	+2 LO1 $\gamma + 1$ VBIT		14	15
	+3 EQZ $\gamma + 2$ $\gamma + 3$		18	
	+4 CONS BAKER	OPERATOR	-	1
	+5 NEQ $\gamma + 2$ $\gamma + 6$		18	-
	+6 CONS CHARLIE	OPERAND	-	11
	+7 CONS VBIT		-	1
BAKER	α SPB 0 α	i	6	7
	+1 SPB 0 $\alpha + 1$	j	6	7
	+2 SPB 0 $\alpha + 2$	k	6	7
	+3 SPB 0 $\alpha + 3$	L	6	7
	+4 SPB 0 $\alpha + 4$	PL	6	7
	+5 SPB 0 $\alpha + 5$	S	6	7
	+6 SPB 0 $\alpha + 6$	OB	6	7
	+7 SPB 0 $\gamma + 1$	(L + i) OPERATOR	6	7
	β LO6 $\alpha + 7$ RTERM	A \odot B	10	11
	+1 EQZ β $\beta + 2$	0 if RTERM, 1 otherwise	14	-
	+2 CONS DOG		-	1
	+3 LO6 $\alpha + 7$ LPARENS	A \odot B	10	11
	+4 EQZ β $\beta + 5$	0 if LPARENS, 1 otherwise	14	-
	+5 CONS LASY		-	1
	+6 LO6 $\alpha + 7$ RPARENS	A \odot B	10	11
	+7 EQZ β $\beta + 8$	0 if RPARENS, 1 otherwise	14	-
	+8 CONS FOX		-	1
	γ MPY β $\beta + 3$		14	15
	+1 MPY γ $\beta + 6$		18	19
	+2 NEQ $\gamma + 1$ $\gamma + 3$	if 0 then D = A + B + C	22	
+3 CONS GEORGE	if 5 then D = ABC	-	1	
+4 CONS RTERM		-	1	
+5 CONS LPARENS		-	1	
+6 CONS RPARENS		-	1	
CHARLIE α STB 0 $\gamma + 1$	(= +)	11	8	

NOTE: P is the cycle time after the block start in which a processor is active; R is the cycle time after the block start in which the result is available.

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TABLE XIII-3 - COMPILER PROGRAM (Continued)

Item	Instruction	Remarks	Time		
			P	R	
CHARLIE (cont)	+1 STB 0 $\beta + 1$	$j = j + 1$	17	18	
	+2 SPB 0 $\alpha + 2$	k	6	7	
	+3 SPB 0 $\alpha + 3$	L	6	7	
	+4 SPB 0 $\alpha + 4$	PL	6	7	
	+5 SPB 0 $\alpha + 5$	S	6	7	
	+6 SPB 0 $\alpha + 6$	OB	6	7	
	β SPB 0 $\alpha + 1$	j	6	7	
	+1 ADD β one	$j = j + 1$ - index address	21	22	
	+2 SPB 0 $\gamma + 1$	$(L + i) = OPND$	6	7	
	+3 LO7 $\alpha + 4$ $\beta + 1$	$PL + j - - PL j$	25	26	
	+4 STO $\beta + 2$ $\beta + 3$		29	30	
	γ SPB 0 α	Get i	6	7	
	+1 ADD γ one	$i = i + 1$	10	11	
	+2 MWT	ABLE	32	-	
	+3 CONS	ONE			
	DOG	α SPB 0 α	i	6	7
		+1 ADD $\alpha + 8$ one	j $j = j + 1$	10	11
+2 ADD $\alpha + 9$ one		k $k = k + 1$	10	11	
+3 SPB 0 $\alpha + 3$		L	6	7	
+4 SPB 0 $\alpha + 4$		PL	6	7	
+5 SPB 0 $\alpha + 5$		S	6	7	
+6 SPB 0 $\alpha + 6$		OB	6	7	
+7 SPB 0 $\alpha + 7$		$(L + i) = OPERATOR = RTERM$	6	7	
+8 SPB 0 $\alpha + 1$		j	6	7	
+9 SPB 0 $\alpha + 2$		k	6	7	
+10 THS $\alpha + 9$ $\alpha + 5$		$(s + k)$	10	11	
+11 LO6 $\alpha + 10$ NULL			14	15	
+12 EQZ $\alpha + 11$ $\alpha + 13$			18	-	
+13 CONS		HALT	-	1	
+14 NEQ $\alpha + 11$ $\alpha + 15$		END	18		
+15 CONS		KOALA	-	1	
+16 CONS		one	-	1	
+17 CONS	null	-	1		
EASY	α STB 0 $\alpha + 13$	j	14	15	
	+1 SPB 0 $\alpha + 1$	j	6	7	
	+2 STB 0 $\alpha + 1$	k	14	15	
	+3 SPB 0 $\alpha + 3$	L	6	7	
	+4 SPB 0 $\alpha + 6$	PL	6	7	
	+5 SPB 0 $\alpha + 5$	S	6	7	
	+6 SPB 0 $\alpha + 6$	OB	6	7	
	+7 SPB 0 $\alpha + 7$	$(L + i) = OPERAND = LPARENS$	6	7	
+8 LO7 $\alpha + 5$ $\alpha + 2$	$s + k$	18	19		

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TABLE XIII-3 - COMPILER PROGRAM (Continued)

Item	Instruction	Remarks	Time	
			P	R
EASY (cont)	+9 STO a+7 a+8 (L+i) → s+k-1	22	23	
	+10 SPB 0 a+2 k	6	7	
	+11 SUB a+10 one k = k - 1	10	11	
	+12 SPB 0 a+1 i	6	7	
	+13 ADD a+12 one i = i + 1	10	11	
	+14 MWT ABLE	25	-	
FOX	+15 CONS ONE	-	1	
	a SPB 0 a i	6	7	
	+1 STB 0 a+10 j	14	15	
	+2 STB 0 a+11 k	18	19	
	+3 SPB 0 a+3 L	6	7	
	+4 SPB 0 a+4 PL	6	7	
	+5 SPB 0 a+5 S	6	7	
	+6 SPB 0 a+6 OB i PREG ∇ OP code	6	7	
	+7 SPB 0 a+7 (L+i) = RPARENS	6	7	
	+8 SPB 0 a+1 j	6	7	
	+9 SPB 0 a+2 k	6	7	
	+10 ADD a+8 one j+1	10	11	
	+11 ADD a+9 one k+1	14	15	
	+12 LO7 a+5 a+9 s+k address	10	11	
	+13 THS, M 0 a+12 (s+k) - PREC ∇ OP code	14	15	
	+14 LO1 a+13 OP MSK	18	19	
	+15 LO6 a+14 LPARENS MSK	22	23	
	+16 EQZ a+15 a+17 LPARENS YES	26	-	
	+17 CONS HALO	-	1	
	+18 NEQ a+15 a+19	26	-	
	+19 CONS IPSWICH	-	1	
	+20 CONS one	-	1	
+21 CONS OP MSK	-	1		
+22 CONS LPARENS MSK	-	1		
GEORGE	a SPB 0 a i	6	7	
	+1 ADD a+15 one j = j + 1	10	11	
	+2 ADD a+14 one k = k + 1	10	11	
	+3 SPB 0 a+3 L	6	7	
	+4 SPB 0 a+4 PL	6	7	
	+5 SPB 0 a+5 S	6	7	
	+6 SPB 0 a+6	6	7	
	+8 SPB 0 a+7 (L+i) = OPERATOR	6	7	
	+7 LO1 a+8 PREC MSK OPERATOR PREC	10	11	
	+9 THS a+10 a+5 (s+k)	10	11	
	+10 LO1 a+9 PREC MSK (s+k) operator PREC TOP	14	15	
+11 SUB a+7 a+10 OP PREC · (S+k) OP PREC	17	18		

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TABLE XIII-3 - COMPILER PROGRAM (Continued)

Item	Instruction	Remarks	Time	
			P	R
GEORGE (cont)	+12 GTE a + 11 a + 13		21	-
	+13 CONS JOE		-	1
	+14 SPB 0 a + 2	k	6	7
	+15 SPB 0 a + 1	j	6	7
	+16 LO7 a + 4 a + 15	PL + j	10	11
	+17 STO a + 9 a + 16	(a + k) → PL + J	14	15
	+18 LTZ a + 11 a + 23		21	-
	+19 BRG a + 18 a + 18		54	55
	+20 NEQ a + 19 a + 21		58	-
	+21 CONS GEORGE		-	1
	+22 CONS PREC MSK		-	1
	+23 CONS SP			
+24 CONS ONE				
HALO	a STB 0 a + 10	i	14	15
	+1 STB 0 a + 8	j	14	15
	+2 SPB 0 a + 2	k	6	7
	+3 SPB 0 a + 3	L	6	7
	+4 SPB 0 a + 4	PL	6	7
	+5 SPB 0 a + 5	S	6	7
	+6 SPB 0 a + 6	OB	6	7
	+7 SPB 0 a + 1	j = j - 1 to counter j = j + 1	6	7
	+8 SUB a + 7 one		10	11
	+9 SPB 0 a	i = i + 1	6	7
	+10 ADD a + 9 one		10	11
	+11 MWT ABLE		18	-
+12 CONS ONE				
IPSWICH	a SPB 0 a	i	6	7
	+1 SPB 0 a + 10	j	6	7
	+2 SPB 0 a + 11	k	6	7
	+3 SPB 0 a + 3	L	6	7
	+4 SPB 0 a + 4	PL	6	7
	+5 SPB 0 a + 5	S	6	7
	+6 SPB 0 a + 6	OB	6	7
	+7 SPB 0 a + 7	(L + i) = RPARENS	6	7
	+8 SPB 0 a + 13	(a + k) - PREC ∅ OP case	6	7
	+9 LO7 a + 4 a + 1	PL + j	10	11
	+10 STO a + 9 a + 9	(a + k) → PL + j	14	15
	+11 NEQ a + 9 a + 15		14	15
	+12 BRG a + 11 a + 18	Bring SP a + 18	58	59
	+13 NEO a + 12 a + 14		61	-
	+14 CONS FOX		-	1
+15 CONS SP				

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TABLE XIII-3 - COMPILER PROGRAM (Continued)

Item	Instruction	Remarks	Time	
			P	R
JOE	a ADD a+9 one	i = i + 1	10	11
	+1 SUB a+8 one	j = j - 1	10	11
	+2 SUB a+10 one	k = k - 1	10	11
	+3 SPB 0 a+3	L	6	7
	+4 SPB 0 a+4	PL	6	7
	+5 SPB 0 a+5	S	6	7
	+6 SPB 0 a+6	OB	6	7
	+7 SPB 0 a+8	(L + i) = OPERATOR	6	7
	+8 SPB 0 a+1	j	6	7
	+9 SPB 0 a	i	6	7
	+10 SPB 0 a+2	k	6	7
	+11 LO? a+5 a+2	s + k - 1	14	15
	+12 STO a+7 a+11	(L + c) → s + k - 1	18	19
	+13 MWT	ABLE	22	-
KOALA	+14 CONS	one	-	1
	a SPB 0 a	i	6	7
	+1 SPB 0 a+1	j	6	7
	+2 SPB 0 a+2	k	6	7
	+3 SPB 0 a+2	L	6	7
	+4 SPB 0 a+4	PL	6	7
	+5 SPB 0 a+5	S	6	7
	+6 SPB 0 a+6	OB	6	7
	+7 SPB 0 a+7	(L + i) = OPERATOR = RTERM	6	7
	+8 SPB 0 a+10	(S + k) ≠ NULL	6	7
	+9 LO6 a+4 a+1	PL + j	10	11
	+10 STO a+8 a+9	(S + k) → PL + j	14	15
	+11 NEQ a+9 a+15		14	15
	+12 BRG a+11 a+18		58	59
	+13 NEQ a+12 a+14		61	-
+14 CONS	DOG	-	1	
SP	+15 CONS	SP		
	a SPB 0 a	i	6	7
	+1 SPB 0 a+1	j	6	7
	+2 SPB 0 a+2	k	6	7
	+3 SPB 0 a+3	L	6	7
	+4 SPB 0 a+4	PL	6	7
	+5 SPB 0 a+5	S	6	7
	+6 SPB 0 a+6	OB	6	7
	+7 SPB 0 a+8	(s + k) i PREC ∇ OP Code	6	7
	+8 LO1 a+7 OP MSK		10	11
	+9 LO6 a+8 ABS MSK		14	15
+10 LO6 a+8 NEG MSK		14	15	

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TABLE XIII-3 - COMPILER PROGRAM (Continued)

Item	Instruction	Remarks	Time		
			P	R	
SP (cont)	+11 MPY a + 9 a + 10		18	19	
	+12 EQZ a + 11 a + 13		22	-	
	+13 CONS SPU		-	1	
	+14 NEQ a + 11 a + 15		22	-	
	+15 CONS SPB		-	1	
	+16 BRG a + 14 a + 14	SPB a + 14	21	22	
	+17 BRG a + 12 a + 11	SPB a + 11	21	22	
	+18 LOT a + 16 a + 17		25	26	
	CONS OP MSK		-	1	
	CONS ABS MSK		-	1	
	CONS NEG MSK		-	1	
	SPB	a SPB 0 a	i	6	7
		+1 SPB 0 a + 1	j	6	7
		+2 SPB 0 a + 2	k	6	7
		+3 SPB 0 a + 3	L	6	7
		+4 SPB 0 a + 4	PL	6	7
		+5 SPB 0 a + 5	S	6	7
		+6 SPB 0 a + 6	OB	6	7
+7 LOI a + 11 imk		i - - -	14	15	
+8 STB 216 a + 7		imk	18	19	
+9 LOI a + 12 imk			26	27	
+10 STB 216 a + 9			30	31	
+11 THS, M a + 1 a + 4		(PL + j)	10	11	
+12 THS, M a + 8 a + 4		N(PL + j)	22	23	
+13 THS, M a + 10 a + 4		N(N(PL + j))	33	34	
+14 THS a + 11 a + 15		TAB OP CODE GENO Address	14	15	
+15 CONS TAB TAB			-	1	
+16 MWT a + 14 a + 14		START A GEN PROG	18	-	
+17 MPY a ●		i●	10	11	
+18 LOT a + 6 a + 17		OB + i●	14	15	
+19 LOT a + 18 VBIT		Ri	18	19	
+20 LOT a + 4 a + 1		PL + j	10	11	
+21 STO a + 19 a + 20		Ri = PL + j	22	23	
+22 CONS ●		-	1		
+23 CONS VBIT		-	1		
GENOI	a SPB 0 a + 11	(PL + j)	6	7	
	+1 SPB 0 a + 12	N(PL + j) B operand	6	7	
	+2 SPB 0 a + 13	N(N(PL + j)) A operand	6	7	
	+3 SPB 0 a + 15	OB + i● --OB i●	6	7	
	+4 SPB 208 a + 4	--OP Code	6	7	
	+5 RIM 0 a + 10	F-	18	19	
	+6 RIM 1 a + 10	SOURCE	18	19	

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TABLE XIII-3 - COMPILER PROGRAM (Continued)

Item	Instruction	Remarks	Time		
			P	R	
GEN01 (cont)	+7 ADD $\alpha + 3$ one	OB + 1x + 1	10	11	
	+8 ADD $\alpha + 3$ two	+ 2	10	11	
	+9 ADD $\alpha + 3$ three	+ 3	10	11	
	+10 THS $\alpha + 4$ +M		14	15	
	+11 CONS	OCT	-	1	
	+12 START	G10	B	3	-
	+13 START	G10	A	3	-
	+14 BRG $\alpha + 12$ 6	B RDM or STB	29	30	
	+15 BRG $\alpha + 13$ 6	A RDM or STB	29	30	
	+16 LO1 $\alpha + 1$ ADDMSK	address of B operand	10	11	
	+17 LO1 $\alpha + 2$ ADDMSK	address of A operand	10	11	
	+18 LO7 $\alpha + 14$ $\alpha + 16$	RDM or STB B	33	34	
	+19 LO7 $\alpha + 15$ $\alpha + 17$	RDM or STB A	33	34	
	+20 LO1 $\alpha + 8$ i●MSK	i●+ for A	14	15	
	+21 LO1 $\alpha + 9$ i●MSK	i●+ for B	14	15	
	+22 STB 12 $\alpha + 20$		18	19	
	+23 LO7 $\alpha + 22$ $\alpha + 21$		22	23	
	+24 LO7 $\alpha + 5$ $\alpha + 23$	F - A addresses B	26	27	
	+25 STO $\alpha + 24$ $\alpha + 5$		30	31	
	+26 STO $\alpha + 6$ $\alpha + 7$		22	23	
	+27 STO $\alpha + 19$ $\alpha + 8$		37	38	
	+28 STO $\alpha + 18$ $\alpha + 9$		37	38	
	+29 CONS one		-	1	
	+30 CONS two		-	1	
	+31 CONS three		-	1	
	+32 CONS ADDMSK		-	1	
	+33 CONS i●MSK		-	1	
	+34 CONS EQMSK		-	1	
	G10	α SPR 0 -11		6	7
		+1 LO1 α INDEXMSK		10	11
		+2 EQZ $\alpha + 1$ $\alpha + 5$		14	-
		+3 NEQ $\alpha + 1$ $\alpha + 4$		14	-
		+4 CONS	G11	-	1
		+5 CONS	G12	-	1
+6 BRG $\alpha + 2$ 1			22	23	
+7 CONS		INDEX MSK	-	1	
G11	α RDM	R1 = RDM	16	17	
G12	α RDM	S1 = STB	16	17	
GEN02	α SPB 0 $\alpha + 9$	(PL + J) OPERATOR	6	7	
	+1 SPB 0 $\alpha + 10$	N(PL + J) OPERAND	6	7	
	+2 SPB 0 $\alpha + 15$	OB + i●	6	7	
	+3 SFB 0 $\alpha + 11$	OP CODE	6	7	

APPENDIX XIII

TABLE XIII-3 - COMPILER PROGRAM (Continued)

Item	Instruction	Remarks	Time		
			P	R	
GENO2 (cont)	+4 RIM 0 a + 11	LO6 or L10	14	15	
	+5 RIM 1 a + 13	LO6 or L10	14	15	
	+6 RIM 2 a + 14	STB 223 or CONS 0	14	15	
	+7 ADD a + 2 one	OB + 1 + 1	10	11	
	+8 ADD a + 2 two	+ 2	10	11	
	+9 ADD a + 2 three	+ 3	10	11	
	+10 ADD a + 2 four	+ 4	10	11	
	+11 THS a + 4 a + 12	ABS or NEG	10	11	
	+12 CONS	OCT	-	1	
	+13 START	G20	3	-	
	+14 BRG a + 13 6	RDM or STB	38	39	
	+14a BRG a + 13 7	RDM or STB	38	39	
	+16 LO6 a + 3 ABSMSK		13	14	
	+17 EQZ a + 16 a + 19		17	-	
	+18 NEQ a + 16 a + 20		17	-	
	+19 CONS	ABS	-	1	
	+20 CONS	NEG	-	1	
	+21 BRG a + 17 4		33	34	
	+22 BRG a + 17 5		33	34	
	+23 BRG a + 17 6		33	34	
	+24 STO a + 29 a + 2		44	45	
	+25 STO a + 30 a + 7		44	45	
	+26 STO a + 21 a + 8		37	38	
	+27 STO a + 14 a + 9		42	43	
	+28 STO a + 14a a + 10		42	43	
	+29 LO7 a + 4 a + 22		40	41	
	+30 LO7 a + 5 a + 23		40	41	
	+31 CONS	one	-	1	
	+32 CONS	two	-	1	
	+33 CONS	three	-	1	
	+34 CONS	four	-	1	
	+35 CONS	ABS MSK	-	1	
	G20 a	SPB a a + 1	OPERAND	6	7
	+1	LO1 a INDEXMSK		10	11
	+2	EQZ a + 1 a + 5		14	15
+3	NEQ a + 1 a + 4		14	15	
+4	CONS	G21	-	1	
+5	CONS	G22	-	1	
+6	BRG a + 2 1	RDM or STB A	24	25	
+7	ADD a + 6 one	RDM or STB A + 1	31	32	
+8	CONS	IND MSK	-	1	
+9	CONS	one	-	9	

APPENDIX XIII

TABLE XIII-3 - COMPILER PROGRAM (Continued)

Item		Instruction		Remarks	Time	
					P	R
G21	a	RDM	RI	RI RDM -	17	18
G22	a	RDM	SI	SI STB -	17	18
SPU	a	SPB	0 a	i	6	7
	+1	SPB	0 a + 1	j	6	7
	+2	SPB	0 a + 2	k	6	7
	+3	SPB	0 a + 3	L	6	7
	+4	SPB	0 a + 4	PL	6	7
	+5	SPB	0 a + 5	S	6	7
	+6	SPB	0 a + 6	OB	6	7
	+7	LOI	a + 9 imak		14	15
	+8	STB	216 a + 7		18	19
	+9	THS, M	a + 1 a + 4	(PL + j)	10	11
	+10	THS, M	a + 8 a + 4	n(PL + j)	22	23
	+11	THS	a + 9 a + 12	OP CODE GENC address	14	15
	+12	CONS	TAB		-	1
	+13	MWT	a + 11	Start A GENERATOR PROG	18	-
	+14	MPY	a ●	i●	10	11
	+15	LO7	a + 6 a + 14	OB + i●	14	15
	+16	LO7	a + 15 VBIT		18	19
	+17	LO7	a + 4 a + 1	PL + j	10	11
	+18	STO	a + 16 a + 17	Ri → PL + j	22	23
	+19	CONS	imak		-	1
	+20	CONS	VBIT		-	1
GENO3	a	SPB	0 a + 11	(PL + j)	6	7
	+1	SPB	0 a + 12	N(PL + j) B	6	7
	+2	SPB	0 a + 13	N(N(PL + j)) A	6	7
	+3	SPB	0 a + 18	OB + i●	6	7
	+4	SPB	208 a + 14	OP Code	6	7
	+5	RIM	0 a + 10	BRC 1	14	15
	+6	RIM	1 a + 10	BRC 2	14	15
	+7	RIM	2 a + 10	DOUB	14	15
	+8	RIM	3 a + 10	MWT	14	15
	+9	RIM	4 a + 10	CONS	14	15
	+10	THS	a + 4 a + 11		10	11
	+11	CONS	OCT		-	1
	+12	START	a + 13		3	-
	+13	CONS	G11		-	1
	+14	BRC	a + 12 4	BRC ● + 6 1	20	21
	+15	BRC	a + 12 5	BRC i● + 6 2	20	21
	+16	START	a + 18		3	-
	+17	START	a + 18		-	1
	+18	CONS	G10		-	1

APPENDIX XIII

TABLE XIII-3 - COMPILER PROGRAM (Continued)

Item	Instruction	Remarks	Time		
			P	R	
GEN03 (cont)	+19 BRG a + 16 6	B RDM or STB	33	34	
	+20 BRG a + 17 6	A RDM or STB	33	34	
	+21 LO1 a + 1 ADDMSK		10	11	
	+22 LO1 a + 2 ADDMSK		10	11	
	+23 LO7 a + 19 a + 21	RDM 13 A <u>add</u> or STB B <u>add</u>	37	38	
	+24 LO7 a + 20 a + 22	RDM A <u>add</u> or STB A <u>add</u>	37	38	
	+25 ADD a + 3 one	OB + IX + 1	10	11	
	+26 ADD a + 3 two	+ 2	10	11	
	+27 ADD a + 3 three	+ 3	10	11	
	+28 ADD a + 3 four	+ 4	10	11	
	+29 ADD a + 3 five	+ 5	10	11	
	+30 ADD a + 3 six	+ 6	10	11	
	+31 ADD a + 3 seven	+ 7	10	11	
	+32 STO a + 14 a + 3	BRG i @ + 6 1	24	25	
	+33 STO a + 15 a + 25	BRG i @ + 6 2	24	25	
	+34 STO a + 24 a + 26	RDM or STB <u>A</u>	41	42	
	+35 STO a + 7 a + 27	DOUBLE	18	19	
	+36 STO a + 23 a + 28	RDM or STB <u>B</u>	41	42	
	+37 STO a + 7 a + 29	DOUBLE	18	19	
	+38 STO a + 8 a + 30	MWT	13	19	
	+39 STO a + 9 a + 31	CONS EXP ROUT	18	19	
	+40 CONS	ADD MSK	-	1	
	+41 CONS	one	-	1	
	+42 CONS	two	-	1	
	+43 CONS	three	-	1	
	+44 CONS	four	-	1	
	+45 CONS	five	-	1	
	+46 CONS	six	-	1	
	+47 CONS	seven	-	1	
	G31	a SPB 0 a + 5	BRG 1	6	7
		+1 SPB 0 a + 6	BRG 2	6	7
		+2 SPB 88 a + 22	OB + i @ + 6	6	7
		+3 STB 16 a + 2	- i @ + 6 -	3	4
		+4 LO7 a a + 3	BRG i @ + 6 1	10	11
	G33	+5 LO7 a + 1 a + 3	BRG i @ + 6 2	10	11
		a SPR 0 -15	A or B operand	6	7
		+1 LO1 a INDMSK		10	11
+2 EQZ a + 1 a + 4			14	-	
+3 NEQ a + 1 a + 5			14	-	
+4 CONS		G31	-	1	
+5 CONS		G32	-	1	
+6 BRG a + 2 1		23	24		

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TABLE XIII-3 - COMPILER PROGRAM (Continued)

Item		Instruction		Remarks	Time	
					P	R
G33	a	RDM	RI	RI RDM -	16	17
G32	a	RDM	SI	SI STB -	16	17
GEN04	a	SPB	0 a + 11	(PL + j)	6	7
	+1	SPB	0 a + 12	N(PL + j) B operand	6	7
	+2	SPB	0 a + 13	N(N(PL + j) A operand	6	7
	+3	SPB	0 a + 18	OB + ix OB ix	6	7
	+4	SPB	208 a + 14	OF code	6	7
	+5	RIM	0 a + 10	STO	14	15
	+6	RIM	1 a + 10	DOUBLE	14	15
	+7	ADD	a + 3 one	OB + i + 1	10	11
	+8	ADD	a + 3 two	+ 2	10	11
	+9	ADD	a + 3 three	+ 3	10	11
	+10	THS	a + 4 a + 11		10	11
	+11	CONS		OCT	-	1
	+12	LOI	a + 2 ADDMSK	A address	10	11
	+13	LOI	a + 1 ADDMSK	B address	10	11
	+14	STB	72 a + 8	STO OB + i + 2 OB + i + 3	14	15
	+15	LO7	a + 13 a + 14		18	19
	+16	STO	a + 14 a + 3	STO i + 2 i + 3	18	19
	+17	STO	a + 6 a + 7	DOUBLE	18	19
	+18	STO	a + 12 a + 8	A address	14	15
	+19	STO	a + 13 a + 9	B address	14	15
	+20	CONS		one		
	+21	CONS		two		
	+22	CONS		three		
	+23	CONS		ADDMSK		
ABS		SPB	72 a + 8		6	7
	+1	SPB	0 a + 9	--- OB i + 3	6	7
	+2	SPB	0 a + 10	--- OB i + 4	6	7
	+3	SPB	0 a + 6	STS 223	6	7
	+4	LO7	a + 3 a + 1	STB 223 i + 3	10	11
	+5	LO7	0 a + 1	i + 2 i + 3	10	11
	+6	LO7	0 a + 2	i + 2 i + 4	10	11
NEG	0	SPB	72 a + 9	i + 3	6	7
	+1	SPB	72 a + 10	i + 4	6	7
	+2	SPB	0 a + 9	i + 3	6	7
	+3	SPB	0 a + 10	i + 4	6	7
	+4	SPB	0 a + 6	CONS 0		
	+5	LO7	0 a + 2	i + 3 i + 3	10	11
	+6	LO7	a + 1 a + 3	i + 4 i + 4	10	11
OCT	1	FAD	i + 2 i + 3			
	2	DOUBLE				

APPENDIX XIII

TABLE XIII-3 - COMPILER PROGRAM (Continued)

Item	Instruction	Remarks	Time	
			P	R
OCT (cont)	3 FSU 10 + 2 10 + 3			
4	DOUBLE			
5	FDV 10 + 2 10 + 3			
6	DOUBLE			
7	FMP 10 + 2 10 + 3			
8	DOUBLE			
9	STC 10 + 2 10 + 3	EQUAL		
10	DOUBLE			
0	LO6 IX + 2 IX + 3	ABS		
+1	LO6 IX + 2 IX + 4			
+2	STB 223 + 3			
+3	A address			
+4	A + 1 address			
0	LIC IX + 3 IX + 3	NEG		
-1	LIO IX + 1 IX + 4			
+2	CONS 0			
+3	A address			
+4	A + 1 address			
0	BRG 10 + 6 1	EXP		
+1	BRG 10 + 6 2			
+2	RDM A address			
+3	DOUBLE			
+4	RDM B address			
+5	DOUBLE			
+6	MWT			
+7	CCNS EXP ROUT			

TABLE XIII-4 - OBJECT PROGRAM

Address	Instruction			Remarks
OB + 5⑥	FMP	7⑥ + 2	7⑥ + 3	A · B
+1	Double			
+2	RDM	A		
+3	RDM	B		
OB + 9⑥	FMP	9⑥ + 2	9⑥ + 3	C · D
+1	Double			
+2	RDM	C		
+3	RDM	D		
OB : 7⑥	FAD	12⑥ + 2	12⑥ + 3	A · B C · D
+1	Double			
+2	STB	0	7⑥	A · B
+3	STB	0	9⑥	C · D
OB + 15⑥	BRG	15⑥ + 6	1	E · F
+1	BRG	15⑥ + 6	2	
+2	RDM	E		
+3	Double			
+4	RDM	F		
+5	Double			
+6	MWT	15⑥ + 7		
+7	CONS	Exprout		
OB + 18⑥	LO6	18⑥ + 2	18⑥ + 3	ABS I
+1	LO6	18⑥ + 2	18⑥ + 4	
+2	STB	223	18⑥ + 3	
+3	RDM	I		
+4	RDM	I + 1		
OB + 17⑥	FAD	17⑥ + 2	17⑥ + 3	E ↑ F + ABS I
+1	Double			
+2	STB	0	15⑥	
+3	STB	0	18⑥	
OB + 12⑥	FMP	12⑥ + 2	12⑥ + 3	(A · B C · D) (E↑F ABS I)
+1	Double			
+2	STB	0	7X	
+3	STB	0	17X	
OB + 24⑥	BRG	24⑥ + 6	1	J ↑ K
+1	BRG	24⑥ + 6	2	
+2	BRG	J		
+3	Double			

APPENDIX XIII

TABLE XIII-4 - OBJECT PROGRAM (Continued)

Address	Instruction		Remarks
+4	RDM	K	
+5	Double		
+6	MWT	240 + 7	
+7	CONS	Exprout	
OB + 280	FDV	280 + 2 280 + 3	L/M
+1	Double		
+2	RDM	L	
+3	RDM	M	
OB + 260	FSU	260 + 2 260 + 3	J ↑ K - L/M
+1	Double		
+2	STB	0 240	
+3	STB	0 280	
OB + 230	L10	230 + 3 230 + 3	NEG P
+1	L10	230 + 4 230 + 4	
+2	CONS	0	
+3	RDM	P	
+4	RDM	P + 1	
OB + 370	PMP	270 + 2 370 + 3	Q • R
+1	Double		
+2	RDM	Q	
+3	RDM	R	
OB + 350	FAD	350 + 2 350 + 3	NEG P + Q • R
+1	Double		
+2	STB	0 230	
+3	STB	0 370	
OB + 310	FDV	310 + 2 310 + 3	(J ↑ K - L/M)/(NEG P + Q • R)
+1	Double		
+2	STB	0 260	
+3	STB	0 350	
OB + 210	FAD	210 + 2 210 + 3	(A • B + C • D) • (E ↑ F + ABS I)
+1	Double		
+2	STB	0 120	(J ↑ K - L/M)/(NEG P + Q • R)
+3	STB	0 310	
OB + 200	STO	200 + 2 200 + 3	
+1	Double		
+2	CONS	210	
+3	CONS	Z	

APPENDIX XIV - PROGRAMMING MANUAL FOR MACHINE II

1. INTRODUCTION

The Machine I parallel processor described in Appendix VI has some disadvantages. While many tasks could be run concurrently, each task is sequential and communication between tasks is difficult.

In Machine II, each task (instruction block) can have concurrently operating instructions and communication between tasks is better. Machine I has the advantage of better machine utilization since a programmer can automatically introduce concurrency without spending time setting up new tasks. Machine II has the advantage of transferring results between tasks without memory references.

Instructions generally consist of an operation code and two operand fields. When a task is started, any instruction in the task will be performed when its operands are available; thus many instructions in a task could be executed simultaneously.

2. BRIEF DESCRIPTION OF MACHINE

Machine II consists of a multiaccess merging-separating memory (see Appendices VI and VII) connected to I/O devices and a multiprocessor control unit (MPC) as diagrammed in Figure XIV-1. The MPC stores a large set of instructions (on the order of 1000) and fetches their operands and feeds them to processors for execution. The number of processors may be in the hundreds. The channel between the MPC and the memory is large enough to permit the transfer of 1024 words at one time.

3. WORD FORMATS

In memory, a word consists of a 24-bit address and a 52-bit data field.

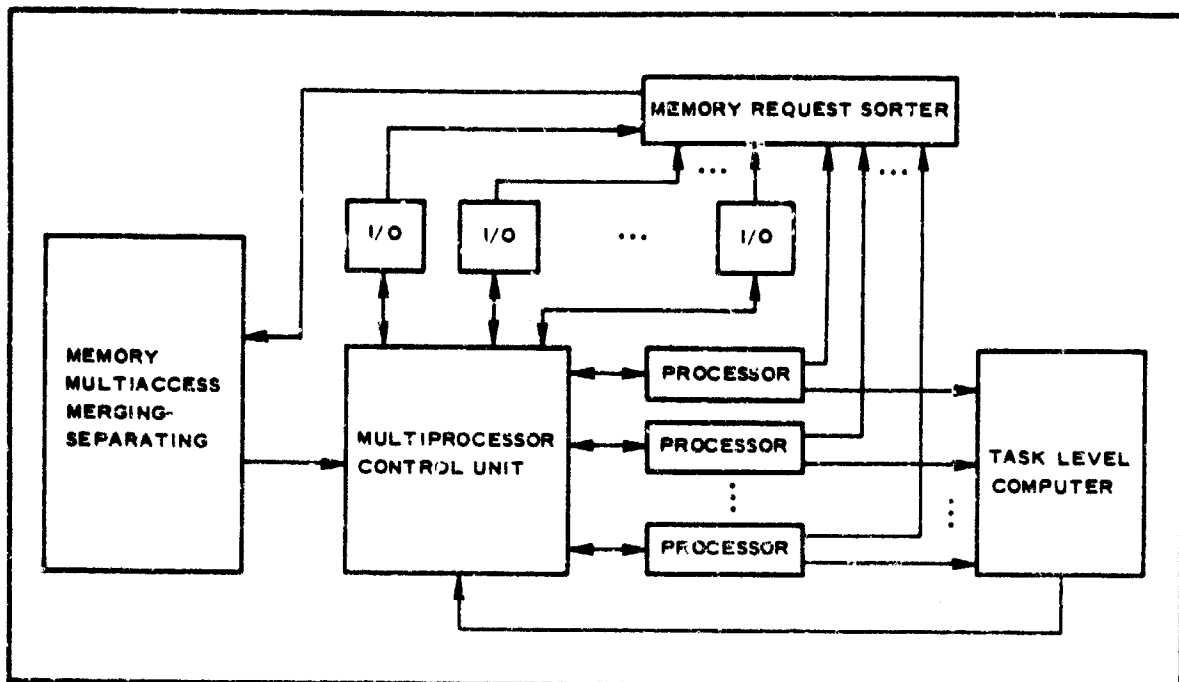


Figure XIV-1 - Block Diagram of Machine II

Programming is done with 16-bit addresses. Up to 256 different programs may be running concurrently, each with its own protected set of 65,536 addresses. A program cannot reference another's address except through the monitor. A given address may be empty, contain one word, or contain several words. When more than one word is at the same address, they are arranged in order of their contents. A normal reference to an address will provide the word whose contents is the least of all words at the address. A special threshold memory reference allows the retrieval of the least word at an address whose contents are not below a specified threshold. This allows instant retrieval of an item in a table.

An integer is in the range $1 - 2^{31}$ to $2^{31} - 1$ and is written in normal ONEs-complement form (sign bit is 0 for positive numbers, 1 for negative numbers). Several integers at the same address will be ordered with positive integers first in increasing order then negative integers in decreasing order of magnitude. Certain integers are shown below:

APPENDIX XIV

<u>Integer</u>	<u>Representation</u>
$2^{31} - 1$	0111 1111 1111 1111 1111 1111 1111 1111
$2^{31} - 2$	0111 1111 1111 1111 1111 1111 1111 1110
2	0000 0000 0000 0000 0000 0000 0000 0010
1	0000 0000 0000 0000 0000 0000 0000 0001
+0	0000 0000 0000 0000 0000 0000 0000 0000
-1	1111 1111 1111 1111 1111 1111 1111 1110
-2	1111 1111 1111 1111 1111 1111 1111 1101
$2 - 2^{31}$	1000 0000 0000 0000 0000 0000 0000 0001
$1 - 2^{31}$	1000 0000 0000 0000 0000 0000 0000 0000

A floating-point number consists of a fraction sign, an 11-bit exponent (the fraction is multiplied by any power of 2 between 2^{-1024} and 2^{1023}) and a 20-bit fraction. A double-length floating-point number has a 52-bit fraction. A positive floating-point number has a fraction sign of 0 and the exponent is biased; for example, 0 represents 2^{-1024} . The fraction is in the range -1 to 1. A negative floating-point number is formed by complementing every bit (sign, exponent, and fraction). Certain single-length floating-point numbers are shown on the next page. Note that with this representation a table of positive normalized floating-point numbers can be put in order simply by putting their representations in order; this format allows threshold searches on positive normalized floating-point numbers. Negative normalized floating-point numbers will be put in descending order.

Instructions are read into the MPC in blocks of from 1 to 256 instructions apiece. An instruction block is stored at one address. The instruction format is:

Number (8 bits)	Operation code (8 bits)	A (8 bits)	B (8 bits)
--------------------	----------------------------	---------------	---------------

APPENDIX XIV

<u>Number</u>	<u>Representation (single-length)</u>								
0.5×2^{1023}	0111	1111	1111	1000	0000	0000	0000	0000	0000
0.5×2^1	0100	0000	0001	1000	0000	0000	0000	0000	0000
0.5×2^0	0100	0000	0000	1000	0000	0000	0000	0000	0000
0.5×2^{-1}	0011	1111	1111	1000	0000	0000	0000	0000	0000
0.5×2^{-1024}	0000	0000	0000	1000	0000	0000	0000	0000	0000
0	0000	0000	0000	0000	0000	0000	0000	0000	0000
-0.5×2^{-1024}	1111	1111	1111	0111	1111	1111	1111	1111	1111
-0.5×2^{-1}	1100	0000	0000	0111	1111	1111	1111	1111	1111
-0.5×2^0	1011	1111	1111	0111	1111	1111	1111	1111	1111
-0.5×2^1	1011	1111	1110	0111	1111	1111	1111	1111	1111
-0.5×2^{1023}	1000	0000	0000	0111	1111	1111	1111	1111	1111

The number identifies the instruction in the block; each instruction in a block is given a unique number from 0 to 255. The operation code identifies the operation while A and B usually identify operands.

An instruction block is read into the MPC in one piece and the instructions in it may be executed in any order (any instruction is executed whenever the requisite number of operands are available). The operands for instructions may be memory words, results of other instructions in the same block, results of instructions in the block that caused a block to be read into the MPC, or results of instructions in any block that a block causes to be read into the MPC. Several instruction blocks may be in the MPC at one time.

4. OPERATIONS

a. General

Each operation specifies one or two operands and has options that allow

erasure of its operands. When the result of an operation is being used by several other operations, one and only one of the other operations should erase it, as discussed under Item 4, i below.

b. Arithmetic and Logic

(1) Operands

The operands in any of these operations are the results of the operations numbered a and b in the same block as the operation.

(2) Fixed Point

ADD Result is (a) + (b)
SUB Result is (a) - (b)
MPY Result is (a) * (b)
DVD Result is (a) / (b)
MOD Result is (a) Mod (b)

Arithmetic is done modulo $2^{32} - 1$. Negative zeros are never generated.

The operation ADD, A is similar to ADD except that the result in (a) is erased. This also is true for ADD, B and ADD, AB and all other fixed-point operations. There are a total of 20 fixed-point operations. Overflows will be flagged.

(c) Floating Point

FAD (a) + (b)
FSU (a) - (b)
FMP (a) * (b)
FDV (a) / (b)

Operands and results are normal single-length floating-point numbers. Each operation has three erase options indicated, for example, by FAD, A; FAD, B; FAD, AB, which cause erasure of (a), of (b) or of (a) and (b).

respectively. There are a total of 16 floating-point operations. Overflows will be flagged.

(4) Double-Length Floating-Point

Any of the four floating-point operations may be made double-length by putting the operation DOUBLE in the instruction following the single-length operation; if DOUBLE is numbered $n + 1$, the single-length operation is numbered n . The continuation of the double-length result is numbered the same as the double operation. As an example, the program

```

100 FAD, A 3 6
101 DOUBLE 0 0
    
```

would treat the results numbered 3 and 4 as the A operand, the results numbered 6 and 7 as the B operand, and would number the resultant sum as 100 and 101. The erase A option causes erasure of the results numbered 3 and 4. There is one double operation.

(5) Logic

The logic operations combine the corresponding bits of A and B with any of the 16 possible Boolean functions of two variables. They are listed at the top of the next page.

Each of these operations has erase options; for example, L00, A or L00, B or L00, AB, which cause erasure of A, of B, or of A and B, respectively. There are 64 logic operations.

(6) Conversions

FXFL (Fixed-to-Floating) - The fixed point result numbered a is converted to floating point. Field b is unused. If this operation precedes a double operation, a double-length floating point number is created. FXFL, A erases the result numbered a .

FLFX (Floating-to-Fixed) - The floating-point result numbered a is

L00		0	(zeros)
L01	(A)	\wedge	(B) (and)
L02	(A)	\wedge	(\bar{B})
L03		(A)	
L04	(\bar{A})	\wedge	(B)
L05		(B)	
L06	(A)	\odot	(B) (exclusive or)
L07	(A)	\vee	(B) (or)
L10	(\bar{A})	\wedge	(\bar{B})
L11	(\bar{A})	\odot	(B)
L12		(\bar{B})	
L13	(A)	\vee	(\bar{B})
L14		(\bar{A})	
L15	(\bar{A})	\vee	(B)
L16	(\bar{A})	\vee	(\bar{B})
L17		1	(ones)

converted to fixed-point. Overflow is flagged. FLFX, A erases the operand a.

DLFX (Double-Length Floating-to-Fixed) - The double-length result numbered a and a + 1 is converted to fixed-point. Overflow is flagged. DLFX, A erases operands a and a + 1.

(7) Conclusions

In each of these operations, the operands are results of other instructions in the same block and the result is left numbered the same as the instruction that caused it.

c. Shift

In the shift operations, the a field contains a shift constant in the range 0 to 255. A shift constant in the range 0 to 63 means a left-end-around shift of from 0 to 63 places. A shift constant in the range 64 to 127 means a left end-off shift of from 0 to 63 places (zeros are written into the right side of the result). A shift constant in the range 128 to 191 means a left end-off shift of 0 to 63 places (ones are written into the right side of the result). A shift constant in the range 192 to 255 means a right end-off shift (the sign bit is written into the left side of the result).

In summary:

$0 \leq a \leq 63$	Shift left end-around a places,
$64 \leq a \leq 127$	Shift left end-off a-64 places (write zeros)
$128 \leq a \leq 191$	Shift left end-off a-128 places (write ones)
$192 \leq a \leq 255$	Shift right end-off a-192 places (write sign)

The operand is specified in the b field. It is always left alone or erased and the result of the shift instruction is the shifted operand. For the STB operation, the operand is in the same block as the instruction (Shift This Block). For the SPB operation, the operand is numbered b in the previous block (Shift Previous Block). (The previous block is that block that contained the start instruction that started this block.) For the SPR operation, the operand is in the previous block b places relative to the start instruction that started this block (Shift Previous Relative) (b is added to the number of the start instruction modulo 256). The STB, B; SPB, B; and SPR, B operations cause erasure of the operand.

Any shift may be made a double-length shift by following the instruction with a DOUBLE operation. As an example, the program

```

43  SPR, B    70  13
44  DOUBLE   0   0

```

would take the results of the instructions in the previous block, which are

the 13th and 14th instructions following the start instruction, erase them, put them together in a double-length word (the 13th result to the left), shift them left end-off 6 places (6 places on the left are lost, 6 places in the right half travel to the left half and 6 zeros are written in the right half), then number the resultant halves as 43 and 44 in this block.

In all left shift operations, the result is flagged if overflow occurs.

d. Bring

The shift operations SPB and SPR allow a block to retrieve an item from the block that started it. The BRING operation (BRG) allows a block to retrieve an item from a block it starts. The a field identifies the block by specifying the start instruction in this block which started the block containing the operand. The b field specifies the number of the result in that block. The result of the BRG is the particular operand. BRG, B erases the operand in the started block.

Field a of a BRG or BRG, B operation may refer to a conditional start instruction that was not satisfied. In this case, the first start instruction or satisfied conditional start instruction whose number is above a is selected and reference made to the block it started. If no such start exists, an interrupt occurs.

e. Memory References

(1) RDM (Read Memory)

This has as a result the word in memory whose address is (a, b) (a and b are read as one 16-bit field to form the memory address). If there is more than one word at the address, the one whose contents is least is chosen. RDM, M erases the word in memory.

(2) RIM (Read Indirect Memory)

The 16 right-most bits of the result b in this block is used as the memory address after being incremented by an amount from -128 to 127. The increment is in the a field of this instruction. RIM, B erases the base

memory address, RIM, M erases the word in memory, and RIM, BM erases both.

(3) THS (Threshold Search)

This has as a result the word in memory in a given address whose contents are just above a threshold. The memory address is specified by the right-most 16 bits of the result in this block numbered b. The threshold is the result numbered a.

THS, M erases the memory word, THS, A erases the result a in this block, and THS, B erases the result b in this block. THS, AB; THS, AM; THS, ABM; and THS, BM erase the indicated combinations of these items.

In THS, lack of the desired memory word causes a search in the higher memory addresses with the retrieval of the memory word whose contents is the least in the first nonempty memory address. This item will be erased if the memory erase option is specified. Care should be exercised to prevent unwanted erasures. The result of a THS is flagged if it comes from an address different from the specified address.

(4) STO (Store)

This causes the storing of a result into memory. The memory address is the right-most 16 bits of the result numbered b. The result to be stored is that numbered a. STO, A erases the a-result, STO, B erases the b-result, and STO, AB erases both. The store operations themselves have no results. No memory words are over written; any words at the specified memory address will be kept and the new memory word added to that address.

f. Instruction Block Starts

(1) START

This causes an instruction block to be put in the MPC for execution. The memory address of the block is specified by the right-most 16 bits of the

result numbered b in this block. START B is similar to START except that the result numbered b is erased.

(2) Conditional Starts

The condition of a result in a block can be used to start another block with the same priority. The a field specifies the result to be tested. The memory address of the block to be started is contained in the right-most 16 bits of the result numbered b. The conditional starts are:

GTZ start if (a) > 0
 LTZ start if (a) < 0
 GTE start if (a) \geq 0
 LTE start if (a) \leq 0
 EQZ start if (a) = 0
 NEQ start if (a) \neq 0
 FLG start if (a) is flagged
 UNF start if (a) is unflagged

Each conditional start has erase options; for example, GTZ, A erases result a, GTZ, B erases result b, and GTZ, AB erases both. These erasures occur whether the condition is satisfied or not.

(3) SUPER (Supervisor)

This operation brings a supervisory routine into the MPC. The a and b fields specify which routine. The routine may expect to obtain certain parameters from results stored relative to the SUPER instruction. Supervisory routines are allowed certain privileged operations denied normal routines.

(4) M WAIT

This is an unconditional start instruction except that it is not executed until all memory operations (RDM, RIM, THS, STO) in this block have been executed.

g. EPB (Erase Previous Block)

This causes all results in the previous block between and including a and b to be erased. EPR (Erase Previous Block Relative) is similar except a and b are relative to the start instruction that started this block.

h. CONST Constant

The operation CONST has as a result the number stored in the a and b fields. Sixteen zeros are inserted in the left-most places.

i. Erasures

If a result is used by only one operation, that operation should erase it. If used more than once, then it should be erased by (1) the highest numbered operation in the lowest priority block started by the highest numbered start instruction that uses it; or if no started block uses it, then (2) the highest numbered operation in the same block that uses it; or if no operation in the block uses it, then (3) the highest numbered operation in the previous block that uses it.

5. EXAMPLE PROGRAMS

a. POLY

POLY evaluates a fourth-degree polynomial in X using double-length floating-point arithmetic. The coefficients c_0, c_1, c_2, c_3, c_4 are stored in POLY + i through POLY + 10. The variable X is obtained from the calling block. The entry should leave the left-half of X two results ahead of the START POLY instruction and the right-half of X one result ahead. POLY erases X and leaves $C_0 + c_1X + c_2X^2 + c_3X^3 + c_4X^4$ in results 1 and 2.

In Figure XIV-2, Instructions 3 through 14 are executed first to obtain the coefficients and X. Instructions 3 and 4 erase X in the calling program. Instructions 15 through 20 are executed next. On the third step, Instructions 21 through 28 are executed. On the fourth step, Instructions

ADDRESS	NO.	OP	A	B	RESULT
POLY	1	FAD, AB	29	31	$C_0 + C_1X + C_2X^2 + C_3X^3 + C_4X^4$
	2	DOUBLE	0	0	
	3	SPR, B	0	-2	
	4	SPR, B	0	-1	
	5	RDM		POLY + 1	C_0
	6	RDM		POLY + 2	
	7	RDM		POLY + 3	C_1
	8	RDM		POLY + 4	
	9	RDM		POLY + 5	C_2
	10	RDM		POLY + 6	
	11	RDM		POLY + 7	C_3
	12	RDM		POLY + 8	
	13	RDM		POLY + 9	C_4
	14	RDM		POLY + 10	
	15	FMP	3	3	X^2
	16	DOUBLE	0	0	
	17	FMP, A	7	3	C_1X
	18	DOUBLE	0	0	
	19	FMP, A	13	3	C_4X
	20	DOUBLE	0	0	
	21	FAD, AB	5	17	$C_0 + C_1X$
	22	DOUBLE	0	0	
	23	FMP, A	9	15	C_2X^2
	24	DOUBLE	0	0	
	25	FMP, AB	3	15	X^3
	26	DOUBLE	0	0	
	27	FAD, AB	11	19	$C_3 + C_4X$
	28	DOUBLE	0	0	
	29	FAD, AB	21	23	$C_0 + C_1X + C_3X^2$
	30	DOUBLE	0	0	
	31	FMP, AB	25	27	$C_3X^3 + C_4X^4$
	32	DOUBLE	0	0	
POLY + 1		C_0			
POLY + 2		C_1			
POLY + 3		C_2			
POLY + 4		C_3			
POLY + 5		C_4			
POLY + 6					
POLY + 7					
POLY + 8					
POLY + 9					
POLY + 10					

Figure XIV-2 - Example of POLY Program

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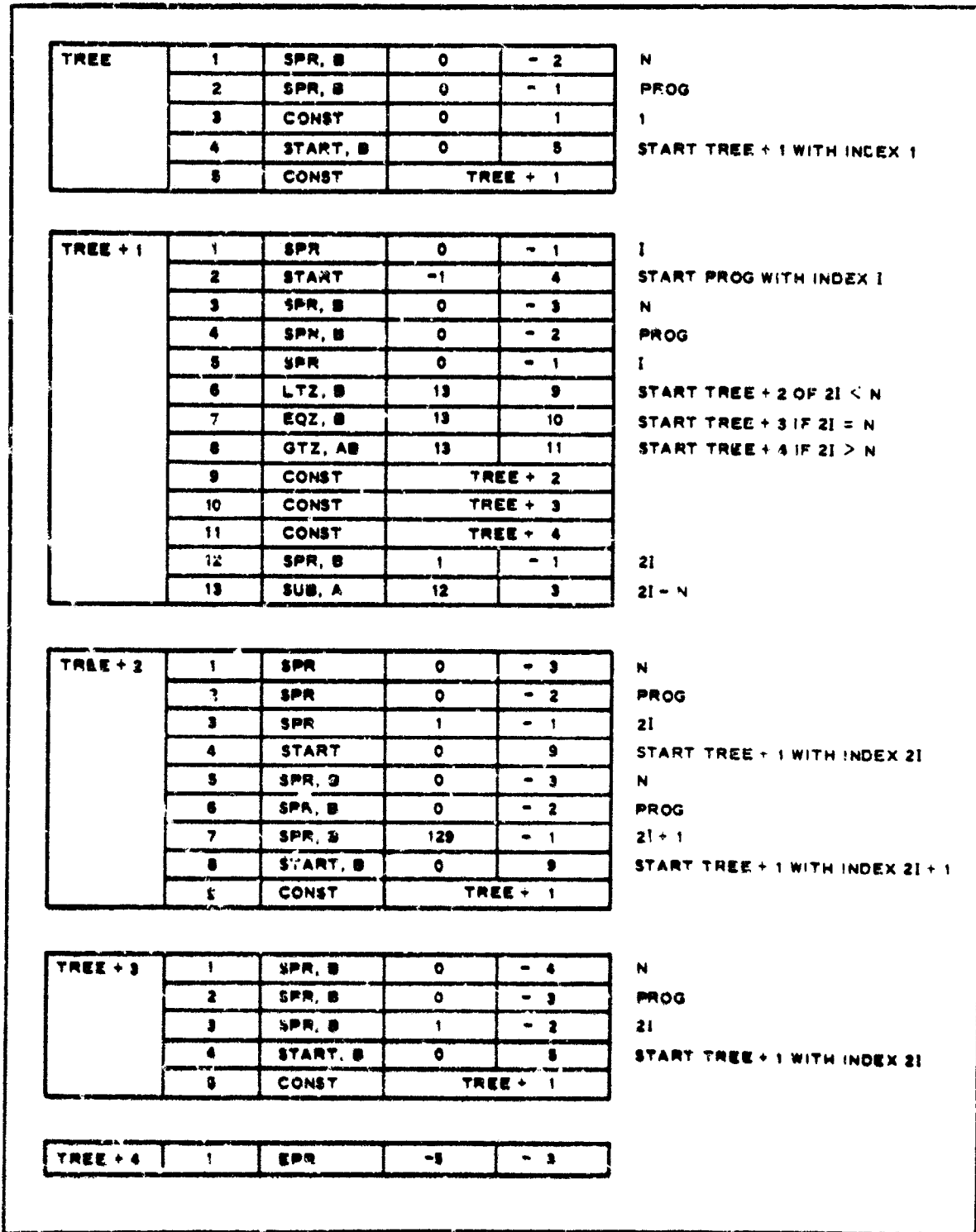


Figure XIV-3 - Example of TREE Program

29 through 32 are executed. On the fifth step, instructions 1 and 2 are executed.

b. TREE

TREE (see Figure XIV-3) is a program that will cause N executions of a program specified as PROG, each execution with a different index I, I = 1, 2, . . . , N. PROG should be written to expect I one location ahead of its start instruction and it should erase I.

TREE is entered with

$\alpha - 2$: N
 $\alpha - 1$: PROG
 α : START TREE

and it erases N and PROG.

TREE consists of five instruction blocks, TREE, TREE + 1, . . . , TREE + 4, and 33 instructions.

6. CONCLUSIONS

The normal operations of a multiprocessor design have been described. There will also be other operations for use by the monitor. This machine has the advantage of having a machine language wherein parallel operations can be expressed and executed easily and communication between concurrently operating portions of the programs can be accomplished.

7. OPERATIONS THAT LEAVE A RESULT

a. Fixed Point

ADD	ADD, A	ADD, B	ADD, AB
SUB	SUB, A	SUB, B	SUB, AB
MPY	MPY, A	MPY, B	MPY, AB
DVD	DVD, A	DVD, B	DVD, AB

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MOD	MOD, A	MOD, B	MOD, AB
FLFX	FLFX, A	DLFX	DLFX, A

b. Floating Point (Can Be Double Length)

FAD	FAD, A	FAD, B	FAD, AB
FSU	FSU, A	FSU, B	FSU, AB
FMP	FMP, A	FMP, B	FMP, AB
FDV	FDV, A	FDV, B	FDV, AB
FXFL	FXFL, A		

c. Logic

L00	L00, A	L00, B	L00, AB
L01	L01, A	L01, B	L01, AB
L02	L02, A	L02, B	L02, AB
L03	L03, A	L03, B	L03, AB
L04	L04, A	L04, B	L04, AB
L05	L05, A	L05, B	L05, AB
L06	L06, A	L06, B	L06, AB
L07	L07, A	L07, B	L07, AB
L10	L10, A	L10, B	L10, AB
L11	L11, A	L11, B	L11, AB
L12	L12, A	L12, B	L12, AB
L13	L13, A	L13, B	L13, AB
L14	L14, A	L14, B	L14, AB
L15	L15, A	L15, B	L15, AB
L16	L16, A	L16, B	L16, AB
L17	L17, A	L17, B	L17, AB

d. Shift (Can Be Double Length)

STB	STB, B
SPB	SPB, B
SPR	SPR, B

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e. Bring

BRG BRG, B

f. Special

DOUBLE

CONST

g. Memory

RDM	RDM, M		
RIM	RIM, M	RIM, B	RIM, BM
THS	THS, A	THS, B	THS, AB
THS, M	THS, AM	THS, BM	THS, ABM

8. OPERATIONS THAT LEAVE NO RESULT

a. Erases

EPB EPR

b. Store

STO	STO, A	STO, B	STO, AB
-----	--------	--------	---------

c. Starts

START	SUPER	M WAIT	START, B
GTZ	GTZ, A	GTZ, B	GTZ, AB
LTZ	LTZ, A	LTZ, B	LTZ, AB
GTE	GTE, A	GTE, B	GTE, AB
LTE	LTE, A	LTE, B	LTE, AB
EQZ	EQZ, A	EQZ, B	EQZ, AB
NEQ	NEQ, A	NEQ, B	NEQ, AB
FLG	FLG, A	FLG, B	FLG, AB
UNF	UNF, A	UNF, B	UNF, AB

APPENDIX XV - BASIC ORGANIZATION OF MACHINE II

1. INTRODUCTION

Appendix VI describes a parallel processor organization referred to as Machine I. Machine II was designed to have a more dynamic processor assignment scheme, automatic concurrency within tasks as well as concurrent tasks, and a multiprogramming dynamic priority capability. Appendix XIV describes the machine language and programming considerations; this appendix describes the hardware implementation.

2. GENERAL DESCRIPTION

Figure XV-1 is a block diagram of Machine II. The memory is a multi-access parallel merging-separating memory (see Appendixes VI and VII)

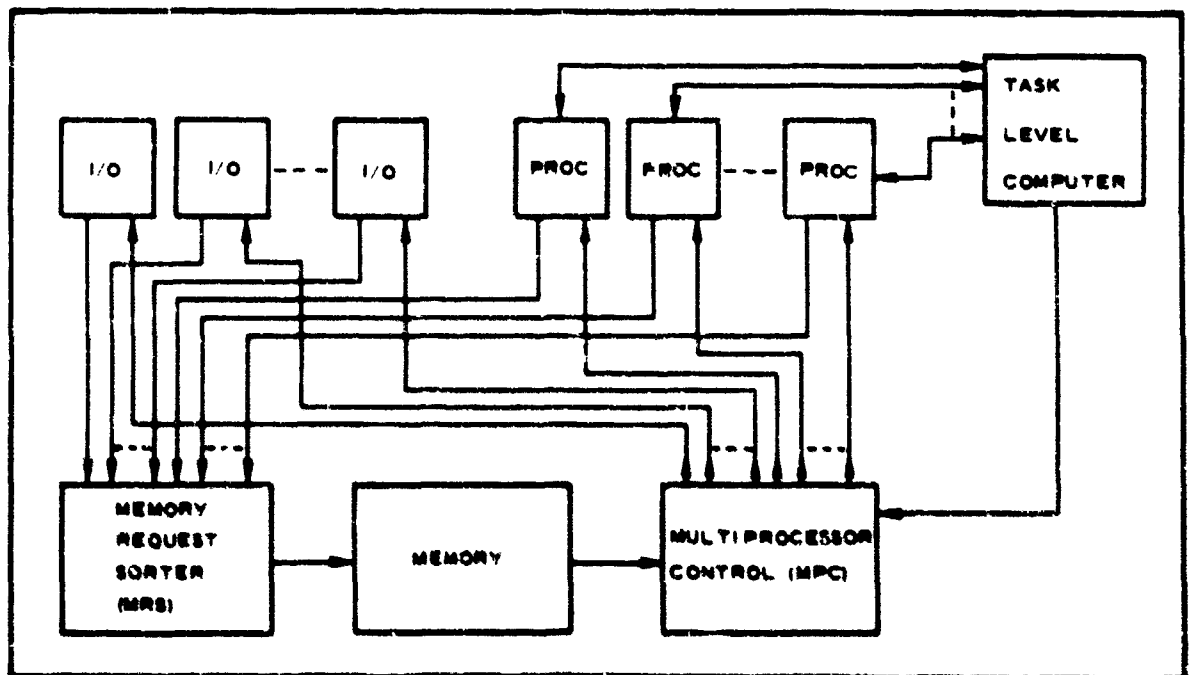


Figure XV-1 - Block Diagram of Machine II

with many (on the order of 1000) parallel channels to the multiprocessor control. It is needed as a store capable of reading and writing many items of data simultaneously so that the machine is not memory-limited.

The I/O devices consist of backup memories (core storage, disk storage, drum storage, tape storage, etc.) and normal I/O units (card equipment, printers, consoles, channels to other machines, etc.). The number of I/O channels can be in the hundreds and all channels may be operating at the same time to give the machine a high I/O data rate. The faster I/O units can be connected to more than one I/O channel so more than one word could be transferred in any one cycle.

Each processor is a simple three-register arithmetic unit capable of performing the arithmetic-logic operations in the instruction set. Double-length operations are performed by connecting two adjacent processors. The result of each operation is transferred back to the MPC immediately after execution, freeing the processor for another instruction that may come from a different program; this rule simplifies the implementation of interrupt, multiprogramming, recovery from processor failure, and other matters in the machine. The number of processors may be in the hundreds.

The task level computer is used to implement a dynamic task priority scheme wherein each task can be assigned a certain percentage of machine capacity and is given execution time at regular intervals.

The memory request sorter (MRS) receives read and write requests from the I/O devices and processors, orders them by address and data fields, and transfers them to the memory.

The multiprocessor control (MPC) is the heart of the machine. In one sense, the MPC acts as a switchboard, connecting all the various parts of the machine together and allowing hundreds of data transfers to take place simultaneously. In another sense, it acts as a flexible buffer matching the data rates in all the data transfers. In still another sense, it acts

as an extensive "instruction look-ahead" unit arranging for the retrieval of instruction blocks and operands, matching the operands to their instructions, dispatching the instruction-operand sets to processors, and storing intermediate results. The MPC is a sorting memory with certain added features.

3. MEMORY

The multiaccess parallel merging-separating memory is essentially that described in Appendix VII with a few modifications. The format of a word in memory is shown in Figure XV-2.

The X and Y fields designate six different kinds of items. The memory cycle has eight steps:

1. Input new words, read requests, and read and erase requests
2. Merge
3. Flag requests and associated memory words
4. Separate flagged items
5. Present requested words for output
6. Merge
7. Flag requests and memory words to be erased
8. Separate flagged items and erase them

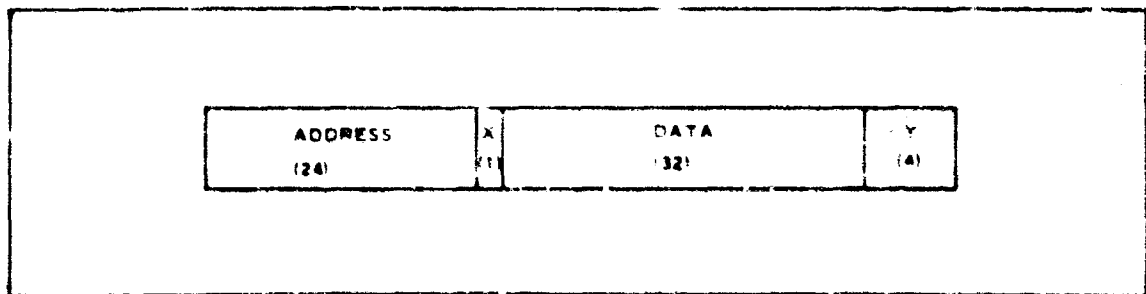


Figure XV-2 - Memory Word Format

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The memory cycle is longer than described in Appendix VII because of the need for reading blocks of data (such as instruction blocks). With blocks of data, there is no convenient method for combining the reading and the erasing functions; therefore, in Step 5 the data are read but not erased and at the end of Step 8 the data are erased by overwriting it with new requests in Step 1 of the next cycle. An approximate cycle time can be obtained by assuming 150 nsec per clock period except during separates, where 250 nsec should be assumed. Using these assumptions and the fact that the even-numbered steps take n clock pulses (for a 2^n -word memory), a cycle time of $0.8n + 0.6$ μ sec is obtained; for example, a 32,768-word memory has a cycle time of about 12.6 μ sec. In each cycle, 1000 items or so may be retrieved.

There are six types of items in memory designated with the following X and Y fields:

<u>X</u>	<u>Y</u>	
0	0000	- Multiple read request (lower limit)
	0001	- Multiple read and erase request (lower limit)
0	0010	- Read request
0	0011	- Read and erase request
0	0100	- Normal memory word
1	0000	- Upper limit

Each request has a corresponding upper limit.

At the start of a cycle, the MRS presents to the lower part of memory an inverse-ordered set of requests, upper limits, and new memory words (Step 1). During Step 2, these are merged with existing memory words. During Step 3, the following items are flagged by setting their leftmost Y-field bits:

1. Each request and upper limit

2. Each memory word intervening between a multiple request and an upper limit
3. Each memory word that is directly above a request

During Step 4, the flagged items are separated from the unflagged and sent to the lower part of memory. During Step 5, the MPC reads the flagged items. If the number of flagged items exceeds the channel capacity, the procedure is different: the uppermost upper limit in the channel is picked as a dividing point and it and all words higher than it have their flags reset while all other words are read by the MPC (the words whose flags were reset will remain in memory for the next memory cycle). During Step 6, all items are merged. During Step 7, the flags of any unerased memory words are reset. During Step 8, all flagged items are separated from the unflagged, sent to the lower part of memory, and changed to all-zero memory words (0100 in the Y field) or overwritten with new requests from the MRS.

4. PROCESSORS

Each processor is a three-register arithmetic unit and an instruction register. The instruction register contains the operation code and task identification. In each cycle, the MPC-processor interface can transfer the following.

1. Operand A from MPC to processor
2. Operand B from MPC to processor
3. Operation code and program identification (packed into one word) from MPC to processor
4. Result of last operation from processor to MPC

During each cycle, the processor performs the indicated operation. Double-length operations use two adjacent processors. One receives the upper halves of the operations and a specially flagged code (to indicate upper half), the other receives the lower halves and a specially

flagged operation code (to indicate lower ha A connection between adjacent processors is used for the necessary interchange of data between the processors. The task identification is fed to the task level computer (see 5. below). A memory request is transferred to the memory request sorter (see 6. below).

5. TASK LEVEL COMPUTER

When a computer system is being time-shared by several tasks, a means is needed to transfer control between the tasks. The means could be hardware or software or a combination of the two. In a system with more than one processor, the implementation is complicated by the fact that a given task may be using a dynamic number of processors; to keep the processors busy, the means for processor assignment should be fast.

In Machine II, all instructions ready for execution are kept in a list in the MPC ordered by "task levels." The task level is a number assigned to an instruction block upon entry into the MPC; it governs the priority of the block relative to all other blocks in the MPC. Blocks with lower task levels are preferred to those with higher task levels. On each execution cycle, all processors interrogate the instruction list; this keeps the processors busy regardless of the changes in any one task.

The task level computer receives task identifications from the processors and uses this information to keep track of machine usage and to update task levels. The updated task levels effect the read-in priorities of new instruction blocks. The example below illustrates the scheme.

Let Machine II have four tasks, A, B, C, and D, and suppose it is desired to give Task A 50 percent of the machine capacity, Task B 30 percent, Task C 10 percent, and Task D 10 percent. Give each task an integer, Δ , that is inversely related to its desired capacity. A suitable set of Δ 's in this example is $\Delta_A = 2$, $\Delta_B = 5$, $\Delta_C = 15$, $\Delta_D = 15$. The task identification contains Δ as a subfield

On each execution cycle, the task level computer increments each task level by the product of the Δ for the task and the number of processors used by the task. This information is contained in the task identifications fed from the processors. This operation causes the task level for a task to increase at a rate proportional to its current machine usage and its Δ . The task levels govern the priority of the tasks in future competitions; this has the effect of keeping the task levels together since the tasks with lower levels will win future competitions, causing their levels to increase up to the higher task levels.

The example illustrates this. Let Machine II have 150 processors and assume that all tasks want to use 100 processors if given the chance. Table XV-1 shows the task levels at successive execution cycles assuming a given initial condition. Here, Machine II is used as follows:

Task A, 1050 processor executions (50 percent)
Task B, 650 processor executions (31 percent)
Task C, 200 processor executions (9.5 percent)
Task D, 200 processor executions (9.5 percent)

These percentages are close to the desired percentages (50, 30, 10, and 10). Because Task B obtained slightly more capacity than desired, its task level is higher so that in future competitions it loses out. In the long run, the actual machine usage approaches the desired machine usage.

All processors were kept busy each execution cycle (there were always enough instructions for it to do), the machine usage approximated the desired machine usage, and every task obtained access to the processors once in awhile. Thus, this seems like a good assignment procedure.

As time progresses, the task levels increase; to prevent overflow, a constant is subtracted from all task levels whenever the highest task level overflows. The easiest constant to pick is the power of two represented by the highest bit in the task level field.

TABLE XV-1 - TASK LEVELS AT SUCCESSIVE EXECUTION CYCLES
ASSUMING A GIVEN INITIAL CONDITION

Task A ($\Delta = 3$)		Task B ($\Delta = 5$)		Task C ($\Delta = 15$)		Task D ($\Delta = 15$)	
Level	Processors	Level	Processors	Level	Processors	Level	Processors
100	100	200	50	300		400	
400	50	450		300	100	400	
550		450	50	1800		400	100
550	100	700	50	1800		1900	
850	100	950	50	1800		1900	
1150	100	1200	50	1800		1900	
1450	100	1450	50	1800		1900	
1750	50	1700	100	1800		1900	
1900	50	2200		1800	100	1900	
2050	50	2200		3300		1900	100
2200	100	2200	50	3300		3400	
2500	50	2450	100	3300		3400	
2650	100	2950	50	3300		3400	
2950	100	3200	50	3300		3400	
3250		3450		3300		3400	

The task level computer consists of a small sorting memory and a set of serial adders. It sorts the task identifications arriving from the processors and whenever two words for the same task are sorted together, an adder adds the two fields and erases one of the words. Over several cycles, the necessary additions to each task level word are made. The task level words are periodically fed to the MPC. Note that the task level word is not updated instantaneously but will usually lag behind; the effect of this is to introduce some "overshoot" in the process but this will not have any effect over the long run.

6. MEMORY REQUEST SORTER

Because the memory is a merging-separating memory, the memory requests must be presented to it in ordered fashion. The memory request sorter (MRS) gathers all memory requests from the processors and I/O units and orders them. The ordered set is presented to the memory during Step 1 of its cycle.

Each write request is one word with the format shown in Figure XV-3. When this is put in the memory, it will act as a normal memory word. Each read request consists of two words, an upper limit and a lower limit. The lower limit format shown in Figure XV-3 is where Y is the code for the particular type of request (see Item 3) and the threshold is all zeros except for a threshold search operation. The upper limit format shown in Figure XV-3 is where the MPC information indicates where the data retrieved by the request should go in the MPC.

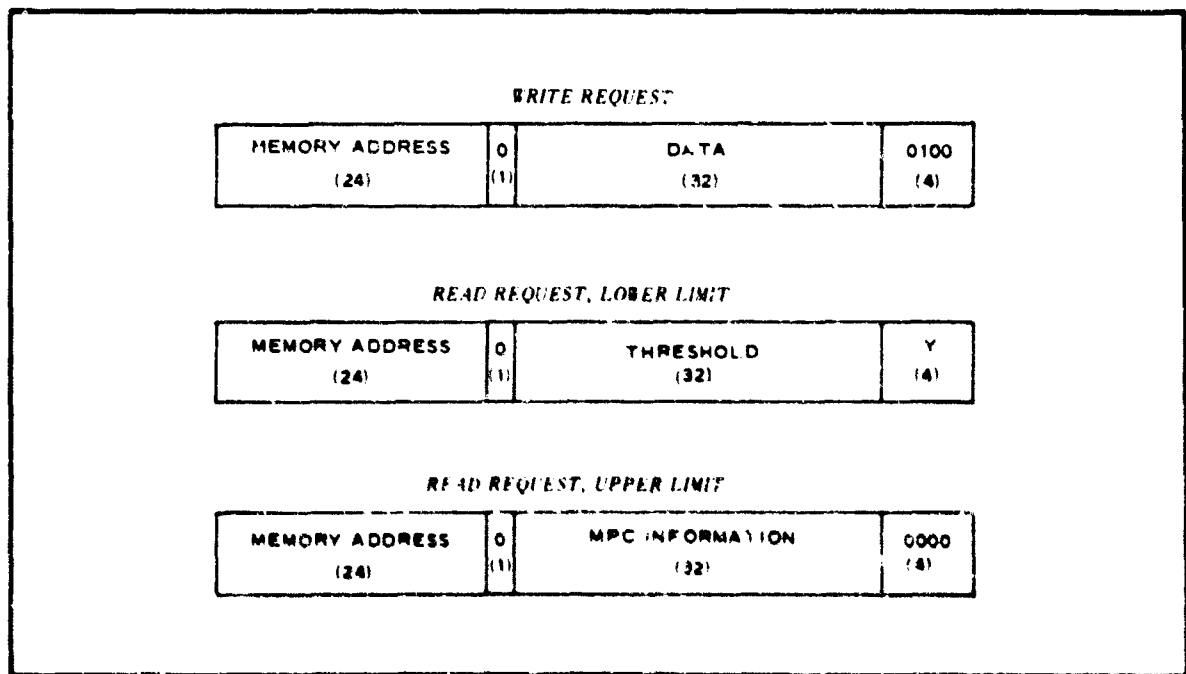


Figure XV-3 - Memory Request Formats

7. MULTIPROCESSOR CONTROL

a. General

The multiprocessor control (MPC) consists of a sorting memory with logic between adjacent words to cause certain changes in the words. There are three kinds of interfaces with the MPC: I/O devices, processors, and memory channels. The uppermost end of the MPC is the I/O region with each I/O device connected to one word in the region. Immediately below the I/O region is the processor region with each processor connected to three consecutive words in the region. The lowermost end of the MPC is the memory region with each memory channel connected to three consecutive MPC words.

The MPC cycle consists of a sort phase during which all MPC words are sorted, and a transfer phase during which the interfaces read and/or write into their corresponding words and certain words are interpreted and modifications made.

The following five kinds of words are in the MPC: α , β , γ , δ , and ϵ , with the formats as shown in Figure XV-4. During the transfer phase, these words are interpreted as follows.

α word: If the word above an α word is an ϵ word with the same A field, then move the F field of the α word into the A and B fields of the same word and copy the C and H fields of the ϵ word into the C, F, and G fields. The ϵ word is undisturbed while the α word is changed to an ϵ word with new A, B, and H fields. If the word above is not an ϵ word or does not have the same A field, the α word is undisturbed.

β word: Same as the α word except that the ϵ word is erased (C = 100 and all other fields cleared to zeros).

γ word: If the two words above a γ word are ϵ words with the same A fields, set the left-most bit of the γ word and the two ϵ words to 1; otherwise, leave all words alone.

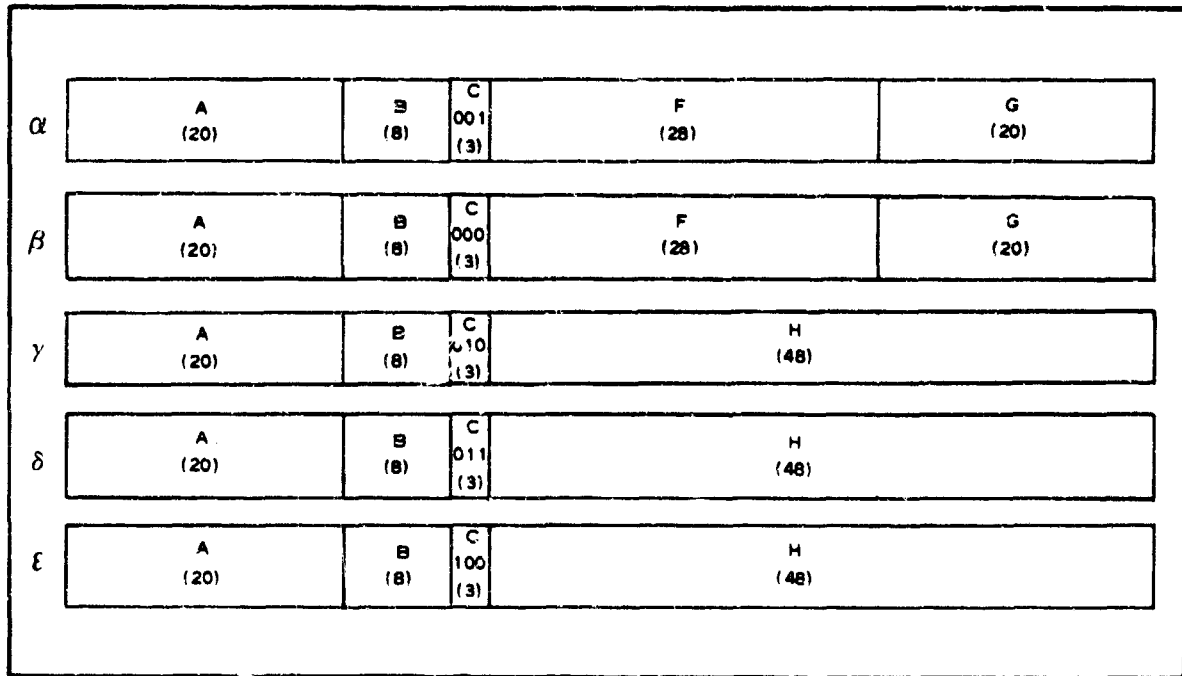


Figure XV-4 - Multiprocessor Control Word Formats

δ word: If the word above a δ word is an ϵ word with the same A field, its left-most bit is set to 1 and the δ word is erased (C = 100 and all other fields cleared to zeros); otherwise, set the left-most bit of the δ word to 1.

ϵ word: Not interpreted except in relation to adjacent α , β , γ , or δ words.

The set of words in the MPC is divided into seven regions. The size of these regions varies with time and one or more of them may be empty at a particular time. The left most three bits of each word indicates the region it is in. The regions are listed below with the three-bit codes.

- 111 - I/O region
- 110 - Processor region
- 101 - Result region
- 011 - I/O buffer region

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010 - Instruction region

001 - Pointer region

000 - Memory region

As described above, the MPC interfaces are connected to the I/O region, processor region, and memory region. The size of the I/O region is fixed.

The operation of the MPC can be described by showing the actions that occur for words retrieved from memory (words from memory may be words for output or instructions or data), words from I/O devices, and words from processors.

b. Output Words

An output device requests a block of consecutive words from memory by putting a read request or read and erase request in the MRS. The upper limit of the request contains the output device code. When the block appears on the memory interface to the MPC, an ϵ word is written for each word. The upper and lower limits become erased words while every word in between has the output device code preceded by the I/O buffer region code (011) written in the A field. The 24-bit memory address and the 32-bit data field of this word are put in the B and H fields. These MPC words travel to the I/O buffer region in the next sort phase.

The I/O buffer region is ordered by I/O device number, memory address, and data field.

c. Channel Words

Every I/O channel (whether the I/O device is operating or not) inserts into its corresponding MPC word in the I/O region a fixed δ word with the I/O buffer region code (011) and the I/O device code in the A field. This word travels to the I/O buffer region and either sends back the least word in the device buffer, if there is one, or sends back itself if there isn't one. In this way, each output device reads its own buffer.

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I/O units are started by putting specially flagged control words in their buffers.

d. Instructions

The upper limit of an instruction-block read request contains the MPC block assignment for the block, the program ID, and the MPC address of the start instruction that caused it to be read in. When an instruction block arrives over the memory - MPC interface, each word causes three MPC words to be formed. Two are operand requests and one is the OP code - program ID word (dummy words are formed in place of operand requests for instructions that have less than two operands). The operand request format is in Figure XV-5.

X is either a zero or a one depending on whether the operand should be erased or not. The operand request is an α or a β word so that when the desired operand appears in the result region it is copied and the operand request sent to the instruction region. The OP code-program ID word is a γ word so that when the two operand requests return to it, all three are sent to the processor region.

When a new instruction block is read in, a pointer word containing the MPC block address and address of the start instruction is put in the pointer region. This is used by SPB and SPR operations to find operands.

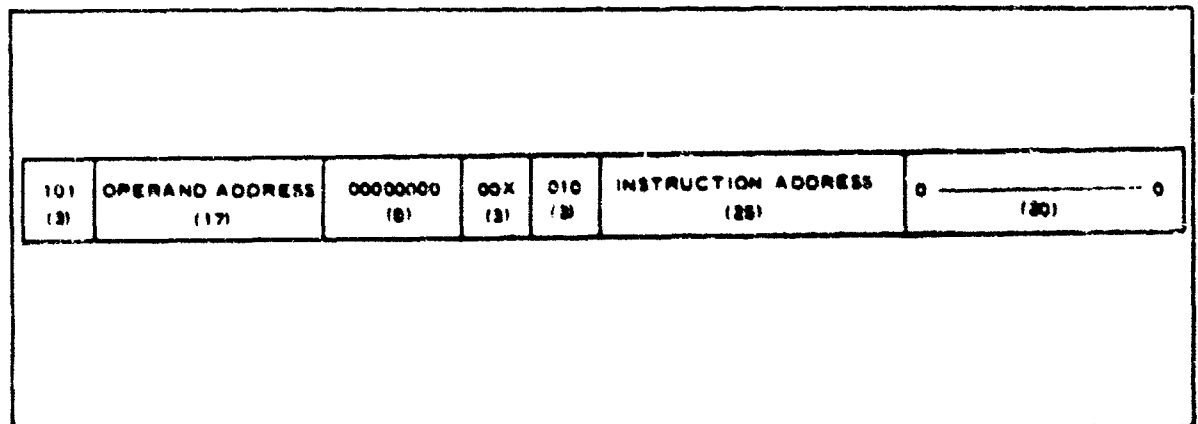


Figure XV-5 - Operand Request Format

e. Data

Data requests are sent to the result region. The upper limit contains the MPC address.

f. Processor Results

Results of instructions are sent to the result region addressed appropriately.

g. Summary

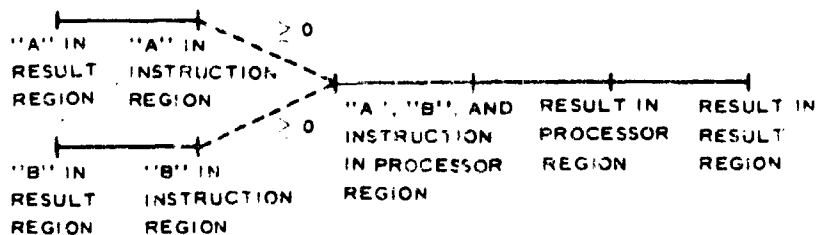
This describes the MPC. Generally speaking, ϵ words contain data while α , β , γ , and δ words act as data requests. The I/O region is fixed in length by guaranteeing a fixed number of words with the I/O region code (if a δ word finds nothing to send to the I/O region, it sends itself).

An MPC of 8192 words requires 91 steps ($1/2 \times 13 \times 14 = 91$) in its sort phase and 1 step in its transfer phase. At 150 nsec per step, the MPC cycle is 13.8 usec. A good assumption to time out example problems then is 13.8 usec per MPC cycle. Figure XV-6 shows the timing charts.

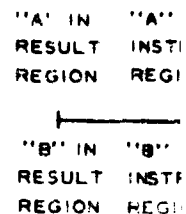
8. CONCLUSIONS

The various parts of Machine II have been described. The main difference between it and Machine I is the multiprocessor control (MPC), which allows automatic dynamic processor assignments, the ability to code parallel programs without specifically assigning new processors, and the ability to crosstalk between parallel programs. This enhances the efficiency of the machine in many programs.

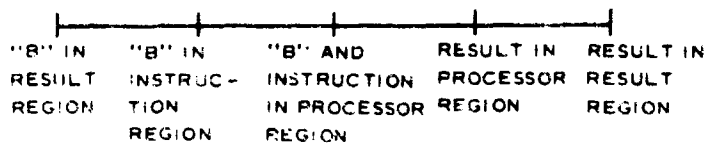
ARITHMETIC AND LOGIC (4 CYCLES MINIMUM)



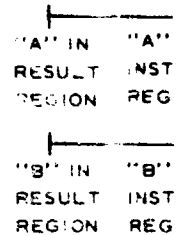
THS (4 CYCLES MINIMUM)



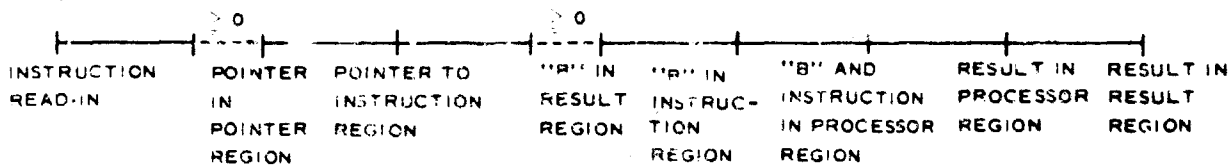
CONVERSIONS AND STB (4 CYCLES)



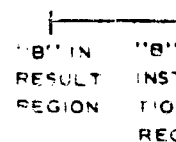
STO (4 CYCLES MINIMUM)



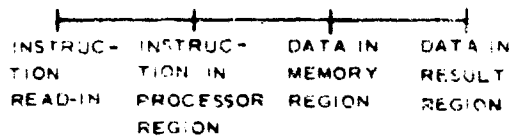
SPB, SPR, BRG (7 CYCLES MINIMUM)



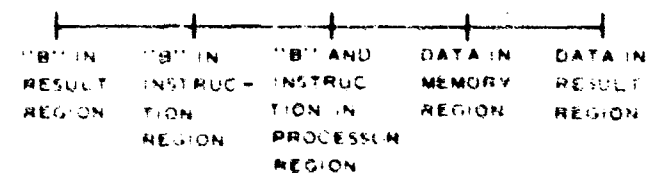
START (3 CYCLES)



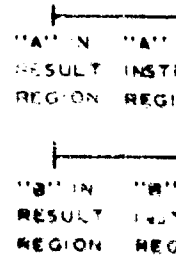
RDM (3 CYCLES)



RIM (3 CYCLES)



CONDITIONAL START



NOTE

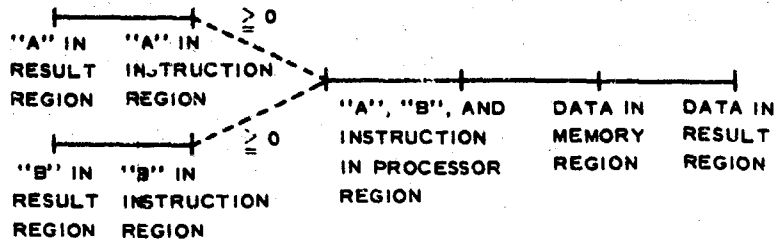
TIME IS EXPRESSED IN MPC CYCLES ABOUT 128 USEC.

DOTTED PATHS ARE "WAITS" FOR VARIOUS CONDITIONS AND MAY BE ZERO LENGTH

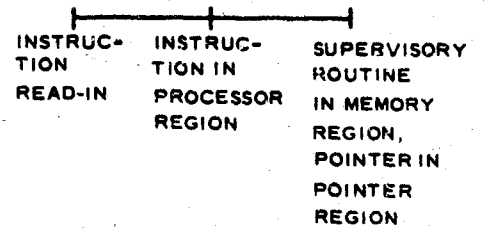
A

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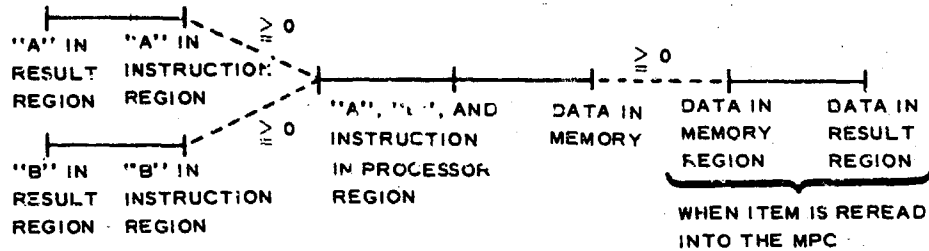
THS (4 CYCLES MINIMUM)



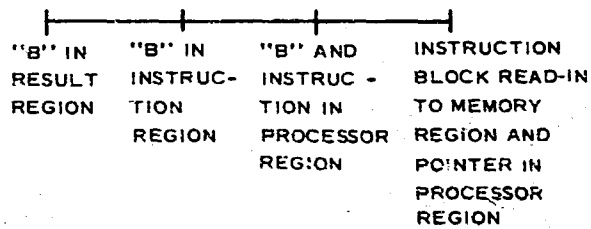
SUPER (2 CYCLES)



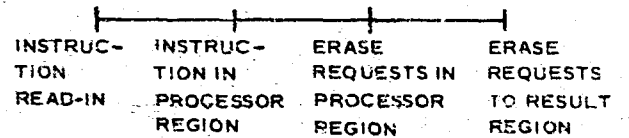
STO (4 CYCLES MINIMUM TO BE REREAD)



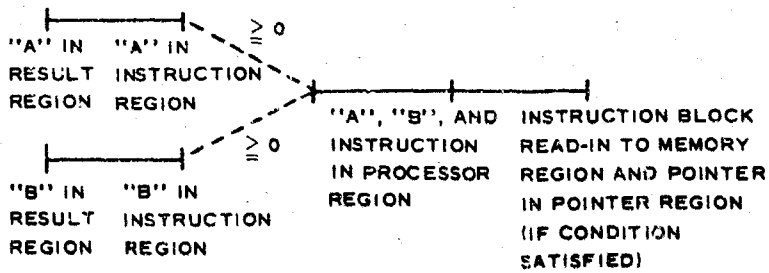
START (3 CYCLES)



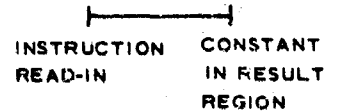
EPB, EPR (3 CYCLES)



CONDITIONAL STARTS (3 CYCLES MINIMUM)



CONST (1 CYCLE)



RESULT IN
ON PROCESSOR
FOR REGION

RESSED IN MPC CYCLES
(μSEC).

THS ARE 'WAITS' FOR VARIOUS
AND MAY BE ZERO LENGTH.

Figure XV-6 - Timing Charts

APPENDIX XVI - PARALLEL NONNUMERIC PROCESSING

1. INTRODUCTION

Nonnumeric processing is discussed in general along with the characteristics that are present in present-day machines and those characteristics that are desirable in a parallel nonnumeric processor. Ways of implementing these characteristics by means of sorting memories are discussed. The detailed design of a parallel nonnumeric processor awaits further study.

2. NONNUMERIC PROCESSING

The words "numeric" and "nonnumeric" when applied to data processing problems are misnomers. A look at typical numeric and nonnumeric problems reveals the distinguishing characteristic - the addressing of data. In a typical numeric problem, most items of data are addressed by their unique label (addresses); this can be called "explicit addressing." In a typical nonnumeric problem, most items of data are addressed by their properties; this can be called "implicit addressing." This can be seen when a typical numeric programming language, such as FORTRAN, in which each item is referred to by a unique label, is compared with a typical nonnumeric programming language, such as for list processing, in which a typical operation might be the searching of a list structure for a set of items meeting a given pattern.

3. CLASSES OF PROPERTIES

In general, the properties by which data are implicitly addressed fall into three classes:

1. Any property dependent on an item of data per se; for example, the property of being greater than or less than a threshold or the property of having certain of its bits

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matching a pattern. This class usually is called content-addressing.

2. Any maximum or minimum property such as the property of being the largest or smallest item in a set. This is referred to here as limit-addressing.
3. Any property dependent on "neighborhoods." When these occur in a nonnumeric problem, there is a structure (topology) imposed on a set of data such as lists, trees, matrices, list structures, etc. A typical property by which items may be addressed might be the satisfying of a subpattern. This is referred to here as structure-addressing.

Properties from more than one of these classes may be used in a single search. For instance, one of the search patterns mentioned in Appendix X is a string of five items (structure-addressing), the first, third, and fifth of which are operators and the second and fourth of which are variables (content-addressing) and in which the precedence of the third item is greater than that of the first item and not less than that of the fifth item (limit-addressing). These properties are separated into these classes because the implementations of searches for properties usually differ.

4. SOME PRESENT-DAY NONNUMERIC PROCESSORS

Most conventional computers are capable only of explicit addressing of data. A few (the CDC-1604, for example) can perform equality search or threshold search operations by which a contiguous table in memory can be content-addressed; these operations search sequentially and thus are practical only for small tables. To make the solution of some nonnumeric problems more amenable on a conventional computer, a number of languages are available of which LISP, IPL-V, and SNOBOL are examples. In essence, these languages arrange the storage of data more efficiently so that structure-addressing is easier; link fields in items represent the neighborhoods. The amount of time spent in housekeeping in these programs lowers their potential to small nonnumeric problems.

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Content-addressing memories (CAMs) can perform content-addressing very well since all of memory is interrogated at the same time. By adding a fast facility to indicate the presence or nonpresence of responses, limit-addressing (maximum and minimum searches) also is performed very well. Structure addressing can be added with multiple comparands (see Item 5, below). Single-comparand CAM's might require long times to do certain structure-addressing problems.

If the problems to be solved are limited to those with a certain topology, the response store of a CAM could be interconnected in that topology and a machine obtained that would solve problems in that class very well. Two machines with this organization are the Illiac III at the University of Illinois^{1, a} and the SOLOMON.² Both of these have the topology of a square array. On problems that fit the square array, these machines do very well while on other problems they lose much of their speed. There are many different topologies present in nonnumeric problems; for example, lists, list structures, trees, arrays, and graphs. In many problems, the topology changes as computation proceeds, hence a machine with a fixed topology will be limited in purpose. The topology of any practical non-numeric problem can be represented by a graph with weighted directed links; nodes represent items, and links represent the connections or relations between neighboring items (the link weight shows the kind of relation). As is shown under Item 5 below, content-addressing can be changed to structure-addressing so an organization based on graphs will have great utility.

5. CONTENT-ADDRESSING BY STRUCTURE-ADDRESSING

Given a processor capable of representing any topology, one can implement content-addressing. The technique is to separate each item into its separate fields and connect the fields by weighted links to show where they

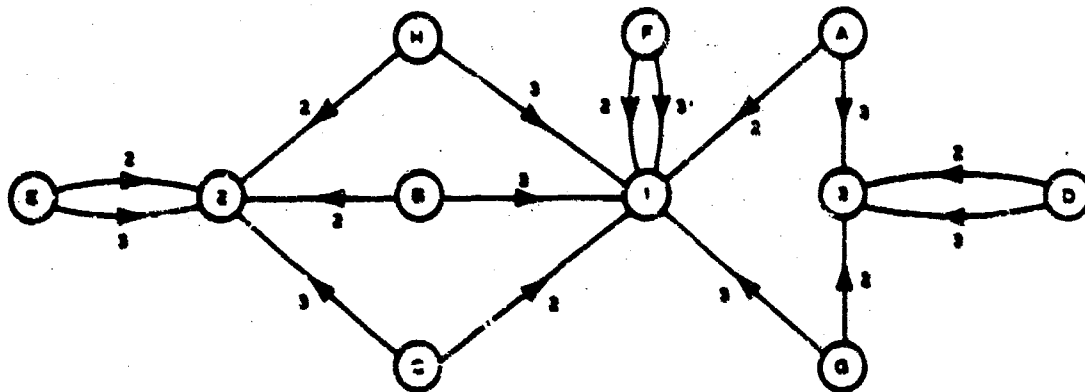
^aSuperior numbers in the text refer to items in the List of References under Item 11, Page 405.

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occur, and then coalesce any equal-valued items. Each item contains only one field and its value is unique so its value can be used as a label or address by which it can be explicitly addressed. The example that follows exhibits this technique. Suppose there are the following eight 3-field items to be content-addressed:

A	1	3
B	2	1
C	1	2
D	3	3
E	2	2
F	1	1
G	3	1
H	2	1

The three fields of each item are separated and connected by a link of Weight 2 between the first and second fields and a link of Weight 3 between the first and third fields. Then all equal-valued items are coalesced. The resulting graph is:



A content-address search for those items whose second and third fields are 2 and 1, respectively, is transformed to a path search for

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Any content-address search can be similarly transformed.

6. STRUCTURE-ADDRESSING BY CONTENT-ADDRESSING

Given a multiple-comparand content-addressable memory, one can implement structure-addressing on it; one stores a word for each link of the graph containing the initial node label, the link weight, and the terminal node label.

As an example, the graph previously shown could be stored in a CAM as follows:

Initial node	Link weight	Terminal node
A	2	1
A	3	3
B	2	2
B	3	1
C	2	1
C	3	2
D	2	3
D	3	3
E	2	2
E	3	2
F	2	1
F	3	1
G	2	3
G	3	1
H	2	2
H	3	1

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The pattern search



could be implemented as follows:

1. Find all words with 2, 2 in their second and third fields (three responses: B, 2, 2; E, 2, 2; H, 2, 2).
2. Form a comparand for each response whose first field is the first field of the response and whose second and third fields are 3 and 1 respectively (three comparands: B, 3, 1; E, 3, 1; H, 3, 1).
3. Find all words that agree with one of these comparands (two responses: B, 3, 1; H, 3, 1).

B and H satisfy the pattern search (the first fields of the responses to Step 3).

This example shows the use of multiple comparands. In general, they will be required in many structure searches, being formed from the responses of one step of the search for use in a later step. If the data structure is large, there may be many comparands in some step of a search; a single-comparand CAM can only treat these one at a time and may become unduly slow.

A machine organization using a single-comparand CAM for structure addressing is the Association-Storing Processor.³ Since only one comparand is permitted at a time, the search algorithm involves a "backtrack" procedure; that is, after any step it treats one of the responses in the next step, carrying it to completion, and then treats the others in later steps. The time spent in a given search depends strongly on the complexity of the data structure being searched; some structures may generate numerous responses and hence, numerous backtrackings.

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From these thoughts, the desirability of a multiple-comparand content-addressed memory can be seen.

7. A SORTING MEMORY AS A MULTICOMPARAND CAM

a. General

One way to build a multicomparand CAM is to use multiple response stores, one for each comparand. The response store in a CAM is a major cost item and thus this solution is uneconomical. Another way is to use a sorting memory (Append. VI). This has the advantage that the cost increment is small as comparands are added. One limitation is that only searches on the left parts of words can be performed; proper organization of data removes the effect of this limitation.

b. Main Section

A sorting memory used for multiple-comparand content-addressing has 11 different words in its main section. Their formats are shown in Figure XVI-1. The leftmost bits of these words are 0. The high end of the sorting memory is the readout section and contains words with leftmost bits equal to 1. The readout section is discussed in Item c below.

Empty words contain all zeros except for two bits as shown in Figure XVI-1. Their magnitude is less than that of any other word in memory and thus they collect at the low end of memory. The low end is used for input so the empty words are overwritten with new data or operations through the input lines.

Each link of the data structure is represented by two link words - a forward word and a backward word. The node labels are interchanged and the bit between the A and B fields is changed from 0 to 1 in the backward word. Because of the sorting action, the forward words of all links leaving a given node are collected and ordered by their weights. Similarly, the backward words of all links entering the node are collected in a set adjacent to the forward words of links leaving the node.

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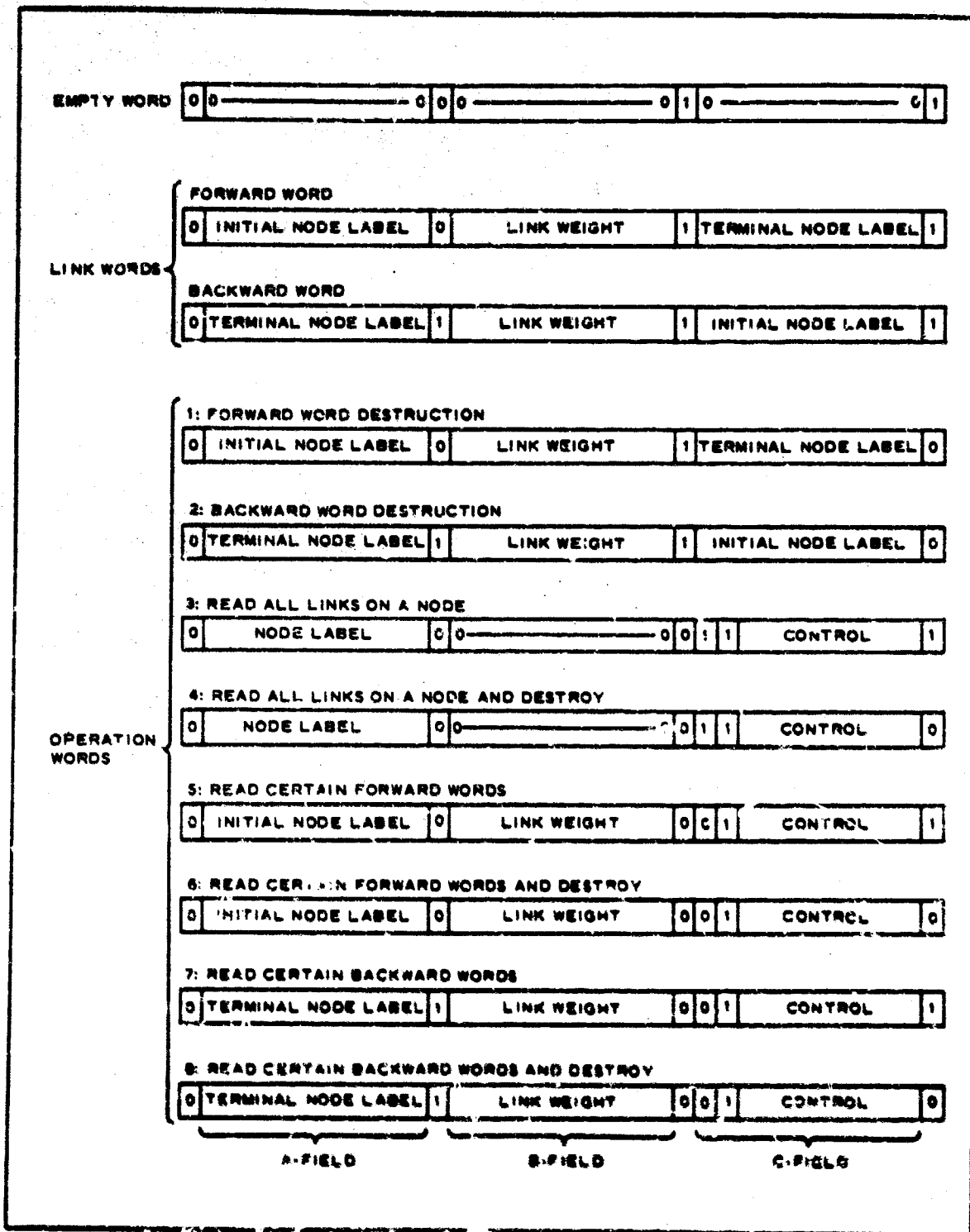


Figure XVI-1. Word Formats in Multicomparand Content-Addressed Sorting Memory

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Operations 1 and 2 (Figure XVI-1) destroy a word with a given link weight and node labels. They are characterized by a 1 between the B and C fields and a 0 in the rightmost position. The bit between the A and B fields indicates which operation is to be done, forward word destruction or backward word destruction. In either case, the sorting action sends the operation word to a location just below the word to be destroyed. Circuitry in the memory detects the existence of the operation word and changes it and its corresponding link word to empty words. If no link word corresponds, the operation word alone is destroyed.

Operations 3 and 4 read all the links on a given node. A 0 between the B and C fields and a 11 in the two leftmost C-field positions characterize these operations. The sorting action sends these operation words to a location just below the links of the given node. Circuitry in the memory detects the presence of one of these operation words and causes the following action:

1. The C-field contents of the operation word replaces the A field of all corresponding link words.
2. The A- and C-field contents of the operation word are interchanged.
3. The leftmost bits of the link words and operation word are changed from 0 to 1.

This action causes the operation word and link words to travel to the readout section during the next sort cycle. The link words are sent back to the main section after readout if and only if the rightmost operation word bit is 1 (Operation 3 rather than Operation 4).

Operations 5, 6, 7, and 8 read all links with a given weight and direction incident on a given node. A 0 between the B and C fields and a 01 in the two leftmost C-field positions characterize these operation words. The sorting action sends the operation words

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to a location just below the links to be read. Circuitry in memory detects their presence and causes exactly the same action as that for Operations 3 and 4; only the link words with the desired link weight are treated.

c. Readout Section

As indicated in b above, Operations 3 through 8 cause the leftmost bits of certain words to be changed from 0 to 1; during the succeeding sort cycle, these words arrive at the high end of memory (the readout section). At any time, each of the operation words has a unique control so that no intermingling of responses between concurrent operations can occur (the control is put in the A field as discussed in b above).

Readout lines connected to the high end of memory read the contents of the readout section after which circuitry in memory causes the following action:

1. Any link word associated with an operation word whose rightmost bit is 0 is overwritten with an empty word (this destroys this link word).
2. The A-field of any link word associated with an operation word whose rightmost bit is 1 is replaced by the C field of the operation word (this was its original A field) and the leftmost bit of this link word is changed back to 0 (this puts it back in its original state).
3. Any operation word is overwritten with an empty word.

In the succeeding sort cycle all undestroyed link words return to their former positions in the main section of memory.

d. Conflicts between Operation Words

There is a possibility that more than one operation word wants to

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affect the same link words. These conflicts are detected in the main section and resolved with the following rules:

1. Operations 1 and 2 take precedence over the other operations. Any other operation can still read any link not being destroyed by an Operation 1 or 2.
2. Operations 5, 6, 7, and 8 take precedence over Operations 3 and 4. Otherwise, conflicts are resolved in favor of the operation word with highest control field. Any operation word losing out to another by this rule is "delayed" as discussed below.

An operation word losing a conflict by Rule 2 is delayed by changing the 1 in its second leftmost C-field position to a 0. The word remains in this state during the succeeding sort cycle. At the end of this cycle, the bit is changed back to a 1 but no other action occurs until the end of the following sort cycle at which time the operation is tried again (at this time any undestroyed links that were sent to the readout section by the operation winning the conflict have been returned to their original state).

Operations 3 through 8 cause link words to be absent from the main section for two sort cycles. A simple rule can prevent the possibility that an operation word arrives in the main section while the links it wants are in the readout section. The rule is to input operation words with odd A fields only during alternate cycles and operation words with even A fields only during the intervening cycles.

The restriction mentioned in the foregoing paragraph can be removed by the addition of "place marker" words to those of Figure XVI-1. Such words would remain in the main section in place of those links sent to the readout section.

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8. A PARALLEL NONNUMERIC PROCESSOR

A parallel nonnumeric processor could be constructed using the multiple-comparand content-addressed sorting memory described in Item 7. Figure XVI-2 shows a block diagram of such a processor.

In general, the processing unit sends operation words and new link words to the memory and receives responses in return. The control fields originally entered in the operation words wind up in the responses so that no ambiguity occurs even though many different operation words may be present. The control fields are used in the processing unit to send the responses to the correct locations. Input and output channels communicate with the processing unit. These can be handled in a manner similar to that described in Appendix XV.

Further development of the processing unit is dependent on development of general-purpose structure-search algorithms. The basic form for an algorithm that treats both searches with loops and "loop-free" searches is discussed below. Arithmetic and other operations need also be included to obtain a useful machine.

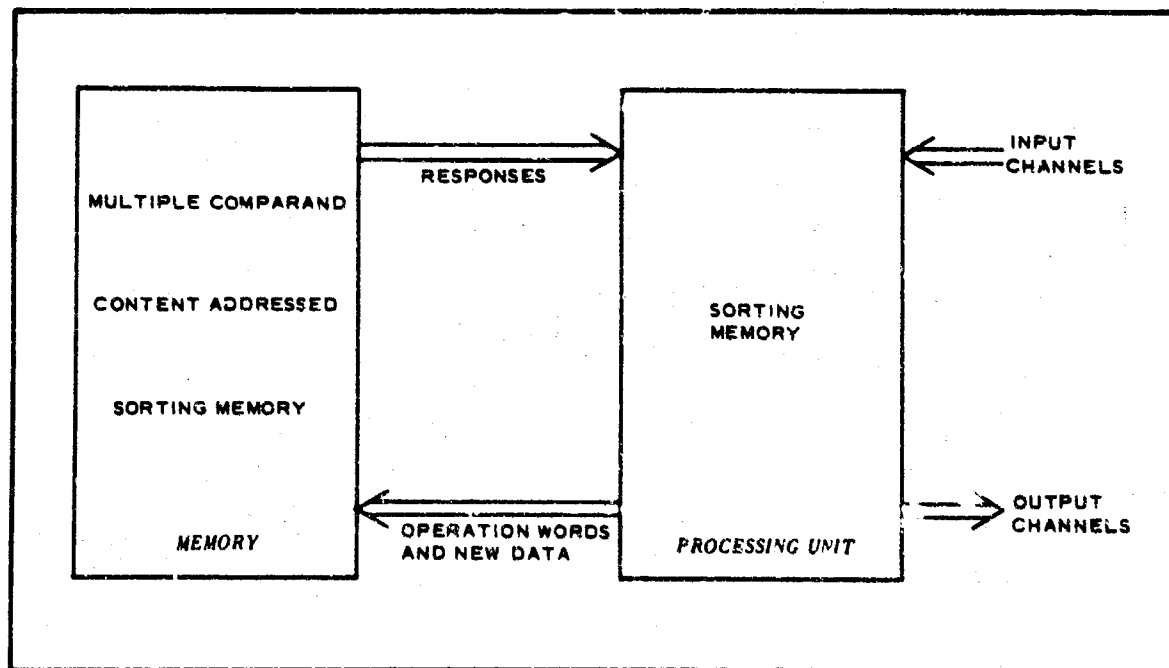


Figure XVI-2 - A Parallel Nonnumeric Processor

9. ALGORITHM FOR PARALLEL-STRUCTURE SEARCHES

This algorithm will search any data structure for a subpattern that meets the following conditions:

1. All link weights in the search pattern are constant (have known weights), and
2. At least one node in the search pattern is constant (has a known label).

The algorithm works in "parallel," treating all possible search candidates simultaneously. The time in most cases is proportional to the number of links in the search pattern unless storage limits are reached.

The algorithm produces a set of n -tuples where n is the number of variables in the search pattern. Depending on the implementation of the algorithm, the n -tuples might be stored as ordered sets of words in the processing unit or the n -tuples might be represented in memory; for example, a new node for each n -tuple connected to a fixed node and to all of its members by links with certain weights.

We assume the variable nodes of the search pattern are labelled by X_1, X_2, \dots, X_n . The i th term (for $1 \leq i \leq n$) of each final n -tuple will contain the node label of X_i in the subpattern corresponding to the n -tuple.

With no loss of generality, it can be assumed that for any pair of variables in the search pattern there exists at least one path between them incident only on variable nodes. If this condition is not met, then the search pattern can be split along some of its constants into two or more disconnected pieces; each of the pieces meets the condition and can be treated independently of the other pieces. Furthermore, any link between constants is redundant and can be removed.

The only housekeeping required is a method of marking treated links in the search pattern to distinguish them from untreated links.

The algorithm is as follows:

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Step 1. Pick any link in the search pattern incident on a constant. The other node of the link is a variable, say X_i . Depending on link direction, send an Operation 5 or 7 word to memory (see Figure XVI-1) using the constant and link weight in fields A and B, respectively. The responses are candidates for X_i . Form an n-tuple for each response with the response node label as its i th member. Mark the search pattern link as being treated. Go to Step 2.

Step 2. Is there any untreated link in the search pattern between a constant and a variable incident on a treated link? If so, go to Step 3; otherwise, go to Step 4.

Step 3. Let the untreated link of Step 2 have link weight W , constant node C , and variable node X_i . Depending on link direction send an Operation 5 or 7 word to memory with C and W in fields A and B, respectively. Compare the responses to the i th members of all n-tuples and destroy any n-tuple whose i th member does not correspond to any response. Mark the link as being treated. Go to Step 2.

Step 4. Is there any untreated link in the search pattern between two variable nodes, each of which is incident on some treated link? If so, go to Step 5; otherwise, go to Step 6.

Step 5. Let the untreated link have weight W , initial node X_i , and terminal node X_j . For each n-tuple, send an Operation 5 word to memory with its i th member in the A field and W in its B field and discard the n-tuple if its j th member does not agree with any of the responses. Mark the link as being treated. Go to Step 4.

Step 6. Are all links in the search pattern treated? If so, the algorithm is complete; if not, pick an untreated link one of whose nodes is incident on a treated link and go to Step 7.

Step 7. Let the untreated link have weight W . Let the node incident on a treated link be X_i and let the other node be X_j . Depending on link direction, send an Operation 5 or 7 word to memory for each n-tuple. The A field of the operation word is the i th member of the n-tuple and the B field is W . If the operation word for the n-tuple has m responses, replicate the n-tuple m times and put one response in the j th member of each copy. Mark the link as being treated. Go to Step 2.

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This algorithm can be halted after Steps 1, 3, 5, or 7 if no n-tuples are present. This condition means that no subpattern of the data structure matches the search pattern.

10. CONCLUSIONS

This appendix has discussed nonnumeric processing in general and characteristics that are present in current machines and also those characteristics desirable in a parallel nonnumeric processor. It was shown that a multicomparand CAM exhibits these desirable characteristics. It was further shown that a sorting memory can serve as an implementation of a multicomparand CAM. A general form for a parallel nonnumeric processor was described. A basic search algorithm was presented for parallel structure searches.

Time limitations prevented development of the detailed processor design. A general search algorithm should also be developed. However, study to date indicates that a machine patterned after the organization in this report would be capable of solving large nonnumeric problems significantly faster than other existing schemes.

11. LIST OF REFERENCES

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2. Slotnick, D. L., et al.: "SOLOMON." Proceedings of The Fall Joint Computer Conference, 1962.
3. RADC-TR-65-32: Association-Storing Processor. Rome Air Development Center, Griffiss Air Force Base, N.Y.

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13. ABSTRACT			
Advanced general-purpose computer organizations capable of parallel data processing were studied. To achieve maximum system performance from highly parallel computer organizations, new solution models and programming techniques must be developed. Hence, the following three areas were investigated simultaneously:			
1. Applications - Study of problems and their inherent degree of parallelism, and development of theoretical solution models for use on a parallel processor.			
2. Programming - The programming of parallel solution models on the postulated computer organizations.			
3. Machine Organization - Development of machine implementations capable of parallel data processing.			
This study resulted in the design of two computer organizations (designated Machine I and Machine II) capable of parallel data processing and fast sorting and table searching in memory. These machine organizations were possible because of the development of a special memory that permits many processing and input-output units to access memory simultaneously without conflict.			
The applications effort was focused on the development of solution models which exploited the maximum amount of parallelism resident within a problem. Two major problems were investigated: a dynamic programming problem, and parallel compilation. Detailed programs were written for the dynamic programming problem on Machine I and a parallel compilation algorithm on Machine II. These same problems also were programmed on the IBM 7090 to provide a standard of comparison. In both cases, the parallel processing capability of the machines afforded significant increases in speed of program execution.			

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14. KEY WORDS	LINK A		LINK B		LINK C	
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