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Reformulation of Nonlinear Anisotropic Crystal Elastoplasticity for Impact Physics

by JD Clayton

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by JD Clayton

Weapons and Materials Research Directorate, ARL

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14. ABSTRACT Several finite elastic strain measures are evaluated for use in constitutive models of crystalline elasticity and elastoplasticity. These include the Green material strain tensor, the Eulerian material strain tensor, and the logarithmic material strain tensor, all of which are referred to locally relaxed coordinates invariant under spatial rotations. Solutions to the planar shock problem from previous work are summarized, and new applications of logarithmic strain-based theory toward shock compression of aluminum, copper, and magnesium single crystals and polycrystals are presented. Consideration of these new results in conjunction with previous analysis for metals, ceramics, and minerals suggests that Eulerian strain-based theory is preferred for typical ductile metallic crystals, while logarithmic strain-based theory is recommended for modeling shocks in ceramics and minerals with larger ratios of shear modulus to bulk modulus.					
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1. Introduction

Nonlinear hyperelasticity addresses the thermodynamically reversible response of solids subjected to large deformation; classes of crystalline materials of present interest include metals, ceramics, minerals, and energetic materials. Accurate, efficient, stable, and thermodynamically consistent models for nonlinear anisotropic elasticity are required for proper mesoscale modeling of crystalline solids subjected to impact or ballistic loading. At a material element with reference coordinates $\mathbf{X}$ and spatial coordinates $\mathbf{x}$, the deformation gradient $\mathbf{F}$ and volume ratio J are

$$\mathbf{F}(\mathbf{X}) = \partial \mathbf{x} / \partial \mathbf{X}, \quad F_{ij}(X_K) = \partial x_i / \partial X_J = \delta_{ij} + \partial u_i / \partial X_J; \quad J(\mathbf{X}) = V/V_0 = \det \mathbf{F}, \quad (1)$$

where $\mathbf{u}$ is the particle displacement vector. For an elastic-plastic material, where “plastic” refers to any thermodynamically irreversible mechanism such as dislocation glide, deformation twinning, fracture, or pore collapse, the total deformation gradient is typically split into a product of a thermoelastic term (superscript E) and a plastic term (superscript P):¹

$$\mathbf{F} = \mathbf{F}^E \mathbf{F}^P, \quad F_{ij} = F_{ik}^E F_{kj}^P; \quad J = J^E J^P = \det \mathbf{F}^E \det \mathbf{F}^P. \quad (2)$$

Usual Lagrangian formulations of nonlinear elasticity for crystals^{1,2} incorporate the elastic Green material strain tensor

$$\mathbf{E} = \frac{1}{2}(\mathbf{F}^{ET} \mathbf{F}^E - \mathbf{1}), \quad E_{IJ} = \frac{1}{2}(F_{kI}^E F_{kJ}^E - \delta_{IJ}). \quad (3)$$

Also considered herein are theories incorporating the elastic Eulerian material strain tensor

$$\mathbf{D} = \frac{1}{2}(\mathbf{1} - \mathbf{F}^{E-1} \mathbf{F}^{E-T}), \quad D_{IJ} = \frac{1}{2}(\delta_{IJ} - F_{Ik}^{E-1} F_{Jk}^{E-1}), \quad (4)$$

and the elastic material logarithmic strain tensor

$$\mathbf{e} = \ln \mathbf{U}^E = \frac{1}{2} \ln(\mathbf{F}^{ET} \mathbf{F}^E), \quad e_{IJ} = \frac{1}{2} \ln(\mathbf{F}^{ET} \mathbf{F}^E)_{IJ}. \quad (5)$$

Elastic constant tensors of all orders have the same symmetries, though magnitudes of higher-order constants differ among the 3 representations. At fixed entropy, assuming a stress-free reference configuration, and written explicitly with elastic constants up to fourth order, internal energy functions per unit reference volume are expressed as the Taylor polynomials

$$\bar{U}(\mathbf{E}) = \frac{1}{2!} \mathbf{C}_{\alpha\beta} E_\alpha E_\beta + \frac{1}{3!} \bar{\mathbf{C}}_{\alpha\beta\gamma} E_\alpha E_\beta E_\gamma + \frac{1}{4!} \bar{\mathbf{C}}_{\alpha\beta\gamma\delta} E_\alpha E_\beta E_\gamma E_\delta + \dots, \quad (6)$$

$$\hat{U}(\mathbf{D}) = \frac{1}{2!} \mathbf{C}_{\alpha\beta} D_\alpha D_\beta + \frac{1}{3!} \hat{\mathbf{C}}_{\alpha\beta\gamma} D_\alpha D_\beta D_\gamma + \frac{1}{4!} \hat{\mathbf{C}}_{\alpha\beta\gamma\delta} D_\alpha D_\beta D_\gamma D_\delta + \dots, \quad (7)$$

$$\tilde{U}(\mathbf{e}) = \frac{1}{2!} \mathbf{C}_{\alpha\beta} e_\alpha e_\beta + \frac{1}{3!} \tilde{\mathbf{C}}_{\alpha\beta\gamma} e_\alpha e_\beta e_\gamma + \frac{1}{4!} \tilde{\mathbf{C}}_{\alpha\beta\gamma\delta} e_\alpha e_\beta e_\gamma e_\delta + \dots. \quad (8)$$

Greek indices denote Voigt notation. Consistent relationships among second-(equal), third-, and higher-order elastic constants have been derived elsewhere,^{3–6} allowing values for Lagrangian constants entering Eq. 6 to be converted to those in Eqs. 7 and 8 without further experiments. Axial components of strain tensors are compared for spherical and uniaxial deformations in Fig. 1. The magnitude of the axial component of $\mathbf{D}$ increases much more rapidly than that of $\mathbf{E}$ under compression, with $\mathbf{e}$ demonstrating trends intermediate to the other strains. Internal energy, stress/pressure, and stiffness of strong solids all tend to increase rapidly with compression.⁷ Therefore, Eulerian and logarithmic theories would be expected to converge faster, with fewer higher-order elastic constants needed, than Lagrangian theory.

Fig. 1 Lagrangian (E_{11}), logarithmic (e_{11}), and Eulerian (D_{11}) strains under spherical and uniaxial deformation

Benefits of Eulerian strain tensors for isotropic materials were predicted⁸ and demonstrated for cubic crystals under hydrostatic stress.⁹ Thermal effects were considered in Davies¹⁰ for cubic crystals, and a theory for noncubic crystals was initiated in Weaver.¹¹ A complete $\mathbf{D}$ -based continuum thermoelastic theory for large deformation of crystals of arbitrary symmetry was developed in Clayton.³ Analytical solutions for homogeneous deformations of ideal cubic crystals were studied over a prescribed range of elastic coefficients; stress states and intrinsic stability measures were compared. For realistic coefficients, Eulerian theory predicted more realistic and stable behavior than Lagrangian theory under large compression and shear. Analytical solutions for shock compression of anisotropic single crystals were derived for internal energy functions quartic in Lagrangian or Eulerian strain and linear in entropy; results were analyzed for nonmetals quartz, sapphire, and diamond in Clayton³ and metals aluminum, copper, and magnesium

in Clayton.⁴ A complete e -based continuum thermoelastic theory was analogously developed in Clayton⁵ and applied to study the shock response of the same 3 nonmetals. The theory was extended to describe elastic-plastic response using the decomposition of Eq. 2, and solutions for plastic shocks (involving slip, twinning, or shear fractures) following an elastic precursor in rate independent solids were derived.⁵ Logarithmic theory delivered superior accuracy to Lagrangian and Eulerian theories for modeling shocks in sapphire (X- and Z-cut), quartz (Z-cut), and diamond (X-cut).⁵ Logarithmic theory incorporating third-order elastic constants was also applied to analytically model the elastic-inelastic response of polycrystalline titanium diboride ceramic,¹² including double yield and effects of static lateral prestress. Eulerian theory was recently used to numerically model the viscoplastic response of aluminum single crystals and textured polycrystals in wave propagation simulated using the finite difference method,¹³ wherein Lagrangian theory was found insufficient for modeling strong/overdriven elastic-plastic shocks.

2. Approach

New application of logarithmic strain-based theory (e -based theory) to shock compression of metals is presented here. Predictions are thermoelastic and strictly applicable only for very small volumes, such as in atomic simulations¹⁴ or in the immediate vicinity of pinned defect cores,¹⁵ wherein plastic deformation does not occur. Solutions to the planar thermoelastic shock problem in anisotropic crystals were derived fully for Lagrangian and Eulerian theory in Clayton³ and for logarithmic theory in Clayton.⁵ The procedure involves simultaneous solution of Rankine-Hugoniot equations for compatibility and conservation of momentum and energy, along with consideration of internal energy functions (Eqs. 6, 7, or 8) extended to include entropy (Grunëisen tensors). Axial shock stress P (positive in compression) is

$$P = -J \partial \bar{U} / \partial E = -J [\mathbf{C}_{11} E + \frac{1}{2} \bar{\mathbf{C}}_{111} E^2 + \frac{1}{6} \bar{\mathbf{C}}_{1111} E^3 + O(E^3)] \quad (\text{Lagrangian}), \quad (9)$$

$$P = -J^{-3} \partial \hat{U} / \partial D = -J^{-3} [\mathbf{C}_{11} D + \frac{1}{2} \hat{\mathbf{C}}_{111} D^2 + \frac{1}{6} \hat{\mathbf{C}}_{1111} D^3 + O(D^3)] \quad (\text{Eulerian}), \quad (10)$$

$$P = -J^{-1} \partial \tilde{U} / \partial e = -J^{-1} [\mathbf{C}_{11} e + \frac{1}{2} \tilde{\mathbf{C}}_{111} e^2 + \frac{1}{6} \tilde{\mathbf{C}}_{1111} e^3 + O(e^3)] \quad (\text{Logarithmic}), \quad (11)$$

where entropic contributions are of order 3 in scalar strain measures for uniaxial strain:

$$J = (1 + 2E)^{1/2} = (1 - 2D)^{-1/2} = \exp(e). \quad (12)$$

In laboratory-scale specimens, yielding would commence in pure ductile metals at small compressions at which effects of higher-order constants and differences among Eqs. 9–11 would be negligible. However, nonlinear elastic effects on deviatoric stress may be important at larger compressions after yielding, particularly for lower symmetry materials with restricted slip planes/directions,⁴ and the nonlinearity in pressure-volume response is important regardless of shear strength. When strength is low and can safely be omitted, the response of metals to moderate shocks can often be adequately approximated ($p \approx P$) by an adiabatic pressure-volume (p - J) equation of state (EOS) for spherical compression. The EOS corresponding to each of Eqs. 6–8 truncated at order 3 in strain is^{3–5}

$$p = -\partial \bar{U} / \partial J = \frac{3}{2} B_0 (J^{-1/3} - J^{1/3}) [1 - \frac{3}{4} B'_0 (J^{2/3} - 1)] \quad (\text{Lagrangian}), (13)$$

$$p = -\partial \hat{U} / \partial J = \frac{3}{2} B_0 (J^{-7/3} - J^{-5/3}) [1 + \frac{3}{4} (B'_0 - 4) (J^{-2/3} - 1)] \quad (\text{Eulerian}), (14)$$

$$p = -\partial \tilde{U} / \partial J = -B_0 [(\ln J) / J] [1 - \frac{1}{2} (B'_0 - 2) \ln J] \quad (\text{Logarithmic}), (15)$$

where B_0 is the isentropic bulk modulus and B'_0 is its pressure derivative in the reference state.

3. Results

Properties for aluminum (Al), copper (Cu), and magnesium (Mg) are reported in Table 1.⁴ Predictions for shock stress versus volume ratio are made using the analytical solutions of Eqs. 9–11, considering only pure mode directions (strictly longitudinal response). Shock stress P normalized by second-order isentropic elastic constant C_{11} is shown in Fig. 2a for Al, Fig. 2b for Cu, Fig. 2c for Mg [a-axis] and Fig. 2d for Mg [c-axis]. Higher-order elastic constants are converted from measured/predicted Lagrangian constants using formulae derived or presented in Clayton^{3–5} and Perrin and Delannoy-Coutis.⁶ Elastic constants of up to order 4 are considered in results labeled “4th order”. Results labeled “3rd order” and “2nd order” are obtained, respectively, by setting fourth-order and both third- and fourth-order elastic constants to zero. Results in Fig. 2 compare logarithmic and Eulerian theories; plots comparing Lagrangian and Eulerian theories can be found in Clayton.⁴ All longitudinal higher-order elastic constants (i.e., all third- and fourth-order constants) are smaller in magnitude for Eulerian and logarithmic theory than Lagrangian theory for these metals (Table 1). Stress predictions of second- and third-order models are usually closer to those of fourth-order theory for Eulerian and logarithmic theory than Lagrangian theory. Tables 2 and 3 list relative error (%) of second- and third-order predictions

relative to fourth-order predictions, computed as $2 \times (\text{second- or third-order result} - \text{fourth-order result}) / (\text{second- or third-order result} + \text{fourth-order result})$. For each crystal type, such errors are almost always smaller in magnitude for Eulerian theory (Eul2, Eul3) and logarithmic theory (Log2, Log3) than for Lagrangian theory (Lag2, Lag3) at a given volume ratio and order of approximation. Together, these observations imply a faster converging series in Eqs. 7 or 8 than in Eq. 6, as the number of higher-order constants is increased and greater accuracy of Eulerian or logarithmic theory than Lagrangian when the same number of constants (i.e., the same order of Taylor polynomial) is used. Eulerian and logarithmic theories trend toward comparable accuracy with one theory or the other possibly more accurate for a given metal or order of approximation. For Al, as shown in Fig. 2a, the analytical solution incorporating fourth-order Eulerian theory best matches atomic predictions:¹⁴ at $J = 0.923$, error in fourth-order Eulerian theory versus atomic simulation is -1.4% , compared to -7.4% for fourth-order Lagrangian theory and -2.2% for fourth-order logarithmic theory.

Table 1 Single crystal and polycrystal properties⁴ ($\theta_0 = 295$ K; $\mathbf{C}_{\alpha\beta}$ in GPa; ρ_0 in g/cm³)

Property	Al [100]	Cu [100]	Mg [a-axis]	Mg [c-axis]
C_{11}	107	166	59.4	61.6
$\bar{C}_{111}$	-1,080	-1,279	-664	-728
$\hat{C}_{111}$	203	715	49	12
$\check{C}_{111}$	-438	-283	-308	-358
$\bar{C}_{1111}$	25,000	11,900	8,170	7,380
$\hat{C}_{1111}$	10,500	2,000	1,220	893
$\bar{C}_{1111}$	15,036	1,200	1,865	369
Γ_1	2.17	1.97	1.52	1.52
B_0	76	137	35.4	35.4
B'_0	4.42	5.48	3.90	3.90
ρ_0	2.70	8.96	1.74	1.74

Fig. 2 Analytical anisotropic thermoelastic solutions for axial stress in (a) shocked Al single crystal compared with atomic simulation data,¹⁶ (b) shocked Cu single crystal, (c) shocked Mg single crystal along a-axis, and (d) shocked Mg single crystal along c-axis

Table 2 Relative error (%) in shock stress P predicted by third-order (Lag3, Log3, Eul3) and second-order (Lag2, Log2, Eul2) theories: Al and Cu

V/V_0	Aluminum [100]						Copper [100]					
	Lag3	Log3	Eul3	Lag2	Log2	Eul2	Lag3	Log3	Eul3	Lag2	Log2	Eul2
0.96	-5.0	-3.8	-3.1	-23.1	-11.9	+1.1	-1.6	-0.2	-0.4	-15.8	-3.7	+9.3
0.92	-15.7	-13.9	-14.1	-48.2	-29.8	-5.0	-5.4	-0.8	-2.1	-31.6	-7.8	+19.9
0.88	-28.1	-28.2	-34.5	-71.2	-51.0	-19.8	-10.4	-1.8	-6.2	-46.5	-12.4	+31.5

Table 3 Relative error (%) in shock stress P predicted by third-order (Lag3, Log3, Eul3) and second-order (Lag2, Log2, Eul2) theories: Mg

V/V_0	Magnesium [a-axis]						Magnesium [c-axis]					
	Lag3	Log3	Eul3	Lag2	Log2	Eul2	Lag3	Log3	Eul3	Lag2	Log2	Eul2
0.96	-2.9	-0.8	-0.6	-22.8	-10.9	1.2	-2.5	-0.2	-0.4	-23.4	-11.0	-0.0
0.92	-9.2	-3.0	-2.9	-44.7	-22.7	1.0	-7.9	-0.6	-2.0	-45.1	-22.5	-1.2
0.88	-16.8	-6.3	-7.6	-64.3	-35.0	-1.4	-14.5	-1.2	-5.2	-64.2	-33.0	-3.8

Predictions of each third-order EOS in Eqs. 13–15 are compared with shock compression data¹⁶ for Al in Fig. 3a, Cu in Fig. 3b, and Mg in Fig. 3c. Compressibility properties of Table 1 used in these predictions are obtained from ultrasonic experiments¹⁷ and are not fit to the shock data. In each case, Eulerian theory is most accurate, followed by logarithmic theory and then Lagrangian theory, the latter significantly too compliant. Because Eulerian theory appears superior for modeling spherical compression and at least equally valid as logarithmic theory for modeling uniaxial compression, Eulerian theory is preferred overall for representing the shock response of metals. Future work should consider incorporating Eulerian theory in finite element simulations of the high-rate response of metals, offering potential improvement over prior implementations involving Lagrangian theory.^{18–20} Eulerian EOS (Eq. 14) is equivalent to the Birch-Murnaghan EOS.⁹ However, recent work⁵ found that logarithmic theory, while more computationally cumbersome than Eulerian theory, more accurately captures the shock response of ceramic crystals sapphire (α -Al₂O₃), diamond (C), and quartz (α -SiO₂). As shown in Table 4, these nonmetals have a large ratio of effective shear (G_0) to bulk modulus compared to metals.

Fig. 3 Analytical pressure solutions for polycrystalline (a) aluminum, (b) copper, and (c) magnesium in the hydrodynamic limit compared with experimental data¹⁶

Table 4 Ratio of ambient Voigt shear modulus to bulk modulus

Ratio	Cu	Al	Mg	α -Al ₂ O ₃	C	α -SiO ₂
G_0/B_0	0.34	0.35	0.53	0.65	1.22	1.27

4. Conclusions

Table 5 summarizes loading conditions, materials, and model performance studied in the present work as well as in Clayton.³⁻⁵ Eulerian nonlinear theory developed in Clayton^{3,4} is recommended for modeling the response of ductile metals, while logarithmic theory developed in Clayton⁵ can be recommended for modeling ceramics and minerals with larger ratios of shear to bulk stiffness.

Table 5 Summary of present and prior research results: finite strain model evaluations

Loading Protocol	Material	Recommended Theory	Remarks	Ref.
Hydrostatic compression	Ideal cubic, $B'_0 = 4$	Eulerian	More accurate p - V response	3
Uniaxial compression	Ideal cubic, $B'_0 = 4$	Eulerian	More accurate and stable	3
Simple shear	Ideal cubic, $B'_0 = 4$	Eulerian	More accurate and stable	3
Shock compression	Sapphire	Logarithmic	More accurate overall	5
	Diamond		More accurate overall	5
	α -Quartz		More accurate for Z-cut	3, 5
	Aluminum	Eulerian	Best fit to atomic data	4 ^a
	Copper		Faster convergence	4 ^a
	Magnesium		Faster convergence	4 ^a

^aPresent work

5. Transitions

Results of the current work are of high interest to modeling communities within the Department of Defense, Department of Energy, and the Materials in Extreme Dynamic Environments Collaborative Research Alliance. Results have been transitioned via publications.^{3–5,12,13,15} A plan is underway to implement the model into multiscale simulations of armor and munitions at the US Army Research Laboratory. Specifically, developments from this Director's Research Initiative (DRI) project are expected to offer substantial improvements over prior analytical and computational studies of the finite strain response of metals,^{18–26} ceramics,^{27–33} concrete and geologic materials,^{34,35} and energetic molecular crystals.³⁶ The nonlinear elastic theory can be directly implemented into phase-field simulations of microstructure.^{37–41} New developments in studies of lattice defects in electronic materials^{42–44} and generic crystalline solids^{45–55} are also foreseen. Results of Year 1 of this DRI project were reported in Clayton.⁵⁶

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