| FINITE ELEMENT PROCEDURES APPLICABLE TO NONLINEAR |
| ANALYSIS OF REINFORCED... (U) HUGHES (THOMAS J R) |
| STANFORD CA  G M STANLEY ET AL. SEP 84 NCEL-CR-84.033 |

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FINITE ELEMENT PROCEDURES APPLICABLE TO NONLINEAR ANALYSIS OF REINFORCED CONCRETE SHELL STRUCTURES

September 1984

An Investigation Conducted by:
THOMAS J.R. HUGHES, INC.
Stanford, CA 94305

Approved for public release; distribution is unlimited
**METRIC CONVERSION FACTORS**

### Approximate Conversions to Metric Measures

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### Approximate Conversions from Metric Measures

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**Title:** Finite Element Procedures Applicable to Nonlinear Analysis of Reinforced Concrete Shell Structures

**Authors:** G.M. Stanley and T.J.R. Hughes

**Performing Organization:** Naval Civil Engineering Laboratory, Port Hueneme, CA 93043

**Abstract:** The purpose of this report was to adapt earlier work in nonlinear shell-element methodology to the specific kinds of problems encountered in the analysis of critically-loaded reinforced concrete shell structures. The Continuum-Based nonlinear shell-element formulation for thin shells was extended to thicker, layer-model types of shell structures.
resultant-type form of the basic equations was developed that is both appropriate for the treatment of thick, layered shells and leads to an efficient finite-element implementation as well. By eliminating most of the work associated with thickness integration, this approach should be effective when numerous layers and/or thickness integration points are required, as in the case of inelastically responding reinforced concrete. Pointwise constitutive relations for accurate through-thickness stress calculations are still employed though these are ultimately presented in the element computational routines in the form of resultants.
Contents

FINITE ELEMENT PROCEDURES APPLICABLE TO NONLINEAR ANALYSIS
OF REINFORCED CONCRETE SHELL STRUCTURES

Section 1 - Introduction .................................................. 1-1

Section 2 - Review of Previous Work .................................. 2-1
  2.1 Background ......................................................... 2-2
  2.2 Continuum-Based (CB) Shell Theory ............................... 2-3
  2.3 Reduction to Resultant (CBR) Equations ......................... 2-9
  2.4 Finite-Element Discretization ................................... 2-12

Section 3 - Extension to Thick/Layered Shells ....................... 3-1
  3.1 Motivation .......................................................... 3-2
  3.2 Revised Kinematics 1: Curvature Effects ....................... 3-2
  3.3 Revised Kinematics 2: Transverse-Shear Effects ............... 3-10
  3.4 Revised Variational Equations ................................. 3-12

Section 4 - Finite-Element Implementation ................................ 4-1
  4.1 Overview .......................................................... 4-2
  4.2 Shell-Element Arrays .............................................. 4-2
  4.3 Specific Shell-Element Types .................................... 4-9
  4.4 The Global (Displacement) Algorithm ............................ 4-11
  4.5 The Local (Stress) Algorithm .................................... 4-15

Section 5 - Summary ...................................................... 5-1

Figures

References
Introduction
The purpose of this report is to adapt our earlier work in nonlinear shell-element methodology [4-6,19] to the specific kinds of problems encountered in the analysis of critically-loaded reinforced concrete shell structures. To this end, we develop a resultant-type form of the basic equations (of motion) that is both appropriate for the treatment of thick, layered shells and leads to an efficient finite-element implementation as well. By eliminating most of the work associated with thickness integration, the approach should be particularly effective when numerous layers and/or thickness integration points are required, as in the case of inelastically responding reinforced concrete. Furthermore, adhering to a Continuum-Based approach, we continue to employ pointwise constitutive relations for accurate through-thickness stress calculations, though these are ultimately presented to the element computational routines in the form of resultants. Finally, the implementation of these new procedures represents a straightforward extension of our current shell-element software package.

The organization of the report is as follows. In Section 2, our previous work is reviewed, with the main intent of introducing all of the pertinent notation. Then in Section 3, the basic equations, used as the starting point for finite-element discretization in [19], are generalized to accommodate thick/layered shells. The impact of these revisions on the finite-element implementation is presented in Section 4, where an outline of the Global and Local solution algorithms is presented as well. Finally, a brief summary and conclusions are provided in Section 5.
Review of Previous Work
§2.1 Background

In reference [4], a Continuum-Based (CB) approach to nonlinear finite-element shell analysis is developed. These developments represent a generalization of the "degenerated-solid" element, introduced by Ahmad et al. [1] for linear analysis. The basic advantage of the CB approach is its convenient avoidance of complicated (and often ambiguous) shell equations, while at the same time providing a straightforward vehicle for finite-element discretization — via $C^0$ displacement interpolation. A second advantage is the natural inclusion of transverse-shear deformability, which increases the thickness regime over which the method is applicable.

The CB approach presented in [4] extends the capabilities to include arbitrarily large rotations, moderately large strains and a large class of (elastic/plastic) constitutive models. However, due to the full three-dimensional character of the equations — the thickness coordinate, $i$, appears explicitly in the element arrays — element formation can be quite expensive. This is especially true in the case of multi-layered inelastic shells, where thickness integration may constitute a substantial portion of the computing time. Thus, in [19], the thickness coordinate is pre-integrated out of the arrays by making several "thinness" assumptions, namely:

(A1) Normality of transverse fibers is assumed in any fixed configuration. (This does not preclude transverse shear deformation on an incremental basis.)

(A2) Curvature effects are approximated by using the average (reference surface) metric when computing kinematic quantities. (This is tantamount to first approximation shell theories [2,11] that neglect terms of order $h/R$ with respect to 1.)

(A3) Taper of the shell wall is assumed to be gradual enough that: (i) derivatives of $\hat{z}$ along the surface directions may be neglected (kinematically) and (ii) the 'zero normal stress' hypothesis may be enforced with respect to the reference-surface normal. (This does not preclude thickness jumps.)

These assumptions leads to resultant-oriented equations (and element arrays) that involve only surface integration. We therefore refer to the approach as the Continuum-Based Resultant (CBR) formulation — to distinguish it from classical Resultant-Based formulations.
§2.2 CONTINUUM-BASED (CB) SHELL THEORY

The CBR approach is significantly more economical than the CB approach, but due to the above assumptions the advantages are biased towards "thin" shells, with a degradation of accuracy anticipated as $h/R$ (current thickness to minimum radius of curvature) is increased.

In Section 3, the economic advantages of the CBR approach are extended to the "thick" shell regime. The remainder of the current section reviews the basic CB and CBR shell equations and notational pre-requisites to the sequel. For greater depth and detail, the reader is referred to [19].

§2.2 Continuum-Based (CB) Shell Theory

2.2.1 Continuum Equations

We start with the Linearized Variational (LV) equations governing the incremental motion of a general three-dimensional continuum [16,17]. Note that a Lagrangian (material-based) description of the motion is being employed and, unless otherwise specified, all vector and tensor components are expressed in a fixed (global) Cartesian basis, with indices $i, j, k, l$ ranging from 1–3.

In operator notation, the LV equations may be written

$$DM(\Delta \bar{u}) + DF(\Delta u) = \mathcal{F}^{ext}(u) - \mathcal{F}^{int}(u) - M(\bar{u})$$

(2.1)

where $\mathcal{F}^{ext}$ is the external force operator, $\mathcal{F}^{int}$ the internal force operator, $M$ the inertial force (or mass) operator, $DF$ the linearized internal force (or stiffness) operator, and $DM$ (the linearized mass operator) is identically equal to $M$. The argument, $u$, represents the material displacement vector, and $\bar{u}$, the corresponding acceleration vector — both considered to be functions of the material coordinates, $X_i$.

The incremental displacements and accelerations, $\Delta u$ and $\Delta \bar{u}$, emanate from the linearization and are to be interpreted as excursions from the known (current) configuration.
associated with \( u \). Note that the entire right-hand-side of (2.1) is thus known, while the
left-hand-side involves the tangent operators and the unknown \( \Delta u \). Thus (2.1) constitutes
the basis for subsequent iterative algorithms (Section 4).

In the current report, we will concentrate on the internal force and stiffness operators,
\( F^{\text{int}} \) and \( D\mathcal{F} \), since the external force and mass operators are relatively unaffected
by the choice of shell formulation (i.e., CB or CBR). Furthermore, it is these operators that
engender the bulk of the cost in subsequent finite-element numerical computations. Their
continuum definitions are given as follows

\[
\begin{align*}
F^{\text{int}}(u) &= \int_V \delta \varepsilon^T \mathcal{E} \, dV \\
D\mathcal{F}(\Delta u) &= \int_V \delta \varepsilon^T \mathcal{C} \Delta \varepsilon \, dV + \int_V \nabla(\delta u_i)^T \sigma \nabla(\Delta u_i) \, dV 
\end{align*}
\]

where the integrals are performed over the current volume \( V \) of the body, with associated
spatial coordinates, \( x_j \).

In these expressions, \( \Delta \varepsilon \) is an incremental strain "vector" corresponding to the tensor
\[
\Delta \varepsilon_{ij} = \frac{1}{2} \left( \frac{\partial \Delta u_i}{\partial x_j} + \frac{\partial \Delta u_j}{\partial x_i} \right) 
\]
and arranged in the order
\[
\Delta \varepsilon = [ \Delta \varepsilon_{11}, \Delta \varepsilon_{22}, \Delta \varepsilon_{33}, 2\Delta \varepsilon_{12}, 2\Delta \varepsilon_{23}, 2\Delta \varepsilon_{31} ] 
\]

Similarly, \( \mathcal{E} \) is the "vector" corresponding to the Cauchy stress tensor, \( \sigma \), and arranged
in the order
\[
\mathcal{E} = [ \sigma_{11}, \sigma_{22}, \sigma_{33}, \sigma_{12}, \sigma_{23}, \sigma_{31} ] 
\]
§2.2 CONTINUUM-BASED (CB) SHELL THEORY

The symbol \( \delta \) in (2.2) and (2.3) indicates a variational (or virtual) quantity, so that \( \delta \varepsilon \) is defined in precisely the same manner as \( \Delta \varepsilon \) (2.5), except with \( \Delta u \) replaced by \( \delta u \), the virtual displacement. (Note: \( \delta u \) may also be viewed as the weighting function used to obtain the variational equations; hence, we endow it with essentially the same properties as \( \Delta u \).)

Finally, \( C \) is the "matrix" corresponding to the material response tensor, \( C \). This tensor is associated with the rate constitutive equations presented in [7] (see also §4.2). The corresponding matrix is arranged such that

\[
\frac{\partial \delta u_i}{\partial x_j} C_{ijkl} \frac{\partial \Delta u_k}{\partial x_l} = \delta \varepsilon^T C \Delta \varepsilon
\]

(2.7)
2.1.2 Introduction of Shell Hypotheses

Next, the continuum is specialized to the form of a shell, i.e., a body bounded by two closely-spaced, generally curved surfaces separated by a relatively small, variable thickness dimension $h$.

To obtain the Continuum-Based shell equations, we translate this qualitative description into the following simplifying hypotheses (i.e., mathematical constraints) which are subsequently introduced in the LV equations (2.1)

\begin{align*}
\text{Kinematic Hypotheses} \\
\text{Total: } & \begin{cases} 
\mathbf{x}(\xi, \eta, \bar{z}) = \bar{\mathbf{x}}(\xi, \eta) + \bar{z}\bar{\mathbf{r}}(\xi, \eta) \\
\mathbf{u}(\xi, \eta, \bar{z}) = \bar{\mathbf{u}}(\xi, \eta) + \bar{z}\bar{\mathbf{v}}(\xi, \eta) 
\end{cases} \\
(2.8) \\
\text{Incremental: } & \begin{cases} 
\Delta \mathbf{u} = \Delta \bar{\mathbf{u}} + \bar{z}\Delta \bar{\mathbf{v}} \\
\Delta \bar{\mathbf{v}} \cdot \bar{\mathbf{r}} = 0
\end{cases} \\
(2.9)
\end{align*}

\begin{align*}
\text{Static Hypotheses} \\
\text{Total: } & \sigma_{33}(\xi, \eta, \bar{z}) = 0 \\
(2.10) \\
\text{Incremental: } & \sigma_{33}^{(T)}(\xi, \eta, \bar{z}) = 0 \\
(2.11)
\end{align*}

In the above expressions, $\xi, \eta$ are surface (curvilinear) coordinates, and $\bar{z}$ is the thickness coordinate measured from the reference-surface (see Fig. 1). For the simple case when
the reference-surface is chosen to coincide with the mid-surface, \( \bar{z} \) and \( h \) are related by \( \bar{z} = \zeta h / 2 \), where \( \zeta \) is a parameter that ranges linearly from -1 at the bottom-surface to +1 at the top-surface.

Regarding the kinematic hypotheses, \( \bar{z}_i \) are the coordinates of a point on the reference-surface, \( \bar{z} \) is the unit pseudo-normal vector (i.e., the current orientation of a vector initially normal to the reference-surface), and \( \bar{u}_i \) and \( \bar{u}_i \) are the displacements of the reference-surface and pseudo-normal (relative to the reference-surface), respectively (see Fig. 2). Thus, (2.8) expresses the hypothesis that normals remain straight via linearity through the thickness. The incremental constraint (2.9) says that the incremental pseudo-normal displacement vector \( \Delta \bar{u} \) has no component parallel to the pseudo-normal itself. The equation is therefore an expression of the incremental rigidity of the normal. Note that any two components of \( \Delta \bar{u} \) in a plane orthogonal to \( \bar{z} \) may be associated with rotation increments, say \( \Delta \theta_1p \) and \( \Delta \theta_2p \), as illustrated in Figure 2.

Regarding the static hypotheses, we have introduced a local Cartesian coordinate basis at each point \((\xi, \eta, \bar{z})\), called the lamina basis (denoted by the subscript "t"). The orthogonal lamina coordinates, \( x_{i_t} = (x_{1_t}, x_{2_t}, x_{3_t}) \) or \( (x_{1_t}, y_{t}, z_{t}) \), are defined such that \( x_t \) and \( y_t \) lie in the plane tangent to the surface \( \bar{z} = \) constant, with \( z_t \) normal to that surface (Fig. 3). Thus, (2.10) enforces the zero normal stress constraint in the current configuration, while (2.11) enforces the same constraint on a rate (or, equivalently, incremental) basis. Note that for this latter purpose, an objective rate is chosen, namely \( \sigma_{ij}^{(T)} \) — the Truesdell rate [17].

Incorporating the above constraints in the continuum variational operators (2.2)-(2.3) leads to the following CB shell counterparts
Section 2: REVIEW OF PREVIOUS WORK

2.8

\[ F^{\text{int}}(u) = \int \delta \tilde{g}_{\ell} \cdot \delta u \, dV \] \hspace{1cm} (2.12)

\[ D\mathcal{F}^{\text{int}}(\Delta u) = \int \delta \tilde{g}_{\ell} \cdot \tilde{C}_{\ell} \Delta \tilde{g}_{\ell} \, dV \] \hspace{1cm} (2.13)

\[ D\mathcal{F}^{\text{ext}}(\Delta u) = \int \nabla_{\ell}(\delta u_{i})^{T} \tilde{\sigma}_{\ell} \cdot \nabla_{\ell}(\Delta u_{i}) \, dV \] \hspace{1cm} (2.14)

where

\[ \Delta \tilde{g}_{\ell} = [\Delta \varepsilon_{zz\ell}, \Delta \varepsilon_{yy\ell}, 2\Delta \varepsilon_{xy\ell}, 2\Delta \varepsilon_{yz\ell}, 2\Delta \varepsilon_{xz\ell}] \] \hspace{1cm} (2.15)

and

\[ \tilde{g}_{\ell} = [\sigma_{zz\ell}, \sigma_{yy\ell}, \sigma_{xy\ell}, \sigma_{yz\ell}, \sigma_{xz\ell}] \] \hspace{1cm} (2.16)

and \( \tilde{C}_{\ell} \) is obtained by static condensation of the '33' component in \( \mathbf{C}_{\ell} \) as a result of (2.11).

The lamina-subscripted variables are related to the corresponding global Cartesian quantities via the orthogonal transformation matrix, \( \mathbf{L} \), defined such that

\[ \mathbf{v}_{\ell} = \mathbf{L} \mathbf{v} \] \hspace{1cm} (2.17)

and

\[ \mathbf{t}_{\ell} = \mathbf{L} \mathbf{t} \mathbf{L}^{T} \] \hspace{1cm} (2.18)

where \( \mathbf{v} \) is a generic vector and \( \mathbf{t} \) is a generic second-rank tensor.

Finally, note that the total kinematic constraint (2.8), expressing the through-thickness linearity of the displacement field, is implicit in (2.12)–(2.14), while the incremental kinematic constraint (2.9) is more effectively imposed later, on a discrete (i.e., finite-element nodal) basis.
§2.3 REDUCTION TO RESULTANT (CBR) EQUATIONS

§2.3 Reduction to Resultant (CBR) Equations

The CB shell equations differ from conventional shell equations in that they involve full volume integration (for a discussion of other differences refer to [19]). In [19] (and similarly in [15]), the thickness coordinate, \( \bar{z} \), is pre-integrated out by making the "thinness" assumptions reviewed in Section 2.1. Without such assumptions, even though the displacements are linear in \( \bar{z} \) (see (2.8)), the strains do not in general inherit this nice feature. The culprit is the Jacobian matrix, \( J_\ell \), relating curvilinear \((\xi, \eta, \bar{z})\) and Cartesian \((x_\ell, y_\ell, z_\ell)\) derivatives, i.e.,

\[
\frac{\partial (\cdot)}{\partial x_\ell} = J_\ell^{-T} \frac{\partial (\cdot)}{\partial \xi}
\]  

where

\[
J_\ell = \frac{\partial x_\ell}{\partial \xi} = \begin{bmatrix}
\frac{\partial x_\ell}{\partial \xi} & \frac{\partial x_\ell}{\partial \eta} & \frac{\partial x_\ell}{\partial \bar{z}} \\
\frac{\partial y_\ell}{\partial \xi} & \frac{\partial y_\ell}{\partial \eta} & \frac{\partial y_\ell}{\partial \bar{z}} \\
\frac{\partial z_\ell}{\partial \xi} & \frac{\partial z_\ell}{\partial \eta} & \frac{\partial z_\ell}{\partial \bar{z}}
\end{bmatrix}
\]  

In general, \( J_\ell \) is a full matrix with at least a linear dependence on \( \bar{z} \); hence, its inverse engenders a rational \( \bar{z} \) dependence in all laminar derivatives via (2.19). This distributes the thickness coordinate throughout the variational operators, (2.12)–(2.14), and inhibits any further simplification.

However, under the assumptions (A1)–(A3) given in Section 2.1, \( J_\ell \) is replaced by the \( \bar{z} \)-independent matrix

\[
\bar{J}_\ell = \begin{bmatrix}
J_\ell & 0 \\
0 & h/2
\end{bmatrix}
\]  

where the bar indicates evaluation at the reference surface. Employing (2.20), the variational equations are reduced to CBR form, wherein the pertinent operators are redefined
Section 2: REVIEW OF PREVIOUS WORK

Notice that the volume integrals have been replaced by surface integrals and the previous stress and strain measures replaced by corresponding resultant quantities. These \( z \)-independent quantities are partitioned into membrane, bending and transverse-shear components as follows

\[
\begin{align*}
\Delta e &= \begin{cases} 
\Delta e^m \\ \Delta e^b \\ \Delta e^s 
\end{cases} \quad \text{or} \quad \begin{cases} 
\Delta \epsilon \\ \Delta \gamma 
\end{cases} \\
\mathbf{s} &= \begin{cases} 
\mathbf{s}^m \\
\mathbf{s}^b \\
\mathbf{s}^s 
\end{cases} \quad \text{or} \quad \begin{cases} 
\mathbf{n} \\
\mathbf{m} \\
\mathbf{q} 
\end{cases} \\
\mathbf{D} &= \begin{bmatrix} 
D^m & D^{mb} & 0 \\
\mathsf{sym.} & D^b & 0 \\
\mathsf{sym.} & & D^s 
\end{bmatrix} \\
\mathbf{S} &= \begin{bmatrix} 
n & m & q \\
m' & q' & \mathsf{sym.} & 0 
\end{bmatrix}
\end{align*}
\]

The resultant quantities \( \Delta e, \mathbf{s} \) and \( \mathbf{D} \), are related to the pointwise continuum quan-
§2.3 REDUCTION TO RESULTANT (CBR) EQUATIONS

Consider the quantities via a $\tilde{z}$-dependent partitioning matrix, $Z$, i.e.,

\[ \Delta \tilde{x}_t = Z(\tilde{z}) \Delta e(\xi, \eta) \quad (2.29) \]

\[ s = \int \tilde{z}^T(\tilde{z}) \tilde{\alpha}_t d\tilde{z} \quad (2.30) \]

\[ D = \int \tilde{z}^T(\tilde{z}) \tilde{C}_t Z(\tilde{z}) d\tilde{z} \quad (2.31) \]

where

\[ Z = \begin{bmatrix} I & \tilde{z} & 0 \\ 0 & 0 & 1 \end{bmatrix} \quad (2.32) \]

(Note: In [19], the $2 \times 2$ identity matrix in the lower right corner of $Z$ is replaced with a diagonal matrix of shear correction factors.)

In particular, the individual stress resultants appearing in (2.26) and (2.28) are defined as follows

\[ n = \int \begin{bmatrix} \sigma_{zz} \\ \sigma_{yy} \\ \sigma_{zy} \end{bmatrix}^T d\tilde{z} \quad (2.33) \]

\[ m = \int \begin{bmatrix} \sigma_{zz} \\ \sigma_{yy} \\ \sigma_{zy} \end{bmatrix}^T \tilde{z} d\tilde{z} \quad (2.34) \]

\[ q = \int \begin{bmatrix} \sigma_{zz} \\ \sigma_{zy} \end{bmatrix}^T d\tilde{z} \quad (2.35) \]

\[ m' = \int \begin{bmatrix} \sigma_{zz} \\ \sigma_{yy} \\ \sigma_{zy} \end{bmatrix}^T \tilde{z}^2 d\tilde{z} \quad (2.36) \]

\[ q' = \int \begin{bmatrix} \sigma_{zz} \\ \sigma_{zy} \end{bmatrix}^T \tilde{z} d\tilde{z} \quad (2.37) \]

and $n$, $m$ and $m'$ are expanded matrix counterparts of $\mathbf{n}$, $\mathbf{m}$ and $\mathbf{m}'$, respectively. For example

\[ n = \int \begin{bmatrix} \sigma_{zz} & \sigma_{zy} \\ \sigma_{zy} & \sigma_{yy} \end{bmatrix} d\tilde{z} \]
Finally, the individual resultant strain-displacement and gradient-displacement relations (the latter required for \( D\mathbf{f}^{mom} \)) may be written

\[
\Delta e_m = \left[ \frac{\partial \Delta \bar{u}_\ell}{\partial x_\ell}, \frac{\partial \Delta \bar{v}_\ell}{\partial y_\ell}, \left( \frac{\partial \Delta \bar{u}_\ell}{\partial y_\ell} + \frac{\partial \Delta \bar{v}_\ell}{\partial x_\ell} \right) \right]^T \tag{2.38}
\]

\[
\Delta e^b = \left[ \frac{\partial \Delta \bar{u}_\ell}{\partial x_\ell}, \frac{\partial \Delta \bar{v}_\ell}{\partial y_\ell}, \left( \frac{\partial \Delta \bar{u}_\ell}{\partial y_\ell} + \frac{\partial \Delta \bar{v}_\ell}{\partial x_\ell} \right) \right]^T \tag{2.39}
\]

\[
\Delta e^c = \left[ \left( \frac{\partial \Delta \bar{w}_\ell}{\partial y_\ell} + \Delta \bar{v}_\ell \right), \left( \frac{\partial \Delta \bar{w}_\ell}{\partial x_\ell} + \Delta \bar{u}_\ell \right) \right]^T \tag{2.40}
\]

and

\[
\Delta g_i = \left[ \nabla_\ell(\Delta \bar{u}_{i\ell}), \nabla_\ell(\Delta \bar{v}_{i\ell}), \Delta \bar{u}_{i\ell} \right]^T \tag{2.41}
\]

where the laminar derivatives are computed via the reference surface Jacobian, \( \bar{J}_\ell \). It should be noted that through these expressions, the kinematic constraint (2.8) now appears explicitly in the LV equations.


2-13

§2.4 FINITE-ELEMENT DISCRETIZATION

§2.4 Finite-Element Discretization

Finite-element matrix equations of motion are easily obtained from either the CB or CBR shell equations by employing an isoparametric [4,20] form of local approximation. Thus, both the displacements and coordinates within an element subdomain are interpolated from corresponding nodal quantities as follows

\[
\bar{x}(\xi, \eta) = \sum_{a=1}^{N_a} N_a(\xi, \eta) \bar{x}_a
\]  

(2.42)

\[
\bar{z}(\xi, \eta) = \sum_{a=1}^{N_a} N_a(\xi, \eta) \bar{z}_a
\]  

(2.43)

\[
\Delta \bar{u}(\xi, \eta) = \sum_{a=1}^{N_a} N_a(\xi, \eta) \Delta \bar{u}_a
\]  

(2.44)

\[
\Delta \bar{u}(\xi, \eta) = \sum_{a=1}^{N_a} N_a(\xi, \eta) \Delta \bar{u}_a
\]  

(2.45)

where the subscript “a” ranges over the number of element nodes, and for quadrilateral elements, the \( N_a \) are typically chosen as Lagrangian interpolation functions (see Fig. 4).

Note that the interpolation is performed in a fixed Cartesian basis, usually lamina or global, depending on the context.

Substitution of (2.37)-(2.40) into the LV equations (2.1) yields the corresponding finite-element matrix equations

\[
M \Delta \ddot{d} + (K^{\text{mat}} + K^{\text{geom}}) \Delta d = F^{\text{ext}} - F^{\text{int}} - \hat{M} \dot{d}
\]  

(2.46)

where \( M \) is the assembled mass matrix (from \( \mathcal{M} \)), \( F^{\text{ext}} \) is the assembled external force vector (from \( \mathcal{F}^{\text{ext}} \)), \( K^{\text{mat}} \) and \( K^{\text{geom}} \) are the assembled material and geometric stiffness matrices, respectively (from \( \mathcal{D}^{\text{mat}} \) and \( \mathcal{D}^{\text{geom}} \)) and \( F^{\text{int}} \) is the assembled internal force vector (from \( \mathcal{F}^{\text{int}} \)). The incremental displacement vector, \( \Delta d \), contains all of the active nodal degrees-of-freedom. As explained in Section 4, these degrees-of-freedom are usually expressed in a shell-oriented (rather than global-Cartesian) coordinate system.
Section 2: REVIEW OF PREVIOUS WORK

The above arrays are assembled from the individual element arrays [20], with the linkage established by the element displacement vectors, \( \Delta d^e \), that is

\[
\Delta d^e = \{ \Delta d^e_a \} = \{ \Delta d_A \}
\]

where "a" is an element node number and "A" is the corresponding global node number. At each shell node, we have potentially 6 degrees-of-freedom, defined by

\[
\Delta d^e_a = \begin{pmatrix} \Delta u_a \\ \Delta \theta_a \end{pmatrix}
\]

(2.47)

However, during assembly the element arrays are suitably transformed to discretely enforce the shell incremental kinematic constraint (2.9) as mentioned above. This reduces the number of degrees-of-freedom per node to 5 — except where junctures occur [19].

In closing this section, we give the specific definitions of the element arrays \( F^\text{int} \), \( K^\text{mat} \) and \( K^\text{geo} \) which result from substituting (2.37)-(2.40) into the CBR shell operators (2.22)-(2.24), as it will be useful to compare these to the revised arrays presented in Section 4. In terms of nodal contributions, we have

\[
F^\text{int}_a = \int_\mathcal{S} B^T_a s dS \quad (2.48)
\]

\[
K_{ab}^\text{mat} = \int_\mathcal{S} B^T_a D B_b dS \quad (2.49)
\]

\[
K_{ab}^\text{geo} = \int_\mathcal{S} \begin{bmatrix} g_{ab}^I & g_{ab}^I \\ g_{ab}^I & g_{ab}^I \end{bmatrix} dS \quad (2.50)
\]

where \( B_a \) is a nodal block of the element incremental strain-displacement matrix, defined by the relationship

\[
\Delta e(\xi, \eta) = \sum_{a=1}^{N_e} B_a(\xi, \eta) \Delta d_a \quad (2.51)
\]
In practice, the B matrix at a given (integration) point, \( \xi, \eta \), is first defined in the lamina system at that point such that

\[
\Delta e(\xi, \eta) = \sum_{a=1}^{N_{ea}} B_{a\xi}(\xi, \eta) \Delta d_{a\xi} \tag{2.52}
\]

where the lamina submatrix, \( B_{a\xi} \), is related to the globally attached version via

\[
B_a = B_{a\xi}[L] \tag{2.53}
\]

The block-diagonal matrix, \([L]\), is the expanded lamina transformation for a nodal DOF vector (2.43), i.e.,

\[
[L] = \begin{bmatrix} L & 0 \\ 0 & L \end{bmatrix} \tag{2.54}
\]

Using the above definitions, the CBR version of \( B_{a\xi} \) in terms of the element shape functions, \( N_a \), is given as follows

\[
B_{a\xi} = \begin{bmatrix}
N_a,_{x\xi} & 0 & 0 & | & 0 & 0 & 0 \\
0 & N_a,_{y\xi} & 0 & | & 0 & 0 & 0 \\
N_a,_{y\xi} & N_a,_{x\xi} & 0 & | & 0 & 0 & 0 \\
0 & 0 & 0 & | & N_a,_{x\xi} & 0 & 0 \\
0 & 0 & 0 & | & 0 & N_a,_{y\xi} & 0 \\
0 & 0 & 0 & | & N_a,_{y\xi} & N_a,_{x\xi} & 0 \\
0 & 0 & N_a,_{x\xi} & | & N_a & 0 & 0 \\
0 & 0 & N_a,_{y\xi} & | & 0 & N_a & 0
\end{bmatrix} \tag{2.55}
\]
Finally, the scalar coefficients appearing in the CBR geometric stiffness matrix (2.46) are defined as

\[ g_{a\bar{b}} = \nabla_\epsilon(N_a)^t n \nabla_\epsilon(N_b) \]  
\[ g_{a\bar{b}} = \nabla_\epsilon(N_a)^t m \nabla_\epsilon(N_b) + \nabla_\epsilon(N_a)^t q N_b \]  
\[ g_{a\bar{b}} = \nabla_\epsilon(N_a)^t m \nabla_\epsilon(N_b) + N_a q^t \nabla_\epsilon(N_b) \]  
\[ g_{a\bar{b}} = \nabla_\epsilon(N_a)^t m' \nabla_\epsilon(N_b) + N_a q''^t \nabla_\epsilon(N_b) \] 
\[ + \nabla_\epsilon(N_a)^t q' N_b \]  

(2.56)  
(2.57)  
(2.58)  
(2.59)
Extension to Thick/Layered Shells
§3.1 Motivation

While the CBR shell assumptions employed in the previous section greatly reduce finite-element computational costs via the use of thickness pre-integration, at the same time they restrict the maximum thickness-to-radius regime relative to the original CB formulation. Thus, for thick shells with substantial curvature (or curvature change), there is the possibility for a serious degradation of accuracy.

Furthermore, for problems in which transverse-shear effects become significant, e.g., in moderately thick shells with shear-flexible layers, it may be necessary to resort to a more refined description of through-thickness kinematics than provided by the straight-normal approximation employed in both CB and CBR formulations.

Hence, our goal in this section is as follows. We would like to relax the maximum-thickness limitations engendered in our previous work, while at the same time retaining the cost-effectiveness of the thickness pre-integration treatment of multi-layered elastic/plastic shells.

§3.2 Revised Kinematics 1: Curvature Effects

The thickness-to-radius limitation imposed by the CBR method may be directly attributed to the Curvature Assumption (A2), wherein the surface metric, or Jacobian, is held constant (at its reference surface value) through the thickness. Recall from §2.3 that the reason for doing this is that it leads to a linear through-thickness variation of the incremental strains, and hence to a simple separation of direct and moment stress-resultants. Once such resultants were computed, the variational (equilibrium) equations were devoid of the thickness coordinate, \( \tilde{z} \), and the formation of finite-element equilibrium arrays was reduced in scope from volume to surface integration.

Upon closer look at the Jacobian, and how it influences the strain-displacement relations, it becomes apparent that if we agree to retain the other two CBR assumptions, i.e., Normality (A1) and Taper (A3), then the Curvature Assumption may be completely abandoned without unduly complicating the equations. The derivation follows.
3-3

§3.2 REVISED KINEMATICS 1: CURVATURE EFFECTS

3.2.1 The Surface Jacobian

First, as observed in [19], after invoking CBR assumptions (A1) and (A3), the Jacobian matrix decouples into laminar (in-plane) and transverse partitions

\[
\mathbf{J}_\ell = \frac{\partial x_\ell}{\partial \xi} = \begin{bmatrix} j_\ell & 0 \\ 0 & h/2 \end{bmatrix} \tag{3.1}
\]

where \( j_\ell \), the surface Jacobian, has the linear through-thickness variation

\[
j_\ell = \bar{J}_\ell + \bar{z} \bar{J}_\ell \tag{3.2}
\]

with

\[
\bar{J}_\ell = \begin{bmatrix} \frac{\partial \bar{x}_\ell}{\partial \xi} & \frac{\partial \bar{x}_\ell}{\partial \eta} \\ \frac{\partial \bar{y}_\ell}{\partial \xi} & \frac{\partial \bar{y}_\ell}{\partial \eta} \end{bmatrix} \tag{3.3}
\]

and

\[
\bar{J}_\ell = \begin{bmatrix} \frac{\partial \bar{Z}_\ell}{\partial \xi} & \frac{\partial \bar{Z}_\ell}{\partial \eta} \\ \frac{\partial \bar{Y}_\ell}{\partial \xi} & \frac{\partial \bar{Y}_\ell}{\partial \eta} \end{bmatrix} \tag{3.4}
\]

The inverse of \( J_\ell \) (used to obtain laminar derivatives from surface-coordinate derivatives) is thus the following matrix of rational polynomials in \( \bar{z} \)

\[
J^{-1}_\ell = \left[ J_\ell^{cof} + \bar{z} J_\ell^{cof} \right] / j(\bar{z}) \tag{3.5}
\]

where

\[
\bar{J}_\ell^{cof} = \begin{bmatrix} \frac{\partial \bar{y}_\ell}{\partial \eta} & -\frac{\partial \bar{x}_\ell}{\partial \eta} \\ -\frac{\partial \bar{y}_\ell}{\partial \xi} & \frac{\partial \bar{x}_\ell}{\partial \xi} \end{bmatrix} \tag{3.6}
\]

and

\[
\bar{J}_\ell^{cof} = \begin{bmatrix} \frac{\partial \bar{y}_\ell}{\partial \eta} & -\frac{\partial \bar{Z}_\ell}{\partial \eta} \\ -\frac{\partial \bar{y}_\ell}{\partial \xi} & \frac{\partial \bar{Z}_\ell}{\partial \xi} \end{bmatrix} \tag{3.7}
\]

are the transposed cofactor matrices of \( \bar{J}_\ell \) and \( \bar{J}_\ell \), respectively, and the surface Jacobian determinant, \( j(\bar{z}) \), appearing in the denominator is the following quadratic polynomial

\[
j(\bar{z}) = \det(J_\ell) = j_0 + j_1 \bar{z} + j_2 \bar{z}^2 \tag{3.8}
\]
Section 3: EXTENSION TO THICK/LAYERED SHELLS

where

\[ j_0 = \left( \frac{\partial \overline{z}_l}{\partial \xi} \frac{\partial \overline{y}_l}{\partial \eta} - \frac{\partial \overline{z}_l}{\partial \eta} \frac{\partial \overline{y}_l}{\partial \xi} \right) \]  
\[ j_1 = \left( \frac{\partial \overline{z}_l}{\partial \xi} \frac{\partial \overline{y}_l}{\partial \eta} - \frac{\partial \overline{z}_l}{\partial \eta} \frac{\partial \overline{y}_l}{\partial \xi} \right) + \left( \frac{\partial \overline{z}_l}{\partial \xi} \frac{\partial \overline{y}_l}{\partial \eta} - \frac{\partial \overline{z}_l}{\partial \eta} \frac{\partial \overline{y}_l}{\partial \xi} \right) \]  
\[ j_2 = \left( \frac{\partial \overline{z}_l}{\partial \xi} \frac{\partial \overline{y}_l}{\partial \eta} - \frac{\partial \overline{z}_l}{\partial \eta} \frac{\partial \overline{y}_l}{\partial \xi} \right) \]  

REMARK 3.1

Note that \( j_0, j_1, j_2 \) involve only the reference-surface quantities, \( \overline{X} \) and \( \overline{\mathcal{X}} \), and hence are independent of \( \tilde{z} \).

REMARK 3.2

When the CBR Curvature Assumption (A2) is introduced as well, equation (3.1) is replaced by the simpler approximation (2.21) so that

\[ j_{\ell}^{-1}(\tilde{z}) \leftarrow j_{\ell}^{-1} = j_{\ell}^{cof} / j_0 \]  

which is just the first term in a Taylor expansion of (3.5) about \( \tilde{z} = 0 \), and leads to a first approximation shell theory.

Comparing this with (3.5), we see that the price of discarding the Curvature Assumption is an additional \( \tilde{z} \)-scaled matrix in the Jacobian numerator and a quadratic scalar function of \( \tilde{z} \) in the denominator.
§3.2 REVISED KINEMATICS 1: CURVATURE EFFECTS

3.2.2 Laminar Displacement Derivatives

We now examine the effect of employing the Jacobian matrix (3.5), instead of the more restrictive CBR version, for the computation of laminar derivatives, as required in the CB shell internal force and stiffness operators (2.12)–(2.14).

The in-plane laminar derivatives of displacement are computed from the corresponding surface-coordinate derivatives via

\[
\frac{\partial \Delta u_{i\ell}}{\partial x_{i\ell}^{(P)}} = \mathbf{j}_\epsilon^T \frac{\partial \Delta u_{i\ell}}{\partial \xi^{(P)}}
\]  

(3.13)

where the \((P)\) superscript restricts our attention to planar components, i.e.,

\[
\frac{\partial (\cdot)}{\partial x_{i\ell}^{(P)}} = \left\{ \frac{\partial (\cdot)}{\partial x_{\xi\ell}} \right\} \quad \text{and} \quad \frac{\partial (\cdot)}{\partial \xi^{(P)}} = \left\{ \frac{\partial (\cdot)}{\partial \xi} \right\}
\]

(3.14)

while the subscript 'i' in (3.13) may range from 1 – 3.

Now, since

\[
\frac{\partial \Delta u_{i\ell}}{\partial x_{i\ell}^{(P)}} = \frac{\partial \Delta \bar{u}_{i\ell}}{\partial x_{i\ell}^{(P)}} + \dot{z} \frac{\partial \Delta \bar{u}_{i\ell}}{\partial x_{\xi\ell}^{(P)}}
\]

(3.15)

we may apply (3.13) independently to translational and rotational components. Employing the new definition (3.5) leads to

\[
\frac{\partial \Delta \bar{u}_{i\ell}}{\partial x_{i\ell}^{(P)}} = \frac{1}{j(\dot{z})} \left[ J^{cof} \frac{\partial \Delta \bar{u}_{i\ell}}{\partial \xi} + \dot{z} J^{cof} \frac{\partial \Delta \bar{u}_{i\ell}}{\partial \xi} \right]
\]

(3.16)

and

\[
\frac{\partial \Delta \bar{u}_{i\ell}}{\partial x_{i\ell}^{(P)}} = \frac{1}{j(\dot{z})} \left[ J^{cof} \frac{\partial \Delta \bar{u}_{i\ell}}{\partial \xi} + \dot{z} J^{cof} \frac{\partial \Delta \bar{u}_{i\ell}}{\partial \xi} \right]
\]

(3.17)

Finally, substituting (3.16) and (3.17) back into (3.15) yields the following useful expression
for computing laminar displacement derivatives

\[
\frac{\partial \Delta u_{i\ell}}{\partial x_{\ell}^{(P)}} = \frac{1}{j(\tilde{z})} \left[ \frac{\partial \Delta \bar{u}_{i\ell}}{\partial x_{\ell}^{(P)}} + \tilde{z} \left( \frac{\partial \Delta \bar{u}_{i\ell}}{\partial x_{\ell}^{(P)}} + \frac{\partial \Delta \bar{u}_{i\ell}}{\partial x_{\ell}^{(P)}} \right) + \tilde{z}^2 \frac{\partial \Delta \bar{u}_{i\ell}}{\partial x_{\ell}^{(P)}} \right]
\]

(3.18)

where the wide bars and hats above the displacement derivatives indicate association with \( \hat{j}_{\ell} \) and \( \hat{j}_{\ell} \), respectively, i.e.

\[
\begin{align*}
\frac{\partial \Delta \bar{u}_{i\ell}}{\partial x_{\ell}^{(P)}} & \overset{\text{def}}{=} \hat{j}_{\ell}^T \frac{\partial \Delta \bar{u}_{i\ell}}{\partial \xi^{(P)}} \\
\frac{\partial \Delta \bar{u}_{i\ell}}{\partial x_{\ell}^{(P)}} & \overset{\text{def}}{=} \hat{j}_{\ell}^T \frac{\partial \Delta \bar{u}_{i\ell}}{\partial \xi^{(P)}} \\
\frac{\partial \Delta \bar{u}_{i\ell}}{\partial x_{\ell}^{(P)}} & \overset{\text{def}}{=} \left( \frac{j_{\ell}}{j_0} \right) \hat{j}_{\ell}^T \frac{\partial \Delta \bar{u}_{i\ell}}{\partial \xi^{(P)}} \\
\frac{\partial \Delta \bar{u}_{i\ell}}{\partial x_{\ell}^{(P)}} & \overset{\text{def}}{=} \left( \frac{j_{\ell}}{j_0} \right) \hat{j}_{\ell}^T \frac{\partial \Delta \bar{u}_{i\ell}}{\partial \xi^{(P)}} \\
\end{align*}
\]

(3.19) (3.20) (3.21) (3.22)

and

\[
\tilde{j}(\tilde{z}) = j(\tilde{z})/j_0 = 1 + \left( \frac{j_1}{j_0} \right) \tilde{z} + \left( \frac{j_2}{j_0} \right) \tilde{z}^2
\]

(3.23)

**REMARK 3.3**

The CBR (curvature-restricted) case is recovered by neglecting \( \hat{j}_{\ell} \) and replacing \( \tilde{j} \) by 1. The result is

\[
\frac{\partial \Delta u_{i\ell}}{\partial x_{\ell}^{(P)}} = \left[ \frac{\partial \Delta \bar{u}_{i\ell}}{\partial x_{\ell}^{(P)}} + \tilde{z} \frac{\partial \Delta \bar{u}_{i\ell}}{\partial x_{\ell}^{(P)}} \right]
\]

(3.24)

which is the basis for the definitions given in §2.3.
3.2.3 Strain-Displacement Relations

Equation (3.18) may be used to construct similarly partitioned incremental strain-displacement relations.

First define the vector of in-plane incremental strain components as

\[
\Delta \epsilon^{(P)} = \begin{pmatrix} \Delta \epsilon_{zz}^P \\ \Delta \epsilon_{yy}^P \\ 2\Delta \epsilon_{zy}^P \end{pmatrix} = \frac{1}{j(\bar{z})} \left[ \Delta \epsilon_0^{(P)} + \bar{z} \Delta \epsilon_1^{(P)} + \bar{z}^2 \Delta \epsilon_2^{(P)} \right]
\]  

(3.25)

where

\[
\Delta \epsilon_0^{(P)} = \begin{pmatrix} \frac{\partial \Delta \bar{u}_x}{\partial x} \\ \frac{\partial \Delta \bar{u}_y}{\partial y} \\ \frac{\partial \Delta \bar{u}_z}{\partial x} + \frac{\partial \Delta \bar{u}_y}{\partial y} \end{pmatrix}
\]  

(3.26)

\[
\Delta \epsilon_1^{(P)} = \begin{pmatrix} \frac{\partial \Delta \bar{u}_x}{\partial x} + \frac{\partial \Delta \bar{u}_y}{\partial x} \\ \frac{\partial \Delta \bar{u}_y}{\partial y} + \frac{\partial \Delta \bar{u}_x}{\partial y} \\ \frac{\partial \Delta \bar{u}_z}{\partial x} + \frac{\partial \Delta \bar{u}_y}{\partial y} + \left( \frac{\partial \Delta \bar{u}_z}{\partial x} + \frac{\partial \Delta \bar{u}_y}{\partial y} \right) \end{pmatrix}
\]  

(3.27)

\[
\Delta \epsilon_2^{(P)} = \begin{pmatrix} \frac{\partial \Delta \bar{u}_x}{\partial x} \\ \frac{\partial \Delta \bar{u}_y}{\partial y} \\ \frac{\partial \Delta \bar{u}_z}{\partial x} + \frac{\partial \Delta \bar{u}_y}{\partial y} \end{pmatrix}
\]  

(3.28)

Similarly, define a vector of transverse shear strain increments having the same structure as the in-plane components except for an additional contribution from the normal derivatives — \(\partial(\cdot)/\partial z\)

\[
\Delta \epsilon^{(S)} = \begin{pmatrix} 2\Delta \epsilon_{zz}^P \\ 2\Delta \epsilon_{zy}^P \end{pmatrix} = \begin{pmatrix} \frac{\partial \Delta \bar{w}_x}{\partial x} + \frac{\partial \Delta \bar{u}_x}{\partial x} \\ \frac{\partial \Delta \bar{w}_y}{\partial y} + \frac{\partial \Delta \bar{u}_y}{\partial y} \end{pmatrix}
\]  

(3.29)

\[
= \begin{pmatrix} \frac{\partial \Delta \bar{w}_x}{\partial x} + \bar{z} \frac{\partial \Delta \bar{w}_x}{\partial x} \\ \frac{\partial \Delta \bar{w}_y}{\partial y} + \bar{z} \frac{\partial \Delta \bar{w}_y}{\partial y} \end{pmatrix} + \begin{pmatrix} \Delta \bar{u}_x \\ \Delta \bar{u}_y \end{pmatrix}
\]
Section 3: EXTENSION TO THICK/LAYERED SHELLS

Rewriting (3.29) in the same form as (3.25), we obtain

\[
\Delta \varepsilon^{(s)} = \frac{1}{j(z)} \left[ \Delta \varepsilon_0^{(s)} + z \Delta \varepsilon_1^{(s)} + z^2 \Delta \varepsilon_2^{(s)} + j \Delta \varepsilon_3^{(s)} \right]
\]  \hspace{1cm} (3.30)

where

\[
\Delta \varepsilon_0^{(s)} = \left\{ \frac{\partial \Delta \bar{w}_t}{\partial x_t}, \frac{\partial \Delta \bar{w}_t}{\partial y_t} \right\}
\]  \hspace{1cm} (3.31)

\[
\Delta \varepsilon_1^{(s)} = \left\{ \frac{\partial \Delta \bar{w}_t}{\partial x_t} + \frac{\partial \Delta \bar{w}_t}{\partial x_t}, \frac{\partial \Delta \bar{w}_t}{\partial y_t} + \frac{\partial \Delta \bar{w}_t}{\partial y_t} \right\}
\]  \hspace{1cm} (3.32)

\[
\Delta \varepsilon_2^{(s)} = \left\{ \frac{\partial \Delta \bar{w}_t}{\partial x_t}, \frac{\partial \Delta \bar{w}_t}{\partial y_t} \right\}
\]  \hspace{1cm} (3.33)

\[
\Delta \varepsilon_3^{(s)} = \left\{ \Delta \bar{u}_t \right\}
\]  \hspace{1cm} (3.34)

3.2.4 Resultant-Oriented Strain Measures

To complete the kinematic development and prepare for insertion in the variational equations, we separate out the \( \bar{z} \)-dependence in (3.25) and (3.30) via a partitioning matrix and deal with an expanded set of \( \bar{z} \)-independent strain measures. This is analogous to the CBR membrane, bending and shear partitions presented in §2.3.

Thus, for the planar (membrane/bending) components, we define

\[
\Delta \varepsilon^{(P)} = \mathcal{Z}^{(P)} \Delta e^{(P)}
\]  \hspace{1cm} (3.35)

where

\[
\Delta e^{(P)} = \left\{ \Delta \varepsilon_0^{(P)}, \Delta \varepsilon_1^{(P)}, \Delta \varepsilon_2^{(P)} \right\}
\]  \hspace{1cm} (3.36)
and
\[
Z^{(P)} = \frac{1}{j(\tilde{z})} \begin{bmatrix} I & \tilde{z} I & \tilde{z}^2 I \end{bmatrix} \tag{3.37}
\]

Similarly, for the transverse (shear) components, we define
\[
\Delta \epsilon^{(s)} = Z^{(s)} \Delta e^{(s)} \tag{3.38}
\]
where
\[
\Delta e^{(s)} = \begin{bmatrix} \Delta \epsilon_0^{(s)} \\ \Delta \epsilon_1^{(s)} \\ \Delta \epsilon_2^{(s)} \\ \Delta \epsilon_3^{(s)} \end{bmatrix} \tag{3.39}
\]
and
\[
Z^{(s)} = \frac{1}{j(\tilde{z})} \begin{bmatrix} I & \tilde{z} I & \tilde{z}^2 I & j(\tilde{z}) I \end{bmatrix} \tag{3.40}
\]

We intend to employ (3.35) and (3.38) to pre-integrate the LV equations through the thickness. However, this is postponed until some additional kinematic effects have been incorporated.
§3.3 Revised Kinematics 2: Transverse-Shear Effects

In this section, we introduce a simple correction to the transverse-shear strain-displacement relations used in the preceding sections. The correction allows for a predefined, e.g., parabolic, distribution of $\Delta \varepsilon_{xz}$ and $\Delta \varepsilon_{yz}$ through the thickness as compared with the weighted constant value used in the conventional Reissner-type theory. Hence, more accurate calculation of pointwise transverse-shear stresses is expected, which can be especially important in the case of thick inelastically deforming shells. Furthermore, such an approach may eliminate the need for transverse shear correction factors (in some cases), and hence relieve the user input burden.

Before proceeding, we note that this type of approach is not new. It has been used by others (e.g., [3]) with good experimental correlation, although mainly in the context of thick homogeneous elastic shells. While the advantages of the profile correction are not as obvious for inelastic/layered shells, the justification is that it is easy to evaluate and basically free of charge.

In light of the above explanation, the earlier definitions of the transverse shear strain increments are replaced by

\[
\begin{align*}
\begin{bmatrix}
\Delta \varepsilon_{xz} \\
\Delta \varepsilon_{yz}
\end{bmatrix} &= (1 + p(\tilde{z})) \begin{bmatrix}
\Delta \varepsilon_{xz} \\
\Delta \varepsilon_{yz}
\end{bmatrix} \\
\end{align*}
\]

(3.41)

where, as suggested in [3], we tentatively employ the parabolic profile

\[
p(\tilde{z}) = -\frac{4(\tilde{z} - \tilde{z}_{mid})^2}{h^2}
\]

(3.42)

in which $\tilde{z}_{mid}$ is the distance from the shell reference surface to the mid-surface, i.e., the eccentricity.

The correction given by (3.42) is thus consistent with the important case of shear-stress-free boundary conditions on top and bottom shell surfaces.

Introducing (3.41) in the partitioned strain-displacement relations given in (3.38) sim-
ply changes the definition of \( Z^{(S)} \) (3.39) to

\[
Z^{(S)} = \frac{(1 + p(\bar{z}))}{\bar{j}(\bar{z})} \begin{bmatrix}
1 & \bar{z} & \bar{z}^2 & \bar{j}(\bar{z})
\end{bmatrix}
\]  

(3.43)
§3.4 Revised Variational Equations

By direct substitution of the revised kinematic relations given in (3.25)–(3.40) into the CB variational equations (2.1), (2.12)–(2.14) we arrive at what we shall call the CBR2 form of the equations (for "2nd approximation CBR"). The only operators affected are the internal force and stiffness (material and geometric). The revised definitions are presented here.

In the following definitions, all surface integrals apply to the reference surface (just like in the CBR versions). The \( \tilde{z} \) dependence of \( dS \) is not being neglected, but rather absorbed into the integrand via the identity

\[
dS(\tilde{z}) = \tilde{j}(\tilde{z}) \, d\tilde{S}
\]

where \( d\tilde{S} \) is the differential surface area at the reference surface and \( \tilde{j} \) is the normalized surface Jacobian defined by (3.8) and (3.23). In the sequel, the symbol \( dS \) is used instead of \( d\tilde{S} \) for notational simplicity, that is the bars are omitted.

3.4.1 CBR2 Internal Force Operator

\[
\mathbf{f}_{\text{int}} = \int_S \left( \delta \mathbf{e}^{(P)} \mathbf{T}_{\mathbf{b}^{(P)}} + \delta \mathbf{e}^{(S)} \mathbf{T}_{\mathbf{b}^{(S)}} \right) dS
\]

where

\[
\mathbf{b}^{(P)} = \begin{bmatrix} \mathbf{b}_0^{(P)} \\ \mathbf{b}_1^{(P)} \\ \mathbf{b}_2^{(P)} \end{bmatrix} = \int \begin{bmatrix} \mathbf{g}^{(P)} \\ \mathbf{g}^{(P)} \tilde{z} \\ \mathbf{g}^{(P)} \tilde{z}^2 \end{bmatrix} d\tilde{z}
\]

and

\[
\mathbf{b}^{(S)} = \begin{bmatrix} \mathbf{b}_0^{(S)} \\ \mathbf{b}_1^{(S)} \\ \mathbf{b}_2^{(S)} \\ \mathbf{b}_3^{(S)} \end{bmatrix} = \int \left( 1 + p(\tilde{z}) \right) \begin{bmatrix} \mathbf{g}^{(S)} \\ \mathbf{g}^{(S)} \tilde{z} \\ \mathbf{g}^{(S)} \tilde{z}^2 \\ \mathbf{g}^{(S)} \tilde{z}_j \end{bmatrix} d\tilde{z}
\]

The (P) and (S) superscripts on the stress vectors have the same meaning as for the
§3.4 REVISED VARIATIONAL EQUATIONS

strain vectors, i.e.,

\[
\mathbf{q}^{(P)} = \begin{pmatrix}
\sigma_{zz} \\
\sigma_{yy} \\
\sigma_{xy}
\end{pmatrix}
\]

and

\[
\mathbf{q}^{(S)} = \begin{pmatrix}
\sigma_{zz} \\
\sigma_{xy}
\end{pmatrix}
\]

and the vectors, \( s^{(P)} \) and \( s^{(S)} \), constitute membrane/bending and shear stress-resultants conjugate to the strain measures \( \delta \varepsilon^{(P)} \) and \( \delta \varepsilon^{(S)} \), respectively.

Note that \( \tilde{j}(\tilde{z}) \) from (3.44) has been factored into the stress-resultant definitions (3.46) and (3.47).
Section 3: EXTENSION TO THICK/LAYERED SHELLS

3.4.2 CBR2 Material Stiffness Operator

\[ D_{\text{mat}} = \int_S (\delta \varepsilon^{(P)} T D^{(P)} \Delta \varepsilon^{(P)} + \delta 
\varepsilon^{(S)} T D^{(S)} \Delta \varepsilon^{(S)}) \, dS \]  

(3.50)

where the in-Plane and transverse-Shear constitutive-resultant matrices are defined by

\[ D^{(P)} = \int \frac{1}{j} \left[ \begin{array}{ccc}
\hat{C}^{(P)} & \hat{C}^{(P)} z^2 & \hat{C}^{(P)} z^3 \\
\hat{C}^{(P)} z^2 & \hat{C}^{(P)} & \\
\hat{C}^{(P)} z^3 & \hat{C}^{(P)} z^4
\end{array} \right] \, d\hat{z} \]  

(3.51)

\[ D^{(S)} = \int \frac{(1 + p(\hat{z}))}{j} \left[ \begin{array}{ccc}
\hat{C}^{(S)} & \hat{C}^{(S)} z^2 & \hat{C}^{(S)} z^3 \\
\hat{C}^{(S)} z^2 & \hat{C}^{(S)} & \\
\hat{C}^{(S)} z^3 & \hat{C}^{(S)} z^4
\end{array} \right] \, d\hat{z} \]  

(3.52)

The constitutive tensors, \( \hat{C}^{(P)} \) and \( \hat{C}^{(S)} \), are the partitions of \( \hat{C}_t \) corresponding to the planar and transverse-shear stress/strain components defined in (3.25) and (3.29), respectively.

3.4.3 CBR2 Geometric Stiffness Operator

\[ D_{\text{geom}} = \sum_{i=1}^{3} \int_S \delta g_i^T S \Delta g_i \, dS \]  

(3.53)

where

\[ S = \int \hat{Z}^{(G)} T \sigma^t \hat{Z}^{(G)^T} \, d\hat{z} \]  

(3.54)

\[ \hat{Z}^{(G)} = \frac{1}{j(\hat{z})} \left[ \begin{array}{ccc}
1 & i & i^2 I \\
0 & 0 & 0 \\
0 & 0 & 0
\end{array} \right] \]  

(3.55)
§3.4 REVISED VARIATIONAL EQUATIONS

Expansion of (3.54) yields the following ‘initial-stress’ resultant matrix

\[
S = \begin{bmatrix}
S_{11} & S_{12} & S_{13} & S_{14} \\
S_{21} & S_{22} & S_{23} & S_{24} \\
S_{31} & S_{32} & S_{33} & S_{34} \\
S_{41} & S_{42} & S_{43} & S_{44}
\end{bmatrix}
\]

(3.56)

where, for \( I \) and \( J = 1, 2 \) or \( 3 \):

\[
S_{IJ} = \int \frac{1}{j(\bar{z})} \sigma^{(P)} \bar{z}^{(I-1)} \bar{z}^{(J-1)} \, d\bar{z}
\]

(3.57)

and

\[
S_{I4} = \int \bar{g}^{(S)} \bar{z}^{I-1} \, d\bar{z}
\]

(3.58)

and by the zero-normal-stress condition,

\[
S_{44} = \int \bar{j}(\bar{z}) \sigma_{\epsilon_{x\epsilon}} \, d\bar{z} \equiv 0
\]

(3.59)

The partitioned gradient vector, \( \Delta g_i \), in (3.53) is defined as follows

\[
\Delta g_i = \begin{bmatrix}
\nabla_0(\Delta u_{i\ell}) \\
\nabla_1(\Delta u_{i\ell}) \\
\nabla_2(\Delta u_{i\ell}) \\
\Delta \bar{u}_{i\ell}
\end{bmatrix}
\]

(3.60)

where the subscripted gradient operators are analogous to the subscripted strain measures, (3.26)-(3.28), i.e.,

\[
\nabla_0(\Delta u_{i\ell}) = \frac{\partial \Delta \bar{u}_{i\ell}}{\partial x_{i\ell}^{(P)}}
\]

(3.61)

\[
\nabla_1(\Delta u_{i\ell}) = \frac{\partial \Delta \bar{u}_{i\ell}}{\partial x_{i\ell}^{(P)}} + \frac{\partial \Delta \bar{u}_{i\ell}}{\partial x_{i\ell}^{(P)}}
\]

(3.62)

\[
\nabla_2(\Delta u_{i\ell}) = \frac{\partial \Delta \bar{u}_{i\ell}}{\partial x_{i\ell}^{(P)}}
\]

(3.63)

The CBR2 shell operators presented above are now in appropriate form for finite-element discretization.
Finite-Element Implementation
§4.1 Overview

In this section, we discuss the numerical implementation of the extended CBR, or CBR2, shell theory using the same finite-element framework as employed in our previous work (e.g., [4],[19]). The discussion includes modifications to the element strain interpolation matrices reflecting the kinematic revisions presented in Section 3, corresponding formulas for computing the element force and stiffness arrays, a summary of specific shell-element types (e.g., 4, 9 and 16-node) and an outline of the solution procedures used to obtain displacements and stresses. Section 2.4 is recommended as background reading.

§4.2 Revised Element Arrays

4.2.1 Strain-Displacement Matrices

Substituting the $C^0$ element displacement approximations, (2.37)-(2.40) into the CBR2 incremental strain-displacement relations, (3.36) and (3.39), leads to the following element counterparts.

$$
\Delta e^{(P)}(\xi, \eta) = \sum_{a=1}^{N_{el}} B_a^{(P)}(\xi, \eta) \Delta d_a
$$

and

$$
\Delta e^{(S)}(\xi, \eta) = \sum_{a=1}^{N_{el}} B_a^{(S)}(\xi, \eta) \Delta d_a
$$

where $B_a^{(P)}$ and $B_a^{(S)}$ are element membrane/bending and transverse-shear strain-displacement submatrices at element node “a”, respectively; and $\Delta d_a$ is the element incremental displacement, or DOF, vector at node “a”, resolved into global components (see (2.43)).

As explained in Section 2.4, the B matrices are obtained first with respect to the local lamina basis and then transformed, that is

$$
B_a^{(P)} = B_a^{(P)}[L]
$$
and

\[ B_{a\ell}^{(S)} = B_{a\ell}^{(S)}[L] \quad (4.4) \]

where \([L]\) was defined in (2.5) such that

\[ \Delta d_{a\ell}^\circ = [L] \Delta d_{a}^\circ \quad (4.5) \]

The definitions of \(B_{a\ell}^{(P)}\) and \(B_{a\ell}^{(S)}\) in terms of the element shape functions follow

\[
B_{a\ell}^{(P)} = \begin{bmatrix}
\overline{N}_{a,z\ell} & 0 & 0 & 0 & 0 \\
0 & \overline{N}_{a,y\ell} & 0 & 0 & 0 \\
\overline{N}_{a,\ell} & \overline{N}_{a,\ell} & 0 & 0 & 0 \\
0 & \overline{N}_{a,\ell} & 0 & \overline{N}_{a,\ell} & 0 \\
0 & 0 & 0 & \overline{N}_{a,\ell} & 0 \\
0 & 0 & 0 & \overline{N}_{a,\ell} & 0 \\
0 & 0 & 0 & 0 & \overline{N}_{a,\ell}
\end{bmatrix}
\quad (4.6)
\]

\[
B_{a\ell}^{(S)} = \begin{bmatrix}
0 & 0 & \overline{N}_{a,z\ell} & 0 & 0 \\
0 & 0 & \overline{N}_{a,y\ell} & 0 & 0 \\
0 & 0 & \overline{N}_{a,z\ell} & 0 & 0 \\
0 & 0 & \overline{N}_{a,y\ell} & 0 & 0 \\
0 & 0 & 0 & 0 & \overline{N}_{a,y\ell} \\
0 & 0 & 0 & 0 & \overline{N}_{a,z\ell} \\
0 & 0 & 0 & 0 & 0 \\
0 & 0 & 0 & 0 & \overline{N}_{a,\ell}
\end{bmatrix}
\quad (4.7)
\]

The wide bars and hats in the above definitions emanate from (3.19)-(3.22) where they were used to define lamina displacement derivatives in terms of the natural \((\xi, \eta)\) derivatives. Thus

\[
\begin{bmatrix}
\overline{N}_{a,z\ell} \\
\overline{N}_{a,y\ell}
\end{bmatrix} = \tilde{J}_{\ell}^{-T} \begin{bmatrix}
N_{a,\xi} \\
N_{a,\eta}
\end{bmatrix}
\quad (4.8)
\]
Section 4: FINITE-ELEMENT IMPLEMENTATION

and

\[
\begin{pmatrix}
N_{a,x} \\
N_{a,y}
\end{pmatrix}
= \begin{pmatrix}
J_{t} \\
J_{0}
\end{pmatrix}
\begin{pmatrix}
N_{a,x} \\
N_{a,y}
\end{pmatrix}
\]

(4.9)

where the Jacobian matrices and determinant coefficients \((j_1, j_2, j_0)\) were defined in section 3.2.1. They are computed within an element by using the coordinate interpolation formulas (2.37)–(2.38) as shown in section 4.2.5.

4.2.2 CBR2 Element Internal-Force Vector

Substitution of (4.1) and (4.2) into the internal-force operator (3.45) over an individual element subdomain, leads to the corresponding element force vector. A nodal subvector is given by

\[
F^\text{int}_a = \int_{S_e} (B_a(P)T_s(P) + B_a(S)T_s(S)) dS_e
\]

(4.10)

where all quantities are defined, and it remains only to numerically perform the surface integration.

4.2.3 CBR2 Element Material-Stiffness Matrix

Substitution of (4.1) and (4.2) into (3.50) yields the element material-stiffness matrix. A nodal submatrix is defined as

\[
K^\text{mat}_a = \int_{S_e} B_a(P)T_s(P)B_a(P) + B_a(S)T_s(S)B_a(S) dS_e
\]

(4.11)

and typically employs the same numerical quadrature scheme as used for the internal-force vector (4.29).
4.2.4 CBR2 Element Geometric-Stiffness Matrix

For the geometric stiffness, we may exploit the simplicity of the $C^0$ interpolation and obtain (after some manipulation) a much more economical form than suggested by (3.53). The result is the following element nodal submatrix

$$K_{ab}^{geom} = \begin{bmatrix} g_{abI} & g_{abI}^T \\ g_{abI} & g_{abI}^T \end{bmatrix}$$

where

$$g_{ab} = \nabla N_a^T (S_{11} \nabla N_b + S_{12} \nabla N_b)$$
$$+ \nabla N_a^T (S_{21} \nabla N_b + S_{22} \nabla N_b)$$

$$g_{ab} = \nabla N_a^T (S_{13} \nabla N_b + S_{13} \nabla N_b + S_{14} N_b)$$
$$+ \nabla N_a^T (S_{23} \nabla N_b + S_{23} \nabla N_b + S_{24} N_b)$$

$$g_{ab} = (\nabla N_a^T S_{21} + \nabla N_a^T S_{31} + N_a S_{41}) \nabla N_b$$
$$+ (\nabla N_a^T S_{22} + \nabla N_a^T S_{32} + N_a S_{42}) \nabla N_b$$

$$g_{ab} = \nabla N_a^T (S_{22} \nabla N_b + S_{22} \nabla N_b + S_{24} N_b)$$
$$+ \nabla N_a^T (S_{32} \nabla N_b + S_{33} \nabla N_b + S_{34} N_b)$$
$$+ N_a (S_{41} \nabla N_b + S_{43} N_b)$$
The shape-function gradient operators in the above definitions correspond to (4.10) and (4.11), i.e.,
\[ \nabla N_a = \begin{bmatrix} \frac{N_a, x}{N_a, y} \\ \frac{N_a, y}{N_a, x} \end{bmatrix} \] (4.17)
and
\[ \nabla N_a = \begin{bmatrix} \frac{N_a, x}{N_a, y} \\ \frac{N_a, y}{N_a, x} \end{bmatrix} \] (4.18)

4.2.5 Computation of Lamina Transformations and Jacobians

The lamina transformation matrix, \( L \), and the Jacobian quantities, \( J, j_1, j_2 \), appear (or are required) in most of the revised element arrays. The following is a convenient step-by-step procedure for computing these quantities at an element integration point. As a prerequisite, it is assumed that the current element nodal coordinates, \( \bar{x}_a \), and the shape functions \( N_a, N_a, \xi, \) and \( N_a, n \) are given.

Step 0 “Normal” Vectors (\( \bar{x}_a \)). Before processing any of the integration points, element nodal pseudo-normal vectors, \( \bar{x}_a \), should be evaluated (for \( j_1, j_2 \)). By the Normality Assumption (A1), these may be computed from the reference surface coordinates, i.e.,
\[ \bar{x}_a = \bar{e}_{\xi a} \times \bar{e}_{\eta a} \] (4.19)
where
\[ \bar{e}_{\xi a} = \frac{\partial \bar{x}}{\partial \xi}(\xi_a) = \sum_{b=1}^{N_s} \frac{\partial N_b}{\partial \xi}(\xi_a) \bar{x}_b \] (4.20)
\[ \bar{e}_{\eta a} = \frac{\partial \bar{x}}{\partial \eta}(\xi_a) = \sum_{b=1}^{N_s} \frac{\partial N_b}{\partial \eta}(\xi_a) \bar{x}_b \] (4.21)
and \( \xi_a \) refers to the \((\xi, \eta)\) coordinates at node “a”. This requires the evaluation of the shape-function natural derivatives at nodes as well as integration points. However, this involves no additional computation, since natural derivatives are the same for all elements of a given type and hence may be pre-computed.

The following steps are then performed at each element integration point:
4-7

§4.2 REVISED ELEMENT ARRAYS

Step 1 Tangent and Curvature Vectors \( \frac{\partial \vec{X}}{\partial \xi} \) and \( \frac{\partial \vec{X}}{\partial \eta} \).

\[
\begin{align*}
\vec{e}_\xi(\xi) &= \frac{\partial \vec{X}}{\partial \xi}(\xi) = \sum_{a=1}^{N_\xi} \frac{\partial N_a(\xi)}{\partial \xi} \vec{x}_a \\
\vec{e}_\eta(\eta) &= \frac{\partial \vec{X}}{\partial \eta}(\eta) = \sum_{a=1}^{N_\eta} \frac{\partial N_a(\eta)}{\partial \eta} \vec{x}_a \\
h_\xi(\xi) &= \frac{\partial \vec{X}}{\partial \xi}(\xi) = \sum_{a=1}^{N_\xi} \frac{\partial N_a(\xi)}{\partial \xi} \vec{s}_a \\
h_\eta(\eta) &= \frac{\partial \vec{X}}{\partial \eta}(\eta) = \sum_{a=1}^{N_\eta} \frac{\partial N_a(\eta)}{\partial \eta} \vec{s}_a
\end{align*}
\]

Step 2 Laminar Transformations \( \mathbf{L} \). The lamina-global transformation matrix (see (4.5)) is defined at a given interior point as

\[
\mathbf{L} = \begin{bmatrix} 
\hat{e}_{x,}^T \\
\hat{e}_{y,}^T \\
\hat{e}_{z,}^T 
\end{bmatrix}
\]

where \( \hat{e}_{x,}, \hat{e}_{y,} \) and \( \hat{e}_{z,} \) are orthogonal unit vectors parallel to the local \( x_\xi, y_\xi \) and \( z_\xi \) axes, respectively. To obtain an unbiased lamina system — with respect to the natural (surface) coordinates, \( \xi, \eta \) — we construct the unit vectors, \( \hat{e}_{x,}, \hat{e}_{y,}, \hat{e}_{z,} \) as follows:

\[
\begin{align*}
(a) \quad \hat{e}_{x,} &= \vec{e}_\xi \times \vec{e}_\eta / \Vert \cdot \Vert \\
(b) \quad \hat{e}_A &= (\vec{e}_\xi + \vec{e}_\eta) / \Vert \cdot \Vert \\
(c) \quad \hat{e}_B &= \hat{e}_{x,} \times \hat{e}_A \\
(d) \quad \hat{e}_{z,} &= \frac{\sqrt{2}}{2}(\hat{e}_A - \hat{e}_B) \\
(e) \quad \hat{e}_{y,} &= \frac{\sqrt{2}}{2}(\hat{e}_A + \hat{e}_B)
\end{align*}
\]

Step 3 Surface Jacobian Matrices \( \mathbf{J} \).

\[
\begin{bmatrix} 
\frac{\partial \theta}{\partial \xi} \\
\frac{\partial \theta}{\partial \eta} 
\end{bmatrix} = \begin{bmatrix} 
\hat{e}_{x,} \cdot \vec{e}_\xi & \hat{e}_{x,} \cdot \vec{e}_\eta \\
\hat{e}_{y,} \cdot \vec{e}_\xi & \hat{e}_{y,} \cdot \vec{e}_\eta
\end{bmatrix}
\]

4-7
Step 4  Jacobian Determinants and Inverses. The final step is to compute the inverses of $\tilde{j}_\epsilon$ and $\tilde{j}_\zeta$ and use formulas (3.9)-(3.11) to obtain the determinant coefficients $j_0$, $j_1$ and $j_2$. Given these primitive quantities, it is then straightforward to compute the shape function laminar derivatives (4.8)-(4.9) and hence all of the kinematic quantities required for the element force and stiffness arrays.
§4.3 Specific Shell-Element Types

The elements to be used in conjunction with the current (CBR2) shell formulation are essentially the same as those used in our earlier work. Several different kinds of 4, 9 and 16-node elements are being adapted for this purpose (Fig. 4). All have in common the use of Lagrange, \(C^0\), interpolation and selective/reduced Gaussian surface quadrature [9].

The \(\bar{B}\) ("B-bar") technique of building the selective (component-by-component) integration into the definition of the B-matrix [8,19] is also used here. However, since the CBR2 B-matrix has a different structure than the CBR version, selective integration has a slightly different connotation. Such differences are explained in the following sample element descriptions.

4.3.1 4-Node Elements

The CBR2 approach was developed primarily for use with curved elements, since these are expected to be most effective for large-deformation problems involving thick, inelastic shells (i.e., for reinforced concrete applications). Since the 4-node elements are basically flat, they do not benefit from the curvature-corrections introduced in section 3.2 — although they may benefit from the parabolic shear corrections (§3.3). Nevertheless, they will be employed for purposes of comparison with the higher-order elements. In all cases, the current 4-node elements use bilinear shape functions and selective/reduced integration on internal force, stiffness and geometric stiffness arrays; refer to [19] for details.
Section 4: FINITE-ELEMENT IMPLEMENTATION

4.3.2 9-Node Elements

The Heterosis element [10], which combines Lagrange (biquadratic) interpolation for rotations and Serendipity (8-node) interpolation for translations, is currently the recommended 9-node element. To enhance convergence without giving rise to communicable mechanisms, we use selective/reduced integration on both transverse-shear and membrane strains. This corresponds to $2 \times 2$ quadrature on the entire $B^{(s)}$ matrix, as well as on partitions of $B^{(p)}$ that couple to translational DOFs: columns 1–3 of (4.6). On all other terms, we use a normal (i.e., nearly exact) rule of $3 \times 3$, and, via the B-bar technique, extrapolate the reduced partitions to normal quadrature points before performing the actual integration loop. Furthermore, it has also been found effective to underintegrate the geometric stiffness matrix, as reported in [19].

4.3.3 16-Node Elements

With bi-cubic shape functions, it appears safe to use full $4 \times 4$ quadrature on the 16-node elements, as neither locking nor rank deficiency are formally present [18]. However, for thin shells we have found that the convergence rate is significantly improved by using selective $3 \times 3$ quadrature in the same manner as described above for the 9-node element. It is likely that similar improvement will be observed for thicker shell problems.

REMARK 4.1

Ideally, we would prefer uniform reduced integration for all of the above elements, as this significantly reduces both element formation and stress computation time. However, this also increases rank deficiency, and with it the chances of activating spurious modes. Presently, rigorous techniques for correcting rank deficiency (via stabilization matrices, etc.) are being actively pursued [12–14]; the results will be incorporated in the element library when appropriate.
§4.4 The Global Algorithm

In this section we outline the basic steps involved in obtaining a solution to the finite-element equations of motion for a shell structure. The structural equations (2.41) represent the global assembly of the individual element arrays defined in section 4.2. The constitutive (stress) algorithm, which is embedded within the global (displacement) algorithm, will be discussed subsequently in Section 4.5.

For purposes of illustration, we consider the case of nonlinear dynamics, and employ the generalized Newmark method to integrate the ODE system (i.e., for temporal discretization), and "true-Newton" tangent stiffness updates for nonlinear iteration. The global algorithmic equations to be solved at each nonlinear iteration \((i + 1)\) within each time step \((n + 1)\) are then [6]:

\[
K^c_{c_{n+1}} \Delta d_{c_{n+1}}^{(i+1)} = R^c_{c_{n+1}}
\]

for the iterative displacement increment, \(\Delta d_{c_{n+1}}^{(i+1)}\), followed by the corrector formulas

\[
d_{c_{n+1}}^{(i+1)} = d_{c_{n+1}}^{(i)} \oplus \Delta d_{c_{n+1}}^{(i+1)}
\]

\[
v_{c_{n+1}}^{(i+1)} = v_{c_{n+1}}^{(i)} + \left(\frac{1}{\gamma \Delta t}\right) \Delta d_{c_{n+1}}^{(i+1)}
\]

\[
a_{c_{n+1}}^{(i+1)} = a_{c_{n+1}}^{(i)} + \left(\frac{1}{\beta \Delta t^2}\right) \Delta d_{c_{n+1}}^{(i+1)}
\]

where \(v\) and \(a\) are approximations of \(\ddot{d}\) and \(\ddot{d}\), respectively; \(\beta\) and \(\gamma\) are Newmark algorithmic parameters; \(\Delta t\) is the time step increment, and the effective stiffness matrix and residual force vector are defined as

\[
K^* = \frac{1}{\beta \Delta t^2} M + K
\]

and

\[
R^* = F_{ext} - F_{int} - Ma
\]

The subscript "\(c\)" appearing in (4.34)–(4.37) denotes the computational basis, that is, the directions used to express the assembled degrees-of-freedom at each node. For shells,
these are usually aligned in some manner with the local surface coordinates, since this is both convenient for boundary conditions and for removal of unnecessary normal rotation degrees-of-freedom as well.

Finally, the meaning of the symbolic update operator "+", which is different from simple addition only for rotations, is explained under Task 4 below.

To complete the specification of the algorithm, the following predictor formulas are used at the beginning of each new time step, \( n + 1 \)

\[
\begin{align*}
d^{(0)}_{n+1} &= d_n \oplus (\Delta t v_n + \frac{1 - 2\beta}{2} \Delta t^2 a_n) \quad (4.40) \\
v^{(0)}_{n+1} &= v_n + (1 - \gamma) \Delta t a_n \quad (4.41) \\
a^{(0)}_{n+1} &= 0 \quad (4.42)
\end{align*}
\]

The solve/correct sequence (4.34)-(4.37) is repeated iteratively until convergence at a given time step, i.e., when \( \Delta d \) and \( R^* \) norms become acceptably small. Then the time step is advanced \( (n \leftarrow n + 1) \) by updating the prescribed external force \( F^{ext} \), employing the predictors (4.40)-(4.42), and so on.

The shell-element-related aspects of the above algorithm are now summarized for a single global iteration cycle. Given the current element nodal coordinates \( \{ \bar{x}_n \}_{n+1} \), normal vectors \( \{ \bar{n}_n \}_{n+1} \), global/computational transformations for translations \( [ \bar{T}_n ] \), and rotations \( [ \bar{T}_n ]^{(0)}_{n+1} \), the stress and constitutive tensor at element integration points, \( \{ \bar{\sigma} \}^{(0)}_{n+1} \) and \( \{ \bar{C}_t \}^{(0)}_{n+1} \), respectively, and corresponding resultant quantities; the following tasks are performed:
Task 1 **Element Formation.** Form element arrays $K^e$, $F^{\text{int}}_D$, $F^{\text{ext}}_D$ and $M^e$, and transform to the nodal computational bases via

$$K^e_{abc} = T_a^T K^e_{ab} T_b$$

(4.43)

for matrices, and

$$F^{\text{int}}_{ac} = T_a^T F^{\text{int}}_a$$

(4.44)

for vectors, where the nodal block-diagonal transformation matrix, $T_a$, is defined by

$$T_a = \begin{bmatrix} T_a & 0 \\ 0 & \lambda_a T_a \end{bmatrix}$$

(4.45)

The orthogonal transformations, $T_a$ and $\hat{T}_a$ are assumed to be user-supplied at the beginning of the analysis, with the rotational triads, $\hat{T}_i$, updated as explained under Task 4. The defining relations for $T$, $\hat{T}$ and $\lambda$ are as follows:

$$\Delta \bar{u}_a = T_a \Delta \bar{u}_{ac}$$

(4.46)

$$\Delta \hat{u}_a = \lambda_a \Delta \hat{u}_{ac} = \lambda_a \hat{T}_a \Delta \theta_{ac}$$

(4.47)

where the skew-symmetric matrix, $\chi_a$, relating rotation increments to increments in relative-displacement of the normal ($\Delta \bar{u}$), requires only the components of $R_a$, i.e.,

$$\chi_a = \begin{bmatrix} 0 & \hat{z}_{3a} & -\hat{z}_{2a} \\ -\hat{z}_{3a} & 0 & \hat{z}_{1a} \\ \hat{z}_{2a} & -\hat{z}_{1a} & 0 \end{bmatrix}$$

(4.48)

Task 2 **Assembly.** Assemble the element arrays into the global arrays: $K_C$, $F^{\text{int}}_C$, $F^{\text{ext}}_C$ and $M_C$; combine to form $K^*$ and $R^*$.

Task 3 **Incremental Solve.** Solve the global matrix equations (4.34) for $\Delta d_C^{(i+1)}$. (If the matrix, $K^*$, has not been updated, this involves only forward reduction and back substitution; otherwise the matrix is first factored.)

Task 4 **Global Displacement Update.** Update the nodal configuration according to (4.35)–(4.37). (Here we define the symbol " $\oplus$ ".) For translational components of displacement, (4.35) simply implies

$$\{ \bar{u}_A \}_{n+1}^{(i+1)} = \{ \bar{u}_A \}_{n+1}^{(i)} + \{ \Delta \bar{u}_A \}$$

(4.49)

while for rotational components, we instead update the rotational triads via

$$[ \hat{T}_A ]_{n+1}^{(i+1)} = [ \hat{T}_A ]_{n+1}^{(i)} Q(\Delta \theta_{Ac})$$

(4.50)
where $\Delta \theta_{Ac}$ is the rotation increment at node "A" (the global equivalent of element node "a") emanating from the solution vector, $\Delta d_C$; and the orthogonal matrix operator, $Q$ (explained thoroughly in [19]) is defined functionally as

$$Q(\Delta \theta) = I + \frac{1}{1 + (\Delta \theta^2 / 4)} \left[ \Delta \theta + \frac{1}{2} \Delta \theta^2 \right]$$  \hspace{1cm} (4.51)$$

Note that $\Delta \theta$ is the skew-symmetric matrix corresponding to the vector $\Delta \theta$ (just as $\chi$ corresponds to $\chi$), and $\Delta \theta$ is its magnitude.

**Task 5 Element Displacement Update.** Using the total displacements, $\mathbf{U}_A$, and the rotational triads, $\mathbf{T}_A$, computed globally in Task 4, we then localize to the element level and obtain the new reference surface displacement and pseudo-normal vectors as

$$\{ \mathbf{X}_a \}^{(i+1)}_{n+1} = \{ \mathbf{X}_a \}^{(1)}_{n+1} + \{ \mathbf{u}_a \}^{(i+1)}_{n+1}$$ \hspace{1cm} (4.52)$$

and

$$\{ \mathbf{X}_a \}^{(i+1)}_{n+1} = [ \mathbf{T}_a ]^{(i+1)}_{n+1} [ \mathbf{T}_a ]^T \{ \mathbf{X}_a \}_0$$ \hspace{1cm} (4.53)$$

Note that the pseudo-normal vectors, $\mathbf{X}_a$, obtained in this way are used only to compute displacement increments, $\Delta \mathbf{X}_a$, for strain/stress calculations (Task 6). Alternatively, when constructing the CBR2 shell-element kinematic interpolation arrays (e.g., $\mathbf{B}$), we use the reference surface normals as described in Section 3.

**Task 6 Constitutive Algorithm.** Here, we employ the updated element nodal coordinates (4.52) and pseudo-normal vectors (4.53), and the previous stresses and material-dependent historical quantities to compute the current stresses $\sigma_{l_{n+1}}^{(i+1)}$ and constitutive matrices $C_{l_{n+1}}^{(i+1)}$, resolved in the current lamina basis at each element integration point. The algorithm is sketched in Section 4.5.

**Task 7 Stress/Constitutive Resultants — Thickness Integration.** This constitutive post-processing step, required in both CBR and CBR2 shell formulations, is also discussed in Section 4.5. The resultant quantities are employed directly in the formation of element arrays for the next iterative cycle.

GO TO Task 1 ($i \leftarrow i + 1$).
§4.5 The Constitutive Algorithm

In this section we outline the procedure that has been implemented for computing stresses and the corresponding resultants required as input to the element equilibrium arrays. Specific constitutive models (e.g., elasticity, plasticity, ...) will not be discussed here, but rather a generic algorithm for integrating a class of rate constitutive equations. The algorithm is both implementationally convenient and numerically accurate for problems involving large deformations [7].

4.5.1 Stress Computation

The Truesdell rate constitutive equations (upon which our linearized variational equations are based) may be written as

\[ \sigma^{(T)} = C \dot{\varepsilon} \]  \hspace{1cm} (4.54)

where

\[ \sigma^{(T)} = \dot{\sigma} + \sigma \dot{\omega} - \dot{\omega} \sigma - \dot{C} \dot{\varepsilon} \]  \hspace{1cm} (4.55)

and

\[ \dot{C} = \dot{C}(\sigma) \]  \hspace{1cm} (4.56)

and \( \dot{\varepsilon}_{ij} \) and \( \dot{\omega}_{ij} \) are the symmetric and skew symmetric parts of the velocity gradient tensor, \( \partial \dot{u}_i / \partial x_j \).

We integrate (4.54) to obtain \( \sigma \) via the following incrementally-objective algorithm. The details are discussed in [19].

Given a converged stress state at time (or load) step \( n \), and a displacement increment
between step $n$ and the current global iteration at step $n+1$, we compute

\[
\sigma_{t_{n+1}} = \bar{\sigma}_{t_n} + \Delta \sigma_{t_{n+1}}
\]  
(4.57)

where

\[
\bar{\sigma}_{t_n} = Q_1 \sigma_{t_n} Q_1^T
\]  
(4.58)

and

\[
\Delta \sigma_{t_{n+1}} = Q_2 |C_{t_n} \Delta \epsilon_{t_n}^{mid}| Q_2^T
\]  
(4.59)

The subscripts $t_n$, $t_{n+1}$ and $t_{mid}$ refer to the particular lamina basis active at steps $n$, $n+1$ and $n+1/2$, respectively (i.e., the time of lamina-resolution). Except for the incremental quantities, the step number also refers to the time of evaluation. Furthermore,

\[
\bar{C} = C + \hat{C}
\]  
(4.60)

is the Truesdell-modified material tensor, and

\[
\Delta \epsilon_{t_n}^{mid} = \frac{1}{2} \left( \frac{\partial \Delta u_i}{\partial x_j^{mid}} + \frac{\partial \Delta u_j}{\partial x_i^{mid}} \right)
\]  
(4.61)

is the incremental midpoint strain tensor, where

\[
\Delta u = u_{n+1} - u_n
\]  
(4.62)

and

\[
x_{t_n}^{mid} = \frac{1}{2}(x_n + x_{n+1})
\]  
(4.63)

Finally, $Q_1$ and $Q_2$ are orthogonal (rotation) matrices that are functions of the lamina transformations and the skew-symmetric part of $\partial \Delta u_i / \partial x_j^{mid}$. In the presence of small shear deformations (both transverse and in-plane), $Q_1$ and $Q_2$ each approach the identity
§4.5 THE CONSTITUTIVE ALGORITHM

matrix, I. For the sake of brevity, we will assume this to be the case in the present discussion. The more general case is considered in [19].

To compute $\Delta \mathbf{e}_{\text{mid}}^{(P)}$ at a typical shell-element integration point, it is merely necessary to replace $x$ by $x^{\text{mid}}$ in the definition of the $\mathbf{B}$ matrices given in Section 4.2.1. (Note that for shells, (4.63) applies to both $X$ and $\mathbf{R}$.) The in-plane and transverse-shear components of the incremental midpoint strain tensor are then evaluated using

\[
\Delta \mathbf{e}_{\text{mid}}^{(P)} = \mathbf{Z}^{(P)} \sum_{a=1}^{N_{\text{en}}} \mathbf{B}^{(P)}_{a}\Delta d_a
\]

and

\[
\Delta \mathbf{e}_{\text{mid}}^{(S)} = \mathbf{Z}^{(S)} \sum_{a=1}^{N_{\text{en}}} \mathbf{B}^{(S)}_{a}\Delta d_a
\]

respectively.

This completes the definition of kinematic quantities required for the shell constitutive algorithm. Notice, however, that the normal strain component, $(\Delta \mathbf{e}_{\text{mid}}^{(P)})_{33}$, is not kinematically available from (4.64)–(4.65). For this, the static (zero-normal-stress) constraint is used to extract the unknown strain through the constitutive equations.

In the elastic case, we may solve (4.57) for $(\Delta \mathbf{e}_{\text{mid}}^{(P)})_{33}$ such that $(\sigma_{\text{en+1}})_{33} = 0$. yielding

\[
(\Delta \mathbf{e}_{\text{mid}}^{(P)})_{33} = - \left[ (\overline{\sigma}_{\text{en}})_{33} + \sum_{J=1}^{6} (\overline{\mathbf{C}}_{\text{en}})_{3J} (\Delta \mathbf{e}_{\text{mid}}^{(P)})_J \right]
\]

where $\overline{\mathbf{C}}_{\text{en}}$ and $\Delta \mathbf{e}_{\text{mid}}^{(P)}$ are $6 \times 6$ and $6 \times 1$ matrix/vector counterparts of the tensor quantities, respectively, arranged so that the 33 lamina component comes last. Substitution of (4.66) in (4.59) then satisfies the static constraint identically.

For the inelastic case, it is suggested that (4.66) be employed in a sub-iterative fashion, so that the updated (inelastic) material tensor, $\overline{\mathbf{C}}$, may be accounted for in the calculation.
4.5.2 Resultants

Given the pointwise stresses and constitutive coefficients, it is then necessary to integrate through the thickness for the corresponding resultant quantities appearing in the element equilibrium arrays. Namely, $s^{(P)}$ and $s^{(S)}$ are required for the internal force vector (3.45), $D^{(P)}$ and $D^{(S)}$ for the material stiffness matrix (3.50), and $S$ for the geometric stiffness matrix (3.53).

Numerically, the resultant quantities are generated via one-dimensional Gauss quadrature in a piecewise fashion through an optional sequence of "material" layers. Due to the potential diversity of material properties and layer thicknesses, a different number of quadrature points may be used within each layer. The following thickness integration formula is used for general layered shells

$$\int \frac{f(\tilde{z})}{\tilde{z}} \, d\tilde{z} \approx \sum_{i=1}^{N_{\text{layers}}} \left[ \frac{h_i}{2} \sum_{i=1}^{N_{\text{pi}}(l)} w_i f(\tilde{z}_i) \right]$$

where $h_i$ is the thickness of layer $l$, $w_i$ is the Gauss integration weight at point $i$ within layer $l$ and $\tilde{z}_i$ is the corresponding thickness coordinate. The relationship between the Gauss integration coordinate $\zeta_i$, which is usually given in the bi-unit interval $(-1, +1)$ — within each layer — and the thickness coordinate $\tilde{z}_i$, which is measured from the element reference-surface, is as follows

$$\tilde{z} = \tilde{z}_i + \zeta \frac{h_i}{2}$$

where $\tilde{z}_i$ is the $\tilde{z}$ coordinate at the middle of layer $l$.

For single-layer shells, at least 2 thickness integration points are required in the elastic case; more for plasticity (e.g., 5-7). For multi-layer shells, it is often possible to make due with less points per layer (i.e., $\geq 1$), but this is dependent on the relative layer thicknesses and material properties. It is strongly advised that such problem-dependent decisions be made on the basis of numerical experiment.
Summary
matrix, I. For the sake of brevity, we will assume this to be the case in the present discussion. The more general case is considered in [19].

To compute $\Delta \varepsilon_{\text{mid}}^{\text{mid}}$ at a typical shell-element integration point, it is merely necessary to replace $x$ by $x_{\text{mid}}$ in the definition of the $B$ matrices given in Section 4.2.1. (Note that for shells, (4.63) applies to both $\overline{x}$ and $\overline{\omega}$.) The in-plane and transverse-shear components of the incremental midpoint strain tensor are then evaluated using

$$\Delta \varepsilon_{\text{mid}}^{(P)} = Z^{(P)} \sum_{a=1}^{N_e} B_a^{(P)} \Delta d_a$$

and

$$\Delta \varepsilon_{\text{mid}}^{(S)} = Z^{(S)} \sum_{a=1}^{N_e} B_a^{(S)} \Delta d_a$$

respectively.

This completes the definition of kinematic quantities required for the shell constitutive algorithm. Notice, however, that the normal strain component, $(\Delta \varepsilon_{\text{mid}}^{\text{mid}})_{33}$, is not kinematically available from (4.64)-(4.65). For this, the static (zero-normal-stress) constraint is used to extract the unknown strain through the constitutive equations.

In the elastic case, we may solve (4.57) for $(\Delta \varepsilon_{\text{mid}}^{\text{mid}})_{33}$ such that $(\sigma_{\varepsilon_{n+1}})_{33} = 0$. yielding

$$(\Delta \varepsilon_{\text{mid}}^{\text{mid}})_{33} = - \left[ (\overline{\varepsilon}_{\ell_n})_{33} + \sum_{j=1}^{5} (\overline{\varepsilon}_{\ell_n})_{3j} (\Delta \varepsilon_{\text{mid}}^{\text{mid}})^j \right]$$

where $\overline{C}_\ell$ and $\Delta \varepsilon_{\ell}^{\text{mid}}$ are $6 \times 6$ and $6 \times 1$ matrix/vector counterparts of the tensor quantities, respectively, arranged so that the 33 lamina component comes last. Substitution of (4.66) in (4.59) then satisfies the static constraint identically.

For the inelastic case, it is suggested that (4.66) be employed in a sub-iterative fashion, so that the updated (inelastic) material tensor, $\overline{C}$, may be accounted for in the calculation.
An efficient extension of the nonlinear finite-element procedures presented in [19] for thin shells has been provided herein for the thicker, layer-model type of shell structures encountered in many reinforced concrete applications. The current technique eliminates much of the work associated with through-thickness integration present in the earlier Continuum-Based shell-element formulation [4]. While a similar, resultant-type simplification was introduced in [19] to first approximation (i.e., \( h/R < 1 \)), the more general case is now handled by including some additional terms that while expanding the element kinematic arrays, still restrict thickness integration to stress and constitutive quantities. This can mean significant element-formation cost savings for multi-layer/elastic-plastic shell problems.

In addition, a higher-order (parabolic) transverse-shear profile has been introduced in an attempt to eliminate the ad-hoc \textit{shear-correction factors} inherited from linear analysis. This is expected to be an improvement for those inelastic problems in which transverse shear plays a significant role. Nevertheless, the representation of transverse shear in such complex cases will require further investigation.

It remains to evaluate the current shell-element procedures using some realistic problems involving reinforced concrete constitutive models. Revisions to the element software implementation are now in progress; these include extension of the element arrays to account for thick-shell behavior (as described in Section 4) and provisions for interfacing with appropriate constitutive modules.
Figures
Typical Configuration

\[ \mathbf{x}(\xi, \eta, \zeta) = \overline{\mathbf{x}}(\xi, \eta) + \tilde{z}\hat{\mathbf{x}}(\xi, \eta) \]

\[ \tilde{z} = (\zeta - \overline{\zeta}) \frac{h}{2} \]

Fig. 1. Shell Geometry
Current (Deformed) Configuration

Initial (Undeformed) Configuration

\[ u = \tilde{u} + \Delta \tilde{u} \]

Total Displacements:

Incremental Displacements:

\[ \Delta u = \Delta \tilde{u} + \Delta \tilde{u} \]

\[ \Delta \tilde{u} \cdot \dot{x} = 0 \]

Fig. 2. Shell Kinematics
Typical Lamina Basis on Surface: $\zeta = \text{const.}$

Typical Lamina Bases along Pseudo-Normal

$\eta = \text{const.}$

Fig. 3. Lamina Coordinate Basis
4-Node (Bilinear)

9-Node (Biquadratic)

16-Node (Bicubic)

Bi-unit Domain

Normal Integ. Pt.

Physical Domain

Shape Functions

\[ N_a = L_i(\xi) L_j(\eta) \]

\[ \bar{x} = \sum_{a=1}^{N_{en}} N_a(\xi, \eta) \bar{x}_a \]

(i = nodal row number)

(j = nodal column number)

(n = \( \sqrt{N_{en}} \))

\[ L_i(\xi) = \frac{(\xi - \xi_1) \cdots (\xi - \xi_{i-1})(\xi - \xi_{i+1}) \cdots (\xi - \xi_n)}{(\xi_i - \xi_1) \cdots (\xi_i - \xi_{i-1})(\xi_i - \xi_{i+1}) \cdots (\xi_i - \xi_n)} \]

Fig. 4. Basic Lagrange Elements


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