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PORE PRESSURES IN SOFT GROUND UNDER SURFACE LOADING: INTERPRETATION OF FIELD RECORDS
Report Title: Pore Pressures in Soft Ground Under Surface Loading; Interpretation of Field Records

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Security Classification: Unclassified

Report Date: June 1, 1976

Number of Pages: 37

Distribution Statement: Approved for public release; distribution unlimited.

Key Words: Clays, Soft Soils, Embankments, Soil Deformation, Pore Pressure

Abstract: Most soft clays in their natural state exhibit a small degree of overconsolidation resulting from changes in ground water level, delayed consolidation, or other causes. The overconsolidation ratio is commonly in the range of 1.0 to 2.5. Under surface loading, pore pressures in such a deposit will develop as for an elastic material until the effective stresses reach a yield condition or failure condition. In the latter case the soil can (Continued...).
20. ABSTRACT (Continued).

continue to carry additional total stresses within confined zones and pore pressures in these zones will continue to increase. In the former case the soil will continue to deform plastically until it reaches failure, showing, in general, different pore pressure responses in these two phases. Thus pore pressure response at any point in a soft clay deposit under increasing surface loading may show two or three distinct phases, although in some cases the plastic and failure responses may be almost indistinguishable. In this report, three published field records are examined. One of these, a circular embankment loading on sensitive clay, is studied in some detail and it is found that at the end of the initial elastic phase, contained failure occurs with a distinct change in pore pressure response with further loading. The plastic phase is absent. In the second case, again a circular embankment but on soft clay of comparatively low sensitivity, the pore pressure response under loading is distinctly three-phased. In the final case record studied, a road embankment loading on Boston blue clay, a distinct change in pore pressure response occurs at the end of the elastic phase, followed by a phase in which plastic yielding if it occurs is not clearly distinguishable from the contained failure response.
FOREWORD

The investigation described herein was one phase of a project, "Instrumentation of Embankments and Foundations," sponsored by the Office, Chief of Engineers (OCE), under CWIS 31129. The investigation was conducted during the period January 1975 through July 1976.

The general objective of this study was to present the interpretation of field records for the yield conditions associated with pore pressure responses in soft soils under surface loading. Work on this project was conducted and the report was prepared by Professors R. H. G. Perry, Lecturer, University of Cambridge, England, and C. P. Wroth, Reader in Soil Mechanics, University of Cambridge, England.

The contract was monitored by Mr. C. L. McAnear, Chief, Soil Mechanics Division, under the general supervision of Mr. J. P. Sale, Chief, Soils and Pavements Laboratory. Contracting Officer was COL G. H. Hill, Director of the Waterways Experiment Station.


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PORE PRESSURES IN SOFT GROUND UNDER SURFACE LOADING

1. Introduction

In the first of this pair of reports, theories were developed for the excess pore pressures that would be developed in soft clay as a result of surface loading. It was shown that most deposits of soft clay will be in a lightly overconsolidated state (as a result of desiccation, lowering and raising of the water table or delayed consolidation). For a typical element, \( P \) in Fig.1(a) at depth \( z \) in a deposit of soft clay, the existing effective stresses acting on the element are \( \sigma'_{v}, \sigma'_{h} = K_{0} \sigma'_{v} \).

The total and effective stress states of the element are shown as points \( P \) and \( P' \) in Fig.1(b) in terms of the parameters:

- the mean total principal stress \( p = \frac{1}{3}(\sigma_{1}+\sigma_{2}+\sigma_{3}) = \frac{1}{3}(\sigma'_{v}+2\sigma'_{h}) \)
- the mean effective principal stress \( p' = \frac{1}{3}(\sigma'_{1}+\sigma'_{2}+\sigma'_{3}) = \frac{1}{3}(\sigma'_{v}+2\sigma'_{h}) \)
- the deviator stress \( q = (\sigma'_{1}-\sigma') = (\sigma'_{v}-\sigma'_{h}) \)

It was shown that the typical total and effective stress paths for the element caused by some surface loading would be \( PQRS \) and \( P'Q'R'S' \) with the response of the element displaying three distinct phases. These phases would be:-

(i) an 'elastic' response \( P'Q' \)
(ii) a plastic phase \( Q'R' \) and
(iii) contained failure \( R'S' \).

The excess pore pressures which would be generated in the element, are shown qualitatively in Fig.1(c) in which \( au \) has been plotted against the increment of total vertical stress \( \Delta \sigma'_{v} \)(local) experienced by the element as a consequence of the surface loading. Expressions for the gradients of the three linear portions of the plot of Fig.1(c) are given in the first report.

In this second report, these theoretical ideas of pore pressure development are applied to well documented field cases.
The first case is that of axisymmetric loading of two circular fills at Årum, in Norway, reported by Höeg, Andersland and Rolfsen (1969). The other case are of an axisymmetric loading at Canvey Island, England reported by Pender, Parry and George (1975) and of a plane strain case of a long road embankment at Boston reported by D'Appolonia, Lambe and Poulos (1971).

2. Application to Field Case of Axisymmetric Loading at Årum

2.1 General Description

In order to study the problems of likely settlement of buildings on the quick clays in the area around Oslo, the Norwegian Geotechnical Institute carried out two field tests on a site at Årum. Each test consisted of a circular fill placed on the existing ground surface, with careful measurements being made of excess pore pressures generated in the underlying clay, and of settlements of the fill. Full details are given by Höeg, Andersland and Rolfsen (1969).

Profiles of the soil at the two neighbouring sites, are given in Fig.2a, and the in situ vertical stresses in Fig.2b (both diagrams being reproduced from the paper by Höeg et al.). The upper 1 to 2 m consists of a fairly stiff fissured crust, below which the very quick and soft clay extends to bedrock. The natural water content of the clay ranges from about 55% to 70% compared to a range of liquid limits of about 35% to 50%. The undrained shear strength was measured by in situ vane tests and unconfined compression tests on undisturbed samples. Beneath the surface crust the strength is as low as 0.5 tonne/m² (50 kN/m²) and it increases with depth.

The observed values of the excess pore pressures recorded by the piezometers at depths of 3 m and 5 m beneath the two fills are shown in Figs.3a to 3d. It is at once apparent that the responses of the piezometers near the centreline show two well defined phases, with a sharp break between the two phases. These responses will now be interpreted in the light of the theories developed in the first report.
2.2 Asrum I: Piezometer A at 3 m depth on the centre line

The positions of the various piezometers are indicated in Fig. 4a. In this section the response of piezometer A at a depth of 3 m on the centre line of the fill is examined in detail.

Since the piezometer is on the centre line, conditions of axial symmetry apply throughout the test. Although the diameter of the fill reduces with height, for the purposes of the calculations the surface loading produced by the fill is assumed to be a uniform circular vertical load of intensity $\Delta \sigma = \gamma h$ and of average radius $a = 6.25$ m as shown in Fig. 4b.

For the initial analysis of the behaviour of the soft clay it is assumed that the elastic response is isotropic. From the elastic stress distributions for a uniform flexible circular load of radius $a$ on an elastic half space tabulated by Poulos and Davis (1974) the curves of Fig. 5 have been produced. Using these results the relevant values for piezometer A are as follows:

$$\frac{x}{a} = 0, \quad \frac{z}{a} = 0.48, \quad \frac{\Delta \sigma_v}{\Delta \sigma} = 0.919, \quad \frac{\Delta \sigma_h}{\Delta \sigma} = 0.391 \quad \ldots (1)$$

Hence the ratio of increments of total stress $\lambda_1 = \frac{\Delta \sigma_h}{\Delta \sigma_v} = 0.391 \quad \ldots (1)$

$$0.919 \quad = 0.425$$

and the factor $\frac{1}{3} (1 + 2 \lambda_1) = 0.617$. From eqn. (17) of the first report the perfectly elastic response of piezometer A would be

$$\frac{\Delta u}{\Delta \sigma_v} = \frac{1}{3} (1 + 2 \lambda_1) = 0.617. \quad \text{(Fig. 4c)} \quad \ldots (2)$$

In terms of the observed surface load $\Delta \sigma$, (rather than the unknown local increment of total vertical stress $\Delta \sigma_v$) the response is given by

$$\frac{\Delta u}{\Delta \sigma} = \frac{\Delta u}{\Delta \sigma_v} \cdot \frac{\Delta \sigma_v}{\Delta \sigma} = 0.617 \times 0.919 = 0.567 \quad \ldots (3)$$

(Fig. 4d)

This gradient almost exactly matches that of the first linear portion $P'Q'$ of the relevant plot in Fig. 3*. The point $Q$ corresponds to the change in behaviour from an elastic response

---

* It should be noted that the scales in Figs. 3a to 3d for $\Delta u$ and $\Delta \sigma$ are unfortunately not the same.
to either a plastic response or contained failure. This occurs at an increment of surface load of $\Delta \sigma = 2.84$ tonne/m$^2$ (285 kN/m$^2$) for which the excess pore pressure generated at piezometer A based on an elastic response would be from eqn. (3)

$$\Delta u = 0.567 \Delta \sigma = 1.61 \text{ t/m}^2.$$ This corresponds closely to the value observed for point Q in Fig. 3.

If the clay behaves perfectly elastically in the first phase then the excess pore pressure is given directly by the increment of mean total principal stress $\Delta p$ as shown below:

$$\Delta p = \frac{1}{3}(\Delta \sigma_v + 2 \Delta \sigma_h)$$

and

$$\Delta p' = \frac{1}{3}((\Delta \sigma_v - \Delta u) + 2(\Delta \sigma_h - \Delta u))$$

Thus, if $\Delta p' = 0$

$$\Delta u = \Delta p$$

Hence the result of eqn. (3) could be obtained directly from the appropriate curve for $\Delta p/\Delta \sigma$ in Fig. 5, without the need to calculate the stress increments $\Delta \sigma_v$ and $\Delta \sigma_h$. But evaluation of the latter has two advantages: (i) it allows estimates to be made of the total and effective stress paths and hence a fuller understanding of the behaviour of the clay, and (ii) it allows an anisotropic elastic response of the clay to be used, if necessary, i.e. the use of the expressions given in eqn. (17) and table 1 of the first report.

At the stage represented by Q' the clay locally around piezometer A yields. At yield, then, $\Delta \sigma = 2.84$ t/m$^2$. From elastic theory $\Delta \sigma_v = 2.61$, $\Delta \sigma_h = 1.11$, $\Delta p' = 0$

$$\Delta q = 1.50, \ \Delta p = 1.61 \text{ (all units: t/m}^2)$$

The total and effective stress paths for the stages PQ and P'Q' can now be plotted if the initial in situ stress states are known. Unfortunately the problem of the in situ lateral stress is a difficult one, and the best that can be done is to estimate this from all the limited information available.
From the results of the consolidation tests and the profile of stresses in Fig. 2b, for the depth \( z = 3 \) m, \( \sigma'_{vo} = 1 \) t/m\(^2\), \( u_o = 4.5 \) t/m\(^2\) and the overconsolidation ratio is 3. Making use of eqn. (6) in the first report for estimating the value of \( K_o \) for lightly overconsolidated soils:

\[
K_o = \text{OCR} \cdot K_o_{nc} \cdot \frac{\nu'}{1-\nu'} \cdot (\text{OCR}-1) \quad \ldots \quad (5)
\]

and taking \( K_{nc} = 0.65 \) and \( \nu' = 0.28 \) (for a soil with plasticity index of 16%), then

\[
K_o = 3 \times 0.65 - \frac{0.28 \times 2}{0.72} = 1.26
\]

Adopting this estimate for \( K_o \) gives \( q_{ho} = 1.26 \) t/m\(^2\), \( q_o = -0.26 \) t/m\(^2\), \( p'_o = 1.17 \) t/m\(^2\) and \( p_o = 5.67 \) t/m\(^2\). The total and effective stress paths \( P_A Q_A R_A \) and \( P'_A Q'_A \) for an element of soil at point \( A \) based on those estimated in situ stresses are plotted in Fig. 6. From the position of the point \( Q'_A \), and from the in situ vane shear strengths (plotted in Fig. 2a) of about 0.8 t/m\(^2\) corresponding to \( q_e = 1.6 \) t/m\(^2\), it is concluded that the clay has probably reached failure at point \( Q'_A \). This will mean that for the soil at this depth of 3 m there will be no second phase of plastic yielding (i.e. \( R' \) in Fig. 1c coincides with \( Q'_A \)) and that the behaviour goes directly from elastic to contained failure.

If this suggestion is correct then the second linear phase of pore-pressure response in Fig. 3a should have a gradient \( \Delta u/\Delta \sigma = \Delta q'_{vo}/\Delta \sigma \) assuming that no post-peak softening occurs (see section 9.3 of the first report). Once the clay has yielded or failed the assumption of an elastic stress distribution throughout the elastic half-space is no longer valid. But most of the soil, some distance from the region of contained failure, is still behaving elastically; inside the failing region the total stress distribution must alter to some degree to accommodate the plastic strains of the soil. There is limited evidence to show that the increments of total vertical stress \( \Delta \sigma_v \) remain as
though they were given by elastic theory and the increments of total horizontal stress $\Delta \sigma_h$ are larger than the corresponding elastic values. If it is assumed for the sake of argument that the elastic stress distribution for $\Delta \sigma_v$ is valid then for the phase $R’S’$, the expected response is $\frac{\Delta u}{\Delta \sigma} = \frac{\Delta \sigma_v}{\Delta \sigma} = 0.919$.

The observed value is 1.03, so that the above assumptions are in reasonable agreement with the field data, and certainly do not conflict with them. It seems likely, in fact, that some post-peak softening occurred.

2.3 Assumptions for Piezometer $E$ at 5 m depth on the centre line

Adopting the same assumptions for piezometer $E$ as for piezometer $A$ the relevant values are as follows:

- $r/a = 0$, $x/a = 0.8$, $\Delta \sigma_v/\Delta \sigma = 0.756$, $\Delta \sigma_h/\Delta \sigma = 0.184$ ...

Therefore

\[
\Delta u = \frac{0.184}{0.756} = 0.243
\]

\[
\frac{\Delta u}{\Delta \sigma} = \frac{1}{3}(1 + 2t) = 0.496
\]

and

\[
\frac{\Delta u}{\Delta \sigma} = 0.496 \times 0.756 = 0.375
\]

This gradient should be compared to that of 0.45 for the observed data of Fig.3b.

At yield

- $\Delta \sigma = 3.1 \text{ t/m}^2$ and from elastic theory

\[
\begin{align*}
\Delta \sigma_v &= 2.34 \\
\Delta \sigma_h &= 0.57 \\
\Delta p' &= 0 \\
\Delta q &= 1.77 \\
\Delta p &= 1.16 \quad (\text{all units : t/m}^2)
\end{align*}
\]

An estimate is now made of the initial in situ stress state at $E$, on the same basis as for $A$ in the last section.

From Fig.3, for $z = 5 \text{ m}$, $\sigma'_{vo} = 1 \text{ t/m}^2$, $u_o = 7.7 \text{ t/m}^2$ and OCR = 3. As before $K_o$ is taken as 1.26 so that $\sigma'_{ho} = 1.26 \text{ t/m}^2$ $\sigma_o = -0.26 \text{ t/m}^2$, $p_o = 1.17 \text{ t/m}^2$ and $p_o = 8.87 \text{ t/m}^2$. The total and effective stress paths $P_{\Sigma \Sigma} \Sigma$ and $P_{\Sigma \Sigma}^{\prime}$ for an element of soil at $E$ are plotted in Fig.6; the effective stress path starts from the same point as for element $A$ (by chance) and only differs
from it by virtue of a slightly larger value of \( \Delta q \) to cause yield.

The same argument as for element A is invoked to suggest that element E has reached failure at \( Q_j \), and that the behaviour of the soil changes directly from elastic to contained failure without an intermediate stage of plastic yielding.

On this basis the gradient of the second stage would be expected to be \( \frac{\Delta u}{\Delta q} = 0.756 \); this compares with a measured value of 0.687 from Fig. 3b.

2.4 Asrum I : Piezometers not on the centre line

For the piezometers B, C, D at 3 m depth and F, G, H at 5 m depth not on the centre line of the fill conditions of axial symmetry no longer apply. The simple expressions derived in the first report are not valid, and the situation is much more complicated because of the rotation of the principal axes of stress and stress increment.

However if the soil behaves in an isotropic elastic manner while undergoing no volume change, then \( \Delta p' \equiv 0 \) and the excess pore pressure is given (as before) by the increment of mean total principal stress \( \Delta p \). From the charts and functions given by Poulos and Davis (1974) the ratios \( \Delta p/\Delta q \) have been calculated for the six piezometers, and are compared in table 1 with the observed gradients of \( \Delta u/\Delta q \) taken directly from the first phases of the responses plotted in Figs. 3a and 3b. There is reasonably good agreement between the two sets of values, which supports the interpretation of the results in terms of isotropic elasticity.

<table>
<thead>
<tr>
<th>Piezometer</th>
<th>( r/a )</th>
<th>( r/a )</th>
<th>Computed ( \Delta p/\Delta q )</th>
<th>Observed ( \Delta u/\Delta q )</th>
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<tr>
<td>A</td>
<td>0.48</td>
<td>0</td>
<td>0.567</td>
<td>0.600</td>
</tr>
<tr>
<td>B</td>
<td>0.48</td>
<td>0.4</td>
<td>0.532</td>
<td>0.546</td>
</tr>
<tr>
<td>C</td>
<td>0.48</td>
<td>0.8</td>
<td>0.396</td>
<td>0.343</td>
</tr>
<tr>
<td>D</td>
<td>0.48</td>
<td>1.2</td>
<td>0.186</td>
<td>0.105</td>
</tr>
<tr>
<td>E</td>
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<td>0</td>
<td>0.375</td>
<td>0.45</td>
</tr>
<tr>
<td>F</td>
<td>0.8</td>
<td>0.4</td>
<td>0.347</td>
<td>0.315</td>
</tr>
<tr>
<td>G</td>
<td>0.8</td>
<td>0.8</td>
<td>0.266</td>
<td>0.276</td>
</tr>
<tr>
<td>H</td>
<td>0.8</td>
<td>1.2</td>
<td>0.162</td>
<td>0.150</td>
</tr>
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</table>

Table 1 Comparison between first phase of the observed excess pore pressures and those computed from elastic theory.
The consequences of the departure from the simple case of axial symmetry is illustrated for the case of piezometer G in Fig.7. From Poulos and Davis (1974) it is possible to calculate the increments of stress shown in perspective in Fig.7a and in elevation in Fig.7b from elastic theory in terms of the applied (circular) surface load $\Delta \sigma$. They are $\Delta \sigma_1/\Delta \sigma = 0.504$, $\Delta \sigma_2/\Delta \sigma = 0.185$, $\Delta \sigma_3/\Delta \sigma = 0.109$, $\Delta \tau_{xx}/\Delta \sigma = 0.204$. The Mohr's circle of stress for the $(r,z)$ plane is shown in Fig.7c, and the principal increments of stress are readily be calculated to be $\Delta \sigma_1/\Delta \sigma = 0.603$, $\Delta \sigma_2/\Delta \sigma = \Delta \sigma_3/\Delta \sigma = 0.109$, $\Delta \sigma_4/\Delta \sigma = 0.086$.

The principal axes of the stress increments are as shown in Fig.7d and do not coincide with those of stress (the principal directions of which depend on the ratio of $\Delta \sigma$ to the initial in situ stresses at point G).

After yield has occurred, which is assumed to coincide with the onset of contained failure, the local distribution of stresses in the vicinity of G can no longer be elastic. It is suggested for want of any experimental evidence that the distribution of the major principal stress increment $\Delta \sigma_1$ remains largely unaffected and that $\Delta u = \Delta \sigma_1$. If this hypothesis is valid then the expected gradient in Fig.3a for the second phase for piezometer G would be $\frac{\Delta u}{\Delta \sigma} = \frac{\Delta \sigma_1}{\Delta \sigma} = 0.603$. This should be compared with an observed value of about 0.5.

3. Application to Field Case of Axisymmetric Loading at Canvey Island

As part of a detailed site investigation for a major oil refinery on a deposit of soft clay at Canvey Island in England, two small circular trial embankments were constructed to simulate the behaviour of the oil tanks. The performance of the embankment was monitored by observations of the settlement of the embankment, and of excess pore pressures recorded by piezometers placed in the ground beneath.
A detailed description of the site and instrumentation is given by George and Parry (1973). The pore pressure responses have been interpreted by Pender, Parry and George (1975) in the light of the theories advanced in the first report. Those papers are appended to this report, and their main findings only will be presented briefly here.

Undisturbed samples of the soft clay were subjected to stress controlled drained triaxial tests with a variety of stress paths in order to establish the yield locus. The results are shown in Figs. 13 and 14 of the first of this pair of reports.

The locations of four piezometers, P1, P5, P7 and P10 are given in Fig. 8. Observed pore pressure changes are plotted in Fig. 9 against changes in vertical total stress $\Delta \sigma_v$ at tip level calculated by finite element analysis using a bilinear model. The response of each piezometer shows the expected pattern of three linear phases, with well defined points of change between the phases. For the first phase of the pore-pressure response the resulting value of the ratio $\Delta u/\Delta \sigma_v$ was 0.5 to 0.6 whereas that predicted from isotropic elasticity would be 1. However this discrepancy may be due to any or all of the following reasons:

(i) the soil may contain gas in the pore water due to the organic matter present in a recent alluvial deposit,
(ii) the soil may behave anisotropically,
(iii) the excess pore pressures will be dissipating during the period of the construction of the embankment,
(iv) the finite element computations are only approximate and are affected by the choice of boundary conditions and distribution of soil parameters within the mesh of elements.

4. Application to Field Case of Plane Strain Loading near Boston, Mass.

A well documented case history for the plane strain situation is reported by D'Appolonia, Lambe and Poulos (1971). The paper reports the evaluation of excess pore pressures measured
under a long road embankment constructed near Boston as part of the Interstate Highway system.

A cross section of the embankment is shown in Fig.10a and piezometer locations in Fig.10b. Full details of the properties of the ground are given in the paper by D'Appolonia et al. A selection of the observed values of excess pore pressure is shown in Fig.11 where the results are plotted against the elevation of the embankment.

All the piezometer readings show two distinct responses. The end of the elastic phase is clearly defined in each case, as the local element of soil (around the piezometer) yields plastically or fails after behaving elastically. It was pointed out in Section 9.3 of the first report that in some cases the pore pressure responses in phases 2 and 3 (i.e. plastic yielding and failure) would be difficult to distinguish. It can be seen that some of the responses in Fig.11 could be three phased, although a third phase is not clearly distinguishable. It is possible then that after completion of the elastic phase the soil did progress through a plastic phase to contained failure without any distinct change in pore pressure response.

D'Appolonia et al have made great efforts to interpret these results and they have considered four different distributions of increment of total stresses. They have also considered various relationships between changes of total stress and of pore pressures. They conclude that for the pre-yield elastic phase the best prediction of pore pressure is given by three-dimensional elastic theory (as applied to the plane strain case) with \( \Delta u = \Delta p \).

A direct comparison of the ratio of measured to predicted pore pressures (which is directly proportional to the gradients of the first phases shown in Fig.11) is given in Fig.12a for many of the piezometers. Those piezometers near the upper sand layer or near the till showed a substantial degree of dissipation due to drainage and were discounted by D'Appolonia et al.

During contained perfectly plastic failure it has been shown that the change of pore pressure \( \Delta u \) is expected to be
equal to the (local) change of vertical total stress $\Delta \sigma_v$ (local). Values of the ratio $\Delta u/\Delta \sigma_v$ for the same set of piezometers were calculated by D'Appolonia et al, and are reproduced in Fig.12b. The values are all greater than unity, but generally close to it. The underpredictions indicate either that, as suggested above, the soil after local yield progresses through a plastic stage before the onset of local failure (a response of $\Delta u/\Delta \sigma_v > 1$ is possible in the plastic phase) or that a small degree of post peak softening occurring in the soil as discussed in Section 9.4 of the first report.

6. Conclusions

The theoretical considerations of pore pressures generated in soft ground by surface loading have been compared with three well documented case histories.

In all three cases - two axially symmetric, one plane strain - the pore pressure responses recorded by piezometers were linearly related to the applied surface loading. As expected the response had two or three stages: an initial elastic phase followed by plastic yielding and/or contained failure.

For the first case of the circular fill at Åsrum, which was studied in detail, the total and effective stress paths were estimated for the locations of two of the piezometers. These paths confirmed that the clay was sufficiently overconsolidated (albeit to a small degree) that the middle phase of work-hardening plastic behaviour was absent.

The pore pressure responses from the Canvey Island tests showed three distinct phases while the responses from the road embankment test at Boston showed two distinct phases, but it is possible that the second phase combines plastic yielding and contained failure.

In detail, the predictions of pore pressures based on isotropic elastic theory generally appear to overestimate the observed values for the elastic phase by between 20-50%. Part
of this discrepancy can be attributed to anisotropy, to incomplete saturation, or to partial dissipation due to drainage.

The predictions of the pore pressure after yield appear to underestimate the observed values by 10-20% since no allowance has been made for strain softening after failure has occurred. In addition the assumption that the distribution of the total vertical stress is unaffected by inelastic behaviour is questionable, and is based on slender evidence. It is possible that complex finite element computations could resolve this doubt.

The concept of a yield locus for undisturbed samples and its use in the interpretation of pore pressures observed in soft ground under surface loading has been confirmed. For engineering purposes, adequate predictions of pore pressures may be made by applying the concepts and theories proposed in the first of this pair of reports.

References


Fig. 1  Idealised response of a typical element of soft clay to surface loading (a) location of element (b) effective and total stress paths (c) pore pressure response to change in vertical total stress in element.
Fig. 2  Ground conditions at Årrum site  (a) soil profiles  
(b) in situ stress conditions.  
(after Höeg, Andersland and Rolfsen, 1969).
Fig. 3  Pore pressure responses at Åsrum 1 and Åsrum 2 sites. (after Höeg, Andersland and Rolfsen).
Fig. 4 Predicted pore pressure response at Asrum 1 site
(a) locations of piezometers (b) idealised equivalent loading (c) elastic pore pressure response for piezometer A related to change in total vertical stress at piezometer tip (d) elastic pore pressure response for piezometer A related to surface loading
Fig. 5 Elastic stress increments on centre line below a uniform circular load.
Fig. 6 Predicted total stress and effective stress paths for piezometers A and E at Asrum I site.
Fig. 7  
Applied stresses at piezometer G at Åsrum 1 site  
(a) a perspective view and (b) an elevation showing total stress increments  
(c) Mohr circle of total stress increments  
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Fig. 8 Soil profile and piezometer locations for Canvey Island loading test (after George and Parry 1973).
Fig. 9  Pore pressure responses for Canvey Island piezometers P1, P5, P7, P10 plotted against calculated value of total vertical stress (after Pender, Parry and George 1975).
Fig. 10 Boston test embankment (a) soil profile (b) location of piezometers (after D'Appolonia, Lambe and Poulos 1971).
Fig. 11 Piezometer responses under Boston test embankment as a function of embankment elevation (after D'Appolonia, Lambe and Poulos 1971).
Fig. 12 Pore pressure changes under Boston test embankment (a) before local yield presented as a ratio of measured to calculated values (b) after local yield presented as a ratio of measured values to values calculated by D'Appolonia, Lambe and Poulos.
Appendix: A

"Field loading test at Canvey Island" by George P.J. and Parry R.H.G.

"The response of a soft clay layer to embankment loading" by Pender M.J., Parry R.H.G. and George P.J.
FIELD LOADING TESTS AT CANVEY ISLAND

P. J. George R. N. G. Parry
Dames & Moore Cambridge University

SYNOPSIS

Field loading tests are being carried out at Canvey Island to provide information for the design of oil storage tanks. The site consists of about 8 m of soft clay overlain by dense sand. Two circular embankments were constructed of 30 m diameter and with a planned height of 8.6 m. Sandbags were placed under one bank only, to determine their value in accelerating pore pressure dissipation. Instrumentation in the soft clay underlying the embankments includes three separate methods of measuring settlements (the results of which are compared) together with inclinometer tubes and pedestal points.

The writers' experience regarding contract problems, usefulness of instrumentation and an evaluation of cost and benefit are also recorded.

INTRODUCTION

It is proposed to construct the Thames Oil Refinery on an undeveloped soft soil site, adjacent to the River Thames at Canvey Island, Essex. The refinery will have a total storage capacity of 1,029,000 m³ for crude oil and petroleum product (120,000 barrels per day).

If local practice were to be followed, high expenditure would be incurred in piling to support the tanks. However, it should be possible to construct limited height ground supported tanks, providing reasonably long water pre-loading programmes are scheduled. Economic and production considerations put a limitation on the maximum period of pre-loading, and thus emphasised the need for confident foundation recommendations, if such a scheme is to be adopted.

A preliminary site investigation has shown that the upper 7 m of soil were soft, highly compressible and slow draining, but uniform in thickness and characteristics.

To study the feasibility of the scheme, a comprehensive site investigation was put in hand, which included the construction of two comparative embankment load tests.

This paper describes the application of field instrumentation to these trial fills to establish basic design criteria, and to design a full scale tank monitoring scheme. Commercially manufactured instrumentation systems were used throughout.

The embankments were constructed to study the effect of vertical drains as a method of ground treatment. Embankment No. 1 was constructed to a maximum height of 7.1 m without ground treatment. Embankment No. 2 was taken to 8.6 m when a slip occurred. The ground under this embankment was treated with 8.0 m long, 60 mm diameter sandbags (Castiglione and Gupta 1962) installed at 1.5 m and 2.0 m spacing.

The embankments were constructed as circular structures having base diameters of 30 m, and were separated by 4.5 m. Circular loading was adopted to simplify analysis (axisymmetric conditions) and simulate tank foundations. In addition a considerable saving in fill material was achieved over equivalent width loads adopting square or rectangular constructions.

If ground treatment were to be recommended as a result of the trials the possibility of using Klimek paper drains was to be considered as an alternative to sandbags.

In view of the proposed refinery production programme it was decided that the crude tanks (10 No. 70 m diameter by 22 m high) should be pile supported. The reduction in benefit resulting from this is discussed below under Cost Benefit Evaluation of Field Trials. The remaining tanksage holding the refined products would consist of either 22 piled tanks, or 34 earth supported tanks, having capacities ranging from 10,000 to 50,000 m³, depending on the results of the embankment load tests.

COST BENEFIT EVALUATION OF FIELD TRIALS

The following cost benefit analysis is based on current tank construction contractors' prices used on similar projects in Europe. The same quoted, therefore, bear no relationship to the subject project, except insofar as they indicate the magnitude of benefits derived from the engineering studies under discussion.

Regarding steel erection costs, attention is drawn to the old rule: the taller the tank the cheaper it is. This tends to
divulge the benefits of constructing smaller 24 ft diam. tanks. However, the sums involved are small compared with the difference in foundation costs, as illustrated in Tables 1 and 2.

<table>
<thead>
<tr>
<th>Table 1</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Tank Type</strong></td>
</tr>
<tr>
<td></td>
</tr>
<tr>
<td>Single 42 ft.</td>
</tr>
<tr>
<td>Double 21 ft.</td>
</tr>
<tr>
<td>Modulo</td>
</tr>
</tbody>
</table>

Notes:
1. All tanks 24 ft. high, earth supported.
2. All tanks 24 ft. high, ground supported.
3. All tanks 24 ft. high, earth supported, with a 5 ft. protective layer of concrete.
4. All tanks 24 ft. high, ground supported, with a 5 ft. protective layer of concrete.
5. All tanks 24 ft. high, ground supported, with a 5 ft. protective layer of concrete.
6. All tanks 24 ft. high, ground supported, with a 5 ft. protective layer of concrete.

Table 2

<table>
<thead>
<tr>
<th><strong>Tank Type</strong></th>
<th><strong>1971</strong></th>
<th><strong>1972-73</strong></th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Tank</td>
<td>Hire</td>
</tr>
<tr>
<td></td>
<td>Cost</td>
<td>Cost</td>
</tr>
<tr>
<td></td>
<td>£1000</td>
<td>£1000</td>
</tr>
<tr>
<td>A. All tanks 24 ft. high</td>
<td>2000</td>
<td>2000</td>
</tr>
<tr>
<td>B. All tanks 24 ft. high, ground supported</td>
<td>2000</td>
<td>2000</td>
</tr>
<tr>
<td>C. All tanks 24 ft. high, ground supported, with a 5 ft. protective layer of concrete</td>
<td>2000</td>
<td>2000</td>
</tr>
<tr>
<td>D. All tanks 24 ft. high, ground supported, with a 5 ft. protective layer of concrete</td>
<td>2000</td>
<td>2000</td>
</tr>
<tr>
<td>E. All tanks 24 ft. high, ground supported, with a 5 ft. protective layer of concrete</td>
<td>2000</td>
<td>2000</td>
</tr>
</tbody>
</table>

A range of savings from £1,380,000 to £1,010,000 can be shown by comparing the actual construction cost of various methods of treatment with the all-piled case (Scheme A). However, since the proposed production schedule requires the immediate use of the crude tanks, it was decided that they should be piled supported in any case. This reduces the savings accordingly, and in particular brings the maximum end of the range to £330,000.

Estimated costs of providing those savings are as follows:

1. Test embankment cost, including engineering supervision, fill, plant hire, instrumentation and contract labours, less salvable instrumentation and fill from embankments ........................................ £22,000
2. Installation of instrumentation at tank sites ........................................ £15,000
3. Supervision of installation and monitoring of tanks ................................... £33,000
   (£24,000)
4. Estimated costs of more sophisticated engineering, field and laboratory studies associated with earth supported tank design, over that for pile design analysis ........................................ £15,000
5. Possible re-leveling costs assuming all 34 tanks need to be re-levelled once (Estimated cost of re-leveling one 50 ft diameter tank £5,000) ........ £150,000
   (£109,000)
6. Interest on capital investment in production tanks for six month non-productive period during water testing programme ........................................ £150,000
   (£188,000)

Total cost: £425,000
   (£472,000)

Note: Additional amount assuming crude tanks are also earth supported.

Therefore, assuming the more costly form of ground treatment (a nominal saving of £5,000 is possible. More likely, however, a Kivell and paper drain system would be adopted saving some £25,000; or in the no ground treatment alternative, £375,000. Similarly it can be estimated that a maximum saving of £783,000 could be achieved if production schedules allowed all tanks to be earth supported, without ground treatment.

Expense No. 6 above emphasizes the value of careful monitoring and pre-engineering, that is a saving in time during tank testing can realise a substantial saving in finance.

Further saving could be achieved if temporary P.V.C. tank bases were to be utilised during water testing. This possibility is at present being studied.

It should also be noted that for any set of tanks, the total volume of crude and product, if spilled, must be retained within fire walls, having a statutory minimum height. Therefore leak rates associated with the tank farm are virtually
constant for a given refinery capacity at a given location
regardless of the foundation solution.

SITE CONDITION

The site of the proposed refinery is situated in relatively
flat terrain, protected from flooding by dykes along its
southern boundary. The surface elevations at the site range
from 0.5 m to 2 m O.D. The sea protection dyke at present has
a crest elevation of 6 to 7 m O.D., but is to be heightened
to in excess of 7 m, as part of the Thames Flood protection
scheme. The site is drained by a large number of natural
and excavated channels which discharge via tide gates into
the Thames.

The test site was chosen on uniform soft soil strata of
typical thickness and close to a plentiful and inexpensive
source of fill. The subsurface conditions of the test site
were investigated by sampling soil from test pits, borings
(including one 110 mm diameter borehole for 314 mm piston
sampling); Dutch continuous sampling; Dutch Deep Sounding;
and in-situ vane testing. Constant head field permeability
tests (Gilchrist 1963, 1966 and 1970 and Wilkinson 1968) were
also performed at the site.

In general, Table 3 summarizes the subsurface conditions.

Fig 1 shows the soil state below embankment No. 1

FIELD AND LABORATORY TESTS

The following tests were performed on the soft soil strata:

1. Consolidation tests on samples 75 mm diameter, 10 mm thick.
2. 24-9 cm diameter consolidometer tests.
3. Consolidated undrained triaxial compression tests with
   pore pressure measurement on samples, 60 mm diameter,
   10 cm high.
4. In-situ constant head permeability tests.

Computed permeabilities from the 24-mm laboratory consol-
didation tests are shown to be in the range 1.4 to 2 x 10^-6 cm
per second for vertical permeability and 1.3 to 36 x 10^-6 cm
per second for horizontal permeability. Field permeability
tests gave a range of 2.0 to 4.2 x 10^-6 cm per second.

Fig 2 Subsurface conditions: Embankment No. 1

SITE PREPARATION AND FILLING

The proposal to construct the embankment load test was
approved by mid-February 1972. Although cost estimates for
instrumentation and filling had already been obtained,
installation did not proceed until late March. Instrument
installation was completed at embankment site No. 1 on
22nd April, whence filling proceeded.

The sandwich treatment of embankment site No. 2 was carried
out between 17th April and 1st May and the remaining
instrumentation was completed by 4th May ready to begin
filling on 8th May. This indicates the minimum time for
doubtful that it would have been used for tank monitoring.

Mole Settlement Gauge. The mole settlement gauge was potentially the most useful item of instrumentation included in the embankment load test, as it can give a complete profile of settlement immediately beneath a loaded area. This is particularly important when considering its application in storage tank loading programmes, where tank bottom shape is of critical importance; it is normally stress of base plates, which heralds tank failure. Efforts have not been possible to measure settlement of tank shells, and isolated points below tanks using systems such as the hydraulic or mercury settlement gauges. Profiling of the base plates can normally be achieved only by levelling within an empty tank, or by using diver inspection during water testing.

The basic design of the mole is due to Berghahn and Brons (1967) and a primitive version was manufactured by the writers for tank loading tests at Tilbury (Ferry 1973).

The commercially manufactured system used in the present tests consists of a flexible access tube, which is placed in a trench below the loaded area, and a probe (the mole) which is inserted into the access tube. The instrument measures settlement at any number of points across the profile, relative to a concrete datum block. The probe contains a blander connected to an electrical transducer by a nylon tube filled with liquid (water). The transducer is located in a recorder box which rests on the datum block. Change in negative pressure in the transducer is converted to an electrical signal, and is indicated on a direct reading meter as a difference in elevation between datum and probe.

Three flexible access tubes disposed at equal horizontal angles were placed under each embankment in the base of sand-filled trenches approximately 0.6 m deep. The tubes crossed at the centre and were connected at both ends into 0.5 m square 0.3 m thick datum pads. It can be seen in Fig 4 that settlements recorded by the mole were larger than recorded by the other two measuring devices.

It was found that small fluctuations in temperature caused the system to give errors of up to 70 percent during the early stages of loading, when the settlements were less than 0.2 m. It was necessary to lay the tube on the ground before insertion, exposing it to atmospheric conditions. It proved to be very sensitive to the sun's heat, and to reduce errors, a policy of night time operation was adopted. An obvious improvement would be to use a probe filled with a smaller coefficient of expansion.

Mole readings could be obtained from all access tubes below embankment No. 1 until the slip occurred. After the slip, one of the access tubes which had passed through the slip zone had been used only 0.3 m above ground level in the heave zone between the toe of the bank and the datum block. From the other end it could only be penetrated 1.9 m to the centre of the bank. Another tube passing through the slip zone could be penetrated for a distance of 1 m from the heave zone end, and 0.5 m from the other end. The datum block in the heave zone in this case moved out radially by about 0.5 mm. The third access tube, which appeared not to pass through the slip zone, could be probed 1.9 m from either end to the centre of the bank, but not beyond this point. The centre settlement at this time was about 1.25 m.

Even so, the system has continued to give further information with respect to the continuous settlement of the embankment, while all other methods have failed. Fig 3 shows typical settlement profiles derived from use of the mole for both embankments, and can be compared with full loads shown in Fig 2.

Borehole Settlement Gauge. The system is described by Burleson Moore and Smith (1971) and consists of magnetic rings set in a borehole at the levels where settlements are to be measured. The magnetic rings were set in short lengths of rigid PVC cylinder which were spring-loaded against the side of the borehole and surrounded a central PVC access tube. A probe containing rod switches was lowered down the access tube to determine the depth of each magnetic ring. An audible signal indicates rod switch actuation. The borehole was backfilled with cement/concrete mix after placing the units.

This equipment was installed only below embankment No. 1 to obtain additional information regarding settlement at various strata; its design would obviously prohibit its use beneath tank foundations.

This system of settlement measurement was certainly the simplest and quickest to operate and potentially the most accurate of the three. However, the design of the system adopted was not considered ideal for the soft clay conditions.

Problems encountered were:

1) When settlements at the surface reached about 2.5 m, the large movements and straining of the fill caused the PVC access tube to distort, preventing probe entry. Thus the readings for the instruments shown in Fig 4 terminated before the fill had reached full height; and

II) Certain of the sprung PVC magnetic ring units
appeared to jam against the probe access tube, and slip relative to the soft sides of the borehole.

These limitations might be overcome by using telescopic access tube and magnetic units with mechanical anchors, which penetrate further into the borehole wall.

Pore Water Pressure Measurement - Piezometers

All piezometers were of the type described by Wilkes (1970); basically a simple Casagrande piezometer tip designed to be pushed into soft and loose soils at the base of a borehole. A few of the piezometers (1P1, 1P4, 1P6 and 1P8) were placed in sand cells at the bottom of boreholes. All boreholes were sealed with bentonite/cement grout. The piezometers were each connected to a double line mercury manometer pressure measurement system. All piezometers were installed below the water table.

The in-situ constant head permeability tests referred to above were performed at three piezometers installed midway between the two embankments at depths of 3.5, 5.0 and 7.0 m.

It was found that the system required frequent de-airing, in particular during the early stages of loading, when piezometric levels were below the header tank level, which established at 3.32 m O.D. However, once the pore pressures had increased to a level corresponding to the header tank, little or no de-airing was necessary. Prior to this pressure being attained, de-airing was necessary after about every tenth reading.

A general rule regarding the need for de-airing was enforced such that: i) manometers were de-aired if air bubbles could be detected visually; and ii) piezometers were de-aired if the difference between the two manometer readings was in excess of 10 mm.

Fig 4 shows changes in pore pressure at 1P1, 1P2, 2P1, 2P2, and also height of fill, both plotted against time in days. Plots of excess pore pressure against fill height are shown in Fig 5.

The ground pressure is not a linear function of fill height, because the amount of fill placed per unit of height decreases. The ratio d/h of excess pore pressure to increase in major principal stress (from elastic stress distribution) of these piezometer tips for fill heights of 3 m and 6 m is given below:

<table>
<thead>
<tr>
<th>Piezometer</th>
<th>1P1</th>
<th>1P2</th>
<th>2P1</th>
<th>2P2</th>
</tr>
</thead>
<tbody>
<tr>
<td>Fill Height (m)</td>
<td>3</td>
<td>3</td>
<td>6</td>
<td>6</td>
</tr>
<tr>
<td>d/h</td>
<td>.60</td>
<td>.63</td>
<td>.75</td>
<td>.62</td>
</tr>
<tr>
<td></td>
<td>1.0</td>
<td>.6</td>
<td>.73</td>
<td></td>
</tr>
</tbody>
</table>

It can be seen that the ratio d/h increases with fill height as expected for a slightly overconsolidated clay.

The piezometer system was simple to operate but time consuming and appeared to give consistent and reliable data.

The large strains associated with the slip in embankment No. 2 broke the piezometer leads and put them out of action. The maximum settlement at this time was 1.5 m. Fig 5 shows that no clear indication of the imminent slip in embankment No. 2 was recorded by the piezometer system.

![Figure 4: Fill Height, Settlement and Excess Pore Pressure Versus Time](image)

Lateral Earth Deformation Measurement - Inclinometer

A precision type inclinometer with digital direct reading unit was employed to measure the lateral deformation of substrate. The torpedo was 5.7 m in overall length and ran on four keyways inside 80 mm internal diameter aluminium access tubes, grouted into 0.15 m diameter boreholes. The results from this instrument were considered adequate and its operation straightforward, but there were periods when the instrument was out of operation due to failure, arising in some measure from operator inexperience.
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Fig 5 Excess pore pressure versus fill height
Fig 6 Lateral movements of settlement 251

INSTRUMENT READING AND REDUCTION TIMES
Table 5 indicates the time required by a technician for data collection and reduction for each instrument. Reduction times are based on hand methods; computerization would reduce them by 70 percent.

<table>
<thead>
<tr>
<th>Instrument</th>
<th>Method A (Min.)</th>
<th>Method B (Min.)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Instrument 1</td>
<td>30</td>
<td>50</td>
</tr>
<tr>
<td>Instrument 2</td>
<td>30</td>
<td>50</td>
</tr>
<tr>
<td>Instrument 3</td>
<td>30</td>
<td>50</td>
</tr>
<tr>
<td>Instrument 4</td>
<td>30</td>
<td>50</td>
</tr>
<tr>
<td>Instrument 5</td>
<td>30</td>
<td>50</td>
</tr>
</tbody>
</table>

Instruments 1-5 have 30 a length, one reading each month. Table (all a length, reading ends 2 of

EXTRACT FROM FULL TEXT

DISCLAIMER

The commercial instrumentation used for the trial loading test has, in general, proved satisfactory in producing results of the required accuracy. A particular advantage of the embankment test has been the opportunity to experiment with various systems, particularly settlement instruments, to enable suitable instruments and location of instruments, to be chosen for monitoring tasks. As a result of these trials, one instrument originally intended to be used for this purpose have been found to be either unnecessary or unsuitable and the trials have unquestionably led to a saving of time and money.

ACKNOWLEDGEMENTS

The study reported here was commissioned by Occidental Refineries Ltd. The direction of Mr. N. H. Stubbings of that firm is especially acknowledged.

REFERENCE LIST

The Response of a Soft Clay Layer to Embankment Loading

by

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and

P. J. GEORGE, B.Sc.
Eng. R.C., Lloyds Register of Shipping, formerly with Dames & Moore, London, U.K.

SUMMARY. The pore pressure response of a soft clay layer subjected to embankment loading is interpreted. Good qualitative agreement is found between the observed pore pressures and those expected on the basis that a lightly overconsolidated clay will exhibit a well-defined yield locus. This concept leads to the prediction of a three-part response corresponding to elastic behaviour, yielding, and contained failure. The observed pore pressures were compared with total stresses calculated by a non-linear elastic finite element analysis.

1 INTRODUCTION

At Canvey Island in Essex a major U.K. oil refinery is to be constructed at a site adjacent to the mouth of the River Thames. This paper describes some aspects of the interpretation of the behaviour of one of two small trial embankments constructed as part of the site investigation.

In particular it was desired to examine the observed pore pressure response in terms of some modern ideas about the behaviour of soft clay. Critical state soil mechanics provides a consistent set of concepts relevant to the stress-strain behaviour and pore pressure response of soils. Schofield and Wroth (Ref. 1) These make it possible to predict the general features of the immediate pore pressure response in a field loading situation. The qualitative validity of this prediction is investigated here.

The pore pressure behaviour was measured with hydraulic piezometers installed at several positions beneath the embankment. These observed pressures were related to the calculated changes in vertical stress at the piezometer locations. This stress distribution was determined with a finite element program capable of performing non-linear elastic analysis. Much of the input data for the computer runs was obtained from in-situ tests with the Caskometer, as described in a companion paper (Ref. 2).

Foundations for the product tanks at the refinery could be either pile or earth supported. As there were clear economic benefits for the alternative without piles two trial embankments were constructed to simulate the task load. These were circular with 30 m base diameter and 11 m side slopes, and constructed from compacted granular fill. A more detailed description of the site and instrument details is given by George and Parry (Ref. 3), along with a useful discussion of the economics of such an investigation and comments on the performance of the various instruments.

2 BACKGROUND

A central feature of the present interpretation is the concept that a lightly overconsolidated clay will exhibit a yield locus. Because of the overconsolidation the in-situ stress state will be within the locus and hence, initially, the soil will show an elastic response to additional loading. The locus represents the boundary of all the stress states for which the soil is assumed to behave elastically. As such it represents a generalization of the preconsolidation concept.

After the stress path engages the yield locus, plastic strain becomes dominant and the pore pressure response much more significant. As the stress path moves outward the soil work hardens and the yield locus is expanded. These ideas are illustrated in Fig. 1.

![Fig. 1 Yield locus and pore pressure response](image)

The yield locus concept leads to the suggestion that the pore pressure response of a lightly overconsolidated clay under field loading will exhibit three distinct phases. Firstly there is an initial elastic response for stress paths within the yield locus. Secondly when the stress path engages the yield locus there should be a fairly sharp steepening in the pore pressure response curve accompanying the plastic deformation. Finally in undrained loading an element of soil may...
reach contained failure, so that no further shear stress can be sustained by that particular piece of soil. Thus any additional stress increment must be isotropic and balanced by an equal change in pore pressure. This means that the pore pressure increase during contained failure will be less rapid than that when yielding is occurring. However, Wright (Ref. 4) has suggested that there may be some soils in which the rate of pore pressure build up for the second stage is the same as that for the final stage, so the second sink in the pore pressure response curve is not always observed. This might explain why Dappolonia et al (Ref. 5) and Hoey et al (Ref. 6) observed a pore pressure response with only one abrupt change in slope.

In interpreting the response a suitable variable must be chosen against which to plot observed pore pressures. It was decided to use the calculated vertical stress induced by the embankment load. This stress component was selected because another study, Hoey et al (Ref. 7) has found that this stress component is not greatly affected by non-linear material properties (at least for the case of uniform pressure loading). Also the vertical total stress increase has traditionally been used as a gauge of pore pressure response.

3 SITE CONDITIONS AND SOIL PROPERTIES

Fig. 2 gives a brief log of the soil profile along with the Atterberg Limits and in-situ water content. More detailed information is given in Ref. 3. In-situ shear strength, horizontal effective stress and undrained stiffness data, all determined with the Casemanometer, are given in a companion paper, Hughes et al (Ref. 2). Beneath the trust there is a uniform increase in strength, horizontal effective stress and undrained stiffness with depth. This trend is not apparently affected by the change in material type at a depth of approximately 6 m.

![Fig. 2 Subsurface conditions](image)

Dutch penetrometer probing shows a very substantial increase in resistance at a depth of 10 m. Thus in the following analysis the soil profile is idealised as a 10 m layer resting on a rough rigid base.

Undisturbed samples, 54 mm in diameter, were taken with a Geonor piston sampler. A number of triaxial specimens, 54 mm in diameter, were prepared from a sample taken between 3 and 4 m depth. These were subjected to stress controlled drained triaxial tests with different stress paths so that the yield locus might be determined. Yielding was presumed to have occurred when a break was observed in the stress-strain curve. It is of interest to note that the same yield stress was determined with respect to volumetric and distortional strains. Small stress increments were applied and left in place until volume change had almost ceased. Per-pre-yield load increments this required 1 to 2 days and for post-yield increments 4 to 6 days. The specimens had a height to diameter ratio of unity and lubricated end platens. The cell fluid used was a silicone oil. A back pressure of 200 kPa was applied to ensure saturation. The results of one of the tests and the resulting yield locus are given in Figs. 3 and 4 respectively.

![Fig. 3 Result of conventional drained triaxial test](image)

![Fig. 4 Yield locus from triaxial tests](image)

4 FIELD RESULTS

The field results from four selected piezometers (at locations indicated in Fig. 6) were examined and the undrained response (i.e. the summation of changes in piezometer readings on the application of load increments) is plotted against embankment height in Fig. 5. It is encouraging to note that this plot suggests three separate phases in the pore pressure response curve.
5 Finite Element Analysis

The stresses induced in the soil layer by the construction of the trial embankment were calculated by finite element analysis. The program used was that described by Hollingworth and Raymond (Ref. 8). It performs a non-linear elastic analysis by modifying the element modulus so that a specified stress-strain curve is followed. The data input allows for a variation in Young's modulus with stress, but a constant Poisson's ratio. Any point on the curve is modelled by calculating an equivalent secant modulus. New element moduli are calculated between iterations and the analysis repeated until an acceptable solution is reached. The stress on which the non-linearity is based is the maximum principal stress difference, any effect of the intermediate principal stress is not considered.

The finite element mesh is given in Fig. 6. The locations of the four piezometers of interest are also shown in this diagram. The modelling of the embankment building process was done by manually changing the properties of successive rows of embankment elements between runs of the program. The elements above the current construction level were present in the mesh but were allocated no weight and very small stiffness.

![Fig. 6 Finite element mesh](image)

The foundation material was divided into 10 equal layers with different properties, as set out in Table I.

<table>
<thead>
<tr>
<th>Material</th>
<th>E (kPa)</th>
<th>μ</th>
<th>Y (kN/m²)</th>
<th>Cu (kPa)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Embankment</td>
<td>0.2</td>
<td>0.20</td>
<td>14.7</td>
<td>-</td>
</tr>
<tr>
<td>Crust (0-1 m)</td>
<td>2.0</td>
<td>0.20</td>
<td>-</td>
<td>0.25</td>
</tr>
<tr>
<td>Crust (1-2 m)</td>
<td>0.8</td>
<td>0.48</td>
<td>-</td>
<td>0.25</td>
</tr>
<tr>
<td>Soft clay (2-3 m)</td>
<td>0.6</td>
<td>0.48</td>
<td>linear increase to</td>
<td>linear increase to</td>
</tr>
<tr>
<td>Soft clay (3-4 m)</td>
<td>1.5</td>
<td>0.48</td>
<td>-</td>
<td>0.22</td>
</tr>
</tbody>
</table>

The undrained stiffness and in-situ stresses for the soft clay layer used in deciding on the input data for the computer calculations were those determined with the C·meter. The undrained strengths were derived from the vane strength results. There was no data available for the stiffness of the crust and embankment material. Reasonable values were adopted for the modulus of the crust material. In the case of the embankment some preliminary F.E. calculations suggested that almost all of the material would be at or near failure, thus a rather low modulus was adopted. The soft clay was modelled as a bi-linear elastic material. Some initial F.E. calculations suggested that the soil beneath the embankment first yields when the shearing stress is about half way between the in-situ and failure values. Thus the initial modulus determined from the C·meter results was specified for shearing stresses up to the mean of the in-situ and failure values. From this point to failure the modulus was reduced to one third of the initial value. This gives a strain at failure the same as that observed with the C·meter. After reaching peak strength the C·meter tests showed that the soil exhibited strain softening, but in the F.E. calculations the failure shear stress was assumed to be maintained indefinitely once the element had reached failure. The shape of the various stress-strain curves is shown in Fig. 7. The water table was assumed to be at a depth of 1 m, hence the differing properties of the 2 layers of crust. The incompressibility of the foundation material was modelled by setting Poisson's ratio to 0.48.

![Fig. 7 Stress-strain curves for F.E. analysis](image)
In Fig. 8 the observed undrained pore pressure response for the four piezometers under discussion is plotted against the calculated total vertical stress increase due to the embankment load at the piezometer location. Each response is seen to consist of three well defined linear portions as anticipated in section 2.

Fig. 8 Observed pore pressures against calculated vertical stress increase

In Fig. 9 the stress paths for piezometers IP1 and IP5 are plotted. The path calculated by the F.E. analysis gives total stress, the inferred effective stress path is then found by plotting the observed pore pressure values on the diagram using the total stress path as datum. Also included on the diagram for piezometer IP1 is the total stress path for a linear elastic analysis. An effective stress failure envelope for $c' = 0$ and $\phi' = 25^\circ$ is included in the diagram, these values were obtained from triaxial tests on the soil.

Fig. 9 Stress paths at piezometers IP1 and IP5

6 DISCUSSION

The following points merit brief comment:

(a) The finite element calculations did not attempt to eliminate any tensile stresses or to ensure that stresses in the embankment material lie within a Mohr-Coulomb failure envelope. Examination of the element stresses revealed that tensile stresses were set up in the foundation material, but these were rather smaller than the in-situ stresses. The stresses within the embankment elements were generally found to lie within a failure envelope defined by $c = 10$ kPa, $\phi = 45^\circ$, values thought to be reasonable for a compacted granular material. The major exception to this were some radial tensile stresses, up to 20 kPa, in the bottom two metres of the embankment.

(b) The yield locus was determined in triaxial stress conditions whereas the field stress conditions are more complex. A measure of the deviation of the yield stress conditions from triaxial conditions is the angle, in the $r$ plane, defined as $\tan^{-1} (\sqrt{(e_2 - e_3) / (e_1 - e_3)})$. This gives the angle between the $e_1$ axis and the projection of the principal stress vector on the $r$ plane. This angle remained fairly constant (within $5^\circ$) for a given element as the embankment height increased, and also before and after the non-linear analysis. At the location of piezometer IP1 it was $-18^\circ$, at IP7 $-20^\circ$ and at IP10 $-24^\circ$ (the minus sign signifies that the angle was towards the $e_2$ axis from the $e_3$ axis). Thus the field stress conditions in the region of interest do not deviate much from those for triaxial compression and so the yield locus determined in the laboratory is of relevance to the field behaviour.

(c) The first kink in the pore pressure response curve, Fig. 8, corresponds approximately with the intersection of the inferred effective stress paths and the yield locus, Fig. 9. Likewise for the onset of contained failure. However the initial part of the inferred effective stress path suggests that $k_n / k_w$(p) is 0.3-0.5 compared with 1.0 for an isotropic elastic soil. D'Appolonia et al. (Ref. 5) and Hoeg et al. (Ref. 6) found this value to be about 0.8. This difference may well be due to anisotropy in the soil and perhaps to some extent the boundary conditions in the present problem.

The rather erratic behaviour of the final part of the inferred effective stress path may be a consequence of the fact that the F.E. analysis did not consider the plastic softening, it assumed a peak strength. The observed pore pressure response can be linear with the peak strength, but the F.E. analysis predicts higher values of the initial stress for the final loading stages. This can explain why the final part of the effective stress path moves away from the failure line, and also why the third stage of the pore pressure response curves in Fig. 8 does not have a slope of unity as implied in section 2.

A further aspect of this neglect of strain softening in the F.E. analysis is manifested in the decision to use the same strength rather than the Camcooker peak values. Some initial calculations were performed with the Camcooker strengths, but the resulting shape of the inferred effective stress paths was not satisfactory.

(d) The embankment load was applied gradually by some consolidation, with consequent changes in soil properties, must have occurred. Examination of the
amount of dissipation at the various piezometers reveals that in the soft clay layer between 2 m and 6 m, pore pressures dissipated rather slowly, whereas those in the siltsier material beneath 6 m dissipated much more rapidly. Thus at day 150, when the embankment height reached 7 m, the dissipation at piezometer P1 was 2% and that at P5 10%, whilst at day 120 (when 10 m was reached) the dissipation were 4% and 5% respectively. The four piezometers selected for the above comparison were located in the clay layer with the above relatively slow rate of dissipation.

The effect of the non-linearity and contained failure on the required stresses is of interest. Fig. 10a has the Mohr circles of stress at the position of P1 when the embankment height had reached 10 m, for a linear elastic solution and that with yield and failure included. Fig. 10b has the same information at the position of P5 with the embankment height at 7 m. It is seen that the most significant effect of the non-linear behaviour is to substantially reduce the major principal stress, $\sigma_1$, with a rather smaller reduction in the other principal stresses.

The above comparison between observed pore pressure response and calculated stress changes seems to justify qualitatively the validity of the three-stage pore pressure response under field loading of lightly overconsolidated clay. The pore pressure response curves, Fig. 8, show three well defined linear portions and the inferred effective stress paths, Fig. 9, show an onset of yielding and contained failure that corresponds reasonably well with the pore pressure response.

The various aspects of the back-figuring process fit together fairly well, but qualitative conclusions only can be reached because so many features of the stress calculation are based on plastic simplifications of the likely response of the soil. Quantitative calculations would require a more appropriate constitutive relation for the soil, in which the yield locus and plastic deformation were correctly accounted for rather than the crude bi-linear, elastic model. Also the softening after peak strength and perhaps consolidation behaviour would need to be included.

8 ACKNOWLEDGMENTS

This work was done whilst the first named author was a visitor to the Engineering Department at the University of Cambridge. Financial support received from the U.K. University Grants Committee and from a research contract funded by the U.K. Building Research Station is gratefully acknowledged.

The field measurements and much useful background information were provided by Dames and Moore, London. The field studies were commissioned by Occidental Refineries Limited.

The interest and support of Dr C.P. Wroth of Cambridge University Engineering Department is gratefully acknowledged.

9 REFERENCES


In accordance with FR 70-2-3, paragraph 6c(1)(a), dated 15 February 1973, a facsimile catalog card in Library of Congress format is reproduced below.

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Pore pressures in soft ground under surface loading; interpretation of field records, by R. H. G. Parry and C. P. Wroth, University of Cambridge, England. Vicksburg, U. S. Army Engineer Waterways Experiment Station, 1976.

1 v. (various pagers) illus. 27 cm. (U. S. Waterways Experiment Station. Contract report S-76-10)


Includes bibliography.


TA7.W34e no.5-76-10