THE DESIGN AND IMPLEMENTATION OF AN OPERATING SYSTEM FOR THE IBM PERSONAL COMPUTER (U) AIR FORCE INST OF TECH WRIGHT-PATTERSON AFB OH A J DEESE DEC 84

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**Abstract:** (Continue on reverse side if necessary and identify by block number)

**Attached:**
SUMMARY

This thesis documents the design and implementation of an operating system for the Georgia Institute of Technology Information and Computer Science Laboratory (GIT/ICSL). The operating system, designated PCOS, was developed in order to provide a pedagogical aid which could be used to provide students with a better understanding of operating system principles. PCOS is intended to be a simple yet functional operating system which students can analyze, modify, and extend.

PCOS is an acronym which stands for Personal Computer Operating System. PCOS was designed and implemented on an IBM Personal Computer (IBM PC). However, the strategy used to structure PCOS along with the algorithms used to implement PCOS are applicable to most contemporary computer systems.

This thesis presents the requirements and design criteria which were used to guide the design of PCOS. The decisions made during the design of PCOS are discussed. The algorithms and data structures used to implement PCOS are discussed. And, further development of PCOS is also discussed.
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ORGANIZATION
LOCATION

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THE DESIGN AND IMPLEMENTATION OF AN OPERATING SYSTEM
FOR THE IBM PERSONAL COMPUTER

A THESIS
Presented to
the Faculty of the Division of Graduate Studies
By
Albert James Deese, Jr.

In Partial Fulfillment
of the Requirements for the Degree
Master of Science in Information and Computer Science

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December 1984
The Design and Implementation of an Operating System
for the IBM Personal Computer

Approved:

Martin J. McKendry, Chairman
Richard J. LeBlanc
Pin-Yee Chen

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</tbody>
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CHAPTER I
INTRODUCTION

Basically, an operating system is a resource manager. It is responsible for the effective and efficient management of computer hardware. Consequently, the operating system is one of the key components of a computer system.

The study of operating systems is an integral part of any progressive computer science curriculum. Introductory courses present the general principles of operating systems while advanced courses present operating system design strategies and implementation techniques in greater detail.

Courses on operating systems should provide insight into the design and implementation of operating systems. However, Lions (1978) points out that the presentation of general principles alone proves to be "rather dry intellectual fodder for students with limited practical experience."

In an effort to provide students with a better understanding of the design and implementation of operating systems, educators such as Lions have proposed several different approaches for teaching operating system
principles. Since students are usually more interested in seeing something work than reading theoretical examples, the approaches emphasize the study and development of actual operating systems. One approach is to conduct a comprehensive study of an actual operating system in an effort to show students how theory has been put into action (Lions, 1978; McCharen, 1980). Another approach is to modify or extend an existing operating system (Bauer, 1975). Yet another approach is to require students to develop a pedagogical operating system from scratch (LaGarde, Olivier & Padiou, 1981; Lane, 1981; Wadland 1980).

This thesis documents the design and implementation of PCOS, an operating system for the Georgia Institute of Technology Information and Computer Science Laboratory (GIT/ICSL). The purpose of this research project is to initiate development of an operating system for the IBM Personal Computer which can be used to provide students with a better understanding of operating system principles. PCOS is intended to be a simple yet functional operating system which students can analyze, modify, and extend. PCOS is an acronym which stands for Personal Computer Operating System.

The next chapter discusses the design and the implementation of PCOS in detail. Chapter Three discusses further development of PCOS. And, Chapter Four presents
conclusions derived from this project.
CHAPTER II

THE PCOS PROJECT

Requirements

As stated in the previous chapter, the purpose of this research project is to develop an operating system which can be used to provide students with a better understanding of operating system principles. The general requirement is that it be a good example of current operating system design practice.

The specific requirements for PCOS were established after reviewing the capabilities of several commercially available operating systems (Banahan & Rutter, 1983; Deitel, 1983; Holt, 1983; Madnick & Donovan, 1974; McKeag, 1976; Zarrella, 1981, 1982). It was decided that PCOS should offer the following capabilities:

(1) provide a single-user environment,
(2) support multiprogramming, and
(3) support sequential disk file organization.

Design

Criteria

Before discussing the design decisions, it is necessary to discuss the general design criteria used to guide this development effort. The general design criteria
are as follows: simplicity, efficiency, and maintainability.

PCOS is intended to be used to provide students with a better understanding of operating system principles. Without simplicity a complete understanding of the system would not be possible. Therefore, PCOS should not contain any unnecessary complexity. It should be based on a small set of relatively simple concepts.

PCOS is also intended to be a simple yet functional operating system. In order to be truly functional it must be efficient.

To be able to adapt to a constantly changing environment, PCOS should be easy to maintain. As a result, PCOS should be well structured.

Decisions

This section discusses the major design decisions made during the development of PCOS. The rational behind each decision is presented.

First, a computer system was selected for the initial implementation of PCOS. The Information and Computer Science Laboratory at Georgia Tech has several computer systems which are available on a regular basis for student research. However, most of the systems are multi-user systems. Since it would be too costly in terms of lost service alone to dedicate a multi-user system to a single user, they were eliminated from further consideration. In
addition to the multi-user systems, there are several single-user systems. Of the single-user systems, the IBM PC seemed to be the most promising. A typical IBM PC configuration includes a CPU, a keyboard, a monitor, 128k bytes of internal memory, 2 5-1/4" floppy disk drives, and a dot-matrix printer. In addition, the Intel 8088 microprocessor used in the IBM PC supports both segmented memory and interrupts. Given the above considerations, the IBM PC was selected.

Once the implementation system was selected, the implementation language was chosen. The choice of an implementation language was easy. At the time, only a few languages were available: Pascal, Fortran, Basic, and assembly language. Operating systems are often implemented in assembly language for space and time considerations (Freedman, 1977). However, since it is easier to develop and maintain a program written in a high-order language (HOL), Pascal was chosen to be the primary design and implementation language of PCOS. In order to enhance both maintainability and portability, assembly language was used to code only those routines which were neither feasible nor practical to implement using Pascal.

Next the strategy used to structure the PCOS was chosen. Both the monolithic monitor approach and the kernel approach are strategies which can be used to structure operating systems (Deitel, 1983; Holt, 1983).
The monolithic monitor approach collects the resource management functions provided by an operating system into a single, monolithic module. The main advantage of the monolithic monitor approach is its simplicity. All operating system activity takes place in a single module. The main disadvantage is the excessive amount of time external interrupts are disabled. In order to maintain the integrity of the tables it maintains, the monitor disables external interrupts whenever it is running. Since all resource management activity takes place within the monitor, it is possible that interrupts (e.g., information) may be lost.

The kernel approach is an alternative to the monolithic monitor approach. An operating system based on the kernel approach is composed of a set of asynchronous processes and a small executive module (or kernel). Resource management functions are placed in interruptable, asynchronous processes. The kernel provides a set of primitive operations that support the cooperation of asynchronous processes. Using the kernel approach, interrupts are disabled for a shorter period of time. In addition, the operating system is easier to maintain since data structures and algorithms are encapsulated in independent processes. In an effort to create a highly modular and understandable system, the decision was made to use the kernel approach to structure PCOS.
As mentioned in the preceding discussion, the kernel must provide a set of primitive operations that support the cooperation of asynchronous processes. After reviewing the literature generated by past operating system development efforts (Agoston, 1977; Bauer, 1975, 1976; Bayer & Lycklama, 1975; Brinch-Hansen, 1970, 1973; Burgett & O’Neil, 1977; Crowley, 1981; Faro, Messina & Serra, 1981; Frank & Theaker, 1979; Garetti, Laface & Rivoira, 1981; Gorski, 1980; Hammond, 1980; Haridi & Thorelli, 1978; Kahn, 1978; Lycklama & Bayer, 1978; Madnick & Donovan, 1974; Mark, Eggenberger & Nehmer, 1977; Pohjanpalo, 1981; Seidel & Grebe, 1979; Shaw, Weiderman, Andrews, Felcyn, Rieber & Wong, 1975; Sincoskie & Farber, 1980a, 1980b; Solomon & Finkel, 1979; Thorelli, 1978), it was decided that the kernel should provide the following services:

1. process management,
2. memory management,
3. interrupt management,
4. interprocess communication management, and
5. timer management.

**Implementation**

**System Architecture**

PCOS is composed of several hierarchical layers called levels. The levels are designed to be highly independent by encapsulating resources and data.
representations within each level. Such encapsulation of objects allows levels to represent abstract views of the objects for which they are responsible. Figure 2-1 depicts the different layers that make up PCOS.

Figure 2-1. PCOS System Hierarchy

The lowest layer, level 0, is the system kernel. The kernel is the nucleus of the operating system. It provides a basic set of services which are available to all processes in the system. These services facilitate process management, memory management, interrupt management, interprocess communication management, timer management, and debugging.

Level 1, the Basic I/O System (BIOS), is composed of a set of processes known as device drivers. These device
drivers are responsible for providing I/O device support. PCOS currently includes a console driver, a disk driver, and a printer driver.

The Extended I/O System (XIOS), level 2, currently includes a single process, the File Server. The File Server presently supports only sequential file access.

The highest layer, level 3, is composed of both user and system processes. Currently, the only processes which reside at level 3 are the Executive Process (EXEC) and the Command Language Interpreter (CLI). EXEC is responsible for starting the system. It does this by first creating and then activating the other processes which compose PCOS. And, the CLI is the process responsible for providing the user interface to PCOS.

Component Details

The Kernel. The kernel provides a set of basic services which can be used by any process in the system. The kernel primitives or services can be grouped into six functional categories: process management, memory management, interrupt management, interprocess communication management, timer management, and debugging. Table 2-1 lists the services provided by the kernel. And, Appendix A contains descriptions of the kernel primitives.

The first category of primitives manipulate processes. Processes are programs that perform a specific function. A process consists of a sequence of
Table 2-1. Kernel Services

<table>
<thead>
<tr>
<th>CATEGORY</th>
<th>SERVICE</th>
</tr>
</thead>
<tbody>
<tr>
<td>Process Management</td>
<td>Create Process</td>
</tr>
<tr>
<td></td>
<td>Activate Process</td>
</tr>
<tr>
<td></td>
<td>Sleep</td>
</tr>
<tr>
<td></td>
<td>Suspend Process</td>
</tr>
<tr>
<td></td>
<td>Destroy Process</td>
</tr>
<tr>
<td></td>
<td>Find Process</td>
</tr>
<tr>
<td>Memory Management</td>
<td>Allocate Memory</td>
</tr>
<tr>
<td></td>
<td>Deallocate Memory</td>
</tr>
<tr>
<td>Interrupt Management</td>
<td>Connect to Interrupt</td>
</tr>
<tr>
<td></td>
<td>Await Interrupt</td>
</tr>
<tr>
<td></td>
<td>Signal Interrupt</td>
</tr>
<tr>
<td></td>
<td>Disconnect from Interrupt</td>
</tr>
<tr>
<td>Interprocess Communication Management</td>
<td>Send Message</td>
</tr>
<tr>
<td></td>
<td>Receive Message</td>
</tr>
<tr>
<td>Timer Management</td>
<td>Set Clock</td>
</tr>
<tr>
<td></td>
<td>Read Clock</td>
</tr>
<tr>
<td>Debugging</td>
<td>System Dump</td>
</tr>
<tr>
<td></td>
<td>System Trace</td>
</tr>
</tbody>
</table>

instructions, and a set of resources. Processes can be categorized as either user or system processes. A user process is a process that is written by a user. It performs a function directly for the user. A system process is a process that performs functions (or services) for a user process. System processes are supplied with PCOS that provide basic device management services, and extended I/O services.

Processes can be created and destroyed dynamically.
The maximum number of processes that can exist simultaneously is specified at system generation. The current implementation of PCOS can handle up to 16 concurrent processes. Each process within PCOS has a unique identification number (PID) associated with it.

Each process has a context associated with it. The context of a process is the information that specifies the current status of the process. The current values of the processor registers, including the instruction pointer, and the resources currently allocated to it define the context of the process.

When a process' execution is interrupted, its context is saved in the process control block (PCB) associated with the process so that it can be restarted at a later time. A PCB is a system data structure which is allocated to a process when it is created. A PCB has two major sections: a process descriptor block (PDB); and an interrupt save area (ISA). Table 2-2 describes the contents of a PCB. The PDB contains information including the process identification number, and the priority of the process. The ISA provides a storage area for the process' registers when it is interrupted.

During its existence, a process goes through various process states. Figure 2-2 depicts the various states that a process may go through during its existence.

A process is undefined until its existence is
<table>
<thead>
<tr>
<th>FIELD NAME</th>
<th>DESCRIPTION</th>
</tr>
</thead>
<tbody>
<tr>
<td>PROCESS DESCRIPTOR BLOCK:</td>
<td></td>
</tr>
<tr>
<td>SUCCEEDING_PCB</td>
<td>The id of the next PCB on this queue. This field is used to link PCBs on the ready &amp; delay queues.</td>
</tr>
<tr>
<td>PRECEEDING_PCB</td>
<td>The id of the previous PCB on this queue. This field is used to link PCBs on the ready &amp; delay queues.</td>
</tr>
<tr>
<td>ID</td>
<td>The PID associated with this PCB.</td>
</tr>
<tr>
<td>NAME</td>
<td>A 6 character string which identifies the process which this PCB represents.</td>
</tr>
<tr>
<td>PARENT</td>
<td>The PID of the parent process.</td>
</tr>
<tr>
<td>YOUNGER_SIB</td>
<td>The PID of the process created by the parent after this process.</td>
</tr>
<tr>
<td>OLDER_SIB</td>
<td>The PID of the process created by the parent before this process.</td>
</tr>
<tr>
<td>CHILDREN</td>
<td>The PID of the last process created by this process.</td>
</tr>
<tr>
<td>PRIORITY</td>
<td>The priority at which this process executes.</td>
</tr>
<tr>
<td>STATUS</td>
<td>The current status of this process: running, ready, waiting, suspended, or undefined.</td>
</tr>
<tr>
<td>BLOCKS</td>
<td>The events this process is waiting on: message, interrupt, and/or timeout. This field is only meaningful if STATUS is waiting.</td>
</tr>
<tr>
<td>WAKEUPS</td>
<td>The events that have happened to this process: message, interrupt, and/or timeout.</td>
</tr>
<tr>
<td>MESSAGE_COUNT</td>
<td>The number of messages queued for this process.</td>
</tr>
<tr>
<td>FIELD NAME</td>
<td>DESCRIPTION</td>
</tr>
<tr>
<td>------------</td>
<td>-------------</td>
</tr>
<tr>
<td>MESSAGES</td>
<td>A pointer to the first IPCCB (message) for this process.</td>
</tr>
<tr>
<td>DELAY</td>
<td>A word which specifies the time, in system time units, the process is to be delayed. This field is only meaningful if STATUS is waiting and BLOCKS is timeout.</td>
</tr>
<tr>
<td>NEXT_PCB</td>
<td>The id of the next PCB on either the active or free queue.</td>
</tr>
<tr>
<td>PREV_PCB</td>
<td>The id of the previous PCB on either the active or free queue.</td>
</tr>
</tbody>
</table>

**INTERRUPT SAVE AREA:**

<table>
<thead>
<tr>
<th>REGISTRY</th>
<th>DESCRIPTION</th>
</tr>
</thead>
<tbody>
<tr>
<td>AX</td>
<td>AX register save area.</td>
</tr>
<tr>
<td>BX</td>
<td>BX register save area.</td>
</tr>
<tr>
<td>CX</td>
<td>CX register save area.</td>
</tr>
<tr>
<td>DX</td>
<td>DX register save area.</td>
</tr>
<tr>
<td>SP</td>
<td>SP register save area.</td>
</tr>
<tr>
<td>BP</td>
<td>BP register save area.</td>
</tr>
<tr>
<td>SI</td>
<td>SI register save area.</td>
</tr>
<tr>
<td>DI</td>
<td>DI register save area.</td>
</tr>
<tr>
<td>CS</td>
<td>CS register save area.</td>
</tr>
<tr>
<td>DS</td>
<td>DS register save area.</td>
</tr>
<tr>
<td>SS</td>
<td>SS register save area.</td>
</tr>
<tr>
<td>ES</td>
<td>ES register save area.</td>
</tr>
<tr>
<td>IP</td>
<td>IP register save area.</td>
</tr>
<tr>
<td>FLAGS</td>
<td>FLAGS register save area.</td>
</tr>
</tbody>
</table>
recorded in a PCB. When the information about a process has been entered into a PCB, the process moves to the suspended state. A process remains in the suspended state until it is activated by another process. After it is activated, a process moves to the ready state. A ready process is inserted into a first-in, first-out priority queue known as the ready list. As processes complete execution, the process dispatcher removes the next ready process from the ready list and assigns the processor to it. A process is assigned to the processor by restoring its registers from its ISA and then transferring control to it. A process remains in the running state until it is interrupted, waits for a message, is suspended by another process, or completes its processing.

```
+------------+
|  undefined  |
+------------+

+------------+
|  suspended  |
+------------+

+------------+
|  ready     |
+------------+

+------------+
|  running   |
+------------+

+------------+
|  waiting   |
+------------+
```

Figure 2-2. Process State Diagram
Six primitives are provided to manage processes: CREATE_PROCESS, ACTIVATE_PROCESS, SLEEP, SUSPEND_PROCESS, DESTROY_PROCESS, and FIND_PROCESS. A process can create a descendant process (child) using the CREATE_PROCESS primitive. First, a PCB is removed from the free PCB queue and encoded with the information supplied in the call. Then the new PCB is queued on the active PCB queue. After creating a child process, the parent can activate it using the ACTIVATE_PROCESS primitive, suspend it using the SUSPEND_PROCESS primitive, or destroy it using the DESTROY_PROCESS primitive. The DESTROY_PROCESS primitive also destroys all descendents of the destroyed process. A process can find a previously created process using the FIND_PROCESS primitive.

The second category of primitives manipulate memory. In the current implementation of PCOS, a fixed amount of memory is linked onto a free memory queue. Table 2-3 describes the format of a memory control block. The memory

<table>
<thead>
<tr>
<th>FIELD NAME</th>
<th>DESCRIPTION</th>
</tr>
</thead>
<tbody>
<tr>
<td>NEXT</td>
<td>A pointer to the next MCB in the free memory queue.</td>
</tr>
<tr>
<td>SIZE</td>
<td>The size, in paragraphs, of this memory block.</td>
</tr>
<tr>
<td>FILLER</td>
<td>Forces size of MCB to be 16 bytes (1 paragraph).</td>
</tr>
</tbody>
</table>
linked on the free memory queue is used to satisfy requests for memory from processes.

Two primitives are provided to manage memory: ALLOCATE_MEMORY, and DEALLOCATE_MEMORY. The ALLOCATE_MEMORY primitive searches the free memory queue for the first block of memory that satisfies the request. If the amount of memory found is larger than the amount of memory requested, it is split and the excess returned to the free memory queue. The DEALLOCATE_MEMORY primitive returns the specified block of memory to the free memory queue. If possible, the returned block is combined with adjacent memory blocks on queue to form one large block of free memory.

The third category of primitives manipulate interrupts. To redirect control after an interrupt occurs, the Intel 8088 microprocessor uses an Interrupt Vector Table (IVT). The IVT starts at location 0 of main memory and contains 256 interrupt vectors which are numbered 0 - 255. Each interrupt vector can be loaded with the address of the interrupt-service routine that handles that type of interrupt.

In order to manage the IVT, the kernel maintains an Interrupt Vector Status Table (IVST). Table 2-4 describes the contents of an entry in the IVST. There is one entry in the IVST for each interrupt vector in the IVT.

During system initialization, interrupt vectors
Table 2-4. Interrupt Vector Status Table Entry

<table>
<thead>
<tr>
<th>FIELD NAME</th>
<th>DESCRIPTION</th>
</tr>
</thead>
<tbody>
<tr>
<td>AVAILABLE</td>
<td>The status of this interrupt: allocated, or unallocated (e.g. available).</td>
</tr>
<tr>
<td>PID</td>
<td>The PID of the interrupt handler process associated with this interrupt.</td>
</tr>
</tbody>
</table>

0 - 254 are marked as available for use, and interrupt vector 255 is marked as unavailable. Interrupt vector 255 is the interrupt vector used by processes to access the kernel.

Four primitives are provided to manage interrupts: CONNECT_INTERRUPT, AWAIT_INTERRUPT, SIGNAL_INTERRUPT, and DISCONNECT_INTERRUPT. The CONNECT_INTERRUPT primitive reserves an interrupt vector for a process and assigns an interrupt handler to the interrupt vector. The process can then use the AWAIT_INTERRUPT primitive to suspend its execution until its interrupt handler uses the SIGNAL_INTERRUPT primitive to activate it or a specified time elapses. The DISCONNECT_INTERRUPT primitive cancels the assignment of an interrupt vector to a process.

The fourth category of primitives manipulate messages. Processes communicate with one another by exchanging both commands and data. Processes exchange both commands and data through the use of messages.

Messages are the basic unit of information exchange
between processes. The interprocess communication (IPC) facility of PCOS provides a flexible communication mechanism which can be used to support communication between processes, general network communication, and resource sharing. The interprocess communication facility provided by PCOS is a pure datagram facility. It neither guarantees delivery of a message nor acknowledges its receipt.

A message is delivered using an interprocess communication control block (IPCCB). A IPCCB consists of two parts: (1) a header; and (2) a body. The format of a IPCCB is illustrated in Table 2-5. The message header contains information which identifies the the process sending the message, and the length of the body of the message. The body of the message contains the information being exchanged.

Table 2-5. Interprocess Communication Control Block

<table>
<thead>
<tr>
<th>FIELD NAME</th>
<th>DESCRIPTION</th>
</tr>
</thead>
<tbody>
<tr>
<td>NEXT</td>
<td>A pointer to the next IPCCB in this process' message queue.</td>
</tr>
<tr>
<td>SOURCE</td>
<td>The PID of the process which sent this message.</td>
</tr>
<tr>
<td>LENGTH</td>
<td>The length, in bytes, of this message.</td>
</tr>
<tr>
<td>DATA</td>
<td>The message.</td>
</tr>
</tbody>
</table>

Two primitives are provided to manage messages:
SEND_MESSAGE, and RECEIVE_MESSAGE. The SEND_MESSAGE primitive sends the message specified to the designated process. After the kernel allocates memory for an IPCCB for the message, the message along with its length and the PID of the sending process is copied into the IPCCB. The IPCCB is then linked to the FIFO message queue of the destination process. If the destination process is awaiting a message and executes at a higher priority than the sending process, it receives control of the CPU and the sending process is inserted into the ready list. If the destination process is awaiting a message and executes at a priority equal to or lower than the sending process, it is inserted into the ready list and the sending process continues execution. And, if the destination process is not awaiting a message, the sending process simply continues execution.

The RECEIVE_MESSAGE primitive returns the message at the head of the process' FIFO message queue. The message is first copied into the process' data space, then dequeued from the message queue, and finally the memory used to buffer the message is returned to the system. If no messages are queued and no delay is specified, control returns to the receiving process; however, if a delay is specified, the process doesn't receive control until it receives a message or the delay has elapsed. And, if an infinite delay is specified, the
process doesn't resume execution until it receives a message.

The fifth category of primitives manipulate the system clock. The system clock is initialized to 00:00:00 hours 0 ticks during system initialization. A system time unit or tick is 1/20th of a second in duration.

Two primitives are provided to manage the system clock: SET_CLOCK and READ_CLOCK. The SET_CLOCK primitive sets the system clock to the value specified; while the READ_CLOCK primitive returns the current setting of the system clock.

And, the sixth category of primitives facilitate debugging. The primitives which facilitate debugging are SYSTEM_DUMP and SYSTEM_TRACE. The SYSTEM_DUMP primitive produces a hexadecimal dump of the contents of the registers and the specified memory block at the time of the dump on the system printer. The SYSTEM_TRACE primitive prints the message specified on the system printer.

The Basic I/O System. The Basic I/O System (BIOS) of PCOS is responsible for device management. Three device drivers are included in the BIOS of PCOS: a console driver, a disk driver, and a printer driver. The services provided by each of the driver processes are listed in Table 2-6.

Communication with the device driver processes that form the BIOS of PCOS is achieved through the use of
Table 2-6. Device Driver Services

<table>
<thead>
<tr>
<th>DEVICE DRIVER</th>
<th>SERVICE</th>
</tr>
</thead>
<tbody>
<tr>
<td>Console</td>
<td>Read a Character from Keyboard</td>
</tr>
<tr>
<td></td>
<td>Write a Character to Video Monitor</td>
</tr>
<tr>
<td>Disk</td>
<td>Read a Sector</td>
</tr>
<tr>
<td></td>
<td>Write a Sector</td>
</tr>
<tr>
<td></td>
<td>Reset Diskette System</td>
</tr>
<tr>
<td></td>
<td>Verify Sector</td>
</tr>
<tr>
<td></td>
<td>Format Disk</td>
</tr>
<tr>
<td>Printer</td>
<td>Write Character to Printer</td>
</tr>
<tr>
<td></td>
<td>Reset Printer</td>
</tr>
<tr>
<td></td>
<td>Read Printer Status</td>
</tr>
</tbody>
</table>

messages. Basically, each device driver is a server process that is responsible for a specific system resource. Each server process was developed using the Requestor-Server Model (Britton & Stickel, 1980) as a guide. Figure 2-3 illustrates the basic form of a server process.

```
procedure terminal;
begin
  loop
    receive_a_message;
    case message.type of
      when 0 => read_character;
      when 1 => display_character;
    end case;
    send_a_reply;
  end loop;
end terminal;
```

Figure 2-3. Example Server Process

Each server process (e.g., console device driver)
waits for a service request using the RECEIVE_MESSAGE primitive. Once it has processed a service request, it sends a reply message to the requestor using the SEND_MESSAGE primitive. This sequence of operations continues until the server is terminated.

The Extended I/O System. The Extended I/O System (XIOS) sequential disk file access. The File Server is the only component of the XIOS. Table 2-7 lists the services that are provided by the File Server.

Table 2-7. File Server Services

<table>
<thead>
<tr>
<th>CATEGORY</th>
<th>SERVICE</th>
</tr>
</thead>
<tbody>
<tr>
<td>File System Volume</td>
<td>Mount a File System Volume</td>
</tr>
<tr>
<td></td>
<td>Dismount a File System Volume</td>
</tr>
<tr>
<td>File Connection</td>
<td>Open File</td>
</tr>
<tr>
<td></td>
<td>Close File</td>
</tr>
<tr>
<td>File I/O</td>
<td>Read a Character from a File</td>
</tr>
</tbody>
</table>

Like the device drivers that form the BIOS of PCOS, the file server is also a server process. Other processes can communicate with the file server through the use of messages.

To open a file, a task sends an OPEN message which contains the name of the file to be opened to the file server. The task then blocks itself by waiting for the file server's reply. When the file server receives the
message, it interprets it, opens the file (if it exists), and assigns a unique file id to it. The file server then
sends a completion message which contains the file id to the process. This causes the process to be rescheduled.
Using the file id assigned by the file server, the process can now perform I/O operations on the file.

The Command Language Interpreter. The system operator or user interacts with PCOS through the command language interpreter (CLI). The user issues commands to the system through a command language which is interpreted by the CLI.

Table 2-8. CLI Commands

<table>
<thead>
<tr>
<th>COMMAND</th>
<th>DESCRIPTION</th>
</tr>
</thead>
<tbody>
<tr>
<td>DISMOUNT</td>
<td>Mounts a file system volume on disk drive 0.</td>
</tr>
<tr>
<td>DISPLAY</td>
<td>Displays the contents of a file in hexadecimal on either the system console or the printer.</td>
</tr>
<tr>
<td>MOUNT</td>
<td>Dismounts a file system volume from disk drive 0.</td>
</tr>
<tr>
<td>PRINT</td>
<td>Prints the contents of a file on the printer.</td>
</tr>
<tr>
<td>TIME</td>
<td>Displays the current time.</td>
</tr>
<tr>
<td>TYPE</td>
<td>Displays the contents of a file on the system console.</td>
</tr>
</tbody>
</table>

The CLI prompts the user for a command line by
writing ' $ ' to the system console. A command line consists of a command name terminated by a carriage return. Table 2-8 lists the commands which are provided by the CLI. Once the user enters a command line, the CLI checks to see if the command is valid. If it is, the command is then executed; if it isn't, the CLI displays an error message and then prompts the user for another command line. The user is prompted for any arguments that a command needs to perform its function.

When a command has completed execution, the CLI prompts the user for another command line.

The Executive Process. The initialization or startup of both system and user processes is accomplished by the Executive Process (EXEC). After all system data structures have been initialized by the kernel initialization routine, control is passed to EXEC. EXEC then creates and activates the other processes that make up PCOS using the CREATE_PROCESS and ACTIVATE_PROCESS kernel primitives. Once it has started the other system processes, EXEC suspends execution awaiting a system termination message.
CHAPTER III

FURTHER RESEARCH AND DEVELOPMENT

The need for the following modifications to the PCOS kernel was made apparent during the development of the BIOS device driver processes.

The current implementation of the PCOS kernel does not include primitive operations for exception management. An exception occurs whenever a kernel primitive fails. Each kernel primitive returns a condition code that indicates the success or failure of an operation. Currently, processes must check the condition code after each kernel call in order to determine if an exception occurred. In order to reduce the amount of checking a process must perform, the kernel should be modified to include exception management primitives.

Table 3-1. Proposed Exception Management Services

<table>
<thead>
<tr>
<th>CATEGORY</th>
<th>SERVICE</th>
</tr>
</thead>
<tbody>
<tr>
<td>Exception Management</td>
<td>Create Exception Handler</td>
</tr>
<tr>
<td></td>
<td>Destroy Exception Handler</td>
</tr>
</tbody>
</table>

Processes should be able to specify an exception handler that is to receive control whenever an exception
occurs. Table 3-1 lists the exception management services that the kernel should provide.

Another operation which the PCOS kernel does not currently support is the selective receipt of messages. This seems to be the greatest deficiency of the PCOS kernel. Consider the following scenario. Process A sends a message requesting some service to Process B, and then continues processing. In order to fulfill the request, Process B sends a request message to Process C and then waits for a response message from Process C. Before Process C can respond, Process A issues another request message to Process B. Since Process B is waiting for a message, it is awakened and given the second request message from Process A. However, Process B is expecting a response message from Process C, not a request message from Process A.

There are two possible solutions to this problem. The first solution is to require processes internally queue messages that they are not ready to process. The second solution is to modify the kernel to include a selective message receipt primitive. The second solution is recommended since it simplifies the construction of server processes.

This solution can be implemented by modifying the receive_message primitive to only receive a message from a specified process, and by adding a receive_any_message
primitive that receives a message from any process.

Once the above modifications are made, the kernel will be suitable for continued development of PCOS.
CHAPTER IV

CONCLUSION

This thesis documents the design and implementation of an operating system for the IBM PC. The purpose of this research project was to develop an operating system which could be used to provide students with a better understanding of operating system principles.

After discussing the motivation for the PCOS project, the development of PCOS was discussed. First, the requirements for PCOS were discussed. The requirements for PCOS were established after reviewing the capabilities offered by several commercially available operating systems.

Next, the design criteria and the overall design of PCOS was discussed. The design of PCOS is based on the kernel approach. New user requirements such as the need to support a new I/O device can be easily supported through the addition of new processes. In retrospect, we believe the kernel-based design of PCOS provides a good base for further research.

Then, the implementation of PCOS was discussed. PCOS was designed and implemented on an IBM PC. Because of the work needed to construct a complete operating system,
this project focused on the design and implementation of the kernel and the low-level device drivers. The kernel provides a set of primitive operations that support cooperation of asynchronous processes. And, the device drivers provide support for a video display, keyboard, disk drive, and printer. A simplistic file server and command language interpreter were also developed.

Modifications and extensions to PCOS were then presented. The modifications presented are necessary in order to refine the current implementation of PCOS on the IBM PC. The full implementation of the File Server, and the Command language Interpreter has been left for future research efforts.

The author feels that PCOS is a valuable pedagogical aid. Although PCOS is not nearly as complex as OS/MVS or Unix, it does contain many of the basic concepts found in commercially available operating systems. Due to its more simplistic nature, a detailed description is easier to provide and thus easier for students to understand.

This research project incorporated the use of various techniques in several topic areas and resulted in a challenging and rewarding experience for the author. The author hopes that this project will generate continued interest in the subject of operating system design.
REFERENCES


Frank, G. R., and C. J. Theaker. "MUSS - The User Interface." *Software-Practice and Experience,* 9, No. 8 (August 1979), 621-631. (b)


McCharen, Edith A. "MVS in the Classroom." ACM SIGCSE Bulletin, 12, No. 1 (February 1980), 81-82.


APPENDIX A

KERNEL PRIMITIVES
ACTIVATE_PROCESS

FORMAT:

status := activate_process(process);

INPUT PARAMETERS:

process A word containing the id of the process to be activated.

OUTPUT PARAMETERS:

status A word which contains the condition code generated by this primitive.

DESCRIPTION:

The ACTIVATE_PROCESS primitive activates a process if it is suspended.

CONDITION CODE:

CC_OK No exceptional conditions.
CC_EXIST The specified process does not exist.
CC_ACTIVE The specified process is already active.
ALLOCATE_MEMORY

FORMAT:

\[
\text{status} := \text{allocate}_\text{memory}(\text{amount},
\text{memory});
\]

INPUT PARAMETERS:

amount A word that specifies the number of paragraphs (a paragraph is 16 bytes) requested.

OUTPUT PARAMETERS:

memory A pointer in which PCOS will return the address of the first available byte of the allocated memory block.

status A word which contains the condition code generated by this primitive.

DESCRIPTION:

The ALLOCATE_MEMORY primitive returns a pointer to the first available byte of the requested memory block.

CONDITION CODES:

CC_OK No exceptional conditions.

CC_MEMORY There is not enough memory available to satisfy the request.
AWAIT_INTERRUPT

FORMAT:

\[ \text{status} := \text{await\_interrupt}(\text{delay}); \]

INPUT PARAMETERS:

delay \hspace{1cm} A word which specifies the amount of time the process is willing to wait for an interrupt. If zero, the process is willing to wait indefinitely. If positive, delay indicates the number of system time units the process is willing to wait. There are 20 system time units per second.

OUTPUT PARAMETERS:

status \hspace{1cm} A word which contains the condition code generated by this primitive.

DESCRIPTION:

The AWAIT_INTERRUPT primitive causes the currently executing process to be suspended until the interrupt with which it is associated occurs or the delay is exhausted.

CONDITION CODES:

CC_OK \hspace{1cm} No exceptional conditions.

CC_TIMEOUT \hspace{1cm} A timeout occurred.
CONNECT_INTERRUPT

FORMAT:

status := connect_interrupt(interrupt,
    handler);

INPUT PARAMETERS:

interrupt A word indicating the interrupt vector
    with which the process is to be
    associated.

handler A pointer to the first instruction of
    the interrupt handler.

OUTPUT PARAMETERS:

status A word which contains the condition
    code generated by this primitive.

DESCRIPTION:

The CONNECT_INTERRUPT primitive assigns a process and
an interrupt handler to an interrupt vector.

CONDITION CODES:

CC_OK No exceptional conditions.

CC_EXIST The specified interrupt vector does not
    exist.

CC_ASSIGN The specified interrupt vector is
    already assigned an interrupt handler.
CREATE_PROCESS

FORMAT:

status := create_process(process, name, priority, start_address, stack_address, stack_size);

INPUT PARAMETERS:

name A field which contains a string of six ASCII characters giving the name of the process.
priority A word that specifies the priority of the new process.
start_address A pointer to the first instructions of the new process.
stack_address A pointer to the base of the new process' stack.
stack_size A word containing the size, in bytes, of the new process' stack.

OUTPUT PARAMETERS:

process A word in which PCOS will return the identification number for the new process.
status A word which contains the condition code generated by this primitive.

DESCRIPTION:

The CREATE_PROCESS primitive creates a process and returns an id for it.

CONDITION CODES:

CC_OK No exceptional conditions.
CC_LIMIT  

The new process would except the maximum number of process allowed by the system.
DEALLOCATE_MEMORY

FORMAT:

\[
\text{status} := \text{deallocate\_memory}(\text{memory});
\]

INPUT PARAMETERS:

memory \quad A \text{ pointer to the first byte of the memory block to be returned to the system.}

OUTPUT PARAMETERS:

status \quad A \text{ word which contains the condition code generated by this primitive.}

DESCRIPTION:

The RELEASE_MEMORY primitive returns a block of memory to the system.

CONDITION CODES:

CC_OK \quad \text{No exceptional conditions.}

CC_EXIST \quad \text{The value contained in memory does not point to a valid memory block.}
DESTROY_PROCESS

FORMAT:

\[ \text{status} := \text{destroy\_process}(\text{process}); \]

INPUT PARAMETERS:

| process       | A word containing the id of the process to be destroyed. |

OUTPUT PARAMETERS:

| status        | A word which contains the condition code generated by this primitive. |

DESCRIPTION:

The DESTROY_PROCESS primitive deletes the specified process from the system. The process must be suspended before it can be destroyed.

CONDITION CODES:

| CC_OK         | No exceptional conditions. |
| CC_EXIST      | The specified process does not exist. |
| CC_STATE      | The specified process is not suspended. |
DISCONNECT_INTERRUPT

FORMAT:

\[
\text{status} := \text{disconnect\_interrupt}(\text{interrupt});
\]

INPUT PARAMETERS:

\text{interrupt} \quad \text{A word specifying the interrupt vector from which the process is to be disconnected.}

OUTPUT PARAMETERS:

\text{status} \quad \text{A word which contains the condition code generated by this primitive.}

DESCRIPTION:

The DISCONNECT_INTERRUPT primitive cancels the assignment of the process to an interrupt vector.

CONDITION CODES:

\text{CC_OK} \quad \text{No exceptional conditions.}

\text{CC_EXIST} \quad \text{The specified interrupt vector does not exit.}

\text{CC_ASSIGN} \quad \text{The specified interrupt vector is not currently assigned an interrupt handler, or is not assigned to the process.}
FIND_PROCESS

FORMAT:

status := find_process(process,
                        name);

INPUT PARAMETERS:

name  A field which contains a string of six ASCII characters giving the name of the process.

OUTPUT PARAMETERS:

process A word in which PCOS will return the id of the process whose name is identical to the identifier contained in name.

status A word which contains the condition code generated by this primitive.

DESCRIPTION:

The FIND_PROCESS primitive searches the queue of process known to PCOS. If a process is found whose name is identical to the process name contained in name its id is returned in process. Otherwise, an CC_EXIST exceptional condition occurs.

CONDITION CODES:

CC_OK No exceptional conditions.

CC_EXIST The specified process does not exist.
READ_CLOCK

FORMAT:

status := read_clock(hours, minutes, seconds, ticks);

INPUT PARAMETERS:

none

OUTPUT PARAMETERS:

hours A word containing the present hour.
minutes A word containing the present minute.
seconds A word containing the present second.
ticks A word containing the present tick.
status A word which contains the condition code generated by this primitive.

DESCRIPTION:

The READ_CLOCK primitive returns the current setting of the system clock.

CONDITION CODES:

CC_OK No exceptional conditions.
RECEIVE_MESSAGE

FORMAT:

    status := receive_message(source, message, size, delay );

INPUT PARAMETERS:

    message A message buffer.
    size A word containing the size of the message buffer.
    delay A word which specifies the amount of time the process is willing to wait for a message.

OUTPUT PARAMETERS:

    source A word containing the process id of the process that sent the message.
    status A word which contains the condition code generated by this primitive.

DESCRIPTION:

The RECEIVE_MESSAGE primitive returns a message to the calling process.

CONDITION CODES:

CC_OK No exceptional conditions.
CC_TIMEOUT A message was not received before the delay was exhausted.
SEND_MESSAGE

FORMAT:

    status := send_message(destination, 
                         message, 
                         size );

INPUT PARAMETERS:

destination    A word containing the id of the process to which the message is to be sent.
message        A message buffer.
size           A word containing the size of the message buffer.

OUTPUT PARAMETERS:

status         A word which contains the condition code generated by this primitive.

DESCRIPTION:

The SEND_MESSAGE primitive sends a message to the specified process.

CONDITION CODES:

    CC_OK            No exceptional conditions.
    CC_EXIST        The specified process does not exist.
SET_CLOCK

FORMAT:

\[
\text{status := set\_clock(hours, minutes, seconds, ticks);} 
\]

INPUT PARAMETERS:

- hours: A word containing the new hour value.
- minutes: A word containing the new minute value.
- seconds: A word containing the new second value.
- ticks: A word containing the new tick value.

OUTPUT PARAMETERS:

- status: A word which contains the condition code generated by this primitive.

DESCRIPTION:

The SET_CLOCK primitive sets the system clock.

CONDITION CODES:

- CC_OK: No exceptional conditions.
SIGNAL_INTERRUPT

FORMAT:

\[
\text{status := signal\_interrupt(interrupt)};
\]

INPUT PARAMETERS:

interrupt A word indicating the interrupt vector whose process isto be signaled.

OUTPUT PARAMETERS:

status A word which contains the condition code generated by this primitive.

DESCRIPTION:

The SIGNAL_INTERRUPT primitive allows an interrupt handler to activate its associated interrupt process.

CONDITION CODES:

<table>
<thead>
<tr>
<th>Code</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>CC_OK</td>
<td>No exceptional conditions.</td>
</tr>
<tr>
<td>CC_EXIST</td>
<td>The specified interrupt vector does not exist.</td>
</tr>
<tr>
<td>CC_ASSIGN</td>
<td>The specified interrupt vector is not assigned an interrupt process.</td>
</tr>
</tbody>
</table>
SLEEP

FORMAT:

\[
\text{status} := \text{sleep}(\text{delay});
\]

INPUT PARAMETERS:

delay A word which specifies the number of system time units the process wishes to be asleep. There are 20 system time units per second.

OUTPUT PARAMETERS:

status A word which contains the condition code generated by this primitive.

DESCRIPTION:

The SLEEP primitive causes the currently executing process to suspend its execution for a specified amount of time.

CONDITION CODES:

CC_OK No exceptional conditions.
SUSPEND_PROCESS

FORMAT:

status := suspend_process(process);

INPUT PARAMETERS:

process A word containing the id of the process to be suspended.

OUTPUT PARAMETERS:

status A word which contains the condition code generated by this primitive.

DESCRIPTION:

The SUSPEND_PROCESS primitive suspends a process.

CONDITION CODES:

CC_OK No exceptional conditions.
CC_EXIST The process indicated could not be found.
SYSTEM_DUMP

FORMAT:

status := system_dump(start_address, stop_address);

INPUT PARAMETERS:

start_address  A pointer containing the address of the first byte to be displayed.
stop_address   A pointer containing the address of the last byte to be displayed.

OUTPUT PARAMETERS:

status          A word which contains the condition code generated by this primitive.

DESCRIPTION:

The SYSTEM_DUMP primitive produces a snapshot of the contents of the registers and the specified memory block. The dump is displayed on the system printer.

CONDITION CODES:

CC_OK           No exceptional conditions.
SYSTEM_TRACE

FORMAT:

status := system_trace(message);

INPUT PARAMETERS:

message A character string.

OUTPUT PARAMETERS:

status A word which contains the condition code generated by this primitive.

DESCRIPTION:

The SYSTEM_TRACE primitive displays the specified message on the system printer.

CONDITION CODES:

CC_OK No exceptional conditions.
END

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