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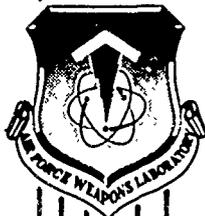
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# STEP LOAD MOVING WITH SUPERSEISMIC VELOCITY ON THE SURFACE OF A HALF-SPACE OF GRANULAR MATERIAL

Hans H. Bleich, Alva Matthews  
and Joseph P. Wright

Paul Weidlinger, Consulting Engineer  
New York, New York  
Contract AF 29(601)-6055



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Research and Technology Division  
Air Force Systems Command  
Kirtland Air Force Base  
New Mexico

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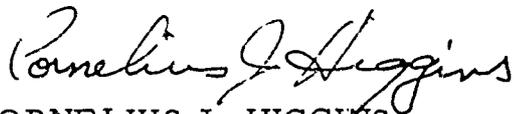
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FOREWORD

This report was prepared by Paul Weidlinger, Consulting Engineer, 777 Third Avenue, New York, New York under Contract AF 29(601)-6055. The research was funded under DASA Project 5710, Subtask 13.144, Program Element 7.60.06.01.5.

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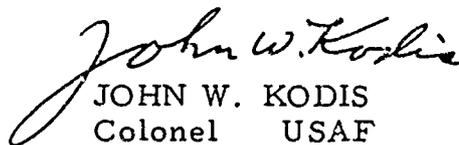
This report has been reviewed and is approved.



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## ABSTRACT

The two dimensional steady-state problem of the effect of a step pressure traveling with superseismic velocity on the surface of a half-space is treated for an elastic-plastic material. The plasticity condition selected is suitable for a granular medium where inelastic deformations are due to internal slip subject to Coulomb friction.

The problem is inherently nonlinear and leads to a system of coupled differential equations which are solved by digital computer. Numerical solutions are tabulated as functions of the significant nondimensional parameters, i.e. of the Mach number, Poisson's ratio and of a value  $\phi$  defining the internal friction.

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LIST OF SYMBOLS\*)

|  |  |
|--|--|
| $b_{1, \dots, 9}$                      | Functions defined by Eqs. (41), (52), (200) and (201).   |
| $c_p, c_s, \bar{c}$                    | Velocity of propagation of elastic P-waves, S-waves, and inelastic shock fronts, respectively. |
| $E$                                    | Young's modulus.   |
| $F$                                    | Plastic potential, Eq. (4).  |
| $G$                                    | Shear modulus.   |
| $J_1, J_2$                             | Invariants, Eqs. (2) and (3).  |
| $K = \frac{2(1+\nu)}{3(1-2\nu)} G$     | Bulk modulus.  |
| $L < 0$                                | Function related to inelastic behavior, Eq. (27).  |
| $p(x - Vt)$                            | Surface pressure.  |
| $p_0$                                  | Intensity of step pressure.  |
| $R = \frac{\sigma_1}{\sigma_2}$        | Ratio of principal stresses.   |
| $s_1, s_2$                             | Principal stress deviators.  |
| $s_x, s_y, s_N, s_T, s_{ij}$           | Stress deviators with respect to axes x, y, etc.   |
| $t$                                    | Time.  |
| $\dot{u}, \dot{v}, \ddot{u}, \ddot{v}$ | Particle velocities and accelerations in x and y directions, respectively.                     |
| $\dot{u}_N, \dot{u}_T$                 | Particle velocities, normal and tangential to shear front, respectively.                       |

---

\*) Other symbols, which are used in one location only, are defined as they occur.

|  |  |
|--|--|
| U  | Characteristic velocity.   |
| V  | Velocity of surface pressure.  |
| x, y   | Cartesian coordinates, Fig. 1.                                       |
| $X = \frac{\rho V^2}{2G} \sin^2 \varphi$       | Nondimensional expression.   |
| $X_P, X_S$                                     | Values X at P- and S-fronts, respectively.                           |
| $\alpha$                                       | Material parameter related to angle of internal friction, Eq. (149). |
| $\beta = \frac{s_1 - s_2}{s_1 + s_2}$          | Nondimensional stress variable.                                      |
| $\gamma$                                       | Angle between $\sigma_1$ and position ray of element, Fig. 4.        |
| $\delta$                                       | Angle between $\sigma_1$ and normal to S-front.                      |
| $\Delta = \beta - 3$                           | Small quantity for purposes of asymptotic expansion.                 |
| $\Delta\sigma, \Delta\dot{u}, \text{ etc.}$    | Increments of $\sigma, \dot{u}, \text{ etc.}$ , at a front.          |
| $\epsilon = \varphi - \bar{\varphi}$           | Small quantity for purposes of asymptotic expansion.                 |
| $\dot{\epsilon}_{ij}, \dot{\epsilon}_{ij}^P$   | Strain, strain rates.  |
| $\dot{\epsilon}_{ij}^E, \dot{\epsilon}_{ij}^P$ | Elastic and inelastic strain rates, respectively.                    |
| $\eta = \gamma - \frac{\pi}{2}$                | Small quantity for purposes of asymptotic expansion.                 |
| $\theta$                                       | Angle defining direction of major principal stress, Fig. 4.          |
| $\lambda > 0$                                  | Function related to inelastic behavior, Eq. (9).                     |
| $\mu = \frac{\alpha^2 J_1}{s_1 + s_2}$         | Nondimensional stress variable.                                      |

|                                       |   |
|---------------------------------------|---|
| $\nu$                                 | Poisson's ratio.  |
| $\pi = 3.14159\dots$                  |   |
| $\rho$                                | Mass density of medium.   |
| $\sigma_{ij}, \dot{\sigma}_{ij}$      | Stresses, stress rates.   |
| $\sigma_1, \sigma_2, \sigma_3$        | Principal stresses.   |
| $\tau$                                | Shear stress.   |
| $\varphi$                             | Position angle of element, Fig. 4.                                      |
| $\varphi_P, \varphi_S, \bar{\varphi}$ | Position of elastic P- and S- and inelastic shock fronts, respectively. |
| $\varphi_1, \varphi_2$                | Limits of inelastic region.   |
| $\delta$                              | Angle of internal friction.   |
| '                                     | Differentiation with respect to $\varphi$ .                             |

## I INTRODUCTION

The two dimensional problem of the effect of a pressure pulse  $p(x - Vt)$  progressing with the velocity  $V$  on the surface of an elastic half-space, Fig. 1, has been treated by Cole and Huth [1] for a line load and, by superposition, may be found for any other distribution  $p(x - Vt)$ . Miles [2] has considered the three dimensional problem of loads with axially symmetric distribution  $p(r,t)$  over an expanding circular area on the surface, Fig. 2. He has demonstrated that the plane problem [1] contains the asymptotic solution for the three dimensional problem [2] in the region near the wave front. The actual solution of the three dimensional problem would require a great numerical effort, which can be avoided by using the solution of the plane problem to estimate the effect of circularly expanding surface loads.

Real materials can not be expected to be elastic, and solutions of the three dimensional problem, Fig. 2, for dissipative materials are hopelessly complex. However, estimates for the three dimensional case can be made from generalizations of the problem treated in [1] for dissipative materials. This has been done for linearly viscoelastic materials by Sackman [3], and Workman and Bleich [4], in the superseismic and subseismic ranges, respectively. For possible application to granular media the present report considers an alternative material where internal slip subject to Coulomb friction may occur. The problem has previously been considered by Bleich and Heer [5] for the range of low subseismic velocities  $V$ , while the more interesting superseismic case is the concern of this report.

The slip mechanism in the medium makes the problem nonlinear, such that superposition is not permitted and each pressure distribution  $p(x - Vt)$  poses a separate problem. The present report treats the case of a progressing step

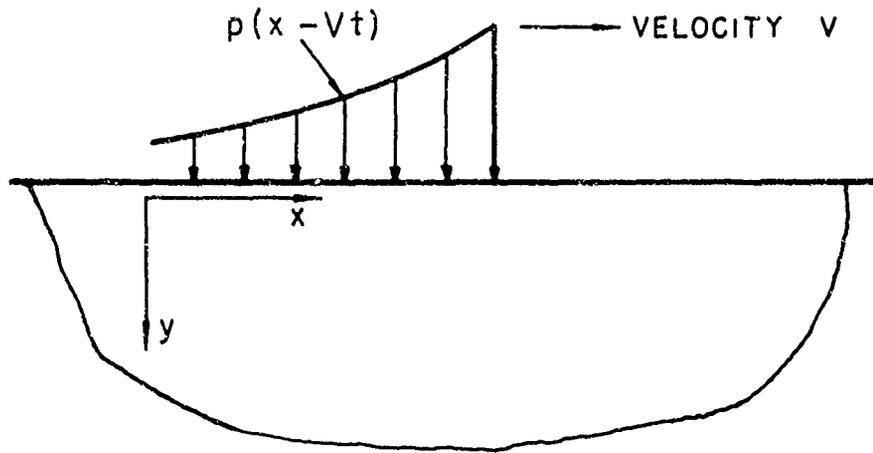


FIG. 1

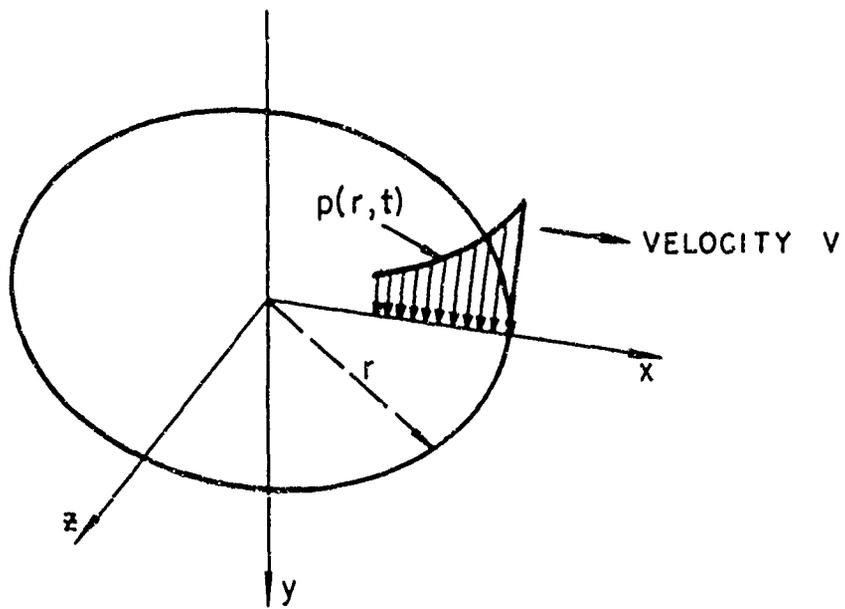


FIG. 2

load  $p(x - Vt) = p_0 H(Vt - x)$ . An approach permitting a simplified solution of the important, but very complex case of a decaying surface pressure is discussed in Section IV.

Based on concepts of the theory of elastic-plastic materials, Drucker and Prager [6] have shown that a material subject to internal Coulomb friction can be represented by an ideal material, the behavior of which is governed by a plastic potential

$$F = |\sqrt{J_2}| + \alpha J_1 - k \quad (1)$$

where  $J_1$  and  $J_2$  are the invariants

$$J_1 = \sigma_{ii} \quad (2)$$

$$J_2 = \frac{1}{2} s_{ij} s_{ij} \quad (3)$$

while  $\alpha > 0$  and  $k \geq 0$  are material constants.  $\alpha$  is related to the angle of internal friction and is therefore subject to the limit  $\alpha < \sqrt{\frac{1}{12}}$ , [6], and  $k$  is a measure of the cohesion. Because the surface pressures for which this study is intended are large compared to the magnitude of cohesion, it suffices to consider the limit  $k \rightarrow 0$ , giving the simpler plastic potential

$$F = |\sqrt{J_2}| + \alpha J_1 \quad (4)$$

The behavior of the material is described by the following statements:

1. To represent a granular material with no, or very small cohesion, the mean stress  $\frac{J_1}{3}$  must be compressive, or

$$J_1 \leq 0 \quad (5)$$

2. If, in an element of the material at a given instant,

$$F < 0 \quad (6)$$

the changes in stress and strain,  $\dot{\sigma}_{ij}$ ,  $\dot{\epsilon}_{ij}$  will be related by the conventional elastic relations.

3. However, if the yield condition at a time  $t$  is satisfied

$$F = 0 \quad (7)$$

three possibilities exist. There may be further loading of the element with permanent plastic deformation and dissipation of energy, in which case  $\dot{F} = 0$ . Alternatively, there may be unloading without permanent deformation, in which case  $\dot{F} < 0$ . Finally, there may be a neutral state where  $\dot{F} = 0$ , but without permanent deformation or energy dissipation. For the first case, with plastic deformation, the total strain rate will be the sum of an elastic and a plastic portion

$$\dot{\epsilon}_{ij} = \dot{\epsilon}_{ij}^E + \dot{\epsilon}_{ij}^P \quad (8)$$

where  $\dot{\epsilon}_{ij}^E$  is obtained from the conventional elastic relations, while

$$\dot{\epsilon}_{ij}^P = \lambda \frac{\partial F}{\partial \sigma_{ij}} \quad (9)$$

$\lambda$ , which must be positive,

$$\lambda > 0 \quad (10)$$

is an a priori unknown function of space and time.

In case of unloading, and in the neutral case the elastic stress-strain relations

$$\dot{\epsilon}_{ij} = \dot{\epsilon}_{ij}^E \quad (11)$$

apply. The neutral case occurs in the solutions obtained in regions without change in stress or strain.

The fact that the same set of differential equations does not hold everywhere, but that there are regions with moving, a priori unknown boundaries, complicates the solution of dynamic problems in this type of material considerably. In the following, the basic equations will be formulated separately in regions with and without permanent deformations at the particular time  $t$ , and the solutions will be matched to obtain a complete solution satisfying the prescribed surface conditions. The problem being much too complex to expect closed solutions, a numerical approach suitable for digital computers will be employed. The technique is related to the theory of characteristics and is a generalization of the method used by Bleich and Nelson [7].

The problem to be solved considers only the steady-state, i.e. the fact is ignored that in reality the loads  $p(x - Vt)$  in Fig. 1 must have begun at some large but finite negative value of time. This omission of the initial condition results in a lack of uniqueness, which can be removed by consideration of the character of solutions of the problem in Fig. 2. The lack of uniqueness and the remedial consideration is best seen in the elementary example of a half-space of an inviscid compressible fluid loaded by a uniform pressure pulse,  $p$ , which progresses with supersonic velocity,  $V > c$ . There is an obvious solution, Fig. 3a, in which the load produces a plane wave of intensity  $p$  progressing with a front inclined at the appropriate angle  $\psi = \sin^{-1} \frac{c}{V}$ . However, this is not the only steady-state solution. An alternative is a plane wave, the front of which is inclined at the angle  $180^\circ - \psi$ . Combinations of the two solutions are also correct steady-state solutions. To find states generated by the application of pressure on the surface only, it can be reasoned that solutions which include the wave front shown in Fig. 3b can not apply because the medium ahead of the front shown in Fig. 3a should be undisturbed when the applied load moves with supersonic velocity. Thus, in case of the fluid a unique solution is obtained. Similar reasoning will be used in the body of the report.

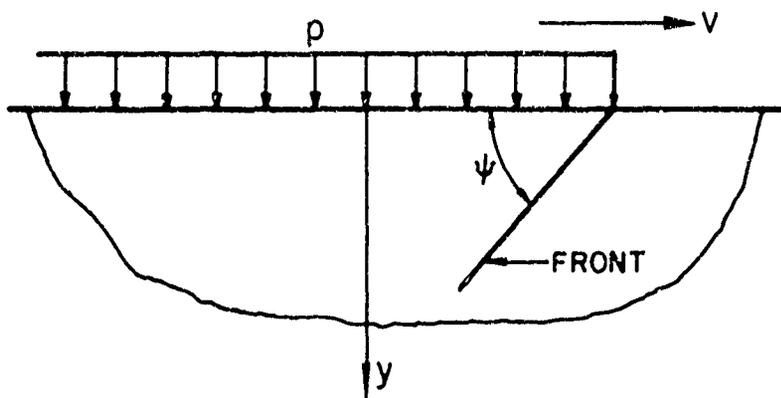


FIG. 3a

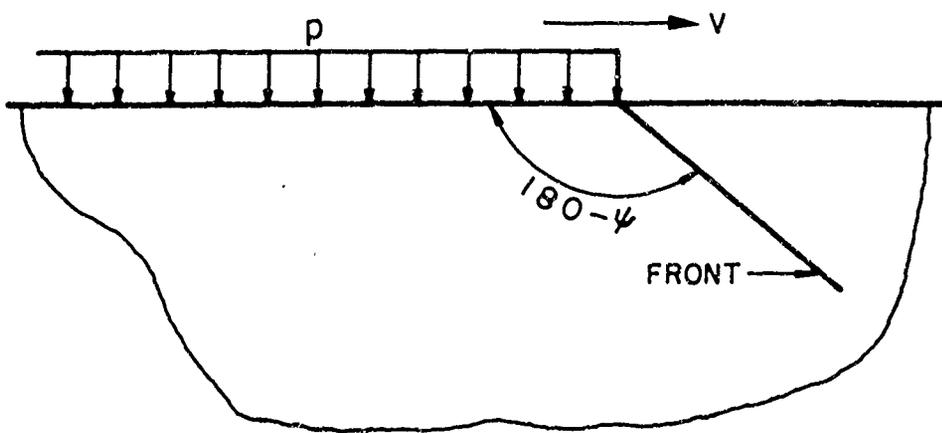


FIG. 3b

## II FORMULATION OF THE BASIC EQUATIONS

Figure 4 indicates the half-space and a system of Cartesian coordinates.  $x$  is in the direction of motion of the step load,  $y$  and  $z$  are normal to the surface in and out of the plane of the paper, respectively. The analysis considers the case of plane strain,  $\epsilon_z = 0$ , when the velocity  $V$  of the step load is superseismic, i.e. larger than the velocity of P waves in the material when slip does not occur. Throughout the analysis it is assumed that the strains are small.

As stated in the introduction there are, in general, inelastic regions in space-time where permanent deformations with energy dissipation occur, and other regions where changes of stress and strain are entirely elastic. The basic differential equations for the two types of region must be treated separately. In addition it will be necessary to consider the possibility of shock fronts, i.e. degenerate infinitely narrow regions where the differential equations break down.

### a. Inelastic regions

Combining the familiar elastic stress strain relation

$$\dot{\epsilon}_{ij} = \frac{1+\nu}{E} \left[ \dot{\sigma}_{ij} - \frac{\nu}{1+\nu} \delta_{ij} \dot{\sigma}_{kk} \right] \quad (12)$$

where  $\delta_{ij}$  is the Kroneker delta, and the relation

$$\dot{\epsilon}_{ij} = \frac{1}{2} \left[ \dot{u}_{i,j} + \dot{u}_{j,i} \right] \quad (13)$$

expressing the strain rates in terms of the velocity components, gives, for plane strain, four constitutive equations

$$\frac{\partial \dot{u}}{\partial x} = \frac{1}{E} \left[ \dot{\sigma}_{xx} - \nu (\dot{\sigma}_{yy} + \dot{\sigma}_{zz}) \right] + \lambda \frac{\partial F}{\partial \sigma_{xx}} \quad (14)$$

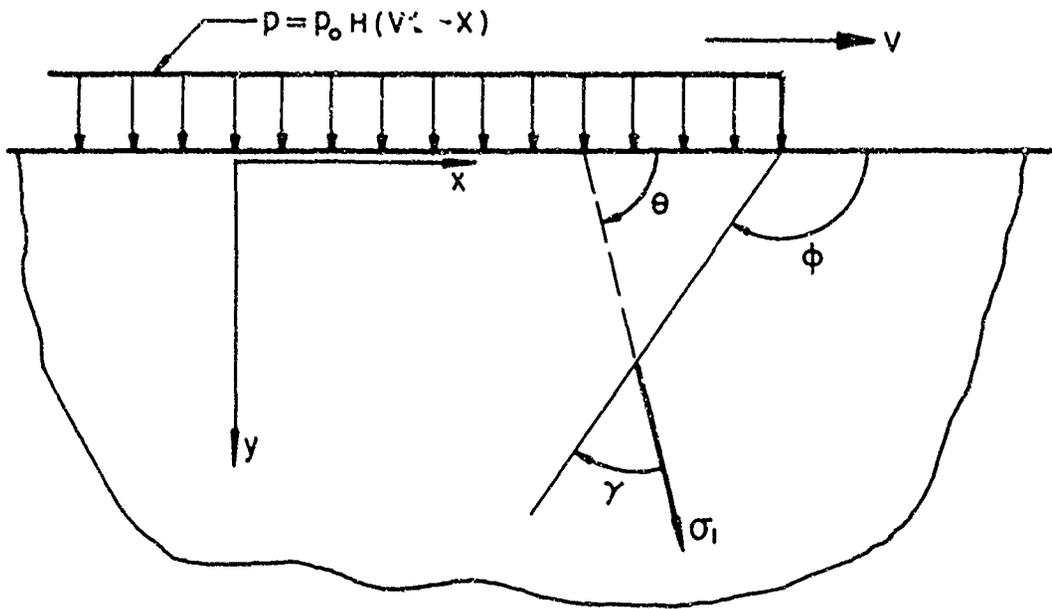


FIG. 4

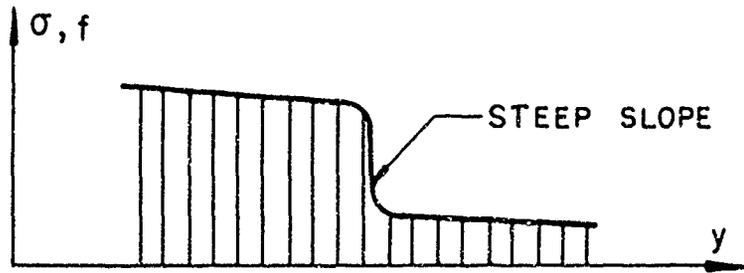


FIG 5a

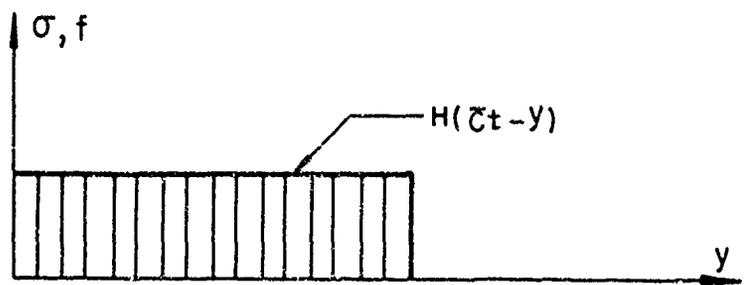


FIG 5b

$$\frac{\partial \dot{v}}{\partial y} = \frac{1}{E} \left[ \dot{\sigma}_{yy} - \nu (\dot{\sigma}_{xx} + \dot{\sigma}_{zz}) \right] + \lambda \frac{\partial F}{\partial \sigma_{yy}} \quad (15)$$

$$0 = \frac{1}{E} \left[ \dot{\sigma}_{zz} - \nu (\dot{\sigma}_{yy} + \dot{\sigma}_{xx}) \right] + \lambda \frac{\partial F}{\partial \sigma_{zz}} \quad (16)$$

$$\frac{\partial \dot{u}}{\partial y} + \frac{\partial \dot{v}}{\partial x} = \frac{1}{G} \dot{\tau} + \lambda \frac{\partial F}{\partial \tau} \quad (17)$$

where  $\dot{u}$  and  $\dot{v}$  are, respectively, the x and y components of the particle velocity. Further, there are two equations of motion, which are in a linear theory

$$\frac{\partial \sigma_{xx}}{\partial x} + \frac{\partial \tau}{\partial y} = \rho \frac{\partial \dot{u}}{\partial t} \quad (18)$$

$$\frac{\partial \tau}{\partial x} + \frac{\partial \sigma_{yy}}{\partial y} = \rho \frac{\partial \dot{v}}{\partial t} \quad (19)$$

The yield condition, Eq. (4), and Eqs. (14)-(19) form a set of seven equations governing inelastic regions. Inherently, however, the unknown function  $\lambda$  must satisfy the inequality  $\lambda > 0$ , Eq. (10), required for an element in an inelastic region.

It was found convenient to express the four unknown stresses by four other variables: the invariant  $J_1$ , the two principal stress deviators  $s_1$  and  $s_2$  and the angle  $\theta$  formed by the direction of  $s_1$  with the surface, Fig. 4. The appropriate relations are

$$\sigma_{xx} = s_2 \sin^2 \theta + s_1 \cos^2 \theta + \frac{1}{3} J_1 \quad (20)$$

$$\sigma_{yy} = s_1 \sin^2 \theta + s_2 \cos^2 \theta + \frac{1}{3} J_1 \quad (21)$$

$$\sigma_{zz} = -s_1 - s_2 + \frac{1}{3} J_1 \quad (22)$$

$$\tau = \frac{\sigma_1 - \sigma_2}{2} \sin 2\theta \quad (23)$$

In the numerical analysis the subscripts 1 and 2 will be selected such that  $s_1$  is the major compressive deviator.

Because the steady state case is considered, all quantities appearing in the analysis which are functions of  $x$  and  $t$  must be of the form  $f(x - Vt)$ . For the step load  $p = p_0 H(Vt - x)$ , dimensional considerations similar to those used in [5] make it plausible that the various quantities do not depend on  $x - Vt$  and  $y$  separately, but must be solely functions of the variable

$$\xi = \frac{x - Vt}{y} \quad (24)$$

or, alternatively, of the angle  $\varphi$ , shown in Fig. 4, and defined by

$$\xi = \cot \varphi \quad (25)$$

The transformation to the new independent variable  $\varphi$ , which will be seen to be successful in obtaining a solution, changes the partial differential equations obtained above into a set of simultaneous ordinary differential equations. Noting  $\frac{d\xi}{d\varphi} = \frac{-1}{\sin^2 \varphi}$ ,

$$\begin{aligned} \frac{\partial}{\partial x} &= \frac{1}{y} \frac{d}{d\xi} = -\frac{\sin^2 \varphi}{y} \frac{d}{d\varphi} \\ \frac{\partial}{\partial y} &= -\frac{\xi}{y} \frac{d}{d\xi} = \frac{\sin 2\varphi}{2y} \frac{d}{d\varphi} \\ \frac{\partial}{\partial t} &= -\frac{V}{y} \frac{d}{d\xi} = \frac{V}{y} \sin^2 \varphi \frac{d}{d\varphi} \end{aligned} \quad (26)$$

and defining

$$L = + \frac{\lambda y}{\alpha J_1 \sin^2 \varphi} \quad (27)$$

the seven basic equations become, respectively,

$$s_1^2 + s_1 s_2 + s_2^2 - \alpha^2 J_1^2 = 0 \quad (28)$$

$$\begin{aligned} \cos \theta \sin (\varphi - \theta) s_1' + \sin \theta \cos (\varphi - \theta) s_2' + \sin \varphi \frac{1}{3} J_1' - \\ - \cos (\varphi - 2\theta)(s_1 - s_2) \theta' + \rho V \sin \varphi \dot{u}' = 0 \end{aligned} \quad (29)$$

$$\begin{aligned} \sin \theta \sin (\varphi - \theta) s_1' - \cos \theta \cos (\varphi - \theta) s_2' - \cos \varphi \frac{1}{3} J_1' + \\ + \sin (\varphi - 2\theta)(s_1 - s_2) \theta' + \rho V \sin \varphi \dot{v}' = 0 \end{aligned} \quad (30)$$

$$\begin{aligned} \cos^2 \theta s_1' + \sin^2 \theta s_2' + \frac{1-2\nu}{1+\nu} \frac{1}{3} J_1' - \sin 2\theta (s_1 - s_2) \theta' - \\ - [s_1 \cos^2 \theta + s_2 \sin^2 \theta - 2\alpha^2 J_1] \frac{GL}{V} + 2 \frac{G}{V} \dot{u}' = 0 \end{aligned} \quad (31)$$

$$\begin{aligned} \sin^2 \theta s_1' + \cos^2 \theta s_2' + \frac{1-2\nu}{1+\nu} \frac{1}{3} J_1' + \sin 2\theta (s_1 - s_2) \theta' - \\ - [s_1 \sin^2 \theta + s_2 \cos^2 \theta - 2\alpha^2 J_1] \frac{GL}{V} - \frac{2G}{V} \cot \varphi \dot{v}' = 0 \end{aligned} \quad (32)$$

$$\begin{aligned} \frac{1}{2} \sin 2\theta s_1' - \frac{1}{2} \sin 2\theta s_2' + \cos 2\theta (s_1 - s_2) \theta' - \frac{1}{2} (s_1 - s_2) \sin 2\theta \frac{GL}{V} - \\ - \frac{G}{V} \cot \varphi \dot{u}' + \frac{G}{V} \dot{v}' = 0 \end{aligned} \quad (33)$$

$$- \frac{1-2\nu}{1+\nu} J_1' - 6\alpha^2 J_1 \frac{GL}{V} - 2 \frac{G}{V} \dot{u}' + \frac{2G}{V} \cot \varphi \dot{v}' = 0 \quad (34)$$

where primes indicate the derivative with respect to  $\varphi$ .

Differentiation of Eq. (28) yields a seventh differential equation

$$(2s_1 + s_2) s_1' + (2s_2 + s_1) s_2' - 2\alpha^2 J_1 J_1' = 0 \quad (35)$$

Eliminating the velocities  $\dot{u}$  and  $\dot{v}$  from Eqs. (29)-(34) leaves a set of five differential equations in five unknowns which are related to the stress

pattern alone. Defining, for convenience, the angle  $\gamma$  (see Fig. 4) and the quantity  $X \equiv X(\varphi)$

$$\gamma = \varphi - \theta \quad (36)$$

$$X = \frac{\rho V^2}{2G} \sin^2 \varphi \quad (37)$$

the five equations become:

$$(32) \quad \begin{bmatrix} -1 & -1 & \frac{1-2\nu}{1+\nu} & 0 & s_1 + s_2 + 2\alpha^2 J_1 \\ \sin^2 \gamma & \cos^2 \gamma & 1-3X \left( \frac{1-2\nu}{1+\nu} \right) & -\sin 2\gamma & -6X\alpha^2 J_1 \\ \frac{1}{2} \sin 2\gamma & \frac{1}{2} \sin 2\gamma & \sin 2\gamma & 2X - 1 & 0 \\ \sin^2 \gamma - X & X - \cos^2 \gamma & -\cos 2\gamma & 0 & X(s_1 + s_2) \\ 2s_1 + s_2 & s_1 + 2s_2 & -6\alpha^2 J_1 & 0 & 0 \end{bmatrix} \begin{bmatrix} s_1' \\ s_2' \\ \frac{1}{3} J_1' \\ (s_1 - s_2)\theta' \\ \frac{GL}{V} \end{bmatrix} = 0 \quad (38)$$

This set of equations is linear and homogeneous in the four derivatives and in the quantity  $L$ , and may be satisfied by

$$s_1' = s_2' = J_1' = (s_1 - s_2)\theta' = L = 0 \quad (39)$$

However,  $L = 0$  implies  $\lambda = 0$ , which violates Eq. (10). It follows that in an inelastic region the determinant of Eqs. (38) must vanish, giving the "determinantal equation"

$$b_2^2 + b_1 b_3 = 0 \quad (40)$$

where

$$\left. \begin{aligned}
 b_1 &= 2 [1 + (1 - 2X)(1 - 2v)] \\
 b_2 &= \theta \cos 2\gamma + (1 - 2X) [1 - 2v - 4\mu (1+v)] \\
 b_3 &= (1 + v)(1 - 2X)(1 + 2\mu)^2 - \theta^2 X
 \end{aligned} \right\} \quad (41)$$

and

$$\theta = \frac{s_1 - s_2}{s_1 + s_2} \quad (42)$$

$$\mu = \frac{\alpha^2 J_1}{s_1 + s_2} \quad (43)$$

Due to the vanishing of its determinant only four of the five Eqs. (38) are independent. As  $L$  may not vanish,  $s_1'$ ,  $s_2'$ ,  $J_1'$  and  $\theta'$  can always be expressed in terms of  $L$ ,

$$s_1' = \frac{1}{2} (s_1 + s_2)(b_5 + b_4) \frac{GL}{V} \quad (44)$$

$$s_2' = \frac{1}{2} (s_1 + s_2)(b_5 - b_4) \frac{GL}{V} \quad (45)$$

$$\theta' = b_6 \frac{GL}{V} \quad (46)$$

$$J_1' = 3(s_1 + s_2) b_7 \frac{GL}{V} \quad (47)$$

Velocities and accelerations, if desired, are

$$\dot{u}' = \frac{-(s_1 + s_2)}{2\rho V \sin \varphi} [b_4 \sin(2\gamma - \varphi) - 2\theta b_6 \cos(2\gamma - \varphi) + (b_5 + 2b_7) \sin \varphi] \frac{GL}{V} \quad (48)$$

$$\dot{v}' = \frac{-(s_1 + s_2)}{2\rho V \sin \varphi} [b_4 \cos(2\gamma - \varphi) + 2\theta b_6 \sin(2\gamma - \varphi) - (b_5 + 2b_7) \cos \varphi] \frac{GL}{V} \quad (49)$$

$$\ddot{u} = \frac{V}{y} \sin^2 \varphi \dot{u}' \quad (50)$$

$$\ddot{v} = \frac{V}{y} \sin^2 \varphi \dot{v}' \quad (51)$$

where

$$\left. \begin{aligned}
 b_4 &= \frac{2}{1-2X} \left[ \frac{b_2}{b_1} \cos 2\gamma - \beta X \right] \\
 b_5 &= \frac{2}{3} (1+\nu) \left[ \frac{1-2\nu}{1+\nu} \frac{b_2}{b_1} + 1 + \alpha \sqrt{3 + \beta^2} \right] \\
 b_6 &= \frac{\sin 2\gamma}{\beta(1-2X)} \frac{b_2}{b_1} \\
 b_7 &= \frac{b_2}{b_1} - \frac{b_5}{2}
 \end{aligned} \right\} \quad (52)$$

Since Eq. (40) must remain valid throughout an inelastic region, it may be differentiated with respect to  $\varphi$ . This leads to an expression which contains the first derivatives of the stresses linearly, so that substitution of Eqs. (44)-(47) into this expression furnishes a linear equation for the value of  $L$ :

$$\frac{GL}{V} = \frac{\left\{ \begin{aligned} &2Xb_3(1-2\nu) \sin 2\varphi + \frac{1}{2} Xb_1 \sin 2\varphi [2(1+\nu)(1+2\mu)^2 + \beta^2] + \\ &+ 2b_2[\beta \sin 2\gamma \sin^2 \varphi + X \sin 2\varphi (1-2\nu) - 4X \sin 2\varphi (1+\nu) \mu] \end{aligned} \right\}}{\left\{ \begin{aligned} &b_1[(1+\nu)(1+2\mu)(1-2X)(b_5 + 6\alpha^2 b_7) - Xb_4\beta] + \\ &+ b_2[b_4 \cos 2\gamma + 2\beta b_6 \sin 2\gamma + b_5(1-2X)(1-2\nu) - \\ &- 12b_7\alpha^2(1+\nu)(1-2X)] \end{aligned} \right\} \sin^2 \varphi} \quad (53)$$

The derivatives  $s_1'$ ,  $s_2'$ ,  $J_1'$  and  $\theta'$  can be obtained by substitution of Eq. (53) into Eqs. (44)-(47).

If the values of  $s_1$ ,  $s_2$ ,  $J_1$  and  $\theta$  are known on one boundary of an inelastic region, their values in the interior of such a region can be found by forward integration. Of course, the starting values of  $s_1$ ,  $s_2$ ,  $J_1$  and  $\theta$  must satisfy the yield condition, Eq. (28), and the determinantal equation, Eq. (40). Further, the integration can be carried on only when

$$L < 0 \quad (54)$$

which condition follows from Eq. (27) noting  $\lambda > 0$ , Eq. (10), and  $J_1 < 0$ .

b. Inelastic shock fronts

The analysis of the previous subsection treated regions of finite extent, and the additional possibility of infinitely thin regions, i.e. shock fronts, remains to be considered. If such fronts exist in the present problem the equations obtained above should indicate this by becoming singular, since at least one of the derivatives of the stresses must become infinite at a shock front. Instead of searching for singularities it is better for a physical understanding to demonstrate the existence and properties of shock fronts in general. This general derivation automatically answers the question of stability of the fronts, by proving that a front, where the stress rises with an arbitrarily steep slope, Fig. 5a, will not disperse but propagate without change of slope.

Consider the basic Eqs. (14)-(19), which apply to any type of wave propagation in plane strain. To investigate the possibility of plane pressure waves without shear, the  $y$  direction is selected as the direction of propagation. For such a wave, the shear  $\tau$ , the horizontal velocity  $\dot{u}$  and all derivatives with respect to  $x$  vanish, while for reasons of symmetry  $\sigma_x = \sigma_z$ . Since  $x, y, z$  are the principal directions, the stress deviators  $s_x$  and  $s_y$  become  $s_x = s_2$ ,  $s_y = s_1$ . Using the relation  $s_2 = -\frac{1}{2} s_1$ , the yield condition (28) becomes

$$\frac{3}{4} s_1^2 - \alpha^2 J_1^2 = 0 \quad (55)$$

while Eqs. (14)-(19) furnish three independent relations

$$\frac{\partial \dot{v}}{\partial y} = \frac{1}{2G} \dot{s}_1 + \frac{1}{9K} \dot{J}_1 + \bar{\lambda} [s_1 - 2\alpha^2 J_1] \quad (56)$$

$$0 = -\frac{1}{4G} \dot{s}_1 + \frac{1}{9K} \dot{J}_1 - \bar{\lambda} \left[ \frac{1}{2} s_1 + 2\alpha^2 J_1 \right] \quad (57)$$

$$\rho \frac{\partial \dot{v}}{\partial t} = \frac{\partial s_1}{\partial y} + \frac{1}{3} \frac{\partial J_1}{\partial y} \quad (58)$$

where

$$\bar{\lambda} = -\frac{\lambda}{2\alpha J_1} \quad (59)$$

$$K = \frac{2}{3} G \left( \frac{1+\nu}{1-2\nu} \right) \quad (60)$$

If a front, at which inelastic deformation occurs, is to propagate with a velocity  $\bar{c}$  without change of slope, it is necessary that

$$s_1 = a_1 f(y - \bar{c}t) = a_1 f(\zeta) \quad (61)$$

$$J_1 = a_2 f(y - \bar{c}t) = a_2 f(\zeta) \quad (62)$$

$$\dot{v} = a_3 f(y - \bar{c}t) = a_3 f(\zeta) \quad (63)$$

where  $\zeta = y - \bar{c}t$ , the values of  $a_1$  and  $\bar{c}$  are free constants, while  $f$  is an arbitrary function. Since the signs of the coefficients are undefined, one can select the sign of  $f$ , considering fronts of the type shown in Fig. 5a.

Selecting

$$f > 0 \quad (64)$$

the increase of  $|f|$  with time requires

$$f' < 0 \quad (65)$$

where the symbol ' indicates derivatives with respect to  $\zeta$ .

Substituting Eqs. (61)-(63) into Eqs. (55)-(58) and eliminating the velocity  $\dot{v}$  yields

$$f' \left[ a_1 \left( 1 - \frac{\rho \bar{c}^2}{2G} \right) + \frac{1}{3} a_2 \left( 1 - \frac{\rho \bar{c}^2}{3K} \right) \right] + \rho \bar{c} \bar{\lambda} f \left[ a_1 - 2\alpha^2 a_2 \right] = 0 \quad (66)$$

$$f' \left[ \frac{1}{2} \frac{\rho \bar{c}^2}{2G} a_1 - \frac{1}{3} \frac{\rho \bar{c}^2}{3K} a_2 \right] - \rho \bar{c} \bar{\lambda} f \left[ \frac{1}{2} a_1 + 2\alpha^2 a_2 \right] = 0 \quad (67)$$

$$f^2 \left[ \frac{3}{4} a_1^2 - \alpha^2 a_2^2 \right] = 0 \quad (68)$$

If shock fronts of the type sought exist, these three equations must be satisfied for arbitrary functions  $f$ , subject to the limitations of Eqs. (64), (65) and subject to the condition  $\bar{\lambda} > 0$ . Equation (68) gives

$$\frac{a_2}{a_1} = \pm \frac{\sqrt{3}}{2\alpha} \quad (69)$$

Equations (66) and (67) permit nonvanishing values  $f$ ,  $\bar{\lambda}$  and  $f'$  only if the determinant of the coefficients of  $f'$  and  $\rho \bar{c} \bar{\lambda} f$  vanishes, yielding after substitution of Eq. (69)

$$\bar{c}^2 = \frac{K}{\rho} \frac{(1 \pm 2\alpha \sqrt{3})^2}{[1 + 6\alpha^2 \left( \frac{1+\nu}{1-2\nu} \right)]} \quad (70)$$

However, the result is valid if, and only if  $\bar{\lambda} > 0$ . Computing  $\bar{\lambda}$  from Eq. (66) yields, after simple manipulations, the inequality

$$1 \mp \frac{1}{\sqrt{3}} \frac{1-2\nu}{\alpha(1+\nu)} < 0 \quad (71)$$

where the upper or lower signs in Eqs. (69) to (71) are to be used consistently. The limitations  $\alpha > 0$  and  $\nu < \frac{1}{2}$  indicate that the lower sign never leads to a valid solution. Using the upper sign one obtains the requirement

$$\alpha < \frac{1}{\sqrt{3}} \frac{1-2\nu}{1+\nu} \quad (72)$$

and the corresponding velocity

$$\bar{c}^2 = \frac{K}{\rho} \frac{(1 + 2\alpha\sqrt{3})^2}{[1 + 6\alpha^2 \left(\frac{1+\nu}{1-2\nu}\right)]} \quad (73)$$

The stresses  $s_1$  and  $J_1$  at the front have the ratio

$$\frac{s_1}{J_1} = \frac{a_1}{a_2} = \frac{2\alpha}{\sqrt{3}} \quad (74)$$

The function  $f$  being arbitrary, it may be selected as a step function, Fig. 5b. The function

$$f(y - \bar{c}t) = H(\bar{c}t - y) \quad (75)$$

vanishes for positive values of  $(y - \bar{c}t)$  and is equal to unity for negative values of  $(y - \bar{c}t)$ . The discontinuities  $\Delta s_1$  and  $\Delta J_1$  in the stress history

$$s_1 = \Delta s_1 H(\bar{c}t - y) \quad (76)$$

$$J_1 = \Delta J_1 H(\bar{c}t - y) \quad (77)$$

then satisfy Eq. (74) provided

$$\frac{\Delta s_1}{\Delta J_1} = \frac{2\alpha}{\sqrt{3}} \quad (78)$$

The corresponding velocity to be obtained from Eq. (63) is

$$\dot{v} = \Delta \dot{v} H(\bar{c}t - y) \quad (79)$$

where

$$\frac{\Delta \dot{v}}{\Delta J_1} = - \frac{1 + 2\alpha\sqrt{3}}{3\rho\bar{c}} \quad (80)$$

Equations (76)-(80) give the relations for an inelastic shock front entering a stressless region, a case which will be utilized in the construction of solutions in Section III. For completeness it is noted that in general, the region ahead of the front need not be stress free, but Eq. (74) must be satisfied ahead of the front.

The above investigation of possible shock fronts was based on the premise that  $\tau = 0$ . The fact that no inelastic fronts are possible when  $\tau \neq 0$  is demonstrated in Appendix A, so that the discontinuity described in this section is the only one which can occur with inelastic deformations.

Summarizing, it has been demonstrated that, for values of  $\alpha$  and  $\nu$  which satisfy Eq. (72), a plane pressure discontinuity will propagate with velocity  $\bar{c}$  given by Eq. (73). In order to occur in the solution of the steady state problem, Fig. 4, the front must be inclined at such an angle  $\bar{\varphi}$  that the horizontal component of the velocity  $\bar{c}$  equals the velocity  $V$  of the load on the surface. The angle is obtained from Eq. (73)

$$\bar{\varphi} = \pi - \sin^{-1} \left[ \frac{1}{V} \sqrt{\frac{K}{\rho}} \frac{(1 + 2\alpha \sqrt{3})}{\sqrt{1 + 6\alpha^2 \left(\frac{1+\nu}{1-2\nu}\right)}} \right] \quad (81)$$

The principal stress at the front being normal to the front requires

$$\gamma = \frac{\pi}{2} \quad , \quad \theta = \varphi - \frac{\pi}{2} \quad (82)$$

Further, the relation  $s_2 = -\frac{1}{2} s_1$  at the front defines the value  $\beta$ , Eq. (42),

$$\beta = 3.0 \quad (83)$$

c. Regions and shock fronts without inelastic deformation

In a region where no instantaneous inelastic deformation occurs, the strain rates are defined by the purely elastic relations, Eq. (11), and the stresses are subject to the inequalities

$$\left. \begin{aligned} F = \left[ (s_1^2 + s_1 s_2 + s_2^2)^{\frac{1}{2}} \right] + \alpha J_1 \leq 0 \\ J_1 \leq 0 \end{aligned} \right\} \quad (84)$$

In addition, the equations of motion, Eqs. (18), (19) hold. To obtain the differential equations, one could proceed in the same manner as in subsection a. However, it is not necessary to do so, because the resulting differential equations must obviously follow from Eqs. (38) by making the following two changes:

- 1) The last equation is to be omitted because it represents the yield condition  $F = 0$ , which does not apply.
- 2) To account for the change in the stress strain law from Eq. (8) to Eq. (11),  $L = 0$  is to be introduced into Eqs. (38).

In this fashion the following four simultaneous differential equations are obtained.

$$\begin{bmatrix} -1 & -1 & \frac{1-2\nu}{1+\nu} & 0 \\ \sin^2 \gamma & \cos^2 \gamma & 1-3X \left( \frac{1-2\nu}{1+\nu} \right) & -\sin 2\gamma \\ \sin 2\gamma & \sin 2\gamma & 2 \sin 2\gamma & -2(1-2X) \\ \sin^2 \gamma - X & X - \cos^2 \gamma & -\cos 2\gamma & 0 \end{bmatrix} \begin{bmatrix} s_1' \\ s_2' \\ \frac{1}{3} J_1' \\ (s_1 - s_2) \theta' \end{bmatrix} = 0 \quad (85)$$

The equations are linear and homogeneous so that the derivatives of the stresses,  $s_1'$ ,  $s_2'$ ,  $J_1'$  vanish, unless the determinant of Eqs. (85) equals zero. In spite of the fact that the coefficients in Eqs. (85) contain  $\nu$ , the value of the determinant is independent of the value of the angle  $\nu$ . The determinant of Eqs. (85) vanishes when  $X$  is a root of

$$4X(1-2X) [1 + (1-2X)(1-2\nu)] = 0 \quad (86)$$

Equation (86) has two significant roots,

$$X_P = \frac{1-\nu}{1-2\nu} \quad (87)$$

and

$$X_S = \frac{1}{2} \quad , \quad (88)$$

and one,  $X = 0$ , which may be shown to be trivial. Substituting the two roots  $X_P$  and  $X_S$  into Eq. (37) furnishes two locations

$$\varphi_P = \pi - \sin^{-1} \left[ \frac{1}{V} \sqrt{\frac{2G}{\rho} \left( \frac{1-\nu}{1-2\nu} \right)} \right] = \pi - \sin^{-1} \left[ \frac{c_P}{V} \right] \quad (89)$$

$$\varphi_S = \pi - \sin^{-1} \left[ \frac{1}{V} \sqrt{\frac{G}{\rho}} \right] = \pi - \sin^{-1} \left[ \frac{c_S}{V} \right] \quad (90)$$

where the determinant of Eqs. (85) vanishes, and  $c_P$ ,  $c_S$  are the velocities of P- and S-waves, respectively. In any location  $\varphi \neq \varphi_P$  or  $\varphi_S$  the derivatives  $s_1'$ ,  $s_2'$ ,  $J_1'$  vanish, so that the stresses must remain constant everywhere, except at the locations  $\varphi_P$  and  $\varphi_S$ .

The angles  $\varphi_P$  and  $\varphi_S$  being the potential locations of elastic P and S shock fronts, respectively, it is known that discontinuities in stresses and velocities may occur at these locations and may, therefore, be part of the complete solutions to be obtained in Section III. The following pertinent details for these fronts will be required subsequently.

(1) The P-front

Designating the changes in the various quantities at the front by the symbol  $\Delta$ , the discontinuities in the stresses  $\sigma_N$ ,  $\sigma_T = \sigma_z$  (normal and tangential to the front, respectively) and in the component  $\dot{u}_N$  of the velocity (normal to the front) are proportional,

$$\Delta\sigma_N : \Delta\sigma_T : \Delta\dot{u}_N = 1 : \frac{v}{1-v} : \frac{-1}{\rho c_P} \quad (91)$$

No other discontinuities can occur.

The changes  $\Delta\sigma_N$  and  $\Delta\sigma_T$  are of course restricted by the fact that the inequalities (84) for the stresses must be satisfied on either side of the front. No general study of this restriction is required, but in Section III it will be necessary to know if a P-front is possible when the stresses and velocities ahead of the front  $\varphi_P$  vanish. In this case the conditions (84) are satisfied ahead of the front,  $F = J_1 = 0$ . To check behind the shock, it is noted that the stresses  $\Delta\sigma_N$  and  $\Delta\sigma_T$  are not only the total stresses, but they are also the principal stresses,  $\Delta\sigma_N = \sigma_1$ ,  $\Delta\sigma_T = \sigma_2$ . After computation of  $s_1$  and  $J_1$ , one finds the necessary conditions for a P-front

$$\sigma_1 < 0 \quad (92)$$

$$\alpha \geq \frac{1}{\sqrt{3}} \frac{1-2v}{1+v} \quad (93)$$

A compressive shock front of arbitrary strength  $\sigma_1 < 0$  in the location  $\varphi = \varphi_P$  is therefore possible if, and only if, the inequality (93) on  $\alpha$  is satisfied. The angle  $\gamma$  and the quantity  $\beta$ , Eq. (42), immediately following the front are

$$\gamma = \frac{\pi}{2} \quad (94)$$

$$\beta = 3 \quad (95)$$

Attention is drawn to the fact that the inequalities (93) and (72) which permit, respectively, an elastic or an inelastic pressure discontinuity to enter a stress free region, are mutually exclusive, but complementary. In other words, for any combination of  $\alpha$  and  $v$ , one, but only one, of the two types of fronts exists.

(2) The S-front

At an S-front discontinuities occur only in the shear stress  $\tau_N = \tau_T = \tau$  and in the tangential velocity  $\dot{u}_T$ . The changes are proportional,

$$\Delta\tau : \Delta\dot{u}_T = 1 : \frac{1}{\rho c_S} \quad (96)$$

In addition, the inequalities (84) must again be satisfied ahead and behind the front. Checking the situation if the region ahead of the front is stress free, Eqs. (84) are again satisfied ahead of the front. Behind the front the stresses are

$$\tau = \Delta\tau, \quad \sigma_N = \sigma_T = s_N = s_T = J_1 = 0 \quad (97)$$

The invariant  $J_2$  may be written

$$J_2 = s_N^2 + s_N s_T + s_T^2 + 4\tau^2 \quad (98)$$

and, because in this last equation all stresses, except  $\tau$ , vanish, the condition

$$F = J_2 - \alpha^2 J_1^2 \leq 0 \quad (99)$$

is violated. Thus an S-front can not enter a stress-free region.

Some details about S-front locations where stresses ahead of the front do not vanish will be required. Consider specifically the possibility of such a front at a point where the equal sign in the first condition (84) applies ahead of the front,

$$F = J_2 - \alpha^2 J_1^2 = 0 \quad (100)$$

which indicates that the material is at the verge of inelastic deformation.

Let the shear stresses just ahead of the front, for  $\varphi_S^{(-)}$ , be  $\bar{\tau}$ , and those behind the front, for  $\varphi_S^{(+)}$ , be  $\tau$ . The invariant  $J_1$  and the deviators with respect to the N and T directions,  $s_N$  and  $s_T$ , respectively, are equal at  $\varphi_S^{(-)}$  and  $\varphi_S^{(+)}$ . Noting that the equality (100) is satisfied ahead of the front, it is clear that the inequality Eq. (99) requires

$$|\tau| \leq |\bar{\tau}| \quad (101)$$

The largest possible change  $\Delta\tau = \tau - \bar{\tau}$  occurs therefore when  $\tau = -\bar{\tau}$ , in which case Eq. (100) is satisfied also for  $\varphi_S^{(+)}$ . It is useful to consider this case in terms of the principal stress variables used in subsection a, i.e. using the angle  $\gamma$  and the quantities  $J_1$ ,  $s_1$  and  $\beta$  as variables. Figure 6 shows the direction of the major deviator  $\bar{s}_1$  ahead of the front at an angle  $\bar{\gamma}$  to the S-front. The state of stress ahead of the front,  $\bar{\gamma}$ ,  $\bar{s}_1$  and  $\bar{s}_2$ , corresponds to the values of  $\bar{\tau}$ ,  $s_N$  and  $s_T$  which apply in this location. Behind the front the state of stress is defined by  $\tau = -\bar{\tau}$ ,  $s_N$ ,  $s_T$ , which stresses define changed values  $\gamma$ ,  $s_1$ ,  $s_2$ . When computing these values by the conventional relations it is found that  $s_1$ ,  $s_2$ , being even functions of  $\tau$ , are necessarily equal to  $\bar{s}_1$ ,  $\bar{s}_2$ , respectively.  $\gamma$ , being an odd function of  $\tau$ , changes

$$\gamma = \pi - \bar{\gamma} \quad (102)$$

Therefore, a change in shear from  $\bar{\tau}$  to  $\tau = -\bar{\tau}$  at  $\varphi_S$  does not change the values of the variables  $s_1$ ,  $s_2$ ,  $J_1$  or  $\beta$ , but only the values of the angles  $\gamma$  and  $\theta$ . The latter becomes

$$\text{at } \varphi_S^{(+)}: \quad \theta = \varphi_S - \gamma = \varphi_S + \bar{\gamma} - \pi \quad (103)$$

These changes in  $\gamma$  or  $\theta$  occur if the stresses satisfy the equality (100) ahead of, and behind the front.

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### III CONSTRUCTION OF SOLUTIONS

In Section II a number of partial solutions were obtained from which the solution of the complete boundary value problem is now to be constructed. Section IIa gives the differential equations for the determination of the stresses and velocities in inelastic regions; from Section IIc it is known that all unknowns in elastic regions are constants, except for discontinuities of a prescribed nature at the locations  $\varphi_S$  and  $\varphi_P$ . In addition, there may be a shock front with inelastic deformation at a location  $\bar{\varphi}$ .

As mentioned in the last two paragraphs of the introduction, steady-state problems of the type studied here need not have unique solutions. However, it may be possible to eliminate excess solutions by specifying that the steady-state solution desired should be the asymptotic solution, if any, of the problem of an expanding load (Fig. 7) applied on a half-space initially at rest. This additional condition is invoked here and furnishes a vital boundary condition for the solution through the reasoning which follows. It is known that the partial differential equations of the transient problem, Fig. 7, are hyperbolic in elastic and in inelastic regions. The characteristic velocities  $U$  under elastic conditions are  $U = c_P$  and  $U = c_S$ , while those in the inelastic case are functions of the stresses, but subject to the inequality  $U < c_P$ . The hyperbolic character of the differential equations and the inequality have been demonstrated by Mandel [8] for a general class of elastic-plastic materials governed by a plastic potential. This result applies here. The largest characteristic velocity being  $c_P$ , one can conclude that, in the non-steady-state ~~supersonic~~ problem,  $V/c_P > 1$ , Fig. 7, all unknowns vanish ahead of a front inclined at an angle  $\varphi_P$  corresponding to  $c_P$ , such that one has a boundary condition for the solution of the steady-state problem

$$\text{for } \varphi < \varphi_P : \quad \sigma_1 = s_1 = \dot{u} = \dot{v} = 0 \quad (104)$$

Additional boundary conditions apply at the loaded surface where the pressure  $p_0 H(Vt - x)$  is applied. At this surface one of the two principal stresses must be vertical and equal to  $-p_0$ , so that there are two alternative boundary conditions. Either

$$\sigma_1 = s_1 + \frac{1}{3} J_1 = -p_0, \quad \gamma = \frac{\pi}{2} \quad (105)$$

or

$$\sigma_2 = s_2 + \frac{1}{3} J_1 = -p_0, \quad \gamma = 0 \text{ or } \pi \quad (106)$$

It can easily be shown that the nature of all equations in Section II is such that  $p_0$  will appear as an external factor in the solutions for the stresses and velocities, while the nondimensional quantities  $\theta$ ,  $\beta$  and  $\gamma$  are independent of  $p_0$ . This simplification is due to the homogeneous nature of the plastic potential, Eq. (4), and would not apply if Eq. (1), allowing for cohesion, is specified. Therefore, only the case  $p_0 = 1$  need be considered, so that Eqs. (105), (106) become

$$\sigma_1 = s_1 + \frac{1}{3} J_1 = -1, \quad \gamma = \frac{\pi}{2} \quad (107)$$

or

$$\sigma_2 = s_2 + \frac{1}{3} J_1 = -1, \quad \gamma = 0, \pi \quad (108)$$

At this point it must be stressed that no uniqueness or existence theorem for transient problems is available for elastic-plastic materials. Although the boundary condition (104) eliminates certain excess solutions of the steady-state problem, Fig. 8, which clearly are not asymptotic solutions of the transient problem, Fig. 7, the remaining solutions of the steady-state problem may still not be unique, because the original transient problem may not have a unique solution. In constructing solutions it must be attempted to consider all conceivable possibilities, but, in view of the numerical procedures necessary, it is not an absolute proof of uniqueness if just one solution is actually found.

In order to have confidence that the solutions obtained are the physically meaningful ones, even if others should exist, the solutions will be considered as functions of the basic physical parameters  $\nu$ ,  $\alpha$  and of  $V/c_p$ , in the expectation that there should be a continuous transition in character and in the numerical values of the solutions. The principle that the character of the solution should change smoothly as a function of the parameters is also extremely helpful in the formulation of the solutions. As a starting point one can explore the existence of a range in the above parameters where the elastic solution applies and from there continue, step by step, into further ranges.

Applying this gradual approach, one arrives at the conclusion that the occurrence of discontinuous fronts at the transition from the stressless to the stressed state in the elastic situation must also apply in the more general case, at least for values of the parameters close to those where the elastic solutions are valid. The first attempt will therefore be the construction of solutions with an initial discontinuity at the arrival time, and the possibility of continuous behavior at arrival will be considered subsequently to demonstrate uniqueness, and also in situations where the assumption of an initial discontinuity does not lead to a solution.

In accordance with the above approach, one expects that the properties of the initial discontinuity will govern the character of the solution as a function of the parameters  $\nu$ ,  $\alpha$  and  $V/c_p$ . The existence and the nature of the initial discontinuities depend on  $\nu$  and  $\alpha$  only, such that these two parameters play a more important role than  $V/c_p$ , and a preliminary classification of the ranges can be based on  $\nu$  and  $\alpha$  only. In the permissible range for these parameters,  $0 \leq \nu \leq \frac{1}{2}$  and  $0 \leq \alpha \leq 1/\sqrt{12}$ , there is according to Section IIc a Range 1, Fig. 9a, defined by

$$\sqrt{3} \alpha \geq \frac{1-2\nu}{1+\nu} \quad (109)$$

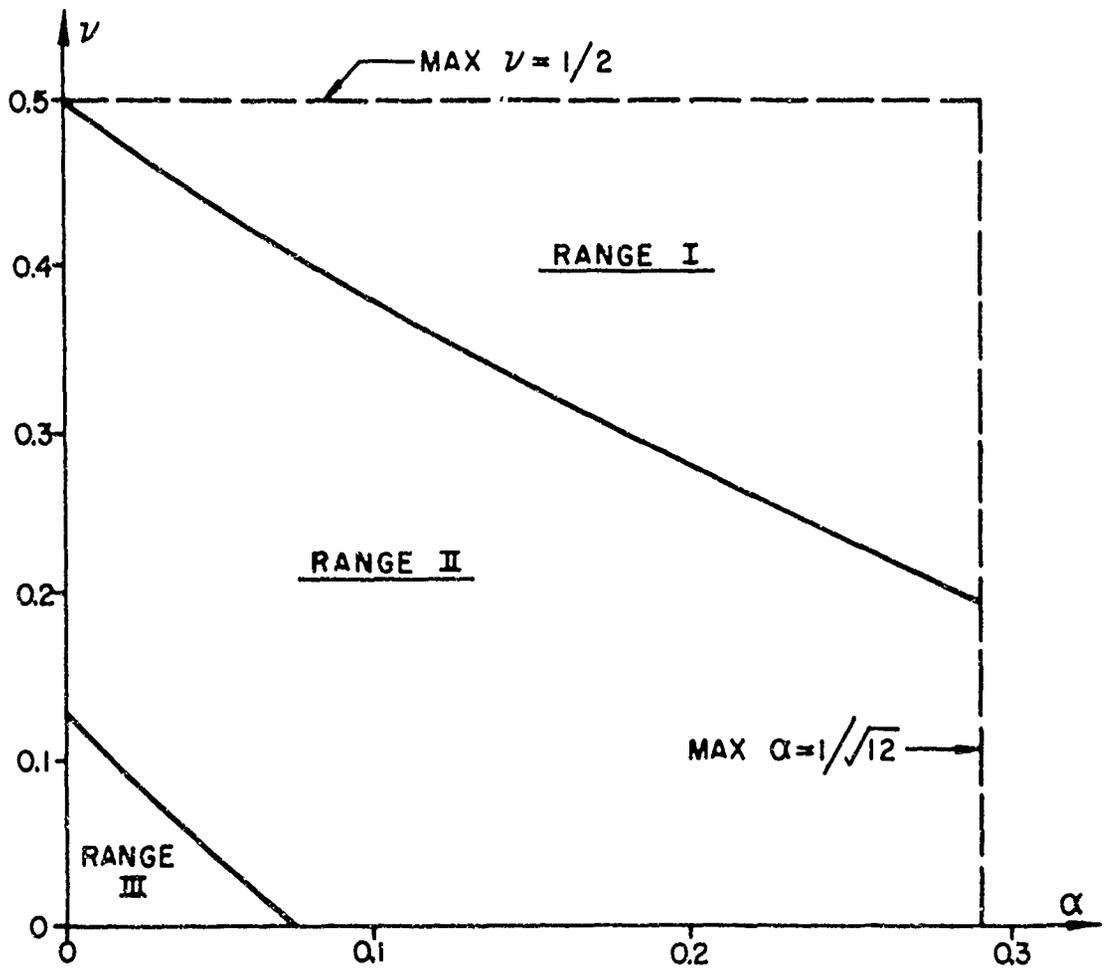
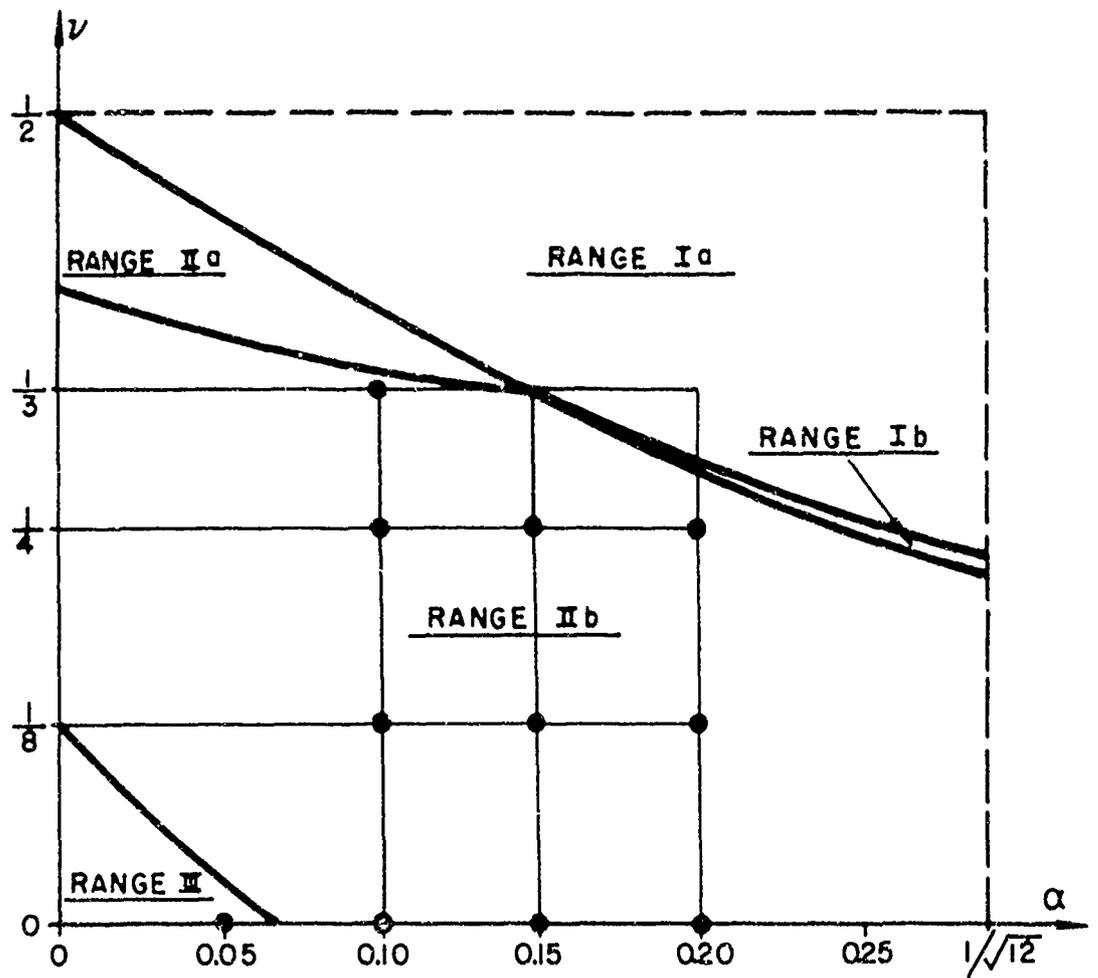
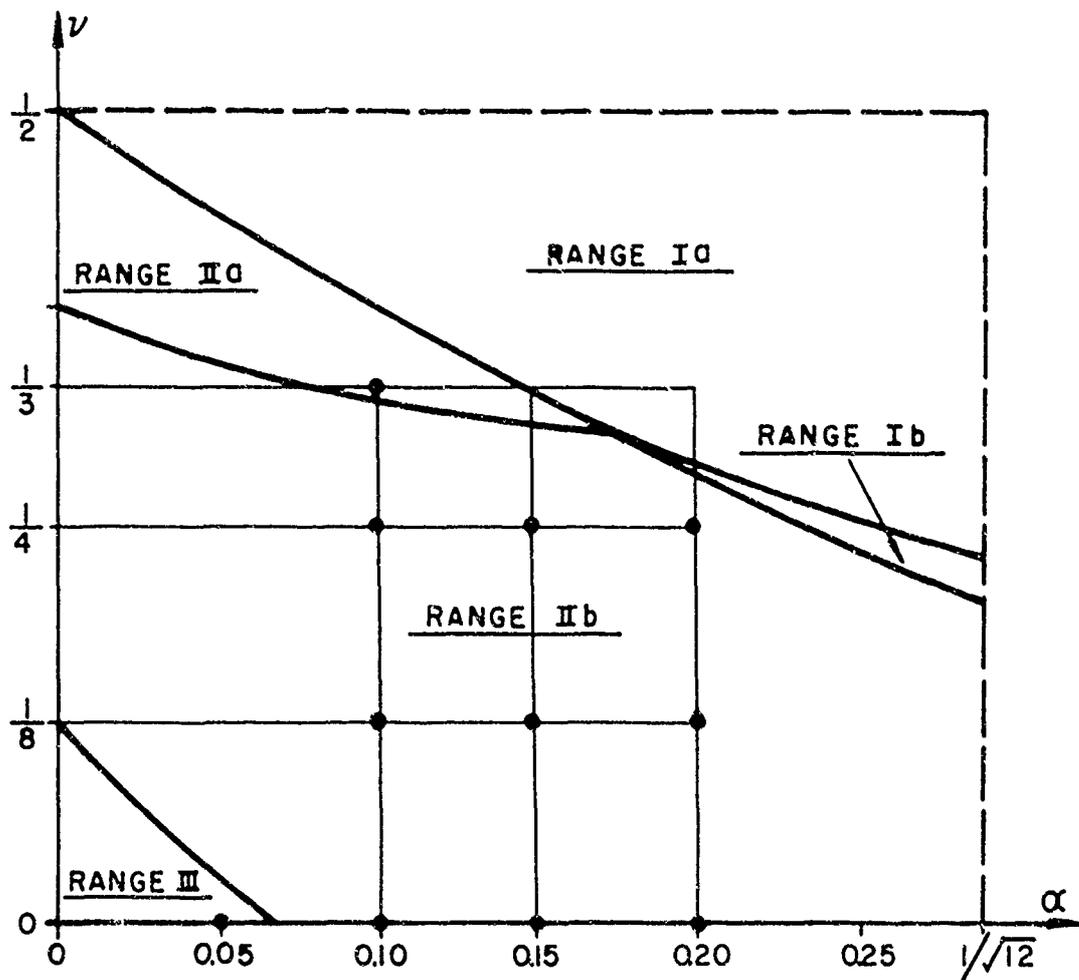


FIG. 90 PRINCIPAL RANGES



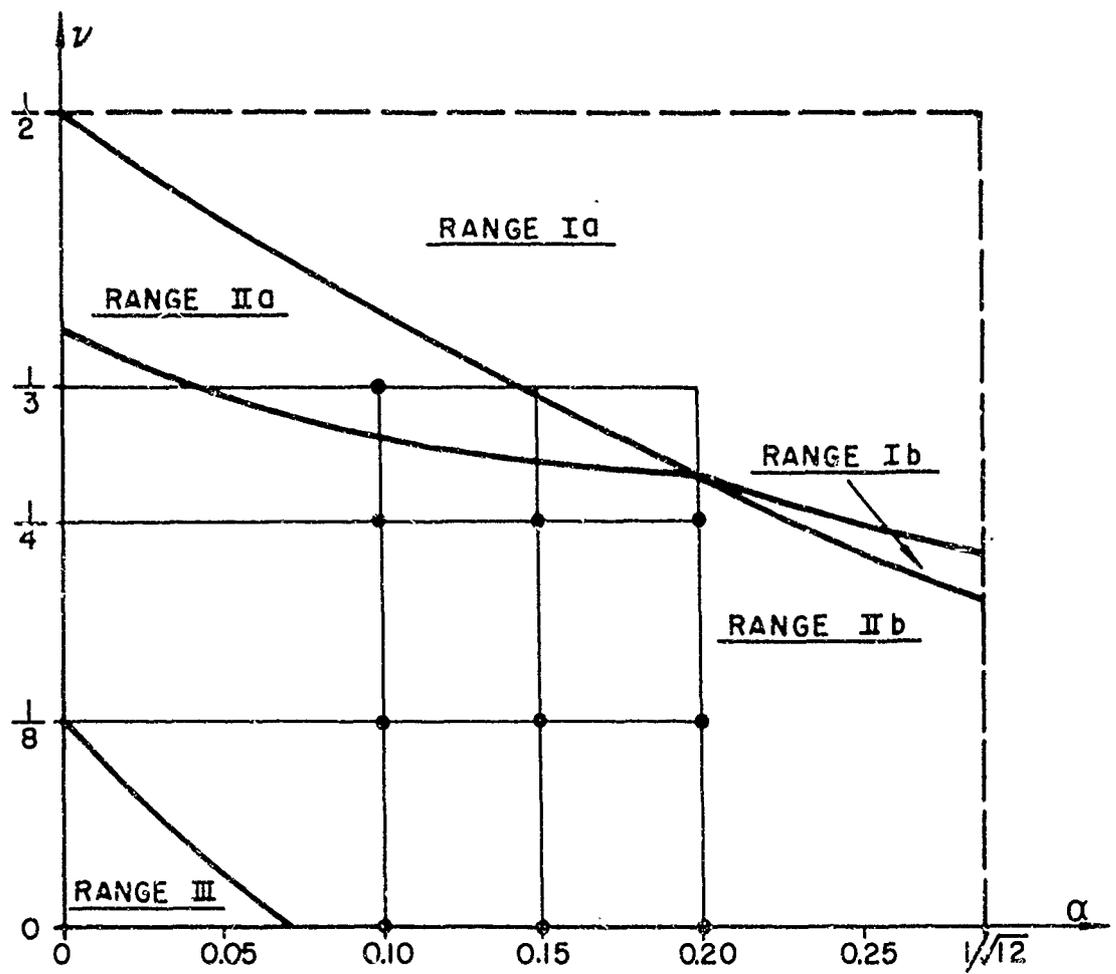
• NUMERICAL RESULTS

FIG. 9b SUBRANGES FOR  $V/C_p = 5$



• NUMERICAL RESULTS

FIG. 9C. SUBRANGES FOR  $\nu/C_p = 2$



• NUMERICAL RESULTS

FIG. 9d SUBRANGES FOR  $\nu/c_p = 1.25$

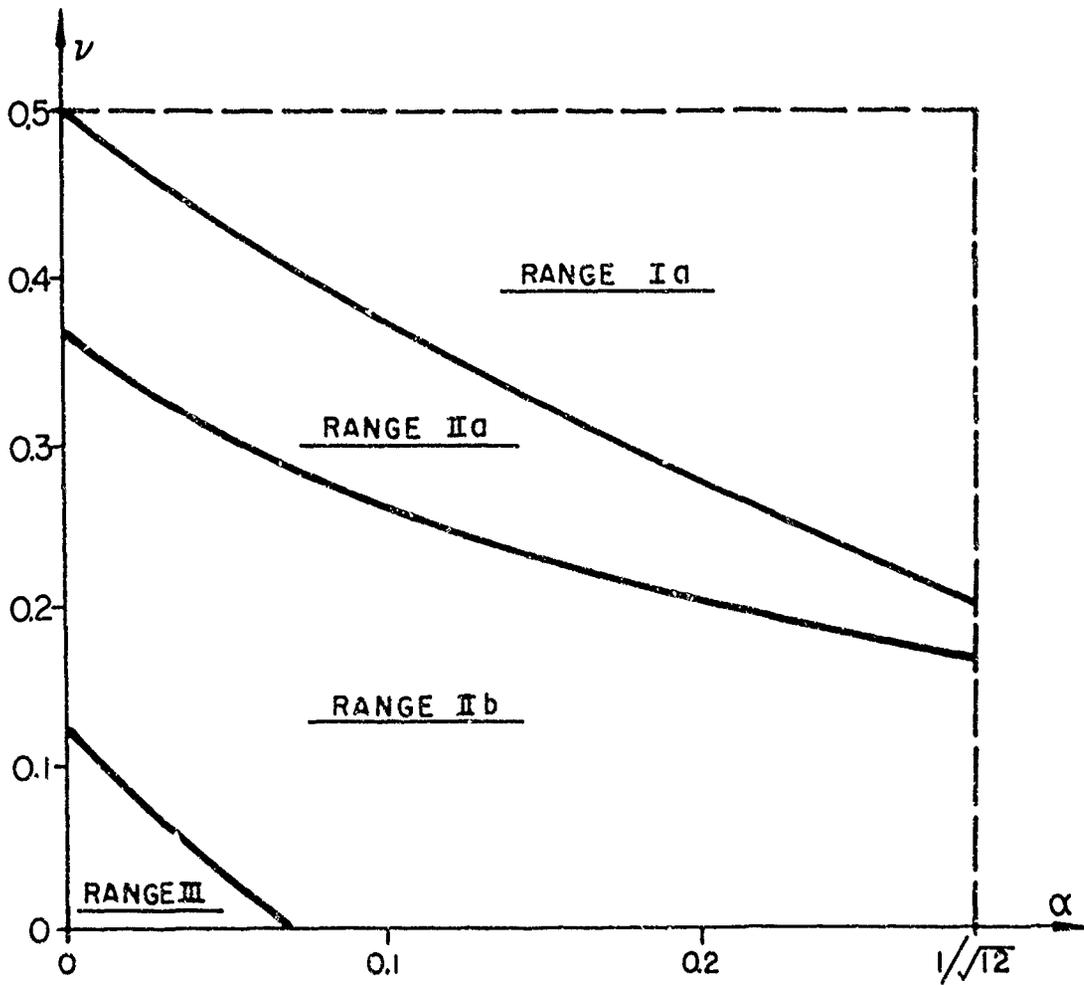


FIG. 9e SUBRANGES FOR  $\nu/c_p = 1.02$

where an elastic P-front, but no other discontinuity can enter a stress free region, while for

$$\sqrt{3} \alpha < \frac{1-2\nu}{1+\nu} \quad (110)$$

only a compressive discontinuity with inelastic deformation may enter a stress-free region. The total range where the latter inequality applies is subdivided in Ranges II and III, depending on whether the velocity  $\bar{c}$  of the inelastic front is larger or smaller than the velocity  $c_s$  of elastic shear waves, respectively. Range III, where  $\bar{c} \leq c_s$ , applies if

$$\sqrt{3} \alpha \leq -2 + \frac{3}{\sqrt{2(1+\nu)}} \quad (111)$$

while Range II,  $\bar{c} \geq c_s$ , applies if Eq. (111) is violated. The reason for this division will be seen later.

#### a. Range Ia

As indicated above, the first step in the construction of solution is the determination of the range, designated Range Ia, in which entirely elastic solutions exist. In such a solution a P-wave enters a stressless region and an entirely elastic solution is possible, if at all, only in a range entirely within Range I, Fig. 9a. The stresses in an elastic half-space due to a superseismically traveling uniform surface pressure are given in Appendix B. There is a uniform state of stress between the P-front and S-front, and again a uniform, but different state of stress between the S-front and the surface, Fig. 10. The two uniform states of stress must satisfy the inequalities (84)

$$F \leq 0 \quad , \quad J_1 \leq 0 \quad (112)$$

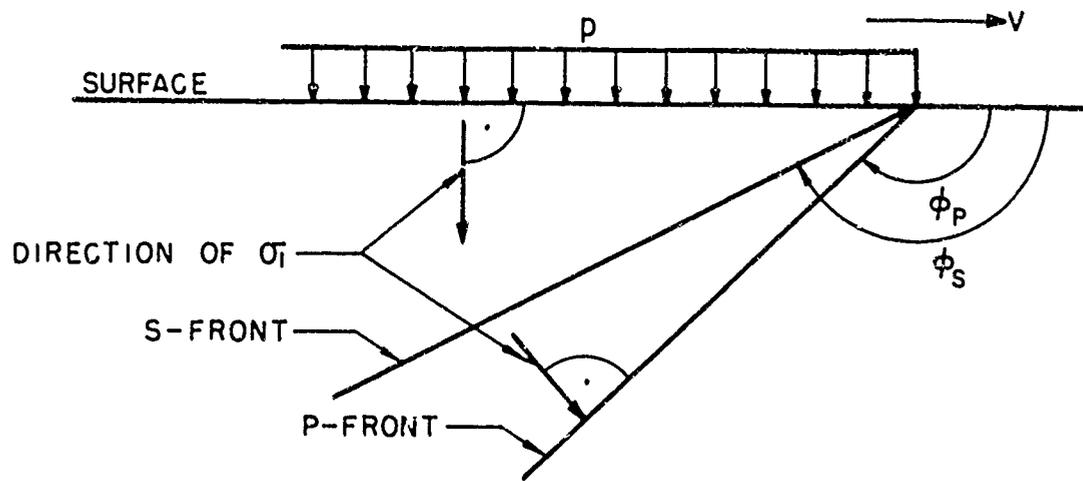


FIG. 10 CONFIGURATION FOR RANGE Ia

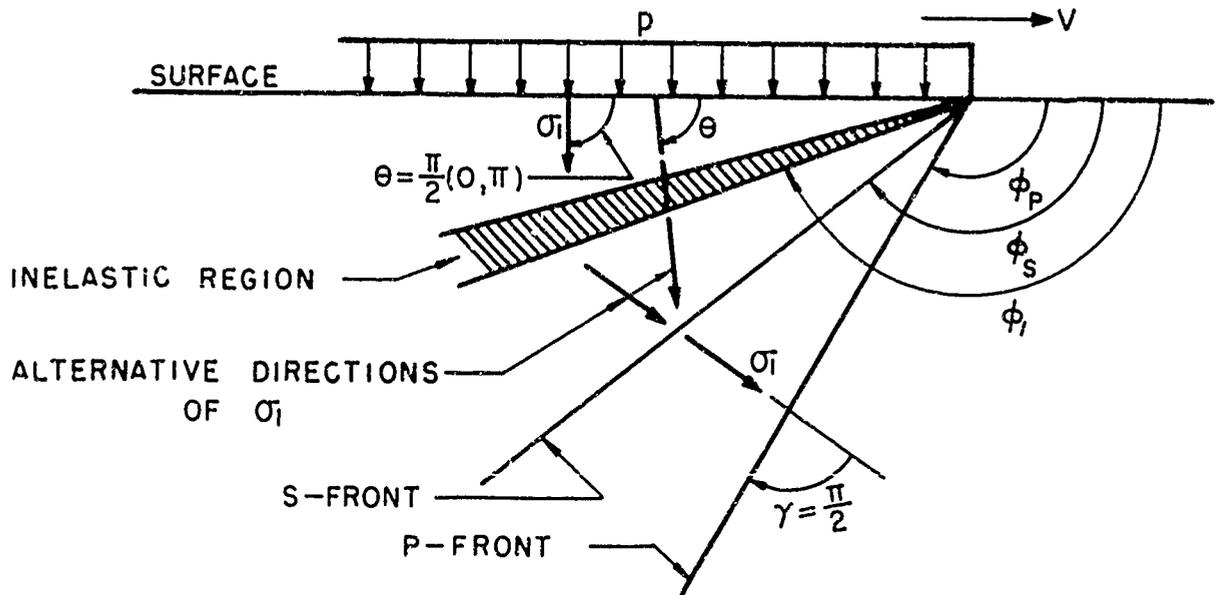


FIG. 11 CONFIGURATION FOR RANGE Ib

There is no need to check the validity of these inequalities in the region between the P and S fronts because this has already been done in Section IIc where the existence for the P-front in Range I was proved. However, Eqs. (112) must be considered for  $\pi > \varphi > \varphi_S$ . At the S-front a state of pure shear is added to the state of stress for  $\varphi < \varphi_S$ . This can not change the first invariant  $J_1$ , so that only the condition  $F \leq 0$  requires checking.

Substitution of Eqs. (179), (180) from Appendix B into this condition results in the inequality

$$\alpha^2 \geq \frac{1}{4} \left( \frac{1-2\nu}{1+\nu} \right)^2 \left[ \frac{\cos^2 2(\varphi_S - \varphi_P)}{\cos^2 2\varphi_S} + \frac{1}{3} \right] \quad (113)$$

where

$$\varphi_P = \pi - \sin^{-1} \left[ \frac{1}{V} \sqrt{\frac{2G}{\rho} \left( \frac{1-\nu}{1-2\nu} \right)} \right] \quad (114)$$

$$\varphi_S = \pi - \sin^{-1} \left[ \frac{1}{V} \sqrt{\frac{G}{\rho}} \right] \quad (115)$$

The inequality (113) defines Range Ia where the response is entirely elastic. The range is a function of Poisson's ratio and of  $V/c_p > 1$ , and its boundary can be found by using the equal sign in Eq. (113). Figures 9b-d show that these boundaries end at the one between the principal Regions I and II, the endpoint being defined by the relation

$$\left( \frac{V}{c_p} \right)^2 = \frac{(1-2\nu)^2}{(1-\nu)(1-3\nu)} \quad (116)$$

Figures 9b-d show Range Ia covering nearly all of Range I, while in Fig. 9e Range Ia actually covers all of Range I. Using Eqs. (113), (116) for the limiting value  $\alpha = \frac{1}{\sqrt{12}}$  one finds the critical value  $V/c_p = 1.061$  below which Range Ia covers all of Range I. The stresses in Range Ia are entirely elastic and are given by the simple relations listed in Appendix B.

b. Range Ib

It was found above that entirely elastic solutions exist only when the inequality (113) is satisfied. The remainder of Range I, i.e. the range

$$\frac{\sqrt{3}}{3} \leq \left(\frac{1+\nu}{1-2\nu}\right) \alpha < \frac{1}{2} \sqrt{\frac{\cos^2 2(\varphi_S - \varphi_P)}{\cos^2 2\varphi_S} + \frac{1}{3}} \quad (117)$$

will be designated as Range Ib. In this range the solution can no longer be entirely elastic and must therefore contain at least one location with inelastic deformation.

Using the expected continuity of the character of the solutions as a guide, the solution in this range ought to start again with a discontinuity which, according to Section IIc, can only be an elastic P-front located at  $\varphi_P$ . Using Eq. (91) for the stress changes at the front, one finds that for <sup>\*</sup>)  $\varphi = \varphi_P^{(+)}$  the inequality  $F < 0$  is satisfied, provided the special case  $\sqrt{3} \alpha = \frac{1-2\nu}{1+\nu}$  is excluded for separate consideration. Having recognized that the solution must contain an inelastic region, where  $F = 0$ , a further elastic stress change must occur, which is possible only at the S-front. The appropriate change in the state of stress at the S-front has been obtained in Appendix C, in terms of the as yet unknown stress discontinuity  $\Delta\sigma$  at  $\varphi_P$ .

For  $\varphi_P^{(+)} \leq \varphi \leq \varphi_S^{(-)}$  :

$$\alpha_1 = \Delta\sigma, \quad \beta = 3, \quad \gamma = \frac{\pi}{2}, \quad \theta = \varphi_P - \frac{\pi}{2} \quad (118)$$

while for  $\varphi = \varphi_S^{(+)}$  :

$$\beta = \sqrt{3} \left| \sqrt{12\alpha^2 \left(\frac{1+\nu}{1-2\nu}\right)^2 - 1} \right| \quad (119)$$

$$J_1 = \frac{1+\nu}{1-\nu} \Delta\sigma \quad (120)$$

\*.) The symbol (+) in  $\varphi_P^{(+)}$  indicates a value infinitesimally larger than  $\varphi_P$ .

$$s_1 = \frac{(1-2\nu)(\beta+1)}{6(1-\nu)} \Delta\sigma \quad (121)$$

$$\gamma = \frac{\pi}{2} \mp |\delta| \quad (122)$$

$$\theta = \varphi_S - \frac{\pi}{2} \pm |\delta| \quad (123)$$

The quantity  $\delta$  is obtained from

$$\cos 2\delta = \frac{3}{\beta} \cos 2(\varphi_P - \varphi_S) \quad (124)$$

and is subject to the inequality

$$\varphi_S \geq |\delta| \geq \varphi_S - \varphi_P \quad (125)$$

The special case  $\sqrt{3} \alpha = \frac{1-2\nu}{1+\nu}$  remains to be discussed. In this case  $F = 0$  is satisfied already for  $\varphi = \varphi_P^{(+)}$ , so that the possibility of an inelastic region no longer requires a shear front at  $\varphi_S$ . However, a change in shear lead  $\gamma$  again to a state with  $F = 0$  is still possible. Both possibilities are actually included in Eqs. (119)-(124). The special case simply means that one of the two values  $\theta(\varphi_S^{(+)})$  is equal to  $\theta(\varphi_P^{(+)})$  given by Eq. (118). The fact that in the special case an inelastic region may, in principle, occur in the range  $\varphi_P^{(+)} < \varphi < \varphi_S$  is of no consequence, because such a solution would violate the necessary continuity of the configurations.

The results obtained so far, and further steps required, are best discussed in terms of the angle  $\theta$  in various locations, illustrated in Fig. 11. The direction of the principal stress between the P and S-fronts according to Eq. (118) is normal to the P-front, while for  $\varphi > \varphi_S^{(+)}$ , Eq. (123) defines  $\theta$ . Because of the inequality (125),  $\theta(\varphi_S^{(+)})$  is less than  $\frac{\pi}{2}$  but more than 0, regardless of the sign of  $\delta$ . According to Section II, there is no further possibility for a change in  $\theta$  as required to arrive at the surface value  $\theta(\pi) = \frac{\pi}{2}$ , (0 or  $\pi$ ), except one or more inelastic regions for  $\varphi > \varphi_S$ .

Using the values of  $\beta$ ,  $J_1$ ,  $s_1$ ,  $\gamma$  and  $\theta$  defined by Eqs. (119)-(123) the results of Section IIa are now to be used to find and determine the history of the stresses and particularly of the angle  $\theta$ . If a region can be found during the forward integration where either of the values  $\theta = \frac{\pi}{2}$  (or 0, or  $\pi$ ) is obtained, the integration is terminated. From the point of termination to the surface an elastic region of no change is selected such that the surface condition for  $\theta$  is then satisfied. During this integration the unknown value  $\Delta\sigma$  in Eqs. (120), (121) is a common factor in all stresses, so that the integration will give a principal stress at the surface, which contains this factor, which is selected to satisfy the boundary condition, Eq. (107 or 108),  $\sigma_{1,2} = -1$ .

The use of the solutions derived in Section IIa for inelastic regions is quite straightforward. From the values of  $\beta$ ,  $\gamma$  at  $\varphi_S^{(+)}$ , potential starting points  $\varphi_1$  of inelastic regions are located as roots of the determinantal equation (40). Next it must be verified that  $GL/V$ , Eq. (53), is negative. If this is so, Eqs. (44)-(47) are used to determine the solution by forward integration, continuously checking the sign of  $GL/V$ . The integration can be continued until  $GL/V$  changes sign, but may be stopped at any desired location  $\varphi_2$ . When an angle  $\theta = \frac{\pi}{2}$  (or 0, or  $\pi$ ) for the direction of the principal stress is obtained, a solution to the problem has been found.

The configuration considered was successful and led to just one solution of the problem. The upper sign in Eq. (123) and the case  $\theta = \frac{\pi}{2}$  furnished the solution, but it is suspected that this may not be so when  $V/c_p > 1$  is close to unity. The matter of possible alternative configurations which might lead to solutions is discussed later in this section.

It is noted that Range Ib, which does not occur at all if  $V/c_p < 1.061$ , applies even for other values of  $V/c_p$  only in a minute portion of the overall range of  $\nu$  and  $\alpha$ , as can be seen from Figs. (9b-d).

c. Range IIa

According to the definition of ranges at the beginning of this section, an initial inelastic discontinuity, but no other, is possible in Range II. Further, in this range, the location  $\bar{\varphi}$  of this discontinuity, defined by Eq. (81), is such that

$$\bar{\varphi} < \varphi_S \quad (126)$$

Range II, which is the one of major interest, is defined by the inequalities (110, 111),

$$\frac{3}{\sqrt{2(1+\nu)}} - 2 < \sqrt{3} \alpha < \frac{1-2\nu}{1+\nu} \quad (127)$$

In that portion of Range II which adjoins Range Ia, Figs. 9b-e, one expects that the solutions after starting with an inelastic front of discontinuity at  $\bar{\varphi}$  will remain entirely elastic. The range in which such solutions apply and the values of the stresses are obtained in Appendix D. This range is designated Range IIa, and the stresses are found in closed form, the configuration being shown in Fig. 12.

The discontinuity  $\Delta\sigma$  in the normal stress at the front is

$$\Delta\sigma = \frac{-\cos 2\varphi_S}{(1-\bar{R}) \cos^2 (\bar{\varphi} - \varphi_S) + (1+\bar{R}) \cos^2 \varphi_S - 1} \quad (128)$$

where

$$\bar{R} = \frac{1 - \alpha\sqrt{3}}{1 + 2\alpha\sqrt{3}} \quad (129)$$

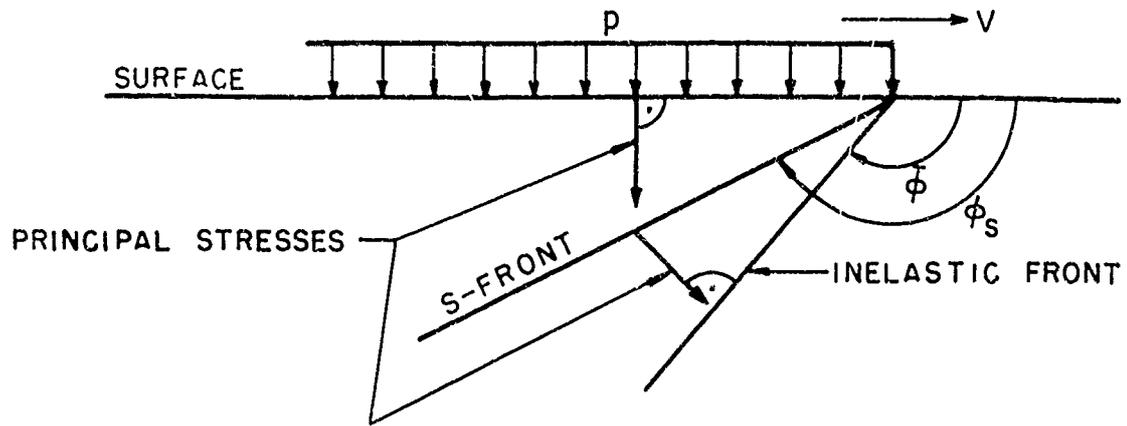


FIG. 12 CONFIGURATION FOR RANGE IIa

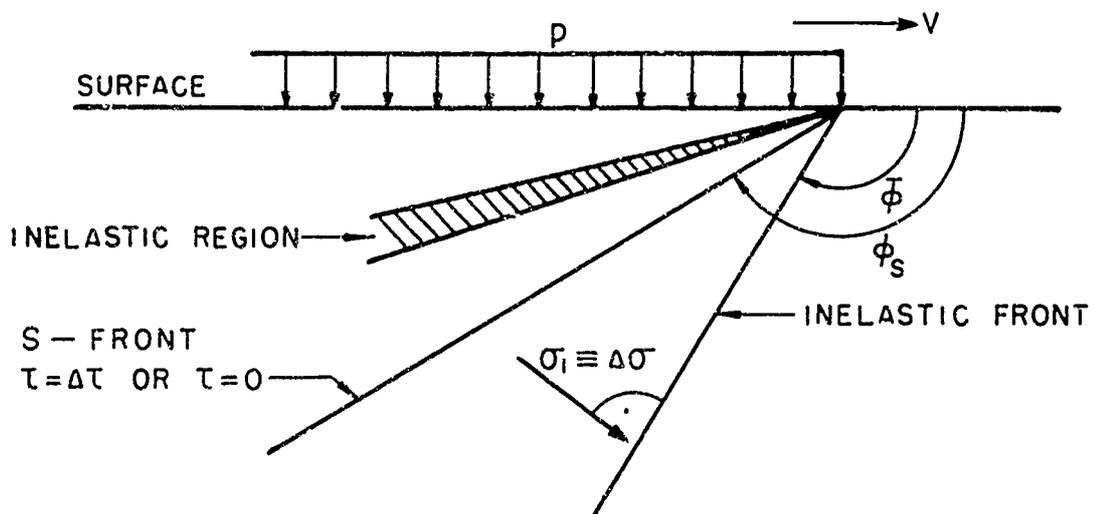


FIG. 13 CONFIGURATION FOR RANGE IIb

The principal stresses and their direction between the inelastic front and the shear front are

$$\varphi_S^{(-)} \geq \varphi \geq \bar{\varphi}^{(+)} :$$

$$\begin{aligned} \sigma_1 &= \Delta\sigma \\ \sigma_2 &= \sigma_3 = \bar{R}\Delta\sigma \\ \gamma &= \frac{\pi}{2} , \end{aligned} \quad (130)$$

while between the S-front and the surface

$$\varphi \geq \varphi_S^{(+)} :$$

$$\begin{aligned} \sigma_1 &= -1 \\ \sigma_2 &= -R , \quad \sigma_3 = \bar{R}\Delta\sigma \\ \theta &= \frac{\pi}{2} \end{aligned} \quad (131)$$

where

$$R = -1 - (1+\bar{R}) \Delta\sigma \quad (132)$$

and  $\sigma_3$  is the principal stress in the z direction.

The solution applies if the inequality

$$(1 + 2\alpha\sqrt{3})^2 - 36\alpha^2 \cos^2 \varphi_S \geq 6 \left( \frac{1-2\nu}{1+\nu} \right) \cos^2 \varphi_S \quad (133)$$

is satisfied. The boundary separating Region IIa from the remainder of Region II, designated Region IIb, is found by using the equal sign in the above relation. Figures 9b to e show typical curves for some values of  $V/c_p$ . These figures indicate that Range IIa covers only a quite small portion of Range II, except in the atypical case when  $V/c_p$  is only slightly larger than unity, Fig. 9e.

d. Range IIb

In Range II, but outside Range IIa, the solutions are expected to start with an inelastic pressure front at  $\bar{\varphi}$ , but additional inelastic regions must now occur. In the vicinity of the boundary towards Region Ib, continuity requires similar configurations, as shown in Fig. 13. Behind the inelastic front the stresses will be uniform with a shear front at  $\varphi_S$ , and a region of inelastic deformation in a location  $\varphi_S < \varphi < \pi$ . The discontinuity in shear  $\Delta\tau$  must be such that the yield condition  $F = 0$  is satisfied for  $\varphi \geq \varphi_S^{(+)}$ .

However, at points remote from the boundary between Regions Ib and IIb alternative configurations might occur and must be considered as possibilities in the numerical analysis. In the configuration shown in Fig. 13 the possibility  $\Delta\tau = 0$  could furnish a solution, or inelastic regions may exist in locations  $\bar{\varphi} < \varphi < \varphi_S^{(-)}$ , as shown in the alternative Figs. 14 and 15, where shear discontinuities  $\Delta\tau \neq 0$ , may occur, or not,  $\Delta\tau = 0$ . Further, the configuration shown in Fig. 15 may have a subrange where the discontinuity  $\Delta\tau$  is such that elastic conditions  $F < 0$  are created and, therefore, constant stresses occur for  $\varphi > \varphi_S^{(+)}$ . Disregarding, for later discussion, solutions without initial discontinuity, but allowing inelastic regions to split, this exhausts all possibilities to be studied. The numerical analysis by computer furnished only solutions having the configuration of Fig. 13. The search for roots of the determinantal equation, giving starting points of inelastic regions never furnished a root for  $\varphi < \varphi_S$ .

The following statement summarizes the situation. The initial change from vanishing to nonvanishing stresses occurs at an inelastic front with an as yet undetermined compressive discontinuity  $\Delta\sigma$  in the principal stress  $\sigma_1$ . This front is followed by a region of constant stress,

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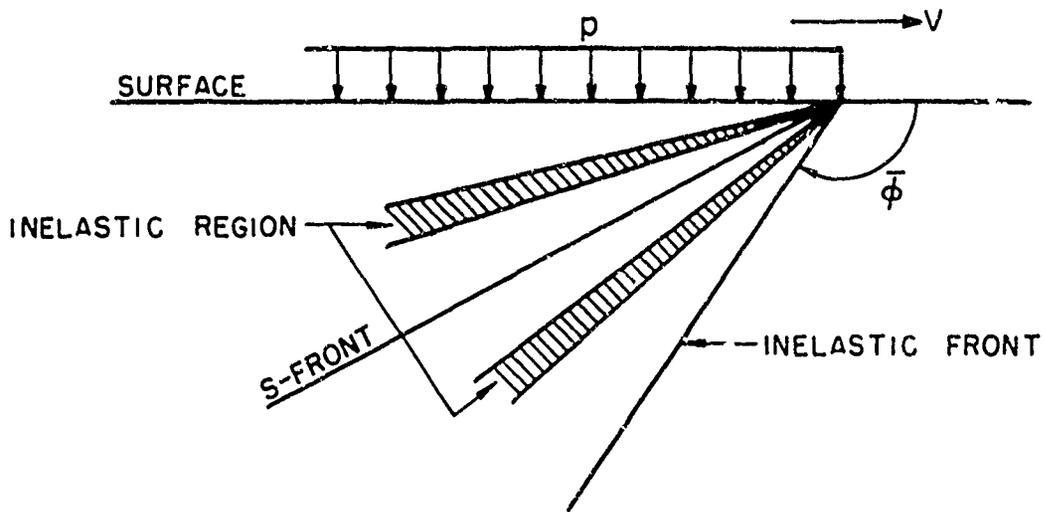


FIG.14 ALTERNATIVE CONFIGURATION

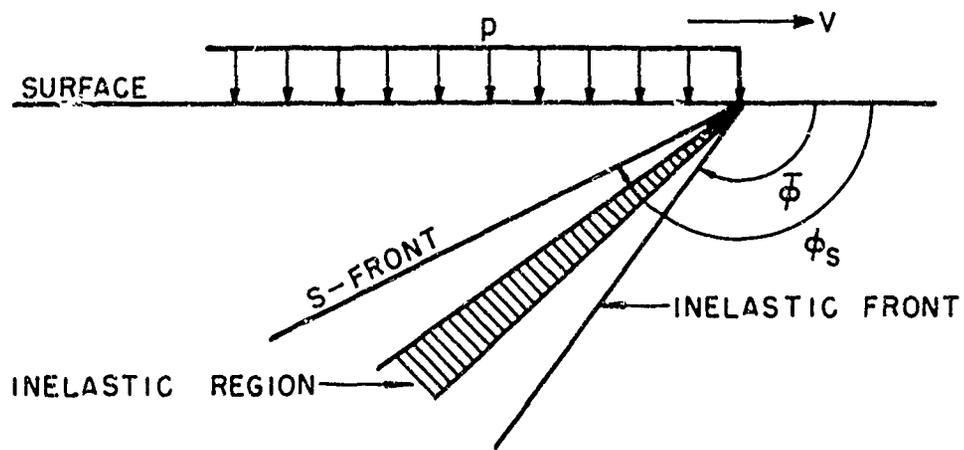


FIG.15 ALTERNATIVE CONFIGURATION

for  $\varphi_S^{(-)} > \varphi > \bar{\varphi}^{(+)}$  :

$$\begin{aligned}\sigma_1 &= \Delta\sigma \\ \beta &= 3 \\ \theta &= \frac{\pi}{2}\end{aligned}\tag{134}$$

For locations  $\varphi > \varphi_S$  there are two alternatives. If no discontinuity in shear occurs,  $\Delta\tau = 0$ , Eqs. (134) apply also for  $\varphi = \varphi_S^{(+)}$ , while the angle  $\gamma$  is

$$\gamma(\varphi_S^{(+)}) = \varphi_S - \bar{\varphi} + \frac{\pi}{2}\tag{135}$$

However, if a shear discontinuity,  $\Delta\tau \neq 0$ , occurs, Eqs. (102), (103) give, using

$$\bar{\gamma} = \varphi_S - \bar{\varphi} + \frac{\pi}{2},$$

for  $\varphi_S^{(+)}$  :

$$\begin{aligned}\sigma_1 &= \Delta\sigma \\ \beta &= 3 \\ \gamma &= \frac{\pi}{2} \\ \theta &= 2\varphi_S - \bar{\varphi} - \frac{\pi}{2}\end{aligned}\tag{136}$$

Equations (136) and the alternative values for  $\Delta\tau = 0$  are the starting points for numerical integrations which are to be carried out in the manner described for Range Ib.

e. Search for inelastic solutions without initial discontinuity

In Ranges I and II solutions were constructed where the initial change, from vanishing to nonvanishing stresses, occurred as a shock, either elastic at  $\varphi_p$ , or inelastic at  $\bar{\varphi}$ . While the principle of the continuity of solutions makes the solutions obtained plausible, it is desirable to investigate if solutions which start smoothly exist.

The differential equations in elastic regions permit definitely no smooth change in stress for ~~super~~ seismic velocities  $V$ , such that only the inelastic case is considered.

If a smooth inelastic solution starting from vanishing stresses in a location  $\varphi_0$  exists, an asymptotic study of the appropriate differential equations for  $\sigma_j = s_j = J_1 \rightarrow 0$  in the vicinity of  $\varphi_0$  must describe this solution. In order to be physically sensible, the angle  $\gamma$  in the vicinity of  $\varphi_0$  must be well behaved and may be considered a constant in the range  $\varphi_0 \leq \varphi \leq \varphi_0 + \epsilon$  where  $\epsilon$  is small. The quantity  $GL/V < 0$  must not vanish, otherwise the region is not inelastic as postulated. There are, however, two possibilities for the behavior of  $GL/V$ . In the limit  $\varphi \rightarrow \varphi_0$ , the function  $GL/V$  may be finite and well behaved, in which case it may be considered a constant near  $\varphi_0$ ; alternatively,  $GL/V$  may, in the limit, be infinite.

The first possibility, where  $GL/V$  in the limit may be replaced by a constant is easily proved to be impossible. Following the previous reasoning in Section II, solutions in an inelastic region exist only if the determinant of Eqs. (38) vanishes, in which case four of the five unknowns will depend on the fifth. In the limit  $s_j, J_1 \rightarrow 0$  the last Eq. (38) becomes trivial,  $0 = 0$ . The last terms of the other equations vanish, because  $GL/V$  is finite and products of  $GL/V$  and  $s_j$  or  $J_1$  in the limit are therefore zero. The remaining four equations are then identical with the four Eqs. (85) in the elastic case. They have nonvanishing solutions only when  $\varphi_0 = \varphi_S$  or  $\varphi_0 = \varphi_P$ . However, the yield condition,  $F = 0$ , represented by the last Eq. (38), which became trivial, may now not be satisfied and must be checked. In the vicinity of  $s_j, J_1 \rightarrow 0$ , the ratio of these stresses must obviously be the same as at the P or S front, Eqs. (91) and (96), respectively, obtained from the same equations. Based on the discussion of the P and S fronts, one finds easily that the requirement  $F = 0$  is not satisfied, except in the special case when the values  $\alpha$  and  $\nu$  are exactly on the boundary between regions I and II,

where  $\bar{\varphi} = \varphi_p$ . However, in this case one finds  $GL/V = 0$ , and no inelastic solutions whatsoever are therefore possible when  $GL/V$  at  $\varphi_0$  is finite.

The case where  $|GL/V| \rightarrow \infty$  as  $\varphi \rightarrow \varphi_0$  remains to be discussed. The first question concerns the possibility of  $|GL/V| \rightarrow \infty$  and conditions for the occurrence of such a singularity. If such a point exists for some values of  $\gamma$  and of the ratios of  $s_j$  and  $J_1$ , when the latter are small,  $\rightarrow 0$ , then  $|GL/V| \rightarrow \infty$  would also occur for the same ratios if  $s_j$  and  $J_1$  are finite. Equations (44), (45) and (47) which apply, would then give infinite values for one or more of the derivatives  $s_j'$ ,  $J_1'$ . The possibility  $|GL/V| \rightarrow \infty$  exists therefore only in locations where an inelastic front of discontinuity may occur and the conditions required are those for such a front. Using the results of Section IIb for inelastic discontinuities, no smooth solution can exist in Region I, there being no inelastic shock front. In Regions II and III where such a front is possible at  $\bar{\varphi}$ , a solution of the type sought may exist, starting at  $\varphi_0 = \bar{\varphi}$ ; the necessary initial values of the state of stress being again defined by

$$\begin{aligned} \beta(\varphi_0) &= 3 \\ \gamma(\varphi_0) &= \frac{\pi}{2} \end{aligned} \tag{137}$$

If an inelastic solution in the region  $\varphi \geq \bar{\varphi}$  actually exists, the determinantal equation (40) must be satisfied for  $\varphi > \bar{\varphi}$ . This is necessary because the previous reasoning only implies that this equation is satisfied for  $\varphi = \bar{\varphi}$ . To explore this point an asymptotic expression for Eq. (40) is obtained by substituting

$$\begin{aligned} \varphi &= \bar{\varphi} + \epsilon \\ \beta &= 3 + \Delta \\ \gamma &= \frac{\pi}{2} + \eta \end{aligned} \tag{138}$$

where  $\epsilon$ ,  $\Delta$  and  $\eta$  are small quantities. Retaining the lowest order terms in the new variables one obtains the expression

$$b_8 \eta^2 - \Delta^2 = b_9 \epsilon \quad (139)$$

where the quantities  $b_8$  and  $b_9$  are functions of  $\nu$ ,  $\alpha$  and  $V/c_p$ , given in Appendix E. The quantity  $b_9$  is always positive, while  $b_8$  may be positive or negative, changing the character of the equation radically.

In Range II, i.e. when the inequality (127) applies,  $b_8$  is negative so that the equation has real roots only for negative  $\epsilon$ . While an inelastic region can exist for  $\varphi < \bar{\varphi}$  ending at  $\bar{\varphi}$  with vanishing stresses, no such regions can exist for  $\varphi > \bar{\varphi}$ , i.e. in the location of interest here. The solutions in Ranges I and II with an initial discontinuity previously obtained are therefore unique.

In Range III, where the inequality (111) applies, one finds  $b_8 > 0$ , so that the determinantal equation has real roots for  $\epsilon > 0$  as necessary for solutions without initial discontinuity in stress. The final condition,  $GL/V < 0$ , is also satisfied, because the stress ratios in this region are initially equal to those for the inelastic front, where  $GL/V < 0$ . All requirements are therefore satisfied and it is concluded that in Range III, and only in this range, an inelastic solution without stress discontinuity exists. The details of its determination are given in Appendix E.

#### f. Range III

According to the definition of ranges, an inelastic shock front in the location  $\bar{\varphi}$  is possible, and one can attempt to construct a solution starting with this discontinuity in analogy to Range II. However, the computational search for inelastic regions, for  $\varphi > \bar{\varphi}$ , was unsuccessful, and the boundary conditions on the surface can not be satisfied in this fashion. The determinantal equation (40) is nonlinear and too complex to prove the nonexistence of roots in general.

However, the approximate Eq. (139) furnishes a partial proof, as there is obviously no root  $\Delta = \eta = 0$  for  $\epsilon > 0$ .

The impossibility of finding a solution with an initial discontinuity is, however, very satisfactory because the previous subsection and Appendix E indicate that in this range a solution exists which starts at  $\varphi = \bar{\varphi}$  without discontinuity. Because of their singular character the differential equations at and near the starting point can not be solved by the numerical procedure used in the other ranges. Therefore, the asymptotic solution obtained in Appendix E must be applied for a small range  $\varphi \geq \bar{\varphi}$ , until the solutions are sufficiently well behaved to return to the numerical integration of the differential equations in Section IIa.

It may be surprising, but beyond the fact that a smooth solution may start at  $\varphi = \bar{\varphi}$ , only a qualitative statement on the asymptotic solution is actually needed, the numerical coefficients derived in Appendix E need not be used. It is sufficient to know, from Eq. (218), that in the proximity of  $\varphi = \bar{\varphi}$  the value of  $\beta$  becomes approximately

$$\beta = 3 + \Delta \sim 3 \quad (140)$$

while

$$\gamma = \frac{\pi}{2} + \eta \quad (141)$$

where the small quantity  $|\eta|$  is inherently larger than the neglected value  $|\Delta|$ . Equation (219), and a similar expression for the principal stress  $\sigma_1$ , contains an arbitrary constant  $C_0$ . Thus, at a point,  $\varphi_0 = \bar{\varphi} + \epsilon_0$ , still to be selected as end of the asymptotic region, the value of the principal stress  $\sigma_1(\varphi_0)$  may be used as the arbitrary constant instead of  $C_0$ . Choosing a value  $\eta = \eta_0$ , small, yet large enough for the numerical integrations to work thereafter, one searches

for the corresponding value  $\varphi_0$  where the determinantal equation is satisfied by the combination of  $\beta = 3$ ,  $\gamma_0 = \eta_0 + \frac{\pi}{2}$  and  $\varphi_0$ . The principal stress  $\sigma_1(\varphi_0)$  at this point can be made equal to unity. From this point on integration proceeds exactly as in the other ranges. Due to the fact that Eq. (217) defining  $\eta$  has a  $\pm$  sign, it is necessary to include the two possibilities  $\pm \eta_0$ .

The procedure outlined was found to be successful, one and only one, of the integrations for  $\pm \eta_0$  furnishing a solution. The stresses in the interval  $\bar{\varphi}$  to  $\varphi_0$  increase as  $(\varphi - \bar{\varphi})^n$ . To obtain their distribution the exponent  $n$  can be obtained from Eq. (220). It is a very small positive number, of the order of 1/100. The configuration of solutions in Range III is shown in Fig. 16.

The occurrence of solutions with and without initial discontinuity in stress, does not break the continuity in the character of the solutions. Even for the continuous solutions the derivative of the stresses at  $\bar{\varphi}$  is infinite, as at a discontinuous front, and the numerical results indicate that the change in stress in the asymptotic region due to the small exponent  $n$  is so rapid, that this region is practically indistinguishable from a discontinuity, see Fig. 17.

#### g. Simplified determination of velocities and accelerations

The basic relations in Section II permit the numerical determination of stresses and velocities or accelerations. The integration for the stresses must be actually carried out to obtain the appropriate open constant from the boundary condition on the surface. The parallel integrations to find velocities and accelerations may be avoided, by using the following relations, some of which are exact, while others are only good approximations.

At all fronts of discontinuity the accelerations are of course infinite, but the changes in velocity are given - exactly - in terms of the respective stress discontinuities, if any,

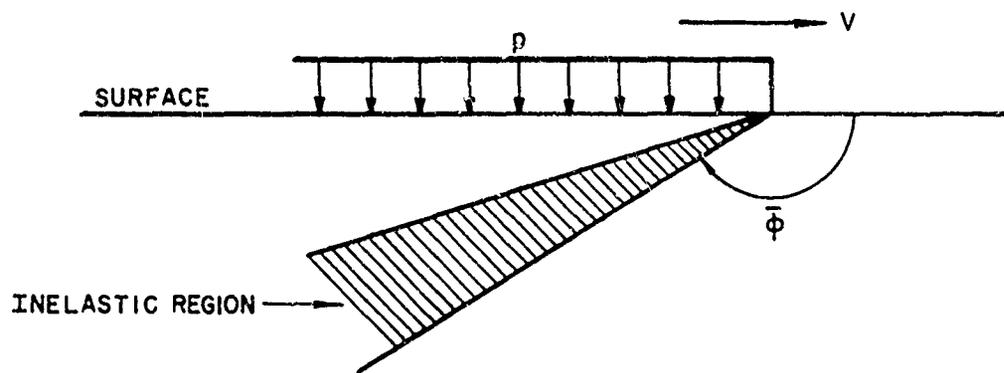


FIG. 16 CONFIGURATION FOR RANGE III

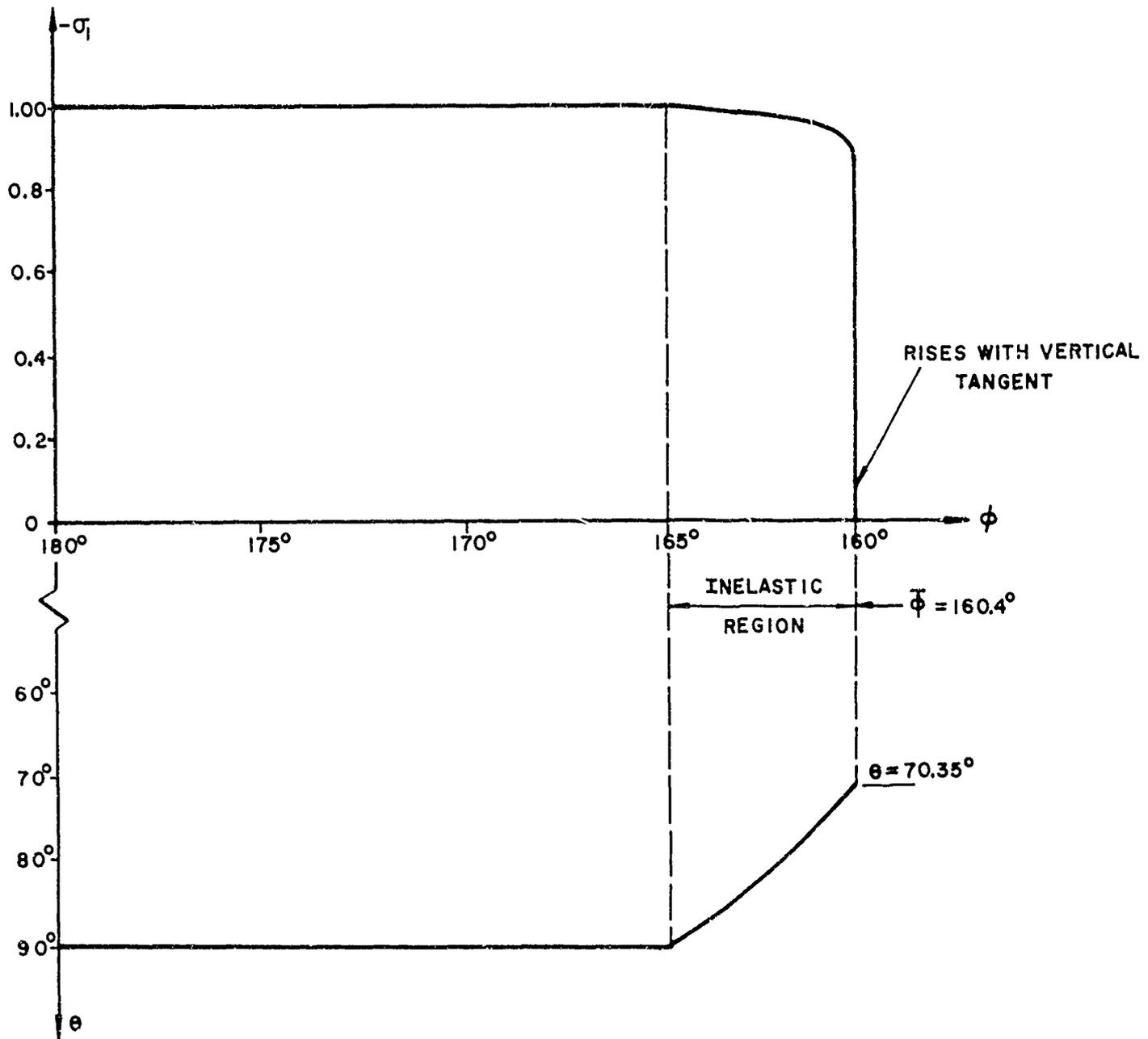


FIG. 17 TYPICAL RESULT IN RANGE III

( $V=0$ ,  $\alpha=0.05$ ,  $V/C_p=2$ )

$$\text{at } \varphi = \varphi_P \quad |\Delta \dot{u}_N| = \frac{\sigma_1}{\rho c_P} \quad (142)$$

$$\text{at } \varphi = \varphi_S \quad |\Delta \dot{u}_T| = \frac{\Delta \tau}{\rho c_S} \quad (143)$$

$$\text{at } \varphi = \bar{\varphi} \quad |\Delta \dot{u}_N| = \frac{\sigma_1}{\rho \bar{c}} \quad (144)$$

where the subscripts indicate normal and tangential directions, respectively, and the velocities,  $c_j$ , are given by

$$c_j = V \sin \varphi_j \quad (145)$$

The values of  $\sigma_1$  at the pressure fronts can be taken directly from the numerical computations for the stresses. The value  $\Delta \tau$  can easily be computed from the values and directions of the principal stresses  $\sigma_1$ , for  $\varphi_S^{(+)}$  and  $\varphi_S^{(-)}$ . Of major interest is Range IIb where

$$|\Delta \tau| = \left| \frac{1-2\nu}{1-\nu} \sin 2(\varphi_S - \bar{\varphi}) \sigma_1(\varphi_S) \right| \quad (146)$$

In continuous elastic regions velocities do not change, while accelerations vanish. Inelastic regions being very narrow, one may disregard tangential accelerations and changes in velocity, while the normal acceleration may be assumed to be constant in the region, giving a linear change in velocity. The total change in velocity  $\Delta \dot{u}_N$  in an inelastic region of extent  $\Delta \varphi$ , may be found from the change,  $\Delta \sigma_1$ , in the principal stress at both ends of the region

$$|\Delta \dot{u}_N| \approx \left| \frac{\Delta \sigma_1}{\rho \bar{c}} \right| \quad (147)$$

while the acceleration is

$$|\ddot{u}_N| \approx \left| \frac{\Delta \dot{u}_N}{\Delta \varphi} \right| \quad (148)$$

The changes in velocities at the front, given exactly by Eqs. (142)-(144), are always much larger than those in regions given by Eq. (147), such that the simplification of using Eqs. (147), (148) is quite satisfactory when determining shock factors.

#### h. Numerical analysis

In Ranges Ib, IIb and III a numerical search for inelastic regions, and subsequent numerical quadratures are required. In Section II the basic equations have been written in a very abbreviated form, somewhat concealing the complexity of these relations. The solution of these equations by hand computation would be nearly impractical, and the computations were made on an IBM 7090. A common program was devised, allowing for the different configurations which may occur.

The inelastic regions are always quite narrow as functions of  $\phi$ , only a few degrees, and become even narrower as  $V/c_p$  becomes large. It was therefore necessary to vary the intervals of  $\phi$  in the search and in the quadratures. For  $V/c_p \leq 2$  intervals of  $1/500$  rad. were used, while for  $V/c_p = 5$  intervals of  $1/10000$  rad. were selected.

The results obtained are discussed in Section IV.

#### IV NUMERICAL RESULTS AND CONCLUSION

##### a. Results

The effects of a superseismically progressing step pressure on the surface of a half-space have been obtained for an elastic-plastic medium subject to the yield condition (4), representing an inelastic material governed by internal Coulomb friction. The solutions depend on the elastic material parameters  $E$  and  $\nu$ , and on the additional parameter  $\alpha < \sqrt{1/12}$  in Eq. (4).  $\alpha$  is related to the angle  $\phi$  of internal friction, using Eq. (10) of Ref. [6],

$$\sin \phi = \frac{3\alpha}{\sqrt{1 - 3\alpha^2}} \quad (149)$$

In spite of the lack of a general uniqueness and existence theorem, a unique solution was obtained for each combination of material parameters, surface load  $p$ , and velocity  $V/c_p > 1$ . There are, however, radically different configurations, depending on the values of the nondimensional parameters  $\nu$ ,  $\alpha$  and  $V/c_p$ . The ranges in which the various configurations apply have been designated by I, II and III, where Ranges I and II have been subdivided into Subranges a and b. The values of the parameters  $\nu$  and  $\alpha$  alone determine which of the Ranges I, II or III applies in a particular case, as shown in Fig. 9a, while the subdivision into a or b depends on the value of  $V/c_p$ , typical cases being shown in Figs. 9b-e. These figures show that Ranges Ia and IIb cover most of the total range in  $\nu$  and  $\alpha$ , the other ranges being of very limited applicability. Range Ia gives entirely elastic solutions, known from Ref. [1], and is not further considered. The solutions found for Range IIb are those of prime interest, the other ranges are somewhat academic.

The parameter  $\alpha$  is inherently restricted,  $\alpha \leq \frac{1}{\sqrt{12}}$ , but sensible values for the angle  $\phi$  of internal friction, Eq. (149), permit a further limitation to the range  $0.10 \leq \alpha \leq 0.20$ . Numerical results were therefore obtained, as

indicated in Figs. 9b-d, for combinations of  $V/c_p = 1.25, 2, 5$ ,  $\nu = 0, \frac{1}{8}, \frac{1}{4}, \frac{1}{3}$ , and  $\alpha = 0.10, 0.15, 0.20$ . The values  $\alpha$  selected cover the range  $\sin \phi = 0.3$  to  $0.7$ . Except for two points, which fall in Range IIa, all these combinations are in Range IIb. For completeness the result for one case in Range III,  $\nu = 0$ ,  $\alpha = 0.05$ ,  $V/c_p = 2$  was also obtained.

Figure 18 shows a typical variation of the principal stress  $\sigma_1$  and of the angle  $\theta$  in the major Range IIb. There is a discontinuous rise in the principal stress  $\sigma_1$  at the inelastic front, followed by a discontinuity in direction  $\theta$ , but not in magnitude of  $\sigma_1$ , at the S-front. There is further a minor increase in  $\sigma_1$  in the inelastic region combined with a change in direction,  $\theta$ . For unit step pressures,  $p_0 = 1$ , Table I gives the values of the principal stresses  $\sigma_1$ ,  $\sigma_2$ ,  $\sigma_3$ , and of the angle  $\theta$ , and the locations of the fronts for all cases considered, which fall into Range IIb.

Figures 19a, b show  $\sigma_1$  and  $\theta$  for the two cases,  $\nu = 1/3$ ,  $\alpha = 0.10$ ,  $V/c_p = 1.25$  and  $2.0$ , which fall into Range IIa. In these cases the initial stress rise is again at the inelastic front,  $\varphi = \bar{\varphi}$ . There is a change in  $\sigma_1$  and  $\theta$  at the S-front, but there are no further inelastic regions, and no further changes in  $\sigma_1$  or  $\theta$ . The solution in this range does not require numerical integrations, but is entirely in closed form.

Range III is only of theoretical interest, because it applies only for  $\sin \phi < 0.21$ , but a typical case is shown in Fig. 17. There is no discontinuous front, the solution starts smoothly at  $\varphi = \bar{\varphi}$ , but the principal stress  $\sigma_1$  has a vertical tangent and rises extremely rapidly, nearly like a discontinuous front.

#### b. Conclusion

From a practical point of view, the most important conclusion obtained by the present analysis concerns the general character of the solutions. The numerical

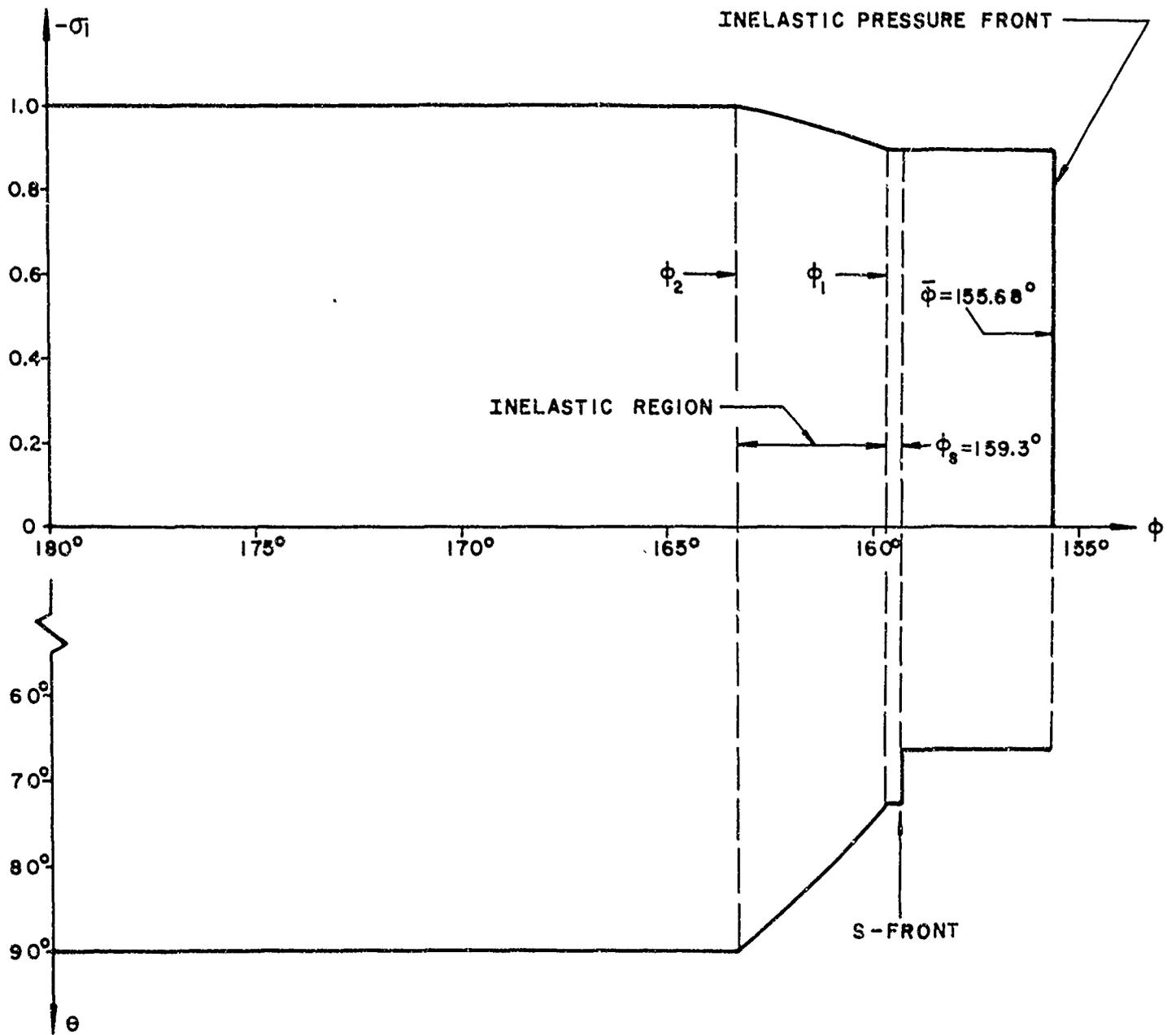
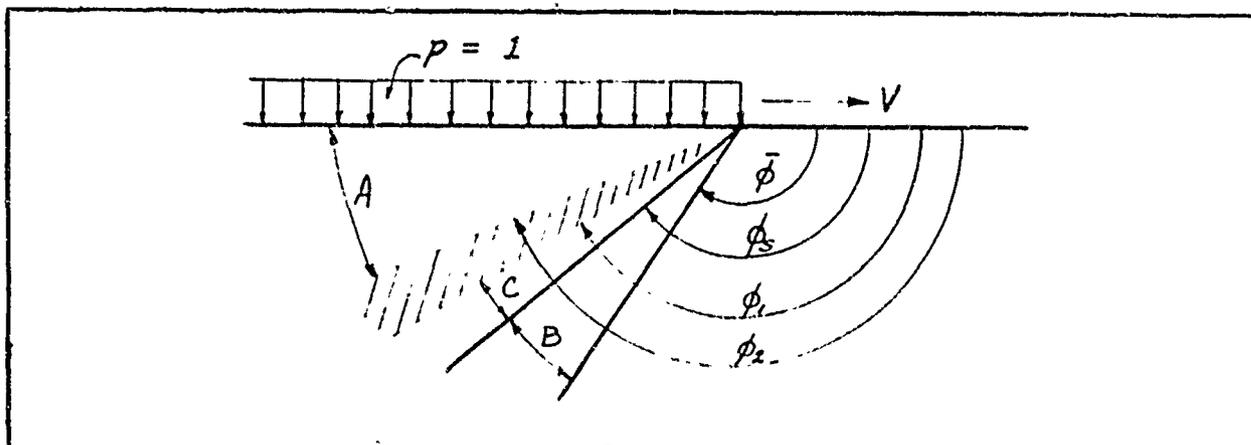


FIG. 18 TYPICAL RESULT IN RANGE IIb

( $V=0, \alpha=0.15, v/c_p=2$ )

TABLE I. RESULTS IN RANGE IIb



| v/c <sub>p</sub> | v      | φ <sub>s</sub> * | α      | φ*     | φ <sub>1</sub> * | φ <sub>2</sub> * | Location        |                 |        |                 |                 |       |
|------------------|--------|------------------|--------|--------|------------------|------------------|-----------------|-----------------|--------|-----------------|-----------------|-------|
|                  |        |                  |        |        |                  |                  | A**             |                 | B      | B and C         |                 | C     |
|                  |        |                  |        |        |                  |                  | -σ <sub>2</sub> | -σ <sub>3</sub> | θ*     | -σ <sub>1</sub> | -σ <sub>2</sub> | θ*    |
| 1.25             | 0      | 145.55           | 0.10   | 142.84 | 146.05           | 157.90           | 0.5788          | 0.6586          | 58.26  | 0.8111          | 0.4981          | 52.84 |
|                  |        |                  | 0.15   | 138.79 | 146.73           | 156.55           | 0.4352          | 0.5523          | 62.31  | 0.7950          | 0.3872          | 48.79 |
|                  |        |                  | 0.20   | 135.40 | 147.21           | 155.40           | 0.3202          | 0.4677          | 65.70  | 0.7881          | 0.3043          | 45.40 |
|                  | 1/8    | 148.42           | 0.10   | 137.51 | 150.41           | 157.71           | 0.5804          | 0.6560          | 69.32  | 0.8987          | 0.5519          | 47.51 |
|                  |        |                  | 0.15   | 133.47 | 150.96           | 156.51           | 0.4424          | 0.5411          | 73.37  | 0.8791          | 0.4282          | 43.47 |
|                  |        |                  | 0.20   | 130.52 | 151.21           | 155.50           | 0.3346          | 0.4467          | 76.32  | 0.8794          | 0.3395          | 40.52 |
|                  | 1/4    | 152.49           | 0.10   | 131.53 | 156.15           | 158.26           | 0.5973          | 0.6326          | 83.46  | 0.9641          | 0.5320          | 41.53 |
|                  |        |                  | 0.15   | 128.42 | 156.27           | 157.29           | 0.4733          | 0.5016          | 86.57  | 0.9743          | 0.4746          | 38.42 |
|                  |        |                  | 0.20   | 127.06 | 156.01           | 156.58           | 0.3753          | 0.3972          | 87.92  | 0.9813          | 0.3789          | 37.06 |
| 2.0              | 0      | 159.30           | 0.10   | 157.82 | 159.41           | 164.01           | 0.5960          | 0.6342          | 70.77  | 0.8954          | 0.5498          | 67.82 |
|                  |        |                  | 0.15   | 155.68 | 159.55           | 163.17           | 0.4615          | 0.5155          | 72.91  | 0.8943          | 0.4356          | 65.68 |
|                  |        |                  | 0.20   | 153.97 | 159.64           | 162.53           | 0.3547          | 0.4206          | 74.62  | 0.8955          | 0.3457          | 63.97 |
|                  | 1/8    | 160.89           | 0.10   | 155.03 | 161.35           | 164.03           | 0.5979          | 0.6319          | 76.76  | 0.9441          | 0.5797          | 65.03 |
|                  |        |                  | 0.15   | 153.03 | 161.44           | 163.43           | 0.4662          | 0.5098          | 78.76  | 0.9410          | 0.4584          | 63.03 |
|                  |        |                  | 0.20   | 151.63 | 161.47           | 162.99           | 0.3622          | 0.4118          | 80.16  | 0.9409          | 0.3633          | 61.63 |
|                  | 1/4    | 163.22           | 0.10   | 152.10 | 164.06           | 164.97           | 0.6053          | 0.6233          | 84.34  | 0.9791          | 0.6012          | 62.10 |
|                  |        |                  | 0.15   | 150.68 | 164.04           | 164.62           | 0.4776          | 0.4969          | 85.76  | 0.9799          | 0.4773          | 60.68 |
|                  |        |                  | 0.20   | 150.08 | 163.95           | 164.38           | 0.3760          | 0.3965          | 86.36  | 0.9801          | 0.3784          | 60.08 |
| 5.0              | 0      | 171.87           | 0.10   | 171.31 | 171.88           | 172.54           | 0.6105          | 0.6177          | 82.43  | 0.9703          | 0.5958          | 81.31 |
|                  |        |                  | 0.15   | 170.52 | 171.89           | 172.30           | 0.4823          | 0.4920          | 83.22  | 0.9761          | 0.4754          | 80.52 |
|                  |        |                  | 0.20   | 169.89 | 171.89           | 172.18           | 0.3805          | 0.3918          | 83.85  | 0.9789          | 0.3779          | 79.89 |
|                  | 1/8    | 172.48           | 0.10   | 170.28 | 172.51           | 172.78           | 0.6111          | 0.6171          | 84.67  | 0.9887          | 0.6071          | 80.28 |
|                  |        |                  | 0.15   | 169.55 | 172.51           | 172.69           | 0.4834          | 0.4908          | 85.41  | 0.9889          | 0.4817          | 79.55 |
|                  |        |                  | 0.20   | 169.04 | 172.51           | 172.64           | 0.3820          | 0.3903          | 85.91  | 0.9893          | 0.3820          | 79.04 |
|                  | 1/4    | 173.37           | 0.10   | 169.21 | 173.42           | 173.50           | 0.6125          | 0.6156          | 87.53  | 0.9960          | 0.6116          | 79.21 |
|                  |        |                  | 0.15   | 168.70 | 173.42           | 173.47           | 0.4854          | 0.4888          | 88.03  | 0.9961          | 0.4852          | 78.70 |
|                  |        |                  | 0.20   | 168.49 | 173.41           | 173.45           | 0.3842          | 0.3879          | 88.25  | 0.9961          | 0.3846          | 78.49 |
| 1/3              | 174.26 | 0.10             | 168.61 | 174.33 | 174.33           | 0.6140           | 0.6141          | 89.91           | 0.9999 | 0.6140          | 78.61           |       |

\* In degrees

\*\* In this location σ<sub>1</sub> = -1, θ = 90°



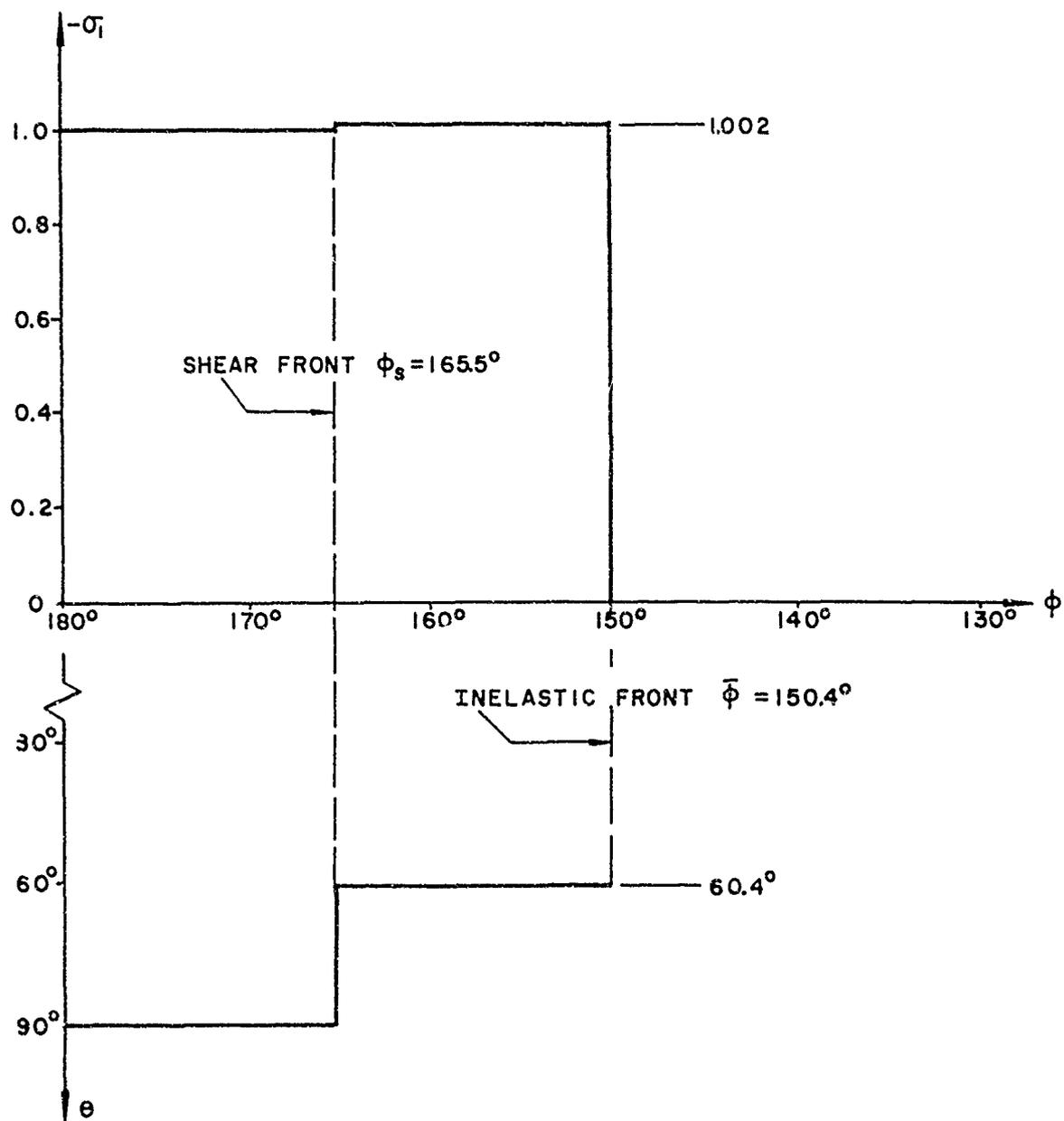


FIG. 19b RESULT IN RANGE IIa

( $V = 1/3$ ,  $\alpha = 0.10$ ,  $V/C_p = 2.0$ )

results indicate that the major stress and velocity changes occur at fronts, an initial front where the normal stress rises, which may be followed by a shear front. The continuous inelastic regions which occur are quite narrow, only a few degrees, and produce changes in the principal stresses and their direction which are usually quite small compared to those at the fronts. It is extremely important that a similar behavior can be expected if the surface pressure is not a step, but decays as shown in Fig. 1. This expectation makes it possible to solve the problem of a decaying surface pressure approximately by disregarding the inelastic regions as being of secondary importance, but allowing elastic and inelastic fronts of discontinuity in the appropriate locations. Because of the complexity of solving the problem with decaying pressure exactly, it is intended to utilize the above approximate formulation in future work.

APPENDIX A - Proof for the Requirement  $\tau = 0$  at a Plane Discontinuity

In Section IIb it was shown that, for certain values of  $\alpha$  and  $\nu$ , a discontinuous inelastic plane pressure front may exist. This was shown on the premise that the principal stress  $\sigma_1$  is normal to the front, which is equivalent to stating that the shear  $\tau$ , parallel to the front vanishes. It will be proved here that no plane discontinuity with inelastic deformation can propagate unless  $\tau = 0$ .

Let  $y$  again be the direction of propagation and Eqs. (14)-(19) become

$$\frac{\partial \dot{v}}{\partial y} = \frac{1}{2G} \dot{s}_y + \frac{1}{9K} \dot{J}_1 + \bar{\lambda}[s_y - 2\alpha^2 J_1] \quad (150)$$

$$0 = \frac{1}{2G} \dot{s}_x + \frac{1}{9K} \dot{J}_1 + \bar{\lambda}[s_x - 2\alpha^2 J_1] \quad (151)$$

$$\frac{\partial s_y}{\partial y} + \frac{1}{3} \frac{\partial J_1}{\partial y} = \rho \frac{\partial \dot{v}}{\partial t} \quad (152)$$

$$\frac{\partial \tau}{\partial y} = \rho \frac{\partial \dot{u}}{\partial t} \quad (153)$$

$$\frac{1}{G} \dot{\tau} + \bar{\lambda} \tau = \frac{\partial \dot{u}}{\partial y} \quad (154)$$

where  $\bar{\lambda}$  and  $K$  are defined by Eqs. (59), (60). Noting  $s_x = s_z = -\frac{1}{2} s_y$  the yield condition, Eq. (4), becomes

$$\tau^2 + \frac{3}{4} s_y^2 - \alpha^2 J_1^2 = 0 \quad (155)$$

Steady-state solutions require

$$s_y = a_1 f(\zeta) \quad (156)$$

$$J_1 = a_2 f(\zeta) \quad (157)$$

$$\dot{v} = a_3 f(\zeta) \quad (158)$$

$$\tau = a_4 f(\zeta) \quad (159)$$

$$\dot{u} = a_5 f(\zeta) \quad (160)$$

where  $\zeta = y - ct$ .

Substitution of Eqs. (159), (160) into Eqs. (153), (154) yields, after simplification

$$a_4 \left\{ f' \left[ \frac{\rho c^2}{G} - 1 \right] - f \rho c \bar{\lambda} \right\} = 0 \quad (161)$$

$$a_5 = - \frac{a_4}{\rho c} \quad (162)$$

$f$  being subject to the inequalities (64), (65), neither  $f$  nor  $f'$  can be zero and Eqs. (161), (162) permit nonvanishing solutions for  $a_4$  and  $a_5$  only if

$$c^2 = \frac{G}{\rho} \quad (163)$$

$$\bar{\lambda} = 0 \quad (164)$$

The requirement  $\bar{\lambda} = 0$  violates the basic condition  $\bar{\lambda} > 0$  at locations of inelastic deformation. Therefore,  $a_4$  and  $a_5$  vanish, i.e. no discontinuity in shear can occur.

Having demonstrated that an inelastic discontinuity in shear is impossible, it remains to be shown that the discontinuity in the normal stress can not occur in a region with shear,  $\tau \neq 0$ , even if  $\tau$  is continuous. In this case Eqs. (150)-(152), (155) and (156)-(158) apply while

$$\tau = g(y, t) \quad (165)$$

$$\dot{u} = \bar{g}(y, t) \quad (166)$$

where  $g(y, t) \neq f(y - ct)$ . Substituting Eqs. (165) and (166), (157) into

Eq. (155) gives

$$g^2(y, t) + \left(\frac{3}{4} a_1^2 - \alpha^2 a_2^2\right) f^2(y - ct) = 0 \quad (167)$$

$f$  and  $g$  being different, nonvanishing functions, this equation can not be satisfied.

APPENDIX B - Steady-State Solution in an Elastic Half-Space

As background for Section III, the details of the solution of the steady-state problem for an elastic half-space are derived. The values of the stresses in Cartesian coordinates could be obtained by integration from Ref. [1] and the desired principal stresses could be computed. However, it is just as easy to obtain the latter directly from the knowledge of the location of the shock fronts  $\varphi_P$  and  $\varphi_S$  in Fig. A-1, coupled with the necessity of uniform stresses for  $\varphi_S > \varphi > \varphi_P$  and  $\pi > \varphi > \varphi_S$ . The values  $\varphi_P$  and  $\varphi_S$  depend on the velocities of the fronts and are given by Eqs. (114) and (115).

Designating the principal stresses in the region  $\varphi_S > \varphi \geq \varphi_P$  by  $\bar{\sigma}_1$ ,  $\bar{\sigma}_2$  and  $\bar{\sigma}_3 = \sigma_z$  it follows from Eq. (91) that

$$\bar{\sigma}_1 = \Delta\sigma \qquad \bar{\sigma}_2 = \bar{\sigma}_3 = \frac{\nu}{1-\nu} \Delta\sigma \qquad (168)$$

where the jump  $\Delta\sigma$  remains to be determined. The direction of  $\bar{\sigma}_1$  makes an angle  $(\varphi_S - \varphi_P)$  with the normal  $N$  to the S-front. The normal stress  $\sigma_N$ , and the tangential stress  $\sigma_T$  with respect to the S-front in the x-y plane can be expressed by the principal stresses  $\bar{\sigma}_1$  and  $\bar{\sigma}_2$ ,

$$\sigma_N = \Delta\sigma \left[ \cos^2(\varphi_S - \varphi_P) + \frac{\nu}{1-\nu} \sin^2(\varphi_S - \varphi_P) \right] \qquad (169)$$

$$\sigma_T = \Delta\sigma \left[ \sin^2(\varphi_S - \varphi_P) + \frac{\nu}{1-\nu} \cos^2(\varphi_S - \varphi_P) \right] \qquad (170)$$

In the region  $\pi \geq \varphi > \varphi_S$  the principal stresses are  $\sigma_1$ ,  $\sigma_2$  and  $\sigma_3 = \sigma_z$ . The surface condition requires that  $\sigma_1 = -1$  be vertical, making an angle  $(\pi - \varphi_S)$  with the normal to the shear front. The normal and tangential stresses (with respect to the S-front) are therefore

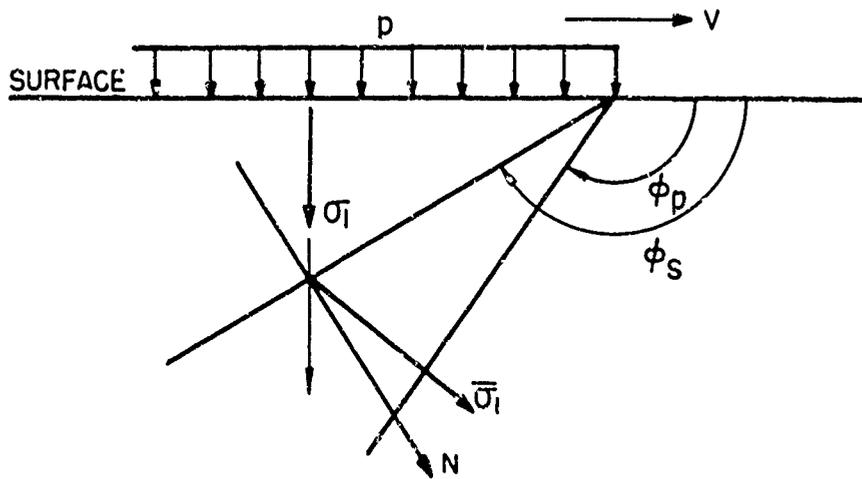


FIG A-1

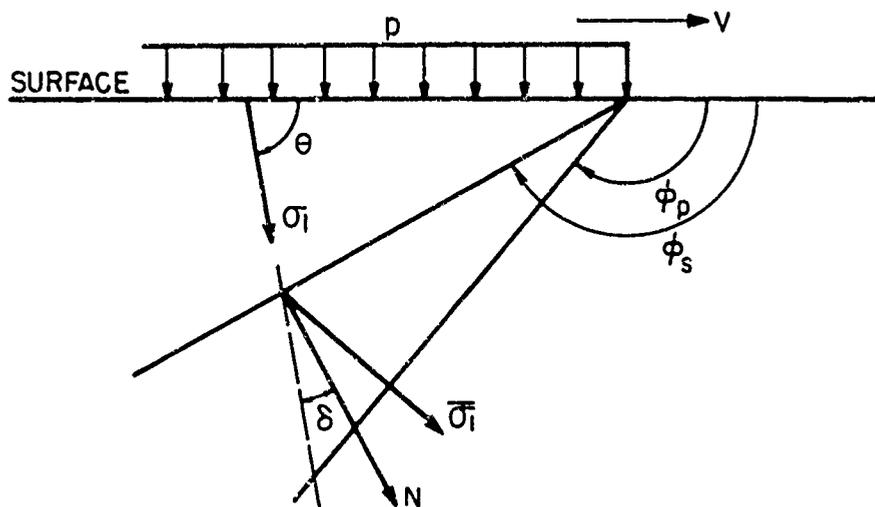


FIG. A-2

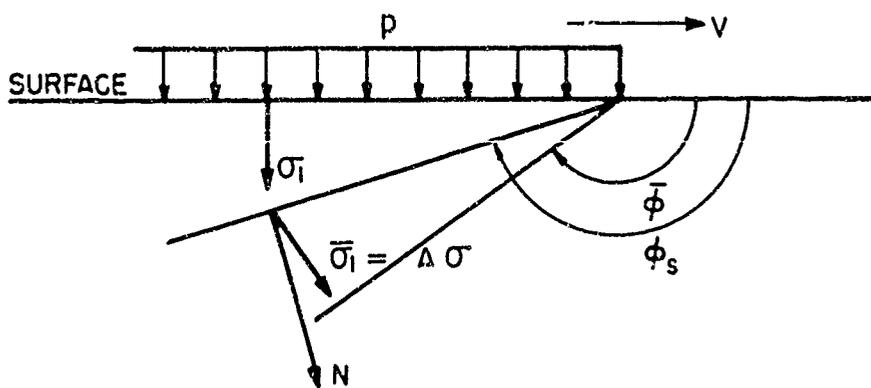


FIG A-3

$$\sigma_N = - \left[ \cos^2 \varphi_S + R \sin^2 \varphi_S \right] \quad (171)$$

$$\sigma_T = - \left[ \sin^2 \varphi_S + R \cos^2 \varphi_S \right] \quad (172)$$

where

$$R = \frac{\sigma_2}{\sigma_1} = - \sigma_2 \quad (173)$$

There being no discontinuity in the normal and tangential stresses at a shear front,  $\sigma_N$  and  $\sigma_T$  in Eqs. (169)-(172) can be equated and give two simultaneous equations for  $\Delta\sigma$  and  $R$ . The stresses  $\sigma_3$  and  $\bar{\sigma}_3$  in the  $z$  direction must also be equal,  $\sigma_3 = \bar{\sigma}_3$ . Using the abbreviation

$$N = \cos^2 \varphi_S + (1-2\nu) \cos^2(\varphi_S - \varphi_P) - 1 + \nu \quad (174)$$

the discontinuity at the P-front for a unit surface load becomes

$$\Delta\sigma = - \frac{(1-\nu)}{N} \cos 2\varphi_S \quad (175)$$

In the region  $\varphi > \varphi_S$  the principal stresses are

$$\sigma_1 = - 1 \quad (176)$$

$$\sigma_2 = 1 - \frac{\cos 2\varphi_S}{N} \quad (177)$$

$$\sigma_3 = - \frac{\nu}{N} \cos 2\varphi_S \quad (178)$$

while the invariants become

$$J_1 = - \frac{(1+\nu)}{N} \cos 2\varphi_S \quad (179)$$

$$J_2 = 1 - \frac{\cos 2\varphi_S}{N} + \frac{(1-\nu+\nu^2)}{3N^2} \cos^2 2\varphi_S \quad (180)$$

It is noted that  $\varphi_P$  and  $\varphi_S$  are functions of  $\nu$  in such fashion that  $N$  for  $V/c_P > 1$  is necessarily positive, so that the condition  $J_1 < 0$  is always satisfied. However, the yield inequality gives a condition on  $\alpha$ , Eq. (93) in the text.

APPENDIX C - Analysis for Range Ib

In this range discontinuous elastic stress changes occur at the P- and S fronts so that the combined effect satisfies the yield condition at  $\varphi = \varphi_S^{(+)}$ . The following derives the required details of the state of stress for  $\varphi \geq \varphi_S^{(+)}$ .

Using an approach similar to that in Appendix B, the stresses in the region  $\varphi_S^{(-)} \geq \varphi > \varphi_P^{(+)}$  are given by Eqs. (168), and the normal and tangential stresses with respect to the S-front by Eqs. (169), (170). The principal stress  $\sigma_1$  for  $\varphi = \varphi_S^{(+)}$  will, in this range, make an unknown angle  $\delta$  with the normal N to the S-front, Fig. A-2, and  $\sigma_N$  and  $\sigma_T$  become alternatively

$$\sigma_N = \sigma_1 \cos^2 \delta + \sigma_2 \sin^2 \delta \quad (181)$$

$$\sigma_T = \sigma_1 \sin^2 \delta + \sigma_2 \cos^2 \delta \quad (182)$$

Equating Eqs. (169) to (181), and (170) to (182), gives two equations for the four unknowns  $\Delta\sigma$ ,  $\sigma_1$ ,  $\sigma_2$  and  $\delta$ , while the yield relation,  $F = 0$ , furnishes a third equation. The three equations are homogeneous in  $\Delta\sigma$  and  $\sigma_1$ ,  $\sigma_2$  so that  $\delta$ ,  $\gamma$ ,  $\theta$  the stress ratios  $\frac{\sigma_1}{\Delta\sigma}$ , and their equivalent  $\beta$  can be computed. One finds

$$\beta = \sqrt{3 \left[ 12\nu^2 \left( \frac{1+\nu}{1-2\nu} \right)^2 - 1 \right]} \quad (183)$$

where the positive root is to be used. (The negative root corresponds only to a trivial interchange between  $\sigma_1$  and  $\sigma_2$ .)

The principal stress deviator  $s_1$  and the invariant  $J_1$  are

$$s_1(\varphi_S^{(+)}) = \frac{(1-2\nu)(\beta+1)}{6(1-\nu)} \Delta\sigma \quad (184)$$

$$J_1 = \frac{(1+\nu)}{(1-\nu)} \Delta\sigma \quad (185)$$

where  $\Delta\sigma$  is the as yet arbitrary stress discontinuity at  $\varphi_P$ , while  $\delta$  is obtained from the equation

$$\cos 2\delta = \frac{3}{\beta} \cos 2(\varphi_P - \varphi_S) \quad (186)$$

Excluding the trivial addition of multiples of  $\pi$ , there are two roots  $\pm |\delta|$  such that there are two possible values, each, for  $\gamma$  and  $\theta$ :

$$\gamma = \frac{\pi}{2} \mp |\delta| \quad (187)$$

$$\theta = \varphi_S - \frac{\pi}{2} \pm |\delta| \quad (188)$$

The value  $|\delta|$  in the Range Ib, considered here, has bounds which can be established by the following reasoning. While the stresses  $\sigma_N$  and  $\sigma_T$  at the S-front are equal for  $\varphi_S^{(+)}$  and  $\varphi_S^{(-)}$  the shear stresses  $\bar{\tau}$ ,  $\tau$  for  $\varphi_S^{(+)}$ ,  $\varphi_S^{(-)}$ , respectively, are different. The condition  $F \leq 0$ ,  $F = 0$ , applying for  $\varphi_S^{(+)}$ ,  $\varphi_S^{(-)}$ , respectively require that  $|\tau| > |\bar{\tau}|$ , so that the angle between  $\sigma_1$  and the normal  $N$  must be larger than the one between  $\bar{\sigma}_1$  and  $N$ , or

$$|\delta| \geq (\varphi_S - \varphi_P) \quad (189)$$

Further, Range Ib is by definition a range in which the entirely elastic solution does not apply. If  $|\delta|$  is larger than, or equal to  $\pi - \varphi_S$  one could select a shear  $|\tau_E| \leq |\tau|$  such that  $\theta = \frac{\pi}{2}$ . Because of the inequality on  $\tau_E$ , the condition  $F \leq 0$  would apply for this state of stress, giving an elastic solution, which contradicts the definition of the range. The angle  $|\delta|$  is therefore bounded.

$$\pi - \varphi_S \geq |\delta| \geq (\varphi_S - \varphi_P) \quad (190)$$

APPENDIX D - Analysis for Range IIa

Solutions in this range have an inelastic pressure front, but are otherwise entirely elastic.

The stresses in the region  $\bar{\varphi}^{(+)} \leq \varphi < \varphi_S$ , Fig. A-3, may be obtained from Section IIb. Let  $\Delta\sigma$  be the as yet unknown discontinuity at  $\bar{\varphi}$ , then one finds

$$\bar{\sigma}_1 = \Delta\sigma \qquad \bar{\sigma}_2 = \bar{\sigma}_3 = \bar{R}\Delta\sigma \qquad (191)$$

where

$$\bar{R} = \frac{1 - \alpha\sqrt{3}}{1 + 2\alpha\sqrt{3}} \qquad (192)$$

In the region  $\varphi \geq \varphi_S^{(+)}$  the principal stress  $\sigma_1 = -1$  is vertical; the stress  $\sigma_3 = \sigma_2$  must equal  $\bar{\sigma}_3$  while  $\sigma_2$  remains to be determined, or

$$\sigma_1 = -1 \qquad \sigma_2 = R\sigma_1 = -R \qquad \sigma_3 = \bar{R}\Delta\sigma \qquad (193)$$

where R is unknown. (The possibility of  $\sigma_2 = -1$  being vertical would be a trivial interchange of subscripts.)

At the shear front, the normal and tangential stresses  $\sigma_N$  and  $\sigma_T$  must be continuous, which gives two equations to determine the unknown quantities  $\Delta\sigma$  and R,

$$\Delta\sigma = - \frac{\cos 2\varphi_S}{(1-\bar{R}) \cos^2(\bar{\varphi} - \varphi_S) + (1+\bar{R}) \cos^2 \varphi_S - 1} \qquad (194)$$

$$R = -1 - (1+\bar{R}) \Delta\sigma \qquad (195)$$

To check the condition  $F \leq 0$  for  $\pi > \varphi \geq \varphi_S^{(+)}$  the invariants can now be determined using Eqs. (193), (195).

$$\left. \begin{aligned} J_1 &= (1 + 2\bar{R}) \Delta\sigma \\ J_2 &= 1 + (1 + \bar{R}) \Delta\sigma + \left( \frac{1 + \bar{R} + \bar{R}^2}{3} \right) (\Delta\sigma)^2 \end{aligned} \right\} \quad (196)$$

After manipulations the condition  $F \leq 0$  may be brought into the form

$$\sin(\psi_S - 2\bar{\varphi}) \sin 2\bar{\varphi} \leq 0 \quad (197)$$

or, due to  $\sin 2\bar{\varphi} < 0$ ,

$$\sin(\psi_S - 2\bar{\varphi}) \geq 0 \quad (198)$$

APPENDIX E - Analysis for Range III

It was concluded in Section III that solutions without initial discontinuities exist in Range III. Such solutions start at  $\varphi = \bar{\varphi}$  with initial values  $\beta = 3$ ,  $\gamma = \frac{\pi}{2}$ , for which the differential equations become singular so that their solution requires special treatment. To obtain asymptotic solutions near the singularity, the variables  $\varphi$ ,  $\beta$  and  $\gamma$  are replaced by  $\epsilon$ ,  $\Delta$  and  $\eta$ , respectively, defined in Eqs. (138). The new variables are deemed to be small quantities, so that approximate equations can be obtained by retaining in each expression only the leading terms in the above quantities. However, the relative magnitudes of the three quantities are not known beforehand, requiring the retention of the leading terms in each of the variables. The determinantal equation (40) becomes

$$b_8 \eta^2 - \Delta^2 = b_9 \epsilon \quad (199)$$

where

$$b_8 = \frac{12 \{ 3 - (1-2\bar{x}) [1 - 2v - 4\alpha \sqrt{3} (1+v)] \}}{(1-2\bar{x}) \left\{ 1-2\bar{x}(1-2v) + \alpha \frac{\sqrt{3}}{3} (1+v) [4 + \alpha \sqrt{3} (2-3\bar{x})] \right\}} \quad (200)$$

$$b_9 = \frac{24 [1 - 2v + 6\alpha^2 (1+v)] a}{\left\{ 1-2\bar{x}(1-2v) + \alpha \frac{\sqrt{3}}{3} (1+v) [4 + \alpha \sqrt{3} (2-3\bar{x})] \right\}} \quad (201)$$

$$\left. \begin{aligned} \bar{x} &= \frac{1+v}{3(1-2v)} \left[ \frac{(1 + 2\alpha \sqrt{3})^2}{1 + 6\alpha^2 \left( \frac{1+v}{1-2v} \right)} \right] \\ a &= \frac{2(1+v)}{3(1-2v)} \sqrt{\left( \frac{v}{c} \right)^2 - 1} \end{aligned} \right\} \quad (202)$$

While  $b_9$  is positive everywhere,  $b_8$  is positive in Range III, considered here. Using Eqs. (44)-(46) expressions for  $\beta'$  and  $\gamma'$  can be formed and, after changing to the new variables, lead to

$$\frac{d\eta}{d\epsilon} = A_1 \eta \frac{GL}{V} + 1 \quad (203)$$

$$\frac{d\Delta}{d\epsilon} = [B_1 \Delta + B_2 \eta^2] \frac{GL}{V} \quad (204)$$

where

$$A_1 = \frac{1}{3(1-2\bar{X})} \left\{ \frac{-3 + (1-2\bar{X}) [1 - 2\nu - 4\alpha \sqrt{3} (1+\nu)]}{1 + (1-2\nu)(1-2\bar{X})} \right\} \quad (205)$$

$$B_1 = \frac{4}{3} \left[ \frac{1 - 2\nu - \alpha \sqrt{3} (1+\nu)}{1 + (1-2\nu)(1-2\bar{X})} \right] \quad (206)$$

$$B_2 = \frac{-4}{(1-2\bar{X})} \left\{ \frac{3 + (1-2\bar{X}) [1 - 2\nu - 2\alpha \sqrt{3} (1+\nu)]}{1 + (1-2\nu)(1-2\bar{X})} \right\} \quad (207)$$

where  $\bar{X}$  is given by Eq. (202).

The knowledge of the nondimensional stress variable  $\Delta$ , equivalent to  $\beta$ , is not sufficient to find the stresses, and one additional relation is required. The most suitable one is obtained by adding Eqs. (44) and (45), leading to an equation for  $(s_1 + s_2)$ ,

$$\frac{d}{d\epsilon} [\ln(s_1 + s_2)] = C_1 \frac{GL}{V} \quad (208)$$

where

$$C_1 = -\frac{4\alpha \sqrt{3}}{3} (1+\nu) \left[ \frac{1 + \left(\frac{1-5\nu}{1-2\nu}\right) \alpha \sqrt{3} - 6\alpha^2 \left(\frac{1+\nu}{1-2\nu}\right)}{\left[1 + 6\alpha^2 \left(\frac{1+\nu}{1-2\nu}\right)\right] \left[1 + (1-2\nu)(1-2\bar{X})\right]} \right] \quad (209)$$

When solving the three equations (199), (203), (204) in the three unknowns  $\eta$ ,  $\Delta$  and  $GL/V$ , the first two are small quantities, while  $GL/V$  must go to infinity

in the limit  $\epsilon \rightarrow 0$ . (The possibility of finite values for this limit has been previously eliminated in Section III as permitting only trivial solutions

$$s_j = J_1 = 0.)$$

$b_8$  and  $b_9$  being positive, Eq. (199) is hyperbolic in character, and permits two types of asymptotic solutions. In solutions of Type A,  $\eta$  and  $\Delta$  are proportional to  $\sqrt{\epsilon}$ ,

$$\eta = \bar{D}_1 \sqrt{\epsilon} \qquad \Delta = \bar{D}_2 \sqrt{\epsilon} \qquad (210)$$

while for solutions of Type B,  $\eta$  is proportional to  $\sqrt{\epsilon}$ , while  $\Delta$  is small of higher order,

$$\eta = D_1 \sqrt{\epsilon} \qquad \Delta = D_2 \epsilon^N \qquad (211)$$

where  $N > \frac{1}{2}$ .

For solutions of Type A, Eqs. (210), the leading terms on the right side of Eqs. (203), (204) only are retained, giving

$$\frac{d\eta}{d\epsilon} = A_1 \eta \frac{GL}{V} \qquad (212)$$

$$\frac{d\Delta}{d\epsilon} = B_1 \Delta \frac{GL}{V} \qquad (213)$$

Elimination of  $GL/V$  and substitution of Eqs. (210) leads to a requirement on the coefficients,  $A_1 = B_1$ . This requirement is not satisfied, so that solutions of Type A are impossible.

To obtain solutions of Type B, only the term  $b_8 \eta^2$  on the left side of Eq. (199) is retained, so that

$$D_1 = \pm \sqrt{\frac{b_9}{b_8}} \qquad (214)$$

Equation (212) applies again, giving

$$\frac{GL}{V} = \frac{1}{2A_1 \epsilon} \quad (215)$$

This relation gives the proper sign for  $L$  and satisfies the requirement for singularity of  $GL/V$ . To determine the quantity  $\Delta$  it is noted that Eq. (213) would apply if  $N$  lies in the range  $\frac{1}{2} < N < 1$  for the exponent, so that in this case again no solutions can exist. Alternatively, assuming  $N > 1$ , substitution of  $\eta$  and  $GL/V$  gives a solution for  $\Delta$  proportional to  $\epsilon$ , equivalent to  $N = 1$ , which is a contradiction. This leaves solely the possibility  $N = 1$ , for which case Eq. (204) indeed gives without further simplification the solution

$$D_2 = \frac{B_2 D_1^2}{2A_1 - B_1} \quad (216)$$

Being proportional to  $\epsilon$ , the quantity  $\Delta$  is small compared to  $\eta$ , so that - as a first approximation - the relations

$$\eta \sim \pm \sqrt{\epsilon \frac{b_2}{b_8}} \quad (217)$$

$$\Delta \sim 0 \quad (218)$$

may be used. Substitution of Eq. (215) into Eq. (208) gives after integration

$$(s_1 + s_2) = C_0 \epsilon^n \quad (219)$$

where  $C_0$  is an open constant of integration, while the exponent is

$$n = \frac{C_1}{2A_1} \quad (220)$$

Equation (218), stating  $\Delta \sim 0$ , implies  $\beta \sim 3$ , such that the ratios of the stresses must be the same as at the inelastic shock front

$$s_2 = -\frac{1}{2} s_1 \quad (221)$$

$$J_1 = \frac{\sqrt{3}}{2\alpha} s_1 \quad (222)$$

$$\sigma_1 = \left(1 + \frac{1}{\alpha\sqrt{12}}\right) s_1 \quad (223)$$

indicating that all stresses are proportional to  $\epsilon^n$ . It is important that this exponent, while always positive, is less than unity and usually a very small number, of the order of 1/100 (for the specific case  $\nu = 0$ ,  $\alpha = 0.05$  one finds  $n = 0.00598$ ). The derivative of the stresses with respect to the angle  $\varphi$  is infinite for  $\epsilon \rightarrow 0$ , and the small value of  $n$  indicates a very rapid stress rise adjacent to the singularity.

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