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### 13. ABSTRACT (Maximum 200 Words)
Science, Triage, and Treatment: STAT is the component of DREAMS (Disaster Relief and Emergency Medical Services) that is developing new ways to diagnose and treat tissue injuries and infection. Progress has been the pathophysiology and molecular biology of anthrax, human cytochrome P450 defenses, inflammation, apoptosis, reperfusion injury, organ failure, and nitric oxide. New techniques have been developed to automatically diagnose ischemia and heart, kidney, and respiratory failure. STAT scientists have also developed new techniques to diagnose and image tissue inflammation and necrosis using CT, magnetic resonance, thermal imaging, and near-infrared spectroscopy. These have led to numerous publications, patents, products, clinical trials, and awards. In addition to trauma and infection, likely applications include atherosclerosis and cancer. In summary, DREAMS-STAT is making better than expected progress toward its goal of improving the care of battlefield injuries.

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For DREAMS:STAT, we have utilized Administrative funds for providing assistance, coordination of the various research programs, statistical analysis assistance, and miscellaneous items required by various investigators in this project.

All salaries are in accordance with the policies and procedures of the University of Texas-Houston Health Science Center. Fringe benefits are calculated at a rate of 21.5%.

Dr. Ward Casscells is the Principal Investigator for DREAMS:STAT and some financial support for his level of effort is budgeted in this section. Dr. James Willerson is an Associate Principal Investigator and financial support for his level of effort is also included in the budget. Scott Harrison is the Administrator for the DREAMS-STAT program. He coordinates research schedules, administrative reports, purchasing activities, and serves to help each investigator solve administrative problems that arise. Funds are also budgeted for statistical assistance for data evaluation in each of the research sections and for office supplies, including paper, pencils, pens, as well as storage items, computer costs, and such for each of the investigators.

Again, DREAMS:Science, Triage, and Treatment (STAT) well exceeded expectations, as there were more fundamental discoveries and practical inventions, mostly in the areas of tissue injury, inflammation, infection, and imaging. Publications, patents, presentations, and awards were numerous and exceeded those of DREAMS:STAT 2001. Several projects originally included in the DREAMS:STAT program have since been terminated and thus, are no longer allocated funds. Drs. Willerson and Casscells concluded that the key goals of the aforementioned project were no longer parallel with the overall objectives set forth by DREAMS-STAT.
Of particular relevance to the critical role of the USAMRMC in bioterrorism, DREAMS PI Dr. Casscells founded DefenseOfHouston, the citizen group that on September 6, 2002 was awarded the Best Practice Award of the US Department of Health and Human Services. Dr Casscells was chosen to serve on several task forces including the Mayor's Advisory Committee to the Medical Strike Team, the Houston Task Force on Terrorism, The University of Texas Homeland Security Committee, the Governor's Council on Health and Bioterrorism, the Pharmacology and Vaccine task force of the Center for Strategic and International Studies in Washington, and the Bush-Cheney Healthcare Advisory Committee.

In 2005, we aim to initiate our newest research endeavor, T5: Texas Training and Technology Against Trauma and Terrorism. This comprehensive project will continue to develop the basic biology and technology needed to improve the care of battlefield injuries. All T5 investigators look forward to a prosperous and exciting year of research in 2005.

The DREAMS-STAT investigators are thoroughly grateful for the financial support provided by the United States Army for carrying out this research. We are sincerely honored to be working with United States Army and look to further advance the knowledge of battlefield medical care with the Army's needs in mind.
INTRODUCTION
Exposure of mammalian cells to bacterial endotoxin (lipopolysaccharide, LPS), a component of bacterial cell walls, leads to profound and diverse effects. This response contributes to the cardiovascular collapse and death of patients with sepsis (Gates 1994). Since cardiomyocytes are terminally differentiated cells and cannot be replaced by cell division, any loss of these cells can lead to profound cardiovascular sequelae. Previous reports have indicated that exposure of isolated rat hearts or adult cardiac myocytes in culture leads to the initiation of programmed cell death (Comstock, Krown et al. 1998). Our initial goals were to delineate the mechanisms and pathways by which LPS initiates apoptosis in cardiac myocytes. This in turn may identify potential pharmacological interventions capable of protecting sepsis patients from the long term, cardiac damaging consequences of infection.

RESULTS AND DISCUSSION
Our studies were designed to be completed in isolated neonatal rat ventricular myocytes (NRVM’s)(Comstock, Krown et al. 1998). This model system had been well characterized and used extensively within the lab for earlier studies. These cells represent significant advantages over alternatives; the cells are easy to isolate and yield essentially pure populations of cells in sufficient quantities to permit biochemical analysis.

In initial experiments NRVM’s were treated with LPS at doses previously shown to induce apoptosis in adult rat ventricular myocytes (ARVM’s). Markers of apoptosis, including cytochrome c release from the mitochondria, caspase-3 activation and loss of mitochondrial membrane potential, were then assessed. Unlike ARVM’s, the NRVM’s displayed a resistance to LPS induced apoptosis as assessed by these multiple criteria. Exposure to high
levels of LPS for extended periods of time did not induce apoptosis. Thus the neonatal model demonstrates a “resistance” to LPS-induced apoptosis, which is lost as the heart matures. We have previously demonstrated that NRVM’s can be induced to apoptose by other treatments, including exposure to the saturated fatty acid palmitate and hypoxia (Hickson-Bick, Buja et al. 2000; Jung, Weiland et al. 2001). Our continuing studies therefore attempted to understand the nature of this protection with a view to possibly exploiting it’s pathways in a clinical setting.

Although LPS was unable induce apoptosis in NRVM’s it did elicit production of TNFα by these cells (Figure 1). LPS also induces a rapid (<10min) translocation of the redox sensitive signaling molecule, NFκB from the cytoplasm to the nucleus (Figure 2A). In adult cardiomyocytes this translocation is critical for TNFα production (Wright, Singh et al. 2002). In our cells this translocation is the result of an activation of IKK kinase, which phosphorylates IKKα causing it to dissociate from NFκB in the cytoplasm (Figure 2B). The NFκB is then able to translocate to the nucleus while the IKKα is targeted for degradation by ubiquination. This is not associated with an increase in apoptosis as assessed by caspase-3 activation (Figure 2C). NFκB translocation is often redox sensitive, and we have previously demonstrated that the addition of the Zn/Cu ion chelator diethylthiocarbamate (DDC), which inhibits the transformation of cytosolic superoxide to hydrogen peroxide, induces a significant stimulation in the nuclear binding of NFκB (Hickson-Bick, Sparagna et al. 2002). The presence of DDC, while sufficient to increase superoxide production, only promoted a slight increase in caspase-3 activation (Figure 3). N-acetyl cysteine (NAC), a precursor for glutathione formation and previously shown to increase glutathione content of NRVM’s (Inserte AJP 2000), was able to block the DDC response. These data suggest that the NRVM’s are either not generating significant ROS in response to LPS, or maintain enhanced defenses against ROS-mediated damage.

Activation by phosphorylation via PI-3 kinase of the enzyme Akt (protein kinase B; PKB) is proposed to initiate protective pathways within the heart (Matsui and Rosenzweig 2005). LPS treatment of NRVM’s leads to a phosphorylation of cellular Akt (Figure 4A & 4B).
However, the protection against apoptosis induction is not abrogated by the presence of the PI-3 kinase inhibitor wortmannin (Figure 4C). Our results thus indicate that Akt is not playing a role in the protection against toxic shock in these cells. Similarly, phosphorylation of p38 and ERK, mitogen activated protein kinases, are stimulated by LPS, but specific inhibition of these phosphorylation events do not induce apoptosis after LPS treatment (data not shown).

In other cell types an early effect of LPS stimulation is a change in arachidonic acid metabolism, probably due to induced changes in intracellular Ca\(^{2+}\) regulation (Aderem and Cohn 1986; Dennis, Ackermann et al. 1995). We examined the inflammatory/anti-inflammatory pathways activated by LPS in NRVM’s. Protein levels of cyclooxygenase-2 (COX-2), 5’lipoxygenase and the cytochrome P450 isoform, cyp4F are all increased in the neonatal cardiac myocyte by LPS treatment (Figure 5A, 5B and 5C respectively). Levels of COX-1 remain unchanged. COX-2 activity can lead to the production of downstream inflammatory and anti-inflammatory eicosanoids. We observed that LPS stimulated the production of prostaglandin E2 (PGE2) and the cyclopentenone prostaglandin, 15dPGJ2 (Figure 6). 15dPGJ2 is a natural metabolite derived from PGD\(_2\) (Figure 7) and an endogenous ligand for peroxisome proliferator-activated receptor \(\gamma\) (PPAR\(\gamma\)). PPAR’s, when heterodimerized with the retinoid X receptor (RXR), act as a transcription factor in many cell types, including the heart. Many proteins involved in lipid metabolism and metabolic energy supply contain response elements for PPAR’s. Exogenous 15dPGJ2, when added to NRVM’s in culture, attenuated nuclear translocation of NFKB in a dose dependent manner (Figure 8A & 8B), and induced caspase-3 activation (Figure 8C). However the concentrations required are significantly higher than are produced by the cells in culture. These results would indicate that although 15dPGJ2 can induce apoptosis in these cells this requires pharmacological levels, which are not achieved by cardiac myocyte production alone.

LPS also induces mitochondrial changes in the neonatal rat cardiomyocyte. LPS exposure leads to a transient loss of energized mitochondria. We also observed a change in the protein expression of uncoupling proteins, specifically
UCP3 after treatment with LPS. The expression of UCP3 is temporally associated with the loss in the mitochondrial membrane potential (Figure 9). The mode of action of uncoupling proteins is an area of intense study (Garlid, Jaburek et al. 2001). There proposed mechanisms of action include the reversible production of a proton leak causing an uncoupling of ATP production from oxygen consumption, decreasing the mitochondrial membrane potential and thereby reducing the production of reactive oxygen species. In our experiments we were unable to induce apoptosis by increasing cellular ROS, suggesting this is not a protective mechanism in this experimental system. Alternatively, UCP3 may play a role in fatty acid metabolism by acting as an exporter of fatty acid anions from the mitochondrion (Schrauwen and Hesselink 2004). Many investigators have observed a reduction in the oxidation of fatty acids by cardiac myocytes after LPS exposure (Liu and Spitzer 1977; Memon, Feingold et al. 1998; Memon, Fuller et al. 1998; Memon, Bass et al. 1999). This is associated with an accumulation of myocyte triglycerides, i.e. supply of fatty acids exceeds oxidative capacity. Potentially dangerous long chain fatty acids anions may accumulate in the mitochondria under these conditions. Uncoupling proteins can transport fatty acid, and a proposed physiological protective role of these proteins is to protect mitochondria from "lipotoxicity" (Unger and Zhou 2001; Russell, Finck et al. 2005).

Statistical Analysis. Differences between experimental and control groups were analyzed using an ANOVA. Where appropriate, results are shown as the mean of the measurement ± the standard error.

Key Research Accomplishments

- We have identified a phenotype in NRVM’s, not found in adult hearts that protects these cells from damage by LPS leading to apoptosis.

- LPS induces the production of TNFα by NRVM’s.

- LPS treatment of NRVM’s initiates a rapid translocation of NFKB into the nucleus. This translocation is the result of an increased degradation of IKBα.
• Reactive oxygen species do not play a role in the protection of these cells.

• Activation of Akt, Erk and P38 are not sufficient to induce protection from apoptosis.

• LPS changes the metabolism of arachadonic acid in these cells by stimulating the activity of pro and anti-inflammatory pathways. Some of the products of these pathways can induce apoptosis in these cells. However, the doses required to induce apoptosis would indicate that this may a pharmacological rather than a physiological effect.

• LPS rapidly effects mitochondrial metabolism within NRVM's. Induction of uncoupling proteins may play a protective role. This avenue of research is being actively pursued within the lab.

Reportable Outcomes

1) CYCLOPENTENONE MEDIATE APOPTOSIS BY LPS IN NEONATAL CARDIOMYOCYTES.

2) TNF-ALPHA DOES NOT MEDIATE ENDOTOXIN-INDUCED APOPTOSIS IN NEONATAL RAT CARDIOMYOCYTES.

3) LPS TREATMENT OF RAT CARDIOMYOCYTES ALTERS MITOCHONDRIAL MEMBRANE POTENTIAL AND INCREASES UNCOUPLING PROTEIN EXPRESSION.

4) THE RESPONSE OF THE NEONATAL CARDIAC MYOCYTE TO ENDOTOXIN EXPOSURE.
5) MITOCHONDRIAL ALTERATIONS IN NEONATAL CARDIAC MYOCYTES AS A RESPONSE TO ENDOTOXIN EXPOSURE.

Diane Hickson-Bick PhD, Chad Jones, L. Maximilian Buja MD. Submitted FASEB 2005.

Conclusions

The mechanism of injury and apoptosis is the major theme of this work and is directly related to all projects contained in this investigation.

The initial purpose of this project was to determine the basic science behind endotoxin-mediated induced cell death in cardiac myocytes. We extended these investigations to examining the inflammatory response to LPS and its resolution via anti-inflammatory mediators in neonatal cardiac myocytes. Our research has uncovered an innate protective phenotype of the newborn heart against endotoxin-induced cell death. Our observations in neonatal heart suggest that the heart is able to mount an effective defense against endotoxin-induced cell death. The pathway by which this occurs is a characteristic of the developing heart and, we postulate, could be primed in the adult cardiac myocyte by de novo expression of protective genes. Reactivation of these pathways in the adult heart may thus prevent the cardiac deleterious effects of LPS. We are pursuing these goals by extending our studies into adult rat cardiomyocytes and whole hearts.

The longterm goal of this research is to exploit the knowledge obtained in model systems into translational situations aimed at prolonging cardiac viability under clinical conditions. The dissection of initial events upon exposure to bacterial toxins will enable a thoughtful plan of action for a rapid medical response to provide emergency ministrations to affected populations at the time of exposure, in the absence of prior sufficient warning.
Figure 1: TNFα production by NRVM's after LPS exposure. Cells were exposed to 1μg/ml LPS for 1 hour. TNFα levels were measured in the media by ELISA. All values represent the mean of at least 3 independent measurements. Error bars represent standard error.
Figure 2. NFkB translocation, IKB degradation and caspase-3 activation of NRVM's exposed to LPS (1μg/ml). Nuclear translocation of NFkB (A) was determined by electromobility shift assay of nuclear extracts isolated from treated cells. IKBα degradation (B) was followed by Western analysis using specific antibodies; inset shows a representative gel. Caspase-3 activity (C) was determined using procedures already described by this lab; the palmitate measurement is positive control for apoptosis in these cells are previously described by this lab (Sparagna and Hickson-Bick 1999; Hickson-Bick, Buja et al. 2000; Sparagna, Hickson-Bick et al. 2000).
**Figure 3:** Increased ROS production can lead to apoptosis in NRVM's. Cells were incubated in the presence or absence of LPS (1μg/ml). DDC (75μM), a superoxide dismutase inhibitor and NAC (75μM) were added alone or in combination.
Figure 4. LPS stimulate phosphorylation of Akt. Akt and phosphorylated Akt (pAkt) in NRVM's after LPS treatment (1μg/ml) were determined by Western blot (A). Multiple blots were integrated and the combined results graphically represented (B). Addition of wortmannin, a specific inhibitor of the upstream kinase, did not induce apoptosis (C) although phosphorylation of Akt was blocked (inset)
Figure 5. Changes in enzymes of arachidonic acid metabolism after LPS exposure. Intracellular COX-2 expression (A), nuclear associated (active) 5\textsuperscript{'}LOX (B) and the cytochrome P450 isoform, Cyp4F (C) Protein levels were measured by Western blot analysis and the integrated signal from multiple (≥3) gels combined. Results represent the means ± SE.
Figure 6. NRVM synthesis of PGE2 and PGJ2 after LPS exposure.
**Figure 7.** Synthetic routes for the production of 15dPGJ2.
Figure 8. Effect of exogenous 15dPGJ2 on NFκB translocation in NRVM’s. 15dPGJ2 blocks nuclear translocation of NFκB in a dose dependent manner, this was determined by EMSA (A). Multiple gels were integrated and the data combined (B). Addition of 15dPGJ2 (7μM) sufficient to block NFκB translocation induces caspase 3 (C).
Figure 9. Inverse correlation between energized mitochondria and UCP-3 expression in NRVM's using deconvolution microscopy. Energised mitochondria were visualized using the membrane potential dye TMRE, as previously described by this lab (Sparagna, Hickson-Bick et al. 2000). UCP-3 was detected using an antibody and an appropriate fluorescent secondary antibody. Control cells (A & F) were not exposed to LPS. Subsequent images represent cells exposed to LPS for increasing periods of time; 1 hour (B & G), 2 hours (C & H), 4 hours (D & I) and 20 hours (E & J). Panels A through E include signals for...
both TMRE and UCP-3 protein. In panels F through J only the signal for UCP-3 is reported.

References


1. Scientifc background

Tissue response to injury caused by biochemical and biophysical factors in war and civilian life is a very complicated biological process. One of the most prominent pathological alterations occurring in tissue-injury and wound healing is the death of tissue cells. Cells may die through a death pathway, called necrosis, which caused primarily by extracellular insults, or through an intrinsic activation or inactivation of genes involved in a death process, referred to as apoptosis, a Greek word meaning "falling off of leaves and flowers from trees and plants". As a form of genetically programmed cell death, apoptosis plays an important role in elimination of damaged, aging and unwanted cells. The apoptotic death process occurs when expression of death-regulating genes is altered by intracellular and extracellular death signals. Several groups of proteins have been recently identified including the caspase family, the tumor necrosis factor receptor/Fas system, the anti-oncogene p53, and the Bcl2/Bax protein family. In contrast to necrosis, a form of non-programmed, accident cell death, apoptosis does not cause inflammatory response and exerts little damage to the tissue.

The healing phase of the tissue response to injury is equally complicated as the damage phase. Tissues undergoing wound healing exhibit great degrees of alterations in both cell type and number, involving a series of rapid increases in specific cell populations that repair the wounded tissues. Some of the tissue-repairing cells, in particular inflammatory cells and excess numbers of vascular cells, will be removed from the tissue with wound healing. Any group of the cells that stays in the tissue too short or too long ends up being an irritation and delays the ultimate outcome. Indeed, tissue repair requires rapid increases in specific cell populations to perform a specific task such as
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inflammation, new blood vessel formation, and matrix protein synthesis. Upon completion of those tasks, the cells must leave the wound to allow for regeneration of the tissue. Eventually, the healing wound will become acellular and avascular. The potential mechanism that governs these events is apoptosis. Deregulation of apoptosis has been shown to disturb the wound healing process. Progression in understanding of the mechanisms controlling apoptosis and tissue repair may help develop new therapeutic strategies for healing the wound more effectively and quickly.

2. Hypothesis and specific aims

We hypothesize that apoptosis represents an important mechanism for regulation of cellular components in the wound healing process involved in vascular disease such as atherosclerosis. We plan to use genetically manipulated, atherosclerosis-prone animal models to examine apoptosis-regulating gene expression. Several gene products or proteins such as Fas, scavenger receptor and stress proteins may function alone or synergistically in regulation of lipid metabolism and apoptosis during wound healing. In this project, we will employ an in vitro cell culture system and molecular biology approaches to achieve the following aims:

Specific Aim 1: Characterize whether heat shock proteins regulate apoptosis by influencing expression of Fas and scavenger receptors in macrophages and vascular cells.

Specific Aim 2: Seek for and identify novel stress proteins, such as apolipoprotein-J, for their role in regulation of apoptosis of macrophages and vascular cells induced by oxidized lipoproteins and oxysterols.

3. Results

Fas-mediated vascular cell death in atherosclerosis.

We investigated whether the death-signaling receptor Fas is expressed in the aorta of apolipoprotein-E mice that develop hypercholesterolemia and atherosclerosis, highly similar to human atherosclerotic disease. Using microarray technology, we analyzed hundreds of different types of
genes including those regulating apoptosis in vascular cells stimulated with pro-apoptotic factors. Immunohistochemistry with anti-Fas antibodies was conducted on frozen sections. We observed that exposed to hypercholesterolemia, the aorta of apoE-null but not the wild type normal aorta developed atherosclerotic plaques that contained numerous Fas positive cells. Morphological and immunostaining revealed that those Fas positive cells had markers for macrophages and smooth muscle cells, suggesting that they came from the two cell lineages. TUNEL staining further confirmed the presence of apoptotic cells in the lesions which colocalized with Fas positive cells. Fluorescent microscopy of the sections stained with the fluorochrome DAPI displayed nuclear fragmentation and chromatin condensation, features of apoptotic nuclei.

Oxidative cholesterol-induced apoptosis of macrophages and smooth muscle cells.

Treatment with the oxidative derivatives of cholesterol induced apoptosis of vascular cells and macrophages in a dose-dependent manner. Analysis of DNA fragmentation by agarose gel electrophoresis shows marked increased internucleosomal fragments in the cultures. Western blot also showed release of cytochrome-C from the treated but not the untreated controls. Caspase staining and immunoblotting further showed the presence of activated caspases in the lesions. Both macrophages and smooth muscle cells contained apoptotic markers and the apoptotic cells localized in the lesional tissue but not normal arterial tissue.

Cholesterol crystallization and apoptosis of macrophages.

In the first year of this proposal, we focused on apoptosis of macrophages, a major type of inflammatory cells in wound healing. We observed that the products of lipoprotein oxidation trigger apoptosis of macrophages (1). We also found that expression of the class-A scavenger receptor, one of the receptors for oxidized low density lipoproteins, confers resistance of macrophages to apoptotic stimulation of oxidized lipoproteins and cholesteryl oxides. Human THP-1 cells express both Fas and caspase-3 but no major change in their expression were detected when apoptosis was induced by oxysterol and sodium fluoride. Analysis of mRNA and proteins indicates expression of heat shock proteins in arterial tissue
injured with atherosclerosis (2). However, some isoforms of the heat shock proteins appeared at lower levels compared to others, suggesting differences in expression of stress proteins in the tissue healing process. Thus, expression of lipid-binding and stress proteins may participate in regulation of apoptosis in wound healing.

4. Military significance and public purpose
Repairing or healing a wounded tissue is a process with significant influence on the lives of wounded soldiers from the battlefield as well as civilians during the war and peacetime. In particular, continuing previous work, this study has provided evidence that atherogenic substances may have impact on vascular cell death by apoptosis. Several potential molecular mechanisms responsible for apoptosis in wound have been exploited including stress-responding proteins. Experimental information from this study may help design new methods to promoting wound healing. Accomplishment of this goal is anticipated to shed new insight into the etiology and diagnosis of wound healing disorders, which threaten lives of both soldiers and civilians.

5. Technical Objectives and Methods
This study employed a variety of techniques in biochemistry and cellular and molecular biology to determine the molecules involved in regulation of apoptosis including the state-of-the art real time quantitative PCR, in situ DNA end-labeling, pulse field DNA electrophoresis and cDNA microarrays. By immunocytochemistry and immunoblotting, both anti- and pro-apoptotic proteins will be determined in inflammatory cells and vascular cells such as macrophages, endothelial cells, and smooth muscle cells. In addition, extracellular matrix proteins were examined for their integrity and biological function in regulation of apoptosis as well as proliferation. Recombination techniques were used for producing proteins from wounded tissues, and tested for their effects on apoptosis and proliferation.

6. Personnel
Yong-Jian Geng, MD, PhD, Associate Professor of Medicine and Director, Research Center for Cardiovascular Biology
and Atherosclerosis, responsible for experiment designation and performance, data collection and evaluation, and for supervising research fellows and technicians, and coordinating with other projects.

Kilsoo Kil, PhD, research fellow, responsible for laboratory management and for analysis of expression of apoptosis-regulating genes.

Mitra Rajabi, MD, research fellow, graduated in Telran University, took charge of the studies on stress response and expression of iNOS in vascular cells.

7. Human study
No human subject studies will be performed in this project.

8 Animal study
No in vivo studies are performed on animals in this project.

9. Bibliography
Peer-reviewed full-size publications


Cited Abstracts


Project I.C.2.
Infrared Spectroscopic Diagnosis of Vulnerable Atherosclerotic Plaque

Investigator: S. Ward Casscells, M.D.

Abstract
Detection of vulnerable plaques as the underlying cause of myocardial infarction is at the center of attention in cardiology. We have previously shown that infiltration of inflammatory cells in atherosclerotic plaques renders these plaques relatively hot and acidic, with substantial plaque temperature and pH variation. The objective of this investigation was to determine whether near-infrared diffuse reflectance spectroscopy (NIRS) could be used to non-destructively measure the tissue pH in atherosclerotic plaques. NIRS and tissue pH electrode measurements were taken on freshly excised carotid plaques maintained under physiological conditions. The coefficient of determination between NIRS and the pH microelectrode measurement was 0.75 using 17 different areas. The estimated accuracy of the NIRS measurement was 0.09 pH units. These results demonstrate the feasibility of using NIRS tissue pH in freshly excised atherosclerotic plaques in light of marked pH heterogeneity and warrants future in-vivo investigations on pH measurement of atherosclerotic plaques.

Introduction
The large body of atherosclerosis research to date describes the vulnerable plaque retrospectively as the culprit lesion prone to rupture or erosion causing acute and/or fatal cardiac events [1]. Structural classification of the relative sizes of the fibrous cap and lipid cores have been used to characterize plaques after surgical intervention and histology, or with several promising new minimally or non-invasive techniques such as intravascular ultrasound [2, 3], MRI [4, 5] and optical coherence tomography [6]. Major and minor criteria for the diagnosis of vulnerable plaque have recently been reviewed [7]. Although it is widely accepted that plaque rupture or erosion depends more on the plaque composition than on the degree of stenosis, new modalities that target the
detection of inflammation, thin cap/large lipid pools, endothelial denudation, and/or plaque fissuring, in addition to standard angiographic stenosis detection, need to be developed in order for the major criteria to be realized and quantified in a high-risk 'vulnerable patient' population.

Functional classification based on physiological and inflammatory processes was initially proposed as an adjunct to structural information by Naghavi et al. [8]. Macrophages and inflammatory cells, found preferentially in vulnerable plaque, might contribute to plaque rupture through the release of proteases [9]. Thermal sensors have been employed to study the relation between functional and structural heterogeneity, and may be a useful functional measure of vulnerability [10, 11]. Temperature change correlated with the cell density and proximity of cells to the fibrous cap [10]. Lower pH in lipid-rich plaques and considerable pH heterogeneity has been also demonstrated in human atherosclerotic lesions, and Watanabe cholesterol-fed rabbits [12].

Near-infrared spectroscopy (NIRS) is one of many techniques available for non-destructive analysis of tissues. The determination of tissue pH using NIRS has been reported in the muscle during ischemia [13] and in the intestines during hemorrhagic shock [14]. It is relatively inexpensive compared to other modalities such as NMR, ultrasound, and nuclear (X-ray) radiography. Spectroscopic methods have been proposed by several researchers to characterize the relatively static, structural or chemical properties of atherosclerotic plaques in ex-vivo tissue [15, 16, 17]. In order to investigate a functional classification technique based on metabolism, this study focused on determining the feasibility of using NIRS to measure tissue pH in an oxygenated media bath preparation that maintained the plaque in as close to in-vivo status as possible.

**Methods**

**Tissue Preparation**

Fresh carotid plaque tissue was collected from 5 patients at the University of Massachusetts Memorial Medical Center's Vascular Surgery operations at the University Campus over a period of 3 months under approval by the Institutional Review Board for human studies (Docket 10041). The plaque was immediately placed in a tissue
culture medium (minimum essential medium (MEM); Invitrogen, Md., USA) that had a pH of 7.4, and contained 5.6 mM glucose, 26.2 mM sodium bicarbonate, and non-essential amino acids supplement. The plaque was maintained at 37°C in a heated porcelain bath and bubbled continuously with a 75% O2/20% N2/5% CO2 gas mixture. The media bath was equilibrated with the gas mixture for a half hour prior to tissue addition. The entire tissue bath apparatus was enclosed in a humidified 37°C incubator. The MEM was chosen because it was the only available prepared sterile liquid media with high glucose concentration that did not contain phenol red, a pH-sensitive, colored dye that is used to indicate gross pH changes in the liquid media. Phenol red would interfere with the spectral data collection in the visible (500-700 nm) regions and therefore was avoided. This procedure was used for each plaque from the 5 patients and all spectral, tissue pH, and temperature measurements while the plaque was immersed in the oxygenated media.

Instrumentation
Spectra from 667 to 2,436 nm (15,000-4,097 cm⁻¹) were collected using a Nicolet Nexus 670 Fourier transform near-infrared spectrometer (75 W tungsten-halogen lamp/quartz beam splitter) employing a room temperature InGaAs detector and a fiber optic probe module connected to a fiber optic sensor (described below). The acquisition time was ~42 s for each spectrum; 128 interferogram scans were collected and averaged at a spectral resolution of 32 cm⁻¹ (~2.5 nm). A reference spectrum was collected using a 50% reflectance standard (Labsphere, N.H., USA) prior to each tissue spectrum. The software automatically calculates the absorbance spectrum for each tissue measurement. Additional spectral data from 400 to 1,100 nm were taken with the same fiber optic sensor using a Control Development (South Bend, Ind., USA) 512 element photodiode array (PDA) spectrometer with a room-temperature silicon detector, and converted to absorbance using a dark current-corrected, reference spectrum. The spectral resolution of the PDA spectrometer was 0.5 nm. The light source used was a separate unmodulated, ~7.5 W tungsten-halogen lamp (Ocean Optics, Fla., USA). The integration time was set to 3.6 s, and sample averaging to 15, resulting in an acquisition time of 54 s on the PDA spectrometer. The visible tissue spectrum from the PDA spectrometer was offset to the peak value at 970 nm for the same tissue spectrum collected on the FT-NIR
spectrometer, and the data were spliced together to form a full-range spectrum (400-2,400 nm).

The fiber-optic, diffuse reflectance sensor used in this study had a forward-viewing design with an optical window. The 1-mm-thick quartz optical window was mounted on the face of the sensor. A center bundle of seven fibers was separated from an outer ring of twelve fibers by 0.005 cm, which provided a minimum optical depth penetration of 450 μm thickness in aortic tissue for wavelengths of 500-2,250 nm.

Micro-pH electrodes in a sharp, beveled 21-gauge needle (~750 μm diameter, MI-407; Microelectrodes Inc., N.H., USA) were used to make the reference tissue pH measurements. The reference junction electrode was placed in the media bath and both electrodes are connected to a Thermo Orion 720A pH meter. The electrodes were calibrated prior to each experiment using five NIST-traceable buffers (Fisher Scientific, 4.00, 6.00, 7.00, 7.40, and 10.00 at 25°C). The pH meter readout was automatically temperature-corrected to the in-vitro media temperature. The tissue pH measurements were recorded after spectral acquisition from the same plaque location used for spectral assessment. Tissue temperature measurements were also made in the same location using a T-type needle thermistor probe (Omega Engineering, Conn., USA). After each tissue pH measurement, the electrodes were rinsed in warm Tergazyme solution and distilled water, then checked for drift in a 4.00 buffer at 37°C. This was done to avoid protein buildup and electrode drift from location to location. The total time allotted for performing accurate measurements (including the 30-min tissue equilibration) was no more than 4 h, to ensure plaques were physiological (data not shown). An average of 4 points were collected from each of the 5 plaques. A total of 20 points were collected.

Data Analysis and Multivariate Calibration
The quantitative determination of NIRS tissue pH was performed using multivariate calibration or chemometric techniques. Partial least squares data analysis (PLS) [18, 19] and leave one sample out cross-validation were performed. Briefly, the spectral data are compressed and a linear regression is made against the known reference tissue pH values (or other desired analyte) and a mathematical model is created. Statistical methods, based on an F-ratio test, are used to remove points that are
extreme outliers from the rest of the data set [19]. The optimal number of vectors to which the spectral data are compressed is determined by the cross-validation procedure such that the error in the NIRS predicted values is minimized. These vectors can be qualitatively reviewed after calibration to determine if the model is appropriate for the system studied [18]. An appropriate model will contain vectors that represent the chemical species responsible for the analyte being measured. The ability of the NIRS model to predict trends in pH is assessed by calculating the coefficient of determination (R^2), and the accuracy of the pH measurement is assessed by calculating the cross-validated standard error of prediction [19]. All calculations were performed using Grams32/PLSIQ software (Galactic Industries, N.H., USA).

Results
The reference pH values measured with the microelectrodes and the tissue temperatures were analyzed prior to developing PLS models. No correlation was observed in the points used for the PLS tissue pH model. The correlation (R^2) between tissue pH and tissue temperature data was 0.002.

The spectra from 5 plaques (a total of 17 distinct data points from 5 patients) are shown in figure 1. Three pH values were removed from the 20 collected based on statistical outlier detection (F ratio >3). The most accurate PLS models were developed using three distinct spectral regions, rather than the entire spectral range. The spectral regions are marked in figure 1 (region 1: 400-615 nm, region 2: 924-1,889 nm, and region 3: 2,043-2,341 nm). The correlation of the NIRS pH values to the reference values is shown in figure 2. The coefficient of determination was 0.75 and estimated accuracy was 0.09 pH units. The range of the reference tissue pH was 6.99-7.55. The optimal number of vectors in the model was 3. This calibration model has acceptable results using 17 points.
Fig. 1. Seventeen spectra from 5 human carotid plaques measured in-vitro oxygenated tissue culture at 37°C. The three spectral regions used solely for the PLS models are marked. Region 1: 400-615 nm, region 2: 924-1,889 nm, and region 3: 2,043-2,341 nm.
Fig. 2. Correlation between the tissue pH measured with microelectrodes in 5 human carotid-plaque in-vitro tissue culture media and the NIRS pH determination. Solid line is unity line. Coefficient of determination, \( R^2 = 0.75 \). Estimated accuracy of NIRS pH was 0.09 pH units.

The chemical peaks for the spectra (fig. 1) are assigned and listed in table 1. Between 500 and 600 nm, the spectral features are varied. Not all the spectra exhibit hemoglobin bands, and in some spectra, the signal is
fairly flat and featureless. There is an anomaly observed at 633 nm in all spectra. This was investigated and found to be unrelated to the tissue characteristics, and the spectral data from 615 to 920 nm were not used in the model. The topmost spectrum was taken from an area of plaque that contained a large amount of blood. Below ~515 nm of this spectrum, strong hemoglobin absorption occurs (~10 times or an order of magnitude higher than the amount at 575 nm). The observed muted signal is a result of the spectrometer's decreased efficiency below 515 nm. Region 2 is dominated by the water peak at ~1,450 nm. Various proteins and lipids absorb in both region 2 and 3.

<table>
<thead>
<tr>
<th>Peak wavelength, nm</th>
<th>Chemical species</th>
<th>References</th>
</tr>
</thead>
<tbody>
<tr>
<td>417</td>
<td>OxyHb</td>
<td>23</td>
</tr>
<tr>
<td>540</td>
<td>OxyHb, OxyMb</td>
<td>23, 24</td>
</tr>
<tr>
<td>574</td>
<td>OxyHb</td>
<td>23</td>
</tr>
<tr>
<td>633</td>
<td>optical anomaly</td>
<td>removed before models built</td>
</tr>
<tr>
<td>970</td>
<td>water</td>
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</tr>
<tr>
<td>1,196</td>
<td>water</td>
<td>26</td>
</tr>
<tr>
<td>1,449</td>
<td>water</td>
<td>27</td>
</tr>
<tr>
<td>1,650</td>
<td>OxyHb</td>
<td>20</td>
</tr>
<tr>
<td>1,730 (weak, broad)</td>
<td>cholesterol, lipids, alkyl CH groups, proteins</td>
<td>27, 28</td>
</tr>
<tr>
<td>1,780 (weak, broad)</td>
<td>cholesterol, lipids, alkyl CH groups, proteins</td>
<td>27, 28</td>
</tr>
<tr>
<td>1,943</td>
<td>water (lipids)</td>
<td>26 (28)</td>
</tr>
<tr>
<td>2,168 (weak)</td>
<td>non-specific proteins</td>
<td>27</td>
</tr>
<tr>
<td>2,318 (weak, broad, shoulder)</td>
<td>C-H combinations from lipids</td>
<td>17, 28</td>
</tr>
</tbody>
</table>

Hb = Hemoglobin; Mb = myoglobin.

Table 1. Peak assignments for spectra shown in figure 1

The percent variance captured is summarized in table 2. For each additional vector used, the more variance is explained in both the spectral (X) and reference pH (Y) data. The percent variance captured by each vector is calculated by taking the difference between the sum of squares (variance) of the reconstructed spectra using n vectors and the variance of the reconstructed spectra
using \( n - 1 \) vectors, over the total variance of the original spectra. This is calculated in a similar fashion for the pH values. The total, or cumulative, % variance describes how much improvement that the successive vector has in capturing the total variability in the original spectra \((X)\) or measured pH values \((Y)\) in an additive fashion. More vectors would capture more variability, and eventually describe the original system. However, too many vectors can also lead to overfit of the model, and lead to erroneous prediction of NIR pH values if not monitored. Incremental variability could be due to spectral noise and not real variability of the tissue pH. Table 2 shows that using three vectors to reconstruct and model the data, 98% of the original spectral variance \((X)\) is captured. The corresponding ability of the three vectors used in the model to predict NIR pH values shows that 84.3% of the original tissue pH variance \((Y)\) is captured.

<table>
<thead>
<tr>
<th>Factor No.</th>
<th>Spectral variance ((X))</th>
<th>Reference tissue pH variance ((Y))</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>% variance captured</td>
<td>total %</td>
</tr>
<tr>
<td>1</td>
<td>48.9</td>
<td>48.9</td>
</tr>
<tr>
<td>2</td>
<td>45.1</td>
<td>94</td>
</tr>
<tr>
<td>3</td>
<td>4.0</td>
<td>98</td>
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</table>

Table 2. Percent variance captured by PLS tissue pH model shown in figure 2. Variance of original data captured by reconstructed spectra \((X)\) and pH values \((Y)\) from each successive vector in a linear additive fashion.

Figure 3 depicts the three model vectors used and their contribution to the tissue pH calibration in each wavelength region used. Figure 3a shows the three vectors in spectral region 1 (400-615 nm). The second vector (dashed) clearly shows the oxyhemoglobin doublet at 540 and 574 nm. The first vector (solid line) shows the doublet albeit with a lesser magnitude. Figure 3b shows
the three model vectors in spectral region 2 (924-1,889 nm). The water band at ~1,450 nm is a strong contributor and is apparent in all three vectors in this region. A small oxyhemoglobin band at 1,650 nm is also apparent in all three vectors. Figure 3c shows the three vectors in spectral region 3. Many non-specific proteins and lipids absorb in this region. Using the region 3, model vectors did not significantly improve or degrade the overall model in terms of correlation and accuracy of the NIRS pH values.

Discussion

The quantitative model results showed that tissue pH determination in atherosclerotic plaque is feasible. Qualitatively, this model has vectors that resemble hemoglobin and water absorption. The cumulative percent variation captured by the three vectors, in each wavelength region contributing to the model, showed positive correlation peaks at the wavelengths where oxyhemoglobin absorb in both the visible (fig. 3a, vectors 2 and 3) and near-infrared (fig. 3b, vector 1). Alam et al. [20] demonstrated in lysed blood experiments that the 1,650-nm peak is a pH-inducible shift in the absorption spectrum of the histidine residue of the hemoglobin molecule, further corroborating the validity of the tissue pH model in atherosclerotic plaques. The other dominant feature contributing to the NIRS pH model is water at 1,450 nm (fig. 3, center plot, vector 3). This may be related to hydrogen-bonding effects from pH-induced changes in water. Most of the spectral variation is captured by the third factor (98%); however, only 84% of the variation in the reference tissue pH was explained. This unmodeled variability could account for the limited accuracy of the model. Additional vectors could be used; however, this both increases model complexity and unwanted noise correlation. An optimal model using a three-vector model is acceptable for the 17 points used for the tissue pH determination and does not indicate over- or underfit of the data [21].

The reference pH values were consistent with the pH values observed in prior plaque studies [8, 12]. The electrodes have a reported measurement error of 0.03 pH units. However, the volume of tissue measured optically may be too large compared to the approximate volume measured by the electrode measurement. This difference in volume may affect the calibration accuracy and prediction.
Fig. 3. Tissue pH vectors in each wavelength region of the model: a region 1, 400-615 nm, b region 2, 924-1,889 nm, c region 3, 2,043-2,341 nm. Hemoglobin bands at ~540 and 575, and also at 1,650 nm, are apparent in vector 2 and vector 1 in a and b, respectively. The water band at ~1,450 nm is also a strong contributor to the model (b), all three vectors. Vector numbers as marked.
In a previous work describing optical tissue pH calibration and real tissue pH heterogeneity in vivo in the myocardium [22], similar challenges of matching the optical volume and reference measurement volume were outlined. However, when ischemic changes occur in the intact myocardium, the direction of pH change is homogeneous and may be more optically predictable compared to the atherosclerotic plaque. Improvement of the optical depth resolution that was required in the myocardium was in the order of 3-5 mm. The spatial distribution of tissue pH in the atherosclerotic plaque is more heterogeneous, in the order of microns. In addition, due to the histological variability of necrotic and living tissue in the order of microns, the direction of pH change due to ischemic, hypoxic, or anaerobic conditions in the in-vitro situation may not be as optically predictable. The averaging effect of the optical probe volume in this research may be a large contribution to the limited accuracy of the optical calibration.

Correlation analysis demonstrated that the measured tissue temperature was uncorrelated to the tissue pH reference measurements in this data set. This is an important finding because (1) otherwise, the partial least-squares method employed here could inadvertently model some other variable if it is related to the desired calibration variable of tissue pH, and (2) it leaves open the possibility for independent but complementary information by measuring both pH and temperature. The first consequence indicates the NIRS pH determination is not directly related to temperature, therefore changes in temperature can be ruled out when assessing the validity of the calibration model for this data set. The fact that PLS models could be created with real temperature variation is another indicator that the NIRS pH-determined values in this in-vitro setting is robust with respect to temperature changes that can affect near-infrared measurements. The second consequence suggests that both temperature and pH could be measured by NIRS to selectively characterize the plaque, for example, temperature as a measure of inflammation and macrophage activity, and independently, pH as a measure of ischemia, whether or not it is correlated to macrophage activity. Relatively large changes in temperature could be determined easily by NIRS using the water bands (table 1). However, the temperature changes in atherosclerotic plaques in vivo that have been reported are in the range
of 0.15-1.5°C [11]. It remains to be seen whether NIRS temperature calibration can achieve the same sensitivity as thermistor-based catheter prototypes (0.01°C or better), or a 'far' infrared optical catheter [29]; nevertheless, NIRS tissue pH determination could be integrated without loss of accuracy based on this finding.

Both Casscells et al. [10] and Stefanadis et al. [11] have demonstrated thermal heterogeneity associated with inflammation in atherosclerotic plaques. In this study, no attempt was made to correlate measured tissue temperature with inflammation. It was observed that the tissue temperatures were different in different locations of the plaque and were stable throughout the experiment because of the in-vitro temperature control. Stefanadis et al. [11] described in-vivo human coronary artery studies that showed significant thermal heterogeneity with a novel thermistor-based catheter in stable and unstable angina, and acute myocardial infarction. It should be possible then to determine vulnerability based on metabolic processes of inflammatory cells in the atherosclerotic plaque, whether temperature- or pH-based.

The study shown here demonstrates feasibility of the NIRS measurement of tissue pH in atherosclerotic plaque in vitro. Significant challenges still exist to bring this technique in vivo, such as minimization of the fiber optic sensor size, achieving optimal signal-to-noise ratios, and improving the PLS models with more in-vitro data. Minimally invasive measurements of metabolic derangements such as tissue pH, in combination with one of the localization or structural techniques, could be superior to cholesterol concentration determinations alone. NIRS tissue pH measurement could indicate macrophage activity and acidic plaque, as well as the influence of hypoxic cells on their way to necrosis or apoptosis. A catheter-based fiber-optic, near-infrared spectroscopy system is ultimately envisioned to determine the pH of atherosclerotic plaques. The use of a tissue pH value as a determinant of vulnerability is yet to be evaluated, but by having a non-destructive NIRS tissue pH measurement, it could be potentially valuable for deciding between different interventional therapies to treat the vulnerable plaque.
References


Project I.C.4
Nitric Oxide in Organ Failure

Investigator: Bruce C. Kone, M.D., FACP, FCP

Introduction. Ischemia/reperfusion (I/R) injury to the kidney and gut are common and serious complications in hospitalized patients and trauma victims. There are no proven therapies to prevent or arrest this disorder. Thus, improving the capacity of the kidney and gut to tolerate I/R injury would have important clinical implications. The pathophysiology of I/R injury to these organs is complex and, despite decades of research, still poorly understood. Multiple mediators and pathways have been implicated, including overproduction of nitric oxide (NO). Changes in gene transcription are central to these perturbations. Given the complexity of the pathways, and the likely interactions between different pathways, a global approach to the assessment of transcriptional responses in renal and gut I/R injury will be most informative. Ideally, potential therapies for I/R injury would be easily administered, have a high therapeutic index, target early, branching cascades leading to injury or repair, be effective at times remote from the initial ischemia, and be cost-effective.

Epithelial injury and repair are central consequences of ischemia and reperfusion of the gut. Intestinal mucosal wounds are repaired in part by epithelial restitution. However, the signaling mechanisms regulating restitution remain poorly understood, and few therapies to enhance restitution have been described. Previously we demonstrated that alpha-melanocyte-stimulating hormone (alpha-MSH) protected against postischemic gut injury in the rat. In addition, ischemic preconditioning (IPC) has been shown to protect organs like the heart following ischemia/reperfusion injury.

Since inducible nitric oxide synthase (iNOS) is the major enzyme responsible for high output NO production during sepsis and inflammation, specific knowledge of transcriptional and post-transcriptional mechanisms
S. W. Casscells, M.D.  

DREAMS:STAT Report

affecting its synthesis is central to the rational design of therapies controlling NO production.

Body. We tested the effects and mechanisms of alpha-MSH on wound restitution of rat small intestine (IEC-6) cells subjected to H2O2 stress with or without scrape wounding. H2O2 treatment resulted in tyrosine phosphorylation of Syk kinase and its downstream target IkappaBalpha, with subsequent NF-kappaB activation. Alpha-MSH and the Syk kinase inhibitor piceatannol blocked these processes. In scrape-wounded cells, H2O2 inhibited wound restitution, and this was partially restored by cotreatment with alpha-MSH or piceatannol. In contrast, overexpression of NF-kappaB p65 or Syk kinase, but not a dominant-negative mutant of Syk kinase, aggravated H2O2 inhibition of wound restitution, and inhibitors of c-Src tyrosine kinase or phosphatidylinositol-3 kinase were without effect. The results indicated an important role for Syk tyrosine kinase and the NF-kappaB pathway in the response to oxidant stress and the impairment of epithelial restitution in IEC-6 cells. The data also disclosed that the beneficial effects of alpha-MSH on gut ischemia/reperfusion injury may relate to its acceleration of epithelial restitution. A manuscript reporting these results was published in Shock.

We also hypothesized that IPC would afford protection from intestinal I/R injury and improve function. Male Sprague Dawley rats were randomized to the following groups: (1) Sham laparotomy, (2) mesenteric ischemia for 30 min and (3) IPC/mesenteric ischemia (three cycles of mesenteric ischemia for 4 min and reperfusion for 10 min followed immediately by mesenteric ischemia for 30 min) and (4) late IPC/mesenteric ischemia (IPC as above, wait 24 hrs then mesenteric ischemia for 30 min followed by 6 hrs reperfusion). A duodenal catheter was placed to evaluate intestinal transit using FITC-dextran. At 6 hrs, transit was determined by the progression of the FITC-dextran and expressed as the mean geometric center. Ileum was harvested for assessment of mucosal injury (Chiu score by blinded observer) and myeloperoxidase activity. Tissue water was determined using the wet to dry weight ratio to assess gut edema. IPC significantly improved transit, lessened mucosal injury and decreased myeloperoxidase levels in the intestine compared to mesenteric ischemia.
alone. We concluded that IPC affords protection from mucosal injury and intestinal dysfunction following I/R. A manuscript reporting these results is in press in Shock.

During the previous funding cycle, we identified a novel mechanism for termination of NO production in cytokine-stimulated mesangial cells in which signal transducers and activators of transcription 3 (STAT3), via direct interactions with NF-kappaB, serves as a dominant-negative inhibitor of NF-kappaB activity to suppress cytokine induction of iNOS transcription. STAT3 is a modular protein with several structurally and functionally defined domains. To define the specific domains of STAT3 that interact with NF-kappaB p65, we synthesized 35S-labeled proteins corresponding to each STAT3 domain and tested their ability to bind specifically a GST-NF-kappaB p65 fusion protein in the GST pull-down assay. The coiled-coil (CCD) and DNA-binding (DBD) domains were specifically retained by GST-NF-kappaB p65, whereas the N-terminal, linker, SH2, and transcriptional activation domains did not interact with NF-kappaB p65. Deletion of the region L358 through I368 of the STAT3 DBD greatly reduced binding, indicating that this region is necessary for GST-NF-kappaB p65 binding. Alanine substitution mutations at four highly conserved residues --- L358, N359, K363, and V366 --- in this region virtually abolished NF-kappaB p65 binding. In contrast to the trans-repression of iNOS promoter activity afforded by wild type STAT3, overexpression of full-length STAT3 harboring these mutations failed to trans-repress an iNOS promoter-reporter construct in mesangial cells. Taken together, our data reveal a novel role for the DBD and CCD domain in the physical and functional coupling to NF-kappaB p65 that appears to be important for regulating iNOS and likely other NF-kappaB p65-responsive genes in mesangial cells. A manuscript reporting these results was published in the American Journal of Physiology.

In further analyzing the controls for iNOS induction and suppression, we investigated epigenetic control of iNOS gene transcription. The methylation of transcriptional control regions in the genome plays a fundamental role in the regulation of gene expression. In susceptible genes containing 5’ CpG islands, cytosine methylation can cause transcriptional repression by promoting the condensation
of chromatin. Treatment of mesangial cells with DNA methylation inhibitors augmented induction of endogenous NO production and iNOS promoter activity in response to cytokines. We demonstrated that methylation of the murine iNOS promoter was sufficient to silence its expression in cells that can express the endogenous iNOS gene, pInOS-luc was methylated in vitro by SSSI, an enzyme that methylates every CpG dinucleotide, and transiently transfected into mesangial cells. The expression level of methylated iNOS-luc was 100-fold lower than that of its unmethylated counterpart. Treatment with 5-AZA restored the activity of the iNOS promoter and further simulated it after cytokine treatment. To address more specifically the effects of methylation status on iNOS promoter induction, knockdown of DNA methyltransferase-3a by siRNA resulted in a robust increase in iNOS promoter activity and nitrite production. Bisulfite treatment and sequencing analysis of the iNOS promoter showed that almost all potential methylation sites within the iNOS promoter were methylated including a key GAS element. CpG methylation within the GAS element interfered with STAT3 DNA binding. These results provide evidence for a unique molecular mechanism involved in transcriptional regulation of iNOS gene expression. A manuscript reporting these results was published in the Journal of Biological Chemistry.

Little is known about transcriptional regulation of the human iNOS gene in vivo under basal conditions or in sepsis. Accordingly, we developed transgenic mice carrying an insertional human iNOS promoter-reporter gene construct. In these mice, the proximal 8.3 kb of the human iNOS 5'-flanking region controls expression of the reporter gene of enhanced green fluorescent protein (EGFP). Patterns of human iNOS promoter/EGFP transgene expression in tissues were examined by fluorescence microscopy and immunoblotting. Endogenous murine iNOS was basally undetectable in kidney, intestine, spleen, heart, lung, liver, stomach, or brain. In contrast, EGFP from the transgene was basally expressed in kidney, brain, and spleen, but not the other tissues of the transgenic mice. Bacterial lipopolysaccharide induced endogenous iNOS expression in kidney, intestine, spleen, lung, liver, stomach, and heart, but not brain. In contrast, human iNOS promoter/EGFP transgene expression was induced above basal levels only in intestine, spleen, brain, stomach, and lung. Within kidney, human iNOS promoter/EGFP fluorescence was detected most prominently in proximal tubules of the
outer cortex and collecting ducts and colocalized with endogenous mouse iNOS. Within the collecting duct, both endogenous iNOS and the human iNOS promoter/EGFP transgene were expressed in cells lacking aquaporin-2 immunoreactivity, consistent with expression in intercalated cells. Although it remains possible that essential regulatory elements reside in remote locations of the gene, our data concerning this 8.3-kb region provide the first in vivo evidence suggesting differential transcriptional control of the human iNOS gene in these organs and marked differences in transcriptional regulatory regions between the murine and human genes.

**Key Research Accomplishments**

- Demonstration of an important role for Syk tyrosine kinase and the NF-kappaB pathway in the response to oxidant stress and the impairment of epithelial restitution in IEC-6 cells.
- Demonstration that the beneficial effects of alpha-MSH on gut ischemia/reperfusion injury may relate to its acceleration of epithelial restitution.
- Demonstration that ischemic preconditioning helps to preserve function and histology of the postischemic rat ileum.
- Demonstration of the binding domains of STAT3 with NF-kappaB responsible for inhibition of iNOS transcriptional activation.
- Demonstration of an important effect of DNA methylation in the transcriptional silencing of the iNOS gene.
- Generation and characterization of a transgenic mouse harboring a human iNOS promoter-GFP transgene and its response to endotoxemia.

**Reportable Outcomes**

**Articles:**


Conclusions

Multiple organ failure is a common, often catastrophic outcome of combat-induced trauma. An improved understanding of the molecular basis for the initiation and amplification of cell and organ injury will ultimately inform the rational design of therapeutic agents to prevent, delay, or reverse post-resuscitation multiple organ failure. In the aggregate, our studies provide new insights into the early molecular events underlying both intestinal ischemia-reperfusion injury and multiple organ failure, new insights into the regulation of epithelial restitution and repair, and novel therapeutic strategies to limit I/R injury and multiple organ failure.
Project I.C.6.

Is Hypothermia an Indicator of Imminent Death in Congestive Heart Failure and Helpful in Triage

Investigator: S. Ward Casscells, M.D.

Introduction

Congestive heart failure (CHF) is the most frequent cause of hospitalization for persons 65 years of age or older and its prevalence is increasing. (1) Despite new therapies and improving outcome, CHF-related mortality increased 135% from 1979 to 1998. (1) Prognostic factors constitute the major consideration in patient selection for therapies such as transplantation, left ventricular assist devices, and investigational drugs. (2) Bedside prognostic factors specifically improve titration of medications. However, there is no agreement about which variables predict an imminent fatal outcome, and few studies have focused on short-term prognosis. (3-6) Even multivariate studies have generally included a partial list of variables and have yielded predictors with only modest sensitivity and specificity. (4,7)

In one of our recent patients with severe CHF, body temperature decreased from 97.0 to 91.7 °F two hours before death, despite a normal heart rate, blood pressure, and mental status. We subsequently made a similar observation in 2 more patients. We therefore undertook a pilot case-control study of 180 CHF-related deaths occurring from 1996 to 1998 and found that, once temperature confounders were excluded, more than half of the remaining 46 deaths were preceded by hypothermia; and there were significant differences between hospitalized patients who died of CHF and those who survived with respect to temperature at the time of hospital admission, average temperature during hospitalization, temperature during the last 12 hours before death or hospital discharge, and last recorded temperature. (8) We designed the current wider, retrospective, cohort study to validate and compare the significance of temperature as a novel predictor of death by itself and in combination with various other bedside variables.

Methods

The study was approved by the Committee for the Protection of Human Subjects of the University of Texas Health Science Center at Houston, Texas. We reviewed 423 admissions between January
and December 1998 to Memorial Hermann Hospital that had a principal discharge diagnosis code of CHF [International Classification of Diseases, 9th Revision, Clinical Modification (ICD-9 CM)] and selected 291 patients for this retrospective study. We excluded 97 admissions because they were readmission(s) of the same patient; in these cases, the last readmission was the one included in the study. Another 35 admissions were excluded because temperature variations in those patients might have resulted from temperature confounders such as sepsis, acute stroke, thyroid disease, hepatic failure, ethanol intoxication, or environmental factors.

Patients’ deaths were classified as caused by progressive pump failure by treating physicians and by the research team if their deaths were due to progressive cardiac decompensation (symptoms, signs and objective evidence of decompensation of the left or right ventricle such as falling blood pressure and/or progressive pulmonary congestion by symptoms, exam, chest x-ray and pulse oximetry). Patients who died were specifically excluded if they were stable before the sudden episode of ventricular tachycardia, ventricular fibrillation, asystole or myocardial infarction that led to their deaths.

The number of days from admission to discharge or death was entered into Cox regression models as the main dependent variable. Admission temperature was the main independent variable in the analysis and was kept in the analysis regardless of measurement location (oral, rectal, tympanic or axillary). Three body temperature categories were defined a priori: admission temperature (T_{adm}) >96.5^\circ F as reference group, and T_{adm} = 95.6^\circ to 96.5^\circ F and T_{adm} \leq 95.5^\circ F as hypothermia groups.

We assessed several other CHF risk factors for confounding the association of hypothermia and survival: age (>=80 years, 70-79, 60-69, and <=59), gender, history of hypertension, history of diabetes mellitus, history of coronary artery disease, cardiomyopathy, New York Heart Association [NYHA] functional class (III, or IV compared to the reference group of I and II), medications, tachycardia (>100 beats/sec.), low systolic blood pressure (<100 mmHg), arrhythmias on admission (atrial fibrillation, premature ventricular tachycardia, ventricular tachycardia, and supraventricular tachycardia); high serum creatinine (Cr > 1.5 mg/dL), hyponatremia (Na^+ < 138 mEq/L), hypokalemia (K^+ < 3.5 mEq/L), hypomagnesemia (Mg^{++} < 1.3 mg/dL), and leukocytosis (count >10,000/mm^3). Several other indicators, including echocardiography-confirmed valvular diseases and left-ventricular ejection fraction, were dropped because more
than a third of patients lacked data for that variable.

Crude and adjusted hazard ratios and their corresponding confidence intervals were calculated using the SAS software package version 8.0. The study of confounders included univariate analyses of the association between potential confounders and both outcome (Cox regression) and hypothermia on admission (logistic regression). For this, an alpha of 0.25 was chosen to prevent exclusion of important confounders due to small sample size. Next we determined whether adjusting for the confounder would change the hazard ratio by more than 10% at 0.05 alpha level. A factor was not considered a confounder if that factor was not associated with both outcome and exposure, or did not change hazard ratio by at least 10%. A multivariable analysis with the intention of adjusting for those variables that were found confounders was done to calculate adjusted hazard ratios. Finally, a multivariable model for time to death for the whole population was developed with the intention of determining whether hypothermia on admission would stay a significant predictor when other prognostics factors of mortality are included in the model. For this, those prognostic factors that were associated with time to death in a univariate fashion at 0.25 level were used to build a preliminary multivariable model, next dropping those that did not contribute to the model, to reach the final model.

Results

Patient Characteristics

The 291 patients included 137 men and 154 women aged 73±13 years (range, 27 to 97 years). There were 223 patients with $T_{adm} > 96.5^\circ F$, 51 patients with $T_{adm} = 95.6^\circ$ to $96.5^\circ F$ and 17 patients with $T_{adm} \leq 95.5^\circ F$. Table 1 shows their baseline characteristics at hospital admission. Patient demographics, including age and gender distributions were similar in 3 baseline temperature groups. Only 165 (57%) of patients underwent an echocardiography, and therefore baseline valvular disease and left ventricular ejection fraction were dropped from further analysis; however, available information from those patients is given in Table 1.
**Table 1.**
Baseline Characteristics of Study Patients in Temperature Subgroups

<table>
<thead>
<tr>
<th>Characteristic</th>
<th>Baseline Value*</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>All</td>
</tr>
<tr>
<td>Temperature at admission (°F)</td>
<td>97.3 ± 1.1</td>
</tr>
<tr>
<td>Age (y)</td>
<td></td>
</tr>
<tr>
<td>=&gt; 80</td>
<td>67.4 ± 13.4</td>
</tr>
<tr>
<td>=&gt; 80</td>
<td>61 (29.9)</td>
</tr>
<tr>
<td>70-79</td>
<td>69 (23.7)</td>
</tr>
<tr>
<td>60-69</td>
<td>79 (27.1)</td>
</tr>
<tr>
<td>&lt;= 59</td>
<td>82 (28.1)</td>
</tr>
<tr>
<td>Sex:</td>
<td></td>
</tr>
<tr>
<td>Male</td>
<td>137 (47.1)</td>
</tr>
<tr>
<td>Female</td>
<td>154 (52.9)</td>
</tr>
<tr>
<td>History of hypertension</td>
<td>215 (73.8)</td>
</tr>
<tr>
<td>Diabetes mellitus</td>
<td>128 (43.9)</td>
</tr>
<tr>
<td>Cardiomyopathy</td>
<td>77 (26.4)</td>
</tr>
<tr>
<td>NYHA class:</td>
<td></td>
</tr>
<tr>
<td>I/II</td>
<td>86 (29.5)</td>
</tr>
<tr>
<td>III</td>
<td>144 (49.5)</td>
</tr>
<tr>
<td>IV</td>
<td>61 (21.0)</td>
</tr>
</tbody>
</table>
### Coronary artery disease

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<tr>
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</thead>
<tbody>
<tr>
<td></td>
<td>159 (55.2)</td>
<td>127 (57.7)</td>
<td>25 (49.0)</td>
</tr>
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</table>

### Tachycardia at admission

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<tr>
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<tbody>
<tr>
<td></td>
<td>85 (29.4)</td>
<td>68 (30.6)</td>
<td>14 (27.4)</td>
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</tbody>
</table>

(> 100 beats/min)

### Low SBP at admission

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<tbody>
<tr>
<td></td>
<td>17 (5.8)</td>
<td>12 (5.3)</td>
<td>2 (3.9)</td>
</tr>
</tbody>
</table>

(< 100 mmHg)

### Valvular diseases:

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<thead>
<tr>
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<tbody>
<tr>
<td></td>
<td>95 (57.5)</td>
<td>75 (59.0)</td>
<td>12 (46.1)</td>
</tr>
</tbody>
</table>

(N= 165) (N= 127) (N= 26) (N= 12)

### Mitral regurgitation

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<tbody>
<tr>
<td></td>
<td>83 (50.3)</td>
<td>64 (50.3)</td>
<td>11 (42.3)</td>
</tr>
</tbody>
</table>

### Tricuspid regurgitation

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<tbody>
<tr>
<td></td>
<td>52 (31.5)</td>
<td>40 (31.5)</td>
<td>7 (26.9)</td>
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</table>

### Aortic insufficiency

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<tbody>
<tr>
<td></td>
<td>31 (18.7)</td>
<td>23 (18.1)</td>
<td>4 (15.3)</td>
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</table>

### Aortic stenosis

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<tbody>
<tr>
<td></td>
<td>14 (8.4)</td>
<td>9 (7.0)</td>
<td>3 (11.5)</td>
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</tbody>
</table>

### Mitral stenosis

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<tbody>
<tr>
<td></td>
<td>4 (2.4)</td>
<td>3 (2.3)</td>
<td>1 (3.8)</td>
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</table>

### LVEF (percent) at admission

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<tbody>
<tr>
<td></td>
<td>34.7 ± 16.5</td>
<td>35.4 ± 16.3</td>
<td>36.1 ± 17.6</td>
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</table>

### Arrhythmias:

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<tbody>
<tr>
<td></td>
<td>101 (34.8)</td>
<td>72 (32.4)</td>
<td>20 (39.2)</td>
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</table>

### Atrial fibrillation

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<tbody>
<tr>
<td></td>
<td>63 (21.7)</td>
<td>46 (20.7)</td>
<td>13 (25.4)</td>
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</table>

### Premature ventricular complex

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<tbody>
<tr>
<td></td>
<td>14 (4.8)</td>
<td>11 (4.9)</td>
<td>2 (3.9)</td>
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</table>

### Ventricular tachycardia

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<tbody>
<tr>
<td></td>
<td>17 (5.8)</td>
<td>13 (5.8)</td>
<td>2 (3.9)</td>
</tr>
</tbody>
</table>

### Supraventricular tachycardia

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<tbody>
<tr>
<td></td>
<td>4 (1.3)</td>
<td>2 (0.9)</td>
<td>1 (1.9)</td>
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</tbody>
</table>

### Other

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<tbody>
<tr>
<td></td>
<td>3 (1)</td>
<td>0 (0)</td>
<td>2 (3.9)</td>
</tr>
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</table>

### High serum creatinine

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<tr>
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</thead>
<tbody>
<tr>
<td></td>
<td>93 (32.7)</td>
<td>61 (28.1)</td>
<td>22 (44.0)</td>
</tr>
</tbody>
</table>

(>1.5 mg/dL)
<table>
<thead>
<tr>
<th>Condition</th>
<th>Value 1 (Mean ± SD)</th>
<th>Value 2 (Mean ± SD)</th>
<th>Value 3 (Mean ± SD)</th>
<th>Value 4 (Mean ± SD)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Low serum Na⁺ (&lt;138 mEq/L)</td>
<td>160 (56.3)</td>
<td>117 (53.9)</td>
<td>29 (58.0)</td>
<td>14 (82.3)</td>
</tr>
<tr>
<td>Low serum K⁺ (&lt;3.5 mEq/L)</td>
<td>22 (7.7)</td>
<td>19 (8.7)</td>
<td>2 (4.0)</td>
<td>1 (5.8)</td>
</tr>
<tr>
<td>Low serum Mg⁺⁺ (&lt;1.3 mg/dL)</td>
<td>7 (2.9)</td>
<td>6 (3.2)</td>
<td>1 (2.5)</td>
<td>0 (0)</td>
</tr>
<tr>
<td>Leukocytosis (count &gt;10⁴/mm³)</td>
<td>53 (19.3)</td>
<td>40 (18.9)</td>
<td>7 (15.2)</td>
<td>6 (35.2)</td>
</tr>
<tr>
<td>Medications:</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Diuretics</td>
<td>213 (73.2)</td>
<td>164 (73.5)</td>
<td>37 (72.5)</td>
<td>12 (70.5)</td>
</tr>
<tr>
<td>ACE inhibitors</td>
<td>182 (62.5)</td>
<td>145 (65.0)</td>
<td>27 (52.9)</td>
<td>10 (58.8)</td>
</tr>
<tr>
<td>Isosorbide</td>
<td>79 (27.1)</td>
<td>64 (28.7)</td>
<td>13 (25.4)</td>
<td>2 (11.7)</td>
</tr>
<tr>
<td>Anticoagulants</td>
<td>167 (57.3)</td>
<td>131 (58.7)</td>
<td>29 (56.8)</td>
<td>7 (41.1)</td>
</tr>
<tr>
<td>Calcium channel blockers</td>
<td>46 (15.8)</td>
<td>33 (14.8)</td>
<td>12 (23.5)</td>
<td>1 (5.8)</td>
</tr>
<tr>
<td>Amiodarone</td>
<td>28 (9.6)</td>
<td>22 (9.8)</td>
<td>3 (5.8)</td>
<td>3 (17.6)</td>
</tr>
<tr>
<td>Beta-blockers</td>
<td>38 (13.0)</td>
<td>33 (14.8)</td>
<td>4 (7.8)</td>
<td>1 (5.80)</td>
</tr>
</tbody>
</table>

*Mean ± standard deviation or number (%) of patients; † Based on echocardiography done in 165 patients. ACE, angiotensin-converting enzyme; anticoagulants, warfarin sodium; aspirin; beta-blockers, carvedilol, metoprolol, atenolol; diuretics, furosemide, hydrochlorothiazide, Spironolactone; LVEF, left ventricular ejection fraction by echocardiography; N, number; NYHA, New York Heart Association; SBP, systolic blood pressure.
During a mean hospital stay of 5 ± 4 days (range, 0 to 28 days) 17 (6%) patients died of progressive pump failure. The mortality distribution of patients in 3 baseline temperature groups is presented in Table 2. Temperature at admission had a non-normal distribution (Kolmogorov-Smirnov test; \( P=0.051 \)), with a mean of 97.31.1°F in all patients, 97.31.0°F in survivors and 96.61.0°F in non-survivors and the difference between the two was significant (Mann-Whitney test; \( P=0.005 \)). Admission temperature was significantly associated with time to in-hospital death on univariate survival analysis, and unadjusted hazard ratio for \( T_{\text{adm}} [95.5 - 96.5^\circ\text{F}] \) was 2.95 (CI\(_{95\%}\) 0.93-9.32, \( P=0.065 \)), and for \( T_{\text{adm}} < 95.5^\circ\text{F} \) was 5.83 (CI\(_{95\%}\): 1.80-18.79, \( P=0.003 \)). Figure 1 presents the cumulative survival functions, showing decreased survival for patients with temperatures of <95.5°F.

**Table 2.**

Adjusted Hazard Ratios of the Association of Body Temperature on Admission and Time to In-Hospital Death

<table>
<thead>
<tr>
<th>Temperature (°F)</th>
<th>Patient Demographic Characteristics*</th>
<th>No. (%) of Events *</th>
<th>Adjusted Hazard Ratio, † CI(_{95%}) and ( P)-value</th>
</tr>
</thead>
<tbody>
<tr>
<td>≤95.5</td>
<td>N=17; Age (y): 73±9 (SD); M:F 9:8</td>
<td>5 (29.4%)</td>
<td>4.46 [1.38- 14.3] (( P=0.012 ))</td>
</tr>
<tr>
<td>95.6 to 96.5</td>
<td>N=51; Age (y): 67±10 (SD); M:F 29:22</td>
<td>5 (9.8%)</td>
<td>2.76 [0.86- 8.80] (( P=0.085 ))</td>
</tr>
<tr>
<td>&gt;96.5</td>
<td>N=223; Age (y): 67±14 (SD); M:F 99:124</td>
<td>7 (3.1%)</td>
<td>1.00</td>
</tr>
<tr>
<td>All</td>
<td>N=291; Age (y): 73±13 (SD); M:F 137:154</td>
<td>17 (5.8%)</td>
<td>( P \text{ for trend} = 0.0283 )</td>
</tr>
</tbody>
</table>

* The difference of ages in the 3 groups was nonsignificant (Kruskal-Wallis Test); the difference of gender distribution in the 3 groups was nonsignificant (Cramer's V); † Adjusted for New York Heart Association functional class (III or IV vs. reference group of I and II); F: female; M: male; N: number; yr: years
In addition to admission body temperature, the following variables were associated with mortality in this cohort of CHF patients: male gender, high NYHA functional class, low systolic blood pressure, ventricular tachycardia, supraventricular tachycardia, and high serum creatinine. Of those, NYHA functional class, high serum creatinine, and low systolic blood pressure were associated with hypothermia, and only NYHA functional class materially affected the hazard ratio of hypothermia.

Admission temperature was significantly associated with time to in-hospital death on univariate survival analysis. Hazard ratios, their confidence intervals and associated P-values for $T_{adm}$ [95.5 - 96.5°F] and for $T_{adm} < 95.5°F$, adjusted for NYHA functional class are presented in Table 2. The case-fraction
weighted average of excess hazard associated with hypothermia on admission at these two levels was 41.5%. The trend of the increase of hazard ratio from normothermic ($T_{adm} > 96.5\, ^{\circ}F$) to hypothermic was significant as well ($C^2$ trend = 4.812, $P = 0.0283$). Admission temperature remained significantly associated with time to in-hospital death in the final multivariate model for time to death for the whole population. Hazard ratios and their corresponding confidence intervals for admission temperature in this model were $3.03$ [CI$_{95\%}$ 0.91-10.03, $P=0.069$] for $T_{adm} [95.5 - 96.5\, ^{\circ}F]$, $3.48$ [CI$_{95\%}$ 1.04-11.69, $P=0.0430$] for $T_{adm} <95.5\, ^{\circ}F$, adjusted for gender, NYHA functional class, hypotension, and presence of ventricular tachycardia.

**Discussion**

This study of patients with CHF identified a novel predictor of mortality: mild hypothermia. Temperature at the time of admission to hospital was studied together with other known bedside, electrophysiologic and laboratory variables routinely measured in a teaching hospital. Hypothermia emerged as an independent bedside predictor of death caused by progressive pump failure and retained significance after adjustment for potential confounders. NYHA functional class was the only factor found to confound the association of hypothermia and time to in-hospital death among these patients. NYHA functional class, a measure of a patient’s level of physical activity, has confounded this association probably because body temperature would be lower in patients with less activity. The finding that hypothermia stayed significant after adjusting for NYHA showed that the association was independent of a patient’s level of physical activity. Patients who had admission body temperatures of 95.5 °F or below were 4.46 times more likely to die of progressive pump failure at any time during their hospital stay as compared to patients who had admission body temperatures above 96.5 °F, adjusted for baseline NYHA functional class, and cumulative survival was significantly decreased for hypothermic patients (Figure).

These results confirmed our previous pilot study that used a different design. In that small, preliminary case-control study published in abstract form (8) we found that 50% of CHF nonsurvivors were hypothermic. In the present study, we examined more variables and included a larger number of patients receiving current therapies. The common finding in all these studies was the predictive value of hypothermia. This is the first full publication of this novel finding.
Robustness of findings
As in all chart reviews, missing and non-standard data made it impossible for all published prognostic variables to be included. Nevertheless, the significance of temperature as a predictor of death was strongly suggested by univariate analysis and multivariate comparisons. Moreover, we only used the last admission of patients in the period of a year for inclusion in the study. While this made survival data simpler to interpret for the study of the association of hypothermia and time to in-hospital deaths, it made it impossible to use information pertaining to previous admissions as potential risk factors.

Additionally, because measured temperatures were not recorded in a uniform fashion (i.e. patients might have oral, aural, rectal and bladder temperature measurements on admission), this would presumably lead to bias in the estimation of the prognostic value of hypothermia. Similarly, the study included only temperatures on admission. Continuous temperature monitoring might augment the prognostic value of temperature measurement in CHF patients.

One other issue of note was the identification of pump failure. Reviewers had a priori set criteria for defining pump failure death. Nonetheless, there remains the possibility of reviewer bias in the study, which could not be addressed by blinding because of the logistics of the study.

Temperature and Other Recognized Prognostic Factors
Clinically, CHF prognostic predictors can be grouped into 4 sets: bedside (or office), electrophysiologic, hemodynamic, and biochemical variables. Since physicians often monitor CHF patients by telephone, in the office, or on hospital rounds, and manage their conditions by evaluating their symptoms and physical findings, bedside factors may have the most influence on the management of CHF. Electrocardiography, echocardiography, and laboratory tests are not obtained as frequently as vitals signs. Most bedside predictors that have traditionally been considered strong, such as advanced age, male gender, coronary artery disease as the etiology of CHF, an S3 gallop, low systolic arterial pressure, low pulse pressure, or poor NYHA status, apply to a limited number of patients and account for only a portion of the variance. In the present study, hypothermia was found to be a significant predictor of progressive pump failure death, while age, coronary artery disease and several other known risk factors were not. Hemodynamic variables including an abnormal left and
right ventricular ejection fraction $^{2,4,5,12,13}$ and maximal oxygen consumption during exercise $^{14}$ predict prognosis but are difficult to monitor on a continuous basis. Predictive biochemical variables, including plasma norepinephrine $^{15,16}$ and plasma atrial and brain natriuretic peptides $^4$ likewise are not monitored continuously. Frequent ventricular extrasystoles $^{17}$ and ventricular tachycardia $^{10}$ are better predictors of sudden arrhythmic death than of progressive CHF. Therefore, if confirmed in future studies, hypothermia could be useful for continuous monitoring of CHF patients.

**Pathophysiologic implications of findings**

The potential mechanisms of hypothermia discussed below are speculative. As tissue oxygen delivery decreases, maximal tissue oxygen extraction capability is exceeded, oxygen consumption declines, and heat production decreases. $^{18,19}$ Other factors that might lower body temperature include malnutrition; $^{20}$ cell senescence; vasodilator therapy (likely to transfer core heat to the skin so that heat is lost to the environment); norepinephrine and epinephrine; $^{21}$ brain stem hypoxia, and venous congestion causing decreased liver and/or gastrointestinal metabolism.

Metabolic factors are probably significant: CHF patients have increased lactate levels that, together with increased resting basal metabolic rates $^{22}$ indicate inefficient use of oxygen. Indeed, in some CHF patients, muscle and femoral temperatures decrease at the beginning of exercise. $^{23}$ Additionally; pulmonary artery temperature decreases after 5 to 10 minutes of exercise, whereas in the normal population it increases after exercise. $^{24}$

**Therapeutic implications**

Better identification of prognostic variables in CHF might have significant practical implications for patient selection and success of heart transplantation in these patients. In a recent study that used the presence of coronary artery disease, intraventricular conduction delay, left ventricular ejection fraction, heart rate, serum sodium concentration, mean arterial pressure, and peak oxygen uptake to stratify CHF severity in heart transplant candidates, there were no significant intergroup differences in the outcome of transplantation, $^{25}$ and only the sickest patients benefited from transplantation.

Additionally one might ask, if hypothermia is a marker of risk in CHF, does it contribute to mortality? Hypothermia, long recognized as an ominous predictor of death in trauma victims,
(26) has been found to independently contribute to death in those patients. (27) In CHF patients, cold has been reported to exacerbate their condition. (28,29) Besides increasing the heart rate and blood pressure, cold triggers vasoconstriction, which increases the afterload and can cause coronary constriction. (30) Cold increases plasma norepinephrine (28,29) and endothelin-1 levels; (29) and both norepinephrine and endothelin-1 predict CHF outcome. Norepinephrine can increase myocardial oxygen demand (due to increased inotropy and chronotropy) and trigger coronary spasm, arrhythmias, and platelet aggregation. Severe hypothermia is a well-recognized cause of coagulopathy. (26,27) Studies of conditions other than CHF suggest that treatment of hypothermia might lessen complications such as metabolic acidosis and arrhythmias. (31) Alterations in temperature and pH negatively affect the dissociation curve of oxyhemoglobin in human blood. (31) Also, hypothermia can cause shivering, which may further stress the heart because of the increased cardiac output necessary to supply the involved muscles. (24)

In summary, there are several plausible biological mechanisms by which cold may increase the risk of mortality in CHF. Several of these, such as vasoconstriction, are amenable to therapy. Indeed, warming may itself be therapeutic. In two reports by one research group, (32,33) thermal therapy in CHF patients improved symptoms, hemodynamic variables and levels of biochemical markers but mortality was not investigated. These findings, together with the fact that not all hypothermic patients died and those who died did not do so in a matter of minutes, but over several days, argues against the notion that hypothermia is an agonal event or that treatment is futile.

In order to better determine if, which and when additional interventions might be needed for hypothermic CHF patients, prospective studies are needed. One potential design for such a study is a trial, which would allocate hypothermic and normothermic CHF patients to an intensified care protocol. The intensified care protocol might include warming, further laboratory workout, use of continuous physiologic monitoring, and the like. Comparison of the results in the hypothermic patients who did and did not receive the intensified treatment will determine whether these patients can be saved.

**Conclusion**

Hypothermia appears to be a novel univariate and multivariate predictor of imminent death in hospitalized CHF patients. Prospective studies are needed to determine whether hypothermia can help identify patients who benefit from intensified
therapies. The physiology of exposure to cold suggests several mechanisms by which cold may increase mortality, but further studies are needed to elucidate the molecular basis of CHF's relationship to temperature and to determine whether maintenance of normal temperature can reduce mortality.

References


11. Bart BA, Shaw LK, McCants CB, et al. Clinical determinants of mortality in patients with angiographically diagnosed...


Project I.D.
Up-regulation of P450: A Natural, Broad-Based Defense Against Chemical and Biological Threats

Investigator: Henry W. Strobel, Ph.D.

INTRODUCTION

The cytochrome P450 4F and 2D subfamilies play a major role in modulating the inflammatory cascade initiated by chemical and biological agents as well as by physical insults. Chemical and biological agents tend more often to have multi site systemic effects whereas one would expect a physical blow (i.e. head trauma) to be confined to a single site. At the outset of our studies we proposed a two pronged approach to define the role of CYPs 4F and 2D by using two modules of inflammation based on the proposition that head trauma led to focal injury whereas biological and chemical agents resulted in multi site systemic responses.

Closed head injury patients pose one of the most difficult clinical circumstances to address. Traumatic brain injury (TBI) model in rat closely simulates closed head injury in humans who have been in traffic accidents or experience blunt trauma. TBI initiates complex biochemical cascades including induction of genes whose protein products contribute to production of pro-inflammatory eicosanoids like leukotrienes and prostaglandins. The cytochrome P450 enzymes (CYPs) are involved in a diverse array of physiological and xenobiotic metabolic pathways. CYP4F subfamily in particular metabolizes leukotriene B_4 and prostaglandins A_1 and E_1 to their inactive products thereby limiting immigration of cells, fluids and ions to the site of injury or infection.

The overall hypothesis of this proposal is that leukotriene and prostaglandin ω-hydroxylases i.e. CYP4Fs play an important role in response to TBI by regulating concentrations of their substrates. This hypothesis is illustrated in the following diagram.
The preliminary data suggests that during the pro-inflammatory phase after injury, elevation of leukotriene and prostaglandin mediators occurs due to increase in 5-lipoxygenase (5-LOX) and cyclooxygenase-2 (COX-2) with a concomitant decrease in CYP4F expression. With time these conditions reverse. At 2 weeks after injury, increased CYP4F and decreased 5-LOX and COX-2 expression reduce the concentrations of leukotrienes and prostaglandins leading to recovery and repair.

Increased prostaglandin and leukotriene $B_4$ levels are associated with the initial response phase in traumatic injury (as shown in the diagram) and these signals prompt the accumulation of ions, fluids and cells into the injured area resulting in the classic dolor, rubror, calor, and turgor associated with inflammation. We have observed that cyclooxygenase II (COX II) levels and lipoxygenase 5 (LOX-5) levels peak at 24 hours after traumatic brain injury and that at the same time two of the cytochromes P4504F subfamily members are suppressed to their lowest levels in brain enabling leukotriene $B_4$ and prostaglandins to rise to their highest levels.

After 24 hours and increasing to 2 weeks after injury (the recovery period) CYP4F levels rise to above base line levels while COX II and LOX-5 levels fall back to baseline levels allowing the CYP4F enzymes to degrade the elevated levels of leukotriene $B_4$ and prostaglandin $A_1$ and $E_1$ thereby moderating inflammation. We determined these results using Real Time Quantitative PCR and Western blot techniques using a polyclonal antibody to antibodies. These results have led to our hypothesis that the CYP4F subfamily mediates recovery from traumatic injury especially head trauma. This hypothesis when established has military significance in terms of evolving modalities to cope immediately with battlefield injuries as well as medical significance in terms of fostering recovery from inflammatory diseases before surgical intervention is required.
Thus we focused on the effects of brain trauma and chemical/biological prompts of the inflammatory cascade on the CYP4F and 2D regulation of inflammation.

**BODY**

Injury whether physical, chemical or biological initiates changes in the body's natural defense systems including the expression of the Cytochrome P450 4F subfamily. We have shown in a body of work summarized below that injury and insult stimulate the expression of cytooxygenase II (COX-II) and lipoxygenase-5 (LOX-5) in the same time frame as expression of the CYP 4F subfamily is suppressed. Both the induction of COX-2 and LOX-5 lead to the production of signals (prostaglandins and leukotriene B4 respectively) which promote inflammatory response while the CYP4Fs metabolize these signals and lead to recovery from inflammation. At 24 hours after onset of injury, LOX-5 and COX-II reach maximum expression increasing production of inflammatory signals while CYP4Fs are reduced to their lowest levels of expression contributing to the rise in inflammatory signals. After 24 hours the recovery period
(reduction of LOX-5 and COX-II expression and increased expression of CYP4Fs) leads to reduction of inflammation by metabolism of the signals to products incapable of prompting inflammation.

Remarkable in this process is the finding that carefully controlled physical injury to the brain of experimental animals leads to an inflammatory response in liver lung heart and kidney in addition to the site of injury, the brain. This is consistent with many clinical studies pointing out that major complications of closed head injury patients stem from management of heart, lung and kidney functions.

How does signaling of injury occur between brain and extra cranial tissues? Our evidence so far implicates the agency of cytokines especially IL-1β, IL-6, TNF-α etc. We can replicate changes seen in liver CYP4F expression of animals treated with lipopolysaccharide by treatment of cultured hepatocytes with IL-1β. These discoveries are being pursued.

KEY RESEARCH ACCOMPLISHMENTS

The key research outcomes for this project will be reported in the Reportable Outcomes Publications sections combined together.

- cloning, expression and characterization genome structure of
- demonstration that mice involves the PPARα receptor
- demonstration that traumatic brain injury results in loss of CYP4F expression concomitant with elevation of cyctooxygenase II and lipoxygenase 5 expression in brain
- demonstration that specific injury in brain leads to dramatic increases in the inflammatory cascade in extra-cranial tissues such as lung, liver, kidney and heart
demonstration that the CYP4F subfamily isoforms catalyze the metabolism of therapeutic drugs at a brisk rate (CYP4F 11 is about as good as CYP3A4 for metabolism of erythromycin)

demonstration of CYP4F changes in kidney may lead to alterations in kidney function

REPORTABLE OUTCOMES

Publications


CONCLUSIONS

- CYP4F isoforms, differentially distributed in extra cranial tissues, metabolize leukotriene B4 to different products, which may have vastly different functional consequences.

- Regulation of CYP4F by cytokines may be an important regulatory mechanism in response to inflammation.

- The pronounced ability of the CYP4Fs to metabolize drugs used clinically to regulate lung function and heart output/rate (eq theophyline or verapamil) may be a source of complexity in management of combat injuries since the very enzymes which increase to resolve inflammation also clear supportive therapeutic agents faster as their expression increases.
Project I.E.
Detection and Quantitation of Bacillus anthracis in Macrophages

Investigator: Theresa M. Koehler, Ph.D.

Abstract
The poly-D-glutamic acid capsule of Bacillus anthracis is essential for virulence. Control of capsule synthesis occurs at the level of transcription and involves positive regulation of the capsule biosynthetic operon capBCAD by a CO₂ signal and three plasmid-borne regulators: atxA, acpA and acpB. Although the molecular mechanism for control of cap transcription is unknown, atxA affects cap expression via positive control of acpA and acpB, two genes with partial functional similarity. Transcriptional analyses of a genetically complete strain indicate that cap expression is several hundred-fold higher during growth in 5% CO₂ compared to growth in air. atxA was expressed appreciably during growth in air and induced only 2.5-fold by CO₂. In contrast, expression of acpA and acpB was induced up to 23-fold and 59-fold respectively by CO₂. 5'-end mapping of gene transcripts revealed atxA-regulated and atxA-independent apparent transcription start sites for capB, acpA and acpB. Transcripts mapping to all atxA-regulated start sites were increased during growth in elevated CO₂. The acpA gene has one atxA-regulated and one atxA-independent start site. acpB lies downstream of the capBCAD operon. A single atxA-independent start site maps immediately upstream of acpB. atxA-mediated control of acpB occurs via transcriptional read-through from capBCAD and atxA-dependent start sites 5' of capB. Two atxA-regulated and one atxA-independent start site map upstream of capB. Transcription from the atxA-regulated start sites was significantly reduced in an acpA acpB double mutant but unaffected in mutants deleted for only acpA or acpB, in agreement with the current model for the epistatic relationships between the regulators.

Introduction
Control of virulence gene expression in Bacillus anthracis is highly dependent upon atxA, a regulatory gene located on virulence plasmid pXO1 (3). In genetically
complete strains harboring pXO1 and the second virulence plasmid pXO2, atxA acts as a global regulator controlling expression of the pXO2-encoded capsule biosynthetic gene operon, \textit{capBCAD} (3, 6, 9, 17), the toxin structural genes, \textit{pagA}, \textit{lef} and \textit{cya} on pXO1 (4, 11, 16), and a number of other genes located on the plasmids and chromosome (3).

The mechanism by which atxA exerts its affect on target gene transcription is unknown. A direct affect of atxA on transcription has not been demonstrated for any atxA-controlled gene.

In our current model for capsule gene regulation, atxA controls \textit{cap} gene transcription and capsule synthesis via the positive regulation of two pXO2-encoded regulators \textit{acpA} and \textit{acpB}. The model arose from studies employing a genetically complete (pXO1÷ pXO2÷) parent strain and isogenic mutants deleted for atxA, \textit{acpA} and/or \textit{acpB} (6). In pXO1÷ pXO2÷ strains, while deletion of \textit{acpA} or \textit{acpB} alone does not appreciably affect \textit{capB} transcription or capsule synthesis, an \textit{acpA acpB} double mutant exhibits drastically reduced \textit{capB} transcription and is noncapsulated. Thus, \textit{acpA} and \textit{acpB} have some functional similarity. The amino acid sequences of the predicted products of these genes are approximately 62% homologous. Moreover, the proteins also share significant amino acid sequence similarity with the predicted product of atxA.

For many atxA-controlled genes, including \textit{acpA} and \textit{acpB}, expression is induced during growth in 5% atmospheric CO$_2$ or in media containing bicarbonate (2, 6, 10, 11, 14, 17, 18). CO$_2$-induced transcription of all three toxin genes has been demonstrated in experiments employing promoter-reporter gene fusions (2, 11, 14). RNA slot blot analysis of the \textit{capB}, the first gene of the capsule biosynthetic operon, and \textit{acpA} transcripts demonstrated an increase in both transcripts during culture in elevated CO$_2$ (17, 18). We recently demonstrated elevated \textit{acpB} expression during growth in 5% CO$_2$ using RT-PCR (6).

CO$_2$/bicarbonate is likely to be a physiologically significant signal encountered by the bacterium in the host environment. Concentrations of bicarbonate/CO$_2$ (15-40mM) in the bloodstream of the host (5), are comparable to the concentration of bicarbonate/CO$_2$ present in the bicarbonate-supplemented growth media during culture in vitro (48mM). Although induction of \textit{cap} gene expression \textit{in vivo} has not been assessed quantitatively, our recent experiments employing a mouse model for inhalation anthrax
S. W. Casscells, M.D.  

DREAMS:STAT Report

demonstrate the importance of the capsule biosynthetic operon and its regulators during infection (7). The noncapsulated acpA acpB mutant is completely attenuated in the mouse model. The LD₅₀ and MTD (mean time to death) for the mutant were comparable to those of a mutant/deleted for the entire capsule biosynthetic gene operon, capBCAD, suggesting that the regulators function similarly during in vivo and in vitro growth.

Here we further investigate the expression patterns of capB and the cap gene regulators, acpA and acpB, with respect to the CO₂/bicarbonate signal during culture in vitro. We also identify atxA and CO₂-controlled transcripts of capB, acpA and acpB to further elucidate the relationships between these regulators and this important cue.

Materials & Methods

Strains. Table 1 contains a complete list of strains, including plasmid content and relevant genotypes.

**Table 1.** Strains used in this study

<table>
<thead>
<tr>
<th>Strain name</th>
<th>Plasmid content</th>
<th>Genotype</th>
<th>Relevant characteristics</th>
<th>Reference</th>
</tr>
</thead>
<tbody>
<tr>
<td>UT500</td>
<td>px01⁺ px02⁺</td>
<td>--</td>
<td>px02 from 6602 transduced into 7702</td>
<td>(3)</td>
</tr>
<tr>
<td>UT501</td>
<td>px01⁺ px02⁺</td>
<td>atxA</td>
<td>Km⁺</td>
<td>(3)</td>
</tr>
<tr>
<td>UT502</td>
<td>px01⁺ px02⁺</td>
<td>acpA</td>
<td>Sp⁺</td>
<td>(3)</td>
</tr>
<tr>
<td>UT525</td>
<td>px01⁺ px02⁺</td>
<td>acpB</td>
<td>Km⁺</td>
<td>(6)</td>
</tr>
<tr>
<td>UT526</td>
<td>px01⁺ px02⁺</td>
<td>acpA acpB</td>
<td>Sp⁺ Km⁺</td>
<td>(6)</td>
</tr>
<tr>
<td>UT538</td>
<td>px01⁺ px02⁺</td>
<td>atxA acpB</td>
<td>Sp⁺ Km⁺</td>
<td>(7)</td>
</tr>
</tbody>
</table>

a Abbreviations: Km⁺, kanamycin resistance; Sp⁺, spectinomycin resistance.
Media and growth conditions. For analysis of gene expression during growth in elevated CO₂, strains were grown in conditions known to promote capsule synthesis as described previously (6, 8). NBYCO₃ medium was Nutrient Broth Yeast (8) supplemented with 0.8 % sodium bicarbonate (wt/vol). LBgoth was Luria-Bertani (1) broth containing 0.5 % glycerol to suppress sporulation. When indicated, media contained kanamycin (50 g/ml) (Fisher Scientific, Pittsburg, PA) and/or spectinomycin (100 g/ml) (Sigma-Aldrich, St. Louis, MO). Briefly, 30 ml of LBgoth (plus antibiotic[s] when required) in a 250-ml flask was inoculated with vegetative cells from an NBYCO₃ plate. Cultures were incubated at 30°C with agitation (200 rpm) for 12-14 h. Cultures were diluted into 50 ml of NBYCO₃ broth (without antibiotics) to obtain an initial optical density at 600 nm (OD₆₀₀) of approximately 0.1. Cultures were grown in 5 % CO₂ at 37°C with stirring (200 rpm) and sampled at early-, mid-exponential, late-exponential, and early-stationary phases. Under these growth conditions the parent and isogenic mutant strains had similar growth rates. For analysis of gene expression during growth in air, duplicate cultures were grown in unsupplemented NBY broth and incubated in air at 37°C with agitation (200 rpm).

Real-Time (Quantitative) Reverse Transcription PCR (Q-RT-PCR). RNA was extracted from cultures using the protocol and reagents of the Ribopure Bacteria kit (Ambion, Austin, TX). Typically, 10-30 g of RNA was obtained from 1 ml of culture. RNA preparations were treated with DNase-Free (Ambion, Austin, TX) according to the protocol of the supplier. The protocol and equipment used for Q-RT-PCR assays were described previously (6). The primer and probe sequences for the assays are shown in Table 2.
# Table 2. Primer & probe sequences used in QRT-PCR assays.

<table>
<thead>
<tr>
<th>Gene</th>
<th>Accession no.</th>
<th>Forward primer (+)</th>
<th>Anti-sense primer (-)</th>
<th>Probe (5'FAM)(^a)</th>
</tr>
</thead>
<tbody>
<tr>
<td>gyrB</td>
<td>NC003997.3:4584-6506 (+)</td>
<td>ACTTGAAGGACTAGAAGCGAG</td>
<td>TCCCTTTTCCAAGCTAGATCA</td>
<td>CGAAAACGCCCTGGATATGATA</td>
</tr>
<tr>
<td></td>
<td>(-)</td>
<td>TCCTTTTCCAAGCTAGATCA</td>
<td></td>
<td></td>
</tr>
<tr>
<td>atxA</td>
<td>NC001496.1:150042-151469 (+)</td>
<td>ATTTTAGCCCTTGACG</td>
<td>ATTTTTAGCCCTTGACG</td>
<td>CTGTTATCTCTGGAATATGTTACACA</td>
</tr>
<tr>
<td></td>
<td>(-)</td>
<td>ATTTTTAGCCCTTGACG</td>
<td></td>
<td></td>
</tr>
<tr>
<td>acpA</td>
<td>NC002146.1:68909-70360 (+)</td>
<td>ATATTCTTTACCTCAGAATCAG</td>
<td>ATTTTTAGCCCTTGACG</td>
<td>CAATTTCGAAGCCATTCTAATCTT</td>
</tr>
<tr>
<td></td>
<td>(-)</td>
<td>ATATTCTTTACCTCAGAATCAG</td>
<td></td>
<td></td>
</tr>
<tr>
<td>acpB</td>
<td>NC002146.1:49418-50866 (+)</td>
<td>TTTTTCAATACCTTGGAACCT</td>
<td>TTTTTCAATACCTTGGAACCT</td>
<td>CTTGGAAGATAGGATCTCATTACA</td>
</tr>
<tr>
<td></td>
<td>(-)</td>
<td>TTTTTCAATACCTTGGAACCT</td>
<td></td>
<td></td>
</tr>
<tr>
<td>capB</td>
<td>NC002146.1:56089-57483 (+)</td>
<td>TTTTGAATTACATGTTCTTCC</td>
<td>TTTTGAATTACATGTTCTTCC</td>
<td>ATAAATGGCTGCTGTTTACCC</td>
</tr>
<tr>
<td></td>
<td>(-)</td>
<td>TTTTGAATTACATGTTCTTCC</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

\(^a\) FAM = 6-carboxyfluorescein

## Nonquantitative RT-PCR.
RNA was isolated and DNase-treated as described above for Q-RT-PCR. Nonquantitative RT-PCR was performed using the protocol and reagents supplied in the RETROscript kit by Ambion. The protocol has been described previously (6). Primers MD62 and MD129 were employed. Primer sequences are shown in Table 3.

# Table 3. Primer sequences used in primer extension and non-quantitative PCR assays.\(^a\)

<table>
<thead>
<tr>
<th>Gene</th>
<th>Primer name</th>
<th>Primer sequence (5'-3')</th>
</tr>
</thead>
<tbody>
<tr>
<td>acpA</td>
<td>MD28</td>
<td>CTGTCTGTACGTGAAATATTTT</td>
</tr>
<tr>
<td></td>
<td>MD33</td>
<td>AGAAATGCGCTCAGAAATTG</td>
</tr>
<tr>
<td></td>
<td>MD34</td>
<td>TCCTGCTATATCTTTGTCAT</td>
</tr>
<tr>
<td>acpB</td>
<td>MD62</td>
<td>TGTAACCTTTTTCTTTCGCT</td>
</tr>
<tr>
<td></td>
<td>MD64</td>
<td>TACCGCTTTTTTAAAGATGT</td>
</tr>
<tr>
<td></td>
<td>MD65</td>
<td>GTCAAGATCCCTGTTTGGTA</td>
</tr>
<tr>
<td></td>
<td>MD108</td>
<td>GATCCGAGGTGGTTCCAAT</td>
</tr>
<tr>
<td></td>
<td>MD129</td>
<td>AGGCTTAAATTAAGACAGA</td>
</tr>
<tr>
<td>capB</td>
<td>PE2</td>
<td>CCATTTCTATACTAGATTTGAG</td>
</tr>
</tbody>
</table>

82
DNA oligonucleotides were purchased from Integrated DNA Technologies (Coralville, Iowa). PCRs were performed using a PE-Applied Biosystems (Norwalk, Conn.) PCR system 9700.

Primer extension. For primer extension analysis, RNA was extracted from cultures using RNAwiz (Ambion, Austin, TX) according to the protocol provided by the supplier. Typically between 20-100 μg of RNA was obtained per ml of culture. The method for primer extension analysis has been described previously (11, 15). Briefly, 30-50 μg of RNA was incubated in the presence of end-labeled primer [γ-32P] ATP (10mCi/ml). Reverse transcription reactions were carried out with Superscript (Invitrogen, Carlsbad, CA). Primer sequences are listed, in Table 3. Primers MD28, MD33 and MD34 were used for analysis of acpA transcripts. Primers MD62, MD64, MD65 and MD108 were used for analysis of acpB transcripts. For analysis of the capB gene, the original primer (PE2) developed by Uchida et al (17) was employed.

The 5' ends of the acpA, acpB and capB genes were sequenced using the fmol Sequencing Kit (Promega, Madison WI) according to protocol of the supplier. Primers employed in the sequencing reactions were the same primers used for the corresponding primer extension reactions (listed above).

Results

Quantitative and temporal assessment of CO2-enhanced capB, acpA, and acpB expression during culture. Although CO2-enhanced expression of capB, acpA, and acpB has been reported, the expression patterns of the regulators and the capsule biosynthesis gene during culture were not known. We used quantitative real-time RT-PCR (Taqman) to accurately measure capB transcript levels during growth in air and in 5% atmospheric CO2 (Figure 1A). The capB gene is the first gene in the capsule biosynthetic gene operon. Throughout growth, capB transcript levels were 57- to 448-fold higher when cells were cultured in the presence of 5% CO2, compared to cells grown in air. capB transcription was extremely low during growth in air, but increased 12- to 15-fold as the culture entered late-exponential growth phase (Fig. 1A box insert). The highest capB transcript
levels observed during growth in air were still remarkably less than levels observed at any time throughout growth in elevated CO₂.

transcript levels detected during growth in elevated CO₂ and during growth in air for (A) capB (B) atxA, acpA and acpB. Transcript levels shown represent four different data sets that were normalized to gyrB transcript levels. A representative growth curve is shown for each experiment. The boxed inset in A shows capB transcript levels using a different scale.
We used the same method to assess the relative transcript levels of \textit{atxA}, \textit{acpA} and \textit{acpB} throughout growth in the presence of elevated CO\textsubscript{2} and during growth in air (Figure 1B). Q-RT-PCR results revealed that during growth in air, \textit{acpA} and \textit{acpB} expression was relatively unchanged and very low. \textit{atxA} was expressed at a higher level than either \textit{acpA} or \textit{acpB} (2 to 5-fold) and expression levels were highest at late-exponential phase under this growth condition. The expression of \textit{acpA} and \textit{acpB} was markedly higher in 5\% CO\textsubscript{2} than during culture in air. Expression of the \textit{acpA} and \textit{acpB} genes was induced 16- to 23-fold and 7- to 59-fold, respectively, depending on the growth phase. In contrast, the presence of CO\textsubscript{2} only affected \textit{atxA} gene transcription approximately 2.5-fold.

**The \textit{capB} gene has \textit{atxA}-regulated and \textit{atxA}-independent transcriptional start sites.** A previous report indicated two major transcriptional start sites for \textit{capB}, P1 and P2, located 731bp and 625 bp upstream of the translational start, respectively (Figure 2) (17). These results were obtained from experiments employing strains lacking both virulence plasmids and carrying \textit{capBCA} and \textit{acpA} or \textit{atxA} on multi-copy vectors. The data indicated that P1 and P2 were \textit{atxA}- and \textit{acpA}-regulated, but \textit{acpA} had a much larger effect on transcription than \textit{atxA} (17). We performed \textit{capB} primer extension reactions using the same primer as Uchida et al. (PE2, (17)) and RNA obtained from the pX01\textsuperscript{+} pX02\textsuperscript{+} strain UT500 and isogenic mutants deleted for specific regulatory genes. All cultures were grown to mid-exponential phase in air or in elevated CO\textsubscript{2}.

In contrast to the previous report, we found that transcripts mapping to P1 and P2 was reduced in the \textit{atxA} mutant, but unaffected in the \textit{acpA} mutant (Fig. 3A). Transcription from P1 and P2 was also unaffected in the \textit{acpB} mutant. Nonetheless, steady state levels of transcripts originating at P1 and P2 decreased appreciably in the \textit{acpA} \textit{acpB} double mutant. These results are in accord with our previously established model for \textit{capB} gene regulation (6) in which one of the two regulators, \textit{acpA} or \textit{acpB}, is required for \textit{atxA}-mediated trans-activation of \textit{capB} transcription. Deletion of either \textit{acpA} or \textit{acpB} does not significantly affect \textit{capB} transcripts because the two regulators have some partial functional redundancy.
FIG. 2. Model showing transcriptional start sites for the capsule biosynthetic gene operon (capBCAD) and the cap gene regulators, acpA and acpB. Nucleotides corresponding to the transcriptional start sites are in bold and underlined. atxA/CO₂-regulated start sites are denoted with an asterisk. P1 and P2 upstream of capB were reported previously by Uchida and co-workers (17).

Our data also revealed a previously unidentified transcriptional start site, P3 that is located 796 bp upstream from the translational start site of capB (Fig. 2). P3 transcription is not affected by acpA or acpB (Fig. 3). We observed a slight but reproducible increase in P3 transcription in the atxA-null mutant suggesting that other factors may be involved in regulation of transcription from this start site. It is unlikely that P1 and P2 transcripts are the result of atxA-dependent processing of the P3 transcript since P3 transcription remained unchanged in the acpA acpB mutant as P1 and P2 transcripts decreased significantly. Other bands (unlabelled in Figure 3) were visualized consistently but did not appear to be affected by the capsule gene regulators. It is likely that these minor bands correspond to regions of RNA with secondary structure that lead to pausing of reverse transcriptase. The B. anthracis genome is A-T rich (65% for the chromosome, 67.5% for pXO1 and 67% for pXO2) (13). Alternatively, the bands may represent processed P3 transcripts.
FIG. 3. Primer extension of capB transcripts. PE2 primer was employed (17). (A) RNA was extracted from cells grown in 5% CO₂. Lane 1: UT500; lane 2: UT501 (atxA); lane 3: UT502 (acpA); lane 4: UT525 (acpB); lane 5: UT526 (acpA acpB). (B) RNA was extracted from cells as shown: Lane 1: UT500 - 5% CO₂; lane 2: UT500 - air; Lane 3; UT501 (atxA) - 20% CO₂.

atxA has been linked to CO₂-enhanced gene expression in B. anthracis (10, 11). Previous reports of toxin gene expression have indicated atxA/CO₂-regulated transcriptional start sites for lef, cya, and pagA (4, 11). The lef and cya genes each have one apparent start site that is atxA-dependent and CO₂-induced (4). The pagA gene has two apparent start sites; one is constitutively expressed at a low level, while the other is atxA-dependent and CO₂-induced (11). To determine whether the
atxA-regulated transcriptional start sites observed for the capB gene were also influenced by CO₂, we compared the primer extension results obtained using RNA from the parent strain cultured in air and RNA isolated from the parent strain grown in elevated CO₂ (Fig. 3B). Levels of transcripts corresponding to both atxA-regulated start sites, P1 and P2, were elevated during growth in 5% CO₂. Thus, the low level of capB transcript detected during aerobic growth (Fig. 1A) most likely correlates with transcripts initiating at P3.

We searched for consensus sequences upstream of the capB transcriptional start sites to reveal putative promoter regions. Conical -10 and -35 sequences typical of B. subtilis σ^A promoters were not apparent upstream of the P3 start site, suggesting that additional regulatory mechanisms may be involved in controlling transcription at this start site. We noted a potential -10 but not a -35 consensus sequence upstream of P1. Prototypical -10 and -35 sequences were not readily identifiable for the P2 start site indicating that P2 may be a break down product of P1, as suggested previously by Uchida (17).

**acpB is co-transcribed with the cap operon.** acpB transcript levels decrease significantly (15-fold) in the absence of atxA (6). We performed 5’end mapping experiments using RNA isolated from the parent strain and the atxA-null mutant to map atxA-regulated and atxA-independent transcriptional start sites for acpB and reveal potential promoter sequences. Using various primers, we identified a single transcriptional start site, P1, located 310 bp upstream from the translational start codon (Fig. 2 and Fig. 4). A weak -10 promoter sequence (GATAAT) was identified upstream of this start site, but a canonical -35 sequence was not readily discernible. Surprisingly, the steady state level of this transcript was unchanged in the atxA mutant and no other apparent start sites were noted in the capD-acpB intergenic region (data not shown).
The acpB gene is located approximately 2 kb downstream and in the same orientation as the cap gene operon (Fig. 2). The inability to identify an atxA-regulated start site in the region between capD, the last gene of the capsule biosynthetic gene operon and acpB suggested that acpB may be co-transcribed and that atxA-regulation of acpB was the result of transcription associated with the cap operon. We employed RT-PCR to test for transcripts extending from capD to acpB. Using primers corresponding to sequences of the capD and acpB genes we obtained the amplicon shown in figure 5. The data indicate that acpB is co-transcribed with capBCAD and suggest that atxA-mediated regulation of acpB may occur via the atxA-dependent P1 and P2 start sites of capB. This finding is somewhat remarkable given the unusually large size of the predicted mRNA molecule (9 kb).
FIG. 5. RT-PCR of the capD-acpB region. (A) capD-acpB transcript was detected. Lane 1, DNA markers; lane 1, cDNA template, + reverse transcriptase; lane 2, cDNA template, - reverse transcriptase; lane 3, DNA template, PCR control (B) Illustration showing location of primers MD62 and MD129 employed in the PCR reactions used in (A). The open arrow indicates the position of pXO2-54.

The acpA gene has atxA-regulated and atxA-independent transcriptional start sites. We performed a similar analysis of the other atxA-controlled cap regulator, acpA. Primer extension analysis of the acpA gene revealed 2 major transcriptional start sites, P1 and P2, located 379 and 353 bp respectively, upstream from the translational start (Fig. 2 and Fig. 6A). P1 expression was unaffected by atxA yet P2 expression was atxA-regulated since P2 transcripts were undetectable in the atxA-null mutant (Fig. 6A). There was no evidence of acpA auto-regulation as P1 and P2 transcription did not vary in the acpA mutant samples. Similarly, in an acpB mutant and an acpA acpB double mutant, the acpA P1 and P2 transcript levels did not change, indicating that atxA-mediated regulation of acpA does not appear to require any of these factors. Similar to the results obtained for the capB gene, transcripts from the atxA-regulated start site of acpA, P2, but not the atxA-independent start site, P1, were
increased during growth in elevated CO₂ (Fig. 6B). Conical -10 and -35 promoter sequences could not be readily identified upstream of either the P1 or P2 sites indicating that the expression of acpA is most likely sigma-A independent.

**FIG. 6.** Primer extension of acpA transcripts. MD28 primer was employed. (A) RNA was extracted from cells grown in 5% CO₂. Lane 1: UT500; lane 2: UT501 (atxA); lane 3: UT502 (acpA); lane 4: UT525 (acpB); lane 5: UT526 (acpA acpB). (B) RNA was extracted from cells as shown: Lane 1: UT500 - 5% CO₂; lane 2: UT500 - air.

**Discussion**

In the work described here, we characterized transcription of capB, the first gene of the *B. anthracis* capsule biosynthetic operon; acpA and acpB, functionally similar cap regulators; and atxA, a master regulator controlling acpA and acpB expression. In light of the complex regulation of capsule synthesis, we wanted to (1) assess temporal expression of capB and the regulators in batch culture, (2) examine expression of the genes in response to elevated CO₂, a signal considered to be important in the host, and (3) establish the apparent
transcriptional start sites for these genes, distinguishing regulated and non-regulated transcripts.

Capsule synthesis and capB gene expression correlate directly. When B. anthracis is grown in nutrient broth yeast medium (NBY) supplemented with 0.8% bicarbonate in an atmosphere of 5% CO₂, capsule is first observed during mid-exponential phase and cells with the largest capsule diameter are visualized at early stationary phase (6). Quantitative RT-PCR analyses performed here, indicate that capB gene expression was several hundred-fold higher during growth in elevated CO₂ than that observed during growth in air. The highest level of capB transcript observed during growth in 5% CO₂ was detected at mid- to late-exponential phase, just before cells become fully capsulated. capB transcription is detected throughout growth in air, yet microscopic examination of India Ink preparations of the parent strain do not show capsule on the surface of cells grown in this environment. This suggests that a minimal level of cap transcript must be attained before capsule can be readily identified on the bacterial cell surface. Temporal expression patterns of acpA and acpB indicated that the transcript levels for both regulators in cultures grown in 5% CO₂ were relatively high at early exponential phase and increased further as the culture grew. Taken together, the Q-RT-PCR data suggest that the CO₂ signal is quickly sensed by the bacterium, leading to a rapid increase in expression of both acpA and acpB that subsequently leads to induction of capB.

Two apparent transcriptional start sites for capB were identified previously (17). However, the regulation of these start sites did not completely agree with the current model for capsule gene regulation in a genetically complete strain. In the work described here, we have established the apparent transcriptional start sites for capB, distinguishing regulated and non-regulated transcripts, in the pX01pX02 strain. Results of primer extension of capB transcripts confirmed two atxA-regulated transcriptional start sites, P1 and P2, as reported previously by Uchida (17). However, in contrast to the previous report, P1 and P2 transcript levels were unaffected in the acpA mutant. It is likely that our findings differ from previously published data because the steady state levels of atxA and acpA are extremely important for regulation of capB expression. In the previous study (17), elevated P1 and P2 transcription was
observed in a pXO1^- pXO2^- strain containing the capBCA genes and atxA or acpA cloned on high copy vectors. Taken together, the data suggest that over-expression of acpA can lead to an increase in P1 and P2 transcription in the absence of atxA. In addition, over-expression of atxA may bypass the requirement for acpA or acpB for cap gene activation. We determined that in the pXO1^+ pXO2^+ acpA acpB strain, cap gene expression is significantly reduced and the strain is noncapsulated, indicating that the levels of atxA normally present in a genetically complete strain are not sufficient for positive regulation of P1 and P2 transcription in the absence of acpA and acpB. The low levels of P1 and P2 transcripts detected in the atxA mutant are likely the result of positive regulation by the low levels of acpA and acpB transcripts present in an atxA mutant. Finally, the non-regulated capB transcription start site P3, was not noted previously by Uchida and co-workers (17). The basal level of capB transcription observed in the noncapsulated acpA acpB mutant and during growth in air most likely results from transcription at this site. A slight increase in P3 transcript was observed in the atxA mutant suggesting an additional level of control.

Primer extension of acpA transcripts revealed an atxA/CO2-regulated transcript (P2) and an atxA-independent transcript (P1). P1 transcription is evident during growth in air and in the absence of atxA. atxA-regulated P2 transcription is induced in the presence of elevated CO2. atxA-independent expression of acpB results from transcription initiating at a start site (P1) immediately upstream of acpB, whereas atxA-mediated regulation of acpB occurs via read-through transcription from the P1 and P2 start sites of the cap operon. The cap-acpB transcript would likely result in a positive feedback loop for acpB expression. Curiously, in UT500, expression of capB is approximately 50-fold higher than expression of acpB. Further work will address the stability of the capBCAD-acpB RNA molecule.

The data described here and work published previously suggest that acpA and acpB levels are limiting for capB transcription in the absence of atxA and/or elevated CO2 (6, 17, 18). B. anthracis strains cultured in air are normally noncapsulated, but overexpression of acpA in a pXO1^- pXO2^- strain leads to capsule production during growth in air (17). Additionally, capB primer extension experiments employing RNA from a pXO1^- pXO2^- strain with
the capBCA genes and acpA cloned on high copy vectors revealed high levels of transcripts mapping to P1 and P2 in the absence of atxA (17).

Transcriptional regulation of atxA is unlike that observed for acpA and acpB. We only observed a small increase (2.5-fold) in atxA transcript levels during growth in elevated CO₂ compared to that observed during growth in air. atxA has a single transcriptional start site and a previous study employing primer extension reactions and Western hybridizations indicated no difference in atxA expression during growth in elevated CO₂ versus growth in air (4). Consensus sequences for promoter recognition by sigma A RNA polymerase (-10 and -35 sequences) have been noted upstream of atxA. Such sequences have not been found upstream of acpA, acpB, or capBCAD. Finally, some data suggest that, in contrast to acpA and acpB, atxA levels are not limiting for target gene expression. Dai et al (4) demonstrated that over-expression of atxA actually leads to a decrease in expression of pagA and decreased toxin synthesis and Sirard et al (14) showed that a second copy of the pagA promoter cloned on pX01 did not affect expression of the pagA gene at the normal pX01 locus.

The complex regulation of capsule gene expression in B. anthracis, which includes the CO₂ signal, a regulatory cascade with functionally similar regulators, multiple regulated and non-regulated transcription start sites, and a positive feedback loop, is made even more intriguing by the amino acid sequence similarity of the three regulatory proteins. The master regulator, AtxA, is approximately 50% similar to the cap regulators AcpA and AcpB. AcpA and AcpB are 62% similar to each other. In all cases, the amino acid sequence similarity is throughout the proteins, and not limited to a specific region or predicted domain. Secondary and tertiary protein structure predictions suggest that the proteins are soluble, basic proteins. They do not contain strong motifs indicative of nucleic acid binding; however, blast results indicate some amino acid homology to the transcriptional regulator Mga (40-45% similar) of Streptococcus pneumoniae and BglG (40-45% similar), an anti-terminator protein in E. coli. Mga has been shown to bind directly to the promoter sequences of the genes that it activates (12), while BglG is an anti-terminator protein that binds to the leader sequence of mRNA to allow read-through of its target genes. Neither DNA- nor RNA-binding activities have been ascribed to the
B. anthracis proteins. Further investigations will be directed toward discovery of the molecular functions of these unique regulators and the mechanism by which their expression and/or function is linked to the CO$_2$/bicarbonate signal.

References


In the DREAMS program for 2004, we continued to provide expert pathology assessment of various human and animal tissues that were obtained as part of the various research projects. Methods of analysis for these tissues included routine gross morphology, histology, lipid analysis, electron microscopy, immunohistochemistry, and quantitative morphometry. These techniques have been extremely useful in allowing investigators throughout the DREAMS program to evaluate tissues from experimental animal models to make quantitative determinations that allow them to test their hypotheses, develop their methods more exactly, and promote the development and refinement of new hypotheses.

In 2004, the pathology core continued to interact extensively with the DREAMS investigators in order to get the maximum information from experimental protocols and thus, provide the best possible understanding of the pathology findings. These analyses were available for studies of tissues (i.e., experimental animal & human) that were obtained by investigators throughout the DREAMS project. In this particular part of the DREAMS program, we have again provided financial assistance for the efforts of Dr. Silvio Litovsky, who provide most of the analyses mentioned above, as well as support for the anatomic and laboratory pathology studies.
Project II.D.
Thermal Detection and Treatment of
Inflammation and Necrosis

Investigator: S. Ward Casscells, M.D.

Work in the area of intravascular thermography is no longer being performed in our laboratories. The primary investigator on that project, Dr. Morteza Naghavi, left our institution back in 2003 in order to pursue private commercialization of several other medical technologies. Being on the original inventors of the thermography basket catheter and one of the founders of Volcano Therapeutics, Dr. Naghavi was paramount to the success of any future thermographic developments. As a result, we chose to terminate efforts to further develop thermography catheters.

The technology developed in this project throughout the DREAMS program was perhaps the most technologically successful. Of the numerous spin-off companies that came about through DREAMS, Volcano was, and still is, a leader in the development of diagnostic catheters for assessment of cardiovascular disease. The acquisition of Jomed helped build their infrastructure enough to exceed 600 employees. Although the company now seems poised to focus on the utility of intravascular ultrasound (IVUS), Volcano’s interest in developing invasive, catheter based approaches for assessing disease was a direct result of our team’s thermography research funded through DREAMS.
S. W. Casscells, M.D. DREAMS:STAT Report

Project II.E.

Initial Evaluation of a New Axial Flow Pump, Inserted by Minimally Invasive Thoracotomy, to Maintain Cardiac Output in a Porcine Model of Cardiogenic and Hemorrhagic Shock

Investigators: O. Howard Frazier, M.D.
Branislav Radovancevic, M.D.

In 2004, the laboratory of Dr. O.H. Frazier underwent significant personnel changes involving the day-to-day laboratory staff, including Research Assistants, Research Associates, Laboratory Managers, Animal Care Technicians, Veterinary Staff, and Surgical Staff. Because of this, several of the research projects were put on hold in order to better focus on those most appropriate for continuation under minimal staff. The DREAMS project overseen by Dr. Frazier was one such project that we decided to temporarily put on hold until laboratory staffing was more adequate to handle the accompanying tasks required by the project.

As a result, we are not submitting a research report for DREAMS Project II.E for the year of 2004. Furthermore, we did not accrue any expenses that were chargeable to this DREAMS project and thus, the entirety of the project funds is still available. We wish to extend to use of the funds and resume this DREAMS project in year 2005.
Project II.F.

Physiological Magnetic Resonance Imaging

Investigators: James T. Willerson, M.D.
Silvio H. Litovsky, M.D.
Morteza Naghavi, M.D.

Work for this DREAMS project was not continued in 2004, rather we focused our diagnostic imaging endeavors on computed tomography (CT) angiography. We have begun to explore innovative approaches to better assessing cardiovascular disease through the use of CT angiography. Given our strong relationship with GE Healthcare and their commitment to developing CT as the premier platform for cardiac imaging, we chose to terminate our MRI studies.

Throughout the DREAMS program, we were able to make some remarkable advances in the field of magnetic resonance imaging (MRI). Through collaboration with researchers at the University of Houston, departments of Physics and Electrical Engineering, were successfully developed various state-of-the-art hardware items for improving temporal and spatial resolution in MRI. We helped develop an intraluminal MRI probe for performing intravascular MRI in the coronary arteries in order to image lipid-rich plaques. Although early work demonstrated unparalleled resolution in rabbit atherosclerotic arteries ex vivo, obtaining similar resolution in humans would require extensive catheterization. Additional work in this field by our group showed that superconducting quantum interference devices (SQUID's) could be engineered to achieve an order of magnitude better spatial resolution in ex vivo samples. However, the limitation of acquisition time and the dynamic motion of the heart limit the potential commercial potential of SQUID devices for cardiac imaging.

In the extension of DREAMS, our new program, T5: Texas Training & Technology Against Trauma and Terrorism, aims to focus heavily on cardiac CT development in order many of goals originally set forth in the DREAMS project description for this project (II.F).
S. W. Casscells, M.D.  DREAMS:STAT Report

Project III.A.

Induction of Chemokine Expression in Endothelial Cells
by C-Reactive Protein

Investigator: Edward T.H. Yeh, M.D.

Introduction

Inflammation is a major risk factor in the development of atherosclerosis and its subsequent events, such as acute coronary syndrome. C-Reactive Protein (CRP) is probably the best clinical marker of inflammation. It can be used in the prediction of complications in patients with acute coronary syndrome. Most importantly, it can also be used to predict future cardiovascular events in apparently healthy men and women. CRP’s predictive power in cardiovascular risk prediction could be indirect due to its ability to measure systemic inflammation. Alternatively, CRP’s predictive power could be a result of its direct biological activity in augmenting vascular inflammation.

Research accomplishments

Our laboratory has shown that CRP can directly activate human coronary endothelial cells to express adhesion molecules and secrete chemokines. Thus, CRP is not only a marker of inflammation, but also a culprit in setting up a chain of events that eventually leads to heart attack.

We have also shown that CRP can be produced by vascular smooth muscle cells, but not by endothelial cells. The ability of vascular smooth muscle cells to produce CRP is clinically important because the locally produced CRP could play a direct role in augmenting vascular inflammation leading to cardiovascular complications. More recently, we also demonstrated that adipocytes could be stimulated to produce CRP by inflammatory cytokines and adipokines. This discovery links CRP production to metabolic syndrome, one of the very serious clinical problems afflicting the population of the United States and the developed countries.
Conclusions

Our laboratory pioneered in the discovery of CRP's direct biological effect on vascular inflammation. We have identified two additional sources of CRP production, namely the vascular smooth muscle cells and adipocytes. These findings have significant implications for the pathogenesis of vascular inflammation, metabolic syndrome, and acute coronary syndrome.

Publications


5. Yeh ET. C-reactive protein is an essential aspect of cardiovascular risk factor stratification. *Can J Cardiol*. 2004;20:93B-96B.

Project III.B.
Nitrotyrosine Formation, Metabolism and Function:
Functions of Nitric Oxide and Nitrotyrosine in
Shock, Sepsis, and Inflammation

Investigator: Ferid Murad, M.D., Ph.D.

INTRODUCTION
The biological effects of nitric oxide may be due to
increased cyclic GMP synthesis by guanylyl cyclase or by
cyclic GMP-independent pathways. With regard to the
latter, one of the important effects of nitric oxide is
the interaction with superoxide anion to form
peroxynitrite (ONOO\(^-\)). ONOO\(^-\) is very reactive and can
modify proteins, DNA and lipids. One of its effects is to
form nitrotyrosine with tyrosine residues in proteins or
with free tyrosine. Our laboratory is examining the
proteins that may contain nitrotyrosine in order to
identify these proteins and determine their function. Our
hypothesis is that protein nitration is a common mechanism
by which inflammation caused dysfunctional changes in
cells. Once formed, nitrated proteins may exacerbate the
pathogenic process and play a role in the connection
between inflammation and following complications.

RESULTS
Task 1: Development of assays for nitrotyrosine and
nitrotyrosine-containing proteins.

Immunoprecipitation and immunodetection of nitrated
proteins is a well-accepted approach for initial
screening. However, for a high level of confidence in the
obtained data, we have developed a mass spectrometry-based
method for identification of protein nitration (22).
Screening includes analysis of MALDI-TOF mass spectra
generated for nitrated proteins. A typical pattern of
MALDI-TOF mass peaks for tyrosine nitrated peptide
includes \([MH^+]\), \([MH^+ + 13]\), \([MH^+ + 15]\), \([MH^+ + 29]\), and \([MH^+ + 45]\) peaks. \([MH^+]\) and \([MH^+ + 45]\) peaks represent non-
nitrated and nitrated peptide, respectively. All of other
peaks derive from the photodecomposition of nitrotyrosine.
Fig. 1A shows the pattern generated in vitro for a model nitrated peptide, angiotensin II. [MH\(^+\) + 13] and [MH\(^+\) + 15] peaks of the pattern are always low and it is more problematic to find this pattern in proteins nitrated in vivo. However, we have observed partial patterns in many in vitro and in vivo nitrated proteins. Fig. 1B shows a nitrated peptide found in the mitochondrial protein, Rieske protein, under diabetic conditions in vivo (19). The pattern is not complete and expected peaks are low in intensity. To prove tyrosine nitration, it was necessary to perform MS/MS scan for [MH\(^+\) + 45] peak. Tandem MALDI/MS spectrum for [MH\(^+\) + 45] m/z 1043.4 was generated on QSTAR instrument (Fig. 1C). The \(y_2\) and \(y_1\) ions at m/z 383.1 and 175.1, respectively, show the unique mass difference of nitrotyrosine with a residue mass of 208 Da (Fig. 7D). In addition, an immonium ion corresponding to nitrotyrosine is seen at m/z 181.1.

A brief summary for the mass spectrometry approach is that the pattern is helpful, however, the identity of nitrated peptides should always be proven by MS/MS spectrum of [MH\(^+\) + 45] ion.
Fig. 1. MS identification of nitration. MALDI (A and B) and MALDI/MS (C) spectra are shown.
Analytical method to measure nitrotyrosine in proteins. To correlate the functional changes of nitrated protein with the degree of protein nitration, we have been able to develop the chemistry that selectively recognizes nitrotyrosine residues in proteins. The procedure includes reduction of nitrotyrosine to aminotyrosine (1) followed by diazotation (2) to diazotyrosine (Fig. 2). Kinetic analysis revealed that the diazo derivative is stable with a $t_{1/2}$ of approximately 24 hrs at room temperature under conditions suitable for assay. After screening different compounds that can couple with the diazo group, we found a group of compounds, derivatives of naphthylamine, that selectively interact with the diazotyrosine residue and yield the stable colored products. The presence of the $R^+$-group on naphthylamine creates the option to synthesize the derivative of naphthylamine conjugated with a radioactive marker, a fluorescent probe, or biotin.

![Chemistry of selective nitrotyrosine detection in proteins.](image)

The important issue was verification of the assay's specificity. Fig. 3 shows HPLC separation of peptides derived from nitrated BSA, which was modified according to the scheme shown in Fig. 2. Detection was performed at 214 nm (lower pattern) and at 580 nm (upper pattern). 580 nm is the maximum of absorbance for the azotyrosine derivative. The azotyrosine derivative of free nitrotyrosine was also synthesized. Its spectral properties were identical to those recorded for two peptides from the upper pattern of Fig. 3. This proves that only nitrotyrosines were derivatized.
Using biotin derivative of naphthylamine and a plate-type assay, we have initiated some studies to measure nitrotyrosine proteins in tracheal aspirate, plasma and urine from patients with various inflammatory disorders. These studies are supported with other funds available to the laboratory.

We are very optimistic that this chemical analytical method to assay nitrotyrosine in biological samples can become a simple, specific and sensitive assay method for free and protein associated nitrotyrosine (a patent for this technology is pending). We estimate that the method as currently developed will permit the detection of 100 pM nitrotyrosine or as low as 1 fmol of nitrotyrosine per mg of plasma protein. Using sandwiching techniques, it may be possible to increase the sensitivity of the assay method further, if necessary.

Task 2: Regulation of nitrotyrosine formation.

Possible pathways of protein tyrosine nitration include ONOO\(^{-}\) and heme peroxidase-dependent reactions. However, the contribution of these pathways in \textit{in vivo} nitration was unclear. ONOO\(^{-}\) is more likely to be the predominant
nitrating species in macrophages, while heme peroxidases, such as MPO, may be predominantly responsible for producing nitrating species in neutrophils. Our initial plan was to use macrophages or neutrophils to nitrate model peptide(s) and protein(s) under conditions that favor either of the nitrating pathways. We analyzed nitration of proteins in the extracellular media by Western immunoblots with anti-nitrotyrosine antibody and found that this assay cannot be used to generate reliable data. Properties of the macrophages and neutrophils most likely varied substantially in different preparations and the resulting patterns of nitrated proteins were variable. It was necessary to switch to another biological model of protein nitration. At the same time, we have found that mitochondrial proteins readily undergo tyrosine nitration in vivo. Mitochondria have manageable proteomic complexity in comparison to the whole cell and could be highly purified. After examining protein nitration in mitochondrial soluble and membrane fractions of many tissues with various inflammatory models, we choose to switch to protein nitration in mitochondria.

Our in vitro approach was a treatment of purified heart mitochondria from mice with either ONOO\(^-\) or \(\text{NO}_2^-/\text{H}_2\text{O}_2/\text{MPO}\). Our in vivo approach to initiate nitration of mitochondrial proteins was a treatment of mice with alloxan (AL) that caused type I diabetes. Diabetes is a proven state of oxidative stress, dysfunctional mitochondria, and, presumably, nitration of mitochondrial proteins. Whole mitochondria in control and treated groups were sonicated and separated into two fractions, which represent soluble mitochondrial proteins and membrane-associated mitochondrial proteins. They were then separately resolved by 2-D PAGE. Following the matching of nitrotyrosine immunopositive spots on Western and Coomassie-stained spots on the gel, the gel pieces were excised for trypsin digestion and protein identification by MALDI-TOF MS. Nine nitrated proteins were found in the soluble and membrane fractions from ONOO\(^-\) and MPO-treated mitochondria (Table I). This includes aconitase 2, Grp75, albumin, succinyl-CoA:3-oxoacid CoA transferase (SCOT), creatine kinase, peroxiredoxin 3, superoxide dismutase 2 (SOD2), \(\beta\)-subunit of trifunctional protein, and 24-kDa subunit of NADH-ubiquinone oxidoreductase. Four of the proteins, SCOT, creatine kinase, peroxiredoxin 3, and \(\beta\)-subunit of trifunctional protein were also found nitrated in the diabetic mitochondria (Table I). Another nitrated protein in
diabetic mitochondria was identified as a voltage-dependent anion channel 1, VDAC1, (Table I).

**Table I. Mitochondrial proteins in nitrotyrosine immunoreactive gel spots.** Heart mitochondria from mice were treated with ONOO-, or with NO2-/H2O2/MPO, or were purified from AL-treated diabetic mice.

<table>
<thead>
<tr>
<th>Nitrated protein diabetes</th>
<th>ONOO-</th>
<th>NO2-/H2O2/MPO</th>
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<tbody>
<tr>
<td>1. Aconitase 2</td>
<td>+</td>
<td>+</td>
</tr>
<tr>
<td>2. Grp75</td>
<td>+</td>
<td>+</td>
</tr>
<tr>
<td>3. Albumin</td>
<td>+</td>
<td>+</td>
</tr>
<tr>
<td>4. Succinyl-CoA:3-oxoacid CoA transferase (SCOT)</td>
<td>+</td>
<td>+</td>
</tr>
<tr>
<td>5. Creatine kinase, sarcomeric mitochondrial</td>
<td>+</td>
<td>+</td>
</tr>
<tr>
<td>6. Peroxiredoxin 3</td>
<td>+</td>
<td>+</td>
</tr>
<tr>
<td>7. Superoxide dismutase 2 (SOD2)</td>
<td>+</td>
<td>+</td>
</tr>
<tr>
<td>8. Trifunctional protein, β-subunit</td>
<td>+</td>
<td>+</td>
</tr>
<tr>
<td>9. NADH-ubiquinone oxidoreductase, 24-kDa subunit</td>
<td>+</td>
<td>+</td>
</tr>
<tr>
<td>10. Voltage-dependent anion channel 1 (VDAC1)</td>
<td>-</td>
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</tr>
</tbody>
</table>

Detailed conditions of in vitro and in vivo nitration of mitochondrial proteins, their identification, verification of their nitration, and the functional properties of nitrated proteins are published in two papers (11, 19).

Incomplete similarity in the pattern of nitrated proteins between in vitro and in vivo experiments (Table I) suggests alternative pathways of tyrosine nitration in addition to ONOO- and MPO-dependent pathways. In searching for alternative nitrating pathways, the pro-oxidant effects of free and chelated iron have attracted our attention. We found that heme and free metals may mediate protein nitration in the presence of H2O2 and NO2- (18).

**Task 3: Characterization and purification of nitrotyrosine-containing proteins.**
To identify nitrated proteins three animal models of inflammation were used to initiate tyrosine nitration in vivo: a) Lipopolysaccharide (LPS)-treated rats. This is a model of the systemic inflammatory response. b) Streptozotocin (STZ)-treated rats or AL-treated mice. This is a model of type I diabetes. c) Mice treated with Lactobacillus casei cell wall. This is an inflammatory model of vasculitis, which is associated with coronary aneurysm development.

We have screened different organs for nitrated proteins. Many nitrated proteins were identified; some of them were functionally characterized. Data are presented in several publications (3, 8, 11, 13, 15, 17, and 19). Major findings are summarized in the Key Research Accomplishments.

Task 4: Characterisation and purification of a "denitrase" for nitrotyrosine-containing proteins.

The ultimate goal was to identify the protein that possesses "denitrase" activity. A critical issue for identification of this protein is a rapid and reliable method for measuring "denitrase" activity. A major problem is that a product of this reaction is unknown and any method would be based on utilization of substrate only, a less specific assay method. As a source of "denitrase" activity, we have chosen macrophages because of their apparent resistance to oxidative and nitrative stress. To measure "denitrase" activity in lysates from macrophage-like cells, RAW 264.7 cells, we employed three different approaches.

Our first approach was based on the quenching property of nitrotyrosine towards some fluorescent compounds, like aminobenzoic acid (Abz). Peptides that have nitrotyrosine in close vicinity of the Abz group have their fluorescence internally quenched. Any modification of the nitrotyrosine group (including the "denitrase" reaction) should cause a strong increase in fluorescence of the Abz group. A pitfall of this approach is that any proteolytic cleavage of the internally quenched peptide will also cause release of fluorescence. Keeping this problem in mind, we designed 12 different internally quenched peptides which were very poor substrates to known proteases/peptidases. In addition, the reaction mixtures were supplemented with a set of common protease inhibitors. Nevertheless, our expectation to use these
peptides for measuring a specific nitrotyrosine modifying activity was not realized. HPLC analysis in combination with mass spectrometry identification demonstrated that all 12 peptides are cleaved during incubation with RAW cell lysates, presumably by a novel peptidase(s).

Second approach was based on the detection of nitrotyrosine clearance using Western immunoblots with anti-nitrotyrosine antibody. A model nitrated protein (BSA or streptavidin) was treated with RAW cell lysates. Proteins separated by SDS PAGE were transferred to PVDF membranes and analyzed by Western immunoblots with anti-nitrotyrosine antibodies. Nitrotyrosine clearance observed was normalized to the amount of nitrated protein added to the assays (Fig. 4, solution assay). This assay, however, demonstrates poor reproducibility. We think that this assay also did not overcome the major problem of assaying "denitrase" activity, namely separation of "denitrase" and protease activities.
Third approach (Fig. 4, membrane assay) represents a modification of the second one. Model nitrated proteins were first separated by SDS PAGE and transferred to PVDF membranes. Membranes were then treated with RAW cell lysate. Immobilization of nitrated proteins resolved a problem of interfering protease activity. We found this approach reliable and were able to measure "denitrase" activity in crude RAW cell lysates (17). We are currently in a process of purification the enzyme.

KEY RESEARCH ACCOMPLISHMENTS

1. The new methods i) to detect and quantify nitrated proteins and ii) to measure "denitrase" activity were developed.

2. The patterns of nitrated proteins generated with ONOO\(^-\) or \(\text{NO}_2^-/\text{H}_2\text{O}_2/\text{MPO in vitro}\) are remarkably similar and resemble those obtained using the animal models of \textit{in vivo} nitration. This suggests a common nitrating species derived from different nitrating pathways that is responsible for the majority of nitrotyrosine formed. In addition, our study suggests that nitration from free heme or metal ions is also a highly likely event in biological systems.

3. Protein tyrosine nitration is a protein and tissue selective process. Certain proteins can be preferential targets for nitration. The nitration of some proteins is a dynamic process over time with increases and decreases in their nitrotyrosine immunostaining on Western blots. Studies on functional consequences of nitration provide evidence that nitration adversely affects properties of proteins.

Specific proteins nitrated \textit{in vivo} in the different models of inflammation are involved in major cell functions, such as signal transduction (L-type \(\text{Ca}^{2+}\) channel, cGMP-dependent protein kinase I), energy production (many mitochondrial proteins), antioxidant defense (Se-binding protein, peroxiredoxin-3), and apoptosis (VDAC-1).
REPORTABLE OUTCOMES

a) 5 review articles
b) 17 research articles
c) 1 provisional patent application

CONCLUSIONS

It is evident that inflammatory diseases are associated with progressive changes in the production of reactive oxygen and nitrogen species and with increased formation of tyrosine nitrated proteins. The nitration of protein tyrosine residues has been cutting used as a marker of oxidative stress in inflammation. Understanding how protein nitration occurs and what are the functional consequences of nitration will offer new options for design of antioxidant therapy in the treatment of inflammatory diseases.

The current study represents a systematic effort to investigate the biological role of protein tyrosine nitration.

PUBLICATIONS DURING THE DREAMS SUPPORT

(1) Martin, E., Davis, K., Bian, K., Lee, Y.C., and Murad, F. Cellular signaling with NO and cyclic GMP. Seminars in Perinatology 24, 2-6, 2000.


PATENTS DURING THE DREAMS SUPPORT

“Methods of detecting nitrotyrosine and aminotyrosine residues of peptides and proteins.” A provisional patent application was filed with the United States Patent and Trademark Office on June 11, 2004 (UTHSC-Houston file # 2004-0013)
Project III.C.
Genes Regulating Wound Healing and Susceptibility to Oxidative Injury

Investigators: Yong-Jian Geng, M.D., Ph.D.

In 2004, we continued our work of screening genes that are responsible for regulating DNA repair and wound healing as a result of oxidative injury. Much of what our laboratory did in 2004 was a more thorough examination of what was first explored in the preceding year. In particular, we focused on the role of RNA in perturbing gene expression during transcriptional and translational processed.

Of particular interest to us in this study was to understand the expression of PW29 and rps11 mRNAs during apoptosis as well as their coordinated regulation during the process. The 5' UTR of PW29 was shown to be hyper-expressed via a CMV promoter controlled by tetracycline in both T-Rex293 cells and mVSMCs to study its effects on cell proliferation and apoptosis. The results indicated that PW29 mRNA expression was enhanced in the aortas, specifically within atherosclerotic lesions, of Apo-E deficient mice. The 5' UTR of this particular mRNA showed marked complementation to the ribosomal protein s11 mRNA. Further studies confirmed that both PW29 and RPS 11 mRNAs are co-suppressed in cultured smooth muscle cells (i.e., vascular mouse) during 7-ketocholesterol induced apoptosis. Lastly, our results indicated that stable transfection of T-Rex 293 cells with cDNA of the 5' UTR (PW29) did not affect cell proliferation when compared to un-transfected cells.

Our understanding of gene expression in wound healing, oxidative injury, and apoptosis has benefited heavily from this work funded through the DREAMS program. As a result, we have built upon these studies and developed intensive projects that will look more closely at the apoptotic pathway in atherosclerosis. Furthermore, we are
initiating several stem cell therapy projects that rely heavily upon what we learned about gene expression thought this work. The two major endeavors, that is unraveling the apoptotic pathway in atherosclerosis and studying the benefits of stem cell therapy in wound repair and heart disease, are currently underway within the T5 program and look to add more promise to our knowledge of disease and repair at the cellular level.