PLANARITY CRITERIA IN ELECTROMAGNETIC TOPOLOGY (U)

DIKEWOOD ALBUQUERQUE NM R S NOSS JUL 84 AFWL-TR-84-20
F29601-82-C-0027

UNCLASSIFIED
PLANARITY CRITERIA
IN ELECTROMAGNETIC TOPOLOGY

R.S. Noss

LuTech, Inc
3516 Breakwater Court
Hayward CA 94545

July 1984

Final Report

Approved for public release; distribution unlimited.
This final report was prepared by LuTech, Inc, Hayward California, under Contract F29601-82-C-0027, Job Order 37630131 with the Air Force Weapons Laboratory, Kirtland Air Force Base, New Mexico. Dr Carl E. Baum was the laboratory technical advisor on this project.

When Government drawings, specifications, or other data are used for any purpose other than in connection with a definitely Government-related procurement, the United States Government incurs no responsibility or any obligation whatsoever. The fact that the Government may have formulated or in any way supplied the said drawings, specifications, or other data, is not to be regarded by implication, or otherwise in any manner construed, as licensing the holder, or any other person or corporation; or as conveying any rights or permission to manufacture, use, or sell any patented invention that may in any way be related thereto.

This report has been authored by a contractor of the United States Government. Accordingly, the United States Government retains a nonexclusive, royalty-free license to publish or reproduce the material contained herein, or allow others to do so, for the United States Government purposes.

This report has been reviewed by the Public Affairs Office and is releasable to the National Technical Information Services (NTIS). At NTIS, it will be available to the general public, including foreign nations.

If your address has changed, if you wish to be removed from our mailing list, or if your organization no longer employs the addressee, please notify AFWL/NTAAT, Kirtland AFB, NM 87117 to help us maintain a current mailing list.

This technical report has been reviewed and is approved for publication.

LEONIE D. BOEHMER
Project Officer

FOR THE COMMANDER

DO NOT RETURN COPIES OF THIS REPORT UNLESS CONTRACTUAL OBLIGATIONS OR NOTICE ON A SPECIFIC DOCUMENT REQUIRES THAT IT BE RETURNED.
The principal tool of the electromagnetic topologist is the interaction sequence diagram (ISD), which is the dual graph of the electromagnetic topology (EMT) of a system. One of the problems of working with the ISD is its complex appearance, in part due to multiple crossings of edges. This report presents some necessary and sufficient conditions for a graph to be planar, plus an algorithm to determine the planarity of any graph from its incidence matrix. Several topological invariants of the ISD are defined to aid the discussion of computational feasibility of the algorithm.
## CONTENTS

<table>
<thead>
<tr>
<th>Section</th>
<th>Page</th>
</tr>
</thead>
<tbody>
<tr>
<td>I INTRODUCTION</td>
<td>3</td>
</tr>
<tr>
<td>II DEFINITIONS</td>
<td>4</td>
</tr>
<tr>
<td>III SOME CRITERIA FOR PLANARITY</td>
<td>20</td>
</tr>
<tr>
<td>IV AN ALGORITHM TO DETERMINE PLANARITY</td>
<td>28</td>
</tr>
<tr>
<td>V CONCLUSIONS</td>
<td>38</td>
</tr>
<tr>
<td>REFERENCES</td>
<td>39</td>
</tr>
</tbody>
</table>
## FIGURES

<table>
<thead>
<tr>
<th>Figure</th>
<th>Description</th>
<th>Page</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Vertex set and edge set of a graph</td>
<td>5</td>
</tr>
<tr>
<td>2</td>
<td>Directed edges and graphs</td>
<td>6</td>
</tr>
<tr>
<td>3</td>
<td>Adjacency, incidence, and loops</td>
<td>7</td>
</tr>
<tr>
<td>4</td>
<td>Parallel edges and simple graphs</td>
<td>8</td>
</tr>
<tr>
<td>5</td>
<td>Isomorphic graphs $G_1$ and $G_2$</td>
<td>9</td>
</tr>
<tr>
<td>6</td>
<td>Edge progression in a graph</td>
<td>11</td>
</tr>
<tr>
<td>7</td>
<td>Chain and circuit progressions in a graph</td>
<td>12</td>
</tr>
<tr>
<td>8</td>
<td>Progressions in a digraph</td>
<td>13</td>
</tr>
<tr>
<td>9</td>
<td>Contraction of a graph</td>
<td>14</td>
</tr>
<tr>
<td>10</td>
<td>Conformal graphs</td>
<td>15</td>
</tr>
<tr>
<td>11</td>
<td>Elementary contraction of a graph</td>
<td>16</td>
</tr>
<tr>
<td>12</td>
<td>Matrices of a graph</td>
<td>18</td>
</tr>
<tr>
<td>13</td>
<td>$K_5$, the smallest nonplanar complete graph</td>
<td>21</td>
</tr>
<tr>
<td>14</td>
<td>$K_{3,3}$, the smallest nonplanar complete bipartite graph</td>
<td>21</td>
</tr>
<tr>
<td>15</td>
<td>A sample electromagnetic topology</td>
<td>22</td>
</tr>
<tr>
<td>16</td>
<td>The dual graph (ISD) of Figure 15</td>
<td>23</td>
</tr>
<tr>
<td>17</td>
<td>The Peterson graph</td>
<td>24</td>
</tr>
<tr>
<td>18</td>
<td>The geometric dual of a plane graph</td>
<td>26</td>
</tr>
<tr>
<td>19</td>
<td>Cocycles of the graph in Figure 12a</td>
<td>27</td>
</tr>
<tr>
<td>20</td>
<td>Correspondence between m-vectors and cycles</td>
<td>29</td>
</tr>
<tr>
<td>21</td>
<td>Spanning tree and cycle matrix of a graph</td>
<td>32</td>
</tr>
<tr>
<td>22</td>
<td>Finding spanning trees using Biggs' Theorem</td>
<td>34</td>
</tr>
<tr>
<td>23</td>
<td>Cycles of the graph in Figure 12a</td>
<td>35</td>
</tr>
<tr>
<td>24</td>
<td>Cycle bases and spanning trees for Figure 12a</td>
<td>36</td>
</tr>
</tbody>
</table>
I. INTRODUCTION

The principal tool of the electromagnetic topologist is the interaction sequence diagram (ISD), which is the dual graph of the electromagnetic topology (EMT) of a system. The EMT is defined in terms of layers, sublayers, and elementary volumes (Refs. 1, 2, 3). Sublayers are disjointed from one another, and layers, defined to be disjointed unions of certain sublayers, are also mutually disjointed. An elementary volume shares some part of its surface with another elementary volume. All such volumes contained in a sublayer possess this property, and their union is the sublayer. If only layers and sublayers are considered, the ISD is a tree graph. In this way the complication of cycles is deferred to the elementary volume level. In the following, reference to the ISD means any subgraph corresponding to the partitioning of a sublayer into elementary volumes.

One of the problems of working with the ISD is its complex appearance, due in part to multiple crossings of edges. In some cases it is possible to reduce the number of crossings by drawing the graph differently. The ISD appearance is least complicated if its edges are drawn as straight line segments with no crossings. An intermediate step is to eliminate the crossings. A graph which can be drawn in this way is said to be a planar graph, and such a rendition is known as a plane graph. Edges of a simple planar graph can always be represented by straight line segments which meet only at vertices.

This report presents some necessary and sufficient conditions for a graph to be planar, plus an algorithm to determine the planarity of any graph from its incidence matrix. Some definitions are introduced beforehand to facilitate the presentation of the criteria. Several topological invariants of the ISD are defined to aid the discussion of computational feasibility of the algorithm.
II. DEFINITIONS

This section presents some basic definitions, to raise the apparent ratio of theorems to definitions in the results which follow. Other definitions will be introduced as the need arises. Figures illustrating the terminology are indicated in parentheses.

A graph $G$ consists of a vertex set $V(G)$ of vertices and an edge set $E(G)$ of edges, represented by unordered pairs of elements of $V(G)$, called end points (Fig. 1). An edge becomes a directed edge (or arc) by specifying an ordered pair of vertices, called the initial and terminal vertices (Fig. 2a). If every edge in $E(G)$ is an arc then $G$ is a directed graph, or digraph (Fig. 2b). If functions are assigned to the edges of a graph, then a direction is implied. The opposite direction is indicated by attaching a minus sign. An example of this is current in an electrical network. In this way end points of an edge may be called initial or terminal vertices arbitrarily, and the distinction between a graph and digraph need not be stressed.

Two vertices are adjacent if they are end points of some edge. A vertex and edge are incident if the vertex is one of the end points of the edge. A loop is an edge or an arc that is incident with only one vertex (Fig. 3). Edges having the same end points are said to be parallel. A simple graph has no parallel edges and no loops (Fig. 4). Parallel edges are also called multiple edges.

Two graphs are isomorphic if their vertices and edges can be placed in incidence-preserving one-to-one correspondence (Fig. 5). A geometric graph is a graph whose vertices are selected points in two-or-three-dimensional space and whose edges are nonintersecting simple curves each of which joins two vertices (or, in the case of a loop, closes on a single vertex) without containing any other vertices. A geometric realization of graph $G$ is a geometric graph that is isomorphic to $G$. 
vertex set:

$$V(G) = \{a, b, c, d, e, f\}$$

edge set:

$$E(G) = \{(a, b), (a, c), (a, d), (a, e), (b, e), (b, f), (c, d), (c, f), (d, e)\}$$

Figure 1. Vertex set and edge set of a graph.
(a) A graph containing an arc.

(b) A directed graph (digraph).

Figure 2. Directed edges and graphs.
Figure 3. Adjacency, incidence, and loops.
(a) Parallel edges, arcs, and loops.

(b) A simple graph.

Figure 4. Parallel edges and simple graphs.
\( V(G_1) = \{A, B, C, D, E, F\} \)
\( E(G_1) = \{a, b, c, d, e, f, g, h, i\} \)
\( = \{(A, B), (B, C), (C, D), (D, F), (C, F), (C, E), (B, E), (A, F), (A, E)\} \)

(a) graph \( G_1 \).

\( V(G_2) = \{A, B, C, D, E, F\} \)
\( E(G_2) = \{a, b, c, d, e, f, g, h, i\} \)
\( = \{(A, B), (B, C), (C, F), (B, F), (B, D), (A, D), (A, E), (D, E), (E, F)\} \)

(b) graph \( G_2 \).

(c) THE ISOMORPHISM:

<table>
<thead>
<tr>
<th>Vertices</th>
<th>Edges</th>
</tr>
</thead>
<tbody>
<tr>
<td>( V(G_1) )</td>
<td>( E(G_1) )</td>
</tr>
<tr>
<td>A</td>
<td>E</td>
</tr>
<tr>
<td>B</td>
<td>A</td>
</tr>
<tr>
<td>C</td>
<td>B</td>
</tr>
<tr>
<td>D</td>
<td>C</td>
</tr>
<tr>
<td>E</td>
<td>D</td>
</tr>
<tr>
<td>F</td>
<td>F</td>
</tr>
<tr>
<td>a</td>
<td>g</td>
</tr>
<tr>
<td>b</td>
<td>a</td>
</tr>
<tr>
<td>c</td>
<td>b</td>
</tr>
<tr>
<td>d</td>
<td>c</td>
</tr>
<tr>
<td>e</td>
<td>d</td>
</tr>
<tr>
<td>f</td>
<td>e</td>
</tr>
<tr>
<td>g</td>
<td>f</td>
</tr>
<tr>
<td>h</td>
<td>i</td>
</tr>
<tr>
<td>i</td>
<td>h</td>
</tr>
</tbody>
</table>

Figure 5. Isomorphic graphs \( G_1 \) and \( G_2 \).
A plane graph is a geometric graph in a plane. A planar graph is a graph that is isomorphic to a plane graph. A plane graph divides the plane into regions, one of which is infinite in extent. Using stereographic projection or inversion, it is possible to redraw a plane graph so that any desired region is the unbounded one (Ref. 2).

All of the figures depicting graphs in this report are actually geometric graphs which are geometric realizations of graphs having the properties illustrated. Although they are all drawn in a plane, only those figures in which all edges meet only at vertices are plane graphs.

An edge progression is a finite sequence of (not necessarily distinct) edges such that one end point of the first edge is also an end point of the second, the remaining end point of the second is also an end point of the third, etc. (Fig. 6). The edge progression is closed if the remaining end point of the first edge is the same vertex as the remaining end point of the last, and open otherwise. A chain (circuit) progression is an open (closed) edge progression having no repeated edges (Fig. 7), and a chain (circuit) is a set of edges which, if properly ordered, form a chain (circuit) progression. A tree is a graph which contains no circuits. In a geometric graph, a chain (circuit) is a set of edges which form a open (closed) curve. The terms arc, path, and cycle replace the terms edge, chain, and circuit, respectively, when the graph is a digraph (Fig. 8), but frequently the terms are used interchangeably, with their precise meaning indicated by the graph under consideration.

The degree of a vertex is the number of edges with which the vertex is incident, with loops counted twice. A contraction of a graph is the removal of a vertex \( V \) of degree two, replacing its two incident edges \((V_1, V)\) and \((V,V_2)\) by one edge \((V_1,V_2)\) (Fig. 9). Two graphs are conformal, or isomorphic to within vertices of degree two, if they are isomorphic or can be transformed into isomorphic graphs by contractions (Fig. 10). An elementary contraction is the deletion of a vertex \( V \) and an edge \((V,W)\), replacing all other edges \((U,V)\) incident with \( V \) by edges \((U,W)\) (Fig. 11).
edge progression: (A,B), (B,E), (E,C), (C,B), (B,A), (A,D)

= a,e,f,d,a,c.

Figure 6. Edge progression in a graph.
(a) Chain progression $a, b, d, e, g, c$.

(b) Circuit progression $a, d, f, g, c$.

Figure 7. Chain and circuit progressions in a graph.
(a) arc progression: 
\[ e, g, c, a, e, f \]

(b) path progression:
\[ g, c, b \]

(c) cycle progression:
\[ c, a, e, g \]

Figure 8. Progressions in a digraph.
Figure 9. Contraction of a graph.
Figure 10. Conformal graphs.
Figure 11. Elementary contraction of a graph.
Associated with a graph comprising \( n \) vertices and \( m \) edges are several matrices. The adjacency matrix \( A(G) = (A_{ij}) \) is an \( n \times n \) matrix defined by

\[
A_{ij} = \begin{cases} 
1 & \text{if vertices } i \text{ and } j \text{ are adjacent,} \\
0 & \text{otherwise.}
\end{cases}
\]

The adjacency matrix differs from the node-node matrix of electrical circuit theory only on the diagonal, where 0's replace nonzero entries representing self-connection (Ref. 4).

The degree matrix \( D \) is a diagonal matrix with

\[
D_{ii} = \text{degree of vertex } i, \text{ for } i = 1, \ldots, n.
\]

There is also an \( n \times m \) incidence matrix \( I(G) = (I_{ij}) \), whose entries are given by

\[
I_{ij} = \begin{cases} 
-1 & \text{if vertex } i \text{ is the initial end point of edge } j, \\
1 & \text{if vertex } i \text{ is the terminal end point of edge } j, \\
0 & \text{otherwise.}
\end{cases}
\]

Unlike the node-branch matrix, the incidence matrix distinguishes between initial and terminal vertices (Ref. 4).

These matrices are related by the matrix equation

\[
I \cdot I^T = D - A.
\]

In Figure 12a the graph in Figure 6 has been relabelled to construct the matrices of adjacency, degree and incidence (Figs. 12b, c and d).

17
(a) Figure 7 after relabelling.  

(b) Adjacency matrix.

\[
A(G) =
\begin{bmatrix}
0 & 1 & 1 & 0 & 1 \\
1 & 0 & 1 & 1 & 0 \\
1 & 1 & 0 & 1 & 0 \\
0 & 1 & 1 & 0 & 1 \\
1 & 0 & 0 & 1 & 0
\end{bmatrix}
\]

(c) Degree matrix.  

\[
D(G) =
\begin{bmatrix}
3 & 0 & 0 & 0 & 0 \\
0 & 3 & 0 & 0 & 0 \\
0 & 0 & 3 & 0 & 0 \\
0 & 0 & 0 & 3 & 0 \\
0 & 0 & 0 & 0 & 2
\end{bmatrix}
\]

(d) Incidence matrix.  

\[
I(G) =
\begin{bmatrix}
1 & 1 & 1 & 0 & 0 & 0 & 0 \\
-1 & 0 & 0 & 1 & 1 & 0 & 0 \\
0 & 0 & -1 & -1 & 0 & 1 & 0 \\
0 & 0 & 0 & 0 & -1 & -1 & 1 \\
0 & -1 & 0 & 0 & 0 & 0 & -1
\end{bmatrix}
\]

Figure 12. Matrices of a graph.
Note that every column of $I(G)$ contains at most two nonzero elements since every edge has two end points (not necessarily distinct). A matrix is said to have \textit{graphic form} if every column contains at most two nonzero elements.

Two topological invariants of a graph are used in this report. A 
\textit{component} of a graph is the largest subset of the vertex set with the property that no vertex of that subset is joined by an edge to any vertex not in that subset. Topologically, a component is a maximal connected subset of the graph. For any graph $G$ comprising $n$ vertices, $m$ edges, and $p$ components,

\[ c(G) = m - n + p \]

and

\[ c^*(G) = n - p \]

$c(G)$ is called the \textit{cycle rank}, \textit{circuit rank}, or \textit{cyclomatic number}. $c^*(G)$ is called the \textit{cutset rank}, or \textit{cocycle rank}.
III. SOME CRITERIA FOR PLANARITY

Two classes of graphs which have been studied extensively have been named $K_n$ and $K_{r_1,\ldots,r_m}$. $K_n$ is the \textit{complete graph} on $n$ vertices. It is the largest possible simple graph with $n$ vertices, as its edge set consists of all possible pairs of vertices. The symbol $K_5$ is the smallest nonplanar complete graph (Fig. 13).

The $K_{r_1,\ldots,r_m}$ is called the \textit{complete $m$-partite graph}. Its vertex set is partitioned into $m$ disjoint subsets, with the $i^{th}$ subset containing $r_i$ vertices. Every vertex in a particular subset is connected by an edge to every vertex not in that subset, but no two vertices in the same subset are so joined. Figure 14 shows $K_{3,3}$, the smallest nonplanar complete bipartite graph. Note the two subsets of the six vertices. Each subset contains three vertices. Each vertex has degree three, because it is joined to every vertex in the complementary subset.

The existence of these two nonplanar graphs yields one of the most useful criteria for distinguishing between planar and nonplanar graphs by inspection:

\textbf{CRITERION 1:} A necessary and sufficient condition for a graph to be planar is that it contains no subgraph conformal to $K_5$ or $K_{3,3}$.

Figure 15 presents a sample EMT. The dual graph, an ISD, is shown in Figure 16. Note that the number of crossings \textit{appears} to be minimized, but it is not clear whether or not the graph is planar. Application of this criterion establishes that the ISD is nonplanar because of the heavy lines tracing out $K_{3,3}$.

Although it contains subgraphs conformal to $K_5$ and $K_{3,3}$, the Peterson graph, shown in Figure 17, can also be reduced to $K_5$ by a sequence of elementary contractions. This illustrates another criterion for planarity:
Figure 13. $K_5$, the smallest nonplanar complete graph.

Figure 14. $K_{3,3}$, the smallest nonplanar complete bipartite graph.
Figure 15. A sample electromagnetic topology.

$V_{x,t} = \text{th elementary volume of } x\text{th sublayer (= th layer)}$
Figure 16. The dual graph (ISD) of Figure 15.
(a) The Peterson graph.

five elementary contractions

(b) $K_5$

Figure 17. The Peterson graph.
CRITERION 2: A graph is planar if and only if it contains no subgraphs contractable to $K_5$ or $K_{3,3}$ by means of a sequence of elementary contractions.

A dual graph of a graph $G$ may be defined in several ways. A planar graph possesses a geometric dual $G^*$ such that for each region of $G$, including the infinite region, there is a vertex of $G^*$. An edge is drawn between two vertices of $G^*$ if the corresponding regions of $G$ are contiguous (have a common edge as part of their boundary). In this way edges of $G$ are placed in one-to-one correspondence with edges of $G^*$. The graph in Figure 6 is an example of a plane graph, shown in Figure 18a with its geometric dual. The geometric dual of the geometric dual of a plane graph is isomorphic to the plane graph (Fig. 18b). Note the correspondence between vertices of degree two and parallel edges.

A cut-set of a graph is a disconnecting set (set of edges whose removal disconnects the graph) consisting of all the edges that join a specified set of vertices with the complementary set of vertices. A cut-set containing no proper subsets which are also cut-sets is called a minimal cut-set, proper cut-set, or cocycle. The graph in Figure 6 has been dissected in Figure 19 to show its 15 cocycles.

A graph $G$ has an abstract dual $G^*$ if there is a one-to-one correspondence between edges of $G$ and those of $G^*$ with the property that a set of edges of $G$ forms a circuit in $G$ if and only if the corresponding set of edges in $G^*$ forms a cut-set in $G^*$. It has been shown that if $G$ is an abstract dual of $G^*$ then $G^*$ is an abstract dual of $G$. Furthermore, if $G$ is a planar graph with geometric dual $G^*$ then $G^*$ is an abstract dual of $G$.

CRITERION 3: A graph is planar if and only if it has an abstract dual.
(a) A plane graph (---) and its geometric dual (.....).

(b) The geometric dual (---) and its geometric dual (.....).

Figure 18. The geometric dual of a plane graph.
Figure 19. Cocycles of the graph in Figure 12a.
IV. AN ALGORITHM TO DETERMINE PLANARITY

The listed criteria are useful for visually inspecting small graphs or large symmetrical graphs but, in general, an algorithmic determination of planarity is desired. By working with the vector space associated with the incidence matrix, one can develop an algebraic criterion which depends on the proper choice of basis.

Let \( G \) be a graph with \( n \) vertices, \( m \) edges, and \( p \) components. Without loss of generality \( G \) may be assumed to be a simple connected graph such that every edge lies on at least one cycle, for the planarity of a graph is not affected by the addition or deletion of multiple edges, loops, or tree subgraphs, and a disconnected graph is planar if, and only if, each of its components is planar. (For an EMT drawn to elementary volume level, however, this assumption is misleading: it may be true that even though the decomposition of each sublayer into elementary volumes results in a dual planar subgraph, the union of all such subgraphs requires nonplanar connecting edges. The problem arises because sublayers are pairwise disjoint, but elementary volumes are not, and the ISD typically consists of only one component.)

The incidence matrix assigns an initial and terminal vertex to each edge. To associate a cycle \( c \) with an \( m \)-vector \( C \), an orientation is assigned to the cycle. As the cycle is traversed according to this orientation, the directions of the edges may or may not agree with the direction of travel, and are said to contribute positively or negatively, accordingly. Then the \( m \)-vector \( C = (C_1, \ldots, C_m) \) is defined:

\[
C_i = \begin{cases} 
1 & \text{if edge } i \text{ contributes positively to the cycle,} \\
-1 & \text{if edge } i \text{ contributes negatively to the cycle,} \\
0 & \text{otherwise.}
\end{cases}
\]

Figure 20a shows the graph of Figure 12a after contraction of vertex \( V_4 \). This is \( K_4 \), the complete graph on four vertices. Two cycles and their associated 6-vector are given in Figure 20b. Note that the product of each 6-vector with the incidence matrix (Fig. 20c) is zero (Fig. 20d).
cycle | 6-vector
--- | ---
\{e_1, e_2, e_6, e_5\} | \(-1, -1, 0, 0, 1, -1\) \\
\{e_2, e_6, e_3\} | \(0, -1, 1, 0, 0, -1\)

(a) \(K_4\), the complete graph on four vertices.  
(b) Two cycles in \(K_4\) and their associated 6-vectors.

(c) incidence matrix \(I(K_4) = \begin{bmatrix} 1 & 0 & 0 & 1 & 1 & 0 \\ -1 & 1 & 1 & 0 & 0 & 0 \\ 0 & -1 & 0 & -1 & 0 & 1 \\ 0 & 0 & -1 & 0 & -1 & -1 \end{bmatrix}\)

(d) \(\begin{pmatrix} c_1 \\ c_2 \end{pmatrix} I(K_4)^T = \begin{pmatrix} -1 & 0 & 0 & 1 & 1 & 0 \\ 0 & -1 & 1 & 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & -1 & 0 & 0 \\ 0 & 1 & -1 & 0 \\ 1 & 0 & -1 & 0 \\ 1 & 0 & 0 & -1 \\ 0 & 0 & 1 & -1 \end{pmatrix} = 0\)

Figure 20. Correspondence between \(m\)-vectors and cycles.
Not every \( m \)-vector \( C \) corresponds to a cycle of \( G \), but it can be shown that \( C \) corresponds to some cycle, or set of cycles, if and only if 
\[
C \cdot I(G)^T = 0.
\]
If \( C_0 \) is the set of all \( m \)-vectors representing one or more cycles, then \( C_0 \) is the null space of \( I(G) \), and the dimension of \( C_0 \) is 
\[
c = c(G) = m - n + 1,
\]
the cycle rank defined above with \( p = 1 \).

The criterion for planarity of \( G \) may now be expressed in terms of the cycle matrix whose \( c \) rows correspond to the elements of a basis for \( C_0 \), and whose \( m \) columns correspond to the edges of \( G \). The graph formed from the cycle matrix by construction of the basis cycles is identical to \( G \), since every edge is a cycle edge, and the basis generates all cycles.

**CRITERION 4:** A graph is planar if and only if there is a cycle matrix for it having graphic form.

A basis for \( C_0 \) consists of \( c \) \( m \)-vectors. Given any basis, all cycles of \( G \) may be obtained by taking all possible combinations of the original \( c \) \( m \)-vectors. Thus, there are

\[
2^c - 1 = \binom{c}{1} + \binom{c}{2} + \cdots + \binom{c}{c}
\]
cycles from which a basis may be chosen, so at most \( \binom{2^c - 1}{c} \) different cycle matrices must be considered. The following table summarizes the relationship between \( c \), \( 2^c - 1 \), and \( \binom{2^c - 1}{c} \) for small values of \( c \).

<table>
<thead>
<tr>
<th>cycle rank ((c = m-n+1))</th>
<th># of cycles ((2^c - 1))</th>
<th># of matrices (\binom{2^c - 1}{c})</th>
</tr>
</thead>
<tbody>
<tr>
<td>2</td>
<td>3</td>
<td>3</td>
</tr>
<tr>
<td>3</td>
<td>7</td>
<td>35</td>
</tr>
<tr>
<td>4</td>
<td>15</td>
<td>1365</td>
</tr>
<tr>
<td>5</td>
<td>31</td>
<td>169,911</td>
</tr>
<tr>
<td>6</td>
<td>63</td>
<td>6.8 (\times) 10^7</td>
</tr>
<tr>
<td>7</td>
<td>127</td>
<td>9.0 (\times) 10^{10}</td>
</tr>
<tr>
<td>8</td>
<td>255</td>
<td>4.0 (\times) 10^{14}</td>
</tr>
<tr>
<td>9</td>
<td>511</td>
<td>6.1 (\times) 10^{18}</td>
</tr>
</tbody>
</table>
Once a basis for $C_0$ has been found, all other bases may be derived. The first basis may be determined by the use of a spanning tree, a tree subgraph of $G$ having $n$ vertices and $n-1$ edges. For each edge $e$ of $G$ which is not an edge of $T$, there is a unique cycle in $G$ containing $e$ and edges of $T$. Figure 21 shows a spanning tree of the graph in Figure 12a (Fig. 21a) and the unique cycles corresponding to the edges not in the tree (Fig. 21b). By taking all three such edges, a basis for $C_0$ is obtained (Fig. 21c).

The search for a spanning tree proceeds by deleting edges from $G$. Since every spanning tree of $G$ contains $n-1$ edges, there are $\binom{m}{n-1}$ possibilities. The number of spanning trees of $G$ is a topological invariant $\kappa(G)$ called the complexity of $G$. Two related matrix formulas can be computed to obtain $\kappa(G)$:

\begin{align*}
(1) \quad \kappa(G)J &= \text{Adj}(D-A), \\
\text{and} \\
(2) \quad \kappa(G) &= \frac{1}{n^2} \det(J + D - A),
\end{align*}

where $J = \begin{pmatrix} 1 & \cdots & 1 \\ \vdots & \ddots & \vdots \\ 1 & \cdots & 1 \end{pmatrix}$

The first formula states that every cofactor of $D - A$ is equal to $\kappa(G)$. The second formula is a consequence of the first.

For the graph in Figure 12a,

$$J + D - A = \begin{pmatrix} 4 & 0 & 0 & 1 & 0 \\ 0 & 4 & 0 & 0 & 1 \\ 0 & 0 & 4 & 0 & 1 \\ 1 & 0 & 0 & 4 & 0 \\ 0 & 1 & 1 & 0 & 3 \end{pmatrix}$$

so $\kappa(G) = 24$. 

31
(a) A spanning tree of the graph in Figure 12a.

\[ c_1 = (1, -1, 0, 1, 0, 1, 1) \quad c_2 = (1, -1, 0, 0, 1, 0, 1) \quad c_3 = (0, -1, 1, 0, 0, 1, 1) \]

(b) Unique circuits induced by non-tree edges.

(c) Cycle matrix:

\[
\begin{pmatrix}
1 & -1 & 0 & 1 & 0 & 1 & 1 \\
1 & -1 & 0 & 0 & 1 & 0 & 1 \\
0 & -1 & 1 & 0 & 0 & 1 & 1 \\
\end{pmatrix}
\]

Figure 21. Spanning tree and cycle matrix of a graph.
There is an alternative method of obtaining spanning trees and cycles which may be written down in terms of matrix operations. Any square submatrix of the incidence matrix $I(G)$ of a graph $G$ has determinant equal to $-1$, 0, or $+1$. This fact leads to the following theorem (Ref. 5):

"Let $U$ be a subset of $E(G)$ containing $n-1$ edges. Let $I(U)$ denote an $(n-1) \times (n-1)$ submatrix of $I(G)$, consisting of the intersection of those $n-1$ columns of $I(G)$ corresponding to the edges in $U$ and any set of $n-1$ rows of $I(G)$. Then $I(U)$ is non-singular if and only if the subgraph of $G$ having edge set $U$ is a spanning tree of $G."$

The graph in Figure 12a is shown in Figure 22a, together with its incidence matrix in Figure 22b. The five $4 \times 4$ submatrices obtained from the first four columns of $I(G)$ are all singular, since the chain $(e_1, e_2, e_3, e_4)$ contains a cycle (Fig. 22c). However, if the first column is replaced by the fifth column, then all five of the corresponding submatrices are nonsingular (Fig. 22d), showing that $(e_2, e_3, e_4, e_5)$ is a spanning tree.

For this graph, there are seven cycles from which three may be chosen to form a cycle basis. The cycles, labelled $C_1, C_2, \ldots, C_7$, have been drawn in Figure 23, and the 35 possibilities for bases have been written out in Figure 24. The four dependent cycles which are induced (by addition) by each basis are listed below the three cycles forming the basis. Among the seven triplets which do not span the space, each edge occurs three times, suggesting that there is no preferred labelling of the cycles which reduces the number of combinations which must be considered. Application of criterion 4 to the cycle matrices separates the candidates into three classes, denoted in Figure 24 by:

(P) The cycle matrix has graphic form, proving planarity,
(N) Some column of the matrix contains three nonzero elements (no conclusion),
and (O) Some column of the matrix contains three zeros (not a basis).
(a) graph in Figure 12a.

(b) incidence matrix.

(c) submatrices using columns 1, 2, 3, & 4.

(d) submatrices using columns 2, 3, 4, & 5.

Figure 22. Finding spanning trees using Biggs' Theorem (Ref. 5).
Figure 23. Cycles of the graph in Figure 12a.

$c_1 = (1,-1,0,0,1,0,1)$

$c_2 = (0,-1,1,0,0,1,1)$

$c_3 = (0,0,0,1,-1,1,0)$

$c_4 = (1,0,-1,1,0,0,0)$

$c_5 = (1,0,-1,0,1,-1,0)$

$c_6 = (0,-1,1,-1,1,0,1)$

$c_7 = (1,-1,0,1,0,1,1)$
Figure 24. Cycle bases and spanning trees for Figure 12a.
Also shown in Figure 24 are the spanning trees which induce the cycle bases. In this example, all bases which satisfy criterion 4 for planarity can be induced by a spanning tree. In general, however, neither existence nor uniqueness of a spanning tree generating a given basis is guaranteed. If it could be proved that only bases induced by spanning trees need to be checked, the usefulness of the algorithm would be increased greatly since, in general, the complexity of a graph is a much smaller number than the combinatorial numbers $\binom{2c - 1}{c}$. 
V. CONCLUSIONS

In this report, the problem of determining when a graph can be redrawn as a planar graph was addressed. Several criteria were presented and illustrated. One particular criterion, the existence of a cycle matrix having graphic form, was discussed in detail. The computational effort required to solve graphs of practical size is beyond our present and projected computational capabilities. Further theoretical work must be done to improve the efficiency of this algorithm if it is to be useful.
REFERENCES


