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FURTHER EXPERIMENTAL STUDY OF PLASMA SHEATH EFFECTS ON ANTENNAS

BY

JOHN M. HAMM GEORGE TYRAS



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FURTHER EXPERIMENTAL STUDY OF PLASMA SHEATH EFFECTS ON ANTENNAS

by

John M. Kamm and George Tyras

The University of Arizona College of Engineering Engineering Experiment Station Tucson, Arizona

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ABSTRACT

The plasma sheath simulation technique developed earlier has been used to investigate the effects of various types of sheath discontinuities and inhomogeneities on a slot antenna radiation pattern and input impedance.

In the case of semi-infinite and finite-extent homogeneous plasma sheaths, the radiation pattern and the impedance characteristic are investigated with regard to the geometry of the discontinuity and its proximity to the slot antenna. It is found that the radiation pattern is unaffected by the discontinuity as long as the ratio of the distance between the source and the discontinuity to the sheath thickness is of the order of 20 or greater. When the sheath is made finite in extent, with a discontinuity on each side of the slot, the resulting pattern is seen to be a superposition of the effects of each discontinuity acting separately. The input impedance of the slot exhibits only small variations when the ratio of the discontinuity separation to the sheath thickness is of the order of 4 or greater. For smaller separations, the impedance exhibits significant variations, strongly dependent on the geometry of the discontinuity. The effects produced by inhomogeneities are found to be similar to those of a homogeneous sheath with a certain equivalent dielectric constant.

For both the homogeneous and inhomogeneous sheaths, favorable comparison with the available theory is obtained.

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LIST OF CONTRIBUTORS

In addition to the authors, Mr. Lee Cooper and Mr. John Goltz contributed to the research reported in this document. Mr. Cooper and Mr. Goltz are undergraduate Research Assistants in the Department of Electrical Engineering, Engineering Experiment Station, at the University of Arizona in Tucson, Arizona.

RELATED CONTRACTS AND PUBLICATIONS

This document is an extension of the results of Scientific Report No. 1, Contract No. AF 19(628)-3834, December, 1964. This was also published under the title "An Experimental Study of Plasma Sheath Effects on Antennas" in the Journal of Research of the National Bureau of Standards, Radio Science, Vol. 69D, No. 6, June, 1965, pages 839-850.

1. Introduction

The recent interest in propagation of electromagnetic waves through a plasma sheath has generated a large number of papers, mostly theoretical in nature, which solve for the fields of various radiators in the presence of idealized plasma sheath environments. Most of these papers make assumptions concerning the nature of the discontinuities and inhomogeneities in the plasma sheath in order to make the problem more tractable analytically. For example, Tamir and Oliner [1964] approximate a discontinuous plasma sheath by terminating the sheath at a finite distance from the radiator with a termination perpendicular to the ground plane and to the plasma-air interface. As will be seen later, this configuration allows an approximate analytical solution to be made by means of a Kirchhoff-Huygens integration over the discontinuity plane. Rusch [1963] and Tyras [1965b] formulated mathematical models for the dielectric constant in a stratified inhomogenecus medium, with the latter obtaining a closed form expression for the radiation fields.

Little or no experimental work in this area has been reported, primarily due to the handling difficulties and instabilities inherent in actual laboratory plasmas. Recently, however, a plasma sheath environment was successfully simulated using real dielectric materials [Tyras et al., 1965a]. The simulation method allowed the experimental determimation of the radiation patterns and input admittances for the problem of a slot antenna in an infinitely conducting plane clad with a homogeneous, lossless, and isotropic plasma layer of infinite extent.

This simulation method provides a laboratory geometry readily suitable for modification so that discontinuities and inhomogeneities in

the plasma sheath may also be simulated. In particular, discontinuities of the "plate" type Tamir and Oliner, 1964] as well as inhomogeneities composed of discrete vertical stratifications can be easily simulated.

It is the purpose of this report to describe in detail these simulated inhomogeneous and discontinuous plasma sheath environments and to present their respective radiation patterns and input admittances. In the case of the radiation patterns, the experimental results are compared with the approximate analytical results of Tamir and Oliner for the discontinuous plasma sheath and with the asymptotically exact results obtained from a saddle point integration for the inhomogeneous plasma sheath.

2. Theoretical Background

2.1 Semi-Infinite Plasma Sheath

A common idealized model of the plasma sheath surrounding a reentry vehicle is seen in figure 1, with L_1 and L_2 considered infinite in length. It consists of a perfectly conducting plane covered with a homogeneous plasma of infinite extent. Tamir and Oliner [1962], among others, have analyzed this problem and have shown that the field in the plasma region and the near field in the air region are dominated by a single leaky wave and that the radiation field has a maximum at an angle closely corresponding to the critical angle of geometric optics, as long as the plasma dielectric constant remains positive.

To study the effect of finiteness on the radiation patterns, Tamir and Oliner [1964] proposed the model of figure 1, with L_2 considered infinite, L_1 finite, and $\phi_1 = 90^\circ$. In solving for the approximate radiation fields, it was assumed that the fields incident on the discontinuity plane were just those present in the case of the infinite plasma

slab, namely, the field due to the leaky wave. The problem is then one of an aperture with a prescribed field distribution. A Kirchoff-Huygens integration over the fields on this aperture yields the far field and consequently, the radiation pattern, $R(\theta)$.

$$R(\theta) = \left| K(\theta) \right|^2 \tag{1}$$

where

$$K(\theta) = \frac{e^{i(k_{p}-\sigma)\ell_{-1}}}{k_{p}-\sigma} + \frac{e^{i(k_{p}+\sigma)\ell_{-1}}}{k_{p}+\sigma} \cdot e^{i2\sigma}$$
$$+ \frac{ie^{i\sigma}}{\cos(k_{ep})} \left[\frac{\sin(k_{ep}-\sigma)}{k_{ep}-\sigma} + \frac{\sin(k_{ep}+\sigma)}{k_{ep}+\sigma} \right]$$
(2)

and where

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 $\sigma = kd\cos\theta \ (k = free space wavenumber)$ $\ell = (L/d)\cot\theta_c \ (\theta_c = critical angle)$ $k_p = k_z d \ (k_z = transverse wavenumber in the air region)$ $k_{ep} = k_{ze} d \ (k_{ze} = transverse wavenumber in the plasma region)$

and k_{p} and k_{ep} satisfy the transverse resonance relation

$$k_{p}^{2} - k_{ep}^{2} = (kd)^{2}(1 - \epsilon_{p})$$

$$\epsilon_{p}^{k} = ik_{ep}^{tank} e_{p} .$$
(3)

When the discontinuity plane and the source are separated by a large distance, the first term in $K(\theta)$ becomes dominant; and the radiation pattern is just that of an infinite plasma layer. Once the ratio of the discontinuity separation to the sheath thickness is made less than 20, the radiation pattern begins to change appreciably. This change is composed of a broadening of the major lobe and a shifting of the peak, all on the discontinuity side of the source ($\theta > 0$). In addition, increased end-fire and broadside radiation is observed. The exact nature of this change is strongly

dependent upon the plasma sheath parameters (ϵ_p and d).

2.2 Inhomogeneous Plasma Sheath

A possible model for the inhomogeneity profile thought to exist in the plasma sheath surrounding a reentry vehicle is shown in figure 2a. This profile can be approximated, for analytical as well as experimental purposes, by constructing a sheath of discrete layers of homogeneous dielectrics as in figures 2b and 2c. As the layers become figure, the discrete model will approach the continuous model.

Consider, then, an infinitely conducting ground plane covered with N layers of homogeneous dielectric material of infinite extent and excited by an infinite narrow slot antenna, as in figure 3. By symmetry, there is no variation of the fields with the x-coordinate, or $\frac{\partial}{\partial x} = 0$. Consequently, the only component of the magnetic field is the x-component. Then in the nth layer, R_x satisfies the source-free wave equation

$$\nabla^{2} H_{x_{n}} + k_{n}^{2} H_{x_{n}} = 0$$
 (4)

where $k_n = k_0 \sqrt{\epsilon_n}$ and $\sqrt{2} = \frac{\partial^2}{\partial z^2} \div \frac{\partial^2}{\partial y^2}$. An $e^{-i \pm t}$ time dependence has

been assumed and suppressed throughout. Since the geometry is infinite in the y-direction, a Fourier transform pair on the y-coordinate can be defined as follows:

$$\overline{H}_{x} = \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{\infty} H_{x} e^{-i\Omega y} dy$$
(5)

$$H_{x} = \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{\infty} H_{x} e^{i\Omega y} d\Omega.$$
 (6)

Thus,
$$\frac{\partial}{\partial y} = i\alpha$$
 and $\frac{\partial^2}{\partial y^2} = -\alpha^2$, and equation (4) becomes

$$\begin{bmatrix} \frac{\partial^2}{\partial_z^2} \div s_n^2 \end{bmatrix} \quad \overline{B}_{x_n} = 0, \qquad (7)$$

where $s_n^2 = k_n^2 - \alpha^2$ and $In(s_n) > 0$. The solution of (7) is found to be

$$\begin{array}{ccc} & \frac{\mathrm{i}s_n^{z}}{\mathrm{a}} & -\mathrm{i}s_n^{z} \\ \mathrm{H} & = \mathrm{A}e & \div \mathrm{B}e & \mathrm{H} \\ \mathrm{H} & \mathrm{H} & \mathrm{H} & \mathrm{H} \end{array} ; \qquad (8)$$

and, by (6)

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$$H_{x_{n}} = \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{\infty} \left[A_{n} e^{is_{n}z} + B_{n} e^{-is_{n}z} \right] e^{i\Omega y} d\Omega .$$
 (9)

The A_n and B_n represent the amplitudes of transmitted and reflected waves, respectively. In the air region, there is no reflected wave; and equation (9) becomes

$$H_{x_{N}} = \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{\infty} A_{N} e^{is_{N}(z-d)} e^{i\Omega y} d\alpha . \qquad (10)$$

The components of the electric field are given by

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$$E_{y_n} = \frac{i}{v_{\varepsilon_0 \varepsilon_n}} \frac{\partial^{E_{x_n}}}{\partial^{2}}$$
(11a)

$$E_{z_n} = \frac{-i}{\varepsilon_{\varepsilon_0}\varepsilon_n} \quad \frac{\partial^{H_{z_n}}}{\partial y} \quad . \tag{11b}$$

The boundary conditions are the continuity of the tangential E and H fields at the dielectric interfaces and the prescribed E field at the source. This can be summarized by

$$E_{y_{n-1}} = E_{y_n}$$
 at $z = d_{n-1}$ (12a)

$$H_{x_{n-1}} = H_{x_n} \text{ at } z = d_{n-1}$$
 (12b)

and

$$E_{y_1} = 0 = -V_0(y) .$$
 (13)

Application of (13) on equation (11a) yields

$$\Psi_{\tilde{b}}(y) = \frac{1}{\omega_{\varepsilon_0}\varepsilon_1} \cdot \frac{1}{\sqrt{\omega_{w}}} \int_{-\infty}^{\infty} s_1 (A_1 - B_1) e^{i\Omega y} d\Omega \qquad (14)$$

Thus the quantity $s_1(A_1 - B_1)$ is recognized as the Fourier transform of $\omega_{s_0 \in 1} VO(y)$; or, from equations (5) and (6),

$$s_1(A_1 - B_1) = \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{\infty} \omega_{\epsilon_0 \epsilon_1} \nabla_0(y) e^{-i\Omega y} d\alpha$$

Thus

$$A - B = \frac{\omega_{\epsilon} \epsilon_{1} V}{\sqrt{2\pi}} \cdot \frac{1}{s_{1}}, \qquad (15a)$$

which can be rewritten as

$$\mathbb{A}_{1} = \frac{\omega_{\varepsilon} \varepsilon_{1}^{V}}{\sqrt{2\pi}} \frac{1}{s_{1}} \left[\frac{1}{1 - (\mathbb{B}_{1}/\mathbb{A}_{1})} \right]$$
(15b)

Using the boundary conditions for the intermediate interfaces, equations (12a) and (12b) become

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The application of equations (16) at the last interface, where $B_{ij} = 0$, results in the following expressions:

$$\frac{A_{N-1}}{B_{N-1}} = \frac{-2_{N}}{m_{N}} e^{-i2s} \frac{d}{N-1}$$
(17a)

soq

$$A_{N} = \frac{\frac{2s}{B-1} \epsilon_{N}}{\frac{1}{N}} e^{\frac{1}{N} - 1} A_{N-1}$$
(17b)

where

$$I_n = S_n \epsilon_{n-1} + S_{n-1} \epsilon_n$$
 and
$$I_n = S_n \epsilon_{n-1} - S_{n-1} \epsilon_n$$

Equations (16) can now be manipulated into the following recursion relationships:

$$\frac{A_{n-1}}{B_{n-1}} = e^{-2is} \frac{d}{n-1} = 1 \qquad \begin{bmatrix} e^{-is} \frac{d}{n-1} - \frac{A}{B} & is \frac{d}{B} \\ a & n-1 - \frac{A}{B} & je^{-is} \frac{d}{n-1} \\ a & n & n \\ \hline a & n \\ a & n \\ \hline a & n \\ \hline a & n \\ a & n \\ \hline a$$

and

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$$A_{n} = \frac{2s_{n-1} \epsilon_{n}^{A} a_{n-1}}{l_{n} \epsilon_{n}^{is} a_{n-1}^{d} - \frac{B_{n}}{A_{n}} a_{n}^{e^{-s} a_{n-1}^{d}}}$$
(19)

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- 1. $\frac{A_{N-1}}{B_{N-1}}$ and its reciprocal were calculated from equation (17a) and were stored.
- 2. The result was put into equation (18), where a series of iterations were performed to calculate $\frac{A_{N-2}}{B_{N-2}}, \dots, \frac{A_1}{B_1}$. Each $\frac{A_n}{B_n}$ and its reciprocal were stored.
- 3. A_1 was then calculated using equation (15b). This result was then placed in equation (19), where iterations were performed to obtain A_2, \ldots, A_{N-1} .

4. A_{yy} was then obtained from equation (17b).

Evaluation of the integral representation of the transmitted field can now be carried out by making the following changes of the variables in equation (7):

 $y = csin\theta$ $z - d = ccos\theta$ $\alpha = k_o sin\phi$

If the last medium is air, then $\epsilon_N = 1$ and equation (10) becomes:

$$H_{x_{N}} = \frac{1}{\sqrt{2\tau}} \int A_{N} e^{ik_{0}c\cos(\theta-\phi)} k_{0} \cos\phi d\phi .$$
 (20)

The method of saddle point integration is used to evaluate this expression for the radiation field. The resulting expression is:

$$H_{x_{n}} = e^{i(k_{0}c^{-\tau}/4)} \sqrt{\frac{k_{0}}{c}} \cos\theta A_{N} \phi = \theta$$
 (21)

Since A_N is ultimately evaluated at $\Rightarrow = \theta$, the value of each s_n used in the computer program is just $s_n = k_0 \sqrt{\epsilon_n - \sin^2 \theta}$.

3. Experimental Systems and Results

3.1 Discontinuous Plasma Sheath

The plasma sheath simulation system described by Tyras et al. $\begin{bmatrix} 1965a \end{bmatrix}$ replaced the problem of the plasma-air interface with that of an air-liquid dielectric interface. The dielectric used was Aroclor 1232, a fluid with a dielectric constant of 2.78 and a low loss tangent. The fluid was contained in a semi-cylindrical plexiglass tank with a dielectric constant of 2.59. This provided an essentially reflection-free plexiglassaroclor interface. It was shown then that the air layer between the ground plane and the tank was equivalent to a plasma sheath with a dielectric constant of 0.36, and that the Aroclor region was equivalent to the air region above the plasma sheath. Thus the radiation patterns obtained for the experimental system were expected to exhibit the same functional relationships as those obtained from the analytical solution of the actual problem.

That this was a feasible method for a plasma sheath simulation was shown by the excellent agreement between the theoretical and experimental radiation patterns. The variation of the input admittance with the sheath thickness was also investigated, and good agreement with the available theoretical data was again observed.

The extension of this method to simulate finite and semi-infinite plasma sheath environments is straightforward. A plexiglass sheet was placed in the space between the tank and the ground plane in order to simulate the rectangular semi-infinite plasma sheath of figure 1, with L_2 considered infinite and \diamond_1 equal to 90°. Radiation patterns were then obtained for various values of the discontinuity separation L_1 . Similar measurements were also made for angular discontinuities with \diamond_1 equal to

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45° and 15°. It is expected that this type of discontinuity is a more realistic model of the environments encountered in reentry.

Figures 4a through 4f show the experimental and theoretical radiation patterns obtained for the rectangular discontinuity. The features of major importance in these patterns are the following:

- The radiation pattern is relatively unaffected as long as the ratio of the discontinuity separation to the sheath thickness is at least 20.
- 2. As the discontinuity plane is moved closer to the source, the major lobe of the radiation pattern on the discontinuity side of the source ($\theta > 0$) is seen to broaden and the critical angle is seen to shift. In addition, increased broadside and end-fire radiation is observed.
- 3. While it is not shown on all the figures, the radiation pattern on the other side of the source ($\theta < 0$) is affected very little by the presence of the discontinuity.

These features are completely in line with the predictions of Tamir and Oliner 1964 on the basis of their leaky-wave analysis.

In comparing the theoretical and experimental radiation patterns, it is to be noted that no outstanding point-by-point agreement was reached. This is due in part to the inherent errors arising from the approximate leaky-wave method of analysis for obtaining the radiation fields. This error is usually apparent at broadside for certain combinations of plasma dielectric constant, ϵ_p , and sheath thickness, d, which is readily apparent in figure 4b. However, the general qualitative nature of the effects of

the discontinuity on the radiation patterns is in good agreement with the theory in view of the three features noted above.

With a view toward simulating more realistic discontinuities, the geometry of figure 1, with finite L_1 and L_2 , was also investigated. It was observed that the effects of the angular discontinuities were of the same general type as those of the rectangular discontinuity. Again, no appreciable changes were noticed for separations greater than 10 wavelengths; and the changes noted for smaller separations were of the same type as those observed previously. Figures 5a and 5b show the radiation patterns obtained for an angular discontinuity with L_2 infinite and $\phi_1 =$ 45° . The effect of the addition of a second discontinuity to simulate the environment of figure 1 is seen in figures 6a ad 6b. It is evident that the resulting radiation pattern is essentially a superposition of the effects of each discontinuity acting separately.

The effects of discontinuities on the input admittance were also investigated. Measurements were made at the input to the tapered waveguide section of the antenna, exactly as reported earlier Tyras et al., 1965a The resulting admittance characteristics are seen in figures 7a and 7b. Figure 7a shows the variation of input admittance with the discontinuity separation with only one discontinuity present. It is seen that the conductance remains essentially constant if the ratio of the discontinuity separation to the sheath thickness is at least 4, while the susceptance remains constant over the whole range of the variation in L_1 . The effect of the second discontinuity on the input admittance is seen in figure 7b.

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As mentioned in Section 2.2, the discrete-layered model for the inhomogeneous plasma sheath is a convenient one analytically as well as experimentally. The profiles shown in figures 2b and 2c are easily simulated in the laboratory using the earlier scaling technique [Tyras et al., 1965a]. Table I below shows the properties of the various materials used to simulate these profiles.

<u>Table I</u>

(Data for $25^{\circ}C$ and $3x10^{9}-1x10^{9}$ cps)

Material	Actual ϵ	$\frac{\text{Scaled}}{\epsilon}$		
Styrofoam	1.00	0,360		
Teflon	2.08	0.748		
Polyethylene	2.25	0.813		
Polystyrene	2.54	0.913		
Plexiglass	2.59	0.935		

Figures 8a and 8b show the theoretical and experimental radiation patterns obtained for these two profiles. In figure 8a, good agreement with theory is observed, with the main discrepancy occurring in the slightly different critical angles. In figure 8b, a difference of 2 db is noted in the attenuation at broadside, while there is excellent agreement at the critical angle. In each of these figures, the most significant point to observe is the general shape of the radiation patterns. It seems to indicate that an inhomogeneous plasma sheath of the type shown in figures 2b and 2c acts effectively like a homogeneous plasma sheath with some average dielectric constant. This average value of ϵ_p can be readily computed from the relation, $\sin^2\theta_c = \epsilon_p$, where θ_c is the critical angle obtained from the radiation pattern. This should hold at least as long as the minimum value of the dielectric constant in the sheath remains positive. The effects of discontinuities in the inhomogeneous plasma sheath were also investigated. The configurations of figures 9a and 9b were simulated in the laboratory using the materials of Table I. The radiation patterns for various values of the discontinuity separation, L_1 , are seen in figure 10. These patterns exhibit the same characteristics as those of the discontinuous homogeneous sheath.

The input admittance of the antenna in the presence of these semi-infinite, inhomogeneous environments was also obtained. Figure 11 shows the conductance and susceptance as a function of the discontinuity separation. As noted before in the case of the homogeneous sheath, the variation is very slight for separation-to-thickness ratios of 4 and larger. It is only when the discontinuity is situated closer to the source that significant variations are observed.

4. Conclusions

The extension of the earlier results Tyras et al., 1965a to include more realistic radiating system environments has succeeded in predicting the qualitative effects of the discontinuities and inhomogeneities in the plasma sheath on the radiation patterns and input admittances. In the case of the finite and semi-infinite homogeneous plasma sheath, it has been shown that the analytically approximate method of Tamir and Oliner [1964] is a valid one to use in order to predict the essential features of the radiation pattern. It was observed that the radiation pattern was relatively unaffected if the discontinuity separation-to-sheath thickness ratio was about 20 or greater. For smaller separations, the radiation pattern was observed to broaden and the major lobe to shift. In the case of a stratified inhomogeneous sheath, this report has shown that the

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Fig. 1. Plasma Clad Slot Antenna Geometry



Fig. 1. Plasma Clad Slot Antenna Geometry

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Fig. 3. Infinite Inhomogeneous Plasma Sheath Geometry



Fig. 4a Experimental Radiation Pattern for Infinite Homogeneous Plasma Sheath









Homogeneous Plasma Sheath



Fig. 4f Experimental and Theoretical Radiation Patterns for Semi-Infinite Homogeneous Plasma Sheath



Fig. 5a Experimental Radiation Pattern for Semi-Infinite Homogeneous Plasma Sheath



Fig. 5b Experimental Radiation Pattern for Semi-Infinite Homogeneous Plasma Sheath



Fig. 6a Experimental Radiation Pattern for Finite Homogeneous Plasma Sh ath



Fig. 6b Experimental Radiation Pattern for Finite Homogeneous Plasma Sheath





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Fig. 8a Experimental and Theoretical Radiation Patterns for Infinite Inhomogeneous Plasma Sheath (4 Layers)



Fig. 8b Experimental and Theoretical Radiation Patterns for Infinite Inhomogeneous Plasma Sheath (6 Layers)



Fig. 9a Semi-Infinite Inhomogeneous Plasma Sheath Geometries



Fig. 9b Semi-Infinite Inhomogeneous Plasma Sheath Geometries



Fig. 10 Experimental Radiation Fatterns for Semi-Infinite Inhomogeneous Plasma Sheath



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13. ABSTRACT The plasma sheath simulation t	echnique developed earlier has been			
used to investigate the effects of vario inhomogeneities on a slot antenna radiat In the case of semi-infinite a sheaths, the radiation pattern and the i gated with regard to the geometry of the slot antenna. It is found that the radi continuity as long as its distance from When the sheath is made finite in extent the slot, the resulting pattern is seen each discontinuity acting separately. If the slot shows essentially no variation rations larger than one wavelength. For exhibits significant variations, strongle continuity. The effects produced by inh to those of a homogeneous sheath with a For both the homogeneous and inhomogeneous	bus types of sheath discontinuities and tion pattern and input impedance. (U) and finite-extent homogeneous plasma impedance characteristic are investi- e discontinuity and its proximity to the lation pattern is unaffected by the dis- the slot is greater than 10 wavelengths. the slo			
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14.	LINK A		LINK B		L'NK C	
KEY WORDS		#T	ROLE	ΨT	ROLE	WT
Plasma simulation technique	8	3				
Discontinuities and inhomogeneities	6	2				
Radiation pattern and impedance	7	2				
Finite and semi-infinite sheath			8	3		
Discontinuity geometry			6	2		
Discontinuity separation			6	2		
Major lobe broadening			7	2		
Superposition of effects			7	2		
Sheath inhomogeneities			8,6	2		
Similar effects			7	2		
Favorable comparison				j l	8,2	3
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