Estimating Hybridization of Transition Metal and Oxygen States in Perovskites from O K-edge X-ray Absorption Spectroscopy

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3 Supporting Information

ABSTRACT: The interaction between the transition metal 3d and the oxygen 2p states via hybridization underpins many of the phenomena in transition metal oxide materials. We report the empirical trend of this interaction using the pre-edge feature of the O K-edge X-ray absorption spectrum. Our assessment method is built on the dipole approximation and the configuration interaction between the transition metal 3d and the oxygen 2p states. We found that hybridization increases with the number of 3d electrons, consistent with the expected electronegativity trend. We support this analysis with density functional calculations, which reveal a systematic increase in the transition metal 3d and the oxygen 2p state mixing with increasing 3d-electron number. Oxidation of the transition metal was also found to increase hybridization, which we believe reflects the reduced transition metal 3d and oxygen 2p energy difference, causing increased covalency. We compare the analysis from the surface-sensitive electron-yield and the bulk-sensitive fluorescence-yield spectra, revealing that either method can be used to study the hybridization trend. We finally compare and discuss the influence of the lanthanide ions and the influence of the covalency on oxygen electrocatalysis. Our study describes an efficient and simple approach to understand the hybridization trend in transition metal oxides, which has considerable implications for electrochemical energy conversion processes.

INTRODUCTION

The interaction between the transition metal 3d and the oxygen 2p states has received substantial attention as a phenomenon underlying many transition metal oxide (TMO) properties, including metal-to-insulator transitions,† intercalation potentials,‡ and optical† and magnetic§ behavior. Active in a variety of TMO crystal families, including perovskites,†‡–⊥ spinels,§ pyrochlores, and layered compounds, the importance of hybridization has been discussed both experimentally and theoretically‡ as an explanation to many bulk and interface effects.‡⊥ Recently, hybridization has also been reported to play a critical role in many energy storage technologies, where it appears to decrease lithium intercalation voltages‡ and increase the activities of oxygen electrocatalysts.‡⊥

Oxygen 1s ("O K-edge") X-ray absorption spectroscopy (XAS) †‡–⊥ is one technique that can reveal the hybridization of the transition metal and the oxygen states in oxides. More specifically, the O K-edge XAS takes advantage of the first-order transition from the O 1s to O 2p state to selectively measure the density of unoccupied states with O 2p symmetry ("O 2p holes").†‡–⊥ This selective excitation is a result of the dominating dipole transition matrix elements, which obey a selection rule of Δl = ± 1, so only the unoccupied states with O 2p character are probed in the measurement. Therefore, the spectral intensity can reveal the fraction of O 2p character that mixes with transition metal 3d states (and, thus, the electron sharing between O 2p and transition metal 3d states).

This advantage of the O K-edge XAS has been utilized by de Groot et al.,† who has worked with other researchers near the threshold of the O K-edge XAS collected from a number of binary oxides to the hybridization between O 2p and transition metal 3d states. Unfortunately, the oxides examined in this study contained different transition metal valence states and/or crystal structures. This leads to ambiguities in assessing the influence of the valency of a given transition metal ion and the number of d-electrons for a given valency on the hybridization. Comparing early transition-metal oxides of higher metal valency with late-transition-metal oxides of lower valency, the authors report that the lower prepeak intensities reflect the diminishing hybridization with the number of d-electrons. This
trend is in contrast to the findings from X-ray photoemission spectroscopy studies of transition metal oxides and sulfides.\textsuperscript{18,19} In these studies, the charge-transfer gap has been found to decrease with minimal change in the transfer integral, which suggests increasing hybridization in going from the earlier to the later of the transition metal series. This motivates the need for more systematic investigation of the chemical trends in metal–oxygen hybridization.

We report a comparison of the degree of transition metal 3d−O 2p hybridization from O K-edge XAS of structurally similar perovskites having formula of AA′BB′O₃ (A and A′ are rare-earth and/or alkaline earth metals, B and B′ are 3d transition metals, and O is oxygen). We apply a similar approach that was reported by de Groot et al. on structurally similar perovskite model compounds. Although several studies have examined the influence of A-site and B-site substitution on the degree of mixing between O 2p and transition metal 3d states, such as through the Laₐ₋ₓSrₓMnO₃\textsuperscript{16} and La-NiₓMn₁₋ₓO₁₃ series, systematic studies of hybridization in the perovskites of the first-row transition metal series examining the influence of d-electron, valency, and A-site substitution have not been reported. In this work, we first explored different methods for assessing trends in hybridization from the O K-edge spectra using isovalent LaBO₃ (B = Cr, Mn, Fe, Co, Ni) oxides as a model system. Density functional theory (DFT) calculations were used to verify the trends established and support the analysis employed. We then extended the analysis to examine the influence of different B-site valence states and different A-site ions. We conclude by demonstrating that the hybridization analysis can be used to explore interesting oxide phenomena, specifically drawing correlations between the metal–oxygen hybridization and activity for the oxygen evolution reaction (OER) in basic solution.

\section{Experimental Section}
O K-edge X-ray absorption spectra were collected at Saga-LS (BL-12), Japan, the Advanced Light Source (ALS) at the Lawrence Berkeley National Laboratory (Beamline 8.0.1), and the Advanced Photon Source (APS) at the Argonne National Laboratory (Beamline 4-ID-C). The measurements were collected in the total-electron-yield mode at ~10⁻⁹ Torr (corresponding to a typical probe depth of less than 10 nm penetration depth\textsuperscript{20}). The fluorescence yield signals were collected through photon detection mode of Channeltron detectors with a probe depth of approximately 100 nm. All spectra were normalized to the absorption background at the energy ranges both below the absorption edge and at ~570 eV. All samples used in this study were characterized by X-ray diffraction to determine phase purity. The synthesized materials all had a single phase except the LaNiO₃ stoichiometric samples, the oxygen contents were verified by thermogravimetric analysis to be 3.00 ± 0.01.

\section{Result and Discussion}

\subsection{I. Influence of d-Electron Number.}
The results of the total-electron-yield O K-edge spectra of LaBO₃ (B = Cr, Mn, Fe, Co, and Ni) powders are shown in Figure 1. Our results have similar features to the spectra of perovskites reported in the literature,\textsuperscript{16,23–25} although it should be noted that the LaCrO₃ data shows a small peak feature ~529 eV that has not been observed previously.\textsuperscript{26} In general, the first peak (~530 eV) corresponds to the excitation from the O 1s state to the hybridized O 2p−B 3d state, and the second (~535 eV) and third peaks (~543 eV) have been attributed to the excitations of O 1s to O 2p−La 5d, and O 2p−B 4s, respectively. Unlike the second and third features, which remain largely unchanged with first row transition metal substitution, the first feature undergoes significant transformations in both shape and peak energy going from Cr to Ni. This observation is expected as the second feature corresponds to the La 5d state (centered about ~535 eV), which is formally identical for all of the LaBO₃ samples, and the third feature corresponds to the transition metal 4s state (centered about ~545 eV), which generally has a wider bandwidth and therefore is largely similar across different B transition metals.

We employ the first feature of the O K-edge spectra to assess the extent of the B 3d−O 2p hybridization\textsuperscript{15} among different 3d transition metals. We show that the hybridization parameter increases with the nominal number of d-electrons for transition metal ions in a nominal 3+ valency using three parametrizations of hybridization, as shown in Figure 2. First, we assume the simplest form of hybridization, whereby all of the O 2p−B 3d states, occupied and unoccupied, have the same extent of hybridization. Under this assumption, the spectral intensity is linearly proportional to the product of the total number of the empty O 2p−B 3d state and their extent of hybridization. The hybridization can be related to the spectral intensity using hybridization $\alpha (1/h_{3d})$. Here, $I$ is the background-corrected spectral intensity of the O 1s to O 2p−B 3d excitation of the O K-edge spectra, and $h_{3d}$ is the total number of empty O 2p−B 3d states per unit cell, which amounts to the nominal number of empty B 3d levels in both $e_g$ and $t_{2g}$ symmetry ($h_{e_g}$ and $h_{t_{2g}}$).

We henceforth refer to $h_{3d}$ as $h_{e_g} + h_{t_{2g}}$. We extract the intensity of the excitation by first removing a linear background between the two nearest local minima to correct for the background absorption, then integrate the area underneath (see Figure S1, in Supporting Information).\textsuperscript{15} As shown in Figure 2A, we found the hybridization parameter to increase with the nominal number of d-electrons for transition metal ions in 3+ valency.
To improve the accuracy of our assumption, we take into account the possibility that the transfer integral associated with the \( e_g \) symmetry states is approximately 2-fold stronger than that of \( t_{2g} \) states owing to the angular overlap with the ligand field, which would lead to holes in the \( t_{2g} \) states contributing \( \sim 4 \) times less to the O K-edge spectral intensity than holes in the \( e_g \) states. This assumption is based on previous works that have suggested that the 3d states with \( e_g \) symmetry (\( \gamma \)-interaction) can have a different extent of hybridization with O 2p states than the 3d states in \( t_{2g} \) symmetry (\( \pi \)-interaction)\(^{10,15,27}\) resulting in a transfer integral ratio of \( \sim 2 \) (i.e., \( T_{\gamma} \approx 2T_{\pi} \)).\(^{27}\) This second parametrization of the extent of hybridization, whereby hybridization \( \propto \frac{1}{(\hbar_{\pi} + (1/4)\hbar_{\gamma})} \), was found to increase with the d-electron number in a linear fashion, as shown in Figure 2B. The same trend can also be obtained when assuming the transfer integral with the \( e_g \) states is \( \sim 3 \) times stronger than that of \( t_{2g} \) states, that is, holes in the \( t_{2g} \) states contribute \( \sim 9 \) times less than holes in the \( e_g \) states to the O K-edge spectral intensity (Figure 2C). The systematic appearance of this trend independent of the weighting between \( e_g \) and \( t_{2g} \) states suggests that the number of d-electrons trends with increasing hybridization across isovalent transition metal compounds.

To further support the validity of our analysis, we used first-principles density functional theory within the Generalized Gradient Approximation (GGA) plus Hubbard formalism (GGA+U) to calculate the B 3d- and O 2p-projected density of states (DOS). Additional details on the DFT calculations can be found in the Supporting Information.

The O 2p—B 3d hybridized ground states that correspond with the O K-edge X-ray absorption prepeaks can be approximated by single-particle O 2p-projected DOS, and lie in the first 5 eV above the Fermi level of the LaBO\(_3\) oxides (shaded region in Figure 3A). Good agreement can be seen between the calculated DOS and the O K-edge prepeak features, where the reduction in the number of features in the experimental data may result primarily from experimental broadening (e.g., instrumental broadening and core-hole lifetime broadening) and final-state effects (e.g., Coulombic interactions with the core-hole and multiplet splitting). Such similarity between the O K-edge X-ray absorption spectrum and O 2p density of states has been observed previously in TMOs such as LiCoO\(_2\).\(^{28}\) However, it should be emphasized that the comparison presented here with the DFT-computed DOS is a rough approximation which serves to capture the trends in hybridization rather than provide a quantitative comparison with the XAS results, as excited states and quasiparticle effects are beyond the capabilities of ground state DFT. By determining the degree of hybridization from the integrated O 2p—B 3d unoccupied DOS (first 5 eV above the Fermi level) and normalizing under the assumption that the transfer integrals for \( e_g \)-symmetry states and \( t_{2g} \)-symmetry states differ by a factor of 2 (\( T_{\gamma} = 2T_{\pi} \)), we again find a linear relationship between the metal–oxygen hybridization and the d-electron number that strongly resembles the experimental trend (Figure 3B). The good agreement between theory and experiment suggests that normalizing the integrated area under the O K-edge prepeak can provide a reliable method for comparing metal–oxygen hybridization among compounds.

We compare our finding that the hybridization increases with the d-electron number against the previous literature results, where hybridization is defined to be the ratio between the

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Figure 2. Evolution of the hybridization parameter for LaBO\(_3\) with the d-electron number. The extent of hybridization is shown for various normalizations. (A) Intensity normalized by the total number of empty B 3d states, ABS./(hole\(_g\) + hole\(_{2g}\)). (B) Normalization based on twice the hybridization for \( e_g \) symmetry states over \( t_{2g} \) states (i.e., \( T_{\gamma} = 2T_{\pi} \)), resulting in ABS./hole\(_{2g}\). (C) Normalization based on thrice the hybridization of \( e_g \) symmetry states over \( t_{2g} \) states (i.e., \( T_{\gamma} = 3T_{\pi} \)), resulting in ABS./hole\(_{2g}\). The absorbance was taken from the first feature of the O K-edge spectra (shaded region in Figure 1), corrected with a linear background. The dashed gray lines serve only as a visual guide to the trend.

We briefly discuss the errors associated with this analysis. The first source of error comes from the synchrotron’s intensity variation. As we limit our comparison to samples collected in the same synchrotron and using the same reference, this contribution to the error is negligible and largely unimportant for the data shown here. We discuss this in more detail later in the text. The second error contribution comes from the normalization of the spectra. The methodology used for analysis is the conventional method for normalization, which assumes that the inelastic scattering background of O K-edge scales is not chemistry-specific. Because we have selected the same normalization energy (\( \sim 570 \) eV) for all compounds, this error is small. The final error contribution comes from the analysis and is likely the largest source of error. We assume that the error is mainly generated by the local minima fit, which was estimated to be less than 3% by comparing the result against multiple integrations of the same spectra, with small fluctuations in the selection of boundary conditions. This is smaller than the size of the data points in this Article and we thus exclude error bars in our figures.
II. Influence of Valence. To probe the influence of valence on the hybridization, we examine the role of aliovalent Ca substitution for La in the series of La$_{1-x}$Ca$_x$BO$_3$ (where B = Cr, Mn, and Fe). The raw O K-edge spectra are shown in Figure 4A–C, where the unsubstituted LaBO$_3$ spectra of the same B transition metal are displayed in gray lines as reference. With Ca-substitution, all three features of the spectra change significantly, in agreement with previous literature reports on the similar divalent case of Sr substitution.$^{16,24,26}$ This is a result of the fact that Ca substitution (of La) can serve as an electron acceptor, which oxidizes the transition metal and manifests as a change in the final state of the O K-edge excitation to both the A-site (chemical substitution) and the B-site (oxidation). As our interest is in the B 3d–O 2p hybridization, we again focus on the first feature which corresponds to the excitation to the hybridized B 3d–O 2p state. We perform the same form of analysis as in Figure 2B, assuming that the $t_g$ transfer integral is twice that of the $e_g$ to assess the hybridization trend of these B-site substituted compounds. We note again that the analysis based on assuming that the $t_g$ transfer integral is the same or thrice that of the $t_g$ would yield the same trends and hence are not shown. As we are interested in how the relative change in the valency can influence the hybridization, we compare the extracted degree of hybridization against the parent trivalent LaBO$_3$ compound. In other words, we normalize all of the extracted hybridization parameters with respect to the extracted hybridization parameter of the unsubstituted LaBO$_3$. The result of this comparison is shown in Figure 5. We find that hybridization generally increases with Ca substitution, suggesting that hybridization generally increases with the nominal B oxidation state.

To further verify the influence of the cation oxidation state on hybridization, we also examined compounds in the La$_{4-x}$Ni$_x$O$_{10}$ series. The O K-edge spectra of La$_9$Ni$_5$O$_{10}$ (nominally Ni$^{2.7+}$) and La$_9$Ni$_5$O$_{10}$ (nominally Ni$^{3+}$) O K-edge in Figure 4D.
These Ruddlesden–Popper phases (Ni^{2+} and Ni^{2.7+}) were found to have lower hybridization than the parent LaNiO_{3} (Ni^{3+}) compound (Figure 4), in agreement with the trend we observed in the La_{1−x}Ca_{x}BO_{3} case, whereby increasing oxidation state leads to increasing hybridization.

We explain the relationship between the hybridization and the transition metal valence state as changes in Δ with the oxidation state. More specifically, lowering of the transition metal 3d level due to oxidation reduces the gap between the transition 3d level and the O 2p, resulting in smaller Δ.\(^{18}\) As the hybridization is estimated by the ratio between the transfer integral and Δ, decreasing Δ due to higher transition metal oxidation state causes the hybridization to increase with the transition metal valency. We thus propose that the trend for the La_{1−x}Ni_{x}O_{3} and the La_{1−x}Ca_{x}BO_{3} hybridizations follow the change in Δ, whereby increasing oxidation state consequently increases the hybridization. The implication of our result is that, within the limit of the oxo-perovskites, the hybridization behavior can be tuned by the choice of both the B-site transition metal and the oxidation state.

III. Influence of Mixed B-Site. We further examine the role of B-site partial substitution on the hybridization. The O K-edge spectra of LaNi_{0.9}Mn_{0.1}O_{3} and LaCu_{0.9}Mn_{0.1}O_{3} are compared with that of LaMnO_{3} (shown in gray) in Figure 6A. Notably, the first peak due to the excitation of the O 1s to empty O 2p and B 3d states changes significantly with B-site substitution, reflecting the changes in both the extent of the B 3d−O 2p hybridization and the nominal number of empty B 3d−O 2p state. To assess the hybridization parameter, we perform the same analysis as in the above section. We found that the observed trend is consistent with the assumption that the hybridization of Ni−Mn and Cu−Mn compounds can be approximated by the average d-electron of the two transition metals (Figure 6B). In the context of the molecular orbital approach, the center of the constituent B 3d states is approximately determined by the weighted average of the relative density of states for the constituent transition metal ion 3d bands, which should scale with the ratio of the transition metal ions. Thus, averaging the hybridization accounts for the shift in the 3d-band center induced by introducing additional 3d states of different energy. It is relevant to note that the Ni/Cu valence may be reduced from its original trivalent state, which may affect the hybridization,\(^{13,37}\) as shown in the above section. However, such a change is offset by the Mn valence, which must be oxidized to preserve charge neutrality.

IV. Influence of the Detection Mode. In this section, we focus on the difference in using the total-electron-yield mode (which typically has an information depth of less than 10 nm) and the fluorescence-yield mode (which has probing depth of approximately 100 nm). Our interest in studying this effect is driven by the recent suggestion that LaCoO_{3} can have intermediate spin state at the surface but low spin states in the bulk.\(^{34}\) Furthermore, we are interested in understanding the validity of applying surface-sensitive photoemission techniques to examine the TMO hybridization. To examine how the hybridization evolves from bulk to surface, we perform the same analysis for both electron-yield and fluorescence-yield O K-edge XAS spectra. A comparison between the electron-yield data from Saga, which are used in the analysis of Figures 1−6, and fluorescence-yield data from ALS are shown in Figure 7A−C for LaNi_{0.9}O_{3−δ}, LaCoO_{3}, and LaFeO_{3}. We apply the same analysis that was developed earlier in this manuscript (Figure 7D). Interestingly, while the hybridization in bulk is generally higher than that at the surface, which could be attributed to the self-absorption effect in fluorescence signals, the trends with d-electron for both bulk and surface hybridization are consistent with one another. It should be noted that in this analysis we have assumed identical spin states at the surface and in the bulk for LaFeO_{3} and LaNiO_{3−δ}, and bulk low spin state (\(t_{2g}^{6}s^{0}\)) and surface intermediate spin state (\(t_{2g}^{4}s^{2}\)) for LaCoO_{3}.\(^{34}\) To ensure that this observation is not due to the instrumental variation between different synchrotrons, we also measured the electron-yield spectra at ALS for comparison. We find that the difference in the estimated hybridization based on the electron-yield data collected from Saga and ALS is within 10%, and hence, we assume this reflects the intrinsic error from the synchrotron difference. The observed trend, whereby hybridization increases with increasing d-electron count, can be observed in both the electron-yield and the fluorescence-yield mode and thus the trend is not detection-mode-specific. This observation suggests that the hybridization trend is comparable for both surface and bulk and largely originates from the nature.
used for assessing the hybridization trend for perovskite oxides.

ANiO3 (fl)O

ANiO3 compounds have the same nominal Ni3+ with decrease in the pre-edge area (and hence hybridization, as all

where the charge-transfer gap controls the electronegativity as intermediate spin (LaNiO3 to have slightly higher hybridization than non-

stoichiometric LaNiO3, LaCoO3, and LaNiO3 were collected at ANL.

FIGURE 9. Increasing covalency with stoichiometric LaNiO3 for catalysis application. Influence of covalency on the oxygen evolution activity of LaNiO3, compared against other compounds with approximate unity $\epsilon_e$. All XAS was collected in an electron-yield mode. Spectra for LaMnO3, LaCoO3, and LaNiO3,−δ were collected at Sago, whereas LaNiO3 was collected at ANL.

with our previous result suggesting the critical role of hybridization for oxygen electrocatalysis.13,14 Our finding in this work thus suggests that designing TMO with higher hybridization for oxygen evolution should focus on finding compounds with increased B−O interaction by altering the electronic or chemical nature of the B-site rather than the A-site.

CONCLUSIONS

We show that the hybridization increases with d-electron number for LaBO3 (B = Cr, Mn, Fe, Co, Ni) compounds, which can be attributed to the increasing electronegativity of the transition metal as d-electron number increases. Our finding is consistent with the results from literature, where the charge-transfer energy decreases with d-electron.18,19 We further report that hybridization increases with the increasing oxidation state of B transition metal ions based on combined studies on Ca substitution and Ruddlesden–Popper phases. This effect can be explained by reduction in the charge transfer energy with increasing oxidation state. We further demonstrate that bulk

of the transition metal d-state. Practically, this finding validates that either fluorescence-yield or electron-yield analysis could be used for assessing the hybridization trend for perovskite oxides.

V. Influence of A-Site Substitution. We use the fluorescence O K-edge data to examine the role of A-site ions on the hybridization of ANiO3 (A = La, Pr, Nd, Sm, Sm0.5Eu0.5, Gd, Figure 8A). Interestingly, we find stoichiometric LaNiO3 to have slightly higher hybridization than nonstoichiometric LaNiO3,−δ although the difference is within the experimental error (Figure 2). Comparing ANiO3 across the different A-substitution (Figure 8B), we find nonmonotonic decrease in the pre-edge area (and hence hybridization, as all ANiO3 compounds have the same nominal Ni$^{3+}$ with t_{2g}^{6}e_{g}^{1} configuration). We note that the difference across the A-site substitution is small relative to B-site substitution. This finding is not too surprising as the hybridization difference between ANiO3 is driven by the Ni−O bond angle, which controls bandwidth.21,22,35 This is in contrast to B-site substitution, where the charge-transfer gap controls the electronegativity as shown in the earlier section. We therefore conclude that the hybridization is not significantly influenced by the choice of the A-site substitution, provided that the transition metal oxidation state remains the same and the structural component (bond angle) plays a less significant role in the hybridization than the difference between the Ni 3d and the O 2p energy levels.

VI. Influence of the Hybridization on the Oxygen Electrocatalysis. We have previously demonstrated that increasing hybridization can lead to increased oxygen electrocatalysis. This argument is driven by the need to inject/extract electrons from oxygen during oxygen electrocatalysis. Increasing character of the O 2p near the Fermi level can promote this injection/ extraction by allowing the B 3d state to mix with the oxygen (B$^{3+}$O$^{2−}$ ↔ B(3d)+O(2−−)). To demonstrate this point, we measured the OER activity of stoichiometric LaNiO3 and assess its performance using the standard three electrode cell configuration. The result of the OER activity of this material is compared against the results of LaMnO3, LaCoO3, and LaNiO3,−δ that were reported previously.14 Note that we choose these four materials as they have ∼1 $\epsilon_e$ electron, a condition that is required for high OER performance.14 Applying the method to extract the hybridization parameter as shown above, we found that increasing hybridization can further increase the OER activity (Figure 9), which is consistent...
hybridization follows similar trends to surface hybridization. We assess the influence of lanthanide on the ANiO3 hybridization and find that the influence of the choice of A-site on the hybridization of the Ni 3d−O 2p states is much less than that of B-site substitution and valency change. Lastly, we confirm the role of the hybridization on the oxygen evolution activity, a result of which suggests increasing hybridization is key to design of future water-splitting catalyst.

**ASSOCIATED CONTENT**

Supporting Information

Details for oxide synthesis and DFT experimental methods. This material is available free of charge via the Internet at http://pubs.acs.org.

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**Notes**

The authors declare no competing financial interest.

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