ABSTRACT

This paper presents a software system for online image-based terrain classification that mimics a human supervisor’s segmentation and classification of training images into “Go” and “NoGo” regions. The system identifies a set of image chips (or exemplars) in the training images that span the range of terrain appearance. It then uses the exemplars to segment novel images and assign a Go/NoGo classification. System performance is compared to that obtained via offline fuzzy c-means clustering.

Key words: terrain classification, computer vision, machine learning, exemplar memory

I. INTRODUCTION

Monocular and stereo video cameras continue to be the most practical vision sensor for small inexpensive robots. Unfortunately, unstructured vision-based navigation remains an especially difficult problem. In this paper, we present an approach to automated image segmentation and terrain classification using exemplars, or small image samples, to represent the variety of terrain appearance.

Exemplars are used as cluster seeds to segment the terrain. Local pieces of terrain are assigned to the exemplar to which they are most similar in appearance and inherit the terrain class membership of the exemplar. Exemplar models assume that intact stimuli are stored in memory, and that classification or recognition is determined by the degree of similarity between a stimulus and the stored exemplars. Simple generalization effects explain correct classification of novel (previously unseen) instances of categories. Only the item information is used for classification decisions. Categorization relies on the comparison of a new stimulus with known exemplars of the category.

Exemplar models are the most parsimonious models of categorization in terms of the underlying associative mechanism [1]. Exemplar based learning was originally proposed as a model of human learning in Ref. [2], and has since been shown to explain both human and animal visual classification performance significantly better than alternative hypotheses of feature-based and prototype-based processing [3,4].

Various researchers have begun to develop methods to forecast traversability using estimates of geometrical properties inferred from non-contract sensors. References [5] and [6] developed a fuzzy-rule-based system to mimic human “high/medium/low” trafficability assessment based on measures of roughness, slope and distance between obstacles computed from stereo imagery. The system was targeted for planetary rover environments. Reference [7] used a stereo color vision system together with a single axis LADAR to classify terrestrial terrain cover and detect obstacles. They noted that the color-based classification system could be made more robust by considering texture of regions and shape features of objects. Reference [8] defined a trafficability index equal to the weighted sum of the slope and roughness estimated from line-scanning laser rangefinder data. Reference [9] classified terrain as impassible (NoGo) if any of several properties were above a threshold: height variation, the surface normal orientation, and the presence of an elevation discontinuity (all estimated from LADAR imagery). Reference [10] developed a rule-based system for terrain classification from LADAR and color camera imagery.

Appearance based approaches do not attempt to directly estimate geometrical properties and then infer traversability. Instead, they associate the operator’s assessment of trafficability directly from the terrain appearance. The operator’s trafficability assessment is not restricted to geometrical properties, but can also reflect surface properties (e.g., friction, resistance,
This paper presents a software system for online image-based terrain classification that mimics a human supervisor’s segmentation and classification of training images into 'Go' and 'NoGo' regions. The system identifies a set of image chips (or exemplars) in the training images that span the range of terrain appearance. It then uses the exemplars to segment novel images and assign a Go/NoGo classification. System performance is compared to that obtained via offline fuzzy c-means clustering.
Various applications could benefit from automatic methods to segment and classify terrain from images, such as virtual reality simulated terrain, mobile robot navigation, combat engineering planning, and land cover analysis for ecological studies. These applications address different scales, terrain features and classes of interest. It is unlikely that any specific segmentation and classification criteria would be suitable for all of these applications. Nonetheless, the applications have important similarities. In all cases, we implicitly assume that local areas with similar appearance should be grouped together in any segmentation, and that they are likely to be representatives of the same terrain class. We also implicitly assume that we know in advance what terrain classes we are interested in and what they commonly look like. For the purposes of this research, we assume that the segmented terrain regions or regions of the same terrain class do not have any a priori constraints on their geometric shape or global organization. We also assume that there are no a priori constraints regarding which terrain classes can be adjacent to each other.

The approach is currently implemented as a software system designed to provide considerable flexibility in the choices of perspective transformation, resolution, scale, sampling and difference metric. In general, different choices will be appropriate for different applications. The software automatically builds a characteristic “basis set” of exemplars from training images. It provides an option for building a set of exemplars for each terrain class, with the union over the terrain classes being the basis set exemplars for an application. A second option is to build a set of terrain segmentation exemplars independent of the terrain classes, and then associate the exemplars with terrain classes. In its present form, the software does not attempt to resolve ambiguities when an area does not resemble any of the a priori terrain classes, or areas that have partial membership in two or more terrain classes. Instead, it produces a fuzzy classification, i.e., a segment of terrain can have partial membership in different terrain classes, and may be partially unclassified.

II. TECHNICAL APPROACH

The algorithm is organized into two routines: one for training and one to apply segmentation and classification. At the end of training, the exemplar bank and associated data are stored in a file to be loaded before applying the segmentation and classification.

A. Training Images and Overlays

The user must provide a set of representative training images. Ideally, the training images would be drawn from the same distribution as the downstream application images. In practice, it may not be possible to ensure this. The effect on segmentation and classification performance of different terrain, foliage, season, lighting, and weather between the training image set and test/application image set is a question for empirical investigation. In principle, the images can be multi-spectral with an arbitrary number of planes. Currently, the software assumes that the images are RGB or monochrome images stored in a standard image format.

For each training image, a corresponding terrain classification overlay is required that denotes which locations correspond to which terrain class. One approach is to use an N plane image, where N is the number of terrain classes and each plane is a binary image. An alternative approach is to use a single plane with integer values from 1 to N (for the N terrain classes), and zero for unclassified locations. This representation is more appropriate when there are a large number of terrain classes, or when the terrain classes constitute an ordered set, e.g., ordered by traverse ability cost or by speed-made-good. For purposes of demonstration, we use two terrain classes (e.g., “Go” and “NoGo” regions) and the overlays are stored as three-plane RGB images (the third plane is not used). The terrain classification is displayed as an RGB image in which one terrain class is coded red and the other is coded green, with blue used to code unclassified regions. An example of this is shown in Fig. 1, where the gravel driveway is designated as a “Go” region and everything else is designated a “NoGo” region.

B. Perspective Transformation, Resolution, Scale and Sampling

In some cases, a transformation from original camera perspective may be appropriate. In the camera image view, pixels represent the same angle (assuming lens distortion effects are minimal), but do not project onto equal areas of ground. This is problematic since terrain appearance changes with range and thus, would require multiple instances of the same terrain for training (at different ranges).
Assuming the elevation of the camera is large relative to the variation in ground elevation in the scene, the pseudo plan view projection can be used to create a new image in which each pixel corresponds to the same ground area (see Fig. 2). The pseudo plan view projection is good for areas where the variation in elevation is small relative to the elevation of the camera, but produces distortion when this is not the case. An alternative projection is to restrict analysis to horizontal sub-bands within the image. The band view does not distort vertical objects, but retains the perspective distortion of the original camera image for flat earth regions. A third alternative is to use a stereovision camera to measure range and warp the image accordingly, such that each image chip roughly corresponds to equal areas of ground.

Both the pseudo plan view and camera view options are supported in the current software. Both transformations require the size of the camera image, and the angle subtended by an individual pixel (we assume square pixels). The pseudo plan view projection requires three additional inputs: (1) the height of the camera above ground plane, (2) the distance on the ground from the spot below the camera to the ground projection of the bottom row of the image, and (3) the desired resolution of the projected image, i.e. the pixel width of the output projection in centimeters.

The purpose of the image space transformation is to amplify the importance of selected image properties. For example, the imagery can be transformed into a variety of color spaces. The importance of color could be strengthened or weakened by weighting different image planes. In addition to the RGB color coordinate system, we have experimented with the HSV (hue, saturation, value) and L*a*b* (luminance, red/green, yellow/blue) systems.

Another transformation option is to adjust the high spatial frequency content relative to low spatial frequency content by constructing a multi-resolution pyramid representation and then applying weights to the image planes. A common example is the laplacian-of-gaussian spatial bandpass pre-filtering often used in stereo-vision processing.

The space transformation could increase the dimensionality of the image space. Consider a monocular image input. The image could be processed through a bank of N spatial filters, such as edge and corner filters at different spatial scales and orientations. Each filter produces a single-plane output image.

The current software processes the training images one at a time. There is an option to find exemplars of each image independent of exemplars from other images, or to find only new exemplars sufficiently different from exemplars built from preceding images. The current image is chopped into chips at the specified scale and sampling distance. If the option was selected to process the image independently from previous images, all chips are nominated as potential exemplars. If the exemplar processing is in the context of previous exemplars, only chips whose minimum distance (in terms of the image metric) to existing exemplars is greater than the current clustering threshold are nominated as potential exemplars: chips that resemble current exemplars are not considered as possible new exemplars.

Each chip is compared to its neighbors within a specified radius to calculate the difference metric between it and each of its neighbors (the radius is a user input). The aggregate local difference between the chip and its neighbors is calculated as the weighted average of the mean and minimum differences (The weight is a user input. Weighting towards the minimum leads to a larger pool of exemplars, and weighting towards the mean leads to a smaller pool of exemplars). Chips similar to
their neighbors are preferred over those that are different.

The algorithm calculates a clustering threshold equal to the weighted sum of the minimum and maximum local differences over all chips (The weight is a user input. Weighting towards the minimum leads to a larger pool of exemplars and tighter clusters. Weighting towards the maximum leads to a smaller pool of exemplars and broader clusters). This threshold provides the system’s adaptation ability. Training images with significant variability provide coarser segmentation over training images with lower variability, for the same size of exemplar bank.

Exemplars for the current image are selected iteratively. Initially, no chips are rejected. Of the non-rejected chips, the one with the minimum local difference is added to the bank of exemplars. All chips with difference less than the clustering threshold from the exemplar are rejected. This process is iterated until all chips have either been added to the exemplar bank or rejected. The exemplars for the current image are then merged with the bank of exemplars from the previous images.

E. Image Chip Difference Metric

Image difference metrics remain an open issue in the evaluation of image compression schemes. While it is easy to measure the amount of compression and the encoding/decoding time, it is not clear how to measure the quality of the reconstructed image, i.e., its difference in appearance from the original. Different image characteristics are important depending on the image content, the questions at hand, and who is looking at the image.

- the sum over all pixel locations and all image planes of the absolute value of the difference between the two images;
- the root sum square over all pixel locations and all image planes of the difference between the two images;
- the maximum over all image planes of the sum over all pixel locations of the absolute value of the difference between the two images;
- the sum over all pixel locations of the maximum over all image planes of the absolute value of the difference between the two images;
- the root sum square over all image planes of the difference in the mean values and difference in standard deviations (over pixel locations) of the two images; and
- the sum over all image planes of the absolute difference in the mean values and difference in standard deviations (over pixel locations) of the two images.

The first four metrics are computed from pixel-by-pixel differences of the image chips, while the last two metrics are computed from statistics of the image chips. Although, the software is set up to incorporate different metrics, the results in this paper are based on the first and last metrics.

F. Exemplar Membership in Terrain Classes

Each image chip maps to a region in the terrain classification overlay. The terrain classification of the image chip is simply the expected membership in each of the terrain classes. It is possible that a chip could straddle more than one terrain class, or could straddle an unclassified portion of the overlay. After the new exemplars are added to the exemplar bank, the current image is segmented using all of the exemplars in the bank. Each chip location in the image is assigned to the exemplar to which it is closest, provided the distance is less than the current clustering threshold. In some cases, some image chips may not be associated with any exemplar. For each exemplar in the bank, we accumulate the number of times the exemplar is “hit” by an image. The terrain class membership of the exemplar is the mean over all chips associated with the exemplar, of terrain class memberships of the chips. The terrain segmentation is converted to terrain classification by assigning each location the terrain class membership values of the exemplar associated with that image location.

G. Output Illustration Controls

The algorithm contains options to output different images to illustrate and provide insight into the processing:
• the pseudo plan view or camera band view perspective transformation of the image;
• the pseudo plan view or camera band view perspective transformation of the terrain class overlay;
• the exemplar chips (at their location in the image) selected from the current image;
• the segmentation of the current image based on the current bank of exemplars; and
• the classification of the image based on the current bank of exemplars.

There is no obvious and correct way to represent the different segments for purposes of visualization. Color-coding shows the different segments, but does not give much insight into the basis for the segmentation. The software illustrates the segmentation in a way that provides direct visual insight into the basis for the segmentation. To visualize the segmentation, the software replaces each image chip with the exemplar chip that it is associated with (image chips not associated with any exemplar appear black) (See Fig. 3). When the sampling distance is less than the exemplar scale, the exemplars are blended in the reconstruction. The visualization image is the same size as the pseudo plan view or camera band view perspective image, so it is easy to directly compare the two. By using the exemplar chips themselves, the visualization image shows what the exemplars look like, and which image chips they are associated with. Finally, comparing the visualization to the perspective image gives prima fascia evidence of the credibility of the segmentation.

III. DEMONSTRATION RESULTS

This section illustrates the segmentation and classification system. The demonstration uses color-coding to show the terrain classification into Go (green), NoGo (red), and Unclassified (blue) regions. Fig. 4 shows classification results derived from the single training image in Fig. 1, where gravel is designated “Go” and everything else is “NoGo.” This training resulted in 25 exemplars. Note the errors due to the building in the upper image and in the lower image due to the shadowed gravel. Adding a second training image similar to the lower image in Fig. 4, results in the classification results of Fig. 5, with 78 exemplars. Note the overall improvement in the shadowed region and in the grassy areas. However, the upper image classification has become noisier.

To compensate for different lighting conditions, we turned to the HSV (hue, saturation, value) color space. Although this resulted in some improvements, at the expense of more exemplars, the HSV system is unsatisfactory due to the cyclical nature of hue and the fact that HSV is far from perceptually uniform. This led to the implementation of an L*a*b* color space transform, where L* refers to luminance and the a* and b* components encode the color information. The transformation to L*a*b* is nonlinear, resulting in components that are nearer to perceptually uniform.

Figure 6 shows the results of training the algorithm with images transformed to the L*a*b* color space. The
upper image is similar to the RGB classification, while
the lower image is much improved. However, the
number of exemplars has increased by a factor of two to
172. Note that the images in Fig. 6 are from the original
RGB color space, not the L*a*b* color space, as the
latter is more difficult to interpret.

Fig. 6: Test images, reconstruction from
exemplars, and resulting classification. (Two
L*a*b* training images)

Color alone is not always a good indication of image
matching, and therefore we have also included texture
as an additional dimension on which to differentiate and
compare image exemplars. Figure 7 shows the results of
adding a texture plane, computed by calculating the
standard deviation over a sliding window throughout the
image. The classification is smoother, but not
significantly better than without texture on these two
images and the number of exemplars has increased to
278.

Fig. 7: Test images, reconstruction from
exemplars, and resulting classification. (Two
L*a*b* training images with texture)

All the preceding analysis was performed using a
difference metric based on computing the pixel-by-pixel
difference between the image chips. There is also the
option of computing statistics on each image chip and
then computing the difference between the statistics.
Figure 8 shows the results of that analysis, which
required only 75 exemplars, similar to the previous RGB
classification with no texture, but with much better
classification accuracy. In this example, the computed
distance metric was the sum of the absolute differences
of the mean and standard deviation over each image
plane.

Fig. 8: Test images, reconstruction from
exemplars, and resulting classification. (Two
L*a*b* training images with texture and using
statistical differences)

The low number of features allows the possibility of
using other learning algorithms such as neural networks,
support vector machines, or the various clustering
methods. Memory requirements are also reduced since
only the statistics of the exemplar are stored, not the
entire chip.

IV. COMPARISON TO OTHER TECHNIQUES

A. Fuzzy Clustering

To compare our online classification methodology to
other techniques, we turned to a more realistic and
difficult problem using the same set of images. Instead
of segmenting out gravel versus everything else, we
segmented out gravel and grass versus everything else.
The data consisted of two image sequences. Figure 9
shows the two training images, which are the same as
for the preceding analysis, and their associated
segmentation masks. We chose 23 other images from
the two image sequences to test the algorithms, which
required hand drawing classification maps for each of
the test images.

Fig. 9: Input training images and classification for
extended comparison.
Since the online algorithm produces exemplars that are essentially cluster centers, it is natural to compare the performance against a standard clustering algorithm, such as fuzzy c-means clustering (FCM) [11]. Since the difference metric uses the absolute difference between feature vectors, while the FCM algorithm computes a root-mean-square difference, we took the square root of the feature vectors before passing them to the FCM algorithm. We also replaced the cluster centers, computed by the FCM algorithm, with the closest feature vector in order to replicate the use of exemplars and to compute the reconstruction images. The online algorithm analyzes each class separately, and then tests each test feature vector against exemplars from each class. We replicated this behavior in the FCM algorithm by segmenting the two classes and computing clusters for each separately. One difference that we have not replicated is that the online algorithm currently uses an unclassified category when an image chip is too far from any exemplar based on an adaptive threshold. This is seen in the blue chips in the classification image and the corresponding black chips in the reconstruction images. The FCM algorithm simply chooses the closest exemplar.

![Fig. 10: Examples of test images and hand-drawn classification maps.](image)

We also implemented a metric to compare the output classification mask to a user-drawn mask. Fully “Go” regions are mapped to +1, fully “NoGo” regions are mapped to -1, and unknown regions are mapped to 0. The chosen difference metric is the absolute difference divided by the sum of absolute values. While this metric handles the fuzzy classification in the previous section, in order to compare with other methods, we defuzzified the classification map and also mapped the unknown regions to “NoGo,” which would normally be done for cautious driving. We modified the code from Ref. [12] for our implementation of the FCM algorithm.

### B Validation

One issue with typical clustering algorithms is the requirement to choose the number of clusters beforehand. There are a number of published validation measures that can be used to find an optimum number. Two common measures are the Partition Coefficient, which measures the amount of overlapping between clusters, and the Partition Entropy, which measures the fuzziness of the partitioning [11,12]. However, these tend to scale monotonically with the number of clusters and one must find the ‘knee in the curve’ to estimate the optimal number of clusters. This can be problematic when the data is noisy. Two other measures are the Partition Index, which measures separation and compactness of the clusters, and the Separation Index, which uses a minimum distance rather than an average distance [12]. While the latter measures provide a clearer optimality point, we found that they did not always correlate well with the measured training and test error in our data (See Fig. 11). However, they may provide more accurate predictions with a larger training set.

![Fig 11: Test error (black), Partition Index (red), Separation Index (blue), and Unused Exemplars (green), as a function of number of training clusters.](image)

The aforementioned measures determine desirable attributes of a clustering structure and measure how well the current clustering scheme adheres to them. Instead, we have discovered a method that is specific to the exemplar replacement version of FCM clustering that we have implemented and is based on a more utilitarian measure of cluster effectiveness. We noticed that when we replaced cluster centers with exemplars, there was duplication in the identification of the exemplars. This resulted in the situation where we would specify a given number of clusters for training, but when the cluster centers were replaced with exemplars, all the exemplars would not be used in classifying the training set. That is, there were unused exemplars. As we specified more clusters, the number of unused exemplars would increase. We conjecture that it is at the point where one starts seeing unused exemplars that we are near the optimal number of clusters. This is exemplified in Fig. 11 where the number of unused exemplars starts to increase when the total number of exemplars reaches...
about 40. Note that this is also near the region where the test area is smallest. This measure gives a reasonably well defined location to stop adding clusters to the training and should allow a simple method for searching for optimal number of clusters.

C  Comparison Results

For our comparison, we chose to set the number of training clusters at 40. Figures 12 and 13 show the clustering results for the two test images of Figure 10. Note that while the clustering algorithm tends to produce more accurate classification maps, the differences are not overly large. This is borne out by the group classification accuracy for the two methods, where the combined classification error over the 23 test images is 0.163 with 92 exemplars for the online method, while the FCM algorithm had an error of 0.115 with 39 exemplars.

V. Findings and Observations

This paper has demonstrated an approach to image-based terrain segmentation and classification using exemplars. Exemplars provide a simple way to represent the characteristic color/luminance and spatial patterns of terrain. Since the exemplars are drawn from training images in such a way as to span the appearance of the training images, they are well suited to represent the variations of appearance without an a priori model of terrain appearance. The software system, as presented, allows for considerable flexibility to specify the perspective transformation, image space transformation, scale, resolution, sampling density, and image difference metric. Empirical research is needed to tune these options for specific applications.

Fig. 12: Test image reconstruction from exemplars and resulting classification for the online (upper) and clustering (lower) methods. (Two L*a*b* training images with texture)

Fig. 13: Test image reconstruction from exemplars and resulting classification for the on-line (upper) and clustering (lower) methods. (Two L*a*b* training images with texture)

It appears that the best choice for a complete system would be a combination of the two algorithms employed. The clustering algorithm could be used for the initial offline training. The online learning algorithm would then be employed while the system is running.
The online algorithm results compared favorably to the results obtained from offline fuzzy c-means clustering. Although the latter performed measurably better, it had the advantage of seeing all the data at once, in contrast to the online algorithm, which is also image order dependent, and is therefore suboptimal. However, the online algorithm does use information about neighboring image chips in making decisions. This information could also potentially be used in the clustering algorithms. In addition, we have proposed a method for determining an optimal number of clusters when using exemplar-based clustering.

Additional future work involves training and testing on a larger set of images, as well as applying the algorithm to video streams and implementing on a mobile robot. Since terrain appearance varies as a function of distance, fusing range data from a stereo camera system with the color and texture information, currently being used, is anticipated to provide enhanced performance. Range information should also allow us to disregard portions of the image that do not pertain to the terrain and that can generate a large number of exemplars due to their wide variety of appearance, such as objects in the sky. We will also continue to explore alternative information such as multi-resolution processing and structure filtering.

REFERENCES