AN ALTERNATIVE INTERPRETATION OF ION RING DISTRIBUTION
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An Alternative Interpretation of Ion Ring Distribution Observed by the S3-3 Satellite

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This technical report has been reviewed and is approved for publication. Publication of this report does not constitute Air Force approval of the report's findings or conclusions. It is published only for the exchange and stimulation of ideas.

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<td>Recently, theoretical interest has grown in possible plasma wave instabilities arising from free energy in auroral ion distributions, and a number of ion-mode instabilities have been identified. These instabilities typically rely on positive slopes in the ion's velocity distribution parallel ($\bar{3}f/\bar{3}v &gt; 0$) or perpendicular ($\bar{3}f/\bar{3}v &lt; 0$) to the magnetic field. Upflowing ion beams generated by electrical potential drops along auroral field lines are obvious candidates for the parallel (beam) instability, while ion conics have been treated in the limit of the flute mode instability,</td>
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assuming that a positive perpendicular gradient exists. However, ion conics are not responsible for the condition $\frac{\partial f}{\partial \nu_1} > 0$. Downflowing ion beam distributions can have $\frac{\partial f}{\partial \nu_1} > 0$ and therefore might lead to flute mode instability. Examples of both conics and downflowing beams are presented, showing that only the downflowing component leads to significant $\frac{\partial f}{\partial \nu_1} > 0$, while ion conics generally have $\frac{\partial f}{\partial \nu_1} < 0$ and are themselves stable to the flute mode.
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I. INTRODUCTION

Observations of approximately kiloelectronvolt auroral ions flowing parallel to the magnetic field have been reported by a number of experimenters (see Shelley et al., 1976a and b; Sharp et al., 1977; Ghielmetti et al., 1978, 1979; Gorney et al., 1981). Ion distributions with strong perpendicular anisotropies (ion conics) suggestive of cyclotron heating are also common (Sharp et al., 1977; Klumpar, 1979; Gorney et al., 1981; Kintner and Gorney, 1982). Recent theoretical interest in possible instabilities arising from free energy in these auroral ion distributions (e.g., Kintner, 1980; Cattell and Hudson, 1982; Roth and Hudson, 1982; Kaufman and Kintner, 1982) has required numerical modeling of the ion distributions, and a number of ion-mode instabilities have been identified.

Upflowing ion beams are generally considered to be the result of acceleration of ionospheric ions by electrical potential drops along the auroral field lines, and typically have been modeled as a hot ion population drifting upward with respect to cold background ions and electrons (e.g., Kaufman and Kintner, 1982).

Downflowing ion beams are quite rare compared to upflowing beams (Ghielmetti et al., 1979), but downflowing ions in the low-altitude cusp region are quite common, and can have beam-like distributions (Shelley et al., 1976a and b; Reiff et al., 1977; Burch et al., 1982). The magnetic defocusing of these downstreaming distributions can lead to the formation of rings of ions in perpendicular velocity as well as positive gradients in parallel velocity.

Ion conics are upflowing ion distributions with strong perpendicular anisotropies. Conics are thought to be formed by perpendicular heating at low altitudes by EIC or VLF waves (Ungstrup et al., 1979; Lysak et al., 1980; Chang and Coppi, 1981; Dusenbery and Lyons, 1981; Okuda and Abdalla, 1981) or perhaps by stochastic energization by small-scale electrostatic fields (Lennartsson, 1980; Greenspan and Whipple, 1982). Ion conics are observed at all local times in the auroral latitudes, and are thought to be generated near
or below 2000 km altitude (Klumpar, 1979; Gorney et al., 1981). Conics typically have power-law energy spectra over the energy range from 10 eV to 4 keV (Fennell et al., 1979; Klumpar, 1979). Since conics are generated above the atmosphere, their angular distributions contain an atmospheric loss cone.

At altitudes much higher than 2000 km, ion conics have relative flux maxima at pitch angles between 90° and 180° (i.e., a conical distribution) due to the magnetic focusing of an original pancake distribution. Simultaneous observations of upflowing ion conics and downstreaming cusp ions are common on the dayside, although few of these observations have been reported in the literature. An example of such an event is presented in Figures 1 and 2 of Cattell and Hudson (1982).

An ion distribution from the period discussed by Cattell and Hudson is presented in Figure 1 of this report. This ion distribution is coincident with wave emissions near the lower hybrid resonance frequency, which can be described in terms of a flute mode instability. Cattell and Hudson argued that the ion conic population is responsible for the observed positive velocity gradient in the ion distribution that is necessary for instability. Examination of these data shows that the ion conic does not contribute a positive perpendicular velocity gradient to the ion distribution, but that two regions of positive perpendicular velocity gradient do exist in the observed distribution, because of the atmospheric loss cone at high energy and the downstreaming energetic ions. Two other data examples are presented in this report, showing a downstreaming ion event and an ion conic event separately. The downstreaming ion event shows a ring of ions even in the absence of an ion conic. The ion conic example shows no positive velocity gradients other than the high-energy loss cone.
Fig. 1. An energetic ion velocity-space distribution from S3-3 on 18 July 1976 at 1:08:15 U.T. The +V direction corresponds to downflowing ions. The computed loss cone is labeled $\alpha_{LC}$. The lower panel indicates regions of positive (unshaded) and negative (shaded) perpendicular gradient $\partial f / \partial V_\perp$. The atmospheric loss cone, ion conic, and downflowing "cusp" ions are outlined.
II. DATA

The upper panel of Figure 1 shows a contour plot of the energetic ion velocity space distribution observed by the S3-3 satellite at 01:08:15 U.T. (±10 sec) on 18 July 1976. In this plot the $+v_\parallel$ axis corresponds to down-flowing particles. The distribution function contours are logarithmic (the contour labeled 7 corresponds to a distribution function value of $10^7$ sec$^{-3}$/km$^6$). At this time S3-3 was near 7800 km altitude, and at 10.7 hr local time.

Several interesting features are apparent in this ion distribution, which is characteristic of the ion distributions observed throughout the 1-min period of interest. First, the atmospheric loss cone is apparent at upflowing velocities greater than 200 km/sec. The computed extent of the 100 km loss cone is labeled $\alpha_{LC}$ on the figure. The tightly packed contours at velocities less than 250 km/sec in the upflowing hemisphere represent the typical signature of an ion conic. The ion conic extends to energies of about 290 eV, and no ion conic exceeds 470 eV in the entire period in which wave emissions were observed. This conic has a relative flux maximum at a pitch angle of about 125°. The remainder of the ion distribution is isotropic in pitch angle, but with a ring of ions in the downflowing hemisphere.

This ion ring is outlined by the contour labeled 7, and close inspection of the density distribution either in the downflowing or perpendicular direction reveals a relative minimum at about 250 km/sec and a relative maximum at about 400 km/sec.

Perpendicular velocity gradients were computed for these data, and the boundaries between regions of $\partial f/\partial v_{\perp} > 0$ and $\partial f/\partial v_{\perp} < 0$ are shown in the lower panel of Figure 1. The shaded region corresponds to $\partial f/\partial v_{\perp} < 0$; the unshaded regions have the positive gradients required for instability. The atmospheric loss cone, the ion conic, and the downflowing beam regions are outlined with a thin solid line, a thin dashed line, and a dotted line respectively. As expected, the loss cone is a region of $\partial f/\partial v_{\perp} > 0$, whereas the ion conic has $\partial f/\partial v_{\perp} < 0$. The low-velocity edge of the downflowing ion beam, extending to
90° pitch angle, is a region of positive perpendicular gradient. It is important to note that the unshaded regions represent the only regions that can contribute to the flute mode instability.

Cattell and Hudson, describing the quantity $\int f(v_\parallel, v_\perp) \, dv_\parallel$ for a time period surrounding 1:08:26 U.T., noted a region of positive slope between 200 and 300 km/sec perpendicular velocity, which they attributed to the presence of the ion conic. It is clear from the bottom panel of Figure 1 that the observed positive slope must be due to the downflowing ion beam, and not the upflowing ion conic. This point is seen even more clearly in Figure 2, which directly compares the roles of the downflowing beam and upflowing ion conic in producing a positive slope in perpendicular velocity. (Note that data from the period surrounding 1:08:15 are plotted in these figures. This period was chosen for study because the data are more time stationary than at 1:08:26 and well within the interval when the waves were observed.)

The two panels of Figure 2 show ion distributions for the same data presented in Figure 1. The left panel compares the upflowing ion velocity distribution (dashed line) with that at 90° pitch angle (solid line); the right panel compares the 0° pitch angle (dashed line) with the perpendicular flux (solid line). The broad arrow in the left panel indicates the highest velocity at which conical ion distributions were observed. The perpendicular ion distribution function has a peak near 400 km/sec and a positive slope between 200 and 300 km/sec. The same spectral shape is also apparent in the downflowing population in the right panel. The ion conic has a steep, monotonically decreasing spectrum over the entire energy range in which it maintains a conical pitch angle distribution. Clearly, the perpendicular spectrum is due to the magnetic defocussing of the downflowing ion distribution, and the ion conic can only decrease the positive gradient between 200 and 400 km/sec.

Figure 3 shows independent examples of downflowing ions (a) and an upflowing ion conic (b). Both examples are from S3-3 near apogee, at high latitude on the dayside. The downflowing ions have a peak near 400 km/sec,
Fig. 2. Two plots of ion density as a function of velocity for the data shown in Figure 1. The left panel compares the upflowing ion conic spectrum with the perpendicular (90° pitch angle) spectrum. The right panel compares the downflowing (0° pitch angle) spectrum with the perpendicular spectrum.
Fig. 3. Ion distributions from the S3-3 satellite at high latitude, near apogee on the dayside, showing (a) a downflowing ion distribution with a peak near 400 km/sec, and (b) an ion conic distribution with no downflowing ion beam.
similar to Figure 1. Indeed the regions of velocity that contribute to positive perpendicular velocity gradients are almost identical to those in Figure 1, even though there is no evidence of an ion conic in this case. On the other hand, the ion conic example in Figure 3b has no downflowing beam, and the only region that contributes a positive perpendicular velocity gradient is the high-energy loss cone. Again, the key element in the formation of a ring distribution is the downflowing ion population, not the upflowing ion conic.
III. CONCLUSIONS

Auroral ion distributions possess a number of features that might lead to plasma wave instabilities. The stability of upflowing accelerated ion beams has already been treated in some detail. Perhaps the downflowing ion beams presented in this report might also be subject to similar beam instabilities. The downflowing ion beams, under the influence of the defocussing magnetic mirror force, also form rings of energetic ions, which have regions of positive perpendicular velocity gradients, making them potentially unstable to flute mode instabilities. Upflowing ion conics, on the other hand, neither have peaked energy spectra nor are well represented by ion ring models.
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