The Preliminary Personnel Data Base Design for the Indonesian Navy

by

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June 1982

Thesis Advisor: Norman F. Schneidewind

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Data Base Systems analysis
Data model Systems design
Data management Personnel management
Data dictionary Career
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The Preliminary Personnel Data Base Design for the Indonesian Navy

by

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Captain, Indonesian Navy

Submitted in partial fulfillment of the requirements for the degree of
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Second Reader

Chairman, Department of Computer Science

Dean of Information and Policy Sciences
ABSTRACT

Currently the Indonesian Navy Computer Center (Dispullahtal) has a need for a Personnel Data Base System which satisfies all of the required applications. By analyzing the aspects of the personnel management in the Navy and the functions required to implement the management policies, the author has determined the data elements' relationships. Further, from the data elements' relationships and using logical Data Base model design methods, the author has derived a Personnel Data Base model. Topics discussed include: transformations of data elements between their logical views and physical representation, security, Data Base dictionary structure and characteristics, journalizing transactions to facilitate Data Base recovery, and Data Base administration. The author's efforts indicate that the Personnel Data Base presented herein is worthwhile and should be implemented. This involves the organization and formation of a Data Base Administration, and continuation and completion of the design.
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I. INTRODUCTION

Since 1977 Dispullahtal (Indonesian Navy Computer Center) has worked at developing a Personnel Data System (PDS) with emphasis on collecting data, and then processing and presenting information\(^1\) to users (especially the top management) as it is needed to facilitate decision-making.

The data which has been collected by Dispullahtal using PDS for each person employed by the Department of Navy, both military and civilian, comes primarily from Janminpersal (Department of the Navy Personnel Administration) as well as other departments involved with personnel administration and treatment. After its collection, the data is stored in files which are related to the various applications in the PDS. Currently the PDS only includes the functions of data storage and information retrieval. Since 1977 Dispullahtal has succeeded in convincing the system's users that their needs can be served and that now is the time to expand the PDS. The period for this expansion has been designated as "Data Mechanization." The users have come to realize that they depend on Dispullahtal for the processing of data and reporting of information which is timely and accurate. Prior to 1979, the system was not able to provide these services in the manner required because of the lack of up-to-date

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\(^1\)Within the context of this thesis, Data and Information are meant to have two distinct meanings. Data refers to facts collected from observations or measurements, or refers to the values physically recorded in the file or Data Base. Information refers to the meaning assigned to those fact and values as a result of interpretation, correlation, etc. of data. Data is processed into information so that it can be understood and employed by users. [Ref. 3]
information in the system's files. Seeing this problem, the Navy conducted a census of its personnel in 1979 and this data is currently being integrated into the system.

According to the current user requirements, Dispullahtal should be able to respond immediately, and at any time, with information such as historical data (e.g. historical rank, education, profession etc.) on any individual. For these requirements Dispullahtal has started organizing all the data into a Data Base System. This leads to the next phase of Dispullahtal's planning which is "Automation."

Data Base Systems are needed for accomplishing the requirements and demands because information is only of value so long as it supports the decision-making process and results in better decisions. To be useful for decision-making, information must be current. For the information to be current, the data on which it is based must also be current. Current, accurate information is essential for the effective operation of an organization. Therefore, it is necessary to have a means of collecting, organizing, storing, and correlating the data and to be able to extract and distribute the information as it is needed.

C. J. Date [Ref. 3] defines a Data Base system as

a computer-based recordkeeping system; that is, a system whose overall purpose is to record and maintain information. The information concerned can be anything that is deemed to be of significance to the organization the system is serving—anything, in other words, that may be necessary to the decision-making processes involved in the management of that organization. ... Data Base system involves four major components: data, hardware, software and users.

Date further defines a Data Base as

a collection of stored operational-data used by the application systems of some particular enterprise. ²

²Operational-data would probably include: product data, account data, patient data, student data, planning data.
A Data Base system provides the enterprise with centralized control of its operational data. Some of the advantages that accrue from this centralized control are:

- Redundancy can be reduced,
- Inconsistency can be avoided,
- Data can be shared,
- Standards can be enforced,
- Security restrictions can be applied,
- Integrity can be maintained, and
- Conflicting requirements can be balanced.

Based on these above mentioned advantages, personal experience in processing personnel data prior to coming to the Naval Postgraduate School, and information gained from courses taken recently at this school, this author is attempting to design "The Elementary Personnel Data Base System for the Indonesian Navy". This Data Base System is proposed in order to fulfill some of the requirements of the Management Information System (MIS) in the Navy as posted in the "System Informasi Pembinaan TNI-AL" (Navy MIS).

To accomplish this goal, the author obtained information concerning user requirements from Dispullahtal. The three sections of this thesis are:

1. User requirements definitions which analyze the user requirements and data elements.
2. Data Base design which considers the data model and special discussions for further implementation.

3. Conclusions and recommendations.

At the end are appendices which contain the List of data elements from file system, Data Base Dictionary, and Data Base personnel tables.

Melvin B. Klein and Melvin W. Lifson [Ref. 9] in their lecture notes regarding Systems Engineering says that a system, to be useful, must satisfy a need. However, designing a system to just meet the current need is not usually sufficient. With few exceptions, the system must be able to meet a continuing and changing need over a specified period of time in order to justify the investment in time, money, and effort.

It is the author's believed that the proposed system will be able to be easily improved according to the technological demands of the future.
II. USER REQUIREMENTS DEFINITIONS AND ANALYSIS

A. GENERAL

Wise personnel decisions demand data about the individuals upon which decisions may be based; the special requirements of jobs, and interactions between these two. Systematic procedures exist for gathering this data about people, jobs, job behavior; and for estimating their interrelationships. This should lead to the assurance that the right people move into the right jobs at the right times and under the right circumstances. [Ref. 5]

Personnel decision-making is a never ending process, encompassing not only individual diagnosis and job analysis, but also job design, career guidance, and personnel development and training. People differ greatly from one another, but this fact does not carry implications about the static or dynamic nature of human abilities, needs, motives, and behavioral tendencies. In fact, the pervasiveness of both the inter- and intra-individual differences, and the temporal changes in human qualities are precisely why psychologists must take such special care in individual diagnosis as a basis for making sound personnel decisions. Scientific evidence about the individuality of people should supplant the hunches, arbitrary judgments, invalid rules of thumb, and methods of trial and error that unfortunately have characterized so many programs of personnel selection and job placement in the past. Learning about people systematically and scientifically is the best avenue toward wise and effective utilization of our human resources.
Marvin D. Dunnette [Ref. 5] calls personnel selection a gigantic casting process—a process in which auditions occur and in which human behavior is assessed, wisely or unwisely, validly or invalidly. Obviously, the fairness of such auditions and their relevance to performance is the central concern of the personnel decision maker.

Ideally, personnel decision making extends much further to include the possibilities for job redesign, counseling and guidance; the removal of organizational constraints; and the design of specialized training or development programs. Thus mapping the individuality of persons is necessary not only for personnel selection and placement, but for all other personnel programs as well.

The Indonesian Navy takes in hundreds of men each month; they must be placed into an extremely broad range of duty assignments, training programs, and schools. Each man inducted must be utilized somewhere in the system. The problem involves very detailed classification, and it is desirable for both the Navy and the men involved to ensure that assignment procedures successfully achieve a close match between human skills and job requirements.

B. ASPECTS OF NAVAL PERSONNEL MANAGEMENT

The Management of the Navy's personnel system provides a means to activate the system, use it as a communication tool, conform to the social changes, and coordinate with the other sub-systems in the Navy. This management includes two major aspects, each with several subfunctions: (1) a manpower building aspect which includes functions involving planning and investigation, and (2) a personnel administration aspect which includes functions involving procurement, education and training, assignment, treatment, and separation.
1. **The Manpower Building Aspect**

In personnel planning it must be determined what positions must be filled (what professions are needed) and what skills are needed to accomplish the required goals of the Navy's organization. Planning must take place on how to fill these positions either with personnel the Navy currently has or by procuring new personnel. To accomplish this it is necessary to carefully investigate or examine all of the relevant factors, internal and external, which influence the validity and success of this planning. Internal factors include all the problems which relate to the personnel system itself, while external factors are those which come from outside the Navy that directly and/or indirectly influence its personnel planning.

2. **Personnel Administration**

This second aspect of personnel planning includes a number of sub-functions. They are:

   a. **Personnel Procurement**

Personnel procurement is the process of gaining manpower for filling vacant positions which cannot be filled from within the organization itself. Efficient procurement personnel must have information concerning the candidate's education, qualifications, experience, skills, etc. After candidates have been selected, their data can be kept and maintained so that it can be used at any time for transfer, new assignment, promotions, etc.
b. Personnel Education and Training

Information regarding the education and training of personnel is used mainly for personnel development and promotion. This information is used to match or minimize the difference between skills required to fill a position and the skills possessed by those who will occupy the position. A person's educational background can be used to gain special knowledge needed placing a person in a particular job and to prepare that person for a new assignment. Further, this information can be used to plan and monitor the careers of leaders, or those personnel with special abilities who will be future leaders, to extend their abilities and skills in preparation for future positions.

The results of personnel development can be measured by observing the performance of individuals in gaining necessary skills and abilities. This information can be recorded in the personnel data and used as a basis for further career development.

c. Personnel Assignment

Personnel assignment deals primarily with selecting the right people for the right positions. Two aspects must be considered:

(1) Every vacant position must be filled by a person with the ability to carry out the job in the best manner, and

(2) The abilities and skills of each person must be fitted to the job so that he feels satisfied with the job area.

In order to accomplish the above, it is necessary to have a profile of the skill/ability of each position, a
profile of the skill/ability of each person concerned, and a periodic evaluation of each person.

d. Personnel Treatment

Personnel treatment deals with the physical aspects of the person and the job. These include such things as mental and physical health, physical work and recreation, rewards, and personnel services. The latter benefits include transportation, salary, retirement plans and insurance, vacations and annual and sick leave, and cooperation between the worker and the employer.

e. Personnel Separation

Personnel separation occurs when the person voluntarily asks to be released from the military or goes through the process of retirement. Information about the people who are terminating must be completed in order for the personnel management system to give leads (directions) to other opportunities and fields. This can be beneficial to both the individual and to the system. If the person voluntarily leaves, the system should be able to gain valuable insights into ways of improving the Navy so as to reduce the number of voluntary separations.

3. Conclusions

It can be clearly seen that the personnel management system must have a great deal of relevant information in order to proceed efficiently and effectively through all of the aspects involved. This supporting information must be complete and it must be up-to-date. This can be accomplished only if the relevant data is also complete and
up-to-date. Moreover, in order to meet the needs of all of the functions, management must also keep historical data such as rank, profession, education, etc.

It can also be seen that one function may need the same information as another function. For example, the education and training function needs information about the educational history of a person so that it can be used to fit/match an assignment or profession to that person. This same information would again be used at the time of separation for helping the person into a new position.

If each function were separately filed and managed, the information for each individual, there would be great duplication. In addition, if the data in one file changed, the data in the other files would also need to change; this would necessitate a great deal of time and energy in updating several files containing the same information. In order to prevent this duplication of files and to save time and energy, a Personnel Data Base is needed. This would be a collection of data, either historical or otherwise, which could be used in all functions of personnel management. Updating, inserting, and deleting of information could be performed only once. This would become the Personnel Data Base.

C. TYPICAL USER REQUEST AND APPLICATIONS

Before discussing a typical Navy user information request, it is necessary to define what the user is in this system. According to C.J. Date [Ref. 3] there are three broad classes of users:

1. The application programmer, who is responsible for writing application programs which use the Data Base. These application programs operate on the data in all
the usual ways: retrieving information, creating new information, and deleting or changing existing information. The programs themselves may be conventional batch applications, or they may be on-line programs that are designed to support an end-user interacting with the system from an on-line terminal.

2. The end-user, who accesses the Data Base from a terminal. An end-user may employ a query language provided as an integral part of the system, or he or she may invoke a user-written application program that accepts commands from the terminal and in turn issues requests to the DBMS on the end-user's behalf. Either way the user may, in general, perform all the functions of retrieval, creation, deletion and modification, although it is probably true to say that retrieval is the most common function for this class of user.

3. The Data Base Administrator (DBA), who is the person (or group of persons) responsible for overall control of the Data Base system. This control includes:

a) Deciding the information content of the Data Base.

b) Deciding the storage structure and access strategy.

c) Making liaison with users.

d) Defining authorization checks and validation procedures.

e) Defining a strategy for backup and recovery.

f) Monitoring performance and responding to changes in requirements.
In this thesis the author defines the user according to the three classes mentioned above and according to who uses this Data Base.

According to the SIP-TNI-AL (Navy MIS) [Ref. 10], system's users include the following:

1. Headquarters level:
   a) Manager level,
   b) Staff level,
   c) Central executive level,
   d) Support level,
   e) Field technical executive.

2. Station level:
   a) Manager level,
   b) Staff level,
   c) Executive level: i.e., command executive, operational group, ship, Marine.

3. Extra Structural level: This level is the non-structured organization.

Based on the two major aspects of personnel system management and their breakdowns into functions, some examples of user requests and applications might include:

1. List all officers with rank of Colonel who have education at the Staff and Command College level, and have held command of a frigate ship.

2. List each Lieutenant Colonel's profession from Ensign through current profession, and their educations either military or general.
3. Recapitulate an officer's career from First Lieutenant through Colonel.

4. List the data characteristics of a person.

5. Present an information list for rank promotion purposes, listing the matrix value calculation. Include rank, profession, education, and security clearance.

6. Process the automatic monthly payments.

7. Show a list of family members (spouse, children, etc).

The output forms for this information are not included in this thesis, as they can vary. Also, the applications of this information may change and become more complicated according to the user requests.

D. DATA ELEMENTS AND THEIR GROUPINGS

A trend toward more integrated file structures has resulted in the grouping together of all data items relevant to the management and operations section of a user organization. The emerging Data Base concept requires placing all relevant data in one Data Base in a consistent and standardized manner, eliminating unnecessary duplication and file handling, and providing selective inquiry and extraction capabilities designed to meet a wide variety of information requests.

In order to meet the requirements, the author had to extract specific data elements from the 1979 Navy census and from the file system with minor modifications. The file system as it is currently structured contains 100 (one hundred) separate data elements which can be extracted. These data elements are posted in Appendix A.
After making analysis of them, eliminating some redundant or unnecessary duplications and adding some data elements which are needed for the Data Base model, the author has restructured the Data Base so that it now contains only 97 (ninety seven) data elements which are divided into two basic groups:

1. Data elements that are almost static in relation to others. These elements consist of data that will not be frequently changed. These data elements are also divided into two smaller groups:

a) Data elements which will frequently be used or retrieved by applications programs would be grouped in Main Identification. This group includes eight data elements: personnel serial number, name, corps, sex, birth's date, religion and tribe.

b) Data elements which would be seldomly retrieved by applications programs are grouped in Personnel Characteristics. This group would usually be used for intelligence purposes. This group is divided further into four subgroups:

i) Marriage subgroup (this group may be repeated) which contains two data elements: marital status, and date of marriage.

ii) Address subgroup (this group may be repeated) which contains three data elements: address, housing status, and date of housing status.

iii) Body characteristics subgroup (this group will occur only once) which contains eleven data elements: weight, height, blood type,
hair, colour of skin, colour of eyes, size of shoes, size of hat, size of pants, size of shirt, and size of chest.

**iv) Category and status subgroup (this group will occur only once)** which contains seven data elements: original personal status, date of original personal status, current personal status, date of current personal status, personal category, date of personal category, and active duty obligation time (active duty service began).

2. **Data elements that are dynamic, frequently changed, and which most require replication for historic purposes.** These data can be subdivided into several groups according to their corresponding historical data applications. These groups include:

   a) **Rank group (this group is repeated)** which contains seven data elements: rank, rank status, date of rank, number of decision letter, date of decision letter, who gave the decision letter, and type of promotion.

   b) **Profession group (this group is repeated)** which will contain ten data elements: name of profession, number of decision letter, date of profession, number of professional warrant, date of warrant, group (echelon) of profession, station, reporting date at station, status of placement, and date of placement.

   c) **Education group (this group is repeated)** which contains nine data elements: group code of education, educational institution's name, start date, completion date, station, town, result of education, class standing, and class size.
In addition this group contains the repeated subgroups; i.e. Subjects (which contains two data elements: subject name, and grade).

d) Family group (this group is repeated) which contains seven data elements of each family and members: family name, family relation, sex, date of birth, place of birth, religion, and address. In addition there are two repeating occurrence subgroups data elements for each family and members:

i) Activity & Profession subgroup (this group is repeated) which contains four data elements: name of activity, place of activity, start date, and completion date.

ii) Education subgroup (this group is repeated) which contains three data elements: educational institution's name, group code of education, and result of education.

e) Payroll group: this group need not be broken-down further since it is usually only for the monthly payroll application, which contains fourteen data elements: date of beginning payroll, rank in payroll, payroll period (month), number of children authorized family allowance, status of children authorized family allowance, main salary, wife's family allowance, children's family allowance, other family allowances, obligated reductions, rice reductions, other reductions, total salary, and unit of payroll.

f) Security group (this group is repeated) which contains six data elements: violation/infringe type, what, where, when, why, and how. In addition there are two subgroups for each Violation/Infringe type: 22
i) **Who Involved subgroup** (this group is repeated) which contains three data elements: name involved, personal identification, and profession.

ii) **Measures subgroup** (this group might be repeated) which contains three data elements: action type, start date, and completion date.

Data elements which will become the key to each group can be found in the next chapter (Data Base Model Design).
III. DATA BASE MODEL DESIGN

A. GENERAL

Considering the foregoing synopsis of user requirements and the DBMS\(^*\) (Data Base Management System), a number of important questions are raised. Among these are:

1. What are appropriate data structures with which to implement the physical Data Base?

2. What are the properties of a useful data model, and how should they be represented in the physical structures.

In this chapter the author will examine the problem of what the Data Base should look like to the user; i.e., what would be the appropriate data model to implement the real world.

A model is a basic system of constructs used in describing reality. It reflects a person's deepest assumptions regarding the elementary essence of things. It may be called a world view. It provides the building blocks, the vocabulary that pervades all of a person's descriptions. A model is more than a passive medium for recording our view of reality. It shapes that view, and limits our perceptions.

The organization of the data is represented by a data model. A data model is an intellectual tool used to understand the logical organization of data. To understand data models fully, it is necessary to be aware of how people perceive data. Data can be discerned at several levels. At one

\(^*\)DBMS is the software which handles all access to the Data Base.
level, people logically organize their perceptions of the real world. At another level, they interpret (give meaning to) the real world. Finally, they use data models to describe and record the interpretation of the world as data in their computers or perhaps in some other physical medium.

A data model must be rich enough in structure to describe the significant aspects of the real world, yet it must be possible to determine fairly automatically an efficient implementation of the conceptual scheme by a physical scheme. The conceptual scheme is an abstraction of the real world pertinent to an enterprise.

D. C. Tsichritzis and F. H. Lochovsky [Ref. 18] define a data model as a pattern according to which data are logically organized. It consists of named logical units of data and expresses the relationships among the data as determined by the interpretation of a model of the world.

One of several data models can be used to represent the interpretation of a model of the world. The main difference between them is the manner in which they represent certain relationships among the data.

Data values, by themselves, say nothing meaningful. Some information will be available if a relationship has been established between the values.

A relationship is an association among several things, with that association having a particular significance. Relationship might be one-to-one, one-to-many, or many-to-many.

There are 3 major data models that have been used in Data Base systems:

1. **Relational**: this model is based on the set theoretic notion of a relation, that is, a set of k-tuples for some fixed k.
2. **Hierarchical**: this data model is a tree, where nodes might represent data elements groups.

3. **Network**: this is the directed graph model, where nodes represent sets of similar entities and arcs represent associations.

To see the roll or place of the data model in the Data Base System as viewed by users, can be seen in Diagram 1 as a general architecture for a Data Base System extracted from C. J. Date's book [Ref. 3].

![Diagram](image-url)

**Diagram 1. A General Architecture for a Data Base System**
The Data Base is the data as physically recorded (the storage structure). In between the Data Base and the users is interposed the data model, which is the information content of the Data Base as it is viewed by the users; that is, to the users the data model is the Data Base. The data model definitions define the various types of data model records.  

In practice most users will be interested only in a small portion of the total data model. The facility is therefore provided of extracting a data submodel from the complete data model by means of a data submodel description. A data submodel may be considered as the restriction of the total data model, to just that portion of interest to a particular group of users (the community user view). It consists of multiple occurrences of multiple types of data submodel record.

Different terms are used to describe analogous concepts in different Data Base architectures. Table 1 lists terms used in those different models (the general model described in Diagram 1, the Data Base Task Group model (DBTG), and the Information Management System model (IMS)) and their correlation. That is, the concepts of data model and data submodel correspond respectively to schema and subschema in DBTG, and Data Base Description (DBD) and the set of all Program Communication Block (PCB) plus the associated mapping definition called Program Specification Block (PSB) in IMS.

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*A record is a named collection of zero, one, or more data elements or data aggregates.

*Schema is the complete description of all the elements in a Data Base. It includes the names and descriptions of all data elements, data aggregates, record occurrences, and areas that are part of the Data Base. While Subschema is a consistent and logical subset of the schema from which it was obtained [Ref. 7 and 6].

*Each physical Data Base is defined by a DBD, the mapping of physical Data Base to storage is also specified (at least in part) in the DBD. Each logical Data Base is
Table 1. Comparison of terms in various
Data Base Architectures

<table>
<thead>
<tr>
<th>Architecture</th>
<th>General</th>
<th>DBTG</th>
<th>IMS</th>
</tr>
</thead>
<tbody>
<tr>
<td>Data Model</td>
<td>Schema</td>
<td>set of all DBD (Data Base Description)</td>
<td></td>
</tr>
<tr>
<td>Data Submodel</td>
<td>Subschema</td>
<td>set of all PCB (Program Communication Block) plus the associated mapping definition called PSB (Program Specification Block)</td>
<td></td>
</tr>
<tr>
<td>Workspace</td>
<td>UWA (User Work Area)</td>
<td>I/O (Input/Output) area</td>
<td></td>
</tr>
<tr>
<td>Language</td>
<td>Host Language + DL/I (Data Manipulation Language)</td>
<td>Host Language + DL/I</td>
<td></td>
</tr>
<tr>
<td>DBMS</td>
<td>DBMS</td>
<td>IMS Control Program</td>
<td></td>
</tr>
</tbody>
</table>

B. APPLICATIONS TO DATA ELEMENTS GROUPS MAPPING ANALYSIS

The decision to implement a Data Base is motivated by the need to share data among a variety of diverse applications and to integrate data for supporting more sophisticated applications. Both of these requirements complicate the already difficult task of providing safe and efficient access to computerized data. DBMS's have evolved to answer two critical needs: support for more interrelated data and support for sharing data among many diverse applications. These goals are being achieved, in part, by providing DBMS software to physically link related data into complex
defined, together with its mapping to the corresponding physical Data Base, by means of PCB. Each user has its own PSB (that is, PSBs may not be shared, though two distinct PSBs may actually contain the same information). [Ref. 3]
structures using such mechanisms as pointer chains, indices, and sequential positioning. They are also being achieved by the development of Data Base design methodologies and rules such as the practice of storing information in a non-redundant fashion so that changes by one application to the single copy of the data are seen by all its users. In other words, that it is expected that the updates of each user will be apparent to the other users of the data because a major goal of Data Base Management is data sharing.

Table 2 shows the mapping of applications to the data elements groups from the previous chapter (User Requirements Definitions and Analysis) as the external views which serves as a basis for designing a data model.

In the table, the following abbreviations are used: MAINID for Main Identification, PERSCHAR for Personal Characteristics, MARR for Marriage, ADDR for Address, BODYCHAR for Body Characteristics, CATEG for Category and Status, RANK for Rank, PROFF for Profession, EDUC for Education, SUBJ for Subjects, FAM for Family, FACT for Families's Activity, FEDUC for Families's Education, PAYROLL for Payroll, SEC for Security, WHO for Who involve, and MEAS for Measures.

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*The external view is information as presented on output reports, displays, etc., and its correspondence to data on input sources. It is this correspondence between the data and the information derived upon which the user's external view is based. [Ref. 14]*
Table 2. Applications to Data Elements Groups Mapping

<table>
<thead>
<tr>
<th>No. Applications</th>
<th>Data Elements Groups</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>MAINID</td>
</tr>
<tr>
<td>1. Personal identification and the combination of all applications</td>
<td>X</td>
</tr>
<tr>
<td>2. Personal Characteristic</td>
<td>X</td>
</tr>
<tr>
<td>3. Bank promotion and regular reports</td>
<td>X</td>
</tr>
<tr>
<td>4. Profession</td>
<td>X</td>
</tr>
<tr>
<td>5. Education</td>
<td>X</td>
</tr>
<tr>
<td>6. Family</td>
<td>X</td>
</tr>
<tr>
<td>7. Payroll</td>
<td>X</td>
</tr>
<tr>
<td>8. Security</td>
<td>X</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>No. Applications</th>
<th>Data Elements Groups</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>RANK</td>
</tr>
<tr>
<td>1. Personal identification and the combination of all applications</td>
<td>X</td>
</tr>
<tr>
<td>2. Personal Characteristic</td>
<td></td>
</tr>
<tr>
<td>3. Bank promotion and regular reports</td>
<td>X</td>
</tr>
<tr>
<td>4. Profession</td>
<td>X</td>
</tr>
<tr>
<td>5. Education</td>
<td>X</td>
</tr>
<tr>
<td>6. Family</td>
<td></td>
</tr>
<tr>
<td>7. Payroll</td>
<td>X</td>
</tr>
<tr>
<td>8. Security</td>
<td>X</td>
</tr>
</tbody>
</table>
Table 2. (continued)

<table>
<thead>
<tr>
<th>No.</th>
<th>Applications</th>
<th>Data Elements Groups</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>(FACT &amp; FEDUC)</td>
</tr>
<tr>
<td></td>
<td></td>
<td>(WHO &amp; MEAS)</td>
</tr>
<tr>
<td>1.</td>
<td>Personal identification and the combination of all applications</td>
<td>X  X  X</td>
</tr>
<tr>
<td>2.</td>
<td>Personal Characteristic</td>
<td></td>
</tr>
<tr>
<td>3.</td>
<td>Bank promotion and regular reports</td>
<td></td>
</tr>
<tr>
<td>4.</td>
<td>Profession</td>
<td></td>
</tr>
<tr>
<td>5.</td>
<td>Education</td>
<td></td>
</tr>
<tr>
<td>6.</td>
<td>Family</td>
<td>X</td>
</tr>
<tr>
<td>7.</td>
<td>Payroll</td>
<td></td>
</tr>
<tr>
<td>8.</td>
<td>Security</td>
<td>X  X  X</td>
</tr>
</tbody>
</table>

Considering the external view and special processing requirements, now the author can determine the required local view* for each application function, as depicted in Diagram 2. Note: circled numbers 1 through 8 represent the application numbers depicted in Table 2. The relation attribute shown in Diagram 2 is named "need."

---

*The local view represents that portion of the Data Base required to support a particular application function to generate the external view or, in the case of update, to absorb the external view. The collection of local views for the various applications functions using the Data Base determines the requirements of the external view. [Ref. 14]
Diagram 2. Local view for each application
C. DATA MODEL DESIGN

Now is the time to derive the required content and structure of the internal view\textsuperscript{10} which is the end result of the data model design. The primary tool in Data Base design is a good data model design which satisfies the organization's requirements and specifies the conceptual design.

Some characterization of a "good" conceptual design as extracted from Diane C. P. and J. M. Smith paper [Ref. 16] are as follows:

- Concept complete: derivable concepts should be included.
  This concept guarantees that no useful objects are left out of the Data Base and that designers are not inappropriately constrained. For many derived concepts the derivation can only be made in one direction, but when the derivation is reversible there may be a performance reason for choosing one object to be the base and the other to be derived.

- Evolvable: it should be locally modifiable and it should be flexible in supporting user interpretations.
  Locally modifiable in each iteration produced by the design process will make the process becomes easy and time saving. Flexibility of interpretation is another property that facilitates the design process in that new applications may be added with potentially little impact on the existing design.

- Independence of existing installation and DBMS constraints.

\textsuperscript{10}The internal view is the complete data structure maintained by the system to generate the multiple local views. The internal view describes the Data Base itself. [Ref. 14]
Develop the design independent of current state limitations and conventions first and then tailor it to the system. When changes occur the original design will be available to facilitate system update or conversion.

From the local view as depicted in Diagram 2, the author can derive the relationship between the data element groups as to the owner and the member\(^{11}\) as depicted in Table 3. The relationship "is-part-of" is the attribute name of the relationship from the member to the owner, or attribute name "has-"\(^{12}\) from the owner to the member.

Regarding the data model, further Diane C. P. and J. M. Smith [Ref. 16] define each of the following properties contribute to the value of a "good" data model:

- **It should be expressive.**

A data model should be sensitive to important distinctions, so it will guide its users to include the concepts and objects necessary to a good design.

- **It should not over-constrain implementors.**

The data model should not imply a particular implementation strategies and its vocabulary should be at a high enough level to be free of implementation connotations.

- **A data model should have a formal basis.**

This provides the physical designers and implementors with a sound foundation for verifying their work and eliminates the ambiguity.

\[^{11}\text{The owner is the same as master, superior or parent group which has zero or more member (subordinate or dependent) group of data elements.}\]

\[^{12}\text{Where "-personal characteristic," "-rank," "-profession," etc. are substituted for "}\]
Table 3. Data elements groups (as owner-member relationship)

<table>
<thead>
<tr>
<th>Owner</th>
<th>MAINID</th>
<th>PERSCHAR</th>
<th>RANK</th>
<th>PROFF</th>
<th>EDUC</th>
<th>FAM</th>
<th>PAYROLL</th>
<th>SEC</th>
</tr>
</thead>
<tbody>
<tr>
<td>MAINID</td>
<td>X</td>
<td>X</td>
<td>X</td>
<td>X</td>
<td>X</td>
<td>X</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td>PERSCHAR</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>RANK</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>X</td>
<td>X</td>
</tr>
<tr>
<td>PROFF</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>X</td>
<td></td>
</tr>
<tr>
<td>EDUC</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>FAM</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>X</td>
<td></td>
</tr>
<tr>
<td>PAYROLL</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>X</td>
<td>X</td>
</tr>
<tr>
<td>SEC</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>X</td>
</tr>
</tbody>
</table>

Note: a = MARR, b = ADDR, c = BODYPCHAR, d = CATEG, e = SUBJ, f = FACT, g = PEDUC, h = WHO, i = MEAS.

A data model should be widely applicable.

To produce an integrated design that can be checked for internal consistency, we have to use one data model which encompass applications that are very dynamic, very scientific, and very commercial in their orientation.
- A data model should be understandable.

A data model should provide some kind of non-technical presentation, pictorial, and utilising the terminology and linguistic constructs of the end user.

- Finally and most fundamentally, a data model must reflect and support human concept formation and understanding.

The composition rules (syntax) of the data model do not force its users to assemble objects in ways that differ from how they would naturally assemble them, because humans have innate mechanisms for coping with complexity.

The utility of a data model depends not just on the properties described above, but also on the existence of a set of rules or a methodology for using it. Without such guidance many designs may be produced even using a good data model before an acceptable one is achieved.

The method for modelling the individual structured components pertinent to an enterprise and their interrelationships is based on mechanisms that human use for thinking about such things. It is the premise of this data model that we as human beings succeed in understanding complex systems by creating abstractions from that can be named and conceived of as a whole. Thus, an abstraction of a system is a collection of details that can be treated as a whole.

Finally from all of the above analysis author can derive a data model as depicted in Diagram 3.

The data elements which are in the groups can be seen in chapter 2 section D (Data Elements and Their Groupings).

The key of each group is: personal serial number for Main Identification group (this also as the main key for
each person), marital status for Marriage subgroup, housing status for Address subgroup, blood type for Body Characteristic subgroup, current personal status for Category and Status subgroup, rank for Rank group, echelon of profession for Profession group, group code of education for Education group, subject for Subjects subgroup, family relation for family group, name of activity for Activity subgroup, family group code of education for Family Education subgroup, unit of payroll for the Payroll group, violation/infringe type for Security group, name involved for Who involved subgroup, and action type for Measures subgroup.

For the complete description see the Data Base dictionary in Appendix B.
D. SPECIAL DISCUSSIONS

1. **Personal Serial Number**

The personal serial number is the main key in the Personnel Data Base. Many significant differences exist between military and civilian serial numbers. Even within the military there are a large number of major differences. In order to make accessing the Data Base easier, the personal serial number must be transformed into a common form before they are actually stored in the Data Base. Thus, a routine must be designed to transform the various forms of personal serial numbers into a common form before storing them in the Data Base and then transform them back to their original form upon retrieval.

Below are the recommended transformations for personal serial numbers:

a. Military:

1). Officer:

   a). Regular: 00NNNNNNN
      Example: 8999/P ===> 000008999

   b). Titular: 10NNNNNNN
      Example: 7188/PT ===> 100007188

   c). Military obligated: 20YYNNNNN
      Example: 7919786/W ===> 207919786

2). Warrant Officer and below:

   a). Regular: 30NNNNNN
      Example: 93246 ===> 300093246

   b). Military obliged: 40YYNNNNN
      Example: 8025134 ===> 408025134
b. Civilian: 5NNNNNNNN
Example: 30599791 ===> 530599791

2. **Security Group**

This group is provided as an additional one because of its importance to users. There is no data available for it at the present in Dippulahtal, but it is maintained in the Intelligence-Security Office.

This group can only be accessed by authorized Intelligence-Security Personnel, and thus it must be given special treatment. Only those who are privileged to use it can access these groups/segments. Thus, data security\(^{13}\) is required for this group. The issue of security enforcement involves two things: (1) authorization procedures which not only help in user identification but allow users to determine their own passwords, and (2) control by the system of user actions after authorization is given. Security controls range from simple sign-on procedures that access to the whole system, through passwords that control access to different levels of the Data Base, to elaborate access matrices and programmed procedures. Furthermore, cooperation between the Intelligence-Security officer and the DBA is a must in controlling and maintaining the security data element group.

3. **Data Dictionary/Directories (DD/DD)**

Individual DBMS' have their own methods to predefine data descriptions. Each has a repository for the Data Base

\[^{13}\text{Data security is protection of the data against unauthorized disclosure, alteration, or destruction. [Ref. 17]}\]
description, a language facility to process that description, and a mechanism to input that description to the DBMS.

The general objectives of a DD/D [Ref. 19] are to:

- Prevent unplanned redundancy and inconsistency in application systems development in the areas of source-data collection, processing, secondary storage, and information to users.
- Reduce application systems development and implementation lead times and costs.
- Reduce applications modification lead times and costs.
- Allow for establishment and enforcement of standards relating to data usage and responsibility (format, meaning, validity, timeliness, and so forth).

In most DBMS's the included data dictionary is primarily oriented toward the internal representation or the machine use of the data definition. It defines the internal form, physical location, and access to the stored data. Clay R. Sprowls [Ref. 17] says that the data dictionary is primarily

a directory that defines the internally necessary attributes of the data, their physical characteristics, and stored locations.

The Data Base description does contain some dictionary information oriented toward the user. But it does not provide all of the information that a good dictionary should provide for the variety of users who need access to data descriptions. Clay R. Sprowls [Ref. 17] lists some suggested contents of data dictionary as follows:

- Usage: status (tentative or approved), effective data, programs (used by), and users (both formal and informal).
- Relationships: field (group, record, file, set, network), linkage (pointers to and from), parent of, child of, sorted order by key, and relative position in data description.

- Administrative control: ownership (department, department position), definition (proposed by, analyst name), authority to access data (to define, to add or delete, to change), security (special provisions), source (input, document description, generated, and algorithms to compute).

- Identification: label, programming language name, synonyms (if same data field is used more than once), textual descriptions (including perhaps keyword descriptions), version number (for validation changes), lost change date (for keeping data definitions current).

- Technical specifications: data type (quantitative or qualitative), use (external and internal), form of each use (length, representation or picture, precision, mode, justification, report form edited), unit of measure, time dependency (for update), accessing (record key or index), data validation, edit masks (valid codes), value range, and test data (for program listing).

An exact list of dictionary contents for an organization and its DBMS depends upon the breadth and emphasis it places on the use of the dictionary. The data dictionary for the Personnel Data Base and its description are depicted in Appendix B.

4. Journalizing

A Data Base Management System must provide a means to restore the Data Base to a consistent state that reflects
the situation after some number of transactions were completed. The journal is a basic accounting record in which all transactions of a certain type are recorded. A system journal records every transaction that occurs within the system. Jeffrey D. Ullman [Ref. 20] lists the most general case of journal entries which consists of (1) a unique identifier for any transaction causing a change, (2) the old value of the item, and (3) the new value of the item.

In this Data Base the author suggests that journal entries consist of:
- Identification of the users accessing the Data Base.
- Type of operation accessing the Data Base (update, insert, delete, list, etc.).
- Pointer to the record being accessed.
- Personal serial number of the record being accessed.
- The old value of the item.
- The new value of the item.
- Time and date of the beginning and of the end of transactions and accesses.
- Summaries which contain the number of personnel in the Navy either military or civilian, number of personnel in each rank.

5. Administration

The Data Base administration is the agency which exercises centralized control over the Data Base System. It includes several specialties, including information system analysis, data structure and data storage organization design, security, recovery, auditing, and accounting. Each
of these specialties may be assigned to one individual for an uncomplicated Data Base used under undemanding conditions.

The individual in charge of the Data Base administration is the Data Base Administrator (DBA). The DBA uses the computer to build and operate his tools. Such tools may include system flow analysis tools, data relationship analysis tools, performance simulators, data storage organization and reorganization tools, and other utilities. Beside the DBA the personnel with the specialties mentioned above must be organized to manage and improve the Data Base.
This thesis project explored the step-by-step logical design of the Personnel Data Base model which covers:

1. Gathering, recording, and analyzing the user requirements, including the analysis of the applications function and determining data element groupings. This Data Base consists of 97 (ninety seven) data elements which have been divided into 17 (seventeen) data element groups.

2. Deriving and designing a logical data model, including the analysis of data element groups relationships, applications to data element groups relationships, and determining the Personnel Data Base model. The structure of the data model designed is hierarchical. The Data Base dictionary was also designed as a directory for the Data Base and is included in Appendix B.

The Data Base design effort is not completed, and can not be, until the logical design is finished. After this has been done the logical design must be transformed to a physical design. The author's recommendations are:

1. To continue and to complete the design. This involves applying this data model to a physical machine.

2. To form and organize a Data Base administration, identifying the personnel needed to manage and improve the Data Base.
APPENDIX A

LIST OF DATA ELEMENTS FROM FILE SYSTEM

1. Personal Serial Number
2. Check Digit of the Personal Serial Number
3. Duplication Code of the Personal Serial Number
4. Batch Number
5. Name's Spelling Code (Old or New)
6. Name
7. Original based name from
8. Corps
9. Named based originally from
10. Current Personal Status
11. Date of Current Personal Status
12. Personal Category
13. Date of Personal Category
14. Date of Birth
15. Current Station
16. Current Rank
17. Active Duty Obligation Time (Active Duty Service Began)
18. Sex
19. Marital Status
20. Number of Wives
21. Number of Children
22. Number of Children Authorized Family Allowance
23. Status of Children Authorized Family Allowance
24. Status of House
25. Blood Type
26. Weight
27. Height
28. Color of Skin
29. Hair
30. Color of Eyes
31. Size of Shoes
32. Size of Hat
33. Size of Pants
34. Size of Shirt
35. Size of Chest
36. Unit of Administration
37. Unit of Payroll
38. Unit of Individual's Field Provisions (Equipment)
39. Unit of Side Dishes Money
40. Religion
41. Tribe
42. Place of Birth
43. Rank
44. Status of Rank
45. Date of Rank
46. Decision Letter of Rank
47. Date of Decision Letter of Rank
48. Who Gave the Decision Letter
49. Type of Promotion
50. Date of Profession
51. Status of Placement
52. Date of Placement
53. Station
54. Reporting Date
55. Profession's Name
56. Rank in Profession
57. Decision Letter of Profession
58. Date of Profession's Decision Letter
59. Warrant of Profession
60. Date of Warrant of Profession
61. Echelon (Group) of Profession
62. Group Code of Education
63. Start Date of Education
64. Completion Date of Education
65. Place of Education (Station, Town)
66. Educational Institute's Name
67. Result of the Education
68. Class Standing
69. Class Size
70. Relative's Identity
71. Relative's Name
72. Relative's Relation
73. Relative's Birth Date
74. Relative's Sex
75. Relative's Birth Place
76. Relative's Religion
77. Code of the Relative's Description
78. Relative's Description
79. Date of Payroll
80. Bank in Payroll
81. Status of Payroll Period
82. Payroll Period
83. Marital Status in Payroll
84. Main Salary
85. Wife's Family Allowance
86. Other Family Allowance
87. Children Family Allowance
88. Extra Earnings
89. Main Reduction of Salary
90. Rice Reductions
91. Advance Payment Reductions
92. Housing Reductions
93. Loss-damage Reductions
94. Other Reductions
95. Reduction's Code
96. Completion Date for the Reduction of the Advance Payment
97. Completion Date for the Reduction of Loss-damage
98. Completion Date for Other's Reduction
99. Salary Round-off
100. Unit of Payroll
APPENDIX B

DATA BASE DICTIONARY

This data dictionary contains descriptions of the Personnel Data Base segments (data elements groups) and their data elements. There are six columns in the table:

1. **Element Number (ELM #).** The data element/segment number contains four digits. The first two digits is the segment number, beginning from the root and increasing by one (leading zeroes suppressed), and another two digits for the data element number in the segment beginning from one and increasing by one. For example: 1005 indicates the tenth segment and data element number five in the segment.

2. **Data Element (DATA-ELEMENT).** This column contains data element/segment name as it is known to the users.

3. **Data Name (DATA-NAME).** This column contains the unique name for data element/segment which is to be used by programmer/user when retrieving data from the Data Base.

4. **Type (TYPE).** This column contains the data element's type where N means Numeric and AN means Alpha Numeric.

5. **Number of Character (# OF CHAR).** This column contains number of characters in the record field of the data element/segment.

<table>
<thead>
<tr>
<th># OF CHAR</th>
<th>DATA ELEMENT</th>
<th>DATA NAME</th>
<th>TYPE</th>
<th>ELEMENT NUMBER</th>
</tr>
</thead>
<tbody>
<tr>
<td>49</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
6. Description (DESCRIPTION). This column contains the description of the data element/segment. Described are the data element/segment relationships (dependent, root, etc.), key record/segment, administrative control, usage, and identifications. This description helps the programmer/user to find the path to desired data element/segment in the Data Base.

The abbreviations used in the data dictionary table are: DB for Data Base, segm for segment, lev for level, tbl for table and refer to the Personal Tables (numbered in parenthesis) in APPENDIX C. YYMMDD for Year (two digits) Month (two digits) and Date (two digits), occurr for occurrence, dependt for dependent, Kg for Kilogram, and Cm for Centimeter.

<table>
<thead>
<tr>
<th>ELM</th>
<th>DATA-ELEMENT</th>
<th>DATA-NAME</th>
<th>TYPE</th>
<th>CHAR</th>
<th>DESCRIPTION</th>
</tr>
</thead>
<tbody>
<tr>
<td>100</td>
<td>Main identification</td>
<td>MAINID</td>
<td>76</td>
<td>Root segm DB lev 1, segm 1, one occurr</td>
<td></td>
</tr>
<tr>
<td>101</td>
<td>Personal Serial Number</td>
<td>SERNUM</td>
<td>N</td>
<td>9</td>
<td>Record key (Main key)</td>
</tr>
<tr>
<td>102</td>
<td>Name</td>
<td>NAME</td>
<td>AN</td>
<td>26</td>
<td>Name, title</td>
</tr>
<tr>
<td>103</td>
<td>Corps</td>
<td>CORPS</td>
<td>N</td>
<td>3</td>
<td>See corps tbl (19)</td>
</tr>
<tr>
<td>104</td>
<td>Sex</td>
<td>SEX</td>
<td>N</td>
<td>1</td>
<td>See sex tbl (3)</td>
</tr>
<tr>
<td>105</td>
<td>Birth date</td>
<td>DMBIRTH</td>
<td>N</td>
<td>6</td>
<td>YYMMDD</td>
</tr>
<tr>
<td>106</td>
<td>Birth place</td>
<td>PMBIRTH</td>
<td>AN</td>
<td>15</td>
<td>Town (city)</td>
</tr>
<tr>
<td>107</td>
<td>Religion</td>
<td>RELIGION</td>
<td>N</td>
<td>1</td>
<td>See religion tbl (12)</td>
</tr>
<tr>
<td>108</td>
<td>Tribe</td>
<td>TRIBE</td>
<td>AN</td>
<td>15</td>
<td>-</td>
</tr>
</tbody>
</table>

50
<table>
<thead>
<tr>
<th>Segment</th>
<th>Description</th>
<th>Code</th>
<th>Length</th>
<th>Notes</th>
</tr>
</thead>
<tbody>
<tr>
<td>200</td>
<td>Personal characteristics</td>
<td>CHARACT</td>
<td></td>
<td>Dependt segm of root, lev 1, segm 2, one occur</td>
</tr>
<tr>
<td>300</td>
<td>Marriage</td>
<td>MARR</td>
<td>7</td>
<td>Dependt segm of CHARACT, lev 3, segm 3, repeated</td>
</tr>
<tr>
<td>301</td>
<td>Marital status</td>
<td>MARST</td>
<td>N 1</td>
<td>See marital status tbl (4), segm key</td>
</tr>
<tr>
<td>302</td>
<td>Date of status</td>
<td>MARDT</td>
<td>N 6</td>
<td>YYMMDD</td>
</tr>
<tr>
<td>400</td>
<td>Address</td>
<td>ADDR</td>
<td>33</td>
<td>Dependt segm of CHARACT, lev 3, segm 4, repeated</td>
</tr>
<tr>
<td>401</td>
<td>Address</td>
<td>ADDRESS</td>
<td>AN 26</td>
<td>-</td>
</tr>
<tr>
<td>402</td>
<td>Housing status</td>
<td>HOUSE</td>
<td>N 1</td>
<td>See housing status tbl (6), segm key</td>
</tr>
<tr>
<td>403</td>
<td>Date of status</td>
<td>HOUSEDT</td>
<td>N 6</td>
<td>YYMMDD</td>
</tr>
<tr>
<td>500</td>
<td>Body characteristic</td>
<td>BODYCHAR</td>
<td>18</td>
<td>Dependt segm of CHARACT, lev 3, segm 5, one occur</td>
</tr>
<tr>
<td>501</td>
<td>Weight</td>
<td>WEIGHT</td>
<td>N 3</td>
<td>In Kg</td>
</tr>
<tr>
<td>502</td>
<td>Height</td>
<td>HEIGHT</td>
<td>N 3</td>
<td>In Ca</td>
</tr>
</tbody>
</table>

51
<table>
<thead>
<tr>
<th>Field ID</th>
<th>Field Name</th>
<th>Type</th>
<th>Length</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>503</td>
<td>Blood type</td>
<td>BLOOD</td>
<td>N</td>
<td>1 See blood type tbl (7), segm key</td>
</tr>
<tr>
<td>504</td>
<td>Color of skin</td>
<td>SKIN</td>
<td>N</td>
<td>1 See color of skin tbl (8)</td>
</tr>
<tr>
<td>505</td>
<td>Hair</td>
<td>HAIR</td>
<td>N</td>
<td>1 See hair tbl (9)</td>
</tr>
<tr>
<td>506</td>
<td>Color of eyes</td>
<td>EYES</td>
<td>N</td>
<td>1 See color of eyes tbl (10)</td>
</tr>
<tr>
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<td>SHOES</td>
<td>N</td>
<td>2 -</td>
</tr>
<tr>
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<td>Size of hat</td>
<td>HAT</td>
<td>N</td>
<td>2 -</td>
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<td>Size of pants</td>
<td>PANTS</td>
<td>N</td>
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<td>SHIRT</td>
<td>N</td>
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<td>Date of current</td>
<td>CRPERD</td>
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<td>1 See personal category tbl (2)</td>
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<td>Field</td>
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<td>--------</td>
<td>-------------------------</td>
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<td>606</td>
<td>Date of personal category</td>
<td>DTGORY</td>
<td>N</td>
<td>6</td>
</tr>
<tr>
<td>607</td>
<td>Active duty obligated time (Active service duty began)</td>
<td>DTACT</td>
<td>N</td>
<td>6</td>
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<tr>
<td>700</td>
<td>Rank</td>
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<td>Rank/Group</td>
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<td>702</td>
<td>Status of rank</td>
<td>STRANK</td>
<td>N</td>
<td>1</td>
</tr>
<tr>
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<td>Date of rank</td>
<td>DTRANK</td>
<td>N</td>
<td>6</td>
</tr>
<tr>
<td>704</td>
<td>Number of decision letter</td>
<td>NBDECLET</td>
<td>AN 8</td>
<td>Format: NNNNNMMYY NNNN: number MM : month YY : year</td>
</tr>
<tr>
<td>705</td>
<td>Date of decision letter</td>
<td>DTDECLET</td>
<td>N 6</td>
<td>YYMMDD</td>
</tr>
<tr>
<td>706</td>
<td>Who gave the decision on letter</td>
<td>GVDECLET</td>
<td>AN 15</td>
<td>Official functionary</td>
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<tr>
<td>707</td>
<td>Type of promotion</td>
<td>TPPROM</td>
<td>N</td>
<td>1</td>
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<td>800</td>
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<td>801</td>
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<td>NMPROP</td>
<td>AN 15</td>
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53
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<td>NBDECP</td>
<td>8</td>
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<td></td>
<td></td>
<td></td>
<td>NNNN: Number</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>MM: Month</td>
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<td></td>
<td></td>
<td></td>
<td>YY: Year</td>
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<td>Date of decision letter</td>
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<td>MM: Month</td>
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<td>YY: Year</td>
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<td>Date of warrant</td>
<td>DTWARP</td>
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<td>NNNNNN-YYMDD</td>
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<td>Echelon of</td>
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<td>2</td>
<td>See echelon tbl (21)</td>
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<td>STATION</td>
<td>3</td>
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<tr>
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<td>6</td>
<td>YYMMDD</td>
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<td>Status of placement</td>
<td>STPLACE</td>
<td>1</td>
<td>See status of placement tbl (15)</td>
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<tr>
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<td>6</td>
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<td>Education</td>
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<td>EDUCNM</td>
<td>15</td>
<td>-</td>
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<td>EDUCSD</td>
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<td>YYMMDD</td>
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<td>N</td>
<td>3</td>
</tr>
<tr>
<td>906 Town (city)</td>
<td>EDTOWN</td>
<td>AN</td>
<td>15</td>
</tr>
<tr>
<td>907 Result of education</td>
<td>RESULT</td>
<td>N</td>
<td>1</td>
</tr>
<tr>
<td>908 Class standing</td>
<td>CSTAND</td>
<td>N</td>
<td>3</td>
</tr>
<tr>
<td>909 Class size</td>
<td>CSIZE</td>
<td>N</td>
<td>3</td>
</tr>
</tbody>
</table>

| 1000 Subjects | SUBJ | 18 | Dependt segm of EDUC, lev 3, segm 10, repeated |
| 1001 Subject name | SUBJECT | AN | 15 | Segm key |
| 1002 Grade | GRADE | AN | 3 | Can be numeric or alphabet |

<p>| 1100 Family | FAM | 76 | Dependt segm of root, lev 2, segm 6, repeated |
| 1101 Family name | FNANE | AN | 26 | Name, title |
| 1102 Family relation | FREL | N | 1 | See family relation tbl (17), segm key |
| 1103 Sex | FSEX | N | 1 | See sex tbl (3) |
| 1104 Birth date | FDBIRTH | N | 6 | YYMMDD |
| 1105 Birth place | FPBIRTH | AN | 15 | Town (city) |
| 1106 Religion | FRELIGI | N | 1 | See religion tbl (12) |
| 1107 Address | FADDR | AN | 26 | - |</p>
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<th>Field</th>
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<th>Description</th>
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</thead>
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<td>48</td>
<td>Dependt segm of FAM, lev 3, segm 12, repeated</td>
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<tr>
<td>1201 Name of activity</td>
<td>FNACT</td>
<td>AN</td>
<td>26 Segment key</td>
</tr>
<tr>
<td>1202 Place of activity</td>
<td>FPACT</td>
<td>AN</td>
<td>15 Town (city)</td>
</tr>
<tr>
<td>1203 Start date</td>
<td>FSACT</td>
<td>N</td>
<td>6 YYYMDD</td>
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<td>1204 Completion date</td>
<td>FCACT</td>
<td>N</td>
<td>6 YYYMDD</td>
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<td>FEDUC</td>
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<td>Dependt segm of FAM, lev 3, segm 13, repeated</td>
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<td>AN</td>
<td>15 -</td>
</tr>
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<td>1302 Group code of education</td>
<td>FCDACT</td>
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<td>FEDRES</td>
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<td>1 See result of education tbl (16)</td>
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<td>PAYROLL</td>
<td>59</td>
<td>Dependt segm of root, lev 2, segm 14, one occurr</td>
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<tr>
<td>1401 Date of beginning payroll</td>
<td>DBPAY</td>
<td>N</td>
<td>6 YYYMDD</td>
</tr>
<tr>
<td>1402 Rank in payroll</td>
<td>RKPAY</td>
<td>N</td>
<td>2 See rank tbl (18)</td>
</tr>
<tr>
<td>1403 Payroll period</td>
<td>PERPAY</td>
<td>N</td>
<td>3 In month</td>
</tr>
<tr>
<td>Field</td>
<td>Code</td>
<td>Length</td>
<td>Format</td>
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<td>-------</td>
<td>--------</td>
<td>--------</td>
</tr>
<tr>
<td>Number of children</td>
<td>CHPAM</td>
<td>N</td>
<td>1</td>
</tr>
<tr>
<td>Status of children</td>
<td>STCHPAM</td>
<td>N</td>
<td>1</td>
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<tr>
<td>Main salary</td>
<td>MAINSAL</td>
<td>N</td>
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</tr>
<tr>
<td>Wife's Family Allowance</td>
<td>WFAIL</td>
<td>N</td>
<td>5</td>
</tr>
<tr>
<td>Children Family Allowance</td>
<td>CHALL</td>
<td>N</td>
<td>5</td>
</tr>
<tr>
<td>Other family allowances</td>
<td>OTALL</td>
<td>N</td>
<td>5</td>
</tr>
<tr>
<td>Obligated reductions</td>
<td>OBRED</td>
<td>N</td>
<td>5</td>
</tr>
<tr>
<td>Rice reductions</td>
<td>RCRED</td>
<td>N</td>
<td>5</td>
</tr>
<tr>
<td>Other reductions</td>
<td>OTRED</td>
<td>N</td>
<td>5</td>
</tr>
<tr>
<td>Total salary</td>
<td>TOTSA L</td>
<td>N</td>
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</tr>
<tr>
<td>Unit of payroll</td>
<td>UNPAY</td>
<td>N</td>
<td>4</td>
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<td>SEC</td>
<td>35</td>
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<td>Violation / Infringe type</td>
<td>VTYPE</td>
<td>N</td>
<td>1</td>
</tr>
<tr>
<td>What</td>
<td>WHAT</td>
<td>N</td>
<td>3</td>
</tr>
<tr>
<td>Where</td>
<td>WHERE</td>
<td>AN</td>
<td>15</td>
</tr>
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57
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<tr>
<td>1505</td>
<td>Why</td>
</tr>
<tr>
<td>1506</td>
<td>How</td>
</tr>
<tr>
<td>1600</td>
<td>Who involved</td>
</tr>
<tr>
<td>1601</td>
<td>Name involved</td>
</tr>
<tr>
<td>1602</td>
<td>Personal identification</td>
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<td>1603</td>
<td>Profession</td>
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<td>1700</td>
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<td>Start date</td>
</tr>
<tr>
<td>1703</td>
<td>Completion date</td>
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**1505 Why**

This reason description is stored in other file with key number here (N 5)

**1506 How**

Same as 1505

**1600 Who involved**

Dependt segm of SEC, lev 3, segm 16, repeated

**1601 Name involved**

Segm key

**1602 Personal identification**

Personal Serial number or other valid identification

**1603 Profession**

-  

**1700 Measures**

Dependt segm of SEC, lev 3, segm 17, repeated

**1701 Type of action**

Segm key

**1702 Start date**

YYMMDD

**1703 Completion date**

YYMMDD
APPENDIX C

DATA BASE PERSONNEL TABLES

Each of these tables contains two elements: code and description. Example: "1 Male" indicates code number 1 is Male.

1. PERSONAL STATUS:

A. Military:

<table>
<thead>
<tr>
<th>Code</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>01</td>
<td>Volunteer</td>
</tr>
<tr>
<td>02</td>
<td>Obliged</td>
</tr>
<tr>
<td>03</td>
<td>Titular</td>
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B. Civilian:

<table>
<thead>
<tr>
<th>Code</th>
<th>Description</th>
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<tbody>
<tr>
<td>11</td>
<td>Daily labourer</td>
</tr>
<tr>
<td>12</td>
<td>Monthly labourer</td>
</tr>
<tr>
<td>13</td>
<td>Monthly labourer organic</td>
</tr>
<tr>
<td>14</td>
<td>Temporary Government official</td>
</tr>
<tr>
<td>15</td>
<td>Pre Government official</td>
</tr>
<tr>
<td>16</td>
<td>Civilian Government official</td>
</tr>
<tr>
<td>17</td>
<td>Civilian Military Titular Government official</td>
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2. PERSONAL CATEGORY:

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<tr>
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<tr>
<td>1</td>
<td>Active organic</td>
</tr>
<tr>
<td>2</td>
<td>In charge</td>
</tr>
<tr>
<td>3</td>
<td>In assistance</td>
</tr>
<tr>
<td>4</td>
<td>In direction</td>
</tr>
<tr>
<td>5</td>
<td>Waiting for placement</td>
</tr>
<tr>
<td>6</td>
<td>Waiting for direction</td>
</tr>
<tr>
<td>7</td>
<td>Pre-retired</td>
</tr>
<tr>
<td>8</td>
<td>Money waiting (UT)</td>
</tr>
<tr>
<td>9</td>
<td>Retired</td>
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3. SEX:

<table>
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<tr>
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<tbody>
<tr>
<td>1</td>
<td>Male</td>
</tr>
<tr>
<td>2</td>
<td>Female</td>
</tr>
</tbody>
</table>

59
4. MARRITAL STATUS:
   1 Married                  2 Not married

5. CHILDREN ALLOWANCE STATUS:
   1 Claimed by him/herself   2 Claimed by spouse

6. HOUSING STATUS:
   1 Government-quarters     4 Private/owned
   2 Mess                    5 Rented
   3 Ship                    6 Contract/Leased
   7 With relations          

7. BLOOD TYPE:
   1 A                       3 AB
   2 B                       4 O

8. COLOUR OF SKIN:
   1 White                   4 Yellow-brown
   2 Yellow                  5 Brown
   3 Black                   

9. HAIR:
   1 Straight-lank           3 Straight-stiff
   2 Curly                   4 Weavy

10. COLOUR OF EYES:
    1 Black                   3 Brown
    2 Blue                    4 Green

11. SIZE OF PANTS/SHIRTS:
    1 Small                   3 Large
    2 Medium                  

12. RELIGION:
    1 Moslem                  4 Hindu
    60
2 Catholic  
3 Protestant  
5 Budha  
6 Kong Hu Cu

13. STATUS OF RANK:
1 Effective  
2 Temporary  
3 In education  
4 Military obliged  
5 Military titular

14. TYPE OF PROMOTION:
1 Regular  
2 Extraordinary  
3 Honour (meritorious)  
4 Honour-grace (posthumous)

15. STATUS OF PLACEMENT:
0 Organic  
1 Labour (non organic)  
2 In charge (detached from parent command)  
3 In assistance (temporary additional duty)  
4 In direction (independent duty)

16. RESULT OF EDUCATION:
1 Graduated  
2 Not graduated  
3 Incomplete

17. FAMILY RELATION:
0 Spouse  
1 Child number 1  
2 Child number 2  
3 Child number 3  
4 Child number 4  
5 Child number 5  
6 Child number 6  
7 Child number 7  
8 Child number 8  
9 Child number 9

18. BANK:
A. Military:
99 Third Sailor  
98 Second Sailor  
97 First Sailor  
96 Second Corporal

61
95 First Corporal
88 Second Sergeant
87 First Sergeant
86 Head Sergeant
85 Sergeant Major
84 Second Assistant Lieutenant
83 First Assistant Lieutenant
82 Candidate Officer
78 Second Lieutenant
77 First Lieutenant
76 Captain
68 Major
67 Lieutenant Colonel
66 Colonel
58 First Admiral (Commodore) / Brigadier General
57 Rear Admiral / Major General
56 Vice Admiral / Lieutenant General
55 Admiral / General

E. Civilian:

48 Group I/A  27 Group III/B
47 Group I/B  26 Group III/C
46 Group I/C  25 Group III/D
45 Group I/D  18 Group IV/A
38 Group II/A 17 Group IV/B
37 Group II/B 16 Group IV/C
36 Group II/C 15 Group IV/D
35 Group II/D 14 Group IV/E
28 Group III/A

19. CORPS:

A. Military:

100 Sailor/Deck (for Officer only)
161 Deck
162 Torpedo
163 Weapon
164 Constable
165 Signal
166 Telegrams
167 Under-water Weaponry

200 Technician/Engineer (for Officer only)
261 Machinist
262 Construction
263 Ship Construction
264 Airplane Maintenance

300 Electronics (for Officer only)
361 Radio
362 Radio-Radar Mechanic
363 Electro-Machine Mechanic
364 Electrician
365 Sub-weapon Electrician
366 Electro Mechanic
367 Weapon Electro Mechanic
368 Electromechanica

400 Marine (for Officer only)
461 Infantry
462 Amphibious
463 Field Artillery
464 Air Defense Artillery
465 Tank
466 Pansam (Amphibious Tank)
467 Transportation
468 Zipur (Defense Construction)
469 Communication-Electronica
470 Nurse
471 Field Support

500 Administration (for Officer only)
561 Writer/Typist
562 Finance
563 Support
564 Family business
565 Cook-1
566 Cook
567 Tailor

600 Health (for Officer only)
661 Nurse
662 Radiologist
663 Analyst
664 Dental Technician
665 Chemist
666 Assistant Chemist

700 Specialist (for Officer only)
761 Judicature
762 Intelligence
763 Transportation
764 Carpenter
765 Physical Fitness
766 Musician
767 Photography
768 Cinematography
769 Miscellaneous

800 Woman (for Officer only)
861 Communication
862 Writer/Typist
863 Finance
864 Information
865 Physical Fitness
866 Nurse
867 Nav-Information Defense
868 Air Traffic Controller

900 Clergy (for Officer only)
E. Civilian:

<table>
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<th>Description</th>
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<tr>
<td>000</td>
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<td>General Administration</td>
</tr>
<tr>
<td>002</td>
<td>Finance Administration</td>
</tr>
<tr>
<td>003</td>
<td>Labour Administration</td>
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<tr>
<td>004</td>
<td>Support Administration</td>
</tr>
<tr>
<td>005</td>
<td>Nursing Administration</td>
</tr>
<tr>
<td>006</td>
<td>Technic Administration</td>
</tr>
<tr>
<td>007</td>
<td>Typist</td>
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<tr>
<td>008</td>
<td>Stencil Mechanic</td>
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<td>009</td>
<td>Nursing Staff</td>
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<tr>
<td>010</td>
<td>Statistic Administration</td>
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<td>011</td>
<td>Law Administration</td>
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<td>Post Administration</td>
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<td>Miscellaneous Administration</td>
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<tr>
<td>017</td>
<td>Technic</td>
</tr>
<tr>
<td>018</td>
<td>Ship Technician</td>
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<tr>
<td>019</td>
<td>Engine/machine Technician</td>
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<td>020</td>
<td>Electro Technician</td>
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<tr>
<td>021</td>
<td>Construction Technician</td>
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<td>022</td>
<td>Carpenter</td>
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<td>023</td>
<td>Welding Technician</td>
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<td>024</td>
<td>Telephone-telegraph Technician</td>
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<td>025</td>
<td>Radio Technician</td>
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<td>026</td>
<td>Mechanic/driver</td>
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<td>027</td>
<td>Labourer</td>
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<td>028</td>
<td>Photographer</td>
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<td>029</td>
<td>Film Operator</td>
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<tr>
<td>030</td>
<td>Metal Technician</td>
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<tr>
<td>031</td>
<td>Painter</td>
</tr>
<tr>
<td>032</td>
<td>Weapon Technician</td>
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</table>
033 Fire Safety Inspector
034 Constructor
035 General Controller
036 Shipyard Worker
037 Pump Technician
038 Railroad Technician
039 Meteorological Technician
040 Miscellaneous

041 Nurse
042 Dental Nurse
043 General Nursing
044 Midwife
045 Pharmacy
046 Physiotherapy
047 Radiology
048 Pediatric Nurse
049 General Medical
050 Ophthalmologist
051 Throat-nose-ear Physician
052 Neurologist
053 Dermatologist
054 Dietitian
055 Miscellaneous

056 Specialist
057 Teacher/instructor
058 Messenger
059 Cook
060 Gardener
061 Shoemaker
062 Tailor
063 Barber
064 Janitor
065 Forester
066 Sketcher
067 Security
068 Lifeguard
069 Parking Master
070 Fire Brigade
071 Physical Fitness
072 Artist
073 Clergy
074 Laundry
075 Ocean Tide
076 Petro-chemical Technician
077 Geography
078 Miscellaneous

20. GROUP CODE OF EDUCATION:

000 General development
001 National defense
002 Joint command & staff college
003 Command & staff college level
004 2nd Officer continuing education level
005 1st Officer continuing education level
011 NCO continuing education

100 Formation
101 Military Academy level
102 Fundamental Officer Education level
103 Candidate Officer education level
111 Candidate NCO education level
112 Candidate Corporal education level
113 Candidate Enlisted Education level

200 Labour
201 Labour education level

300 General education
301 University level
302 Academy level
303 Senior high school level
304 Junior high school level
305 Elementary school level (graduated)
306 Elementary school level (not graduated)

400 Specialist Military education
401 Specialist
402 Officer specialist
403 NCO specialist
404 Enlisted specialist
405 Civilian specialist

500 General Course

21. ECHelon of profession:
   11 Echelon 1-A  23 Echelon 2-C
   12 Echelon 1-B  24 Echelon 2-D
   13 Echelon 1-C  25 Echelon 2-E
   14 Echelon 1-D  26 Echelon 2-F
   15 Echelon 1-E  31 Echelon 3-A
   16 Echelon 1-F  32 Echelon 3-B
   17 Echelon 1-G  33 Echelon 3-C
   18 Echelon 1-H  34 Echelon 3-D
   21 Echelon 2-A  35 Echelon 3-E
   22 Echelon 2-B  40 Functional

22. Station:
   Not included here for security reasons.

23. Violation / Infringe Type:
   1 Discipline  3 Negative data
   2 Law

24. What:
   This table will be completed later by Intelligence / Security officer, since the author does not have experience and data for this.
LIST OF REFERENCES


<table>
<thead>
<tr>
<th>No.</th>
<th>Distribution</th>
<th>Copies</th>
</tr>
</thead>
</table>
| 1.  | Defense Technical Information Center  
Cameron Station  
Alexandria, Virginia 22314 | 2 |
| 2.  | Library, Code 0142  
Naval Postgraduate School  
Monterey, California 93940 | 2 |
| 3.  | Department Chairman, Code 52  
Department of Computer Science  
Naval Postgraduate School  
Monterey, California 93940 | 1 |
| 4.  | Professor Norman F. Schneidewind, Code 54 Ss  
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Monterey, California 93940 | 1 |
| 5.  | Professor Norman R. Lyons, Code 54 Lb  
Department of Administrative Sciences  
Naval Postgraduate School  
Monterey, California 93940 | 1 |
| 6.  | Captain Moedjiono  
Dispullahtal  
Jl. Gatot Subroto 101  
Jakarta-Pusat  
Indonesia | 1 |
| 7.  | Dispullahtal  
Jl. Gatot Subroto 101  
Jakarta-Pusat  
Indonesia | 1 |
8. Captain Peter L. Jones, USMC
   Marine Corps Central Design and Programming Activity
   Marine Corps Development and Education Center
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9. Colonel Tony Rumbayan
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