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TO WHOM IT MAY CONCERN:

BE IT KNOWN THAT FLETCHER A. BLACKMON, employee of the United States Government, citizen of the United States of America, resident of Forestdale, County of Barnstable, Commonwealth of Massachusetts, has invented certain new and useful improvements entitled as set forth above of which the following is a specification:

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DECISION FEEDBACK EQUALIZATION PRE-PROCESSOR WITH TURBO EQUALIZER

STATEMENT OF GOVERNMENT INTEREST

The invention described herein may be manufactured and used by or for the Government of the United States of America for governmental purposes without the payment of any royalties thereon or therefore.

BACKGROUND OF THE INVENTION

(1) Field of the Invention

The present invention relates generally to communications systems and, more particularly, to a high performance iterative and adaptive equalizer/error correction decoder (turbo-equalization) which is especially suitable for use in underwater telemetry.

(2) Description of the Prior Art

The underwater environment provides numerous difficult obstacles for acoustic communications. The ocean acoustic channel produces large amplitude and phase fluctuations on acoustic signals transmitted therethrough causing temporal, spatial, and frequency dependent fluctuations. Multipath distortion is a significant problem. Underwater regions often experience high and/or variable sound attenuation. Ambient
ocean-noise influences the received signal-to-noise ratio and may require high transmission power levels to achieve suitable ratios depending on the conditions.

Presently utilized underwater coherent acoustic telemetry systems are often able to transmit M-ary Phase Shift Keying (MPSK) and M-ary Quadrature Amplitude Modulation (MQAM) signals. At the receiver end, these coherent signals may be processed by an adaptive multi-channel decision feedback equalizer (DFE). The DFE is then usually followed by a de-interleaver and an error correction decoder. The overall performance obtained by this type of prior art underwater telemetry system is often acceptable, but is not satisfactory in many situations. The desire for performance improvement has led to higher performance algorithms whose complexity is orders of magnitude greater than the standard decision feedback equalizer (DFE) system followed by de-interleaving and decoding.

Turbo equalization and turbo coding may be applied to many detection and decoding problems. Turbo coding involves concatenation of simple component codes with an interleaver so that decoding can be performed in steps using algorithms of manageable complexity. However, the complexity of prior art turbo equalization increases exponentially with the number of channels and/or other factors, thereby making a multichannel telemetry system, as is typically utilized in underwater telemetry systems, highly complex. More particularly, the
complexity of the prior art turbo-equalizer grows with channel
complexity, modulation level, and spatial and/or time diversity.
The complexity of a prior art turbo-equalizer is therefore
orders of magnitude greater than the typical DFE structure
discussed above.

The following U.S. Patents describe various prior art
systems that may be related to the above and/or other telemetry
systems:

U.S. Patent No. 5,301,167, issued April 5, 1994, to Proakis
et al., discloses an underwater acoustic communications system
that utilizes phase coherent modulation and demodulation in which
high data rates are achieved through the use of rapid Doppler
removal, a specialized sample timing control technique and
decision feedback equalization including feedforward and feedback
equalizers. The combined use of these techniques dramatically
increases data rates by one and sometimes two orders of magnitude
over traditional FSK systems by successfully combating fading and
multipath problems associated with a rapidly changing underwater
acoustic channel that produce intersymbol interference and makes
timing optimization for the sampling of incoming data impossible.

U.S. Patent No. 5,559,757, issued September 24, 1996, to
Catipovic et al., discloses an underwater acoustic telemetry
system that uses spatially distributed receivers with aperture
sizes from 0.35 to 20 m. Output from each receiver is assigned a
quality measure based on the estimated error rate, and the data,
weighted by the quality measure, is combined and decoded. The quality measure is derived from a Viterbi error-correction decoder operating on each receiver. The quality estimator exploits the signal and noise differential travel times to individual sensors. The spatial coherence structure of the shallow-water acoustic channel shows relatively low signal coherence at separations as short as 0.35 m. Increasing receiver spacing beyond 5 m offers additional benefits in the presence of impulsive noise and larger scale inhomogeneities in the acoustic field. Diversity combining, even with only two receivers, can lower uncoded error rates by up to several orders of magnitude while providing immunity to transducer jamming or failure.

U.S. Patent No. 6,295,312 B1, issued September 25, 2001, to Susan M. Jarvis, discloses a method and system for communicating in a time-varying medium. A transmitter sends transmissions of the same message data separated in time with respect to one another. A single sensor receives the transmissions. Each received transmission is buffered until all of the transmissions that were sent are received. The buffered transmissions are simultaneously processed via multichannel adaptive equalization only when all of the transmissions that were sent are received.

The above cited prior art does not disclose a system whose complexity is similar to that of the prior art decision feedback equalizer followed by a de-interleaver and an error correction decoder, but whose performance is comparable to telemetry systems
with much higher orders of complexity. The above cited prior art also does not disclose lower complexity, better performing telemetry system which lowers the complexity associated with turbo-equalization. The solutions to the above described and/or related problems have been long sought without success. Consequently, those skilled in the art will appreciate the present invention that addresses the above and other problems.

SUMMARY OF THE INVENTION

It is a general purpose of the present invention to provide an improved telemetry system.

An object of the present invention is an improved underwater communication system for coherent signal transmission.

Another object of the present invention is to combine the desirable features of an adaptive feedback equalization (DFE) system with features of a turbo-equalization structure.

Yet another object is to provide an augmented high performance iterative receiver algorithm for underwater acoustic telemetry.

A feature of one embodiment of the invention combines a decision feedback adaptive equalizer (DFE) with a turbo-equalizer whereby the decision feedback equalizer or variant thereof provides a pre-processing stage for a turbo-equalizer that significantly limits the complexity of the turbo-equalizer.
An advantage of the present invention is superior performance as compared to the standard DFE structure. Another advantage is that time or spatial signal diversity can be processed with low complexity within the DFE to provide a single stream of diversity combined symbols which can be processed with a simplified turbo-equalizer construction for use in multichannel transmissions.

Yet another advantage of the present invention is that a DFE structure may be utilized therein to take advantage of fractional spacing to help synchronize symbols.

Yet another advantage of the present invention is that a DFE structure may be utilized to reduce the extent of the channel response and therefore allow a turbo-equalizer to operate on a much shorter impulse response in order to reduce the complexity thereof.

These and other objects, features, and advantages of the present invention will become apparent from the drawings, the descriptions given herein, and the appended claims. However, it will be understood that above listed objects and advantages of the invention are intended only as an aid in understanding certain aspects of the invention, are not intended to limit the invention in any way, and do not form a comprehensive or exclusive list of objects, features, and advantages.

Accordingly, the present invention provides a coherent receiver which is operable for use in an underwater telemetry
system or for other applications. A coherent receiver in accord
with the present invention may comprise one or more elements such
as, for instance, one or more data input channels connected to
the coherent receiver, and/or a decision feedback equalizer for
receiving the one or more data input channels and producing a
single stream of pre-processed data at a decision feedback
equalizer output, and/or a turbo-equalizer connected to the
feedback equalizer output for receiving the single stream of pre-
processed data.

The coherent receiver may further comprise a plurality of
receiver transducers for producing spatially diverse data for the
one or more input channels. Alternatively, the coherent receiver
may also comprise a single receiver transducer for producing time
diverse data for the one or more input channels. In this
embodiment, the decision feedback equalizer may also be operable
for selectively controlling the total number of the one or more
input channels utilized based on error analysis of the diverse
data.

The coherent receiver may further comprise a training symbol
sequence generator and a correlator in communication with the
training symbol sequence generator and the decision feedback
equalizer wherein the output of the correlator is preferably
receivable by the turbo-equalizer.

In a preferred embodiment, the turbo equalizer may further
comprise an equalizer portion and a decoder portion
interconnected for iterative operation. The equalizer portion
and the decoder portion may each preferably utilize a maximum a
posteriori probability (MAP) algorithm.

In operation, the invention comprises a method which may
comprise one or more steps such as, for example only, detecting a
received signal which may comprise a plurality of data channels,
and/or pre-processing the plurality of data channels within a
decision feedback equalizer to produce a single output stream of
symbol data from the plurality of data channels, and/or post-
processing the single output data stream within a single channel
turbo-equalizer.

The post-processing of the single output data stream may
further comprise iteratively equalizing and decoding data from
the single output data stream to produce a corrected data output
stream and may utilize the MAP algorithm for the steps of
iteratively equalizing and decoding.

In one embodiment, the method may further comprise
mitigating phase jitter in the single output data stream
utilizing the decision feedback equalizer.

The method may further comprise providing that the received
signal comprises a transmitted training symbol sequence, pre-
processing the transmitted training symbol sequence to provide a
pre-processed training sequence, producing a local training
symbol sequence within the receiver, correlating the local
training symbol sequence with the pre-processed training sequence
to provide channel estimate, and utilizing the channel estimate within the turbo-equalizer.

BRIEF DESCRIPTION OF THE DRAWINGS

A more complete understanding of the invention and many of the attendant advantages thereto will be readily appreciated as the same becomes better understood by reference to the following detailed description when considered in conjunction with the accompanying drawing, wherein like reference numerals refer to like parts and wherein:

FIG. 1 is a block diagram schematic of a presently preferred turbo equalizer in accord with the present invention; and

FIG. 2 is a block diagram schematic of a presently preferred DFE pre-processor followed by a reduced complexity turbo-equalizer in accord with the present invention.

DESCRIPTION OF THE PREFERRED EMBODIMENT

The present invention provides an augmented high performance iterative receiver algorithm for underwater acoustic telemetry. While the prior art turbo-equalization techniques would have been very complex when used to handle underwater telemetry data, which typically comprises multiple channels, a receiver in accord with the present invention combines a decision feedback adaptive equalizer (DFE) with a turbo-equalizer to produce an improved
receiver which has a complexity very close to that of a typical prior art DFE system.

Referring now to the drawings and, more particularly to FIG. 2, there is shown a block diagram schematic for receiver 10 in accord with the present invention. As described hereinbelow in more detail, decision feedback equalizer or variant 12 effectively serves as a pre-processor stage for turbo-equalizer 14 in a manner that greatly reduces the complexity for use of turbo-equalization techniques as applied to an underwater acoustic telemetry system with multiple channels. Multi-channel input data 13 may include data received from multiple channels such as, for example, spatially diverse data produced from plurality of transducers received from a single transducer but transmitted by a plurality of transducers. Multi-channel input data 13 may also comprise time diversity transmissions such as, for example, use of a single transmitter and/or single receiver wherein the same data is transmitted multiple times. In the case of the single receiver, due to multipath error, each transmission is affected differently by transmission through the underwater environment and when received is treated as a different channel. The multiple channels of data so produced may be processed simultaneously in the same manner as spatially diverse data received by multiple receivers. Alternately, data may be sent to the single receiver and repeated as necessary. Moreover, as discussed below, the data may be sent a selectable number of
times so that the data is repeated only often enough for good
reception thereby maximizing data transmission rates.

There are a number of advantages to the invention. The
performance is superior to the prior art DFE structure, but the
complexity is comparable. Moreover, many of the benefits of use
of a DFE structure are available. Time or spatial diversity can
be used with low complexity within the DFE to provide a single
output stream of diversity combined symbols. Also, the DFE can
take advantage of fractional spacing to help synchronize symbols.
The DFE also reduces the extent of the channel response and
therefore allows the turbo-equalizer to operate on a much shorter
impulse response reducing the complexity.

In the past, the turbo principle of operation has been
applied to concatenated codes and to many detection and decoding
problems. The idea or principle of turbo coding is to build a
strong code by concatenation of simple component codes with an
interleaver so that decoding can be performed in steps using
algorithms of manageable complexity. In turbo equalization, the
channel with inter-symbol interference (ISI) including the
transmitter and receiver filters might be regarded as a linear
finite state machine, serially concatenated to the channel
convolutional encoder. In most cases, a serially concatenated
system with an interleaver consists of an outer code, an
interleaver permuting the outer code words bits, and an inner
finite state machine whose input words are the permutated outer
code words. There are different examples for serially
concatenated systems. One example of such a scheme is the
concatenation of a channel encoder and a non-linear modulator
with memory, say a continuous phase frequency-shift keying
(CPFSK) modulator. Also, concatenation of a convolutional code
and a channel with memory can be considered as a serially
concatenated system and we may apply the iterative detection
algorithms to this system. Iterative detection schemes are sub-
optimum detection algorithms with limited complexity for these
systems. The optimum decoding algorithms need a trellis with a
huge number of states for which we should consider all system
memory. For example, for a system with \( v \) memories in a
convolutional encoder and with an ISI channel with \( M \) memories and
an interleaver size \( N \), the optimal decoding algorithms need a
trellis with \( 2^{(vN+MN)} \) states. This potential complexity of a turbo
equalizer for an underwater telemetry system is greatly reduced
in accord with the teachings of the present invention.

A block diagram schematic for one embodiment of turbo
equalizer 14 which may be utilized in accord with the present
invention is shown in FIG. 1. Preferably, soft-input soft
output (SISO), maximum a posteriori probability (MAP), iterative
algorithms are utilized for both channel equalization and
decoding, i.e., MAP Equalizer 16 and MAP Decoder 18. Adaptive
decision feedback equalizer (DFE) 12 acts as a pre-processor to
pre-condition the symbol data which is passed on to turbo-
equalizer 14 as indicated at 20. The multi-channel received
input data (spatial and/or time diversity) is processed by
adaptive decision feedback equalizer (DFE) 12 which also
preferably uses a second order phase locked loop for phase jitter
mitigation. DFE 12 thereby provides a single symbol stream at 20
given any number of received input channels. In this manner, the
present invention thereby limits turbo-equalizer 14 to working on
a single channel, thereby greatly reducing complexity.

Accordingly, in the system of FIG. 1 and FIG. 2, a sequence
of synchronized phase compensated values are produced by DFE 12,
as indicated at 20, as an input in turbo equalizer 14. MAP
equalizer 16 delivers Log values $L^a(\omega;O)$ about coded bits. After
de-interleaving, the channel MAP decoder delivers Log values $L^a(\hat{\omega})$
about information bits and Log values $L^a(\hat{\omega};O)$ about coded bits.
Soft-values, preferably in the form of log-likelihood ratios
(LLR) values, at the output of MAP decoder 18 consist of an
extrinsic and an intrinsic part. The extrinsic part is the
incremental information about the current bit obtained through
the decoding process from all the other bits in the block. The
extrinsic part can be calculated by subtraction of the LLR values
as indicated at 19. The extrinsic part, as indicated at 22, is
interleaved and fed back to MAP equalizer 16 where the extrinsic
part is used as a priori information $L^a(\omega;l)$ in the new decoding
iteration. In a preferred embodiment, the outputs as determined
by the iterative processing, which may be hard outputs or soft
outputs (which are easily converted to hard outputs), are
produced at output 28.

DFE 12 also has sparsing capability. That is to say that
time delayed multipath or channel effects are mitigated by DFE
12. Multipath mitigation has the effect of shortening the
channel response and limiting the number of important
contributing channel coefficients that need to be used by turbo-
equalizer 14 thereby lowering complexity. Also, the data from
the DFE 12 is fractionally spaced, i.e. 2 samples per symbol,
which aids in symbol synchronization for turbo-equalizer 14. The
second order phase locked loop structure in the DFE allows for
phase jitter mitigation thereby removing the need for estimation
of this error from turbo-equalizer 14.

The reduced channel estimate for the turbo-equalizer can be
obtained by using correlator 26 to cross-correlate the training
symbol sequence produced by generator 24 with the corresponding
received output symbols from DFE 12 which are the received
version of the same information. The reduced channel response
at 27 is applied to turbo-equalizer 14.

Turbo-equalizer 14 is able to apply the iterative MAP
equalization and iterative MAP decoding operating principles as
discussed above to correct residual equalization symbol errors
that still remain after DFE 12 which acts as a pre-processor
stage in hard output 28.
One embodiment of the present invention may also be utilized to increase the data rate throughput of prior art time diversity receivers. The present invention is operable to utilize or increase/decrease the number of time diversity data channels, i.e., the number of times the data is resent, in response to analyzing the data packet errors. In this embodiment, if errors are present, only then a subsequent copy of the data packet is resent and/or the number of times the transmitter resends the data packet is controlled whereby the receiver combines the data using time diversity equalization as taught in the prior art discussed hereinbefore. If the data packets are error free, there is no need for additional time diversity or increased time diversity combining. Therefore, on average, the data rate can be increased beyond that possible with constant time diversity processing. In fact, the present invention may or may not be utilized with multichannel data depending on an ongoing analysis of data packet errors and then determining the number of channels required so that the transmitter produces repeated data packets only as needed to obtain accurate reception.

In summary, the performance improvement of receiver 10 is due to using the turbo-equalization technique to clean up residual error that is left behind by pre-processing performed by DFE 12. Time and/or spatial diversity can be used with low complexity within DFE 12 to provide a single stream of diversity combined symbols. Also, DFE 12 can take advantage of fractional
spacing to help synchronize symbols. The use of outputs from DFE
12 for the training symbol sequence reduces the extent of the
channel response and therefore allows turbo-equalizer 14 to
operate on a much shorter impulse response thereby reducing the
complexity. DFE 12 preferably utilizes a second order phase
locked loop to mitigate channel induced phase jitter. This
technique can be used to mitigate channel estimation error in
turbo-equalizer 14. The new channel estimate for turbo-equalizer
14 may be provided by the correlation of the known training
symbol sequence and DFE 12 unquantized symbol output.

Many additional changes in the details, components, steps,
algorithms, and organization of the system, herein described and
illustrated to explain the nature of the invention, may be made
by those skilled in the art within the principle and scope of the
invention. It is therefore understood that within the scope of
the appended claims, the invention may be practiced otherwise
than as specifically described.
DECISION FEEDBACK EQUALIZATION PRE-PROCESSOR WITH TURBO EQUALIZER

ABSTRACT OF THE DISCLOSURE

The present invention provides a receiver for underwater acoustic telemetry which combines a decision feedback adaptive equalizer structure with a turbo-equalizer structure. The turbo-equalizer structure is of significantly reduced complexity because the decision feedback adaptive equalizer structure is operable to pre-process a plurality of data channels to provide a single symbol data output stream for application to the input of the turbo-equalizer. The turbo-equalizer structure is also of reduced complexity due to use of a correlator to provide channel response data to the turbo-equalizer. The channel response data is produced by comparing received data comprising a training sequence with a locally produced training sequence.